

# Generation as Computation

## Generalised Computation in the Set-theoretic Universe and Beyond (Thesis Proposal)

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# Outline

- 1 Introduction
  - Background: Infinitary Computation
  - Background: Formulas as Programs
  - Overview
- 2 Generalised Computation Within  $V$ 
  - Generalised Sequential Algorithms
  - Comparisons with Other Notions
- 3 Generalised Computation Beyond  $V$ 
  - Degrees of Small Extensions
  - Local Method Definitions

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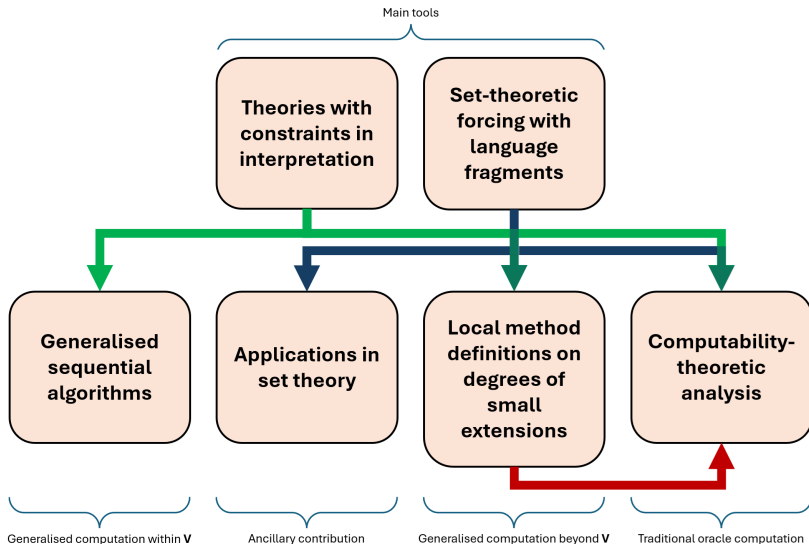
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# Overview Chart



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- All reasonable transfinite abstract algorithms are expressible as GSeqAPs.
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# Summary Table

In the following table we compare various relative computability relations when they are restricted to subsets of admissible ordinals  $\alpha$ .

Property \ Relation	$\leq_\alpha$	$\preceq_\alpha$	$\leq_\alpha^P$	$\leq_\alpha^{P,s}$
Oracle-analogue?	✓	✓	✗	✗
Transitive?	✓	✗	✓	✓
Upward consistent?	✗	✓	✓	✓
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# Generators over $V$

- Going further in the direction of generalised computation, can we maintain the definition of constructibility degrees, but swap  $L$  for  $V$ ?
- In essence, we want to group sets outside  $V$  based on their power as generators over  $V$ .



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# The Meta-theory

- What do we mean by “sets outside  $V$ ”?
- Step out of  $V$  and treat  $V$  as a countable transitive model of ZFC (henceforth denoted CTM).
- Look at those sets that can be adjoined to  $V$  to give a nice CTM.
- What do we mean by “a nice CTM”?

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# Outer Models

- Let  $U_1$  and  $U_2$  be CTMs.  $U_2$  is an outer model of  $U_1$  iff
  - $U_1 \subset U_2$ , and
  - $ORD^{U_1} = ORD^{U_2}$ .
- The binary relation “being an outer model of” is transitive.

## Definition

Let  $V$  be a CTM. The *outward multiverse centred at  $V$*  is the set

$$\mathbf{M}(V) := \{W : W \text{ is an outer model of } V\}.$$



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In this case we call  $x$  a *generator of  $U_2$  over  $U_1$* , and denote  $U_2$  as  $U_1[x]$ .

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# Assorted Multiversal Properties

Due to Jensen's result on "coding the universe", we have the following theorem.

## Theorem (Jensen)

*Given a CTM  $V$ ,  $(\mathbf{M}_S(V), \subset)$  is a cofinal subposet of  $(\mathbf{M}(V), \subset)$ .*

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The next proposition is easy to see.

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*Let  $V$  be a CTM. Then*

$$\mathbf{M}_S(V) = \{V[x] : x \in \bigcup \mathbf{M}(V) \cap \bigcup \{\mathcal{P}(\alpha) : \alpha \in \text{ORD}^V\}\}.$$

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- Given a CTM  $V$ , we want to define a degree structure on generators of small extensions of  $V$ , analogous to the constructibility degrees on sets in  $V$ .
- By the previous proposition, it suffices to consider equivalence classes arising from the natural reducibility relation on

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# Degrees over $V$

- Specifically, define the binary relation  $\leq^S$  on  $\mathcal{G}(V)$  by

$$x \leq^S y \iff V[x] \subset V[y].$$

- $\leq^S$  partially orders  $\mathcal{G}(V)$ , so we can define the equivalence relation  $\equiv^S$  the usual way.
- Call  $(\mathcal{G} / \equiv^S, \leq^S / \equiv^S)$  the *degrees of small extensions of  $V$*  with its standard partial ordering.
- Observe that
 
$$(\{\text{small extensions of } V\}, \subset) \cong (\mathcal{G} / \equiv^S, \leq^S / \equiv^S),$$
 so “small extension(s)” and “degree(s) of small extensions” are often used interchangeably.

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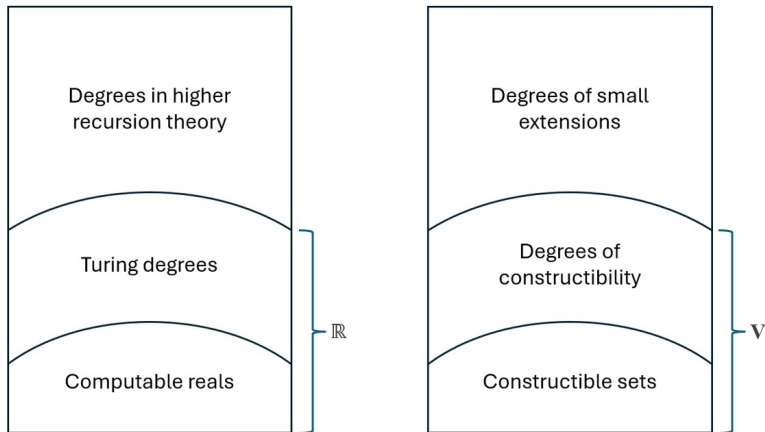
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# Drawing Parallels

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**Figure:** comparison between conventional notions of relative computability (left) and our generalised notions (right).

# Multiverse of Generic Extensions

## Definition

Let  $V$  be a CTM. The *outward generic multiverse centred at  $V$*  is the set

$$\mathbf{M}_F(V) := \{W : W \text{ is a forcing extension of } V\}.$$

The following fact is basic.

## Fact

*Every generic extension is a small extension. Equivalently,*

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Let  $V$  be a CTM. The *outward generic multiverse centred at  $V$*  is the set

$$\mathbf{M}_F(V) := \{W : W \text{ is a forcing extension of } V\}.$$

The following fact is basic.

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The following is a rephrasing of a well-known result on intermediate models of forcing extensions.

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On the other hand, the next fact can be derived from arguments using class forcing.

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Given a CTM  $V$ ,  $(\mathbf{M}_S(V) \setminus \mathbf{M}_F(V), \subset)$  is a cofinal subposet of  $(\mathbf{M}_S(V), \subset)$ .



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- The previous two facts tell us there are many objects inaccessible by forcing.
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# Outline

## 1 Introduction

- Background: Infinitary Computation
- Background: Formulas as Programs
- Overview

## 2 Generalised Computation Within $V$

- Generalised Sequential Algorithms
- Comparisons with Other Notions

## 3 Generalised Computation Beyond $V$

- Degrees of Small Extensions
- Local Method Definitions



# Referring to Small Extensions in $V$

- Often it is useful to refer to small extensions of  $V$  within  $V$ .
- Such references in general cannot isolate any non-trivial small extension.
- Think of them as descriptions in  $V$  picking out sets of small extensions of  $V$ , when evaluated outside  $V$ .
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- *Theories with constraints in Interpretation (TCIs)*, and their models, are a formalisation of this idea.
- TCIs were used as a convenient means of defining state spaces of restricted abstract state machines.
- They also naturally capture the intuition behind forcing.
- A TCI in  $V$  describes potential generators of small extensions of  $V$ .
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- TCIs provide bounds to the interpretation of a theory  $T$  over a signature  $\sigma$ .
- A TCI  $\mathcal{T}$  expands on  $T$  by specifying additional requirements on a possible model  $\mathfrak{M}$  of  $T$ .
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  - a set upper bound (under  $\subset$ ) to the base set  $M$  of  $\mathfrak{M}$ ,
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# Consistency of TCIs

## Definition (L.)

A TCI is *consistent* iff it has a model in some outer model of  $V$ .

By examining a suitable forcing notion in  $V$ , we can decide if a TCI in  $V$  is consistent.

## Proposition

Let  $\mathcal{T}$  be a TCI. Then for all sufficiently large cardinals  $\lambda$ ,

$$\Vdash_{Col(\omega, \lambda)} \exists \mathfrak{M} \text{ (“}\mathfrak{M} \text{ is a model of } \mathcal{T}\text{”) } \iff \mathcal{T} \text{ is consistent.}$$

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# Local Method Definitions

## Definition (L.)

A *local method definition* of  $V$  is a non-empty class of TCIs definable in  $V$ .

- In the meta-theory, define a function  $\text{Eval}^V$  from the set of TCIs  $\mathcal{T} \in V$  into the set of small extensions of  $V$ , such that

$$\text{Eval}^V(\mathcal{T}) = \{V[\mathfrak{M}] \in \mathbf{M}_S(V) : \mathfrak{M} \text{ is a model of } \mathcal{T}\}.$$

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# Comparing Local Methods

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If  $X$  and  $Y$  are local method definitions of  $V$ ,  $X \leq^M Y$  denotes the statement

“there is a function  $F : X \rightarrow Y$  definable in  $V$  such that  $\emptyset \neq \text{Eval}^V(F(\mathcal{T})) \subset \text{Eval}^V(\mathcal{T})$  for all consistent  $\mathcal{T} \in X$ ”.

- Intuitively,  $X \leq^M Y$  if  $V$  can see that  $Y$  provides non-trivial refinements to all consistent descriptions in  $X$ .
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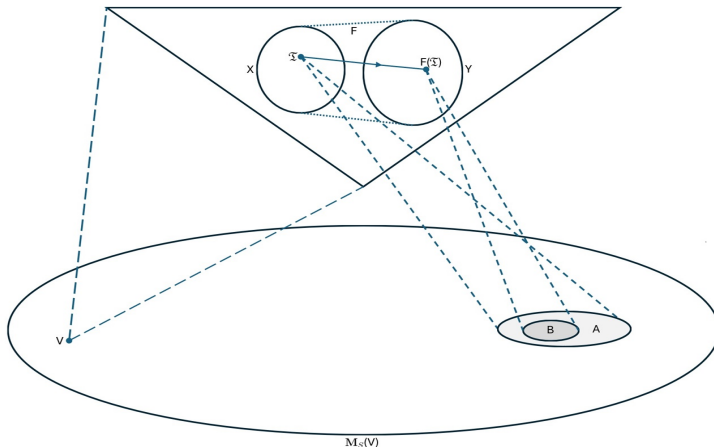
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**Figure:** Visual representation of a function  $F$  witnessing  $X \leq^M Y$ , where  $X$  and  $Y$  are local method definitions.

# The Local Method Hierarchy

- We can also define the complexity of a TCI by just looking at its first-order theory.
- For example, a  $\Sigma_n$  TCI has a first-order theory containing only  $\Sigma_n$  sentences.
- The classes of  $\Sigma_n$  and  $\Pi_n$  TCIs — denoted  $\Sigma_n^M$  and  $\Pi_n^M$  respectively — then form a hierarchy under the relation  $\leq^M$ , called the local method hierarchy.

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# The Local Method Hierarchy

## Theorem (L.)

*Let  $1 \leq n < \omega$ . Then for every  $\mathcal{T} \in \Pi_{n+1}^M$  there is  $\mathcal{T}' \in \Sigma_n^M$  such that*

$$\text{Eval}^V(\mathcal{T}) = \text{Eval}^V(\mathcal{T}').$$

## Corollary

*$\Pi_{n+1}^M \leq^M \Sigma_n^M$  for all  $1 \leq n < \omega$ .*

# The Local Method Hierarchy

## Theorem (L.)

*Let  $1 \leq n < \omega$ . Then for every  $\mathcal{T} \in \Pi_{n+1}^M$  there is  $\mathcal{T}' \in \Sigma_n^M$  such that*

$$\text{Eval}^V(\mathcal{T}) = \text{Eval}^V(\mathcal{T}').$$

## Corollary

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# Set Forcing

- There is an obvious way of representing any forcing notion as a  $\Pi_2$  TCI.
- Thus set forcing, as a technique of accessing small extensions of  $V$ , can be represented by a local method definition, denoted  $\text{Fg}$ .
- We want to see if  $\text{Fg}$  fits nicely in the local method hierarchy.
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# Set Forcing is $\Sigma_1$ (is $\Pi_2$ )

Theorem (L.)

$$\text{Fg} \equiv^M \Sigma_1^M.$$

Proof Idea.

By adapting our framework for constructing forcing notions to the context of TCIs, we associate with each  $\Pi_2$  TCI  $\mathcal{T}$  a forcing notion  $\mathbb{P}(\mathcal{T})$ , such that a unique model of  $\mathcal{T}$  can be read off every  $\mathbb{P}(\mathcal{T})$ -generic filter over  $V$ .

This allows us to define a class function witnessing  $\Pi_2^M \leq^M \text{Fg}$ .  $\square$

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# Further Results

- We can refine the function witnessing  $\Pi_2^M \leq^M \text{Fg}$  by iteratively pruning the  $\mathbb{P}(\mathcal{T})$ s.
- We can also prove in  $V$  a few results linking 1-generic reals with models of certain countable  $\Pi_2$  TCl's.

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# Some Open Questions

- (Q1) What structural properties of  $(\mathbf{M}_F(L), \subset)$  also hold for  $(\mathbf{M}_S(L), \subset)$ ?
- (Q2) For example, is  $(\mathbf{M}_S(L), \subset)$  downward directed?
- (Q3) Are there  $m, n < \omega$  for which  $\Sigma_m^M \not\equiv^M \Sigma_n^M$ ?
- (Q4) Is there a TCI  $\mathcal{T}$  such that  $\{\mathcal{T}\} \not\leq^M \text{Fg}$ ?

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# References

# Thank You!