Generalized Turán Problems for Trees and More

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Based on Joint Work with Sean English



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Theorem

$$ex(n, K_2) = 0.$$

Theorem (Turán 1941)

$$\operatorname{ex}(n,K_r) = \left\lfloor \binom{r-1}{2} \frac{n^2}{(r-1)^2} \right\rfloor$$

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Theorem (Folklore)

If T is a tree with $e(T) \ge 2$, then

$$ex(n, T) = \Theta(n)$$
.

Given a family of graphs \mathcal{F} , we say G is \mathcal{F} -free if G is F-free for all $F \in \mathcal{F}$. We define $\mathrm{ex}(n,\mathcal{F})$ to be the maximum number of edges in an n-vertex \mathcal{F} -free graph.

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Conjecture (Compactness Conjecture)

If \mathcal{F} is a finite family of graphs which does not include both a star and a matching, then $ex(n, \mathcal{F}) = \Theta(ex(n, \mathcal{F}))$ for some $F \in \mathcal{F}$.

Definition (Alon-Shikhelman 2016)

Given a graph H and a family of graphs \mathcal{F} , we define the generalized Turán number $\mathrm{ex}(n,H,\mathcal{F})$ to be the maximum number of copies of H in an n-vertex \mathcal{F} -free graph.

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See the recent survey by Gerbner and Palmer for way more history than I'm going to give here.

Theorem (Gerbner-Palmer 2019)

For all $r \geq 2$ and families \mathcal{F} we have

$$\operatorname{ex}(n, K_r, \mathcal{F}) \leq \operatorname{ex}(n, \mathcal{F})^{r/2}.$$

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Theorem (Füredi-Kündgen 2006)

If $ex(n, \mathcal{F}) = \Theta(n^{2-\beta})$ and \mathcal{F} does not contain a star, then

$$ex(n, K_{1,t}, \mathcal{F}) = \tilde{\Theta}(max\{n^t, n^{t+1-t\beta}\}).$$

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Can we prove bounds on ex(n, T, F) for arbitrary trees T (for some possibly fixed F)?

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If H is any graph and F is a tree, then $ex(n, H, F) = \Theta(n^k)$ for some integer k.

Theorem (English-S. 2025+)

For any tree T, integer $k \geq 1$, and family of graphs \mathcal{F} , either

$$ex(n, T, \mathcal{F}) = \Omega(n^k),$$

or

$$ex(n, T, F) = O(ex(n, F)^{k-1}).$$

Moreover, we can determine which of these two cases happen for a given $T,k,\mathcal{F}.$

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Let k be the smallest integer such that $\mathrm{ex}(n,T,\mathcal{F})=O(n^k)$. If $\mathrm{ex}(n,T,\mathcal{F})=\Omega(n^k)$ then we're done, otherwise our theorem implies that

$$ex(n, T, \mathcal{F}) = O(ex(n, \mathcal{F})^{k-1}) = O(n^{k-1}).$$



Corollary

If $T \neq K_2$ is a tree with $\ell \geq 2$ leaves, then any family \mathcal{F} with $\operatorname{ex}(n,T,\mathcal{F}) = O(n^\ell)$ has $\operatorname{ex}(n,T,\mathcal{F}) = \Theta(n^k)$ for some integer k.

In particular, if $ex(n, T, \mathcal{F}) = o(n^{\ell})$ then $ex(n, T, \mathcal{F}) = O(n^{\ell-1})$.

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If $T \neq K_2$ is a tree with $\ell \geq 2$ leaves, then any family $\mathcal F$ with $\operatorname{ex}(n,T,\mathcal F) = O(n^\ell)$ has $\operatorname{ex}(n,T,\mathcal F) = \Theta(n^k)$ for some integer k.

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If ${\mathcal F}$ contains a forest then we are done by the previous result.

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If $\mathcal F$ contains a forest then we are done by the previous result. Otherwise, the graph G obtained by duplicating each leaf of T a total of n/ℓ times is $\mathcal F$ -free and contains $\Omega(n^\ell)$ copies of T.

Theorem (English-S. 2025++)

If T is a tree with $\ell \geq 2$ leaves, then every family $\mathcal F$ with $\operatorname{ex}(n,T,\mathcal F) = o(n^{\ell+1})$ satisfies

$$\operatorname{ex}(n,T,\mathcal{F}) = O\left(n^{\ell + \frac{\ell^2 - \ell}{e(T) - 1}}\right).$$

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Theorem (English-S. 20??++)

For the path graph P_t , every graph F with $ex(n, P_t, F) = O(n^{\alpha(P_t)})$ has $ex(n, P_t, F) = \Theta(n^k)$ for some integer k.

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For stars $K_{1,t}$, every family of graphs \mathcal{F} either satisfies $ex(n, K_{1,t}, \mathcal{F}) = \Omega(n^t)$ or $ex(n, K_{1,t}, \mathcal{F}) = O(n)$.

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If \mathcal{F} does not contain a subgraph of a star, then $G=K_{1,n-1}$ is \mathcal{F} -free and shows $\operatorname{ex}(n,K_{1,t},\mathcal{F})=\Omega(n^t)$. Otherwise, \mathcal{F} must contain some subgraph of some star $K_{1,r}$, which means $\operatorname{ex}(n,K_{1,t},\mathcal{F})=O_r(n)$.

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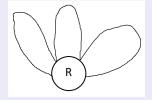
We want to generalize this idea by saying that for any graph H, there exists some "simple" family \mathcal{F}_H such that the behavior of $\mathrm{ex}(n,H,\mathcal{F})$ depends on how \mathcal{F} "interacts" with \mathcal{F}_H .

Definition

Given a graph H, a subset $R \subseteq V(H)$, and an integer q, we define the sunflower-power H_R^q to be the graph obtained by taking q copies of H which all agree on R and which are otherwise disjoint.

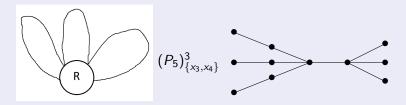
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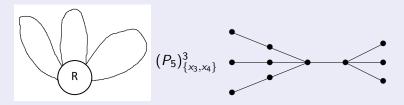
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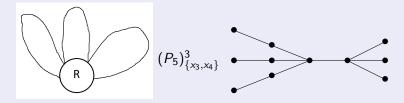


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Claim

Every graph in $\mathcal{F}_{H,k}^q$ has at least q^k copies of H.

Proposition (Key Observation)

For every H, k, \mathcal{F} , either $ex(n, H, \mathcal{F}) = \Omega(n^k)$ or there exists some q such that every \mathcal{F} -free graph is $\mathcal{F}_{H,k}^q$ -free.

Proposition (Key Observation)

For every H, k, \mathcal{F} , either $ex(n, H, \mathcal{F}) = \Omega(n^k)$ or there exists some q such that every \mathcal{F} -free graph is $\mathcal{F}^q_{H,k}$ -free.

Proof.

If some $H_R^q \in \mathcal{F}_{H,k}^q$ is \mathcal{F} -free for all q, then the previous claim with $q \approx n/v(H)$ shows $\operatorname{ex}(n,H,\mathcal{F}) = \Omega(n^k)$.

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Corollary (General Stability for Generalized Turán)

If $ex(n, H, \mathcal{F}_{H,k}^q) = O_q(n^{\beta})$, then every family \mathcal{F} either satisfies $ex(n, H, \mathcal{F}) = \Omega(n^k)$ or $ex(n, H, \mathcal{F}) = O(n^{\beta})$.

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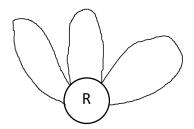
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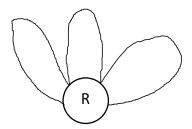
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This is essentially equivalent to the Erdős-Rado Sunflower lemma.



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For any tree T, integer $k \geq 1$, and family of graphs \mathcal{F} , either

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If T is a tree and if G is $\mathcal{F}^q_{T,k}$ -free, then the number of copies of T in G is at most $O(e(G)^{k-1})$.

Proof Sketch

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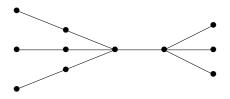
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Strategy: identify each copy of T in G by a set of k-1 edges E such that each E identifies at most O(1) copies of T.

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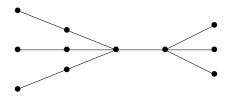
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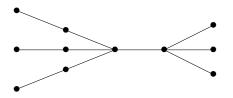


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For this example, to specify a given copy of P_5 , we **must** identify its last edge. More generally, whatever set of edges E we choose to identify a given copy K in a graph, the edges of E **must** intersect every subtree $K' \subseteq K$ which has "many extensions."

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Theorem (Helly Property for Trees)

If $\mathcal T$ is a set of subtrees of a tree T such that the vertex sets of the subtrees pairwise intersect, then there exists a vertex $v \in V(T)$ which intersects the vertex set of every subtree of $\mathcal T$.





Definition

We call a subtree $T' \subseteq T$ leaf-cuttable if $e(T) \ge 1$ and if every edge in $E(T) \setminus E(T')$ which intersects T' intersects a leaf of T'.

Theorem (English-S. 2025+)

If $\mathcal T$ is a set of <u>leaf-cuttable</u> subtrees of a tree $\mathcal T$ such that the edge sets of the subtrees pairwise intersect, then there exists an edge $e \in E(\mathcal T)$ which intersects the edge set of every subtree of $\mathcal T$.

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If \mathcal{T} is a set of <u>leaf-cuttable</u> subtrees of a tree \mathcal{T} such that every k subtrees from \mathcal{F} contains two subtrees with intersecting edge sets, then there exists a set $E \subseteq E(\mathcal{T})$ of at most k-1 edges which intersects the edge set of every subtree of \mathcal{T} .

This result implies the vertex-Helly result (and also König's Theorem for trees).

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- Verify that the "highly extendable" subtrees \mathcal{T}_K are leaf-cuttable (easy),
- Verify that any k subtrees from \mathcal{T}_K have a pair with intersecting edge sets (true because $\mathcal{F}^q_{T_k}$ -free, but not so easy to prove),
- Verify that finding this set of k-1 edges for each \mathcal{T}_K is enough to give the desired bound (annoying but doable).

Theorem (English-S. 2025+)

For any tree T, integer $k \geq 1$, and family of graphs \mathcal{F} , either

$$ex(n, T, \mathcal{F}) = \Omega(n^k),$$

or every \mathcal{F} -free graph is $\mathcal{F}^q_{T,k}$ -free and

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and this holds for bipartite graphs without isolated edges by König's Theorem.



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Fact

This is false for C_4 and k = 2:

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Definition

We say that a rational number r is *realizable* for a graph H if there exists a (finite) family of graphs \mathcal{F} such that $ex(n, H, \mathcal{F}) = \Theta(n^r)$.

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Theorem (Bukh-Conlon 2018)

Every rational in [1,2] is realizable for $H = K_2$.

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Every rational in [t, t+1] is realizable for $H = K_{1,t}$ and no rational in (1,t) is.

Theorem (English-S. 2025+)

For every graph H of maximum degree Δ , every rational in the range

$$\left[v(H)-\frac{e(H)}{2\Delta^2},v(H)\right]$$

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For every tree T of maximum degree Δ , every rational in the range

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This is best possible for stars.



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From our general stability theorem, we know that if $ex(n, H, \mathcal{F}^q_{H,k}) = o(n^\beta)$, then no rational in (β, k) is realizable.

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We believe we can verify that this is true for all graphs on at most 4 vertices through various ad hoc techniques.

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Is it the case that every rational in $[\alpha(H), \nu(H)]$ is realizable?

This is true and best possible for cliques and stars.

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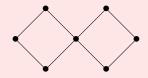
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Conjecture

We have $ex(n, P_7, F) = O(n^4)$ where F is two C_4 's sharing a vertex.



This is easy if we avoid just C_4 : we can specify the last two edges of P_7 and x_4 in $(n^{3/2})^2 \cdot n = n^4$ ways, and this uniquely determines the P_7 .

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This is unknown even for $H = K_2$.

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The idea here is that any F of this form must be a subgraph of every member of $\mathcal{F}^q_{H,\alpha(H)} \neq \emptyset$ for some q. For any fixed q this is a finite number of possibilities, and I don't think arbitrarily large q should give new behaviors (analogous to $F \subseteq K_{2,t}$ implying $\operatorname{ex}(n,F) = \Theta(n^r)$ for some $r \in \{0,1,3/2\}$).

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Question

Can one prove that if T_1, \ldots are "reasonable" subtrees of a tree T which pairwise intersect in at least one path of length 2, then there exists a path of length 2 common to each T_i ?

Question

Can one say anything about generalized Turán numbers of trees T satisfying

$$n^{\ell+1} \ll \operatorname{ex}(n, T, \mathcal{F}) \ll n^{\ell+2}$$
?

For this it would suffice to prove upper bounds on $ex(n, \mathcal{F}^q_{T,\ell+2})$.

Proposition

If G contains $kn^{2-1/s}$ edges with $k = \omega(1)$, then it contains at least $\Omega(k^{st}n^s)$ copies of $K_{s,t}$.

Note that this is best possible by considering a random graph with $kn^{2-1/s}$ edges.

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Question

Does the same phenomenon hold if G contains many copies of K_r ?

Conjecture (Dubroff-Gunby-Narayanan-S.)

If $2 \le r \le s \le t$ and if G contains at least $kn^{r-\binom{r}{2}/s}$ copies of K_r , then it contains at least $k^{st/\binom{r}{2}}n^{s-o(1)}$ copies of $K_{s,t}$.

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Conjecture (Dubroff-Gunby-Narayanan-S.)

For all $1 \le k \le n^{1/2t}$, there exist n-vertex graphs with $kn^{3/2}$ triangles and at most $k^t n^{3/2+o(1)}$ copies of $K_{2,t}$.