gClinBiomarker Vignette

Ning Leng, Alexey Pronin, Christina Rabe, Doug Kelkhoff, Kwame Okrah2017-09-05

Contents

1 Overview									
2	Inst	all and	d load tl	he package	2				
3	Inp: 3.1 3.2	r ··· · · · · · ·							
4	Bio	markei	r workfle	ow	5				
	4.1	Contin 4.1.1		omarker in a two-arm study with survival outcome	5 5 5				
			4.1.1.2 4.1.1.3	Check whether the clinical outcome in BEP is comparable to the full population Examine whether the prognostic/predictive/null trend of key clinical variables	6				
			4.1.1.4	holds in BEP	6 7				
		4.1.2	Biomarl 4.1.2.1 4.1.2.2	ker property and its association to clinical variables	9 9				
		4.1.3		for predictive trend: Biomarker cutoff exploration/selection Try different cutoffs - look for consistent trend Estimations within non-overlapping bins Estimations within overlapped sliding windows - STEPP plot	11 11 12				
		4.1.4		ker subgroup analysis (using selected cutoff)	13 14 14 16 17				
	4.2	Contir		marker in a single-arm study with survival outcome	19				
		4.2.1		entativeness: Selection Bias of Biomarker Population	19				
			4.2.1.2 4.2.1.3	Check whether the clinical outcome in BEP is comparable to the full population Examine whether the prognostic/null trend of key clinical variables holds in					
		4.2.2	Riomarl	BEP	$\frac{20}{22}$				
		4.2.3		ker cutoff exploration/selection	23				
			4.2.3.1	Try different cutoffs - look for consistent trend	23				
		4.2.4	Biomarl	ker subgroup analysis (using selected cutoff)	23				
			4.2.4.1	Subgroup analysis	23				
			4.2.4.2	KM curves	24				
			4.2.4.3	Check whether biomarker subgroup is confounded with clinical variables $$. $$	25				
	4.3			marker with survival outcome	27				
		4.3.1	Two-arr	n study	27				

		4.3.2	_	rm study	27
	4.4	Catego	orical out	come (e.g. response)	29
		4.4.1	Check se	election bias in terms of key clinical variables, between full population and BEP	29
		4.4.2	Continue	ous biomarker in a two-arm study	35
			4.4.2.1	Check within-arm trend	35
			4.4.2.2	Seeking for predictive trend: cutoff exploration/selection	38
			4.4.2.3	Subgroup analysis	39
		4.4.3	Other so	cenarios	41
			4.4.3.1	Continuous biomarker in a single-arm study	41
			4.4.3.2	Categorical biomarker in a two-arm study	41
			4.4.3.3	Categorical biomarker in a single-arm study	41
	4.5	Contin	uous out	come	41
	4.6	Longit	udinal an	nalysis (e.g. PD biomarker)	44
		4.6.1	Longitue	dinal Sample Data (longbmkr)	44
		4.6.2		Plots of Subsetted Longitudinal Data	44
		4.6.3	Longitue	dinal Plots with PlotLong	45
			4.6.3.1	Tukey Hinges and Whiskers	45
			4.6.3.2	Plotting Subpopulations (Two-Armed Trials)	46
			4.6.3.3	Including sample counts	48
			4.6.3.4	Specifying a function for adjustment	49
5	Ack	nowled	dgement		50

1 Overview

gClinBiomarker is an R package that allows users to easily perform biomarker analyses and generate high-quality figures and tables. It contains a set of functions covering essential biomarker analyses conducted in both oncology and non-oncology trials. It also provides an R markdown template that allows users to plug in their data set and generate a biomarker analysis report with "one-click". The report contains demographics checking, biomarker property characterization, cutoff exploration (for continuous biomarkers), subgroup analysis, and longitudinal analysis. The package takes either VADs (AdAM) or a customized csv/data frame.

gClinBiomarker is built on top of the existing Baseline R package (biomarkers). The bulk of the work done on this package is on the back-end:

- streamline the original code,
- create robust documentation, and
- modularize the package for future extensions.

This vignette provides general guidelines of using gClinBiomarker package. Example workflows are also provided in the vignette, which cover essential biomarker analyses.

For advanced usage, more detailed examples can be found at:

 $https://github.roche.com/lengn/gClinbiomarker_documents/blob/master/gClinbiomarker_example_use_case.pdf$

The Rmarkdown templates can be found at:

 $https://github.roche.com/lengn/gClinbiomarker_documents/tree/master/Markdown_templates$

2 Install and load the package

To install this package from R, use install github() function from the devtools package

In R, type:

```
library(devtools)
install_github("RPackages/gClinBiomarker", host="https://github.roche.com/api/v3")
```

Before analysis can proceed, the gClinBiomarker package must be loaded into the working space:

```
library(gClinBiomarker)
```

3 Input

3.1 Input requirement

Functions in gClinBiomarkers package take data frame as the input format. In this data frame, clinical data and biomarker data should all be included as columns. Rows are samples.

If only baseline biomarker analysis will be performed, the data frame should have one patient per row, without duplicated entries for any patient.

If longitudinal analysis will be performed, one patient may have multiple enteries for multiple visits.

Functions ReadData() and ReadVAD() may also be used to read in data in csv format and sas7bdat format.

3.2 Example data set: baseline only

An example data set is included in the package:

```
head(input)
```

```
##
     Patient.ID Sample.ID Arm Age Weight ECOG Sex Baseline.SLD
                                                                            Country
## 1
         PID001
                    SID001 TRT
                                 40
                                       61.0
                                               0
                                                    F
                                                          550.4970 Western Europe
## 2
         PID002
                                                    F
                    SID002 TRT
                                 60
                                       87.0
                                               1
                                                          549.7751
                                                                                USA
                                                          548.8829 Western Europe
## 3
         PID003
                    SID003 TRT
                                 53
                                       57.6
                                               1
                                                    М
                                                    F
## 4
         PID004
                    SID004 TRT
                                 44
                                       57.0
                                               0
                                                          549.6050
                                                                                USA
                                 49
## 5
         PID005
                    SID005 TRT
                                       72.5
                                               2
                                                    F
                                                          551.5498
                                                                              Other
## 6
         PID006
                    SID006 TRT
                                 62
                                       76.0
                                               0
                                                    М
                                                          549.2565
                                                                              Other
##
     CD8.ihc KRAS.mutant KRAS.exprs
                                             OS
                                                           Response BEP OS.event
                                                      PFS
## 1
            3
                               171.25 9.691992 5.782341
                   Mutant
                                                                  PR
                                                                       1
## 2
           2
                                 2.77 4.435318 1.412731
                                                                  PD
                                                                                 1
                Wild Type
                                                                       1
## 3
           3
                                61.82 9.691992 1.215606
                                                                  PD
                                                                       1
                                                                                 0
                Wild Type
                                                                                 0
## 4
           1
                   Mutant
                                71.51 1.905544 1.905544
                                                                  PD
                                                                       1
## 5
          NA
                                   NA 2.628337 2.628337
                                                                       0
                                                                                 1
                      <NA>
                                44.63 4.829569 4.139630 NON CR/PD
                                                                                 0
## 6
            2
                   Mutant
##
     PFS.event Lab ontrt
              0 28.993922
## 1
## 2
              1
                 9.890427
## 3
                26.896020
              1
## 4
                 5.421392
              1
## 5
              1 14.741382
## 6
              0 16.957035
str(input)
```

```
## 'data.frame': 550 obs. of 19 variables:
## $ Patient.ID : chr "PID001" "PID002" "PID003" "PID004" ...
```

```
$ Sample.ID
                          "SID001" "SID002" "SID003" "SID004" ...
##
##
    $ Arm
                   : Factor w/ 2 levels "CTRL", "TRT": 2 2 2 2 2 2 2 2 1 ...
    $ Age
                          40 60 53 44 49 62 70 49 62 45 ...
##
##
    $ Weight
                          61 87 57.6 57 72.5 76 46 69.3 65 54 ...
                    num
##
    $ ECOG
                    int
                             1 0 2 0 0 1 0 1 ...
    $ Sex
                   : chr
                          "F"
                             "F" "M" "F"
##
##
    $ Baseline.SLD: num
                          550 550 549 550 552 ...
                   : Factor w/ 3 levels "Other", "USA", ...: 3 2 3 2 1 1 3 3 3 1 ....
##
    $ Country
##
    $ CD8.ihc
                          3 2 3 1 NA 2 NA 1 NA NA ...
    $ KRAS.mutant : Factor w/ 2 levels "Mutant", "Wild Type": 1 2 2 1 NA 1 NA 2 NA NA ...
##
##
    $ KRAS.exprs
                  : num
                          171.25 2.77 61.82 71.51 NA ...
##
                          9.69 4.44 9.69 1.91 2.63 ...
    $ OS
                   : num
##
    $ PFS
                          5.78 1.41 1.22 1.91 2.63 ...
                   : num
                   : Factor w/ 6 levels "CR", "NE", "NON CR/PD", ...: 5 4 4 4 6 3 4 3 4 6 ...
##
    $ Response
##
    $ BEP
                          1 1 1 1 0 1 0 1 0 0 ...
                   : num
##
    $ OS.event
                    int
                          0 1 0 0 1 0 0 0 1 0 ...
##
                          0 1 1 1 1 0 1 0 1 0 ...
    $ PFS.event
                   : int
    $ Lab_ontrt
                          28.99 9.89 26.9 5.42 14.74 ...
                   : num
```

The columns indicates:

- Patient.ID: patient ID
- Sample.ID: sample ID
- Arm: treatment arm
- Age: age (baseline clinical variable, continuous)
- Age: baseline body Age (baseline clinical variable, continuous)
- ECOG: ECOG (baseline clinical variable, ordinal)
- Sex: gender (baseline clinical variable, binary)
- Baseline.SLD: baseline SLD (baseline clinical variable, continuous)
- Country: region (baseline clinical variable, categorical)
- CD8.ihc: CD8 IHC measurement (biomarker, protein level, ordinal)
- KRAS.mutant: KRAS mutation status (biomarker, DNA level, binary)
- KRAS.exprs: KRAS gene expression (biomarker, mRNA level, continuous)
- OS: overall survival (clinical outcome)
- OS.event: censoring indicator for OS, 1 indicates event and 0 indicates censoring (clinical outcome)
- PFS: progression free survival (clinical outcome)
- PFS.event: censoring indicator for PFS, 1 indicates event and 0 indicates censoring (clinical outcome)
- Lab_ontrt: example continuous surrogate endpoint on treatment lab data; one record per patient
- Response: best overall overall response (clinical outcome, ordinal)
- BEP: Biomarker evaluable population indicator. 1: in biomarker evaluable population, 2: not in. (When evaluable multiple biomarkers, we may want to take the same set of patients for all evaluations. Then the can be defined as the patients who have valid measurement for all biomarkers. In this example data set, the BEP is defined as patients who have CD8 IHC data, KRAS mutation data, and KRAS expression data. If only one biomarker is of interest, BEP could be defined as patients who have valid measure for this biomarker. A BEP column is required to perform certain analyses (e.g. selection bias examination of BEP).)

4 Biomarker workflow

4.1 Continuous biomarker in a two-arm study with survival outcome

We use PFS as our primary endpoint, KRAS.exprs as the biomarker of interest.

4.1.1 Representativeness: Selection Bias of Biomarker Population

In this section, we are trying to answer the question: Are biomarker evaluable population representative of the full population population?

Key baseline demographics and prognostic characteristics (including stratification variables and any variables with known prognostic effect) and efficacy outcomes should be summarized by treatment groups and compared between biomarker evaluable population (BEP) and the full population. These analyses are conducted to investigate any potential selection bias associated with the availability of the biomarker (e.g. we may not get enough tissue for patients whose tumor size is small. Therefore they may be excluded from BEP).

4.1.1.1 Check selection bias in terms of key clinical variables, between full population and BEP

Function SumamryVars() can be used to perform selection bias checking of clinical variables. For example, if Age and Sex are two key clinical variables, we can perform the selection bias checking by:

```
SummaryVars(data=input, trt='Arm', subgroup='BEP', var=c('Sex','Age'),
     var.class=c('categorical','numeric'))
```

```
##
            All(CTRL)
                                                        BEP (TRT)
                           BEP (CTRL)
                                           All(TRT)
                                                        11 11
## Sex
                           11 11
                                           11 11
## Total
            "182"
                           "81"
                                           "368"
                                                        "176"
## NA's
            "0"
                           "0"
                                           "0"
                                                        "0"
            "89 (48.9%)" "39 (48.15%)" "184 (50%)" "90 (51.14%)"
## F
## M
            "93 (51.1%)" "42 (51.85%)" "184 (50%)"
                                                        "86 (48.86%)"
## Age
## N
            "182"
                           "81"
                                           "368"
                                                        "176"
            "52.54"
                           "53.1"
                                           "54.03"
## Mean
                                                        "54.42"
## Median
            "51.5"
                           "52"
                                           "54"
                                                        "54"
## Min-Max "27...85"
                                           "30...89"
                                                        "33...89"
                           "32...85"
                           "0"
                                           "0"
                                                        "0"
            "0"
## NA's
```

kable() function from knitr package may be used in Rmarkdown file for better table display:

```
kable(
   SummaryVars(data=input, trt='Arm', subgroup='BEP', var=c('Sex','Age'),
      var.class=c('categorical','numeric'))
)
```

	All(CTRL)	BEP(CTRL)	All(TRT)	BEP(TRT)
Sex				
Total	182	81	368	176
NA's	0	0	0	0
F	89 (48.9%)	39 (48.15%)	184~(50%)	90 (51.14%)
M	93 (51.1%)	42 (51.85%)	184 (50%)	86 (48.86%)
Age				
N	182	81	368	176

	All(CTRL)	BEP(CTRL)	All(TRT)	BEP(TRT)
Mean	52.54	53.1	54.03	54.42
Median	51.5	52	54	54
Min-Max	2785	3285	3089	3389
NA's	0	0	0	0

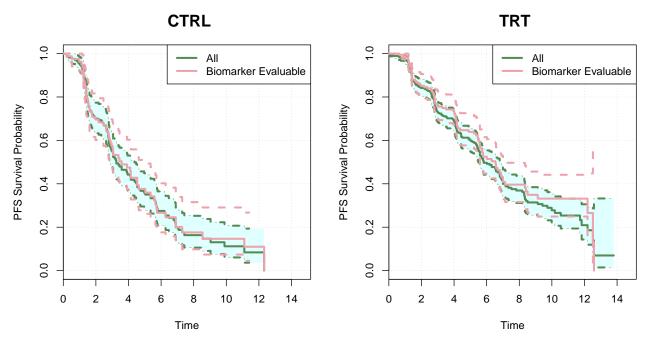
Here we specify the treatment column via parameter trt, and specify the BEP column via parameter subgroup. The comparision will be between BEP and the full population (BEP + nonBEP). If BEP vs. non BEP comparison is of interet, the user may specify parameter compare.subgroup=TRUE.

The clinical variables of interest can be specified via var. Parameter var.class can be used to specify class of the variable. Possible classes are "categorical", "numeric", "ordered factor". If var.class is not specified, the program will define the variable class based on class of the column (class()). Note that for ordinal variable whose levels are numbers, the column need to be converted to ordered factor. Otherwise it will be treated as continuous variable.

4.1.1.2 Check whether the clinical outcome in BEP is comparable to the full population

Function CompareKM() can be used to compare survival outcome in BEP vs. the full population:

CompareKM(data=input,tte='PFS', cen='PFS.event',trt='Arm', bep='BEP')

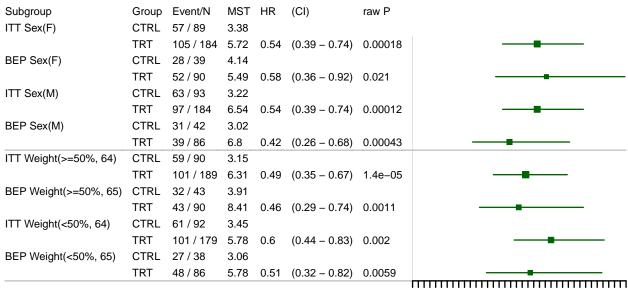


By default, the KM curve and 95% CI will be plotted for each arm. The BEP KM curve is expected to be within the full population confidence bands.

4.1.1.3 Examine whether the prognostic/predictive/null trend of key clinical variables holds in BEP

Function PlotTanForestMulti may be used to examine whether any of the key clinical variables show predictive trend in BEP:

Across arm, Compare BEP vs. All PFS Unadjusted, unstratified analysis



From the plot above, we can see that variable Sex doesn't show a predictive trend in full population (similar trt/ctrl HR in male and female group). However, in BEP the variable Sex has numerically smaller trt/ctrl HR in Male group. Although from the SummaryVars() result, the percentages of Male are comparable between full population and BEP, it is still possible that the male patients have better clinical outcome than the female group. This is likely due to some selection bias that we weren't be able to capture in previous steps (e.g. unmeasured variable). In downstream analyses, if the biomarker subgroup also show a predictive effect, we will need to check that whether it is confounded by Sex.

4.1.1.4 Compare treatment effect estimation in full population and in BEP, adjusted for key clinical variables

Another important summary statistic to look at is the trt/ctrl (target/reference) HR in full population and trt/ctrl HR in BEP. Although highly overlapped curves in figures generated by CompareKM() function suggests that the unadjusted HR in full population and BEP will be comparable, it will be necessary to check adjusted HR as well. The following code chunk shows how to use the function CoxTab() to fit a multivariate Cox model by including treatment variable, Sex and Age.

```
input.bep <- subset(input, BEP==1) # dataset with only BEP samples
kable(
   CoxTab(data=input,tte="PFS", cens="PFS.event", var='Arm',</pre>
```

```
var.class="categorical"),
caption="full population, unadjusted"
)
```

Table 2: full population, unadjusted

	HR	CI.low	CI.high	p-value	n.trt	n.ref
Arm (TRT/CTRL)	0.54	0.43	0.67	7.8e-08	368	182

```
kable(
   CoxTab(data=input,tte="PFS", cens="PFS.event", var=c('Arm','Sex',"Weight"),
      var.class=c("categorical","categorical","numeric")),
caption="full population, adjusted for Sex, Weight"
)
```

Table 3: full population, adjusted for Sex, Weight

	HR	CI.low	CI.high	p-value	n.trt	n.ref
Arm (TRT/CTRL)	0.53	0.42	0.67	5.6e-08	368	182
Sex (M/F)	0.9	0.72	1.12	0.35	277	273
Weight	1	0.99	1	0.39		

```
kable(
   CoxTab(data=input.bep,tte="PFS", cens="PFS.event", var='Arm',
        var.class="categorical"),
caption="BEP, unadjusted"
)
```

Table 4: BEP, unadjusted

	HR	CI.low	CI.high	p-value	n.trt	n.ref
Arm (TRT/CTRL)	0.49	0.36	0.69	3.1e-05	176	81

```
kable(
   CoxTab(data=input.bep,tte="PFS", cens="PFS.event", var=c('Arm','Sex',"Weight"),
        var.class=c("categorical","categorical","numeric")),
caption="BEP, adjusted for Sex, Weight"
)
```

Table 5: BEP, adjusted for Sex, Weight

	HR	CI.low	CI.high	p-value	n.trt	n.ref
Arm (TRT/CTRL)			0.67	1.4 e-05		81
Sex (M/F)	0.78	0.56	1.08	0.13	128	129
Weight	0.99	0.98	1	0.12		

If any selection bias is suspected, the user may consider to stratify for the imbalanced factor in downstream analysis (e.g. unstratified analysis as primary analysis and stratified analysis as sensitivity analysis).

4.1.2 Biomarker property and its association to clinical variables

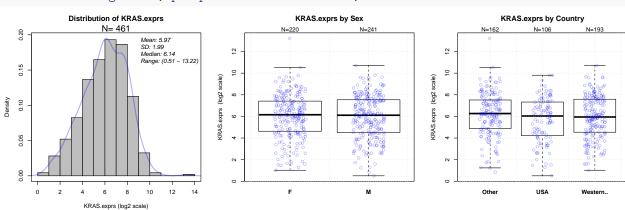
Before performing cutoff exploraotry analysis, it is important to check a biomarker's property. For example, whether this biomarker has a bi-modal or multi modal distribution - if so, this biomarker may has natural cutoff.

Relationship between the biomarker and key demographic and prognostic variables should also be investigated using bivariate plots. Prognostic property of the biomarker should also be assessed, by estimates of the clinical efficacy in the control arm.

The PlotProperty() function could be used to generate single variate plot for biomaker and clinical covariates. It can also be used to generate bi-variate plots to investigate biomarker-clinical variable relationship.

4.1.2.1 Biomarker property and relationship to clinical variable

```
PlotProperty(data=input, biomarker.var="KRAS.exprs", biomarker.class="numeric", var=c("Sex", "Country"), var.class=c("categorical", "categorical"), log2=TRUE, par.param = list(mfrow=c(2,3)))
```



4.1.2.2 Whether the biomarker show within-arm trend

Function PlotTabForestBiomarker() can be used to investigate whether the biomarker shows within-arm trend:

```
## Some NAs in var column, will define the non NA entries as BEP
## only 1 arm; show.itt is set to FALSE
## only 1 arm; show.bep is set to FALSE
```

CTRL Within-arm Effect of Biomarker PFS, KRAS.exprs Unadjusted, unstratified analysis

Subgroup	Group	Event/N	MST	HR	(CI)	raw P				
KRAS.exprs(>=25%, 22.63)	Less	26 / 37	4.14							
	Greater	76 / 115	3.38	1.06	(0.68 – 1.67)	0.79	_			
KRAS.exprs(>=50%, 64)	Less	55 / 76	3.91							
	Greater	47 / 76	3.45	1.08	(0.73 – 1.59)	0.72	-			
KRAS.exprs(>=75%, 171.86)	Less	80 / 114	3.45							
	Greater	22 / 38	4.73	0.83	(0.52 – 1.33)	0.44		•	_	
							ı			
						0.37	7 0.61	1.0 HR	1.65	2.72

```
## Some NAs in var column, will define the non NA entries as BEP
## only 1 arm; show.itt is set to FALSE
## only 1 arm; show.bep is set to FALSE
## Warning in par(old.par): calling par(new=TRUE) with no plot
```

TRT Within-arm Effect of Biomarker PFS, KRAS.exprs Unadjusted, unstratified analysis

Subgroup	Group	Event/N	MST	HR	(CI)	raw P
KRAS.exprs(>=25%, 25.28)	Less	56 / 77	4.11			_
	Greater	111 / 232	6.93	0.47	(0.34 – 0.65)	6.1e-06 ——
KRAS.exprs(>=50%, 72)	Less	97 / 153	5.42			
	Greater	70 / 156	7.16	0.55	(0.41 – 0.76)	2e-04 ——
KRAS.exprs(>=75%, 182.28)	Less	135 / 231	5.59			
	Greater	32 / 78	8.41	0.5	(0.34 - 0.73)	0.00043
						0.34 0.58 1.0 1.71 2.94 HR

We first get dataset input.ctrl and input.trt, which only contains patients in ctrl arm and trt arm, respectively. In function PlotTabForestBiomarker(), time to event variable and censoring variable need to be specified via outcome.var. The biomarker variable can be specified via var. By specifying percentile.cutoff as c(.25,.5,.75) and specifying greater TRUE+, the program calculates within-arm HR of biomarker >=

25% vs <25% group, biomarker >=50% vs <50% group, and biomarker >=75% vs <75% group.

In ctrl arm, patients who have expression >= 75% have slightly better PFS - >= 75% vs <75% HR is 0.83, and the median PFS is slightly higher in >= 75% group (4.73) vs <75% (3.45).

In trt arm, within-arm trend is seen in all three comparisons - for all 3 cutoffs, patients with higher expression have longer PFS than patients with low expression. The biomarker high vs. low HR are all around 0.5.

If strong within-arm effect is seen in both arms, the biomarker may be prognostic but not predictive.

If stratified or adjusted analyses are of interest, they can be specified via parameters covariate and strata.

4.1.3 Seeking for predictive trend: Biomarker cutoff exploration/selection

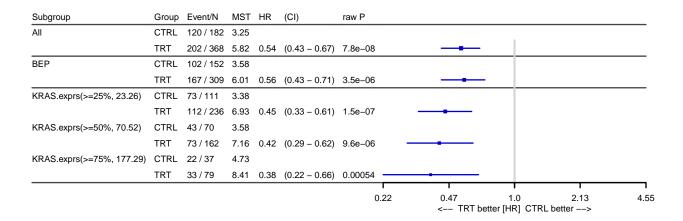
Example codes in this section can be used to examine multiple candidate cutoffs for a continuous biomarker. The need for cut-off determination should be rooted in the development strategy. In general, an exhaustive search looking at all possible cut-off values is not recommended for decision making. Over-optimized cutoff using one set of clinical data may lead to hard-to-reproduce results. When determining a cutoff, biomarker property should be considered - e.g. cut at a low-dense point may be more robust to population shift. The cutoff selection should also fit the program's stratigitic considerations. There is always a prevalence-effect size trade-off, inputs from multiple functions are needed - for example whether the team is willing to take more risk in PTS (high prevalence, weaker signal) or the team is willing to target at smaller population (lower prevalence, stronger signal)

4.1.3.1 Try different cutoffs - look for consistent trend

Function PlotTabForestBiomarker() can also be used to investigate whether the biomarker is predictive across arm. To perform cross-arm analysis, parameter trt needs to be specified. By specifying trt ane greater=TRUE, trt/ctrl HR of biomarker >= 25% group, biomarker >= 50% group, and biomarker >= 75% group are calculated:

Some NAs in var column, will define the non NA entries as BEP

Across-arm Effect of Biomarker PFS, KRAS.exprs Unadjusted, unstratified analysis



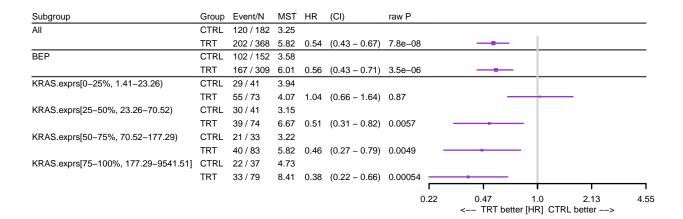
4.1.3.2 Estimations within non-overlapping bins

Function PlotTabForestBiomarker() can also be used to calculate trt/ctrl HR within percentile bins. For example, by specifying within.bin=TRUE, trt/ctrl HR of biomarker 0-25% group, 25-50% group, 50-75% group,and 75-100% group are calculated:

Some NAs in var column, will define the non NA entries as BEP

within.bin is TRUE, greater and less will be ignored

Across-arm Effect of Biomarker PFS, KRAS.exprs Unadjusted, unstratified analysis



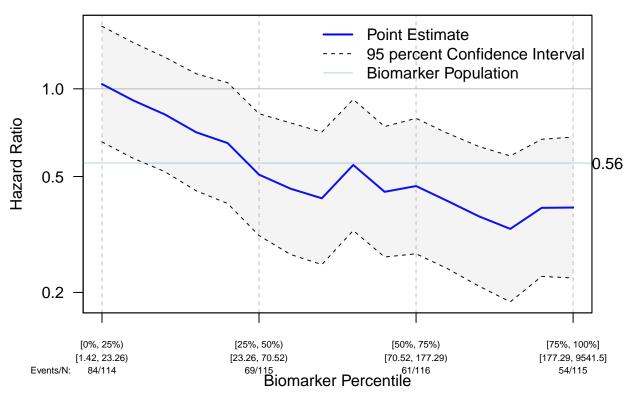
4.1.3.3 Estimations within overlapped sliding windows - STEPP plot

STEPP refers to Subgroup Treatment Effect Pattern Plot and it investigates relationship between biomarker and treatment effect. Only continuous biomarkers are suitable for STEPP analysis. STEPP performs treatment effect estimation on overlapping subsets of patients defined according to the biomarker level. The default setting of run.STEPP slides subgroup windows by 5% for each step and the subgroup size is 25% of the whole population.

A monotone trend is expected to be seen for an ideal biomarker.

some NA in var column, will ignore NA entries

STEPP: Subgroup Treatment Effect Pattern Plot



Here we can see the trt/ctrl HR decreases as the biomarker expression increases.

4.1.4 Biomarker subgroup analysis (using selected cutoff)

When a cutoff is selected, the following functions can be used to perfrom subgroups analysis. Suppose that we decided to use 100 as cutoff.

4.1.4.1 Estimations within each subgroup

PlotTabForestBiomarker() function can again be used to estimate the treatment effect in biomarker subgroups. Here given that we have a numerical cutoff, it can be specified using parameter numerical.cutoff. And percentile.cutoff can be left as NULL.

Some NAs in var column, will define the non NA entries as BEP

within.bin is TRUE, greater and less will be ignored

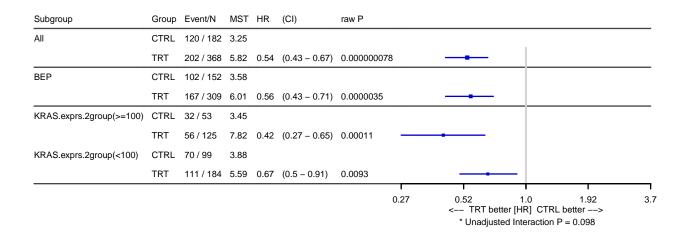
Across-arm Effect of Biomarker PFS, KRAS.exprs Unadjusted, unstratified analysis

Subgroup	Group	Event/N	MST	HR	(CI)	raw P	
All	CTRL	120 / 182	3.25				
	TRT	202 / 368	5.82	0.54	(0.43 – 0.67)	0.00000007	78 —
BEP	CTRL	102 / 152	3.58				
	TRT	167 / 309	6.01	0.56	(0.43 – 0.71)	0.0000035	
KRAS.exprs[1.42-100)	CTRL	70 / 99	3.88				
	TRT	111 / 184	5.59	0.67	(0.5 – 0.91)	0.0093	
KRAS.exprs[100-9541.5]	CTRL	32 / 53	3.45				
	TRT	56 / 125	7.82	0.42	(0.27 – 0.65)	0.00011	
							0.27 0.52 1.0 1.92 3.7
							< TRT better [HR] CTRL better>

This can also be done by converting the continuous marker to a binary variable:

Some NAs in var column, will define the non NA entries as BEP

Across-arm Effect of Biomarker PFS, KRAS.exprs.2group Unadjusted, unstratified analysis

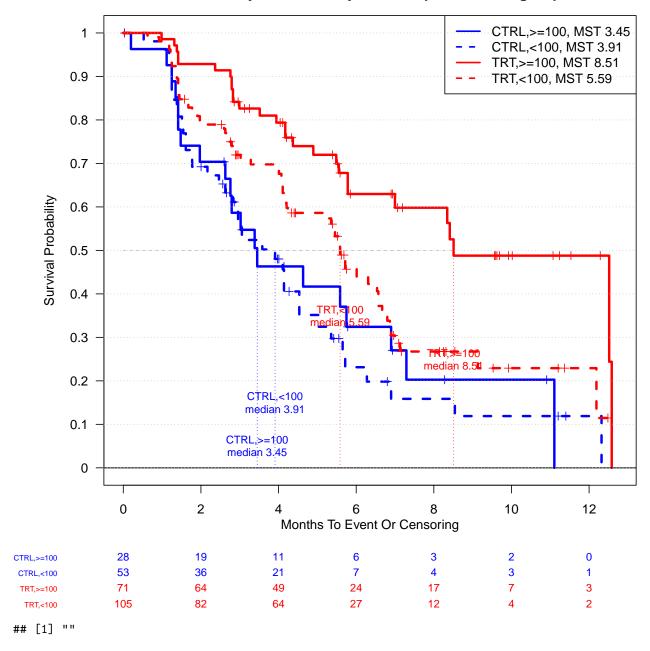


4.1.4.2 KM curves

Function PlotKM() can be used to generate the KM curves of biomarker subgroups:

more than one elements in 'var', trt parameter will be ignored
'varlist' is specified, trt and var parameters will be ignored

PFS BEP by treatment, by KRAS expression subgroups



4.1.4.3 Check whether biomarker subgroup is confounded with key clinical variables

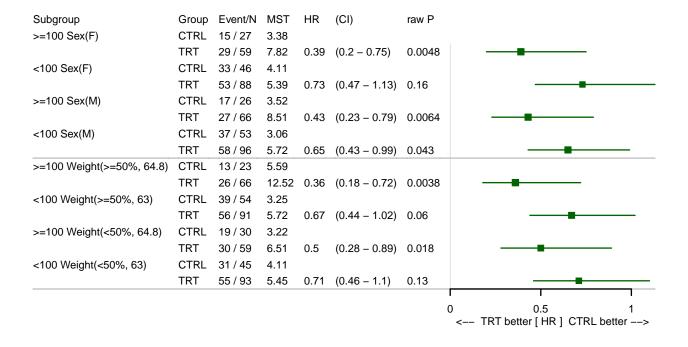
Functions SummaryVars() and PlotTabForestMulti() can again be used to check whether the biomarker subgroup is confounded with clinical variable. Using sex variable as an exmaple, instead of checking whether the F/M percentage is comparable in the full population vs. BEP, here we check the F/M percentage is comparable in biomarker high and low group. To perform such analysis we can specify subgroup as "KRAS.exprs.2group", and specify compare.subgroup=TRUE. By doing so the program will compare the ">=100" group vs. others.

```
| input.bep <- subset(input, BEP==1) | kable(
| SummaryVars(data=input.bep,trt='Arm', subgroup='KRAS.exprs.2group', var=c('Sex'), | var.class="categorical", subgroup.indicator=">=100",compare.subgroup=TRUE, subgroup.name="exprs") | )
```

	exprs_>=100(CTRL)	${\rm exprs}_{<}100({\rm CTRL})$	exprs_>=100(TRT)	exprs_<100(TRT)
Sex				
Total	28	53	71	105
NA's	0	0	0	0
F	15 (53.57%)	24 (45.28%)	36 (50.7%)	54 (51.43%)
M	13 (46.43%)	29 (54.72%)	35 (49.3%)	51 (48.57%)

PlotTabForestMulti() function could be used to look at treatment effect in smaller subgroups defined by both biomarker and clinical variables. For example, if we are interested in the trt/ctrl HR in following groups: biomarker high Male; biomarker high female; biomarker low male; biomarker low female, etc. We may specify subgroup as the biomarker variable, and var as the clinical variables of interest. By setting compare.bep.itt=FALSE and compare.subgroup=TRUE, the program will calculate the summary statistics within each subgroup. For numerical clinical variable, it will be dichotomized by its median.

Across arm, Compare KRAS.exprs.2group subgroup
PFS
Unadjusted, unstratified analysis



In this case, no treatment effect difference is observed between the Female vs. Male subgroups (as well as weight high & low).

4.2 Continuous biomarker in a single-arm study with survival outcome

We generate a data set with only patients from the treatment arm to mimic the case of single arm study: input.trt <- subset(input, Arm=="TRT")

We use PFS as primary endpoint, KRAS.exprs as biomarker of interest. We only take the treat

4.2.1 Representativeness: Selection Bias of Biomarker Population

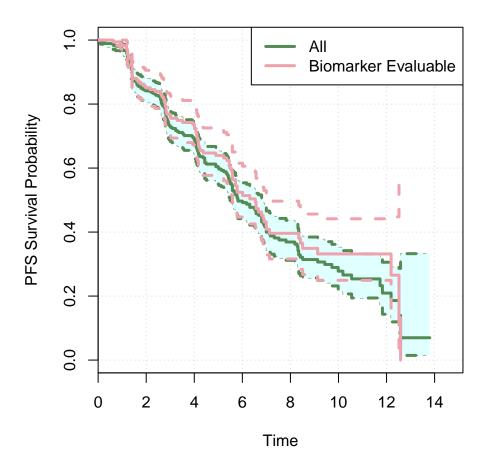
4.2.1.1 Check selection bias in terms of key clinical variables, between the full population and BEP

```
kable(
   SummaryVars(data=input.trt, trt=NULL, subgroup='BEP', var=c('Age','Sex'),
        var.class=c('numeric','categorical'))
)
```

	All	BEP
Age		
N	368	176
Mean	54.03	54.42
Median	54	54
Min-Max	3089	3389
NA's	0	0
Sex		
Total	368	176
NA's	0	0
F	184 (50%)	90 (51.14%)
M	184 (50%)	86 (48.86%)

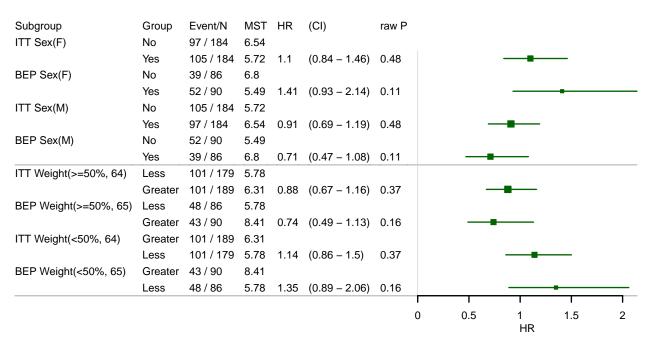
4.2.1.2 Check whether the clinical outcome in BEP is comparable to the full population

```
CompareKM(data=input.trt,tte='PFS', cen='PFS.event',trt=NULL, bep='BEP')
```



4.2.1.3 Examine whether the prognostic/null trend of key clinical variables holds in BEP

Within arm, Compare BEP vs. All PFS Unadjusted, unstratified analysis



Age is a prognostic factor in both the full population and BEP. Sex show stronger prognostic trend in BEP, but not in the full population.

```
input.trt.bep <- subset(input.trt, BEP==1) # dataset with only BEP samples
kable(
   CoxTab(data=input.trt,tte="PFS", cens="PFS.event", var=c('Sex',"Weight"),
        var.class=c("categorical","numeric")),
caption="the full population, model of Sex, Weight"
)</pre>
```

Table 8: the full population, model of Sex, Weight

	HR	CI.low	CI.high	p-value	n.trt	n.ref
Sex (M/F)			1.2	0.49	184	184
Weight	1	0.99	1	0.33		

```
kable(
   CoxTab(data=input.trt.bep,tte="PFS", cens="PFS.event", var=c('Sex',"Weight"),
        var.class=c("categorical","numeric")),
caption="BEP, model of Sex, Weight"
)
```

Table 9: BEP, model of Sex, Weight

	HR	CI.low	CI.high	p-value	n.trt	n.ref
Sex (M/F)	0.7	0.46	1.06	0.09	86	90

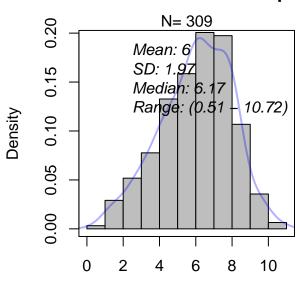
	HR	CI.low	CI.high	p-value	n.trt	n.ref
Weight	0.99	0.97	1	0.094		

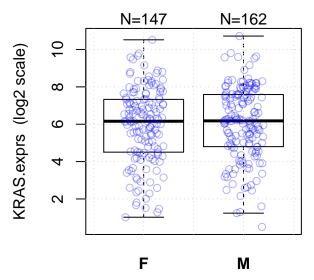
4.2.2 Biomarker property and association with clinical variables

```
PlotProperty(data=input.trt, biomarker.var="KRAS.exprs", biomarker.class="numeric", var=c("Sex", "Country"), var.class=c("categorical", "categorical"), log2=TRUE, par.param = list(mfrow=c(1,2)))
```

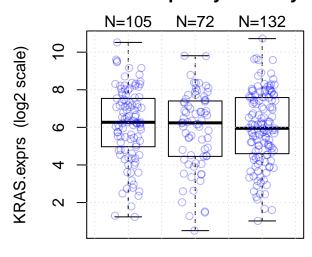
Distribution of KRAS.exprs

KRAS.exprs by **Sex**





KRAS.exprs (log2 scale)
KRAS.exprs by Country



Other USA Western..

4.2.3 Biomarker cutoff exploration/selection

4.2.3.1 Try different cutoffs - look for consistent trend

Some NAs in var column, will define the non NA entries as BEP

Within-arm Effect of Biomarker PFS, KRAS.exprs Unadjusted, unstratified analysis

Subgroup	Group	Event/N	MST	HR	(CI)	raw P					
KRAS.exprs(>=25%, 25.28)	Less	56 / 77	4.11								
	Greater	111 / 232	6.93	0.47	(0.34 – 0.65)	0.000006	1 —	-			
KRAS.exprs(>=50%, 72)	Less	97 / 153	5.42								
	Greater	70 / 156	7.16	0.55	(0.41 – 0.76)	0.0002	-	-			
KRAS.exprs(>=75%, 182.28)	Less	135 / 231	5.59								
	Greater	32 / 78	8.41	0.5	(0.34 – 0.73)	0.00043	_	-			
							0.34	0.58	1.0 HR	1.71	2.94

Within-bin analysis and STEPP are not available in single-arm analysis.

4.2.4 Biomarker subgroup analysis (using selected cutoff)

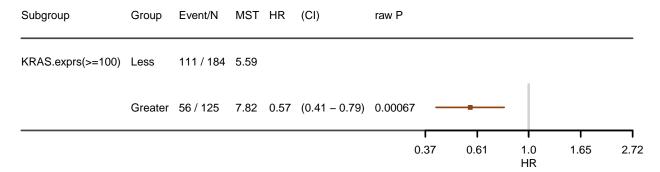
4.2.4.1 Subgroup analysis

Suppose the proposed cutoff is 100

```
greater=TRUE,less=FALSE,
show.itt=FALSE, show.bep=FALSE)
```

Some NAs in var column, will define the non NA entries as BEP

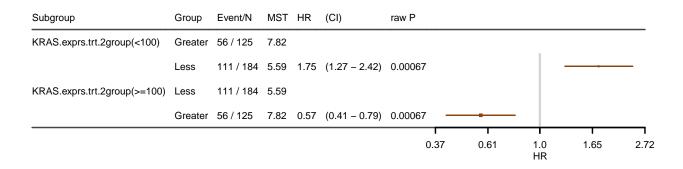
Within-arm Effect of Biomarker PFS, KRAS.exprs Unadjusted, unstratified analysis



This can also be done by converting the continuous marker to a binary variable

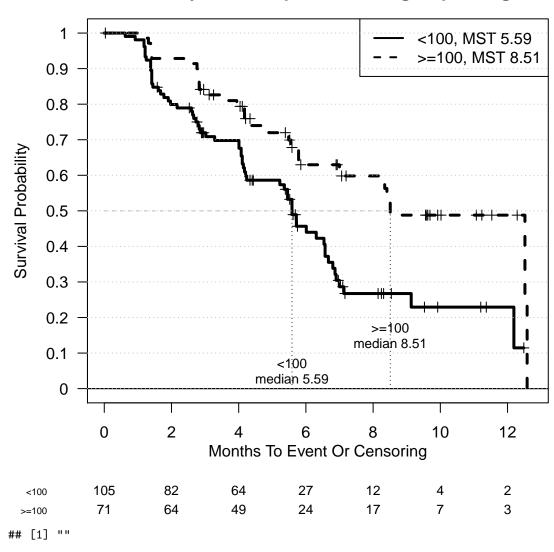
Some NAs in var column, will define the non NA entries as BEP

Within-arm Effect of Biomarker PFS, KRAS.exprs.trt.2group Unadjusted, unstratified analysis



4.2.4.2 KM curves

PFS in BEP, by KRAS expression subgroups, single arm



4.2.4.3 Check whether biomarker subgroup is confounded with clinical variables

	exprs_>=100	exprs_<100
Sex		
Total	71	105
NA's	0	0

	exprs_>=100	exprs_<100
F	36 (50.7%)	54 (51.43%)
M	35 (49.3%)	51 (48.57%)

4.3 Categorical biomarker with survival outcome

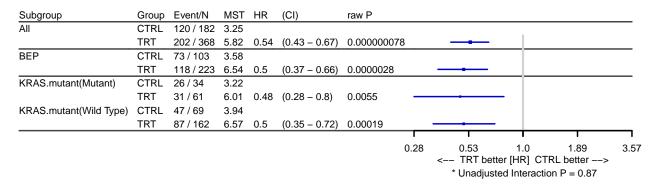
4.3.1 Two-arm study

Analyses in section 4.1 can also be applied to categorial biomarker, except the cutoff exploration part (section 4.1.3).

For example, for categorical biomarker KRAS.mutant, the subgroup analysis can be performed using the PlotTabForestBiomarker() function:

Some NAs in var column, will define the non NA entries as BEP

Across-arm Effect of Biomarker PFS, KRAS.mutant Unadjusted, unstratified analysis



The forest plot above provides HR estimates across two arms

4.3.2 Single-arm study

Similarly, most functions in section 4.2 can be applied to categorical biomarker analysis (except the cutoff exploration part in section 4.2.3). For example, taking the input.trt data set which only contains patients from treatment arm:

```
## Some NAs in var column, will define the non NA entries as BEP
## only 1 arm; show.itt is set to FALSE
## only 1 arm; show.bep is set to FALSE
```

Within-arm Effect of Biomarker PFS, KRAS.mutant Unadjusted, unstratified analysis

Subgroup	Group	Event/N	MST	HR	(CI)	raw P				
KRAS.mutant(Mutant)	No	87 / 162	6.57							
	Yes	31 / 61	6.01	0.98	(0.65 – 1.48)	0.93		_		
KRAS.mutant(Wild Type)	No	31 / 61	6.01							
	Yes	87 / 162	6.57	1.02	(0.68 – 1.54)	0.93	_	-		
						0.07	1	1.0	1.05	
						0.37	0.61	1.0 HR	1.65	2.72

4.4 Categorical outcome (e.g. response)

4.4.1 Check selection bias in terms of key clinical variables, between full population and BEP

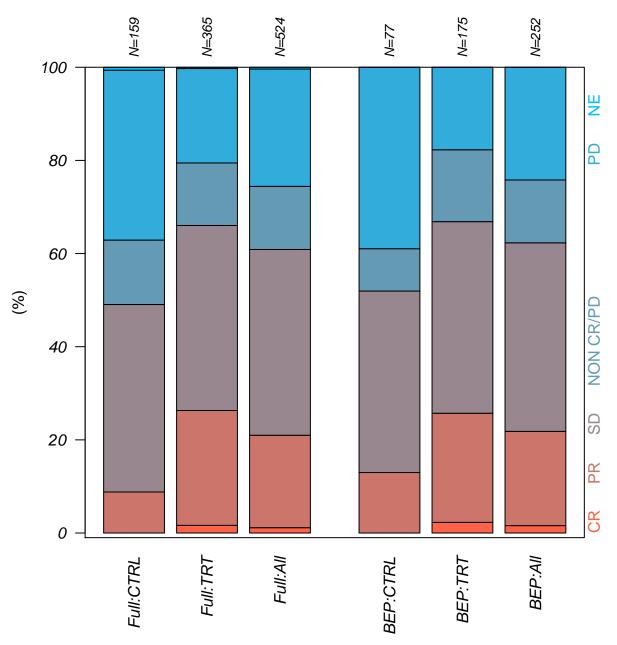
Majority of functions from section 4.1.1 can be directly applied on analyses of categorical endpoints.

Function SumarryVars() in section 4.1.1 can still be used to perform selection bias checking of clinical variables. To check whether clinical outcome in BEP is comparable to the full population, user can use function PlotRspBar() when the clinical outcome is response assessment. The parameter rep.levels may be used to define order of the levels.

```
Rsp.out <- PlotRspBar(data=input, outcome.var="Response", binary=FALSE,
rsp.levels=c("CR", "PR","SD","NON CR/PD", "PD","NE"),trt="Arm",
compare.bep.itt=TRUE, bep = "BEP")</pre>
```

entries with missing outcome.var are removed! 524 entries left

Association of response rate



The summary statistics can be obtained by:

kable(Rsp.out\$count,caption="count")

Table 11: count

	CR	PR	SD	NON CR/PD	PD	NE
Full:CTRL	0	14	64	22	58	1
Full:TRT	6	90	145	49	74	1
Full:All	6	104	209	71	132	2
BEP:CTRL	0	10	30	7	30	0
BEP:TRT	4	41	72	27	31	0

	CR	PR	SD	NON CR/PD	PD	NE
BEP:All	4	51	102	34	61	0

kable(round(Rsp.out\$perc,2), caption="percentage")

Table 12: percentage

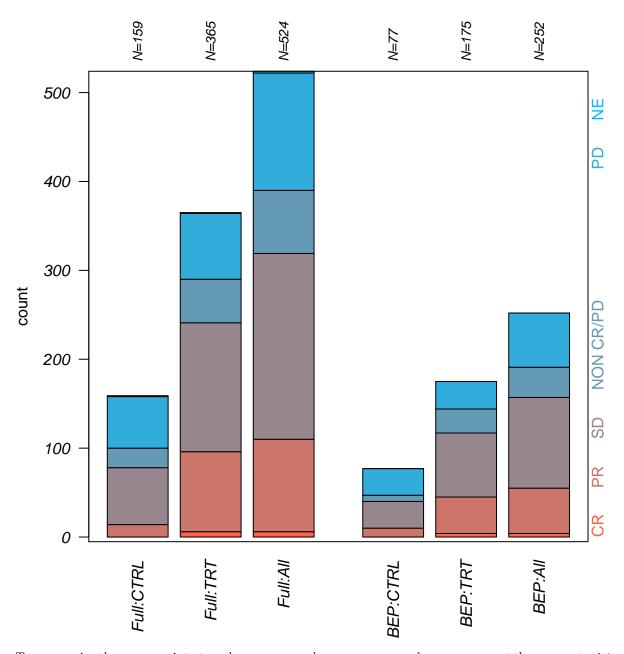
	CR	PR	SD	NON CR/PD	PD	NE
Full:CTRL	0.00	0.09	0.40	0.14	0.36	0.01
Full:TRT	0.02	0.25	0.40	0.13	0.20	0.00
Full:All	0.01	0.20	0.40	0.14	0.25	0.00
BEP:CTRL	0.00	0.13	0.39	0.09	0.39	0.00
BEP:TRT	0.02	0.23	0.41	0.15	0.18	0.00
BEP:All	0.02	0.20	0.40	0.13	0.24	0.00

To plot counts instead of percentage, one can specify the plot.count parameter to TRUE:

```
PlotRspBar(data=input, outcome.var="Response", binary=FALSE,
rsp.levels=c("CR", "PR","SD","NON CR/PD", "PD","NE"),trt="Arm",
compare.bep.itt=TRUE, bep = "BEP", plot.count=TRUE)
```

entries with missing outcome.var are removed! 524 entries left

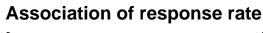
Association of response rate

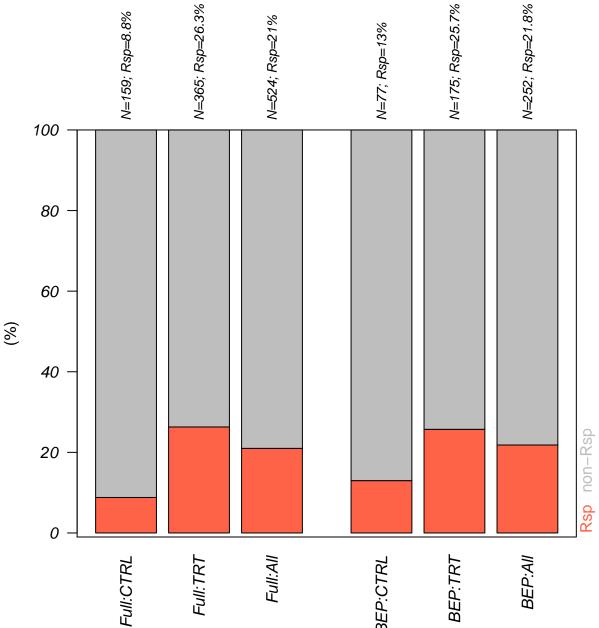


To summarize the response into two classes - responder vs. non-responder, user may set the parameter binary to TRUE. Parameter rsp.response (rsp.nonresponse) can be used to define levels to be considered as responder (non-responder). Patients whose response outcome don't fall into these defined levels will be elminated from the analysis.

```
Rsp.out.2 <- PlotRspBar(data=input, outcome.var="Response", binary=TRUE,
rsp.response = c("CR","PR"),
rsp.nonresponse = c("SD", "PD","NON CR/PD","NE"),trt="Arm",
compare.bep.itt=TRUE, bep = "BEP")</pre>
```

entries with missing outcome.var are removed! 524 entries left





The summary statistics can be obtained by:

kable(Rsp.out.2\$count,caption="count")

Table 13: count

	Rsp	non-Rsp
Full:CTRL	14	145
Full:TRT	96	269
Full:All	110	414
BEP:CTRL	10	67
BEP:TRT	45	130

	Rsp	non-Rsp
BEP:All	55	197

kable(round(Rsp.out.2\$perc,2), caption="percentage")

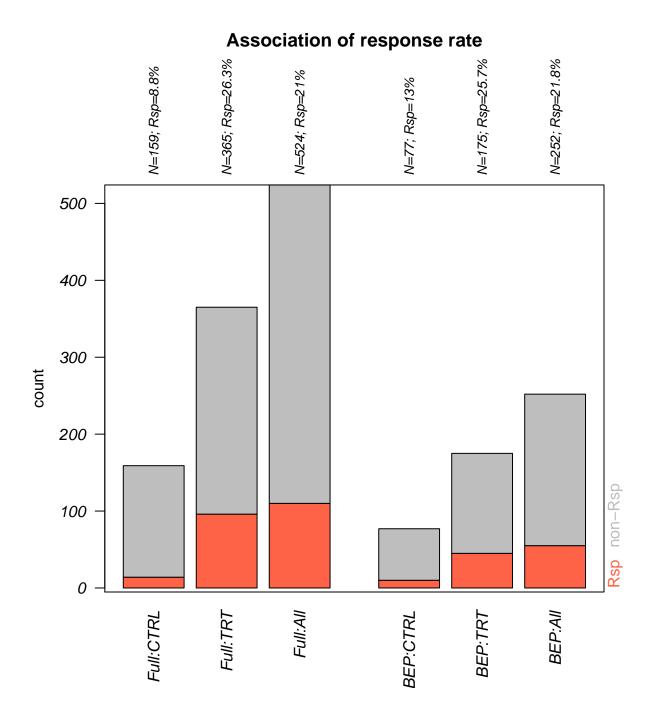
Table 14: percentage

	Rsp	non-Rsp
Full:CTRL	0.09	0.91
Full:TRT	0.26	0.74
Full:All	0.21	0.79
BEP:CTRL	0.13	0.87
BEP:TRT	0.26	0.74
BEP:All	0.22	0.78

To plot counts instead of percentage, one can specify the plot.count parameter to TRUE:

```
PlotRspBar(data=input, outcome.var="Response", binary=TRUE,
rsp.response = c("CR","PR"),
rsp.nonresponse = c("SD", "PD","NON CR/PD","NE"),trt="Arm",
compare.bep.itt=TRUE, bep = "BEP", plot.count = TRUE)
```

entries with missing outcome.var are removed! 524 entries left



4.4.2 Continuous biomarker in a two-arm study

Most of functions in workflow in section 4.1 may be used in analyses of continuous biomarker in a 2-arm study with categorical response. The following sections show examples of categorical-response specific use cases

4.4.2.1 Check within-arm trend

To check the within-arm trend associated with categorical outcome, user may use the same function PlotTabForestBiomarker() as in section 4.1.2.2. Instead of specifying outcome.class as "survival", user can specify outcome.class as "binary".

```
## Covariate adjustment and stratification are not supported for binary outcome
## Some NAs in var column, will define the non NA entries as BEP

## only 1 arm; show.itt is set to FALSE

## only 1 arm; show.bep is set to FALSE

## Warning in prop.test(c(r1, r2), c(n1, n2), conf.level = 1 - alpha,
## correct = fit.para[["prop.test.use.continuity.correction"]]): Chi-squared
## approximation may be incorrect

## Warning in prop.test(c(r1, r2), c(n1, n2), conf.level = 1 - alpha,
## correct = fit.para[["prop.test.use.continuity.correction"]]): Chi-squared
## approximation may be incorrect
```

CTRL Within-arm Effect of Biomarker Response, KRAS.exprs Unadjusted, unstratified analysis

Subgroup	Group	nRsp/N	Rsp Rate	deltaRR	(CI)	raw P
KRAS.exprs(>=25%, 22.63)	Less	1 / 37	0.03			
	Greater	12 / 115	0.1	0.08	(-0.02 - 0.17)	0.26
KRAS.exprs(>=50%, 64)	Less	3 / 76	0.04			
	Greater	10 / 76	0.13	0.09	(-0.01 - 0.19)	0.082
KRAS.exprs(>=75%, 171.86)	Less	9 / 114	0.08			
	Greater	4 / 38	0.11	0.03	(-0.1 - 0.15)	0.87
						-0.19 -0.1 0 0.1 0.19 deltaRR

```
## Covariate adjustment and stratification are not supported for binary outcome
```

Some NAs in var column, will define the non NA entries as BEP

only 1 arm; show.itt is set to FALSE

only 1 arm; show.bep is set to FALSE

Warning in par(old.par): calling par(new=TRUE) with no plot

TRT Within-arm Effect of Biomarker Response, KRAS.exprs Unadjusted, unstratified analysis

Subgroup	Group	nRsp/N	Rsp Rate	deltaRR	(CI)	raw P
KRAS.exprs(>=25%, 25.28)	Less	21 / 77	0.27			
	Greater	64 / 232	0.28	0	(-0.11 - 0.12)	1
KRAS.exprs(>=50%, 72)	Less	35 / 153	0.23			
	Greater	50 / 156	0.32	0.09	(-0.01 - 0.2)	0.093
KRAS.exprs(>=75%, 182.28)	Less	56 / 231	0.24			
	Greater	29 / 78	0.37	0.13	(0 – 0.26)	0.039
						-0.26 -0.13 0 0.13 0.26 deltaRR

The summary statistics shown in the forest plot is

deltaRR = [Response Rate in biomarker high] - [Response Rate in biomarker low]

To calculate the response rate, user needs to binarize the response classes into two classes.

Parameter rsp.response (rsp.nonresponse) can be used to define levels to be considered as responder (non-responder). Patients whose response outcome don't fall into these defined levels will be eliminated from

the analysis.

In both arms, we observe that patients with higher expression tend to have higher response rate. This indicates that in terms of response, KRAS.exprs shows prognostic trend.

4.4.2.2 Seeking for predictive trend: cutoff exploration/selection

Similar to the example in section 4.1.3,

function PlotTabForestBiomarker() also can be used for cutoff exploration.

Covariate adjustment and stratification are not supported for binary outcome

Some NAs in var column, will define the non NA entries as BEP

Across-arm Effect of Biomarker Response, KRAS.exprs Unadjusted, unstratified analysis

Subgroup	Group	nRsp/N	Rsp Rate	deltaRR	(CI)	raw P					
All	CTRL	14 / 182	0.08								
	TRT	96 / 368	0.26	0.18	(0.12 – 0.25)	0.0000007			-	-	
BEP	CTRL	13 / 152	0.09								
	TRT	85 / 309	0.28	0.19	(0.12 – 0.26)	0.0000052			-	-	
KRAS.exprs(>=25%, 23.26)	CTRL	12 / 111	0.11								
	TRT	65 / 236	0.28	0.17	(0.08 – 0.26)	0.00078				-	
KRAS.exprs(>=50%, 70.52)	CTRL	10 / 70	0.14								
	TRT	51 / 162	0.31	0.17	(0.05 – 0.29)	0.01					
KRAS.exprs(>=75%, 177.29)	CTRL	4 / 37	0.11								
	TRT	29 / 79	0.37	0.26	(0.09 – 0.42)	0.0078			_	•	
						_	0.42	-0.2° < CTR		0.21 RT better>	0.42

In across-arm analysis, for a given subgroup, the delta RR is calculated as [Response Rate in treatment] - [Response Rate in control]

From the figure above, we can observe that no matter where we set the biomarker cutoff, the biomarker high group always shows similar delta RR as the BEP delta RR (as well as full population delta RR)

We can further examine the predictive trend by looking at the within-bin analysis:

- ## Covariate adjustment and stratification are not supported for binary outcome
- ## Some NAs in var column, will define the non NA entries as BEP
- ## within.bin is TRUE, greater and less will be ignored

Across-arm Effect of Biomarker Response, KRAS.exprs Unadjusted, unstratified analysis

Subgroup	Group	nRsp/N	Rsp Rate	deltaRR	(CI)	raw P
All	CTRL	14 / 182	0.08			
	TRT	96 / 368	0.26	0.18	(0.12 – 0.25)	0.0000007 ———
BEP	CTRL	13 / 152	0.09			
	TRT	85 / 309	0.28	0.19	(0.12 – 0.26)	0.0000052
KRAS.exprs[0-25%, 1.41-23.26)	CTRL	1 / 41	0.02			
	TRT	20 / 73	0.27	0.25	(0.12 – 0.38)	0.0023
KRAS.exprs[25-50%, 23.26-70.52)	CTRL	2 / 41	0.05			
	TRT	14 / 74	0.19	0.14	(0.01 – 0.27)	0.071
KRAS.exprs[50-75%, 70.52-177.29)	CTRL	6/33	0.18			
	TRT	22 / 83	0.27	80.0	(-0.1 - 0.27)	0.48
KRAS.exprs[75-100%, 177.29-9541.51]	CTRL	4 / 37	0.11			
	TRT	29 / 79	0.37	0.26	(0.09 - 0.42)	0.0078
						-0.42 -0.21 0 0.21 0.42 < CTRL better [deltaRR] TRT better>

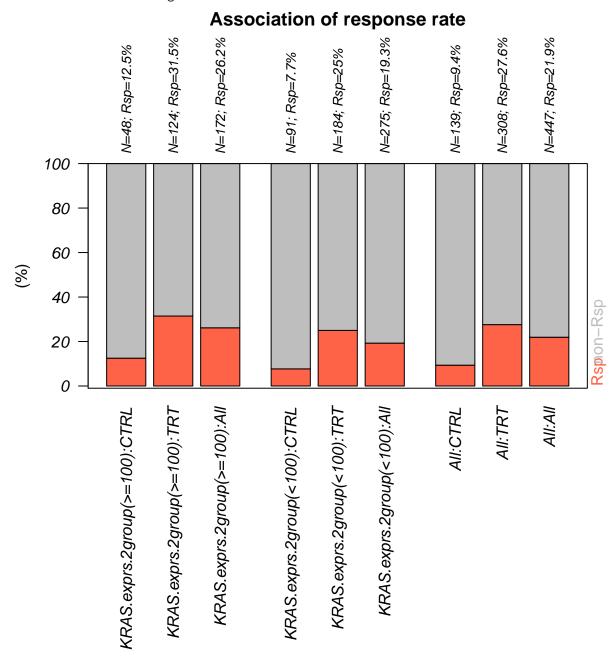
This indicates that there is no clear predictive trend in terms of the response outcome - the result doesn't show that the higher (lower) the expression, the more benefit in treatment arm compare to control arm.

4.4.2.3 Subgroup analysis

Suppose that we are interested in looking at subgroup analysis using cutoff = 100, function PlotRspBar() can again be used to perform the subgroup analysis. To do so, user can input the dichotomized biomarker variable into parameter var, and specify compare.var=TRUE

```
input$KRAS.exprs.2group <- ifelse(input$KRAS.exprs >= 100, ">=100","<100")
input$KRAS.exprs.2group <- factor(input$KRAS.exprs.2group, levels=c(">=100","<100")) # ">=100" as Dx+
Rsp.out.2 <- PlotRspBar(data=input, outcome.var="Response", binary=TRUE,
rsp.response = c("CR","PR"),
rsp.nonresponse = c("SD", "PD","NON CR/PD","NE"),trt="Arm",</pre>
```

entries with missing outcome.var are removed! 524 entries left



The subgroup analysis again confirmed the prognostic effect - when biomarker is high (>=100), patients in both TRT and CTRL arms have improved response outcome.

Compare to results shown in section 4.1, we see that the KRAS exprs show predictive trend in terms of PFS, but prognostic trend in terms of response outcome. Although those results are based on simulated data, in empirical study it is also possible that the biomarker shows different trend when associating to different endpoints (e.g. response vs. PFS, PFS vs. OS, investigator PFS vs. IRF PFS). Therefore when analyzing biomarker data, it is important to make sure the analysis is using appropriate endpoint.

4.4.3 Other scenarios

4.4.3.1 Continuous biomarker in a single-arm study

See section 4.2 and section 4.4.2

4.4.3.2 Categorical biomarker in a two-arm study

See section 4.3.1 and section 4.4.2

4.4.3.3 Categorical biomarker in a single-arm study

See section 4.3.2 and section 4.4.2

4.5 Continuous outcome

The similar workflow can be applied to cases with continuous endpoint.

For example, function PlotTabForestBiomarker() can also be used for continuous endpoint. Using Lab_ontrt as continuous surrogate endpoint:

- ## Stratification is not supported for continuous outcome
- ## Some NAs in var column, will define the non NA entries as BEP

Across-arm Effect of Biomarker Lab_ontrt, KRAS.exprs Unadjusted, unstratified analysis

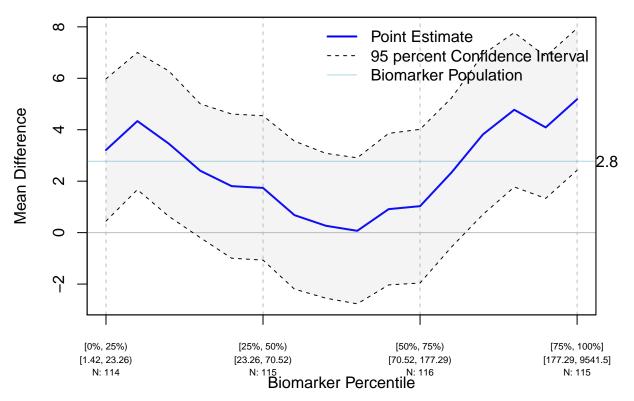
Subgroup	Group	Mean	delta	(CI)	raw P		
All	CTRL	19.22				_	
	TRT	22.17	2.95	(1.64 – 4.26)	0.000012	-	
BEP	CTRL	19.7					
	TRT	22.48	2.77	(1.37 – 4.18)	0.00012	_	
KRAS.exprs(>=25%, 23.26)	CTRL	19.99					
	TRT	22.58	2.59	(0.95 - 4.23)	0.002	-	
KRAS.exprs(>=50%, 70.52)	CTRL	19.87					
	TRT	22.88	3.01	(0.97 - 5.05)	0.004	-	
KRAS.exprs(>=75%, 177.29)	CTRL	19.61					
	TRT	24.66	5.04	(2.32 – 7.77)	0.00038		
					-7.77 -3.88 0		
< CTRL better [delta] TRT better							

The forest plot above shows the difference in mean between treatment arm and control arm.

Function PlotSTEPP() can also be used for continuous endpoint:

some NA in var column, will ignore NA entries

STEPP: Subgroup Treatment Effect Pattern Plot



Longitudinal analysis (e.g. PD biomarker) 4.6

m 33.74524

m 33.74524

m 33.74524

4.6.1 Longitudinal Sample Data (longbmkr)

2

3

4

5

6

1

1

1

1

1

1

1

1

1

1

```
head(longbmkr)
## # A tibble: 6 x 8
##
       pid
               trt
                                      edu
                                               bmkr
                      sex
                                age
                                                        vm
                                                                  ep
##
     <int> <fctr> <fctr>
                              <dbl> <dbl>
                                              <dbl> <dbl>
                                                              <dbl>
## 1
         1
                 1
                        m 33.74524
                                        17 2.039438
                                                         0 189.4929
```

m	33.74524	17 2.039438	24 319.4606
m	33.74524	17 2.039438	30 274.8363

Table 15: Longitudinal Biomarker Sample Data

17 2.039438

17 2.039438

17 2.039438

6 220.8376

12 229.2280

18 246.0740

Column Name	English Name	Description
pid	PatientID	Unique Patient Identifier
trt	Treatment	Binary Classification of Treatment (1) or Control (0)
sex	Sex	Male ("m") or Female ("f") binary classification of sex
age	Age	Age of Patient
edu	Education	Years of Education for the Patient
bmkr	Biomarker Measurement	Biomarker Measurement Mock Biomarker Measurement Reading
vm	Visitation Month	Timepoint that data was collected
en	Endpoint Measurement	Mock Endpoint Measurement Reading

Baseline Plots of Subsetted Longitudinal Data

The baseline data for longitudinal data can be accessed by subsetting the data for only the visitation that took place at month 0. This can be done in a couple ways. In base-R (without any extra packages), this can be done by slicing the data on the condition.

```
baseline_data <- longbmkr[longbmkr['vm'] == 0,]</pre>
kable(
    head(baseline_data),
    caption = 'longitudinal data subset by visit month to produce baseline data'
```

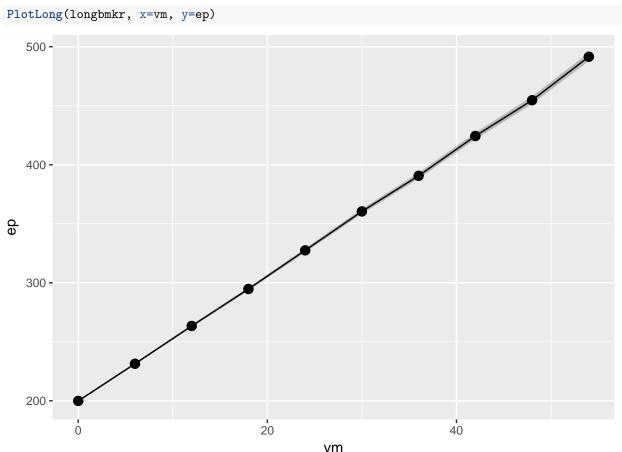
Table 16: longitudinal data subset by visit month to produce baseline data

pid	trt	sex	age	edu	bmkr	vm	ep
1	1	m	33.74524	17	2.0394381	0	189.4929
2	1	m	48.89196	15	0.9767885	0	217.5700
4	0	m	31.64887	9	1.2560775	0	230.4638
5	0	f	49.99146	10	2.2387438	0	181.7519
6	0	m	34.35769	10	3.0002676	0	199.1143
7	1	f	48.05002	9	1.1909059	0	185.8253

Baseline data from longitudinal data can be used for any of the plots described herein similar to the examples above.

4.6.3 Longitudinal Plots with PlotLong

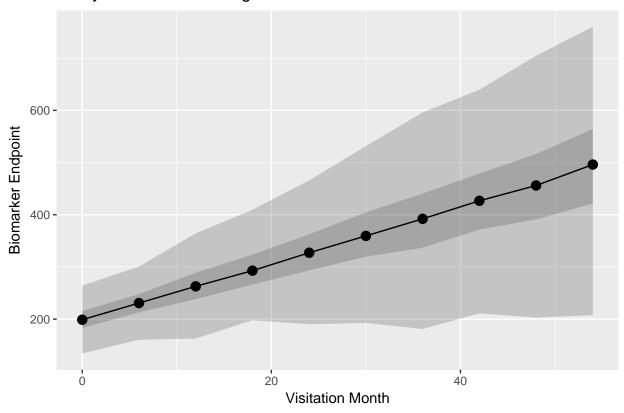
The PlotLong function is called with only a small set of arguments. The first is the data to plot and the second is an aesthetic mapping (using the function aes()) to map columns to visual outputs. In it's most simple form, you can plot the distribution of endpoint readings over the timecourse, for the entire patient population. By default, the PlotLong function plots a line with points at the timepoints for which data exists with a ribbon showing the mean \pm standard error at that timestamp.



4.6.3.1 Tukey Hinges and Whiskers

However, we may be more interested in the population distribution progression where we'd want to plot the Tukey boxplot hinges and whiskers over time. From this plot, we can see that although there is a slow rise in population mean, the patient response also becomes more distributed. You can specify, using the fun.data argument, exactly what the ribbons represent by using any keyword that can be passed to $stat_summary_funs$ including "mean_sd" (mean \pm standard deviation), "mean_sd" (mean \pm standard error of the mean), "median_iqr" (median \pm interquartile range), "tukey" (tukey boxplot hinges and whiskers), "quartiles" and "deciles".

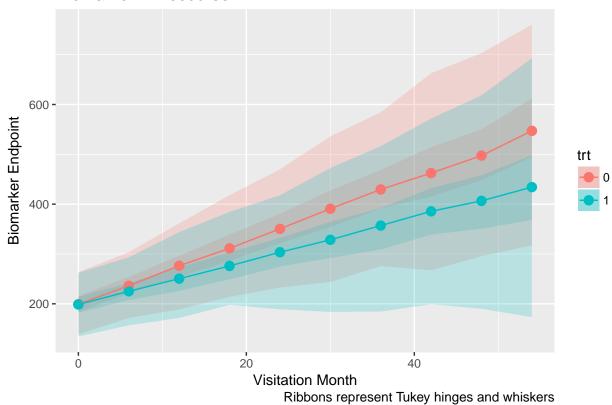
Tukey Whiskers and Hinges



4.6.3.2 Plotting Subpopulations (Two-Armed Trials)

By giving aesthetic mappings to flags for subpopulations, you can easily vary the colors by adding aesthetics. In this case, we map the line color and ribbon fill to the treatment arm data, allowing a two arm trial representation.

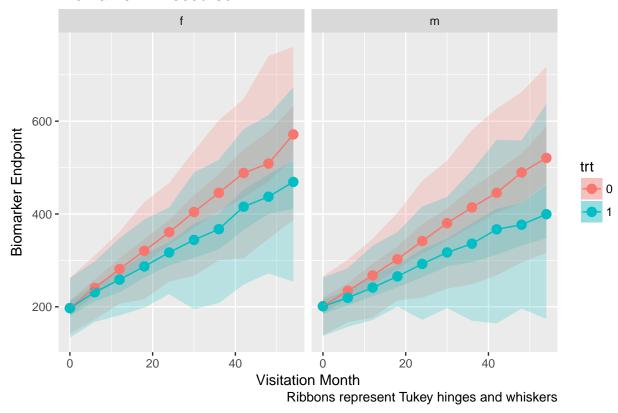
Biomarker Timecourse



Similarly, we could also choose to subset our treatment arms by sex and represent this as two separate plots, one for each sex classification. In this case, we facet the plot by a formula (which is passed to ggplot::facet_grid()).

```
PlotLong(longbmkr, x=vm, y=ep, group=trt, color=trt, fill=trt,
    fun.data = 'tukey', facet.fun = . ~ sex,
    xlab = 'Visitation Month',
    ylab = 'Biomarker Endpoint',
    labs.title = 'Biomarker Timecourse',
    labs.caption = 'Ribbons represent Tukey hinges and whiskers')
```

Biomarker Timecourse



4.6.3.3 Including sample counts

The PlotLong function also accepts inputs to the argument show.counts, accepting either "label" or "table". This is used to represent sample number in each timepoint, for each grouping. For this and the followign plots, we'll do some preprocessing for which we'll use the dplyr package.

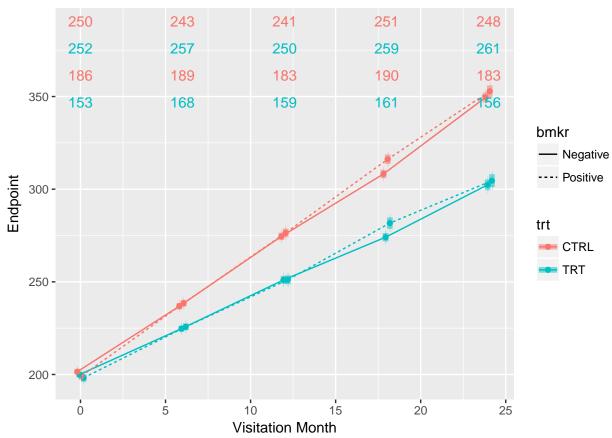
```
library(dplyr)
```

```
## Warning: package 'dplyr' was built under R version 3.3.2
##
## Attaching package: 'dplyr'
## The following objects are masked from 'package:stats':
##
##
       filter, lag
## The following objects are masked from 'package:base':
##
##
       intersect, setdiff, setequal, union
cutoff = 1.5
longbmkr %>%
   filter(vm <= 24) %>%
   mutate(bmkr = ifelse(bmkr > cutoff, 'Positive', 'Negative')) %>%
   mutate(trt = ifelse(trt == 0, 'CTRL', 'TRT')) %>%
   PlotLong(x=vm, y=ep, color=trt, fill=trt, linetype=bmkr,
```

```
fun.data = 'mean_se',
    show.counts = 'table',
    plot.style = 'errorbars',
    errorbar.linetype = 1,
    xlab = 'Visitation Month',
    ylab = 'Endpoint',
    labs.title = 'Biomarker Timecourse',
    labs.caption = '*ribbons represent mean value ± standard error of the mean.')
```

Warning: package 'bindrcpp' was built under R version 3.3.2

Biomarker Timecourse



*ribbons represent mean value ± standard error of the mean.

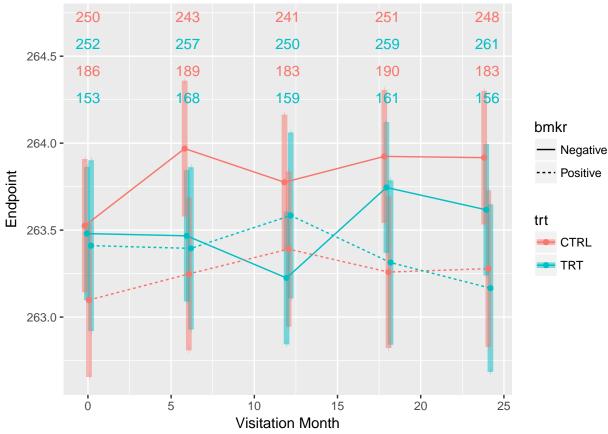
4.6.3.4 Specifying a function for adjustment

(Currently a work-in-progress!)

```
model.formula = ep ~ sex + edu + age,
fun.data = 'mean_se',
show.counts = 'table',
plot.style = 'errorbars',
errorbar.linetype = 1,
xlab = 'Visitation Month',
ylab = 'Endpoint',
labs.title = 'Biomarker Timecourse',
labs.caption = '*ribbons represent mean value ± standard error of the mean.')
```

```
## Warning: Deprecated: please use `purrr::possibly()` instead
```

Biomarker Timecourse



*ribbons represent mean value ± standard error of the mean.

5 Acknowledgement

We would like to thank Imola Fodor, Jane Zhenya Fridlyand and Zhuoye Xu for sponsoring this project. We would also like to thank to all previous developers of this project (Ru-Fang Yeh, Yuanyuan Xiao, YJ Choi,

Ron Yu, Yuda Zhu, Jayantha Ratnayake, Sofia Mosesova, Chris Cabansk, Sydney Lee, Yi Li), including current ad-hoc advisors/contributors Wei Zou, Ray Lin and Guiyuan Lei.