

# gClinBiomarker Vignette

*Ning Leng, Alexey Pronin, Christina Rabe, Doug Kelkhoff, Kwame Okrah*

*2017-11-02*

## Contents

<b>1</b>	<b>Overview</b>	<b>2</b>
<b>2</b>	<b>Install and load the package</b>	<b>2</b>
<b>3</b>	<b>Input</b>	<b>3</b>
3.1	Input requirement . . . . .	3
3.2	Example data set: baseline only . . . . .	3
<b>4</b>	<b>Biomarker workflow</b>	<b>5</b>
4.1	Continuous biomarker in a two-arm study with survival outcome . . . . .	5
4.1.1	Representativeness: Selection Bias of Biomarker Population . . . . .	5
4.1.1.1	Check selection bias in terms of key clinical variables, between full population and BEP . . . . .	5
4.1.1.2	Check whether the clinical outcome in BEP is comparable to the full population . . . . .	6
4.1.1.3	Examine whether the prognostic/predictive/null trend of key clinical variables holds in BEP . . . . .	6
4.1.1.4	Compare treatment effect estimation in full population and in BEP, adjusted for key clinical variables . . . . .	7
4.1.2	Biomarker property and its association to clinical variables . . . . .	9
4.1.2.1	Biomarker property and relationship to clinical variable . . . . .	9
4.1.2.2	Whether the biomarker show within-arm trend . . . . .	9
4.1.3	Seeking for predictive trend: Biomarker cutoff exploration/selection . . . . .	11
4.1.3.1	Try different cutoffs - look for consistent trend . . . . .	11
4.1.3.2	Estimations within non-overlapping bins . . . . .	12
4.1.3.3	Estimations within overlapped sliding windows - STEPP plot . . . . .	13
4.1.4	Biomarker subgroup analysis (using selected cutoff) . . . . .	14
4.1.4.1	Estimations within each subgroup . . . . .	14
4.1.4.2	KM curves . . . . .	16
4.1.4.3	Check whether biomarker subgroup is confounded with key clinical variables . . . . .	17
4.2	Continuous biomarker in a single-arm study with survival outcome . . . . .	19
4.2.1	Representativeness: Selection Bias of Biomarker Population . . . . .	19
4.2.1.1	Check selection bias in terms of key clinical variables, between the full population and BEP . . . . .	19
4.2.1.2	Check whether the clinical outcome in BEP is comparable to the full population . . . . .	19
4.2.1.3	Examine whether the prognostic/null trend of key clinical variables holds in BEP . . . . .	20
4.2.2	Biomarker property and association with clinical variables . . . . .	22
4.2.3	Biomarker cutoff exploration/selection . . . . .	23
4.2.3.1	Try different cutoffs - look for consistent trend . . . . .	23
4.2.4	Biomarker subgroup analysis (using selected cutoff) . . . . .	23
4.2.4.1	Subgroup analysis . . . . .	23
4.2.4.2	KM curves . . . . .	24
4.2.4.3	Check whether biomarker subgroup is confounded with clinical variables . . . . .	25
4.3	Categorical biomarker with survival outcome . . . . .	27
4.3.1	Two-arm study . . . . .	27

4.3.2	Single-arm study . . . . .	27
4.4	Categorical outcome (e.g. response) . . . . .	29
4.4.1	Check selection bias in terms of key clinical variables, between full population and BEP . . . . .	29
4.4.2	Continuous biomarker in a two-arm study . . . . .	35
4.4.2.1	Check within-arm trend . . . . .	35
4.4.2.2	Seeking for predictive trend: cutoff exploration/selection . . . . .	38
4.4.2.3	Subgroup analysis . . . . .	39
4.4.3	Other scenarios . . . . .	41
4.4.3.1	Continuous biomarker in a single-arm study . . . . .	41
4.4.3.2	Categorical biomarker in a two-arm study . . . . .	41
4.4.3.3	Categorical biomarker in a single-arm study . . . . .	41
4.5	Continuous outcome . . . . .	41
4.6	Longitudinal analysis (e.g. PD biomarker) . . . . .	44
4.6.1	Longitudinal Sample Data ( <code>longbmkr</code> ) . . . . .	44
4.6.2	Baseline Plots of Subsetted Longitudinal Data . . . . .	44
4.6.3	Longitudinal Plots with <code>PlotLong</code> . . . . .	45
4.6.3.1	Tukey Hinges and Whiskers . . . . .	45
4.6.3.2	Plotting Subpopulations (Two-Armed Trials) . . . . .	46
4.6.3.3	Including sample counts . . . . .	48
4.6.3.4	Specifying a function for adjustment . . . . .	49
5	Acknowledgement . . . . .	50

## 1 Overview

*gClinBiomarker* is an R package that allows users to easily perform biomarker analyses and generate high-quality figures and tables. It contains a set of functions covering essential biomarker analyses conducted in both oncology and non-oncology trials. It also provides an R markdown template that allows users to plug in their data set and generate a biomarker analysis report with “one-click”. The report contains demographics checking, biomarker property characterization, cutoff exploration (for continuous biomarkers), subgroup analysis, and longitudinal analysis. The package takes either VADs (AdAM) or a customized csv/data frame.

*gClinBiomarker* is built on top of the existing Baseline R package (biomarkers). The bulk of the work done on this package is on the back-end:

- streamline the original code,
- create robust documentation, and
- modularize the package for future extensions.

This vignette provides general guidelines of using *gClinBiomarker* package. Example workflows are also provided in the vignette, which cover essential biomarker analyses.

For advanced usage, more detailed examples can be found at:

[https://github.roche.com/lengn/gClinbiomarker\\_documents/blob/master/gClinbiomarker\\_example\\_use\\_case.pdf](https://github.roche.com/lengn/gClinbiomarker_documents/blob/master/gClinbiomarker_example_use_case.pdf)

The Rmarkdown templates can be found at:

[https://github.roche.com/lengn/gClinbiomarker\\_documents/tree/master/Markdown\\_templates](https://github.roche.com/lengn/gClinbiomarker_documents/tree/master/Markdown_templates)

## 2 Install and load the package

To install this package from R, use `install_github()` function from the `devtools` package

In R, type:

```
library(devtools)
install_github("RPackages/gClinBiomarker", host="https://github.roche.com/api/v3")
```

Before analysis can proceed, the gClinBiomarker package must be loaded into the working space:

```
library(gClinBiomarker)
```

## 3 Input

### 3.1 Input requirement

Functions in gClinBiomarkers package take data frame as the input format. In this data frame, clinical data and biomarker data should all be included as columns. Rows are samples.

If only baseline biomarker analysis will be performed, the data frame should have one patient per row, without duplicated entries for any patient.

If longitudinal analysis will be performed, one patient may have multiple entries for multiple visits.

Functions `ReadData()` and `ReadVAD()` may also be used to read in data in csv format and sas7bdat format.

### 3.2 Example data set: baseline only

An example data set is included in the package:

```
head(input)
```

```
## Patient.ID Sample.ID Arm Age Weight ECOG Sex Baseline.SLD Country
## 1 PID001 SID001 TRT 40 61.0 0 F 550.4970 Western Europe
## 2 PID002 SID002 TRT 60 87.0 1 F 549.7751 USA
## 3 PID003 SID003 TRT 53 57.6 1 M 548.8829 Western Europe
## 4 PID004 SID004 TRT 44 57.0 0 F 549.6050 USA
## 5 PID005 SID005 TRT 49 72.5 2 F 551.5498 Other
## 6 PID006 SID006 TRT 62 76.0 0 M 549.2565 Other
## CD8.ihc KRAS.mutant KRAS.exprs OS PFS Response BEP OS.event
## 1 3 Mutant 171.25 9.691992 5.782341 PR 1 0
## 2 2 Wild Type 2.77 4.435318 1.412731 PD 1 1
## 3 3 Wild Type 61.82 9.691992 1.215606 PD 1 0
## 4 1 Mutant 71.51 1.905544 1.905544 PD 1 0
## 5 NA <NA> NA 2.628337 2.628337 SD 0 1
## 6 2 Mutant 44.63 4.829569 4.139630 NON CR/PD 1 0
## PFS.event Lab_ontrt
## 1 0 28.993922
## 2 1 9.890427
## 3 1 26.896020
## 4 1 5.421392
## 5 1 14.741382
## 6 0 16.957035
```

```
str(input)
```

```
## 'data.frame': 550 obs. of 19 variables:
## $ Patient.ID : chr "PID001" "PID002" "PID003" "PID004" ...
```

```
## $ Sample.ID      : chr  "SID001" "SID002" "SID003" "SID004" ...
## $ Arm            : Factor w/ 2 levels "CTRL","TRT": 2 2 2 2 2 2 2 2 1 ...
## $ Age            : int   40 60 53 44 49 62 70 49 62 45 ...
## $ Weight         : num   61 87 57.6 57 72.5 76 46 69.3 65 54 ...
## $ ECOG           : int    0 1 1 0 2 0 0 1 0 1 ...
## $ Sex            : chr    "F" "F" "M" "F" ...
## $ Baseline.SLD   : num   550 550 549 550 552 ...
## $ Country        : Factor w/ 3 levels "Other","USA",...: 3 2 3 2 1 1 3 3 3 1 ...
## $ CD8.ihc        : num    3 2 3 1 NA 2 NA 1 NA NA ...
## $ KRAS.mutant    : Factor w/ 2 levels "Mutant","Wild Type": 1 2 2 1 NA 1 NA 2 NA NA ...
## $ KRAS.exprs     : num   171.25 2.77 61.82 71.51 NA ...
## $ OS             : num    9.69 4.44 9.69 1.91 2.63 ...
## $ PFS            : num    5.78 1.41 1.22 1.91 2.63 ...
## $ Response       : Factor w/ 6 levels "CR","NE","NON CR/PD",...: 5 4 4 4 6 3 4 3 4 6 ...
## $ BEP            : num    1 1 1 1 0 1 0 1 0 0 ...
## $ OS.event       : int    0 1 0 0 1 0 0 0 1 0 ...
## $ PFS.event      : int    0 1 1 1 1 0 1 0 1 0 ...
## $ Lab_ontrt      : num   28.99 9.89 26.9 5.42 14.74 ...
```

The columns indicates:

- Patient.ID: patient ID
- Sample.ID: sample ID
- Arm: treatment arm
- Age: age (baseline clinical variable, continuous)
- Age: baseline body Age (baseline clinical variable, continuous)
- ECOG: ECOG (baseline clinical variable, ordinal)
- Sex: gender (baseline clinical variable, binary)
- Baseline.SLD: baseline SLD (baseline clinical variable, continuous)
- Country: region (baseline clinical variable, categorical)
- CD8.ihc: CD8 IHC measurement (biomarker, protein level, ordinal)
- KRAS.mutant: KRAS mutation status (biomarker, DNA level, binary)
- KRAS.exprs: KRAS gene expression (biomarker, mRNA level, continuous)
- OS: overall survival (clinical outcome)
- OS.event: censoring indicator for OS, 1 indicates event and 0 indicates censoring (clinical outcome)
- PFS: progression free survival (clinical outcome)
- PFS.event: censoring indicator for PFS, 1 indicates event and 0 indicates censoring (clinical outcome)
- Lab\_ontrt: example continuous surrogate endpoint - on treatment lab data; one record per patient
- Response: best overall overall response (clinical outcome, ordinal)
- BEP: Biomarker evaluable population indicator. 1: in biomarker evaluable population, 2: not in. (When evaluable multiple biomarkers, we may want to take the same set of patients for all evaluations. Then the can be defined as the patients who have valid measurement for all biomarkers. In this example data set, the BEP is defined as patients who have CD8 IHC data, KRAS mutation data, and KRAS expression data. If only one biomarker is of interest, BEP could be defined as patients who have valid measure for this biomarker. A BEP column is required to perform certain analyses (e.g. selection bias examination of BEP).)

## 4 Biomarker workflow

### 4.1 Continuous biomarker in a two-arm study with survival outcome

We use PFS as our primary endpoint, KRAS.exprs as the biomarker of interest.

#### 4.1.1 Representativeness: Selection Bias of Biomarker Population

In this section, we are trying to answer the question: *Are biomarker evaluable population representative of the full population population?*

Key baseline demographics and prognostic characteristics (including stratification variables and any variables with known prognostic effect) and efficacy outcomes should be summarized by treatment groups and compared between biomarker evaluable population (BEP) and the full population. These analyses are conducted to investigate any potential selection bias associated with the availability of the biomarker (e.g. we may not get enough tissue for patients whose tumor size is small. Therefore they may be excluded from BEP).

##### 4.1.1.1 Check selection bias in terms of key clinical variables, between full population and BEP

Function `SummaryVars()` can be used to perform selection bias checking of clinical variables. For example, if Age and Sex are two key clinical variables, we can perform the selection bias checking by:

```
SummaryVars(data=input, trt='Arm', subgroup='BEP', var=c('Sex','Age'),
             var.class=c('categorical','numeric'))
```

```
##           All(CTRL)    BEP(CTRL)    All(TRT)    BEP(TRT)
## Sex           ""           ""           ""           ""
## Total        "182"        "81"        "368"        "176"
## NA's         "0"         "0"         "0"         "0"
## F            "89 (48.9%)"  "39 (48.15%)" "184 (50%)"  "90 (51.14%)"
## M            "93 (51.1%)"  "42 (51.85%)" "184 (50%)"  "86 (48.86%)"
## Age          ""           ""           ""           ""
## N            "182"        "81"        "368"        "176"
## Mean         "52.54"      "53.1"      "54.03"      "54.42"
## Median       "51.5"      "52"        "54"         "54"
## Min-Max      "27...85"    "32...85"    "30...89"    "33...89"
## NA's         "0"         "0"         "0"         "0"
```

`kable()` function from `knitr` package may be used in Rmarkdown file for better table display:

```
kable(
  SummaryVars(data=input, trt='Arm', subgroup='BEP', var=c('Sex','Age'),
              var.class=c('categorical','numeric'))
)
```

	All(CTRL)	BEP(CTRL)	All(TRT)	BEP(TRT)
Sex				
Total	182	81	368	176
NA's	0	0	0	0
F	89 (48.9%)	39 (48.15%)	184 (50%)	90 (51.14%)
M	93 (51.1%)	42 (51.85%)	184 (50%)	86 (48.86%)
Age				
N	182	81	368	176

	All(CTRL)	BEP(CTRL)	All(TRT)	BEP(TRT)
Mean	52.54	53.1	54.03	54.42
Median	51.5	52	54	54
Min-Max	27...85	32...85	30...89	33...89
NA's	0	0	0	0

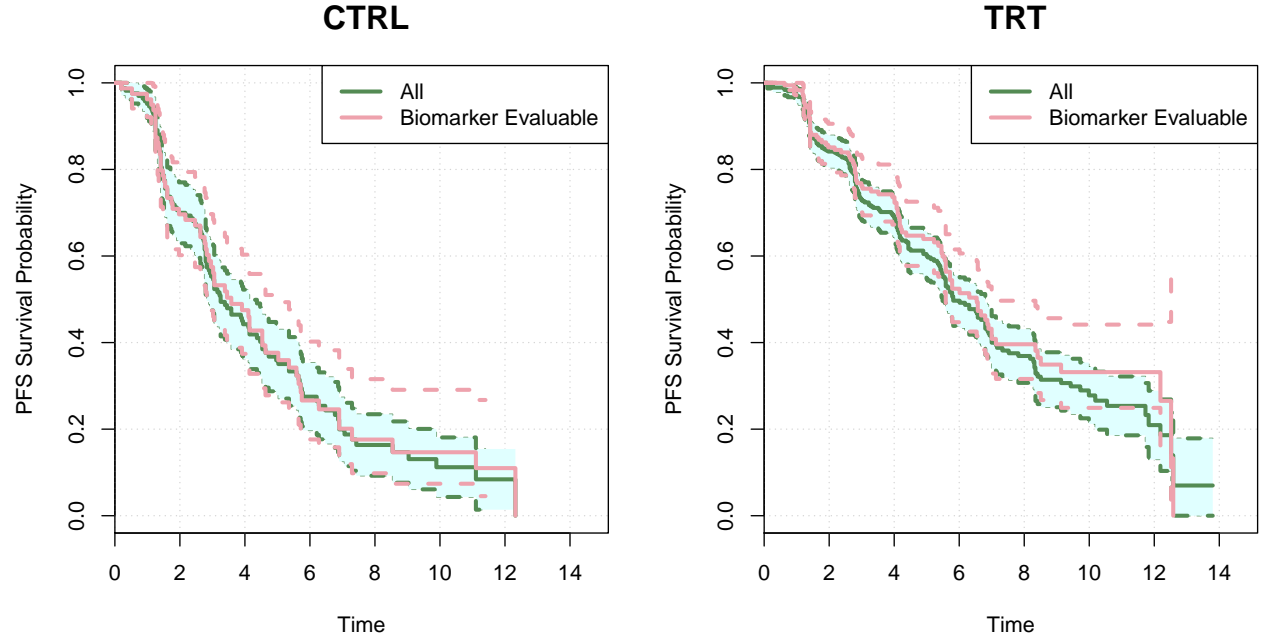
Here we specify the treatment column via parameter `trt`, and specify the BEP column via parameter `subgroup`. The comparison will be between BEP and the full population (BEP + nonBEP). If BEP vs. non BEP comparison is of interest, the user may specify parameter `compare.subgroup=TRUE`.

The clinical variables of interest can be specified via `var`. Parameter `var.class` can be used to specify class of the variable. Possible classes are “categorical”, “numeric”, “ordered.factor”. If `var.class` is not specified, the program will define the variable class based on class of the column (`class()`). Note that for ordinal variable whose levels are numbers, the column need to be converted to ordered factor. Otherwise it will be treated as continuous variable.

#### 4.1.1.2 Check whether the clinical outcome in BEP is comparable to the full population

Function `CompareKM()` can be used to compare survival outcome in BEP vs. the full population:

```
CompareKM(data=input, tte='PFS', cen='PFS.event', trt='Arm', bep='BEP')
```



By default, the KM curve and 95% CI will be plotted for each arm. The BEP KM curve is expected to be within the full population confidence bands.

#### 4.1.1.3 Examine whether the prognostic/predictive/null trend of key clinical variables holds in BEP

Function `PlotTanForestMulti` may be used to examine whether any of the key clinical variables show predictive trend in BEP:

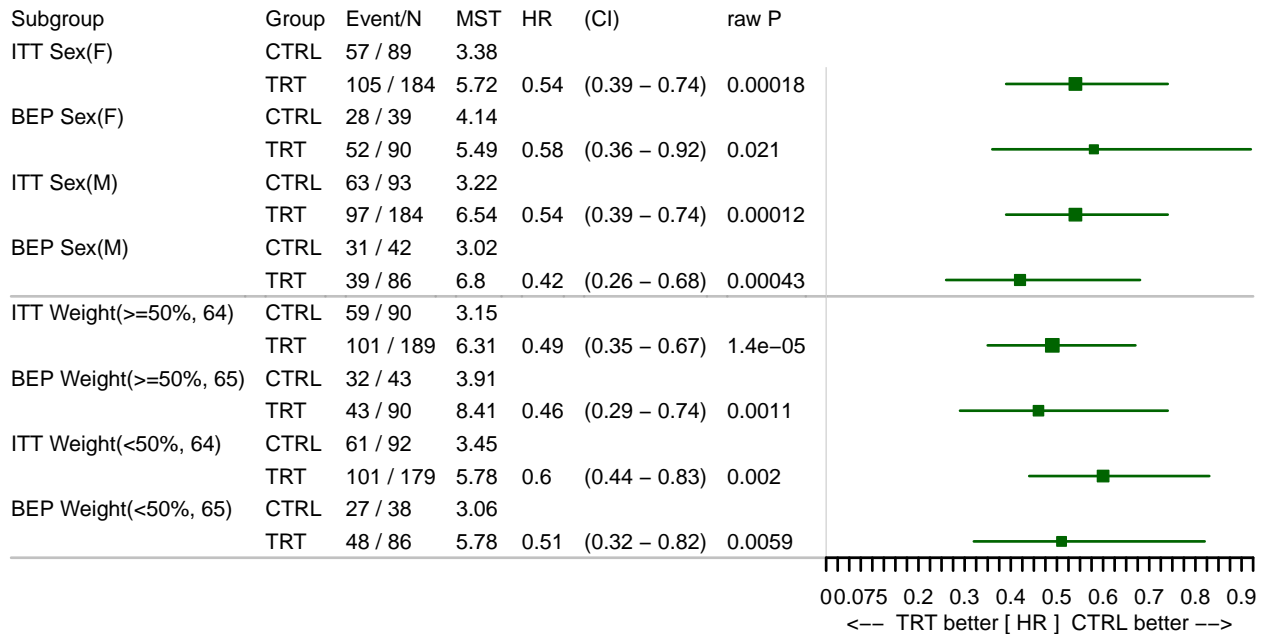
```
PlotTabForestMulti(data=input,
                    outcome.class="survival",
```

```

outcome.var=c("PFS","PFS.event"),
trt="Arm",
var=c("Sex","Weight"),
bep="BEP",bep.indicator=1, compare.bep.itt=TRUE
)

```

**Across arm, Compare BEP vs. All  
PFS  
Unadjusted, unstratified analysis**



From the plot above, we can see that variable Sex doesn't show a predictive trend in full population (similar trt/ctrl HR in male and female group). However, in BEP the variable Sex has numerically smaller trt/ctrl HR in Male group. Although from the SummaryVars() result, the percentages of Male are comparable between full population and BEP, it is still possible that the male patients have better clinical outcome than the female group. This is likely due to some selection bias that we weren't be able to capture in previous steps (e.g. unmeasured variable). In downstream analyses, if the biomarker subgroup also show a predictive effect, we will need to check that whether it is confounded by Sex.

#### 4.1.1.4 Compare treatment effect estimation in full population and in BEP, adjusted for key clinical variables

Another important summary statistic to look at is the trt/ctrl (target/reference) HR in full population and trt/ctrl HR in BEP. Although highly overlapped curves in figures generated by CompareKM() function suggests that the unadjusted HR in full population and BEP will be comparable, it will be necessary to check adjusted HR as well. The following code chunk shows how to use the function CoxTab() to fit a multivariate Cox model by including treatment variable, Sex and Age.

```

input.bep <- subset(input, BEP==1) # dataset with only BEP samples

kable(
  CoxTab(data=input,tte="PFS", cens="PFS.event", var='Arm',

```

```

    var.class="categorical"),
caption="full population, unadjusted"
)

```

Table 2: full population, unadjusted

	HR	CI.low	CI.high	p-value	n.trt	n.ref
Arm (TRT/CTRL)	0.54	0.43	0.67	7.8e-08	368	182

```

kable(
  CoxTab(data=input,tte="PFS", cens="PFS.event", var=c('Arm','Sex',"Weight"),
    var.class=c("categorical","categorical","numeric")),
caption="full population, adjusted for Sex, Weight"
)

```

Table 3: full population, adjusted for Sex, Weight

	HR	CI.low	CI.high	p-value	n.trt	n.ref
Arm (TRT/CTRL)	0.53	0.42	0.67	5.6e-08	368	182
Sex (M/F)	0.9	0.72	1.12	0.35	277	273
Weight	1	0.99	1	0.39		

```

kable(
  CoxTab(data=input.bep,tte="PFS", cens="PFS.event", var='Arm',
    var.class="categorical"),
caption="BEP, unadjusted"
)

```

Table 4: BEP, unadjusted

	HR	CI.low	CI.high	p-value	n.trt	n.ref
Arm (TRT/CTRL)	0.49	0.36	0.69	3.1e-05	176	81

```

kable(
  CoxTab(data=input.bep,tte="PFS", cens="PFS.event", var=c('Arm','Sex',"Weight"),
    var.class=c("categorical","categorical","numeric")),
caption="BEP, adjusted for Sex, Weight"
)

```

Table 5: BEP, adjusted for Sex, Weight

	HR	CI.low	CI.high	p-value	n.trt	n.ref
Arm (TRT/CTRL)	0.48	0.34	0.67	1.4e-05	176	81
Sex (M/F)	0.78	0.56	1.08	0.13	128	129
Weight	0.99	0.98	1	0.12		

If any selection bias is suspected, the user may consider to stratify for the imbalanced factor in downstream analysis (e.g. unstratified analysis as primary analysis and stratified analysis as sensitivity analysis).



### 4.1.2 Biomarker property and its association to clinical variables

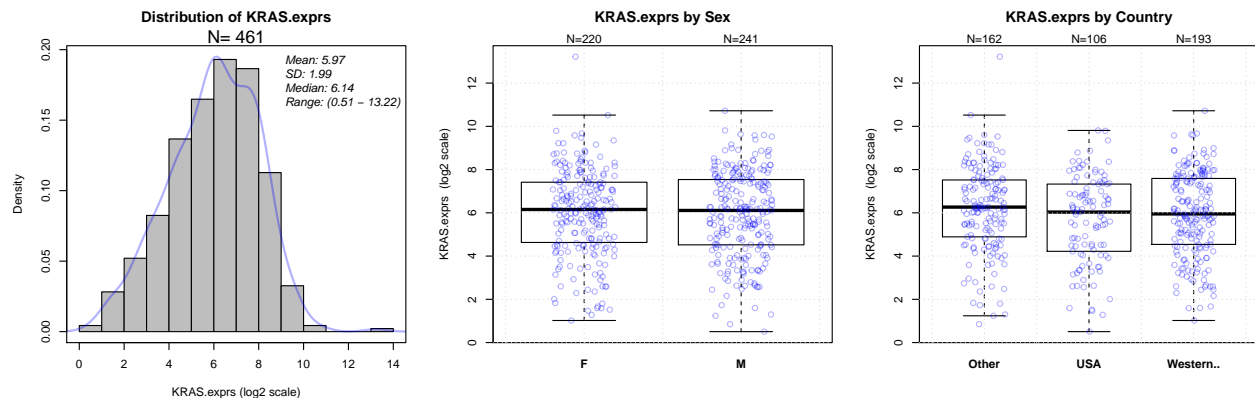
Before performing cutoff exploratory analysis, it is important to check a biomarker's property. For example, whether this biomarker has a bi-modal or multi modal distribution - if so, this biomarker may have a natural cutoff.

Relationship between the biomarker and key demographic and prognostic variables should also be investigated using bivariate plots. Prognostic property of the biomarker should also be assessed, by estimates of the clinical efficacy in the control arm.

The `PlotProperty()` function could be used to generate single variate plot for biomarker and clinical covariates. It can also be used to generate bi-variate plots to investigate biomarker-clinical variable relationship.

#### 4.1.2.1 Biomarker property and relationship to clinical variable

```
PlotProperty(data=input, biomarker.var="KRAS.exprs", biomarker.class="numeric",
             var=c("Sex", "Country"), var.class=c("categorical", "categorical"),
             log2=TRUE, par.param = list(mfrow=c(2,3)))
```



#### 4.1.2.2 Whether the biomarker show within-arm trend

Function `PlotTabForestBiomarker()` can be used to investigate whether the biomarker shows within-arm trend:

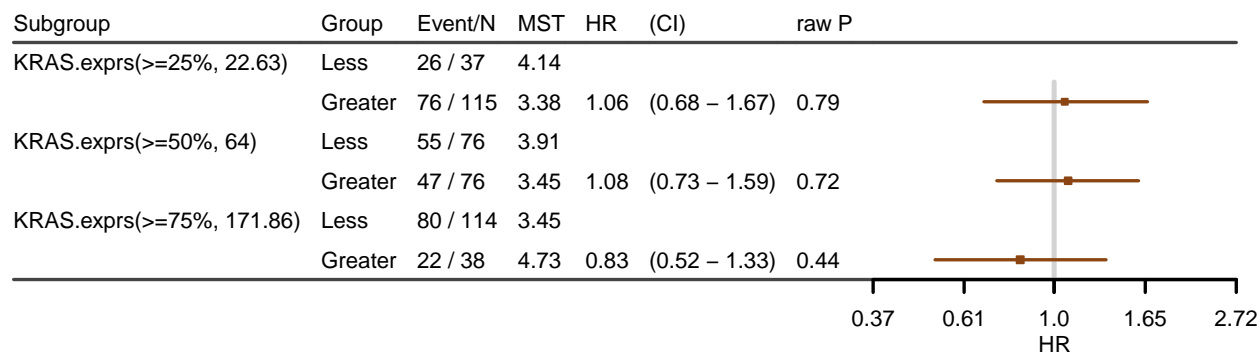
```
input.ctrl <- subset(input, Arm=="CTRL") ## Data with only ctrl samples
res.multicut.ctrl <- PlotTabForestBiomarker(data=input.ctrl,
                                           outcome.class="survival",
                                           outcome.var=c("PFS","PFS.event"),
                                           var="KRAS.exprs",
                                           var.class="numeric",
                                           percentile.cutoff=c(.25,.5,.75), main.prefix="CTRL",
                                           greater=TRUE, less=FALSE)
```

```
## Some NAs in var column, will define the non NA entries as BEP
```

```
## only 1 arm; show.it is set to FALSE
```

```
## only 1 arm; show.bep is set to FALSE
```

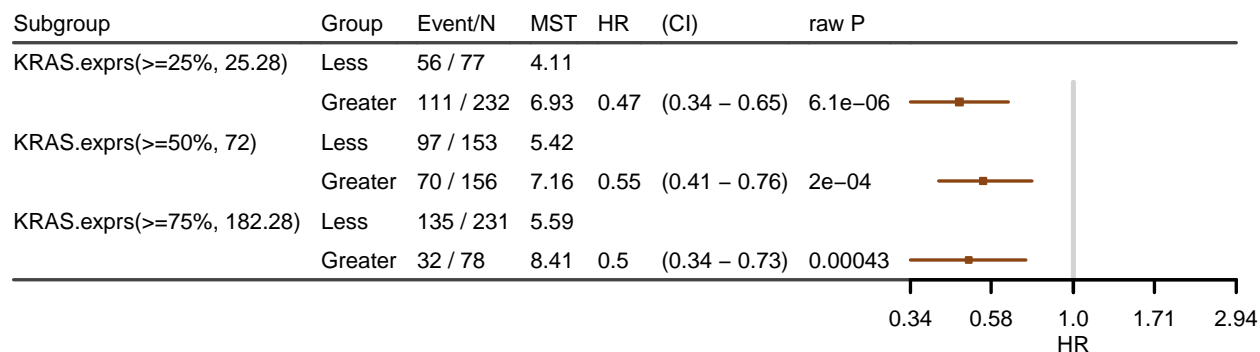
**CTRL Within-arm Effect of Biomarker**  
**PFS, KRAS.exprs**  
**Unadjusted, unstratified analysis**



```
input.trt <- subset(input, Arm=="TRT") ## Data with only trt samples
res.multicut.trt <- PlotTabForestBiomarker(data=input.trt,
  outcome.class="survival",
  outcome.var=c("PFS", "PFS.event"),
  var="KRAS.exprs",
  var.class="numeric",
  percentile.cutoff=c(.25,.5,.75), main.prefix="TRT",
  greater=TRUE, less=FALSE)
```

```
## Some NAs in var column, will define the non NA entries as BEP
## only 1 arm; show.itt is set to FALSE
## only 1 arm; show.bep is set to FALSE
## Warning in par(old.par): calling par(new=TRUE) with no plot
```

**TRT Within-arm Effect of Biomarker**  
**PFS, KRAS.exprs**  
**Unadjusted, unstratified analysis**



We first get dataset `input.ctrl` and `input.trt`, which only contains patients in ctrl arm and trt arm, respectively. In function `PlotTabForestBiomarker()`, time to event variable and censoring variable need to be specified via `outcome.var`. The biomarker variable can be specified via `var`. By specifying `percentile.cutoff` as `c(.25,.5,.75)` and specifying `greater TRUE`, the program calculates within-arm HR of biomarker  $\geq$

25% vs <25% group, biomarker  $\geq$  50% vs <50% group, and biomarker  $\geq$  75% vs <75% group.

In ctrl arm, patients who have expression  $\geq$  75% have slightly better PFS -  $\geq$  75% vs <75% HR is 0.83, and the median PFS is slightly higher in  $\geq$  75% group (4.73) vs <75% (3.45).

In trt arm, within-arm trend is seen in all three comparisons - for all 3 cutoffs, patients with higher expression have longer PFS than patients with low expression. The biomarker high vs. low HR are all around 0.5.

If strong within-arm effect is seen in both arms, the biomarker may be prognostic but not predictive.

If stratified or adjusted analyses are of interest, they can be specified via parameters `covariate` and `strata`.

### 4.1.3 Seeking for predictive trend: Biomarker cutoff exploration/selection

Example codes in this section can be used to examine multiple candidate cutoffs for a continuous biomarker. The need for cut-off determination should be rooted in the development strategy. In general, an exhaustive search looking at all possible cut-off values is not recommended for decision making. Over-optimized cutoff using one set of clinical data may lead to hard-to-reproduce results. When determining a cutoff, biomarker property should be considered - e.g. cut at a low-dense point may be more robust to population shift. The cutoff selection should also fit the program's stratigitic considerations. There is always a prevalence-effect size trade-off, inputs from multiple functions are needed - for example whether the team is willing to take more risk in PTS (high prevalence, weaker signal) or the team is willing to target at smaller population (lower prevalence, stronger signal)

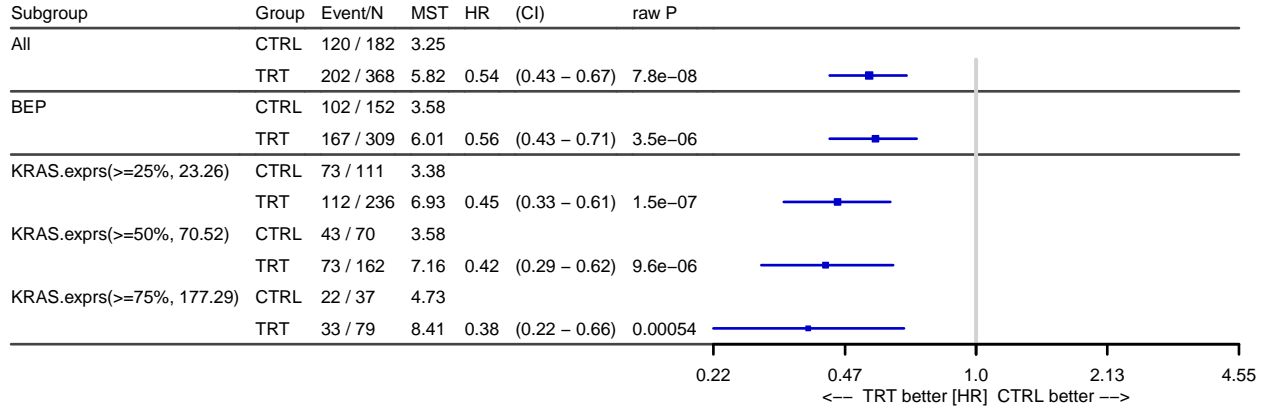
#### 4.1.3.1 Try different cutoffs - look for consistent trend

Function `PlotTabForestBiomarker()` can also be used to investigate whether the biomarker is predictive across arm. To perform cross-arm analysis, parameter `trt` needs to be specified. By specifying `trt` and `greater=TRUE`, trt/ctrl HR of biomarker  $\geq$  25% group, biomarker  $\geq$  50% group, and biomarker  $\geq$  75% group are calculated:

```
res.multicut <- PlotTabForestBiomarker(data=input,
                                       outcome.class="survival",
                                       outcome.var=c("PFS", "PFS.event"),
                                       trt="Arm",
                                       var="KRAS.exprs",
                                       var.class="numeric",
                                       percentile.cutoff=c(.25,.5,.75),
                                       greater=TRUE, less=FALSE,
                                       show.itt=TRUE, show.bep=TRUE)
```

## Some NAs in var column, will define the non NA entries as BEP

**Across-arm Effect of Biomarker**  
**PFS, KRAS.exprs**  
**Unadjusted, unstratified analysis**



#### 4.1.3.2 Estimations within non-overlapping bins

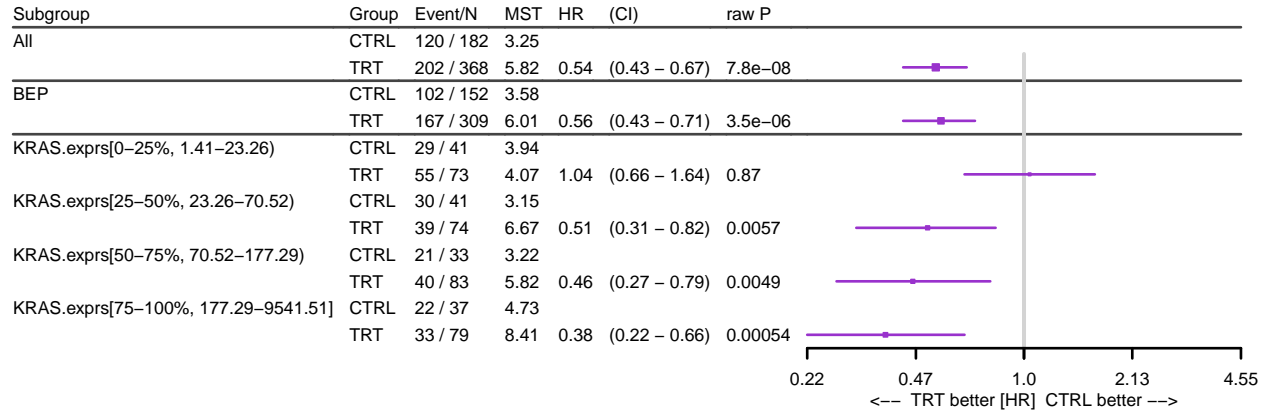
Function `PlotTabForestBiomarker()` can also be used to calculate trt/ctrl HR within percentile bins. For example, by specifying `within.bin=TRUE`, trt/ctrl HR of biomarker 0-25% group, 25-50% group, 50-75% group, and 75-100% group are calculated:

```
res.withinbin <- PlotTabForestBiomarker(data=input,
                                         outcome.class="survival",
                                         outcome.var=c("PFS", "PFS.event"),
                                         trt="Arm",
                                         var="KRAS.exprs",
                                         var.class="numeric",
                                         percentile.cutoff=c(.25, .5, .75),
                                         within.bin=TRUE,
                                         show.it=TRUE, show.bep=TRUE)
```

```
## Some NAs in var column, will define the non NA entries as BEP
```

```
## within.bin is TRUE, greater and less will be ignored
```

**Across-arm Effect of Biomarker**  
**PFS, KRAS.exprs**  
**Unadjusted, unstratified analysis**



#### 4.1.3.3 Estimations within overlapped sliding windows - STEPP plot

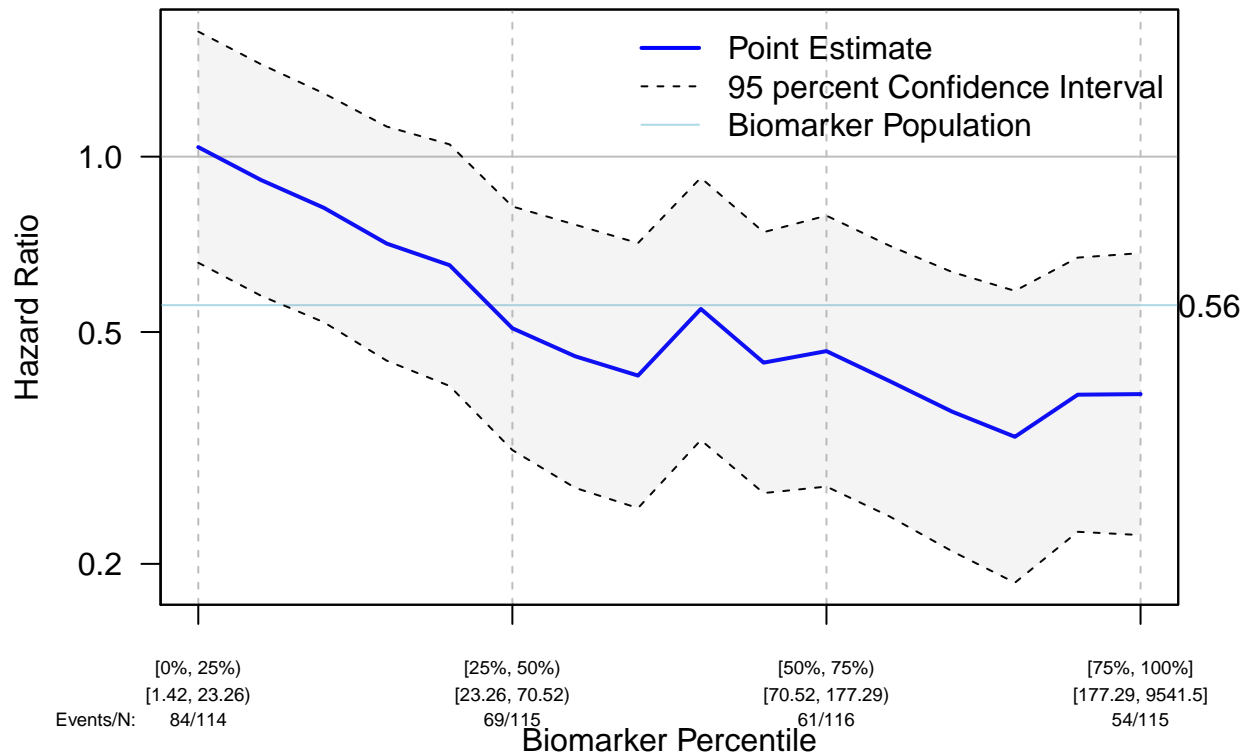
STEPP refers to Subgroup Treatment Effect Pattern Plot and it investigates relationship between biomarker and treatment effect. Only continuous biomarkers are suitable for STEPP analysis. STEPP performs treatment effect estimation on overlapping subsets of patients defined according to the biomarker level. The default setting of `run.STEPP` slides subgroup windows by 5% for each step and the subgroup size is 25% of the whole population.

A monotone trend is expected to be seen for an ideal biomarker.

```
stepp.out <- PlotSTEPP(data = input,
  outcome.var = c("PFS", "PFS.event"),
  outcome.class = "survival",
  trt = "Arm",
  var = "KRAS.exprs",
  placebo.code = "CTRL",
  active.code = "TRT"
)
```

## some NA in var column, will ignore NA entries

## STEPP: Subgroup Treatment Effect Pattern Plot



Here we can see the trt/ctrl HR decreases as the biomarker expression increases.

### 4.1.4 Biomarker subgroup analysis (using selected cutoff)

When a cutoff is selected, the following functions can be used to perform subgroups analysis. Suppose that we decided to use 100 as cutoff.

#### 4.1.4.1 Estimations within each subgroup

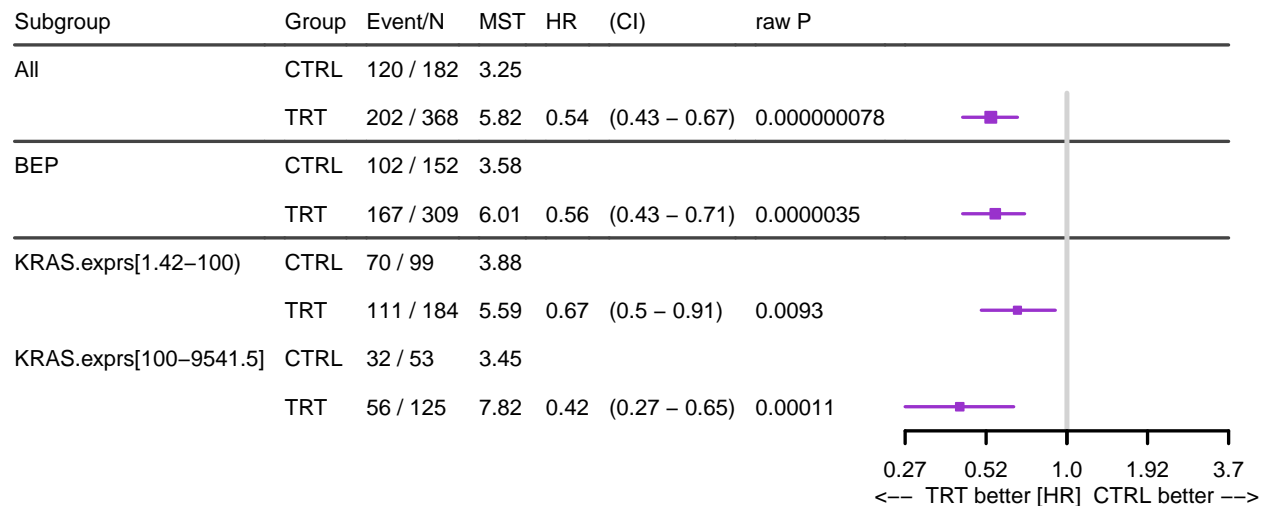
PlotTabForestBiomarker() function can again be used to estimate the treatment effect in biomarker subgroups. Here given that we have a numerical cutoff, it can be specified using parameter `numerical.cutoff`. And `percentile.cutoff` can be left as NULL.

```
res.subgroups.cut <- PlotTabForestBiomarker(data=input,
  outcome.class="survival",
  outcome.var=c("PFS", "PFS.event"),
  trt="Arm",
  var="KRAS.exprs",
  var.class="numeric",
  percentile.cutoff=NULL,
  numerical.cutoff=100, within.bin=TRUE,
  show.itt=TRUE, show.bep=TRUE)
```

```
## Some NAs in var column, will define the non NA entries as BEP
```

```
## within.bin is TRUE, greater and less will be ignored
```

**Across-arm Effect of Biomarker**  
**PFS, KRAS.exprs**  
**Unadjusted, unstratified analysis**

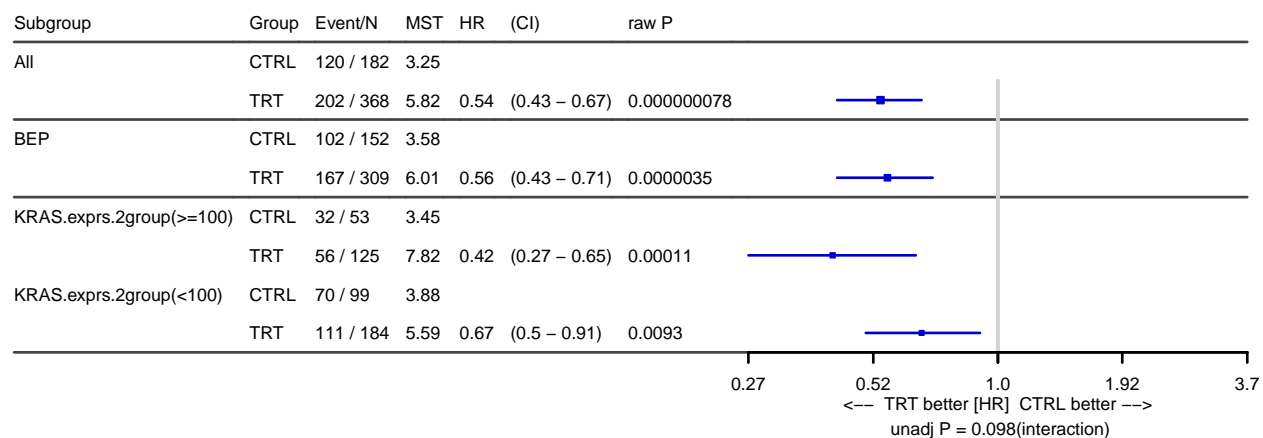


This can also be done by converting the continuous marker to a binary variable:

```
input$KRAS.exprs.2group <- ifelse(input$KRAS.exprs >= 100, ">=100", "<100")
input$KRAS.exprs.2group <- factor(input$KRAS.exprs.2group, levels=c(">=100", "<100")) # ">=100" as Dx+
res.subgroups.bi <- PlotTabForestBiomarker(data=input,
  outcome.class="survival",
  outcome.var=c("PFS", "PFS.event"),
  trt="Arm",
  var="KRAS.exprs.2group",
  var.class="categorical",
  show.itt=TRUE, show.bep=TRUE)
```

## Some NAs in var column, will define the non NA entries as BEP

**Across-arm Effect of Biomarker**  
**PFS, KRAS.exprs.2group**  
**Unadjusted, unstratified analysis**



#### 4.1.4.2 KM curves

Function `PlotKM()` can be used to generate the KM curves of biomarker subgroups:

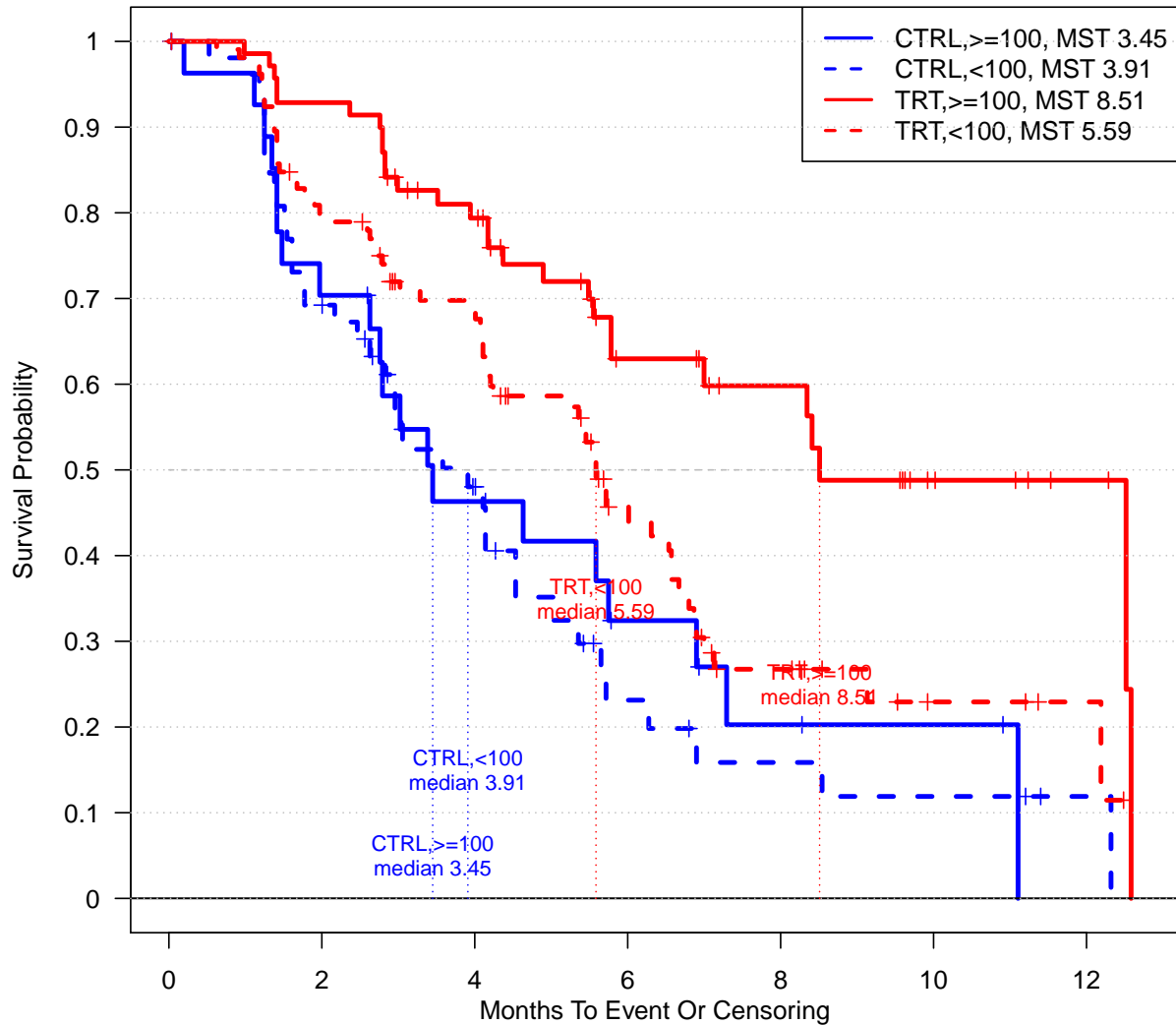
```
PlotKM(data=input, tte="PFS", cen="PFS.event", bep="BEP",
        main="PFS BEP by treatment, by KRAS expression subgroups",
        var=c("Arm", "KRAS.exprs.2group"), legend.loc="topright",
        plot.median=TRUE)
```

## more than one elements in 'var', trt parameter will be ignored

## 'varlist' is specified, trt and var parameters will be ignored



### PFS BEP by treatment, by KRAS expression subgroups



CTRL, >=100	28	19	11	6	3	2	0
CTRL, <100	53	36	21	7	4	3	1
TRT, >=100	71	64	49	24	17	7	3
TRT, <100	105	82	64	27	12	4	2

```
## [1] ""
```

#### 4.1.4.3 Check whether biomarker subgroup is confounded with key clinical variables

Functions `SummaryVars()` and `PlotTabForestMulti()` can again be used to check whether the biomarker subgroup is confounded with clinical variable. Using sex variable as an example, instead of checking whether the F/M percentage is comparable in the full population vs. BEP, here we check the F/M percentage is comparable in biomarker high and low group. To perform such analysis we can specify `subgroup` as "KRAS.exprs.2group", and specify `compare.subgroup=TRUE`. By doing so the program will compare the ">=100" group vs. others.

```
input.bep <- subset(input, BEP==1)
kable(
  SummaryVars(data=input.bep, trt='Arm', subgroup='KRAS.exprs.2group', var=c('Sex'),
    var.class="categorical", subgroup.indicator=">=100", compare.subgroup=TRUE, subgroup.name="exprs")
)
```

	exprs_>=100(CTRL)	exprs_<100(CTRL)	exprs_>=100(TRT)	exprs_<100(TRT)
Sex				
Total	28	53	71	105
NA's	0	0	0	0
F	15 (53.57%)	24 (45.28%)	36 (50.7%)	54 (51.43%)
M	13 (46.43%)	29 (54.72%)	35 (49.3%)	51 (48.57%)

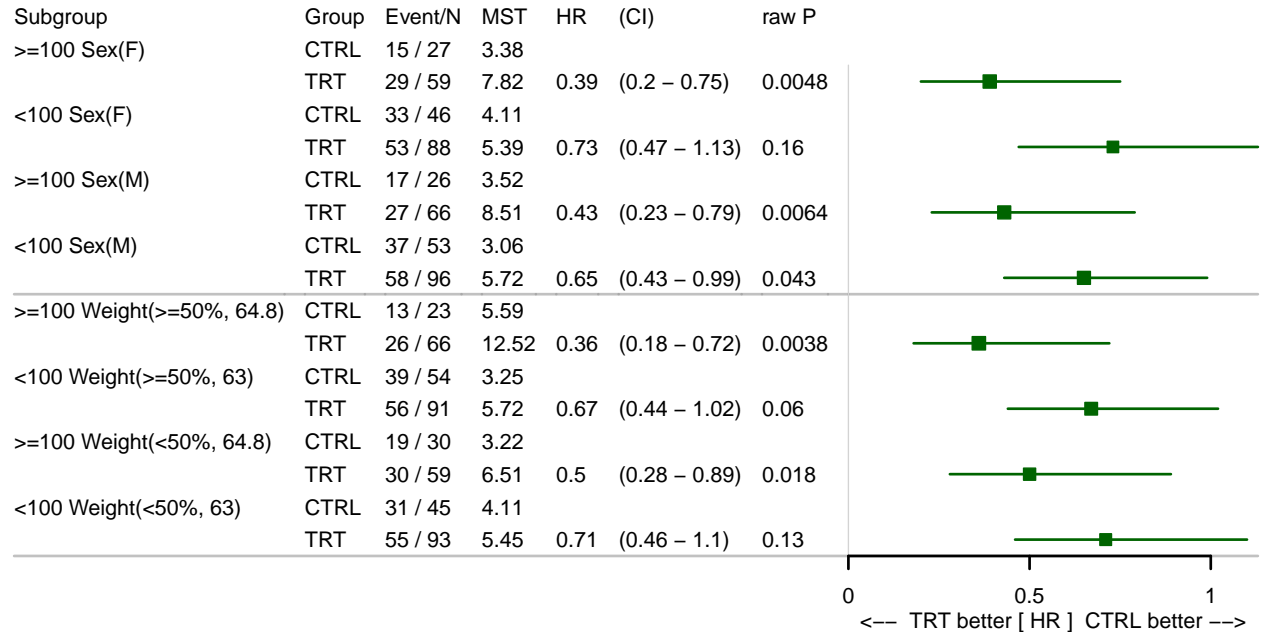
PlotTabForestMulti() function could be used to look at treatment effect in smaller subgroups defined by both biomarker and clinical variables. For example, if we are interested in the trt/ctrl HR in following groups: biomarker high Male; biomarker high female; biomarker low male; biomarker low female, etc. We may specify subgroup as the biomarker variable, and var as the clinical variables of interest. By setting compare.bep.itt=FALSE and compare.subgroup=TRUE, the program will calculate the summary statistics within each subgroup. For numerical clinical variable, it will be dichotomized by its median.

```
res.subgroup.cov <- PlotTabForestMulti(data=input,
                                     outcome.class="survival",
                                     outcome.var=c("PFS","PFS.event"),
                                     trt="Arm",
                                     var=c("Sex","Weight"),
                                     compare.bep.itt=FALSE,
                                     compare.subgroup=TRUE,
                                     subgroup="KRAS.exprs.2group"
                                   )
```

**Across arm, Compare KRAS.exprs.2group subgroup**

**PFS**

**Unadjusted, unstratified analysis**



In this case, no treatment effect difference is observed between the Female vs. Male subgroups (as well as weight high & low).

## 4.2 Continuous biomarker in a single-arm study with survival outcome

We generate a data set with only patients from the treatment arm to mimic the case of single arm study:

```
input.trt <- subset(input, Arm=="TRT")
```

We use PFS as primary endpoint, KRAS.exprs as biomarker of interest. We only take the treat

### 4.2.1 Representativeness: Selection Bias of Biomarker Population

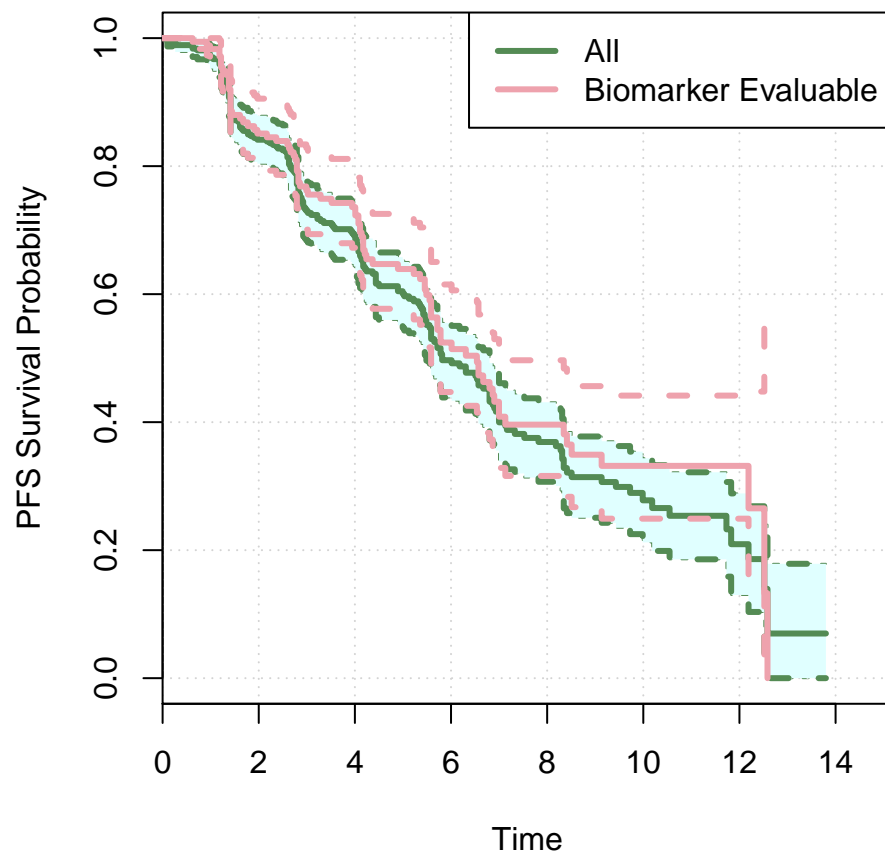
#### 4.2.1.1 Check selection bias in terms of key clinical variables, between the full population and BEP

```
kable(  
  SummaryVars(data=input.trt, trt=NULL, subgroup='BEP', var=c('Age','Sex'),  
    var.class=c('numeric','categorical'))  
)
```

	All	BEP
Age		
N	368	176
Mean	54.03	54.42
Median	54	54
Min-Max	30...89	33...89
NA's	0	0
Sex		
Total	368	176
NA's	0	0
F	184 (50%)	90 (51.14%)
M	184 (50%)	86 (48.86%)

#### 4.2.1.2 Check whether the clinical outcome in BEP is comparable to the full population

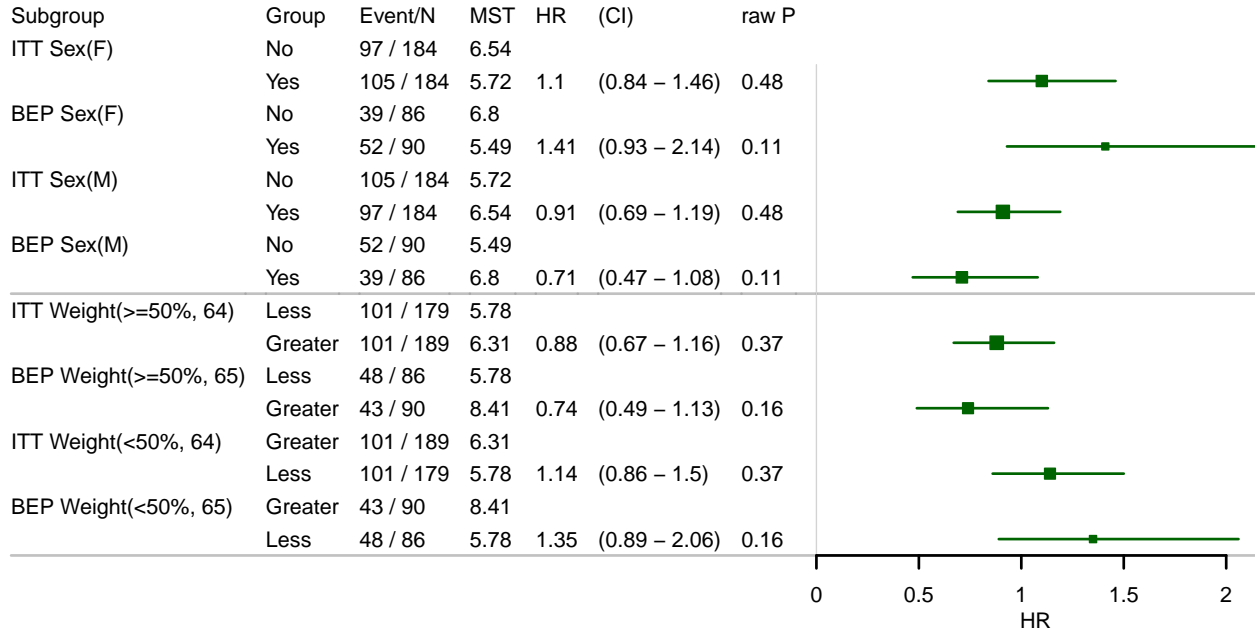
```
CompareKM(data=input.trt,tte='PFS', cen='PFS.event',trt=NULL, bep='BEP')
```



#### 4.2.1.3 Examine whether the prognostic/null trend of key clinical variables holds in BEP

```
PlotTabForestMulti(data=input.trt,
                    outcome.class="survival",
                    outcome.var=c("PFS", "PFS.event"),
                    trt=NULL,
                    var=c("Sex", "Weight"),
                    bep="BEP", bep.indicator=1, compare.bep.itt=TRUE
                    )
```

**Within arm, Compare BEP vs. All**  
**PFS**  
**Unadjusted, unstratified analysis**



Age is a prognostic factor in both the full population and BEP. Sex show stronger prognostic trend in BEP, but not in the full population.

```
input.trt.bep <- subset(input.trt, BEP==1) # dataset with only BEP samples

kable(
  CoxTab(data=input.trt,tte="PFS", cens="PFS.event", var=c('Sex','Weight'),
    var.class=c("categorical","numeric")),
  caption="the full population, model of Sex, Weight"
)
```

Table 8: the full population, model of Sex, Weight

	HR	CI.low	CI.high	p-value	n.trt	n.ref
Sex (M/F)	0.91	0.69	1.2	0.49	184	184
Weight	1	0.99	1	0.33		

```
kable(
  CoxTab(data=input.trt.bep,tte="PFS", cens="PFS.event", var=c('Sex','Weight'),
    var.class=c("categorical","numeric")),
  caption="BEP, model of Sex, Weight"
)
```

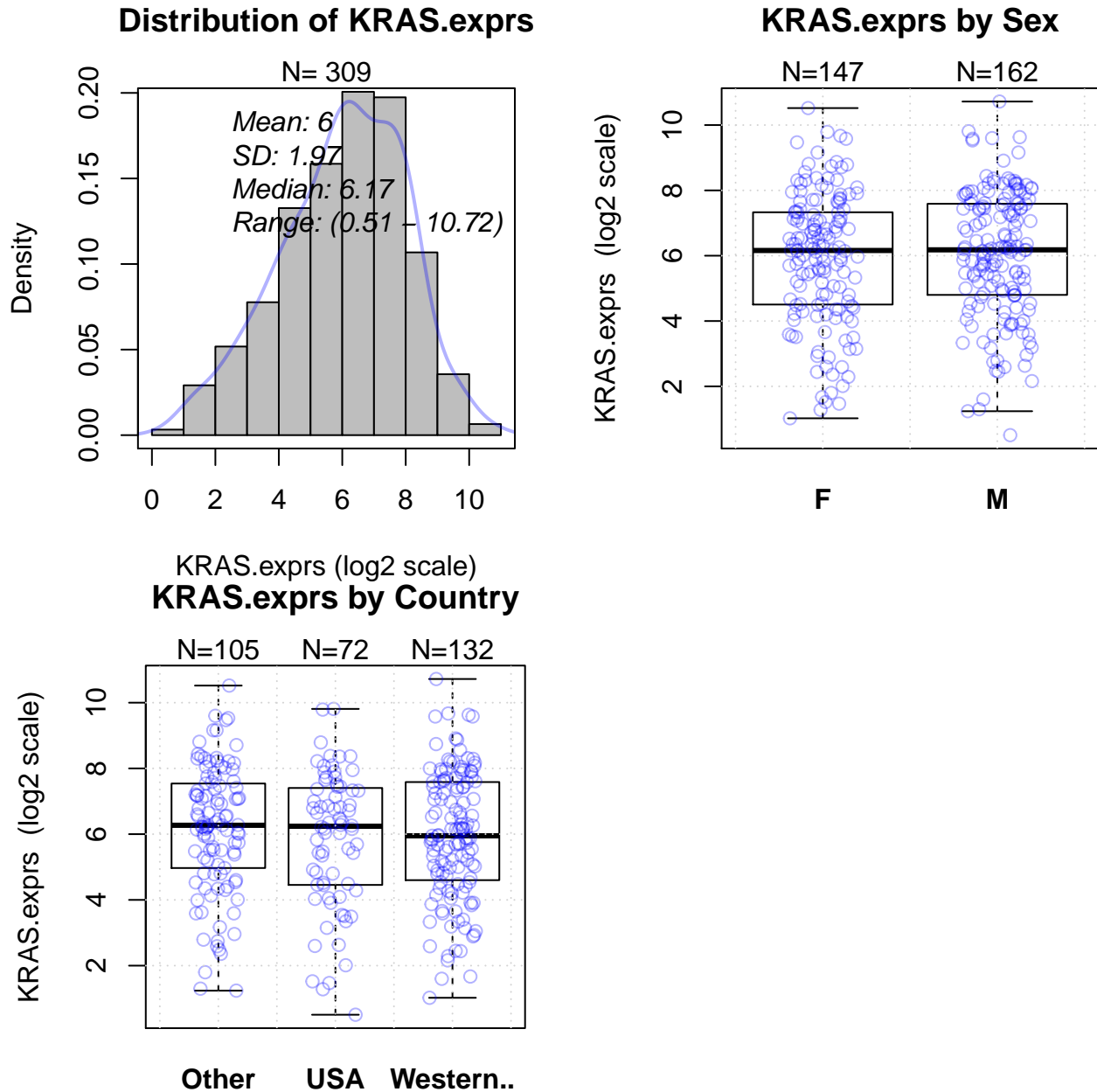
Table 9: BEP, model of Sex, Weight

	HR	CI.low	CI.high	p-value	n.trt	n.ref
Sex (M/F)	0.7	0.46	1.06	0.09	86	90

	HR	CI.low	CI.high	p-value	n.trt	n.ref
Weight	0.99	0.97	1	0.094		

#### 4.2.2 Biomarker property and association with clinical variables

```
PlotProperty(data=input.trt, biomarker.var="KRAS.exprs", biomarker.class="numeric",
var=c("Sex", "Country"), var.class=c("categorical", "categorical"),
log2=TRUE, par.param = list(mfrow=c(1,2)))
```



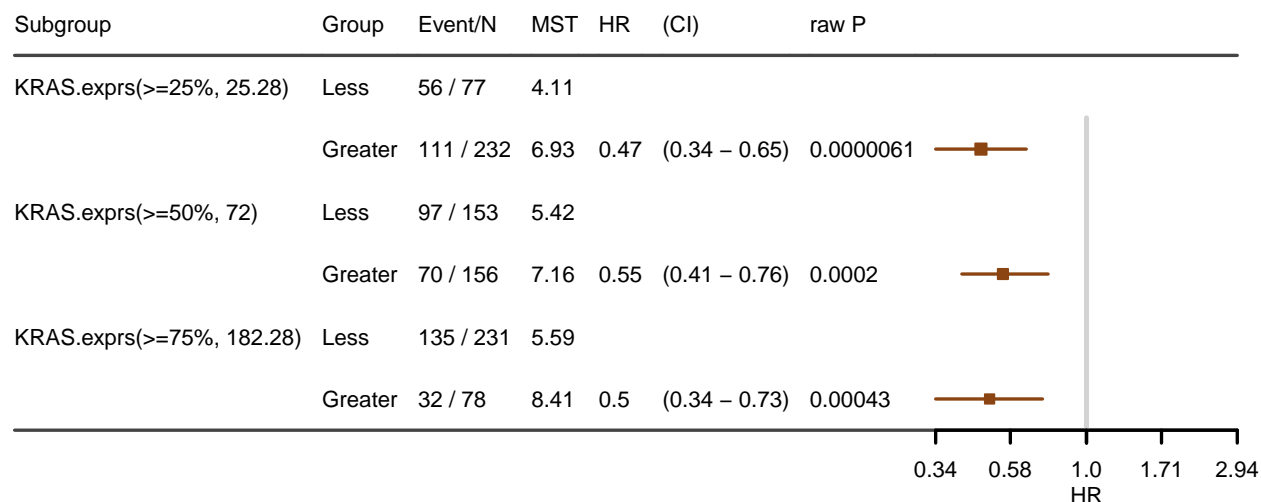
## 4.2.3 Biomarker cutoff exploration/selection

### 4.2.3.1 Try different cutoffs - look for consistent trend

```
res.multicut <- PlotTabForestBiomarker(data=input.trt,  
                                       outcome.class="survival",  
                                       outcome.var=c("PFS","PFS.event"),  
                                       trt=NULL,  
                                       var="KRAS.exprs",  
                                       var.class="numeric",  
                                       percentile.cutoff=c(.25,.5,.75),  
                                       greater=TRUE, less=FALSE,  
                                       show.itt=FALSE, show.bep=FALSE)
```

## Some NAs in var column, will define the non NA entries as BEP

#### Within-arm Effect of Biomarker PFS, KRAS.exprs Unadjusted, unstratified analysis



Within-bin analysis and STEPP are not available in single-arm analysis.

## 4.2.4 Biomarker subgroup analysis (using selected cutoff)

### 4.2.4.1 Subgroup analysis

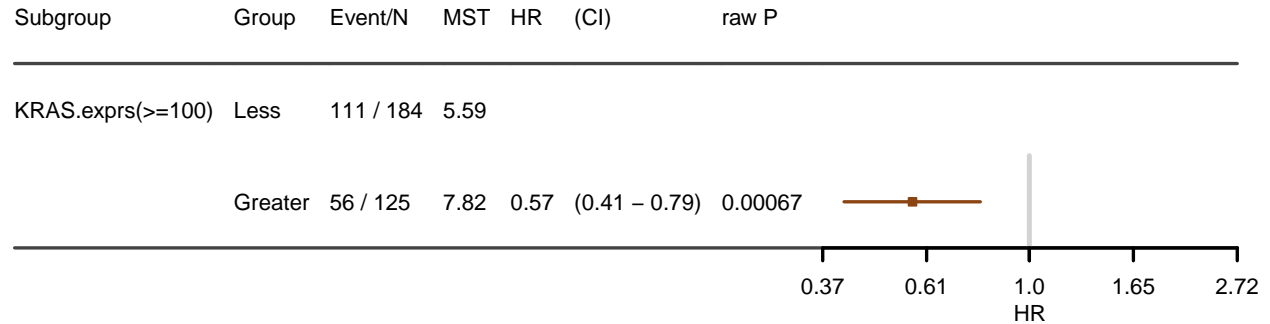
Suppose the proposed cutoff is 100

```
res.subgroups.cut <- PlotTabForestBiomarker(data=input.trt,  
                                             outcome.class="survival",  
                                             outcome.var=c("PFS","PFS.event"),  
                                             trt=NULL,  
                                             var="KRAS.exprs",  
                                             var.class="numeric",  
                                             percentile.cutoff=NULL,  
                                             numerical.cutoff=100,
```

```
greater=TRUE,less=FALSE,
show.itt=FALSE, show.bep=FALSE)
```

```
## Some NAs in var column, will define the non NA entries as BEP
```

**Within-arm Effect of Biomarker**  
**PFS, KRAS.exprs**  
**Unadjusted, unstratified analysis**



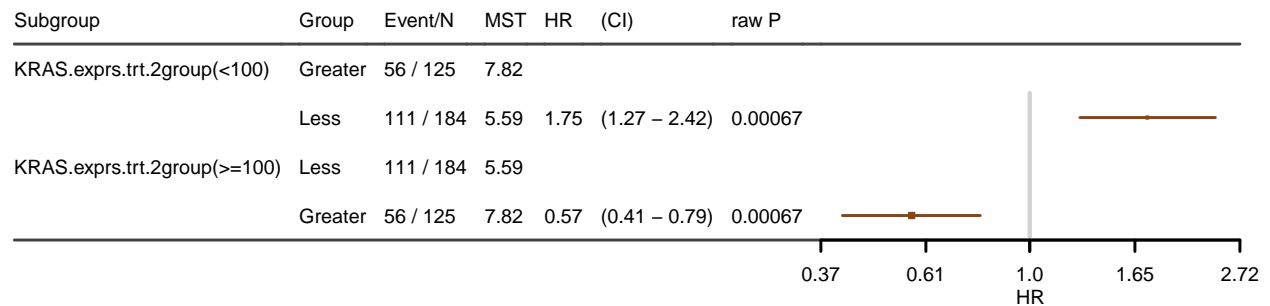
This can also be done by converting the continuous marker to a binary variable

```
input.trt$KRAS.exprs.trt.2group <- ifelse(input.trt$KRAS.exprs >= 100, ">=100", "<100")
input.trt$KRAS.exprs.2group <- factor(input.trt$KRAS.exprs.2group, levels=c(">=100", "<100")) # ">=100"

res.subgroups.bi <- PlotTabForestBiomarker(data=input.trt,
      outcome.class="survival",
      outcome.var=c("PFS", "PFS.event"),
      trt=NULL,
      var="KRAS.exprs.trt.2group",
      var.class="categorical",
      show.itt=FALSE, show.bep=FALSE)
```

```
## Some NAs in var column, will define the non NA entries as BEP
```

**Within-arm Effect of Biomarker**  
**PFS, KRAS.exprs.trt.2group**  
**Unadjusted, unstratified analysis**

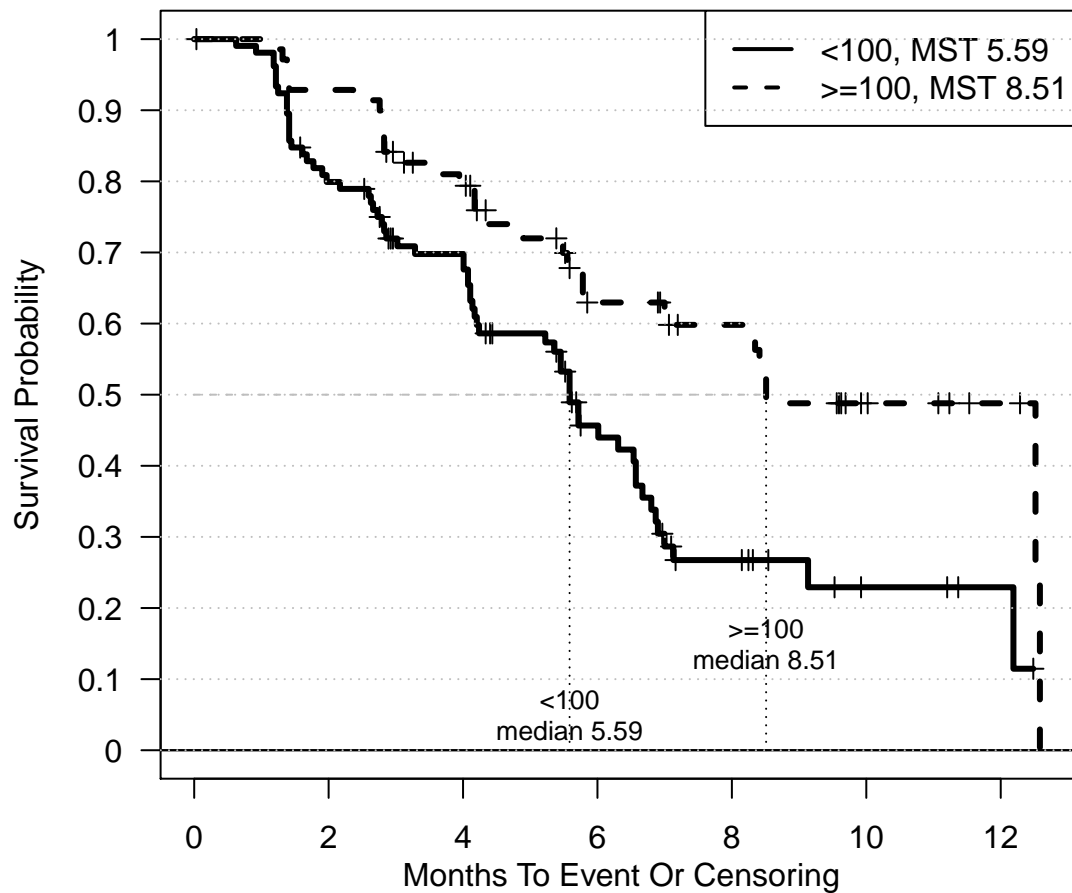


#### 4.2.4.2 KM curves



```
PlotKM(data=input.trt, tte="PFS",cen="PFS.event", bep="BEP",
       main="PFS in BEP, by KRAS expression subgroups, single arm",
       var=c("KRAS.exprs.trt.2group"), legend.loc="topright",
       plot.median=TRUE)
```

### PFS in BEP, by KRAS expression subgroups, single arm



<100	105	82	64	27	12	4	2
>=100	71	64	49	24	17	7	3

```
## [1] ""
```

#### 4.2.4.3 Check whether biomarker subgroup is confounded with clinical variables

```
input.trt.bep <- subset(input.trt, BEP==1)
kable(
  SummaryVars(data=input.trt.bep, trt=NULL, subgroup='KRAS.exprs.2group', var=c('Sex'),
              var.class="categorical", subgroup.indicator=">=100", compare.subgroup=TRUE, subgroup.name="exprs",
  )
```

	exprs_>=100	exprs_<100
Sex		
Total	71	105
NA's	0	0

	exprs_ $\geq$ 100	exprs_ $<$ 100
F	36 (50.7%)	54 (51.43%)
M	35 (49.3%)	51 (48.57%)

## 4.3 Categorical biomarker with survival outcome

### 4.3.1 Two-arm study

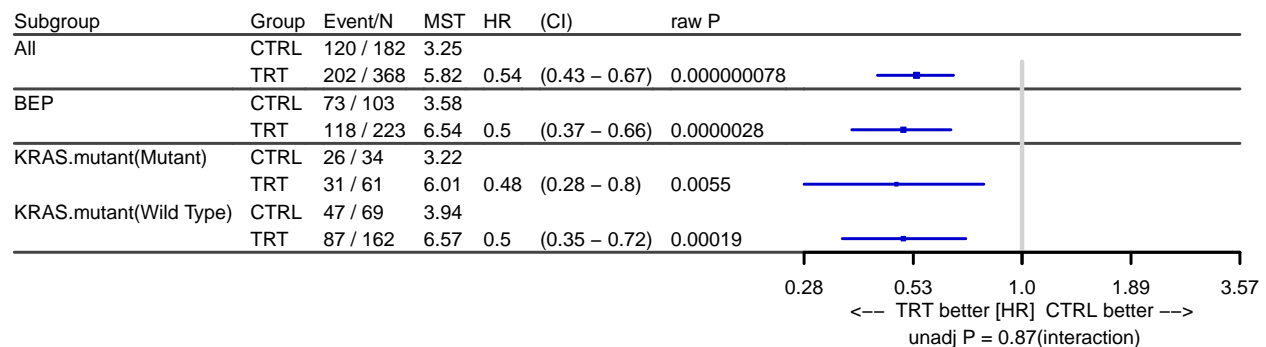
Analyses in section 4.1 can also be applied to categorical biomarker, except the cutoff exploration part (section 4.1.3).

For example, for categorical biomarker KRAS.mutant, the subgroup analysis can be performed using the `PlotTabForestBiomarker()` function:

```
res.subgroups.cat <- PlotTabForestBiomarker(data=input,  
      outcome.class="survival",  
      outcome.var=c("PFS","PFS.event"),  
      trt="Arm",  
      var="KRAS.mutant",  
      var.class="categorical",  
      show.itt=TRUE, show.bep=TRUE)
```

## Some NAs in var column, will define the non NA entries as BEP

#### Across-arm Effect of Biomarker PFS, KRAS.mutant Unadjusted, unstratified analysis



The forest plot above provides HR estimates across two arms

### 4.3.2 Single-arm study

Similarly, most functions in section 4.2 can be applied to categorical biomarker analysis (except the cutoff exploration part in section 4.2.3). For example, taking the `input.trt` data set which only contains patients from treatment arm:

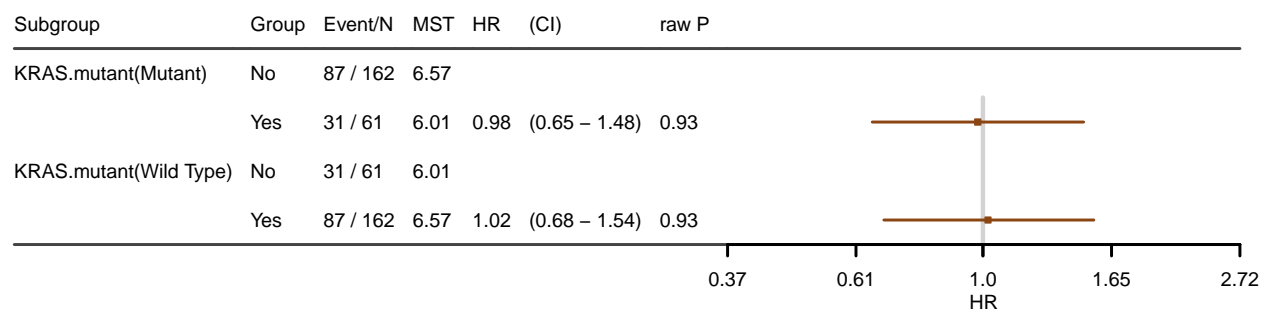
```
res.subgroups.cat <- PlotTabForestBiomarker(data=input.trt,  
      outcome.class="survival",  
      outcome.var=c("PFS","PFS.event"),  
      trt=NULL,  
      var="KRAS.mutant",  
      var.class="categorical")
```

## Some NAs in var column, will define the non NA entries as BEP

## only 1 arm; show.itt is set to FALSE

## only 1 arm; show.bep is set to FALSE

**Within-arm Effect of Biomarker**  
**PFS, KRAS.mutant**  
**Unadjusted, unstratified analysis**



## 4.4 Categorical outcome (e.g. response)

### 4.4.1 Check selection bias in terms of key clinical variables, between full population and BEP

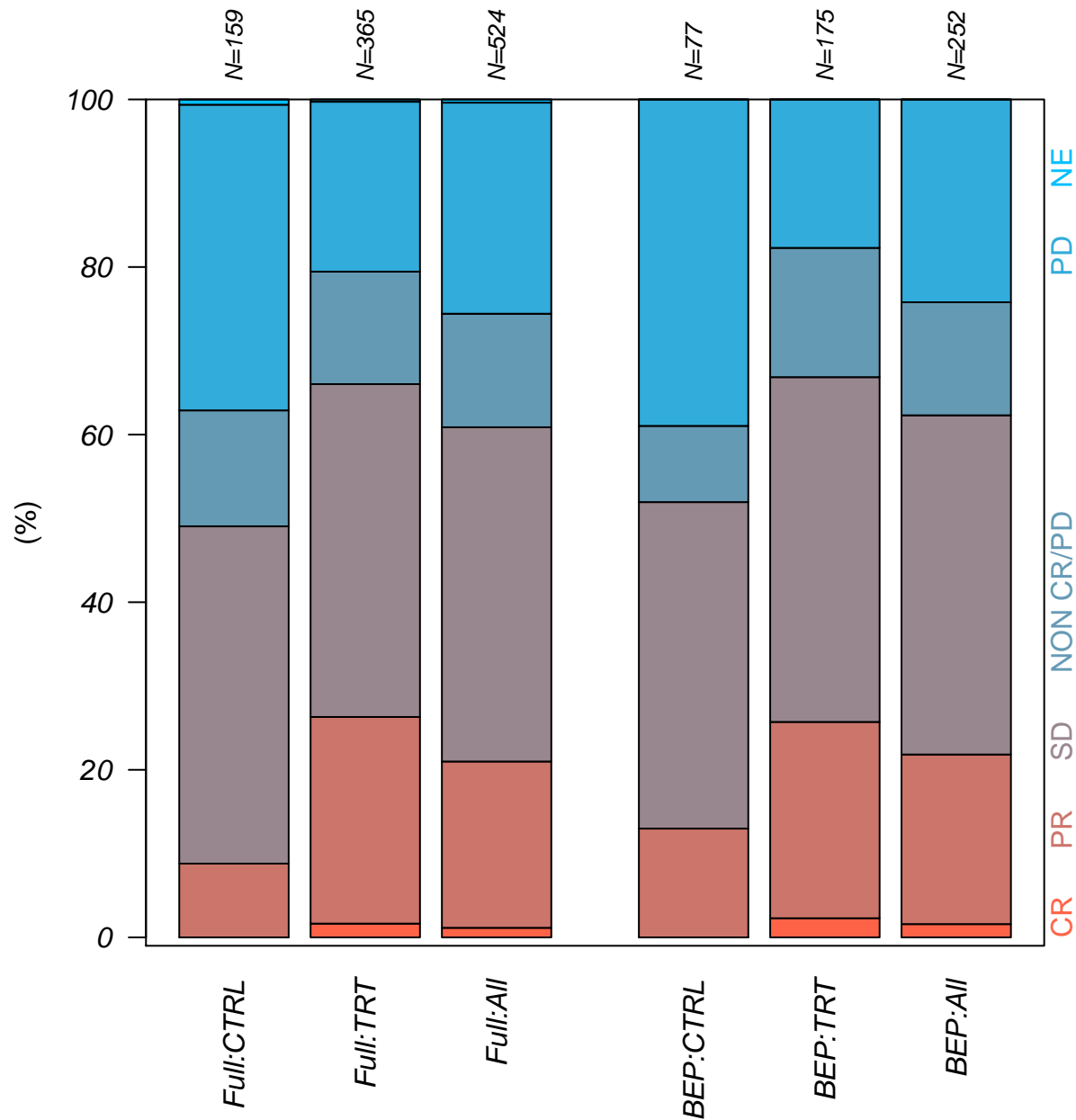
Majority of functions from section 4.1.1 can be directly applied on analyses of categorical endpoints.

Function `SumamryVars()` in section 4.1.1 can still be used to perform selection bias checking of clinical variables. To check whether clinical outcome in BEP is comparable to the full population, user can use function `PlotRspBar()` when the clinical outcome is response assessment. The parameter `rep.levels` may be used to define order of the levels.

```
Rsp.out <- PlotRspBar(data=input, outcome.var="Response", binary=FALSE,  
rsp.levels=c("CR", "PR","SD","NON CR/PD", "PD","NE"),trt="Arm",  
compare.bep.itt=TRUE, bep = "BEP")
```

```
## entries with missing outcome.var are removed! 524 entries left
```

## Association of response rate



The summary statistics can be obtained by:

```
kable(Rsp.out$count, caption="count")
```

Table 11: count

	CR	PR	SD	NON CR/PD	PD	NE
Full:CTRL	0	14	64	22	58	1
Full:TRT	6	90	145	49	74	1
Full:All	6	104	209	71	132	2
BEP:CTRL	0	10	30	7	30	0
BEP:TRT	4	41	72	27	31	0

	CR	PR	SD	NON CR/PD	PD	NE
BEP:All	4	51	102	34	61	0

```
kable(round(Rsp.out$perc,2), caption="percentage")
```

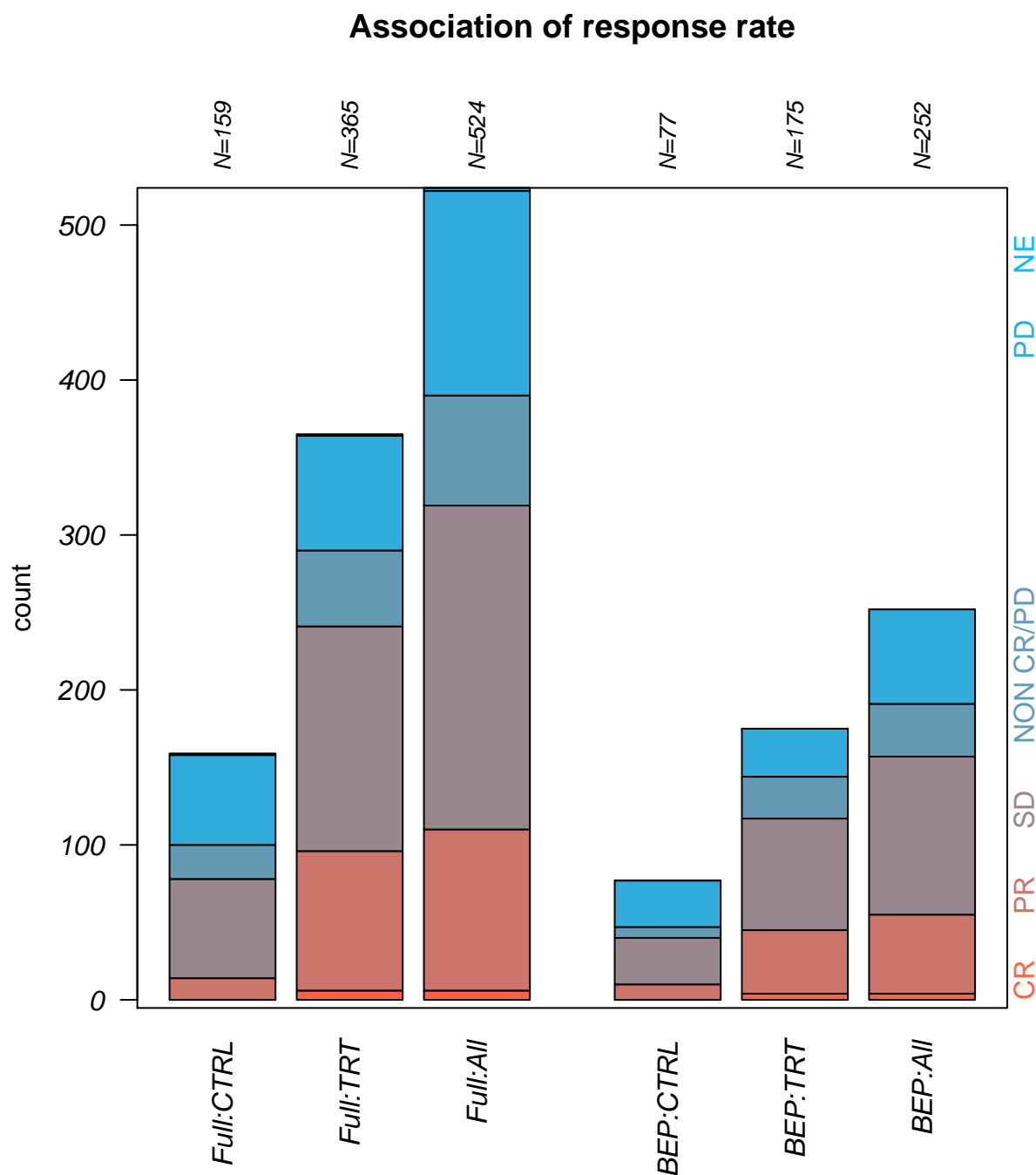
Table 12: percentage

	CR	PR	SD	NON CR/PD	PD	NE
Full:CTRL	0.00	0.09	0.40	0.14	0.36	0.01
Full:TRT	0.02	0.25	0.40	0.13	0.20	0.00
Full:All	0.01	0.20	0.40	0.14	0.25	0.00
BEP:CTRL	0.00	0.13	0.39	0.09	0.39	0.00
BEP:TRT	0.02	0.23	0.41	0.15	0.18	0.00
BEP:All	0.02	0.20	0.40	0.13	0.24	0.00

To plot counts instead of percentage, one can specify the `plot.count` parameter to TRUE:

```
PlotRspBar(data=input, outcome.var="Response", binary=FALSE,
rsp.levels=c("CR", "PR","SD","NON CR/PD", "PD","NE"),trt="Arm",
compare.bep.itt=TRUE, bep = "BEP", plot.count=TRUE)
```

```
## entries with missing outcome.var are removed! 524 entries left
```

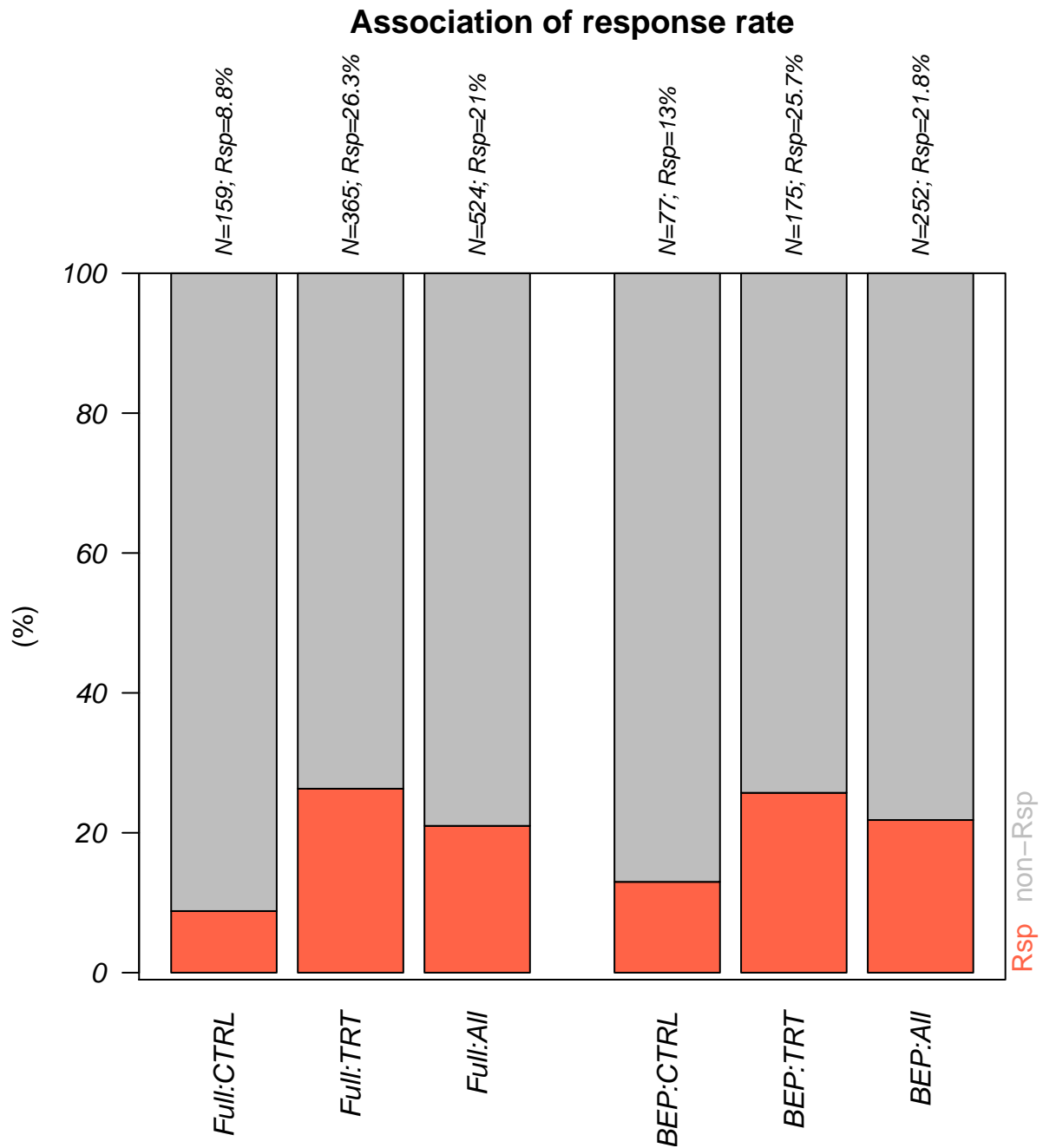


To summarize the response into two classes - responder vs. non-responder, user may set the parameter `binary` to `TRUE`. Parameter `rsp.response` (`rsp.nonresponse`) can be used to define levels to be considered as responder (non-responder). Patients whose response outcome don't fall into these defined levels will be eliminated from the analysis.

```
Rsp.out.2 <- PlotRspBar(data=input, outcome.var="Response", binary=TRUE,
  rsp.response = c("CR","PR"),
  rsp.nonresponse = c("SD", "PD","NON CR/PD","NE"),trt="Arm",
  compare.bep.itt=TRUE, bep = "BEP")
```

## entries with missing outcome.var are removed! 524 entries left





The summary statistics can be obtained by:

```
kable(Rsp.out.2$count, caption="count")
```

Table 13: count

	Rsp	non-Rsp
Full:CTRL	14	145
Full:TRT	96	269
Full:All	110	414
BEP:CTRL	10	67
BEP:TRT	45	130
BEP:All	55	197

Rsp	non-Rsp
-----	---------

```
kable(round(Rsp.out.2$perc,2), caption="percentage")
```

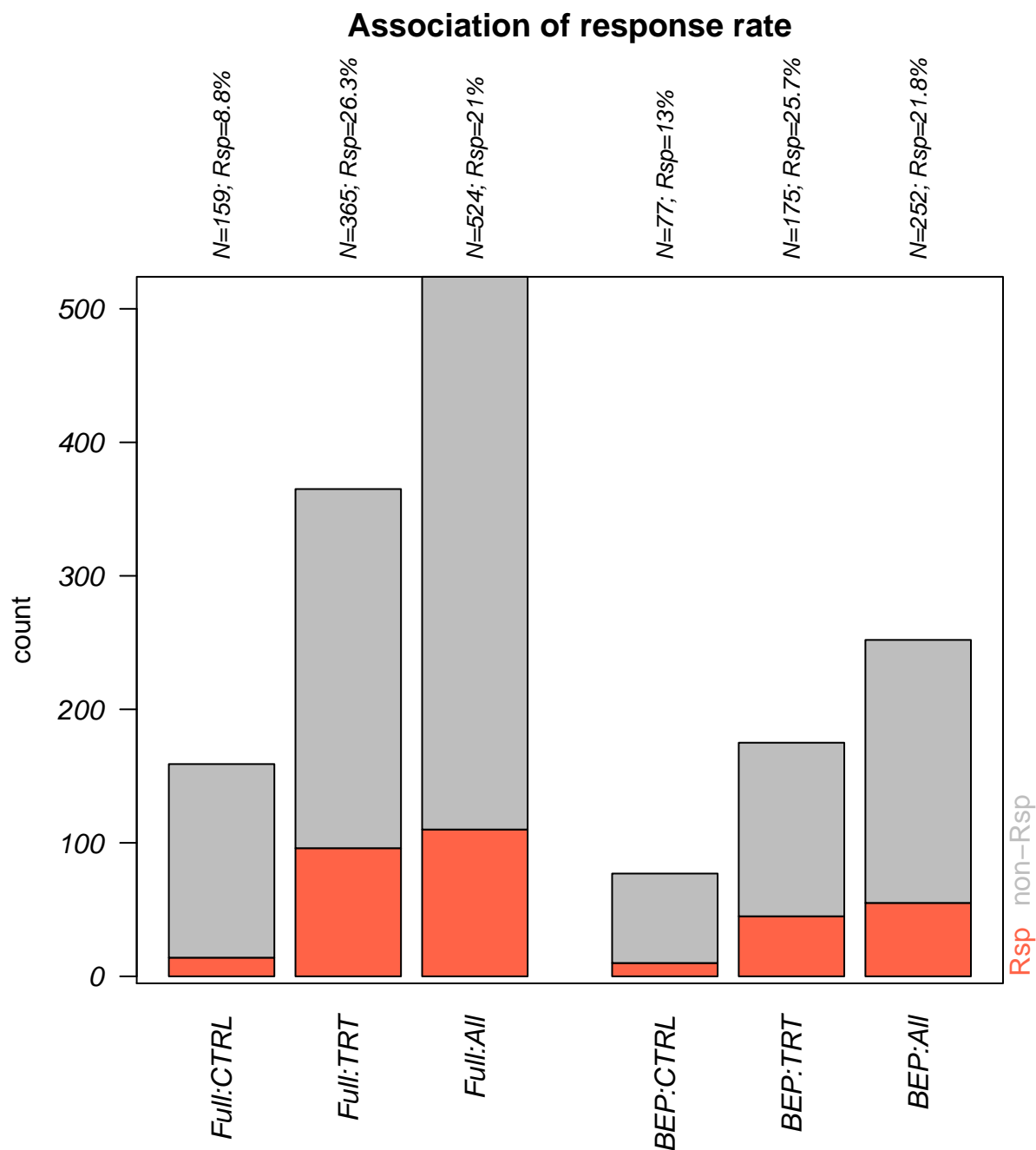
Table 14: percentage

	Rsp	non-Rsp
Full:CTRL	0.09	0.91
Full:TRT	0.26	0.74
Full:All	0.21	0.79
BEP:CTRL	0.13	0.87
BEP:TRT	0.26	0.74
BEP:All	0.22	0.78

To plot counts instead of percentage, one can specify the `plot.count` parameter to TRUE:

```
PlotRspBar(data=input, outcome.var="Response", binary=TRUE,
rsp.response = c("CR","PR"),
rsp.nonresponse = c("SD", "PD","NON CR/PD","NE"),trt="Arm",
compare.bep.itt=TRUE, bep = "BEP", plot.count = TRUE)
```

```
## entries with missing outcome.var are removed! 524 entries left
```



#### 4.4.2 Continuous biomarker in a two-arm study

Most of functions in workflow in section 4.1 may be used in analyses of continuous biomarker in a 2-arm study with categorical response. The following sections show examples of categorical-response specific use cases

##### 4.4.2.1 Check within-arm trend

To check the within-arm trend associated with categorical outcome, user may use the same function `PlotTabForestBiomarker()` as in section 4.1.2.2. Instead of specifying `outcome.class` as “survival”, user can specify `outcome.class` as “binary”.

```

input.ctrl <- subset(input, Arm=="CTRL") ## Data with only ctrl samples
res.multicut.ctrl <- PlotTabForestBiomarker(data=input.ctrl,
      outcome.class=c("binary"),
      outcome.var=c("Response"),
      rsp.cat = TRUE,
      rsp.response = c("CR","PR"),
      rsp.nonresponse = c("SD", "PD","NON CR/PD","NE",NA),
      var="KRAS.exprs",
      var.class="numeric",
      percentile.cutoff=c(.25,.5,.75), main.prefix="CTRL",
      greater=TRUE, less=FALSE)

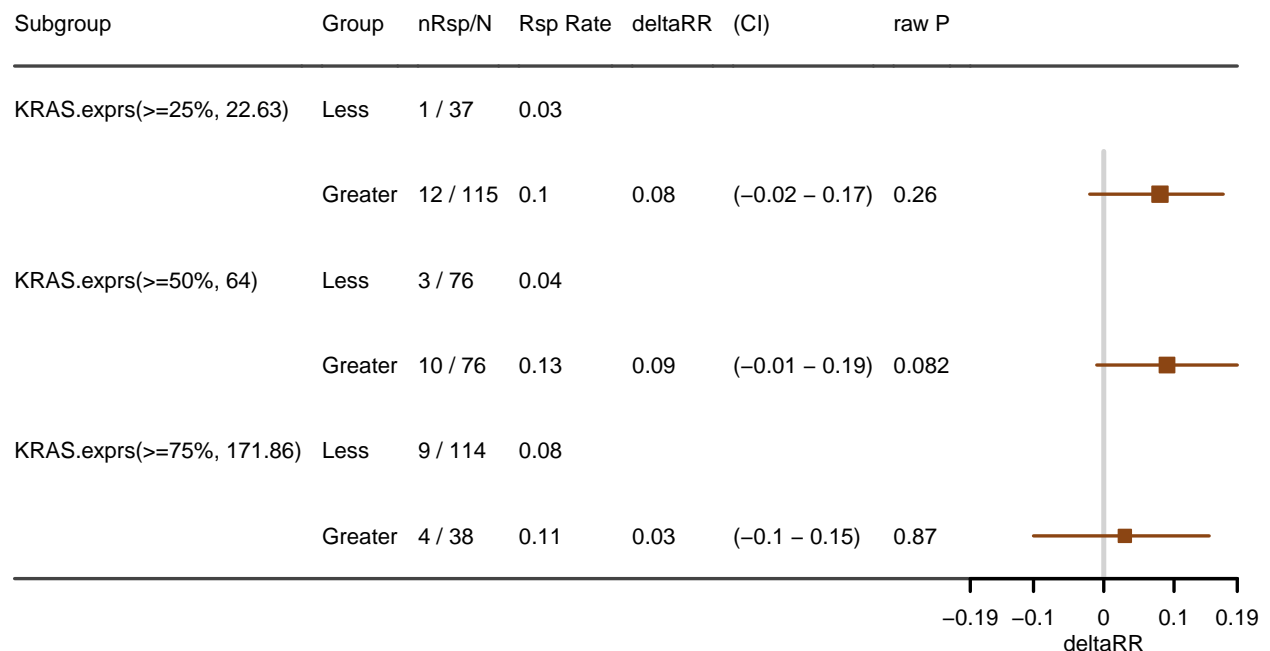
```

```

## Covariate adjustment and stratification are not supported for binary outcome
## Some NAs in var column, will define the non NA entries as BEP
## only 1 arm; show.itt is set to FALSE
## only 1 arm; show.bep is set to FALSE
## Warning in prop.test(c(r1, r2), c(n1, n2), conf.level = 1 - alpha,
## correct = fit.para[["prop.test.use.continuity.correction"]]): Chi-squared
## approximation may be incorrect
## Warning in prop.test(c(r1, r2), c(n1, n2), conf.level = 1 - alpha,
## correct = fit.para[["prop.test.use.continuity.correction"]]): Chi-squared
## approximation may be incorrect

```

**CTRL Within-arm Effect of Biomarker**  
**Response, KRAS.exprs**  
**Unadjusted, unstratified analysis**



```

input.trt <- subset(input, Arm=="TRT") ## Data with only trt samples
res.multicut.trt <- PlotTabForestBiomarker(data=input.trt,
  outcome.class=c("binary"),
  outcome.var=c("Response"),
  rsp.cat = TRUE,
  rsp.response = c("CR","PR"),
  rsp.nonresponse = c("SD", "PD","NON CR/PD","NE",NA),
  var="KRAS.exprs",
  var.class="numeric",
  percentile.cutoff=c(.25,.5,.75), main.prefix="TRT",
  greater=TRUE, less=FALSE)

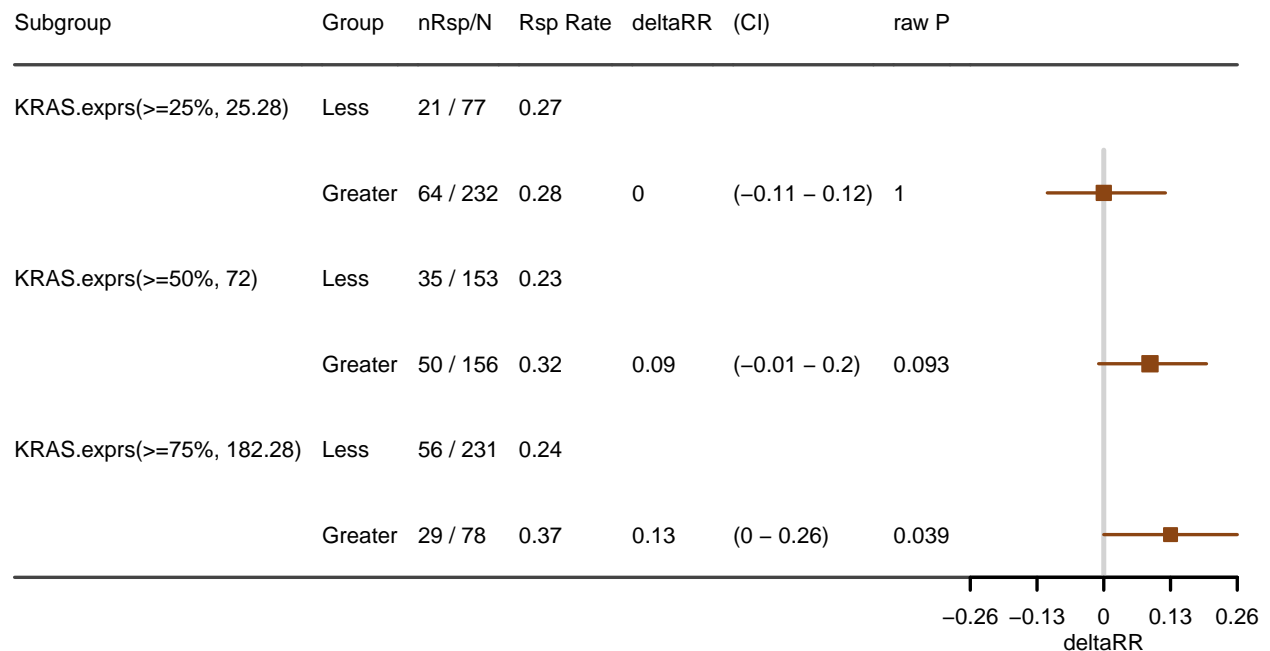
```

```

## Covariate adjustment and stratification are not supported for binary outcome
## Some NAs in var column, will define the non NA entries as BEP
## only 1 arm; show.itt is set to FALSE
## only 1 arm; show.bep is set to FALSE
## Warning in par(old.par): calling par(new=TRUE) with no plot

```

**TRT Within-arm Effect of Biomarker**  
**Response, KRAS.exprs**  
**Unadjusted, unstratified analysis**



The summary statistics shown in the forest plot is

$\text{deltaRR} = [\text{Response Rate in biomarker high}] - [\text{Response Rate in biomarker low}]$

To calculate the response rate, user needs to binarize the response classes into two classes.

Parameter `rsp.response` (`rsp.nonresponse`) can be used to define levels to be considered as responder (non-responder). Patients whose response outcome don't fall into these defined levels will be eliminated from

the analysis.

In both arms, we observe that patients with higher expression tend to have higher response rate. This indicates that in terms of response, KRAS.exprs shows prognostic trend.

#### 4.4.2.2 Seeking for predictive trend: cutoff exploration/selection

Similar to the example in section 4.1.3,

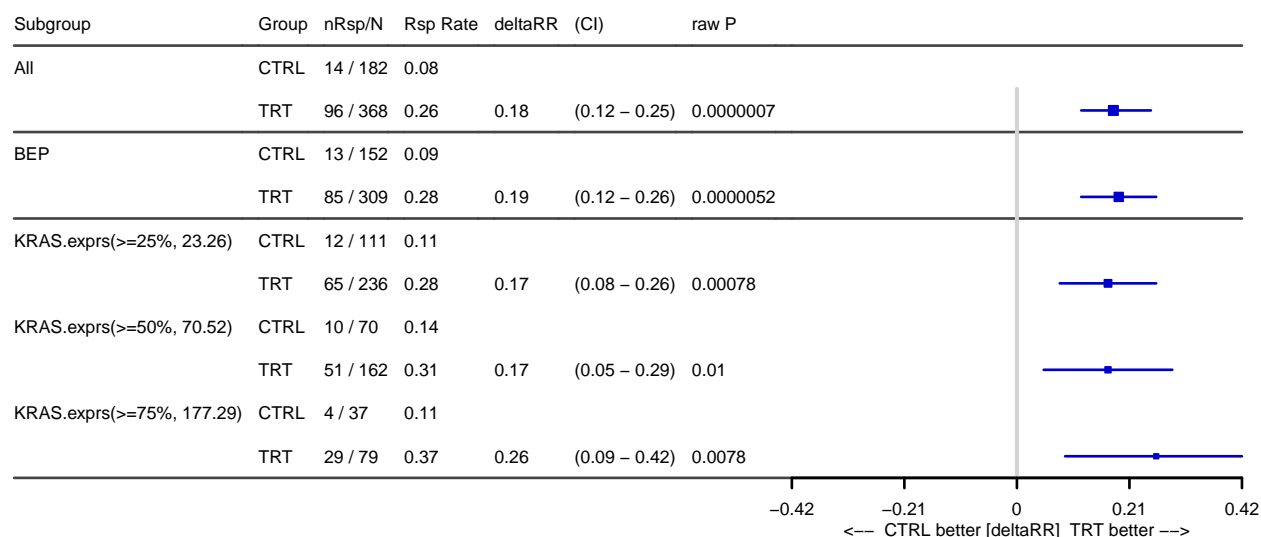
function `PlotTabForestBiomarker()` also can be used for cutoff exploration.

```
res.multicut.2arm <- PlotTabForestBiomarker(data=input,
  outcome.class=c("binary"),
  outcome.var=c("Response"),
  trt = "Arm",
  rsp.cat = TRUE,
  rsp.response = c("CR", "PR"),
  rsp.nonresponse = c("SD", "PD", "NON CR/PD", "NE", NA),
  var="KRAS.exprs",
  var.class="numeric",
  percentile.cutoff=c(.25,.5,.75),
  greater=TRUE, less=FALSE)
```

## Covariate adjustment and stratification are not supported for binary outcome

## Some NAs in var column, will define the non NA entries as BEP

#### Across-arm Effect of Biomarker Response, KRAS.exprs Unadjusted, unstratified analysis



In across-arm analysis, for a given subgroup, the delta RR is calculated as [Response Rate in treatment] - [Response Rate in control]

From the figure above, we can observe that no matter where we set the biomarker cutoff, the biomarker high group always shows similar delta RR as the BEP delta RR (as well as full population delta RR)

We can further examine the predictive trend by looking at the within-bin analysis:

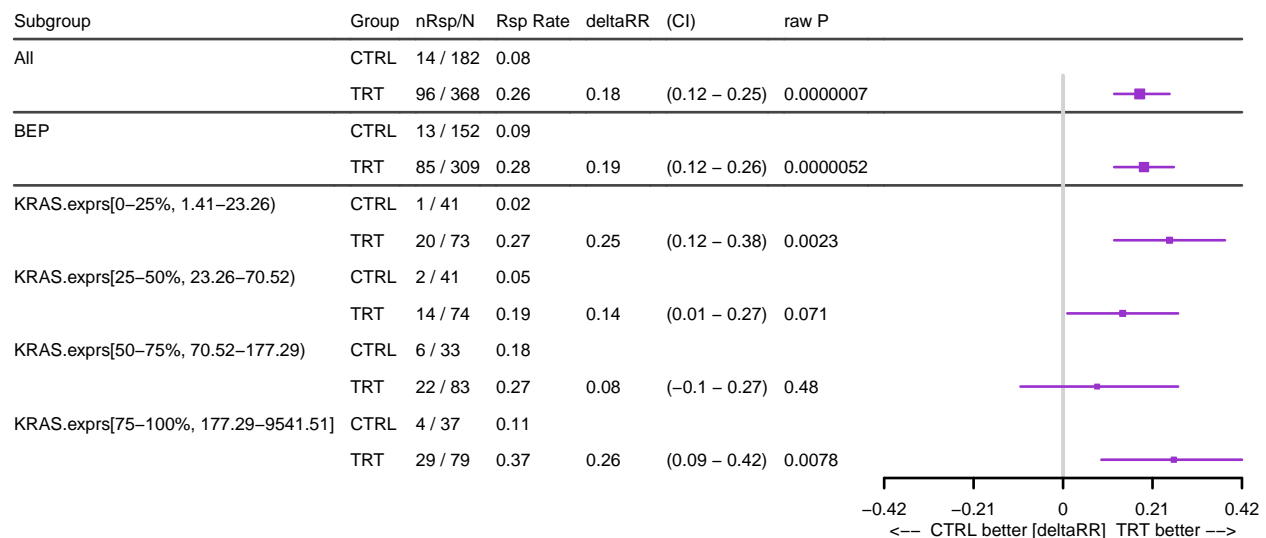
```
res.multicut.2arm <- PlotTabForestBiomarker(data=input,
  outcome.class=c("binary"),
  outcome.var=c("Response"),
  trt = "Arm",
  rsp.cat = TRUE,
  rsp.response = c("CR","PR"),
  rsp.nonresponse = c("SD", "PD","NON CR/PD","NE",NA),
  var="KRAS.exprs",
  var.class="numeric",
  percentile.cutoff=c(.25,.5,.75),
  within.bin=TRUE)
```

## Covariate adjustment and stratification are not supported for binary outcome

## Some NAs in var column, will define the non NA entries as BEP

## within.bin is TRUE, greater and less will be ignored

**Across-arm Effect of Biomarker  
Response, KRAS.exprs  
Unadjusted, unstratified analysis**



This indicates that there is no clear predictive trend in terms of the response outcome - the result doesn't show that the higher (lower) the expression, the more benefit in treatment arm compare to control arm.

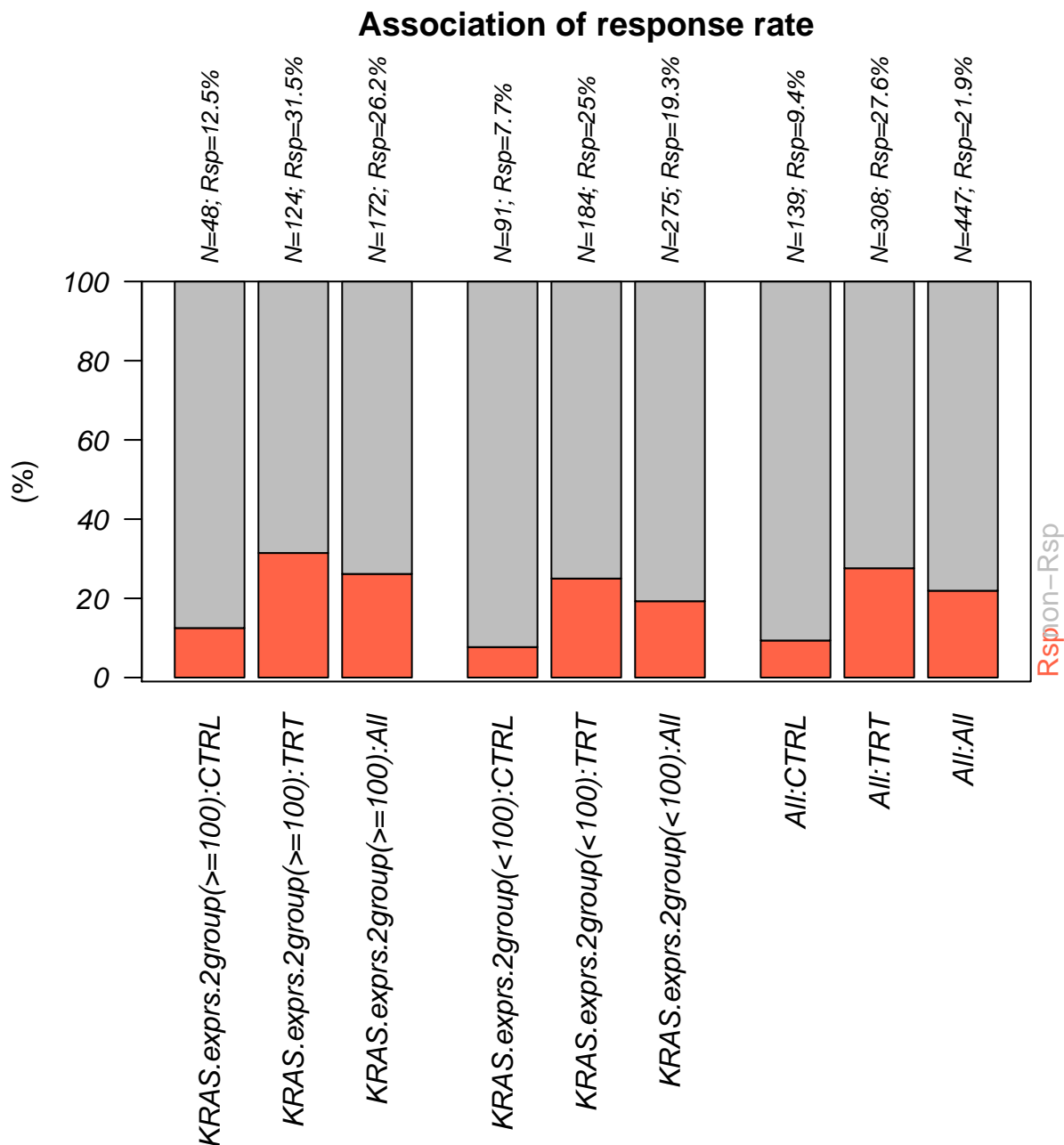
#### 4.4.2.3 Subgroup analysis

Suppose that we are interested in looking at subgroup analysis using cutoff = 100, function `PlotRspBar()` can again be used to perform the subgroup analysis. To do so, user can input the dichotomized biomarker variable into parameter `var`, and specify `compare.var=TRUE`

```
input$KRAS.exprs.2group <- ifelse(input$KRAS.exprs >= 100, ">=100", "<100")
input$KRAS.exprs.2group <- factor(input$KRAS.exprs.2group, levels=c(">=100", "<100")) # ">=100" as Dx+
Rsp.out.2 <- PlotRspBar(data=input, outcome.var="Response", binary=TRUE,
  rsp.response = c("CR","PR"),
  rsp.nonresponse = c("SD", "PD","NON CR/PD","NE"),trt="Arm",
```

```
bep = "BEP",compare.var=TRUE, var="KRAS.exprs.2group")
```

## entries with missing outcome.var are removed! 524 entries left



The subgroup analysis again confirmed the prognostic effect - when biomarker is high ( $\geq 100$ ), patients in both TRT and CTRL arms have improved response outcome.

Compare to results shown in section 4.1, we see that the KRAS.exprs show predictive trend in terms of PFS, but prognostic trend in terms of response outcome. Although those results are based on simulated data, in empirical study it is also possible that the biomarker shows different trend when associating to different endpoints (e.g. response vs. PFS, PFS vs. OS, investigator PFS vs. IRF PFS). Therefore when analyzing biomarker data, it is important to make sure the analysis is using appropriate endpoint.



### 4.4.3 Other scenarios

#### 4.4.3.1 Continuous biomarker in a single-arm study

See section 4.2 and section 4.4.2

#### 4.4.3.2 Categorical biomarker in a two-arm study

See section 4.3.1 and section 4.4.2

#### 4.4.3.3 Categorical biomarker in a single-arm study

See section 4.3.2 and section 4.4.2

## 4.5 Continuous outcome

The similar workflow can be applied to cases with continuous endpoint.

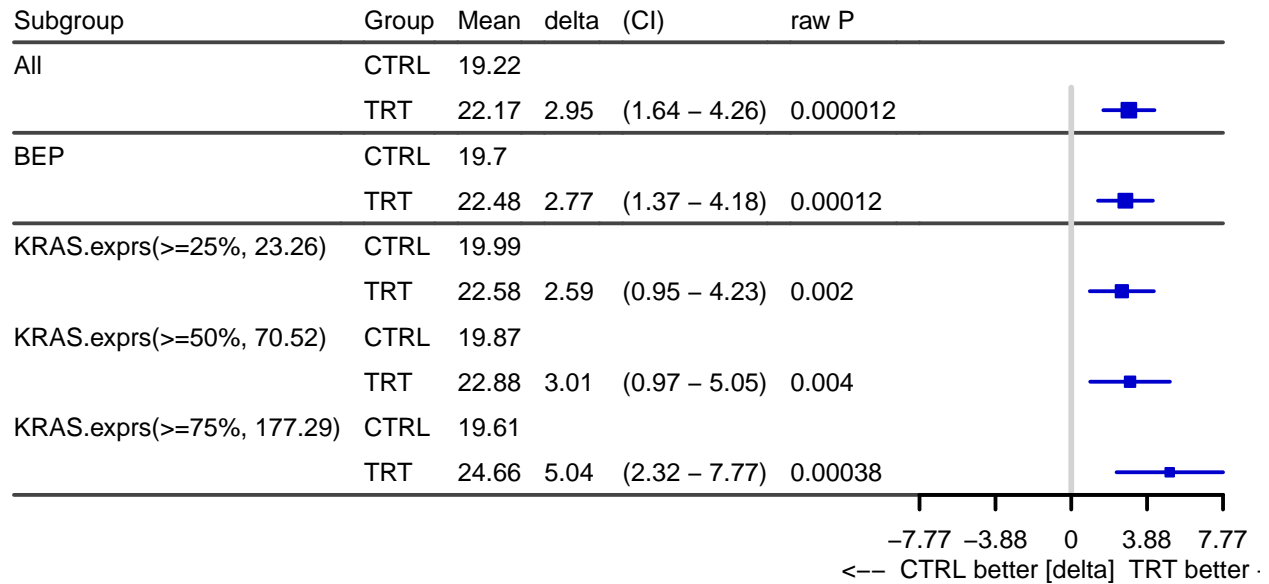
For example, function `PlotTabForestBiomarker()` can also be used for continuous endpoint. Using `Lab_ontrt` as continuous surrogate endpoint:

```
res.multicut <- PlotTabForestBiomarker(data=input,
                                       outcome.class="continuous",
                                       outcome.var="Lab_ontrt",
                                       trt="Arm",
                                       var="KRAS.exprs",
                                       var.class="numeric",
                                       percentile.cutoff=c(.25,.5,.75),
                                       greater=TRUE, less=FALSE,
                                       show.itt=TRUE, show.bep=TRUE)
```

```
## Stratification is not supported for continuous outcome
```

```
## Some NAs in var column, will define the non NA entries as BEP
```

**Across-arm Effect of Biomarker**  
**Lab\_ontrt, KRAS.exprs**  
**Unadjusted, unstratified analysis**



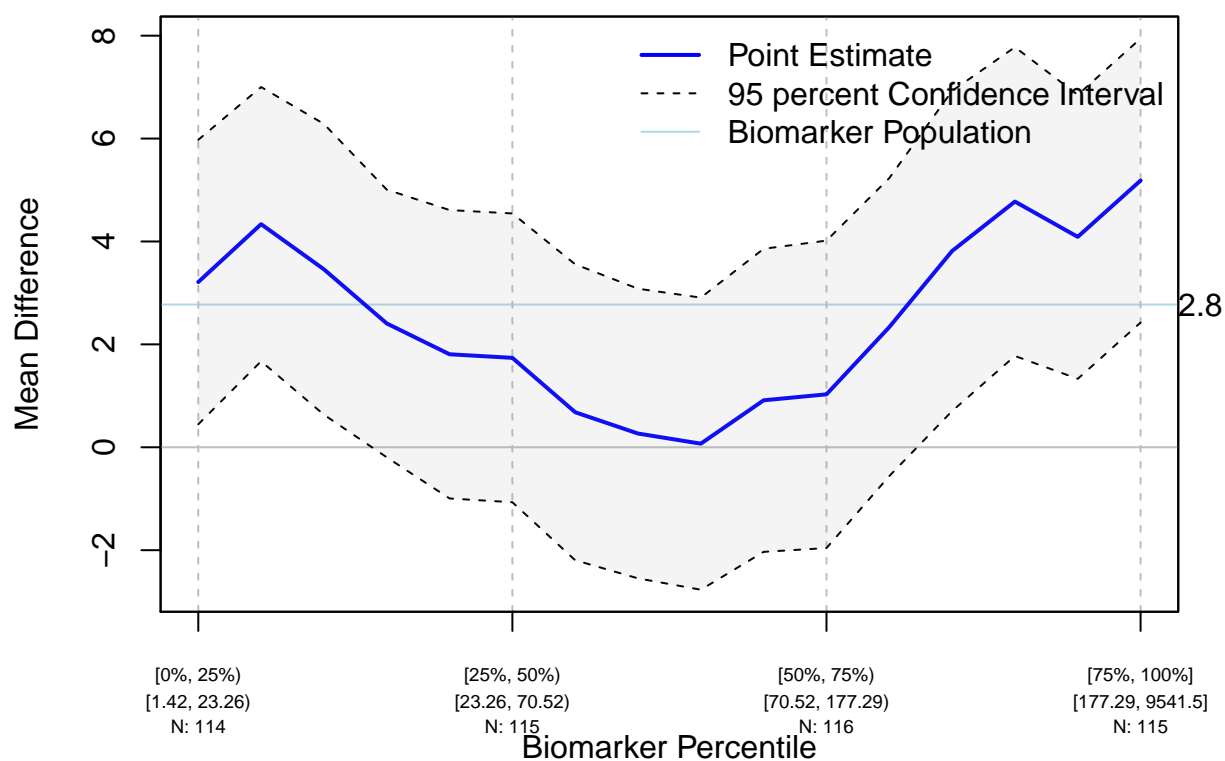
The forest plot above shows the difference in mean between treatment arm and control arm.

Function PlotSTEPP() can also be used for continuous endpoint:

```
stepp.out <- PlotSTEPP(data = input,
  outcome.var = "Lab_ontrt",
  outcome.class = "continuous",
  trt = "Arm",
  var = "KRAS.exprs",
  placebo.code = "CTRL",
  active.code = "TRT"
)
```

## some NA in var column, will ignore NA entries

## STEPP: Subgroup Treatment Effect Pattern Plot



## 4.6 Longitudinal analysis (e.g. PD biomarker)

### 4.6.1 Longitudinal Sample Data (longbmkcr)

```
head(longbmkcr)
```

```
## # A tibble: 6 x 8
##   pid    trt    sex    age    edu    bmkcr    vm    ep
##   <int> <fctr> <fctr>    <dbl> <dbl>    <dbl> <dbl>    <dbl>
## 1     1     1     m 33.74524    17 2.039438     0 189.4929
## 2     1     1     m 33.74524    17 2.039438     6 220.8376
## 3     1     1     m 33.74524    17 2.039438    12 229.2280
## 4     1     1     m 33.74524    17 2.039438    18 246.0740
## 5     1     1     m 33.74524    17 2.039438    24 319.4606
## 6     1     1     m 33.74524    17 2.039438    30 274.8363
```

Table 15: Longitudinal Biomarker Sample Data

Column Name	English Name	Description
pid	PatientID	Unique Patient Identifier
trt	Treatment	Binary Classification of Treatment (1) or Control (0)
sex	Sex	Male (“m”) or Female (“f”) binary classification of sex
age	Age	Age of Patient
edu	Education	Years of Education for the Patient
bmkcr	Biomarker Measurement	Biomarker Measurement Mock Biomarker Measurement Reading
vm	Visitation Month	Timepoint that data was collected
ep	Endpoint Measurement	Mock Endpoint Measurement Reading

### 4.6.2 Baseline Plots of Subsetted Longitudinal Data

The baseline data for longitudinal data can be accessed by subsetting the data for only the visitation that took place at month 0. This can be done in a couple ways. In base-R (without any extra packages), this can be done by slicing the data on the condition.

```
baseline_data <- longbmkcr[longbmkcr['vm'] == 0,]

kable(
  head(baseline_data),
  caption = 'longitudinal data subset by visit month to produce baseline data'
)
```

Table 16: longitudinal data subset by visit month to produce baseline data

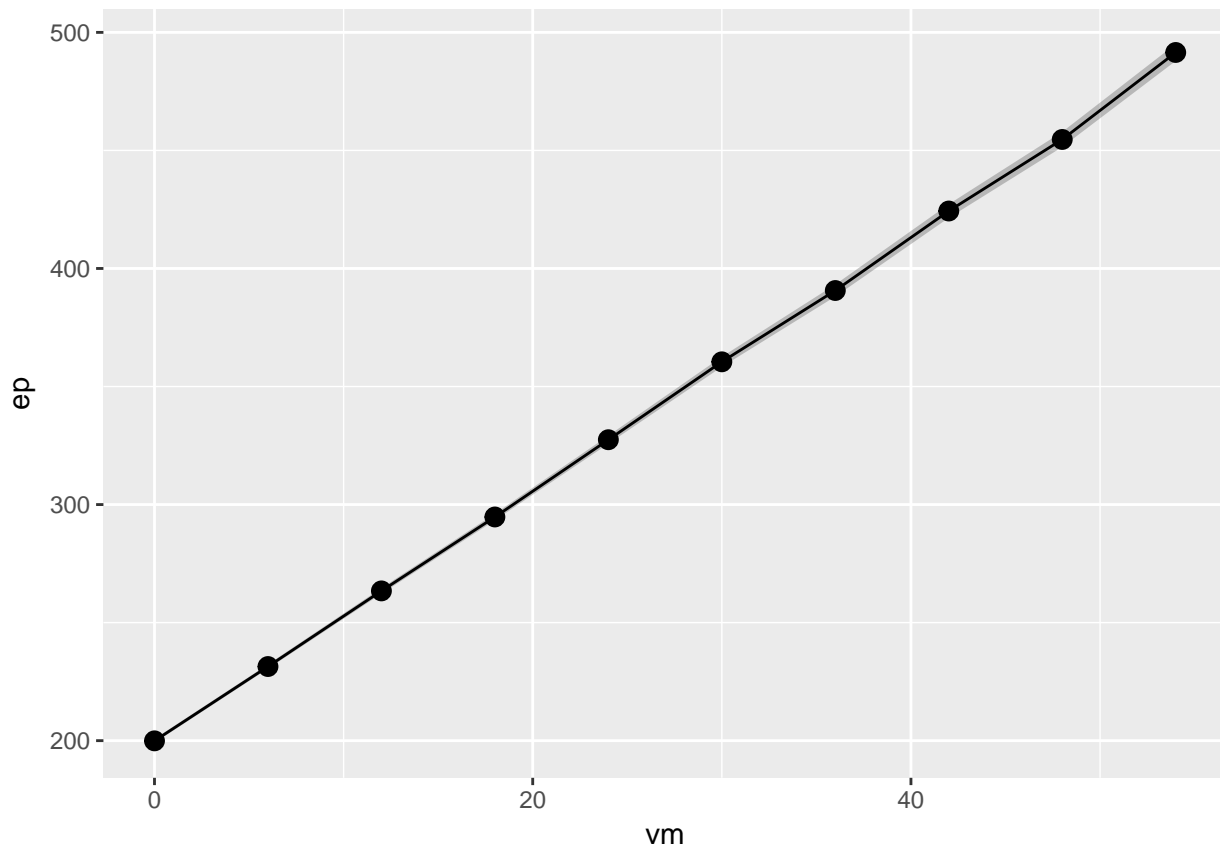
pid	trt	sex	age	edu	bmkcr	vm	ep
1	1	m	33.74524	17	2.0394381	0	189.4929
2	1	m	48.89196	15	0.9767885	0	217.5700
4	0	m	31.64887	9	1.2560775	0	230.4638
5	0	f	49.99146	10	2.2387438	0	181.7519
6	0	m	34.35769	10	3.0002676	0	199.1143
7	1	f	48.05002	9	1.1909059	0	185.8253

Baseline data from longitudinal data can be used for any of the plots described herein similar to the examples above.

### 4.6.3 Longitudinal Plots with PlotLong

The `PlotLong` function is called with only a small set of arguments. The first is the data to plot and the second is an aesthetic mapping (using the function `aes()`) to map columns to visual outputs. In its most simple form, you can plot the distribution of endpoint readings over the timecourse, for the entire patient population. By default, the `PlotLong` function plots a line with points at the timepoints for which data exists with a ribbon showing the mean  $\pm$  standard error at that timestamp.

```
PlotLong(longbmkr, x=vm, y=ep)
```

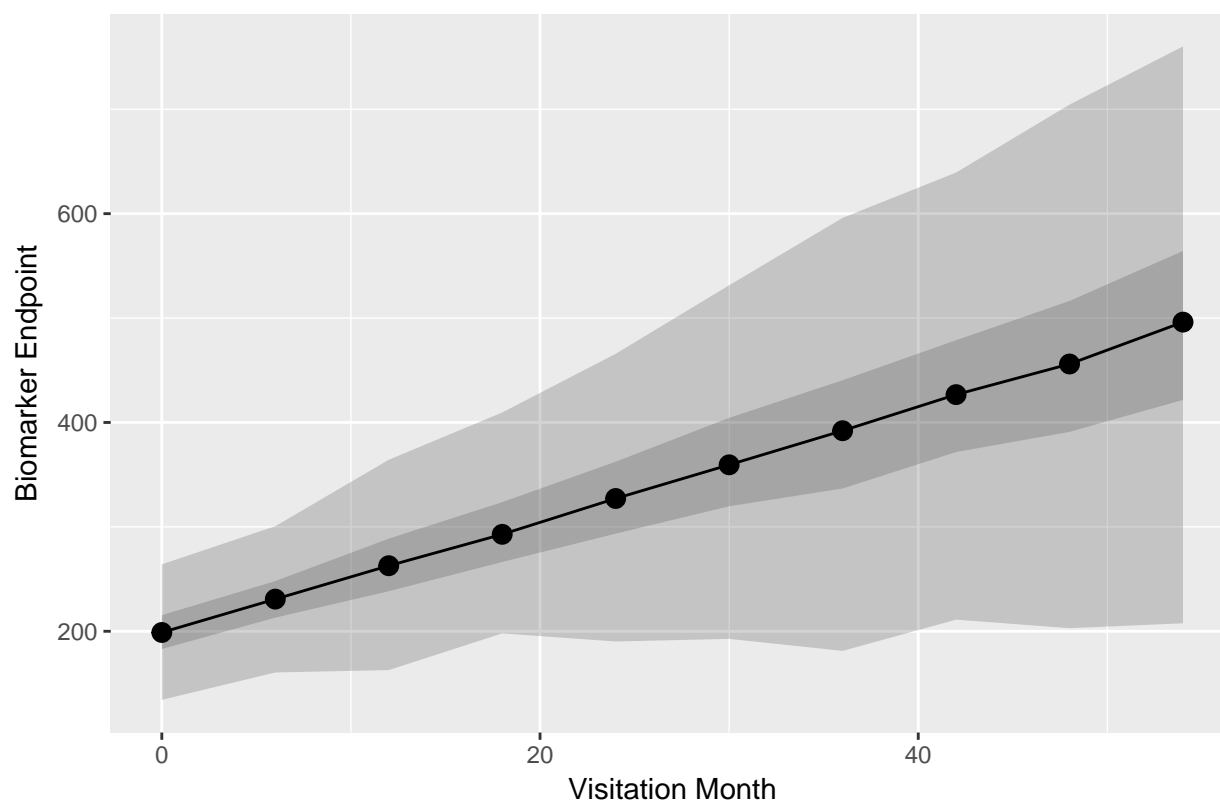


#### 4.6.3.1 Tukey Hinges and Whiskers

However, we may be more interested in the population distribution progression where we'd want to plot the Tukey boxplot hinges and whiskers over time. From this plot, we can see that although there is a slow rise in population mean, the patient response also becomes more distributed. You can specify, using the `fun.data` argument, exactly what the ribbons represent by using any keyword that can be passed to `stat_summary_funs` including "mean\_sd" (mean  $\pm$  standard deviation), "mean\_se" (mean  $\pm$  standard error of the mean), "median\_iqr" (median  $\pm$  interquartile range), "tukey" (tukey boxplot hinges and whiskers), "quartiles" and "deciles".

```
PlotLong(longbmkr, x=vm, y=ep, fun.data = 'tukey',  
         xlab = 'Visitation Month',  
         ylab = 'Biomarker Endpoint',  
         labs.title = 'Tukey Whiskers and Hinges')
```

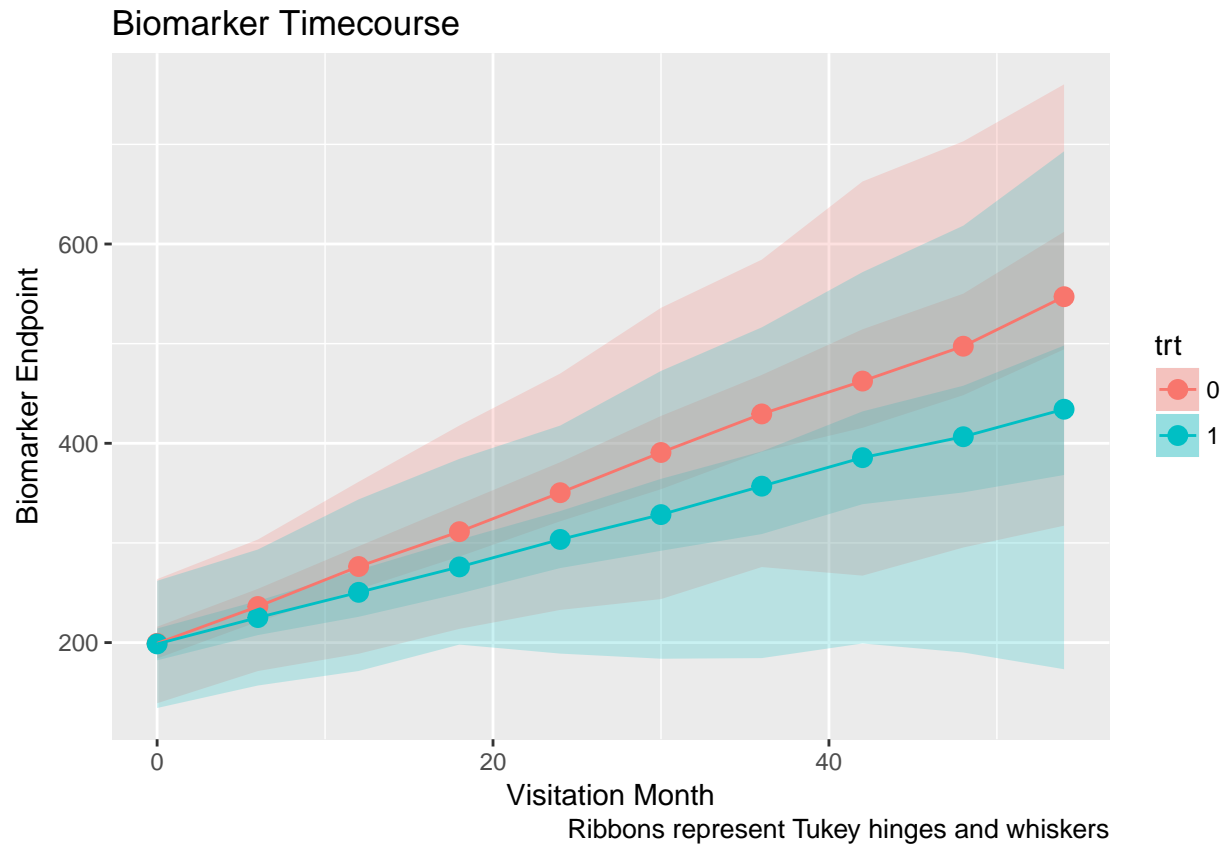
### Tukey Whiskers and Hinges



#### 4.6.3.2 Plotting Subpopulations (Two-Armed Trials)

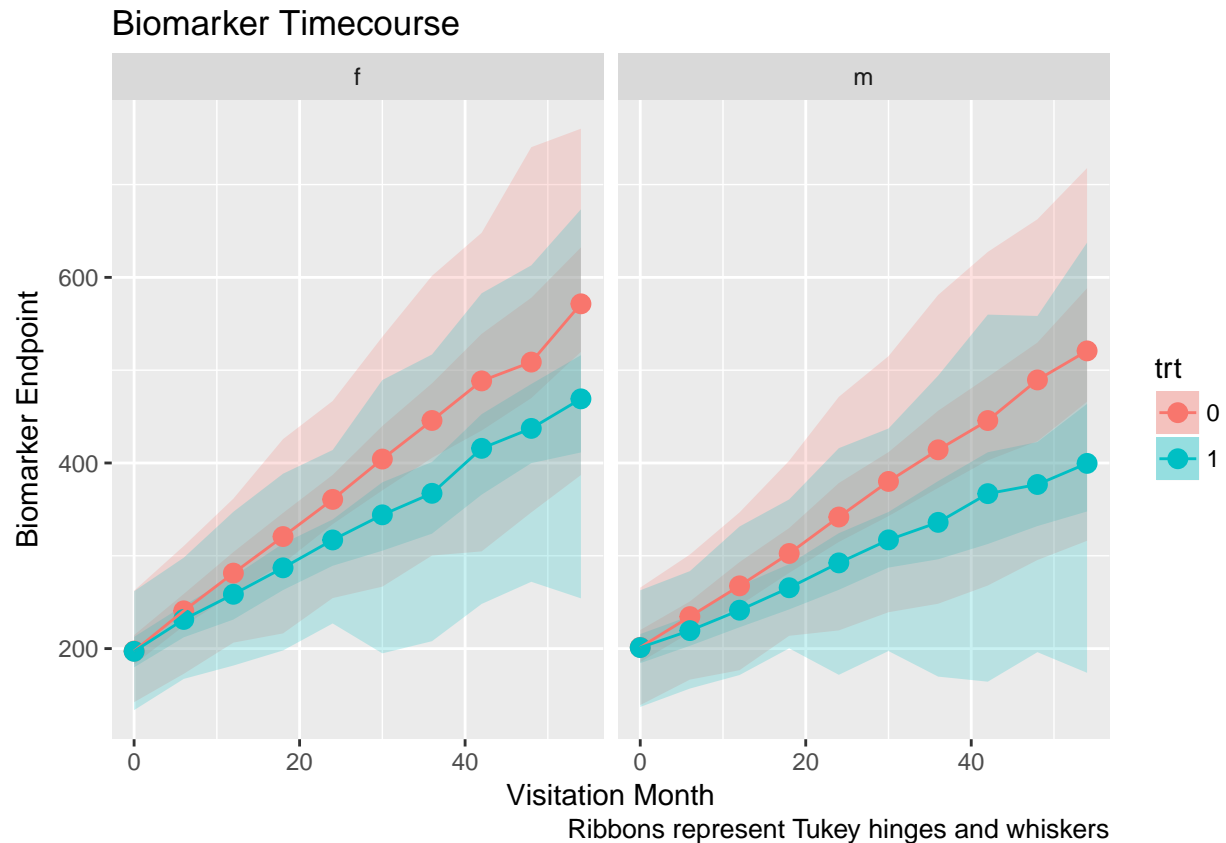
By giving aesthetic mappings to flags for subpopulations, you can easily vary the colors by adding aesthetics. In this case, we map the line color and ribbon fill to the treatment arm data, allowing a two arm trial representation.

```
PlotLong(longbmr, x=vm, y=ep, color=trt, fill=trt,
  fun.data = 'tukey',
  xlab = 'Visitation Month',
  ylab = 'Biomarker Endpoint',
  labs.title = 'Biomarker Timecourse',
  labs.caption = 'Ribbons represent Tukey hinges and whiskers')
```



Similarly, we could also choose to subset our treatment arms by sex and represent this as two separate plots, one for each sex classification. In this case, we facet the plot by a formula (which is passed to `ggplot::facet_grid()`).

```
PlotLong(longbmkr, x=vm, y=ep, group=trt, color=trt, fill=trt,
  fun.data = 'tukey', facet.fun = . ~ sex,
  xlab = 'Visitation Month',
  ylab = 'Biomarker Endpoint',
  labs.title = 'Biomarker Timecourse',
  labs.caption = 'Ribbons represent Tukey hinges and whiskers')
```



#### 4.6.3.3 Including sample counts

The `PlotLong` function also accepts inputs to the argument `show.counts`, accepting either “label” or “table”. This is used to represent sample number in each timepoint, for each grouping. For this and the followign plots, we’ll do some preprocessing for which we’ll use the `dplyr` package.

```
library(dplyr)

##
## Attaching package: 'dplyr'
##
## The following objects are masked from 'package:stats':
##
##   filter, lag
##
## The following objects are masked from 'package:base':
##
##   intersect, setdiff, setequal, union

cutoff = 1.5

longbmkr %>%
  filter(vm <= 24) %>%
  mutate(bmkr = ifelse(bmkr > cutoff, 'Positive', 'Negative')) %>%
  mutate(trt = ifelse(trt == 0, 'CTRL', 'TRT')) %>%

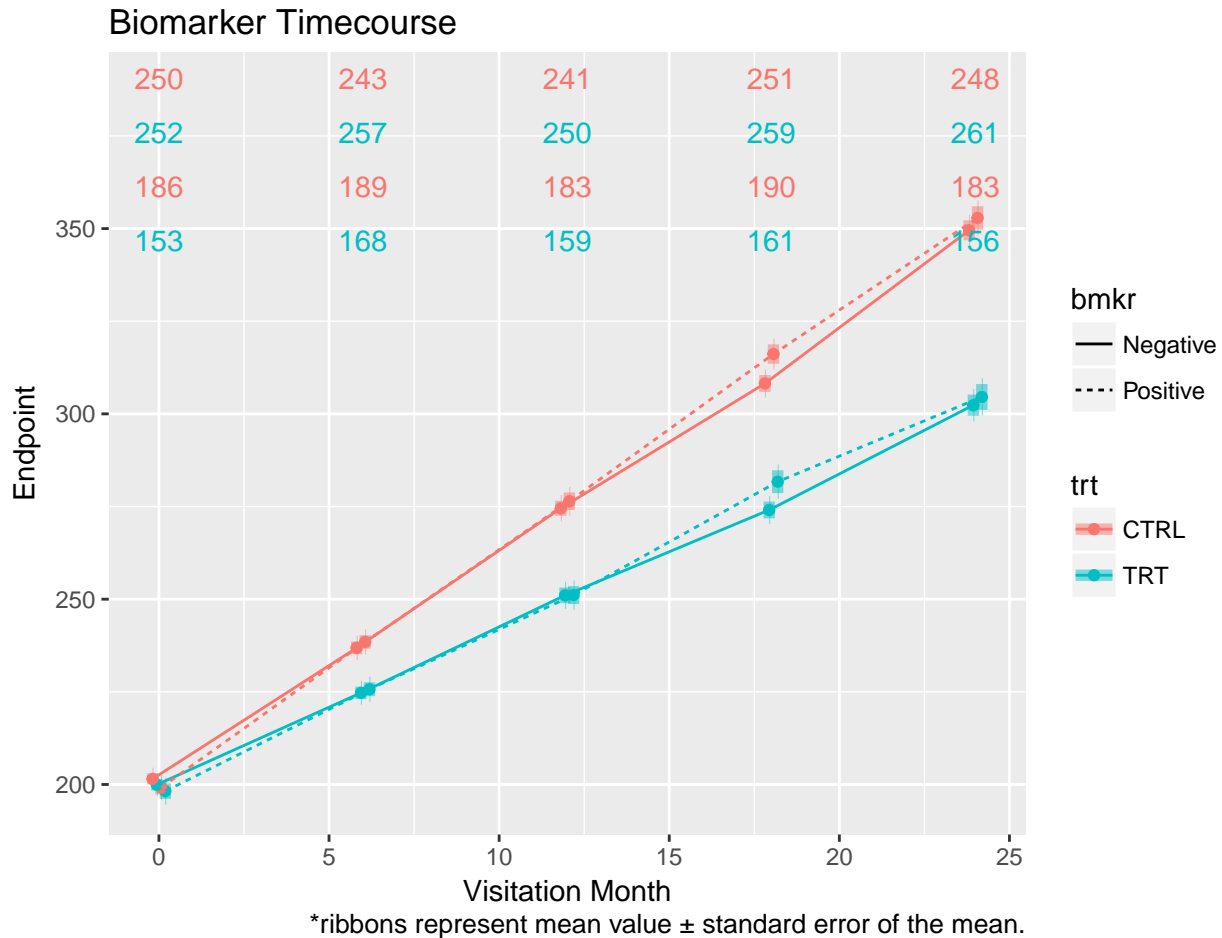
  PlotLong(x=vm, y=ep, color=trt, fill=trt, linetype=bmkr,
           fun.data = 'mean_se',
           show.counts = 'table',
```



```

plot.style = 'errorbars',
errorbar.linetype = 1,
xlab = 'Visitation Month',
ylab = 'Endpoint',
labs.title = 'Biomarker Timecourse',
labs.caption = '*ribbons represent mean value ± standard error of the mean.')

```



#### 4.6.3.4 Specifying a function for adjustment

(Currently a work-in-progress!)

```

cutoff = 1.5

longbmkr %>%
  filter(vm <= 24) %>%
  mutate(bmkr = ifelse(bmkr > cutoff, 'Positive', 'Negative')) %>%
  mutate(trt = ifelse(trt == 0, 'CTRL', 'TRT')) %>%

  PlotLong(x=vm, y=ep, color=trt, fill=trt, linetype=bmkr,
    model = lm,
    model.formula = ep ~ sex + edu + age,
    fun.data = 'mean_se',
    show.counts = 'table',
    plot.style = 'errorbars',

```

```

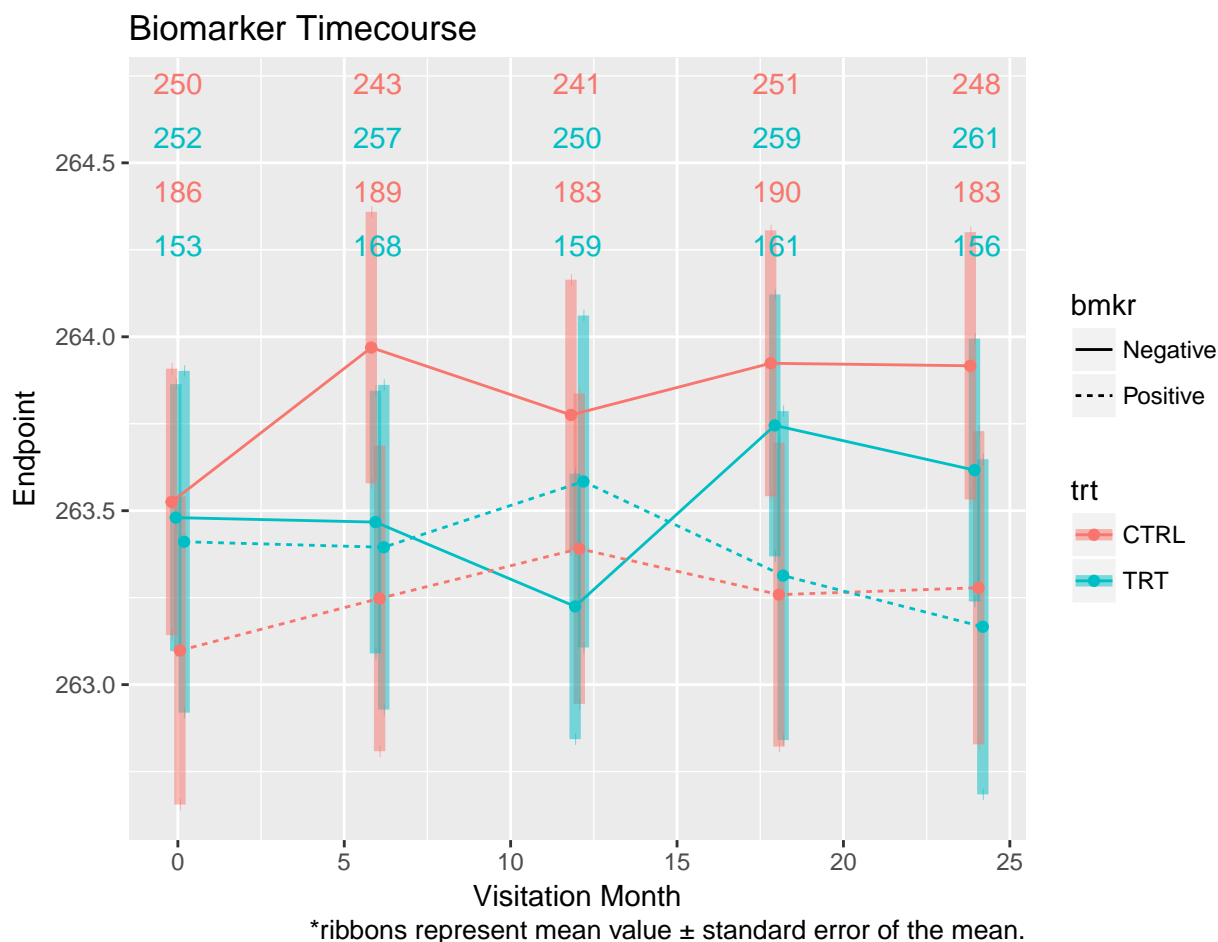
errorbar.linetype = 1,
xlab = 'Visitation Month',
ylab = 'Endpoint',
labs.title = 'Biomarker Timecourse',
labs.caption = '*ribbons represent mean value  $\pm$  standard error of the mean.')

```

```

## Warning: Deprecated: please use `purrr::possibly()` instead
## Warning: Deprecated: please use `purrr::possibly()` instead
## Warning: Deprecated: please use `purrr::possibly()` instead
## Warning: Deprecated: please use `purrr::possibly()` instead
## Warning: Deprecated: please use `purrr::possibly()` instead

```



## 5 Acknowledgement

We would like to thank Imola Fodor, Jane Zhenya Fridlyand and Zhuoye Xu for sponsoring this project. We would also like to thank to all previous developers of this project (Ru-Fang Yeh, Yuanyuan Xiao, YJ Choi, Ron Yu, Yuda Zhu, Jayantha Ratnayake, Sofia Mosesova, Chris Cabansk, Sydney Lee, Yi Li), including current ad-hoc advisors/contributors Wei Zou, Ray Lin and Guiyuan Lei.