

1           **Evaluating potential changes to the US Chukchi Sea bottom trawl survey design via**  
2           **simulation testing**

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17                          **Abstract**

18       The US Chukchi Sea consists of the waters off the northwest of Alaska and is a naturally  
19       dynamic ice-driven ecosystem. The impacts from climate change are affecting the Arctic marine  
20       ecosystem as well as the coastal communities that rely on healthy marine ecosystems. In  
21       anticipation of increased ecosystem monitoring in the area, there is an opportunity to evaluate  
22       improved sampling designs of future ecological monitoring of the Chukchi Sea, an area that is  
23       sampled less comprehensively compared to other regions in Alaska. This analysis focused on  
24       standardized NOAA-NMFS-AFSC bottom trawl surveys (otter and beam trawls) and three types  
25       of survey designs: simple random, stratified random, and systematic. First, spatiotemporal  
26       distributions for 18 representative demersal fish and invertebrate taxa were fitted using the  
27       VAST R package. We then simulated spatiotemporal taxon densities to replicate the three survey  
28       design types to evaluate design-based estimates of abundance and precision across a range of  
29       sampling effort. Modest increases in precision were gained from stratifying the design when  
30       compared to a simple random design with either similar or lower uncertainty and bias of the  
31       precision estimates. There were often strong tradeoffs between the precision and bias of the  
32       systematic estimates of coefficient of variation across species and gear type. The stratified  
33       random design provided the most consistent, reliable, and precise estimates of abundance indices  
34       and is likely to be the most robust to changes in the survey design. This analysis is intended to

35 provide the template for how we could change the bottom trawl survey designs in the Chukchi  
36 Sea and potentially other survey regions in Alaska going forward and will be important when  
37 integrating new survey objectives that are more ecosystem-focused.

38 **Introduction**

39 The recent environmental and ecological changes occurring in the Arctic Ocean are  
40 unprecedented. The diminishing extent of the sea ice observed in the past century is perhaps the  
41 most visual representation of the changes occurring in the Arctic Ocean (Polyak et al., 2010).  
42 The Arctic ice pack reached its lowest point in 2012 relative to 1979-2000 (Parkinson and  
43 Comiso, 2013). Sea ice and the cold conditions associated with it are important to atmospheric  
44 and oceanographic regulation (Budikova, 2009). The edges of the sea ice are active in primary  
45 and secondary production, creating important foraging habitats for fish and marine mammals  
46 (Post et al., 2013). Seals haul out on the surface of the ice to rest and nurse their pups, and polar  
47 bears and walruses depend on the ice to hunt for prey. Many Arctic communities hunt these  
48 mammals for subsistence. Warmer waters can expand the habitat ranges of more temperate  
49 species. For example, the discovery of large populations of mature walleye pollock in the  
50 Russian western portion of the Chukchi Sea (e.g., Emelin et al., 2022) prompted the development  
51 of a fishery in the region in 2021.

52 The portion of the Chukchi Sea within the US exclusive economic zone is within the purview of  
53 the ecosystem monitoring mission of the Alaska Fisheries Science Center (NOAA-NMFS). The  
54 Chukchi Sea is connected to the Bering Sea via the Bering Strait and the Beaufort sea extends to  
55 the northeast of the Chukchi Sea to the waters north of Alaska and Canada. The Eastern Bering  
56 Sea (EBS) continental shelf bottom trawl survey (BTS) has been conducted with standardized  
57 protocol annually since 1982 (Stauffer, 2004). The survey follows a fixed-station systematic  
58 fixed-grid survey design with an 83-112 eastern otter trawl (Markowitz et al., 2022). In response  
59 to marked poleward expansions of many EBS groundfish species like walleye pollock, Pacific  
60 cod, and various flatfishes (Stevenson and Lauth, 2019; Spies et al., 2020), the EBS BTS has  
61 periodically extended into the northern Bering Sea (NBS) since 2010 and more regularly since  
62 2017. Further poleward extension of the survey north of the Bering Strait into the Chukchi Sea is  
63 similarly predicated by poleward advances in the distributions of species targeted by the EBS  
64 BTS. Evidence of northward expansion of Bering Sea groundfish has been observed in bottom  
65 trawl and acoustic surveys conducted the past five years (Datsky et al., 2022).

66 Unlike the Bering Sea shelf, the US Chukchi Sea has not been consistently sampled with  
67 standardized bottom trawl gear but this may change in the future due to shifting priorities in the  
68 region. The naive assumption for future Chukchi Sea survey designs is to extend the fixed NMFS  
69 Bering Sea 20-nmi systematic grid onto the Chukchi Sea shelf as done previous surveys (e.g.,  
70 Goddard et al., 2014). However, until funding is available for a BTS in the Chukchi Sea, there is  
71 an opportunity to evaluate survey designs that could provide reliable abundance estimates while  
72 allowing for more flexibility in survey extent and total survey effort than a systematic survey  
73 would. Systematic sampling has its advantages especially in survey logistics (e.g., stations are  
74 equally spaced) and variance reduction for homogeneously distributed populations. Randomized  
75 designs, especially with stratification, can allow for higher flexibility to different levels of total  
76 survey effort while providing robust and unbiased survey estimates of abundance and variance.

77 Strata boundaries and station allocations among strata can also be optimized to weight species of  
78 importance (Oyafuso et al., 2021).

79 We evaluated the bias and precision of survey estimates of abundance using a systematic fixed-  
80 grid survey design along with two types of randomized designs in the US Chukchi Sea BTS.  
81 Spatiotemporal distributions for 15 representative demersal fish and invertebrate taxa were fitted  
82 based on historical bottom trawl catch and effort data. The models used to fit these  
83 spatiotemporal relationships were then used to simulate taxon densities onto the spatial domain,  
84 from which different survey designs were tested. Three conventional survey designs were  
85 evaluated: simple random sampling (SRS), stratified random sampling (STRS), and a fixed-grid  
86 systematic grid similar to what is employed in the NMFS Bering Sea BTS. Design-based  
87 estimates of abundance and precision from the three survey designs across a range of sampling  
88 effort were calculated, from which the performance of each design was evaluated. We evaluated  
89 the advantages and tradeoffs of using a systematic grid as previously done in the NMFS Chukchi  
90 Sea BTS and then highlighted potential improvements to the survey by using randomized  
91 designs. This analysis is intended to provide a template for a modified Chukchi Sea groundfish  
92 survey design going forward and will be important when transitioning to ecosystem-focused  
93 survey objectives.

## 94                              Methods

### 95                              Survey Area and Historical Datasets

96 The US Chukchi sampling frame consists of a 2-nmi resolution grid ( $N = 15,736$  cells or  
97 sampling units) that extends north of the Bering Strait and is bounded by the Barrow Canyon  
98 100-m isobath to the north, US-Russia Maritime Boundary to the west, and the 10-m isobath  
99 along the Alaska coastline to the east.

100 Readers are referred to Stauffer (2004) and Deary et al. (2021) for a detailed specification of the  
101 gears used in this study. We will briefly introduce and identify the major differences between the  
102 two gears used.

103 83-112 Eastern otter trawl (“otter trawl” hereafter): Surveys from two years, 1990 and 2012,  
104 were included in this analysis due to the consistencies in the sampling protocol. In 1990, 48  
105 stations were sampled along 11 transect lines perpendicular to shore near Point Hope, Alaska  
106 (Barber et al., 1997). In 2012, a systematic sampling design was employed based on a 30-nmi  
107 square grid with the planned trawl stations located at the approximate center of each grid cell,  
108 resulting in a total of 73 sampling locations, 71 of which had successful tows used in the  
109 analysis. The mesh sizes of the wings and throat of the net were 10.2 cm in the intermediate part  
110 of the trawl and 8.9 cm in the codend. The codend also contained a smaller-meshed 32-mm liner  
111 for retaining smaller organisms. Otter trawl tows were trawled at a target speed of 3 knots for 15  
112 minutes. Acoustic net mensuration sensors were used to assess trawl performance and to provide  
113 net width for calculating effort (total area swept, the product of net width and distance trawled  
114 with bottom contact).

115 Plumb staff beam trawl (“beam trawl” hereafter): Surveys from three years, 2012, 2017, and  
116 2019 were included in this analysis and used the same systematic grid as the 2012 otter trawl  
117 survey. In 2012, a tickler chain preceded the trawl footrope (Gunderson and Ellis, 1986;

118 Kotwicki et al., 2017). Beam trawl tows from 2017 and 2019 were conducted as part of the  
119 Arctic Integrated Ecosystem Survey (IES) component of the Arctic Integrated Ecosystem  
120 Research Program (IERP). The body of the trawl has 7-mm mesh with a 4-mm mesh at the cod  
121 end. In 2017 and 2019, the tickler chain was removed, and the trawl was modified with a  
122 footrope of 10.2-cm rubber discs over a steel chain as in Abookire and Rose (2005). In all beam  
123 trawl survey years, effort was calculated similar to the otter trawl, with a bottom contact sensor  
124 to determine distance fished by the trawl. Effective trawl width of the trawl was assumed to be  
125 2.26 m in 2012 (Gunderson and Ellis, 1986; Kotwicki et al., 2017), and 2.1 m in 2017 and 2019  
126 (Abookire and Rose, 2005). Beam trawl tows were trawled at a target speed of 1.5 knots for 2.9-  
127 7.5 minutes. Catch samples from the beam and otter trawls were identified and sorted to the  
128 lowest possible taxonomic group, weighed, and counted. Field identifications of a subset of age-  
129 0 gadids in 2017 and 2019 were confirmed with genetic techniques (see Wildes et al., 2022).

### 130 Species List

131 The set of taxa we chose to include in this analysis was influenced by cultural importance to  
132 Bering Strait and Chukchi Sea communities, commercial and ecological importance, availability  
133 in the dataset, adequate catchability to the two bottom trawl gears, and the ability to fit  
134 informative spatiotemporal distribution models to survey catch data. Taxa groupings were  
135 defined from a prior Northern Bering Sea analysis of bottom-trawl surveys conducted from  
136 2010-2021 (Markowitz et al., 2022). These taxa groupings were important representatives of the  
137 demersal marine community as identified by Bering Sea native communities (Markowitz et al.,  
138 2022). We do not have similar distinctions for those communities living within the Chukchi Sea,  
139 however these taxa groupings represent a diverse range of fish and invertebrate taxa in an area  
140 proximal to the Chukchi Sea via the Bering Strait. Taxa were further filtered to those with  
141 reasonably high catchability for each of the two gears (Lauth et al. in review) and models were  
142 fit separately for each taxon and gear type to reflect those differences in catchability.

143 Table 1: List of the fish and invertebrate taxa and associated gears included in the analysis.

Scientific Name	Common Name	Gear
<i>Pleuronectes quadrituberculatus</i>	Alaska plaice	otter trawl
<i>Boreogadus saida</i>	Arctic cod	beam and otter trawl
<i>Hippoglossoides robustus</i>	Bering flounder	beam and otter trawl
Family: Zoarchidae	eelpouts	beam trawl
Family: Agonidae	poachers	beam and otter trawl
Family: Stichaeidae	pricklebacks	beam trawl
<i>Eleginus gracilis</i>	saffron cod	beam and otter trawl
Family: Cottidae	sculpins	beam and otter trawl
Family: Liparidae	snailfishes	beam and otter trawl
<i>Gadus chalcogrammus</i>	walleye pollock	otter trawl
<i>Limanda aspera</i>	yellowfin sole	otter trawl
Phylum: Bryozoa	bryozoans	beam trawl
Class: Scyphozoa	jellyfishes	beam and otter trawl

<i>Asterias amurensis</i>	purple-orange sea star	beam and otter trawl
Class: Gastropoda	snails	beam and otter trawl
<i>Chionoecetes opilio</i>	snow crab	beam and otter trawl
Class: Anthozoa	soft corals and sea anemones	beam and otter trawl
Subphylum: Tunicata	tunicates	beam trawl

144

## Conditioning and Operating Models

145 We conditioned univariate spatiotemporal distribution models on historical catch and effort  
 146 survey data for a particular gear and taxon using the VAST R Package (v. 4.0.2; Thorson and  
 147 Barnett, 2017; Thorson, 2019). The VAST (vector-autoregressive spatiotemporal) model is a  
 148 spatiotemporal generalized linear mixed-effects model where Gaussian Markov random effects  
 149 describe spatial and/or spatiotemporal variation (spatial variation that is constant or time-varying,  
 150 respectively) in density and temporal variation in the mean density is modeled as a fixed effect of  
 151 survey year. Continuous spatial and/or spatiotemporal random fields were approximated using  
 152 the INLA R package ([www.r-inla.org](http://www.r-inla.org); Rue et al., 2009) using a mesh with 200 spatial “knots”  
 153 where the values of spatial variables between knot locations are calculated via bilinear  
 154 interpolation. Spatiotemporal fields were modeled as independent and identically distributed  
 155 among years. If a model with spatiotemporal variation included resulted in a decreased (i.e., >= 2-unit decrease) AIC value relative to the model estimated with just spatial variation, it was  
 156 chosen as the operating model for a taxon/gear combination. The “Poisson-link” reformulation of  
 157 a conventional delta model was used to model (Thorson, 2018), where a gamma distribution was  
 158 specified for modeling biomass density.

160 The density ( $kgkm^{-2}$ ) of each taxon was predicted onto the Chukchi spatial domain based on  
 161 the maximum likelihood estimates of the parameters of the chosen model for each gear type. The  
 162 total abundance index ( $I_{st}$ ) of taxon  $s$  in year  $t$  was calculated using an epsilon bias-correction  
 163 technique (Thorson and Kristensen, 2016) and represents the “true” abundance from which to  
 164 evaluate the design-based abundance indices of the different surveys tested. Using the fitted  
 165 spatiotemporal model as an operating model, population densities were simulated for each taxon  
 166 with observation error to represent samples obtained by simulating surveys under different  
 167 sampling designs in the “Survey Simulation” section below.

168

## Survey designs

169 Three survey designs were tested: SRS, STRS, and a fixed-station systematic grid under a range  
 170 of total sampling effort from roughly 50 - 175 total stations. Distance from shore and latitude  
 171 were used as stratum variables for the STRS designs and the SamplingStrata R package  
 172 (Barcaroli, 2014) was used to optimize the placement of strata boundaries and allocation of effort  
 173 across strata subject to user-defined pre-specified precision targets for each taxon. A full  
 174 explanation of the optimization methods can be found in (Barcaroli, 2014) and an application of  
 175 the STRS optimization in the Gulf of Alaska is described in Oyafuso et al. (2021, 2022).  
 176 Appendix A provides more detail into how the STRS optimization was parameterized for the  
 177 Chukchi BTS. For each gear type we optimized strata boundaries for three- and four-stratum  
 178 solutions, as this range of strata created the most reasonable solutions given the range of sample  
 179 sizes analyzed.

180

## Survey Simulation

181 The estimated abundance index  $\widehat{I}_{st}$  for taxon  $s$  in year  $t$  and associated variance for the three  
 182 designs were calculated following Wakabayashi et al. (1985):

$$183 \quad \widehat{I}_{st} = \sum_l^L A_l \overline{CPUE}_{lst}$$

$$184 \quad Var(\widehat{I}_{st}) = \sum_l^L A_l^2 Var(CPUE_{lst})$$

185 where  $\overline{CPUE}_{lst}$  is the mean CPUE (units  $kg km^{-2}$ ) in stratum  $l$  ( $L$  total strata), taxon  $s$ , and year  
 186  $t$  and  $A_l$  is the total area (units  $km^2$ ) of stratum  $l$ .

187 The above equations can be used for calculating total abundance and variance under SRS and the  
 188 fixed-grid systematic sampling by assuming one stratum,  $L = 1$ . While some studies indicate  
 189 more appropriate variance estimators for systematic designs (e.g., Aune-Lundberg and Strand,  
 190 2014), the naive approach of assuming SRS estimators was used to calculate the abundance  
 191 index and variance for the fixed-grid systematic survey simulations.

192 Each survey was replicated for 1000 iterations ( $M = 1,000$ ). It was assumed that all sampling  
 193 units were available for trawling, however in practice, variation in bottom rugosity and currents  
 194 may render some sampling units untrawlable (i.e., unavailable to the sampling frame). Due to the  
 195 limited data used to condition the operating model, high positive outliers in density masked the  
 196 trends in the performance metrics. Thus, prior to calculating the performance metrics, positive  
 197 outliers greater than 3 standard deviations above the mean among survey replicates were  
 198 removed.

199

## Performance metrics

200 Three performance metrics were used to evaluate survey designs. The True CV ( $TrueCV_{st}$ ) is  
 201 the variability of the estimated abundance index across the survey replicates and is defined as the  
 202 standard deviation of the estimated indices of abundance normalized by the true value,  $\frac{\sqrt{Var(\widehat{I}_{st})}}{\widehat{I}_{st}}$ ,

203 where  $\widehat{I}_{st}$  refers to the vector of estimated indices for taxon  $s$  and year  $t$  across the  $M$  replicates.  
 204 The True CV provides two pieces of information about the precision of the survey design: 1) if  
 205 the True CV is low for simulated densities generated from one type of survey (e.g., SRS), that is  
 206 an indication that the survey is appropriate for a species with that type of distribution (i.e., the  
 207 data quality is high); and 2) A very low True CV can indicate that any survey will have a hard  
 208 time estimating the variability in the density of the target species, in which case the RRMSE of  
 209 the CV is a useful diagnostic for determining whether a proposed survey can provide a reliable

210 estimate of CV. The RRMSE of CV is defined as  $\sqrt{\frac{\sum_m^M (CV_{stm} - TrueCV_{st})^2 / M}{\widehat{CV}_{st}}}$  where  $\widehat{CV}_{st}$  refers to  
 211 the vector of estimated sample CVs for taxon  $s$  and year  $t$  across the  $M$  replicates. Lastly, bias is  
 212 the residual of a quantity relative to its assumed “true” value. Bias of the estimated index of  
 213 abundance from a sample is relative to the assumed true index conditioned by the data. Bias of  
 214 the estimated sample CVs associated with the index of abundance is relative to the True CV.

215

## Code repository

216 The code used to perform this analysis and format this manuscript is currently stored in a code  
217 repository in Z. Oyafuso's NOAA GitHub account and can be accessed at  
218 [https://github.com/zooyafuso-NOAA/chukchi\\_survey\\_evaluation](https://github.com/zooyafuso-NOAA/chukchi_survey_evaluation).

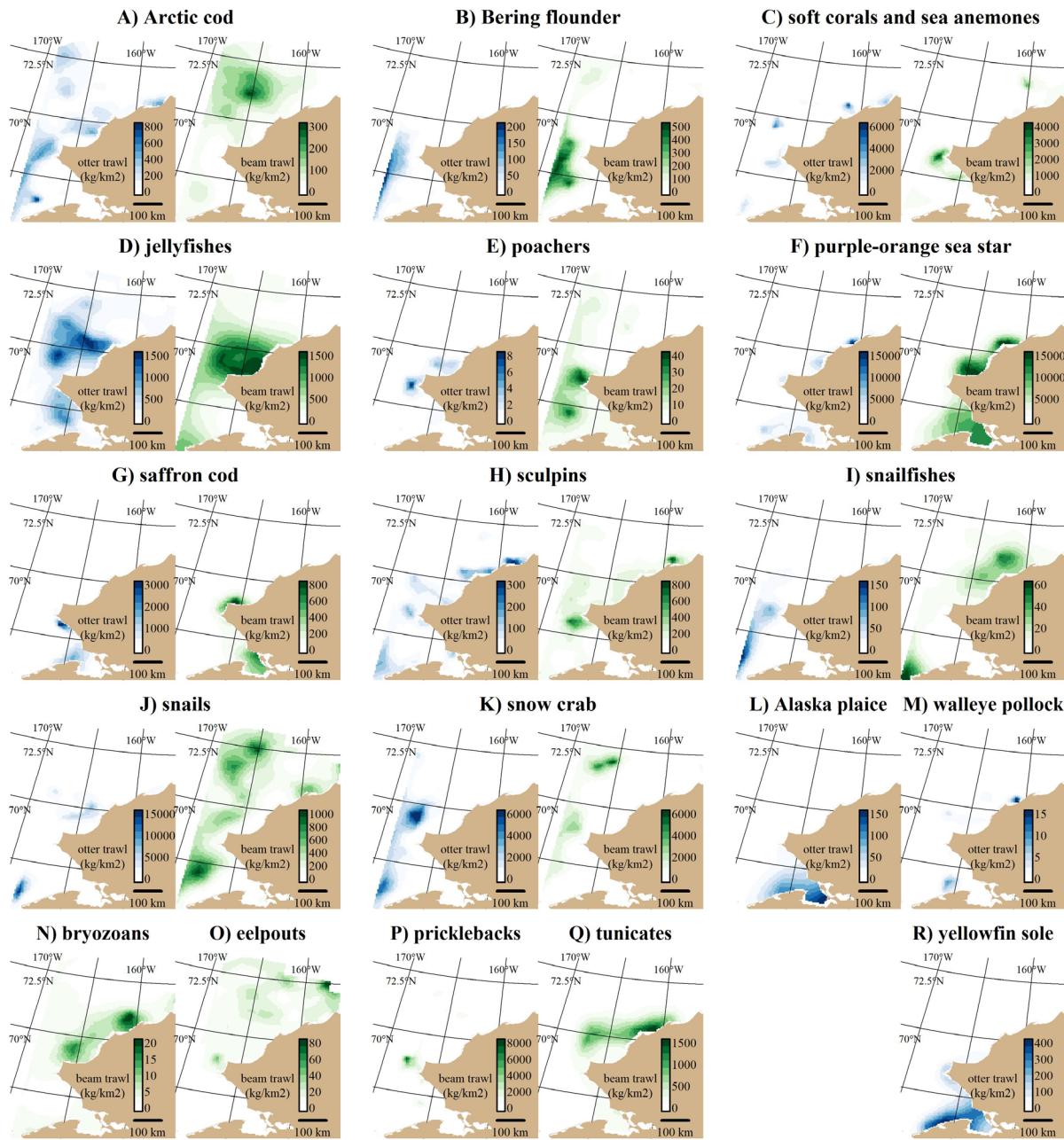
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## Results

220

### Species Distributions

221 The species included in this analysis exhibited a diversity of spatiotemporal distributions (Figure  
222 1; see Appendix B for full spatiotemporal distributions and diagnostic plots). Alaska plaice  
223 (Figure 1L), saffron cod (Figure 1G), and yellowfin sole (Figure 1R) were restricted to the  
224 southeastern portion of the domain which includes Kotzebue Sound. Bryozoans (Figure 1N),  
225 tunicates (Figure 1Q), sculpins (Figure 1H), poachers (Figure 1E) and jellyfishes (Figure 1D)  
226 were more commonly observed in the middle of the domain around Point Hope. Purple-orange  
227 sea stars (Figure 1F) had a broad nearshore distribution along much of the coastline of the  
228 domain whereas eelpouts (Figure 1O), snailfishes (Figure 1I), and Bering flounder (Figure 1B)  
229 had more offshore distributions along the western edge of the domain. Gastropods . Arctic cod  
230 were commonly observed species with broad distributions across the domain (Figure 1A) but had  
231 higher densities at beam trawl stations in the northern part of the domain in 2019 compared to  
232 beam trawl stations in 2012 and 2017 (Appendix B2). Soft corals and sea anemones (Figure 1C)  
233 and walleye pollock (uncommonly observed; Figure 1M) had patchier distributions. Snow crab  
234 had higher off densities near the western boundary of the domain (Figure 1K) but were present in  
235 high densities in the northern part of the domain as well (Appendix B15).



236

237 Figure 1: Predicted densities ( $kg\text{km}^{-2}$ ) for each taxon under each gear type shown for the most  
 238 recent survey year for a given gear type (2012 for the otter trawl (blue gradient) and 2019 for the  
 239 beam trawl (green gradient)). Some taxa under a particular bottom trawl gear did not have a  
 240 spatiotemporal distribution model (see Table 1 for specifications).

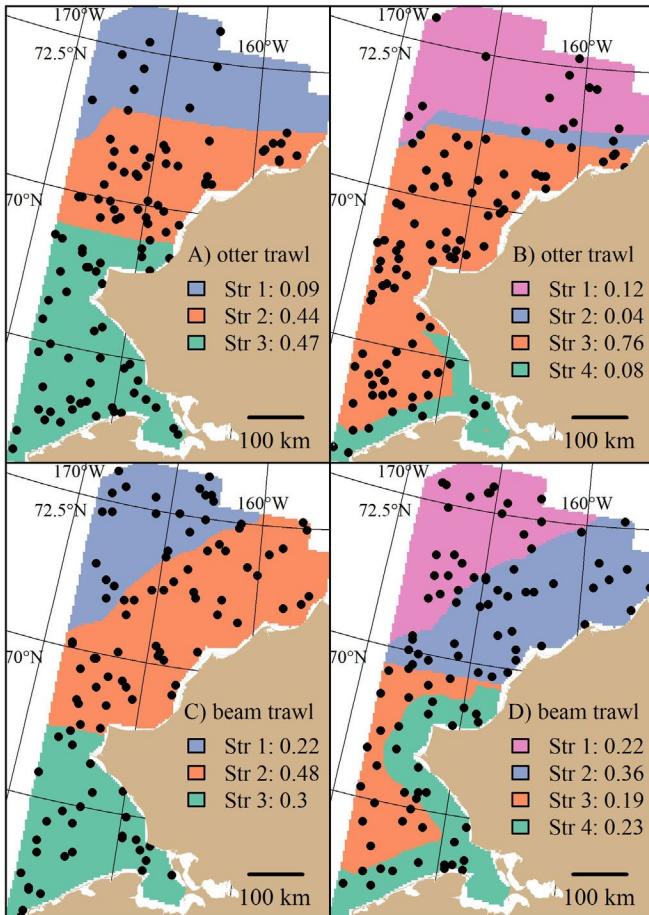
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#### Multispecies STRS Design Optimization

242 Stratum boundaries of both otter and beam trawl survey optimizations generally separated the  
 243 domain of the Chukchi Sea into two latitudinal sections split at roughly 69 and 70 degrees N  
 244 latitude (Figure 2). The three-stratum otter trawl solution (Figure 2A) consists of two latitudinal  
 245 boundaries at roughly 70 and 71 degrees N latitude. The four-stratum beam otter trawl solution

246 (Figure 2B) shares the northern latitudinal boundary at 71 degrees N latitude but also adds a  
247 nearshore stratum in the southern part of the domain. The three-stratum beam trawl solution  
248 (Figure 2C) has a southern stratum with a northern boundary at roughly 69 degrees N latitude  
249 and two inshore/offshore strata in the northern section of the domain. The four-stratum beam  
250 trawl solution (Figure 2D) is similar to the three-stratum beam trawl solution but two  
251 inshore/offshore strata in the southern section of the domain.

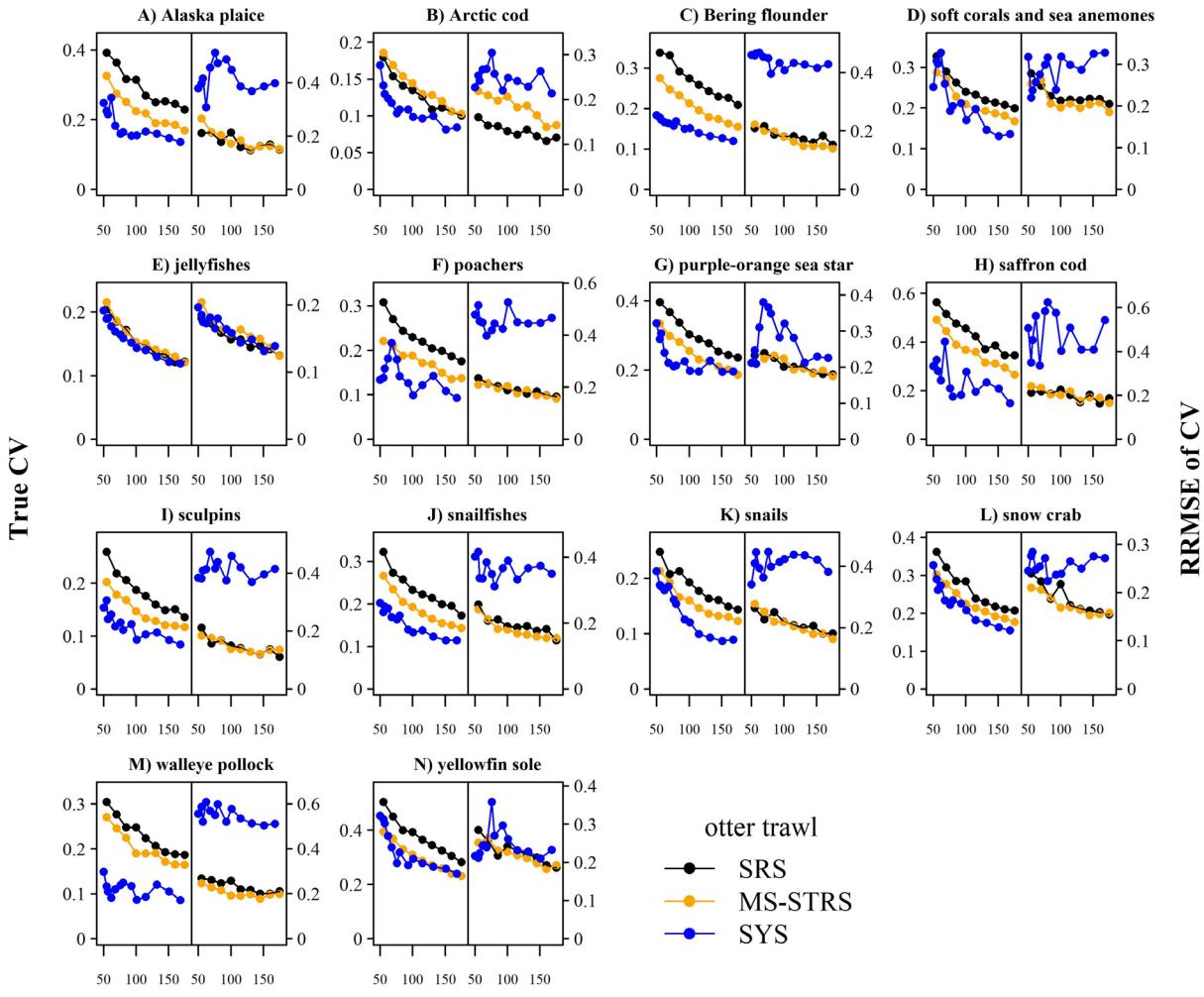
252 Sampling densities for the otter trawl STRS designs were generally higher in the southern and  
253 central strata and less so in the northern strata. Sampling densities for the beam trawl solutions  
254 were proportional to stratum area. For the subsequent survey simulation section, the four-stratum  
255 solution for the beam trawl and the three-stratum solution for the otter trawl were used as the  
256 representatives of the STRS design in the survey simulations.



257  
258 Figure 2: Stratified random designs resulting from the stratified random design optimization  
259 algorithm using three and four strata for the otter ((A) and (B)) and beam ((C) and (D)) trawl  
260 gears. Distance to shore and latitude characterize the different strata. Locations of 100 stations  
261 drawn from their respective optimal allocations are superimposed as points. Sampling density in  
262 each stratum is shown in the legend.

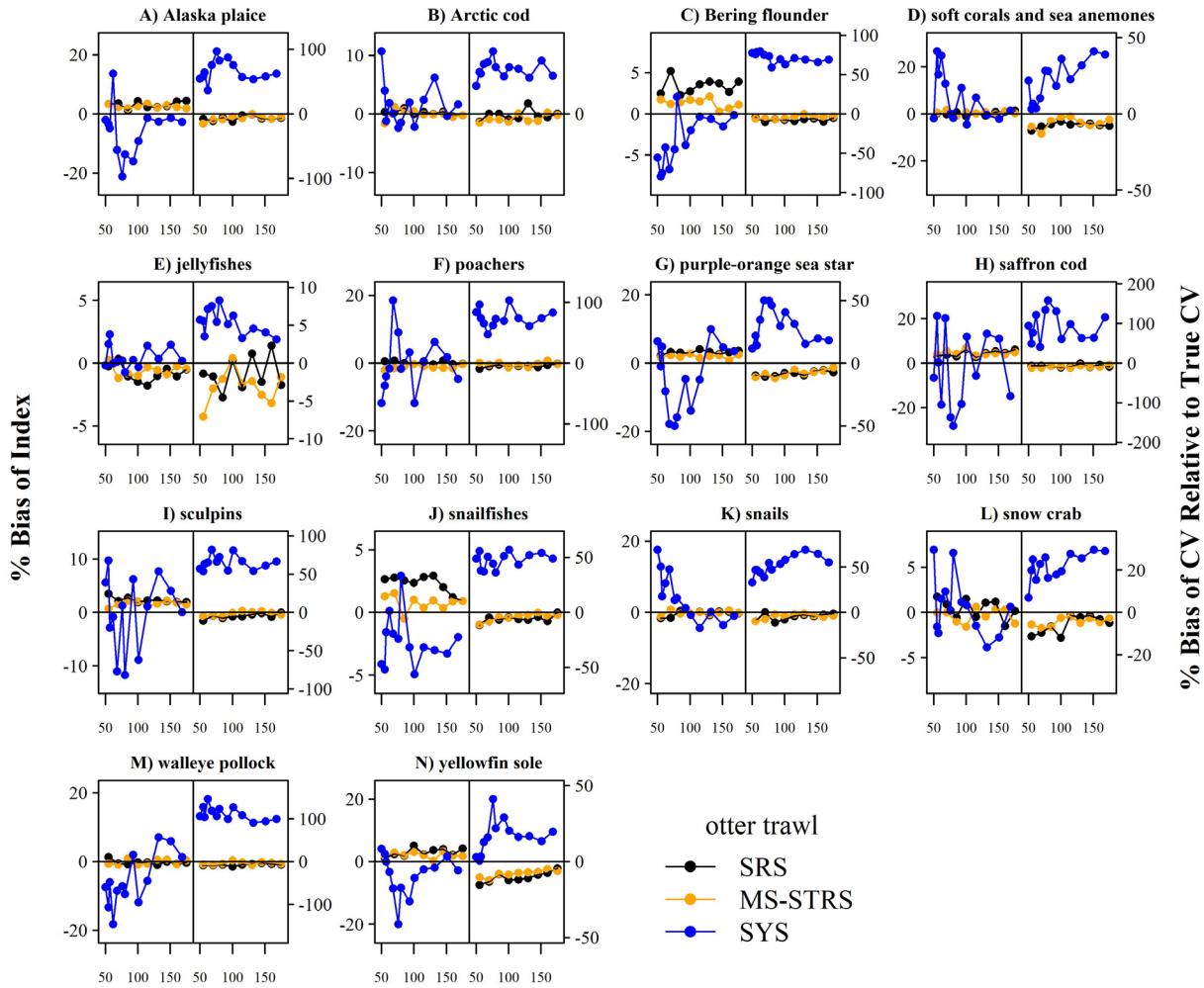
264 The random designs (SRS and STRS) monotonically decreased in True CV with increased  
265 sample size for both gears. Since CV and precision are conversely related (lower True CV is  
266 interpreted as higher precision and vice versa), we will describe survey performance using both  
267 terminology. The STRS designs often provided lower True CVs than the SRS designs at  
268 equivalent sample sizes, especially for taxa collected via the otter trawl (Figure 3). The increase  
269 in precision from a random to a stratified design was less for the taxa sampled with the beam  
270 trawl, with many taxa performing similarly to the SRS design (Figure 4). Given the limited  
271 number of data used to condition the operating model, the inconsistent +/- 5% bias observed with  
272 the estimated index is fairly low (Figures 5 and 6).

273 The fixed-grid systematic design often provided the lowest True CVs compared to the two  
274 random designs; however, this design displayed inconsistent behavior, as the True CV did not  
275 always decrease with sample size. Furthermore, there was a tradeoff observed for many taxa  
276 where lower True CVs were associated with much higher RRMSE of CV (Figure 5). The higher  
277 RRMSE of CV of the fixed systematic grid was attributed to a high positive bias of the simulated  
278 sample CVs relative to the True CV (Figures 5 and 6). The bias in the index for the fixed-grid  
279 systematic designs were not consistent across total sample size, with as much as a 25%  
280 fluctuation in average bias (Figures 4 and 6).



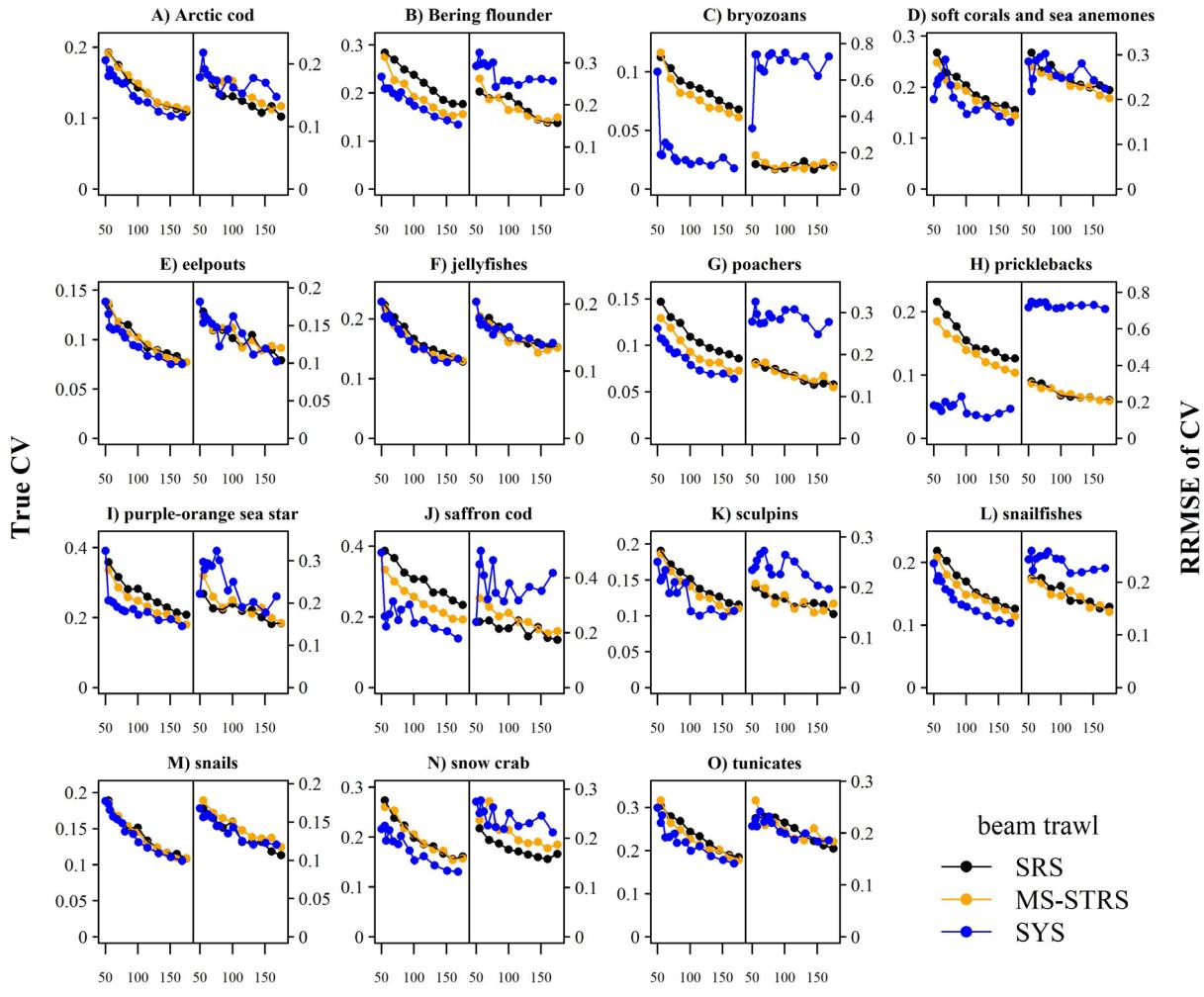
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Figure 3: True CV and relative root mean square error (RRMSE) of CV across a range of total sampling effort for each taxon and survey design for the otter trawl gear. SRS: simple random sampling; MS-STRS: stratified random sampling optimized over the species set; SYS: fixed-grid systematic sampling.



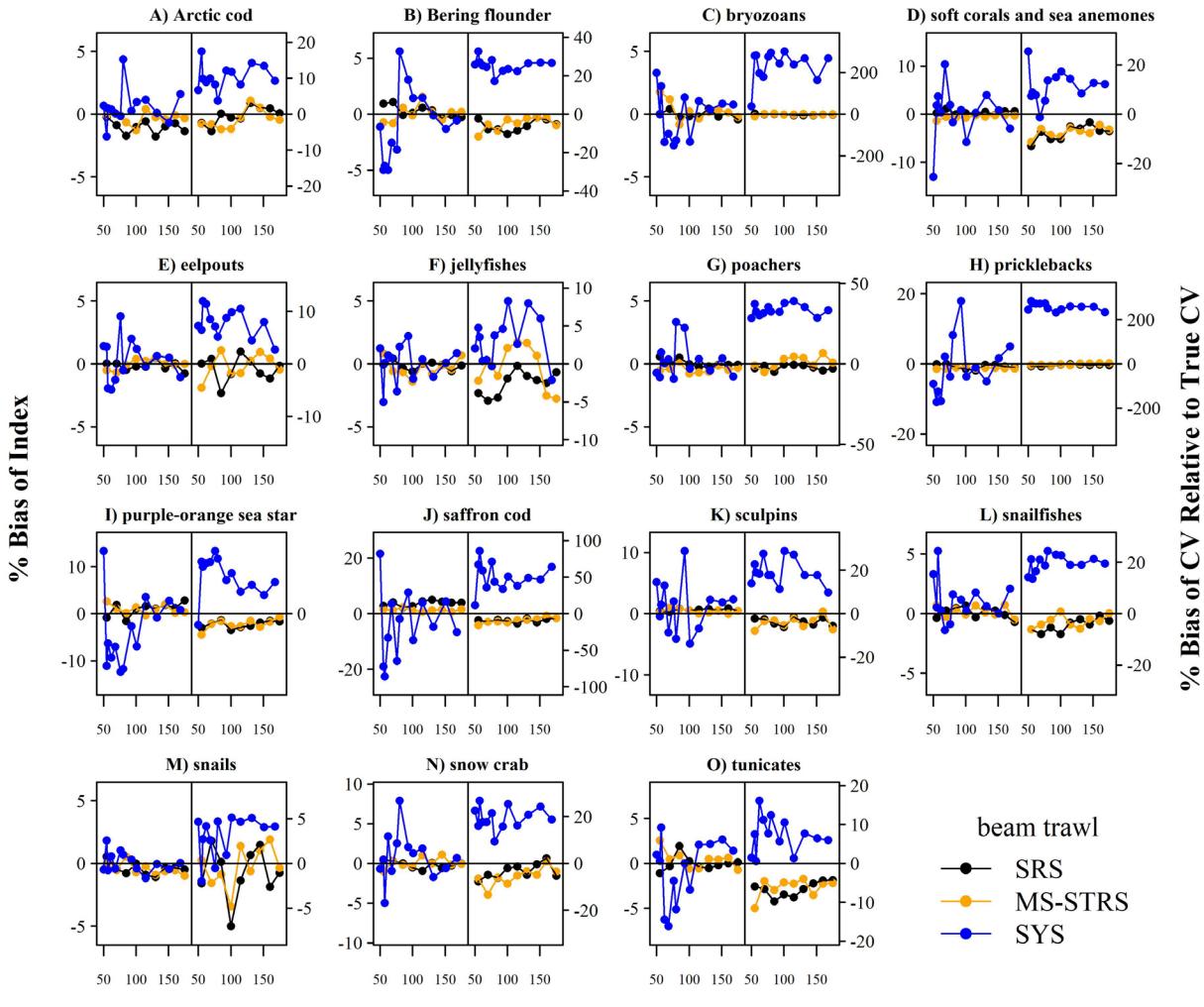
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Figure 4: Average percent bias of the 1) estimated abundance relative to the true abundance and 2) estimated sample coefficient of variation (CV) relative to the True CV across sample size for each taxon and survey design for the otter trawl gear. SRS: simple random sampling; MS-STRS: stratified random sampling optimized over the species set; SYS: fixed-grid systematic sampling.



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Figure 5: True CV and relative root mean square error (RRMSE) of CV across a range of total sampling effort for each taxon and survey design for the beam trawl gear. SRS: simple random sampling; MS-STRS: stratified random sampling optimized over the species set; SYS: fixed-grid systematic sampling.



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Figure 6: Average percent bias of the 1) estimated abundance relative to the true abundance and 2) estimated sample coefficient of variation (CV) relative to the True CV across sample size for each taxon and survey design for the beam trawl gear. SRS: simple random sampling; MS-STRS: stratified random sampling optimized over the species set; SYS: fixed-grid systematic sampling.

302

## Discussion

303 When considering changes to ecological surveys, one must weigh the advantages of consistency  
304 with historical designs in the same or adjacent regions against potential gains in efficiency and  
305 flexibility of a new design. Systematic surveys provide good spatial coverage of the spatial  
306 domain and can thus be advantageous in the early, data-limited stages of a survey time series. A  
307 systematic fixed-grid design, as currently implemented in the EBS and NBS BTS, may be a  
308 logical choice for a Chukchi BTS as a natural extension to the established Bering Sea systematic  
309 fixed-grid design. Having evenly spaced sampling stations is advantageous in that the  
310 completion rate of stations per day is more consistent than with randomly chosen stations. When  
311 minimizing the survey CV is the top priority, systematic survey designs should ideally be created  
312 with random starting locations to slightly vary the locations of stations within the sampling

313 frame. However, the fixed-grid design as currently implemented in all Bering Sea shelf bottom  
314 trawl surveys is the only practical option for systematic sampling in this case, due to logistical  
315 efficiencies in survey planning.

316 The main tradeoff of the logistical advantages of the systematic fixed-grid was the reduced  
317 quality of the statistical data products that might result from such a design, as observed in our  
318 simulation testing. We found that randomized designs provided more reliable estimates of  
319 abundance and precision than systematic fixed-grid designs for the US Chukchi Sea. While the  
320 True CV for many taxa were lower under a systematic fixed-grid, the estimates of the variance  
321 were less reliable (i.e., RRMSE of CV) when compared to both randomized designs. The  
322 tradeoff between the RRMSE of CV and True CV has been shown previously in the Gulf of  
323 Alaska when comparing proposed optimized STRS designs with historical STRS designs using  
324 similar simulation testing (Oyafuso et al., 2022). Variance is a critical measure of the quality of a  
325 survey and can be used as a data weight in stock assessment models, however the estimation of  
326 variance can be unreliable depending on the design of the survey, along with other  
327 considerations like variation in catchability (Kotwicki and Ono, 2019). The stratified random  
328 designs created in our analysis provided an advantageous combination of increased precision  
329 relative to SRS and increased reliability of the estimated CVs relative to the True CVs.

330 A challenge of designing STRS surveys in a region like the Chukchi Sea with highly dynamic  
331 oceanographic conditions is that historical data to inform the design (i.e., stratification and effort  
332 allocation across strata) may not represent the current ecosystem state, similar to the challenge of  
333 forecasting species distributions to novel environmental conditions due to climate change  
334 (Brodie et al., 2022). While the last NMFS beam trawl survey in the Chukchi occurred in 2019,  
335 the most recent otter trawl BTS conducted by NMFS was in 2012. Within the same range of time  
336 (i.e., the last ten years), there have been significant northward shifts in the distribution of many  
337 subarctic taxa common to the Bering Sea (Kotwicki and Lauth, 2013; Stevenson and Lauth,  
338 2019) but previously seldom observed in the Chukchi Sea, including many Bering Sea gadids  
339 like walleye pollock (Datsky et al., 2022; Wildes et al., 2022). With continued sampling of the  
340 region, the design of a STRS could be easily modified to reflect the species distributions  
341 observed in more recent years. The discussion of the range of years to include when planning  
342 surveys is outside the scope of this paper, however our approach to updating STRS designs is  
343 amenable to testing and planning STRS designs that incorporate varying ranges of years to  
344 provide more weight to contemporary data.

345 We investigated survey designs implemented with both otter and beam trawl gears in order to  
346 anticipate survey designs consistent with the standardized bottom trawl gears used for NMFS-  
347 AFSC BTS. The patterns among survey designs previously discussed were present in both the  
348 beam and otter trawl gears. However, there were some differences in the optimized STRS  
349 designs calculated for each gear type. The STRS designs for both gears had similar stratifications  
350 that split the Chukchi spatial domain by two or three latitudinal regions and inshore/offshore  
351 strata. However, the sampling densities for the otter trawl solutions were higher in the southern  
352 and central strata compared to the northern strata whereas the beam trawl sampling densities  
353 were nearly proportional to stratum area. As a result, the performance of the STRS beam trawl  
354 survey abundance estimates were similar to the SRS design with some improvement in True CV  
355 for a handful of taxa (e.g., Bering flounder, pricklebacks, saffron cod). We presume that the  
356 expected gains in precision that come from stratification were diminished because of the strong

357 tradeoffs that exist when optimizing over a wide set of taxa with non-overlapping spatiotemporal  
358 distributions.

359 The list of taxa to include in survey planning is an important decision process and should be a  
360 part of broader discussions about survey objectives. We curated our taxa list by first considering  
361 taxa that can be appropriately sampled by either the otter and/or beam trawl gears informed by  
362 Kotwicki (Lauth et al., in review). We then considered commercial importance given the  
363 distribution shifts of commercially important Bering Sea species into the Chukchi Sea as well as  
364 species that have been observed to be trophically important in the Chukchi for various seabirds  
365 and marine mammals (e.g., Arctic cod, Florko et al., 2021; Kokubun et al., 2015; Quakenbush et  
366 al., 2015). Lastly, it is critical to engage with stakeholders to consider their values and  
367 understand how to monitor species of direct and indirect (e.g., dependent prey) importance to the  
368 resources they use. In the US Chukchi Sea, the primary stakeholders are coastal Alaska Native  
369 communities. Marine mammals are important to Alaska Native communities for subsistence and  
370 cultural value and while trawl surveys cannot monitor marine mammals, they can be used to  
371 monitor prey species on which these marine mammals depend. We have used information  
372 learned from these Alaska Native communities around the NBS (Markowitz et al., 2022) to  
373 identify species used for subsistence or other purposes. Furthermore, we have begun more  
374 extensive efforts to consult with Alaska Native communities in the US Arctic to further tailor  
375 potential monitoring efforts to align with their values. In summary, we recommend that  
376 ecosystem monitoring surveys be designed with thorough consideration of the values and  
377 objectives of all major components of the socio-ecological system and how these relate to the  
378 limitations of what can be effectively monitored with the observational methods available.

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