

**SOL Broadening by Edge Turbulence:  
Experiment and Theory of Entrainment Dynamics:  
from Goldston to Goldenfeld...**

P.H. Diamond

UC San Diego

Festival de Theorie - 2022

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(1) Univ. CAS; (2) SWIP; (3) PKU; (4) UCLA; (5) DIII-D; (6) Ciemat

# Acknowledge:

Jose Boedo, R. Goldston, Zheng Yan, G. Tynan, X.-Q. Xu, Nami Li

# Outline

- Brief Primer on the Edge and SOL, Some History
- SOL Width Problem and the Physics of the Plasma Boundary Layer
- Turbulence Production Ratio and its Implications → Some Data
- Calculating the Scale of the Spreading-Driven SOL → Some Theory
- A Closer Look at Turbulence Spreading → More Theory
- Open Issues and Future Plans

# Primer (Brief)

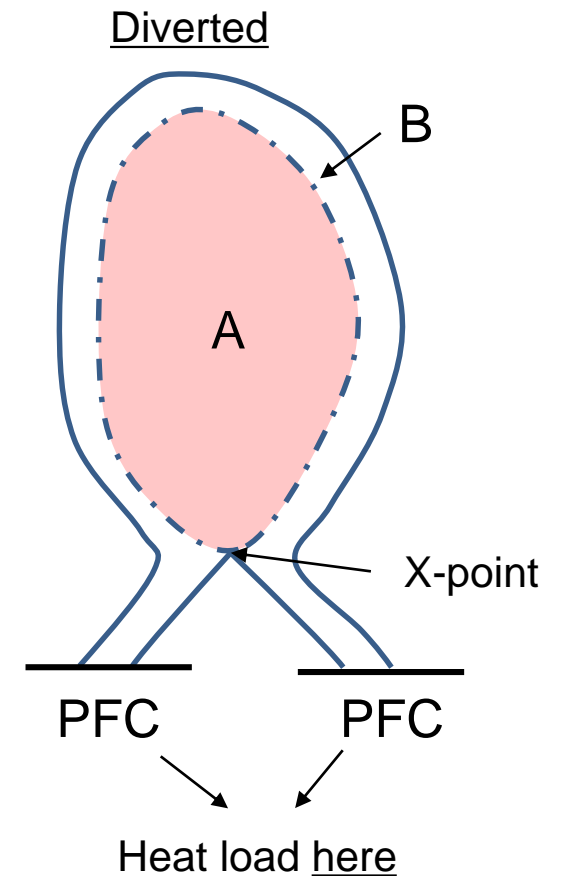
- All confinement devices have an edge and SOL (scrape-off layer)

## Fueling at Edge

- Define:
  - Confined plasma boundary
  - Connection to plasma facing components
  - SOL as confined plasma ‘boundary layer’

NB: Magnetic field lines are perp to plane, with slight tilt

A – confined plasma  
B – SOL  
Dashed – separatrix



# Primer, cont'd

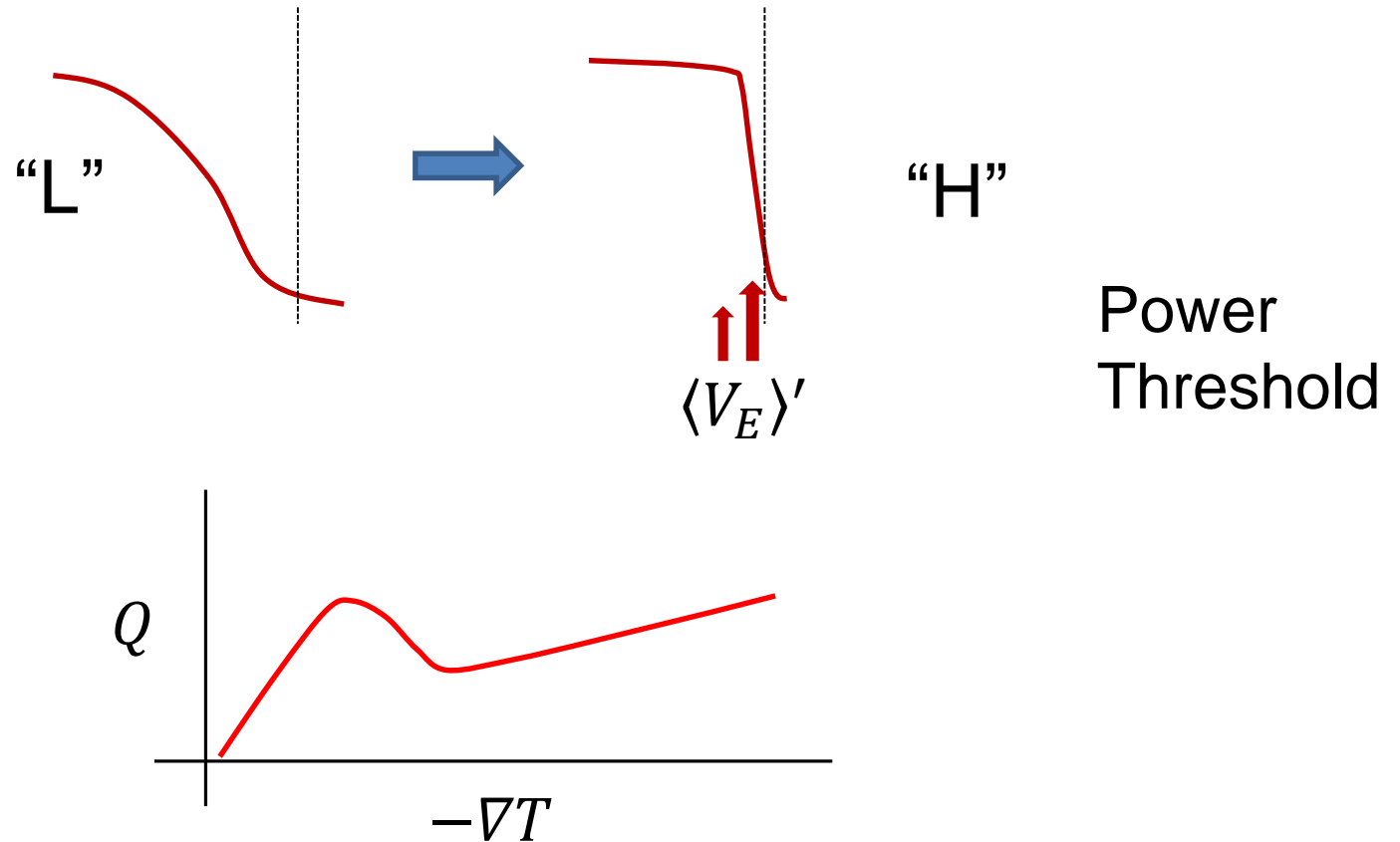
- L-H

Key:

- Edge shear layer  $\langle V_E \rangle' \rightarrow$
- Turbulence quench  $\rightarrow$
- Transport bifurcation

- Pedestal formation

- Pedestal profiles +  $\delta W \rightarrow$  Edge Localized Modes via Peeling-Ballooning



# Primer, cont'd

- SOL:  $\nabla \cdot \vec{\Gamma} = \nabla \cdot \vec{Q} = 0$  (open lines)

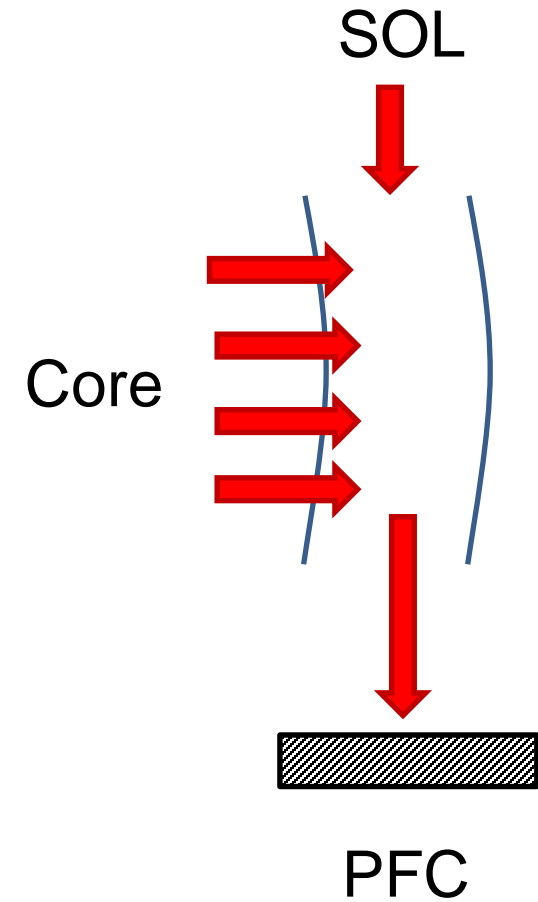
$$\Gamma_{\perp} \approx -D \partial_r n \quad (?) \quad \nabla_{\perp} \sim \partial_r \sim 1/\lambda_{\perp}$$

$$\Gamma_{\parallel} \approx \alpha c_s n \quad \nabla_{\parallel} \sim 1/L_c \sim 1/Rq$$

$$\Rightarrow D \partial_r^2 n \sim \alpha n / L_c \quad \tau_{\parallel} \approx Rq / c_s$$

$$\lambda_{\perp} \sim (D\tau_{\parallel})^{1/2} \sim \text{crude SOL width}$$

$$\leftrightarrow 1/\tau_{\parallel} \sim \chi_{\parallel} / L_c^2 \quad \text{conduction, high density}$$

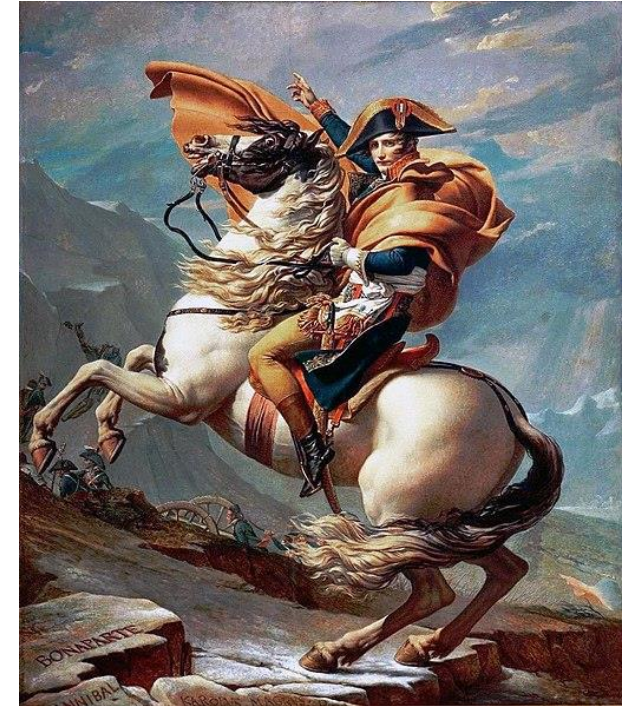


# Cynic's History of MFE

N.B. "Always historicize"  
- Frederic Jameson

- Mid-50's: MCF declassified
- Mid-60's: Trieste → basic tokamak theory
- Mid-70's: PLT NBI → PPPL declares victory (Furth)
- Late 70's-80's: Wait a sec...  $\tau_E$  degrades with  $\uparrow P_{in}$  !?  
(Rebut, Furth, Okawa....)
- '82 →: L→H transition discovered on ASDEX (F. Wagner)
  - Spontaneous transition to high confinement, Edge transport barrier
  - ELM's discovered

Key: Boundary Control - Divertor



This way to  
Waterloo

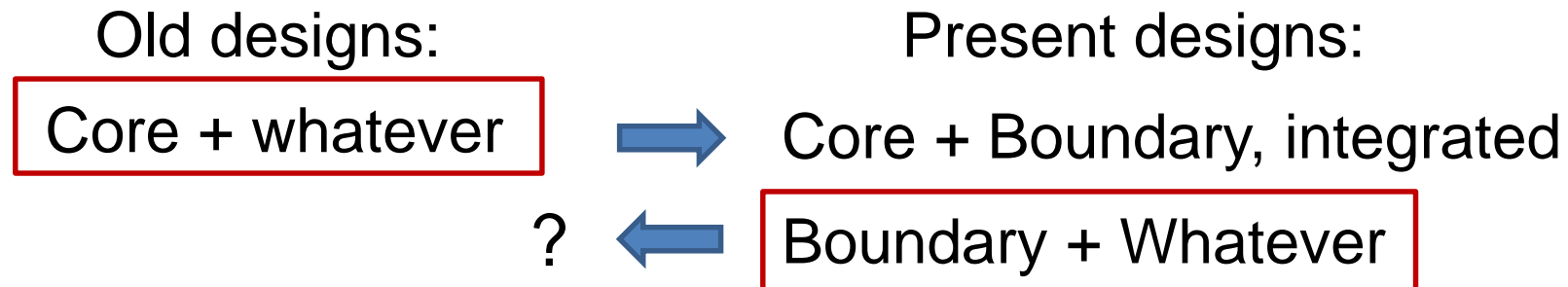
# History, cont'd

- '90's → ITB's → evolutionary dead end ?!
- 2000 → Rising concern about ELM and PFC issues, re: ITER
- 2012: Labombard scaling studies + Goldston HD model →  $\lambda_q \sim 1/B_\theta$  → laminar plasma boundary layer too narrow → enter the heat load problems
- 2013: Kikuchi TTF Talk (Sonoma)
  - Conflict between good confinement and good power handling
  - “Kill H-mode” (?...)



# History, cont'd

- Present Day:
  - Trade offs between confinement and power handling → center stage
  - Enhanced confinement + turbulent edge:
    - Grassy ELMs, WPQHM, I-mode, RMP, Negative Triang., ...
    - ITB + L-mode edge, ITB + R.I. ? Staircase+ ???
  - Divertors + Detachment, Density Limit

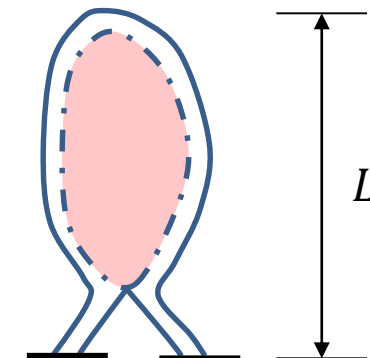
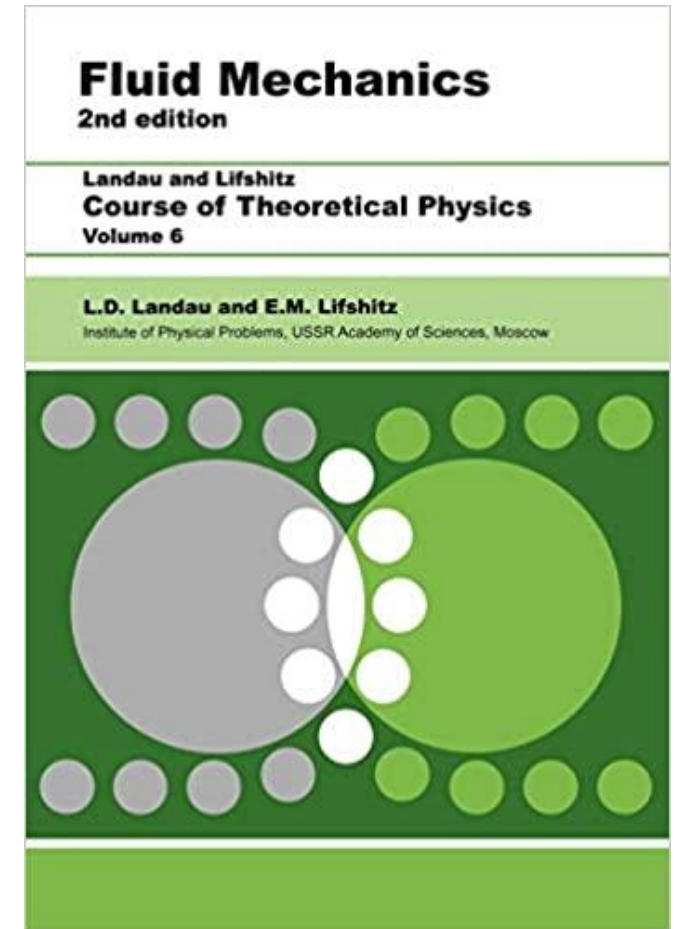


# History, Summary

Edge + Boundary Physics has been the center of the action in MFE since 1980's

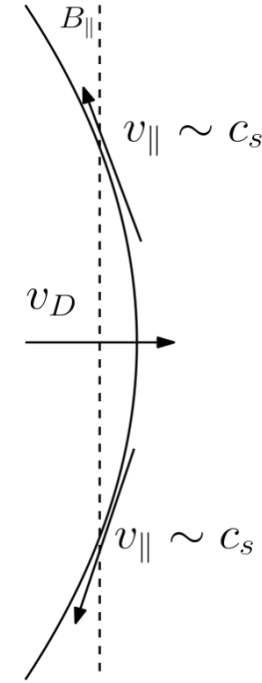
# Background

- Conventional Wisdom of SOL:  
(cf: Stangeby...)
  - Turbulent Boundary Layer, ala' Blasius, with  $D$  due turbulence
  - $\delta \sim (D\tau)^{1/2}, \tau \approx L_c/V_{th}$
  - $D \leftrightarrow$  local production by SOL instability process  
→ familiar approach,  $D$  ala' QL
- Features:
  - Open magnetic lines → dwell time  $\tau$  limited by transit, conduction, ala' Blasius
  - Intermittency → “Blobs” etc. Observed. **Physics?**



# Background, cont'd

- But... Heuristic Drift (HD) Model (Goldston +)
  - $V \sim V_{\text{curv}}$  ,  $\tau \sim L_c/V_{\text{thi}}$  ,  $\lambda \sim \epsilon \rho_{\theta i}$  → SOL width
  - Pathetically small
  - Pessimistic  $B_\theta$  scaling, yet high  $I_p$  for confinement
  - Fits lots of data.... (Brunner '18, Silvagni '20)
- Why does neoclassical work? → ExB shear suppresses SOL modes i.e.

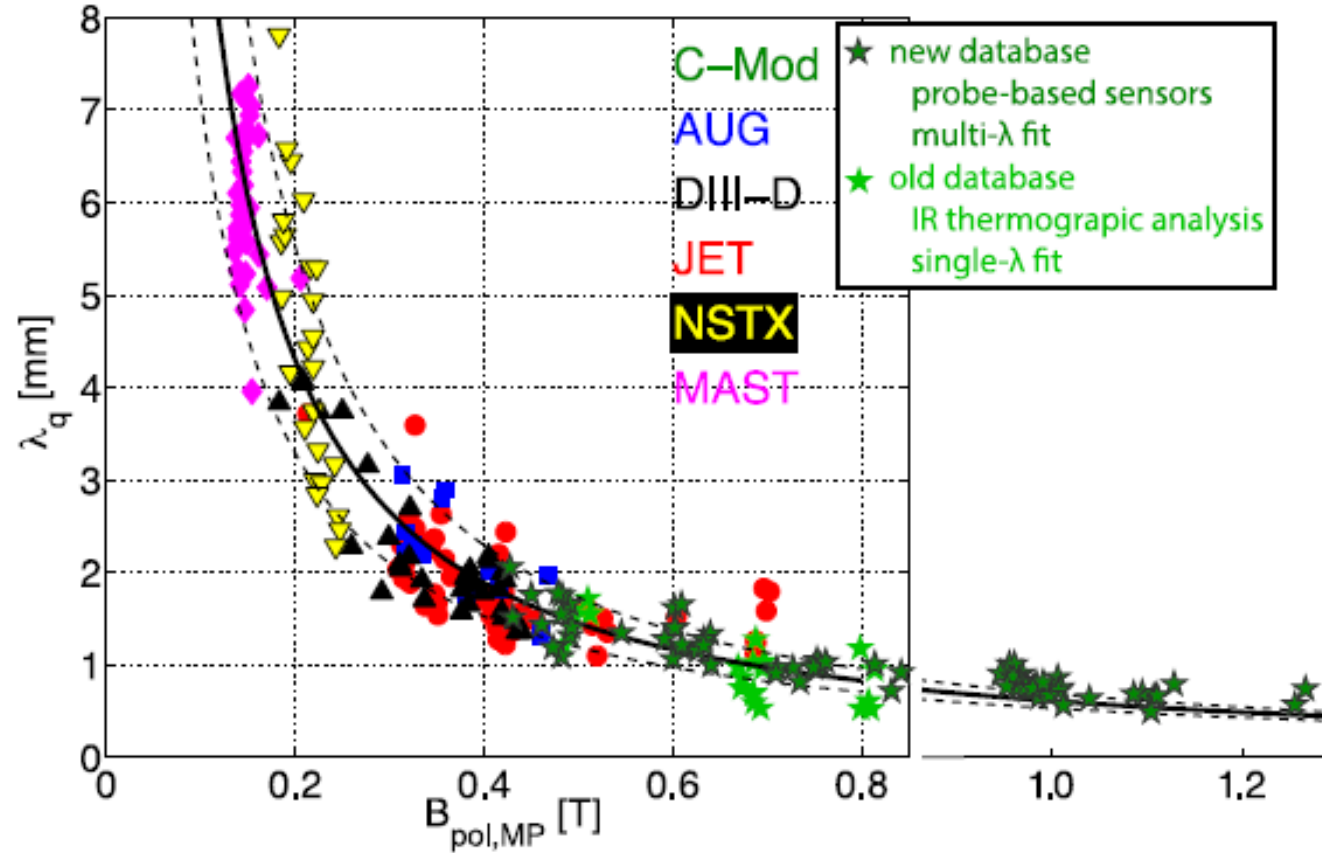


$$\gamma_{\text{interchange}} \sim \frac{c_s}{(R_c \lambda)^{\frac{1}{2}}} - \frac{3T_{\text{edge}}}{|e|\lambda^2}$$

shearing  $\leftrightarrow$  strong  $\lambda^{-2}$  scaling

$$\text{from: } \frac{c_s}{(R_c \lambda)^{\frac{1}{2}}} - \langle V_E \rangle'$$

# Background: HD Works in H-mode



HD is Bad News...

# Background, cont'd

- THE Existential Problem... (Kikuchi, Sonoma TTF):

Desire  $\left\{ \begin{array}{l} \text{Confinement} \rightarrow \text{H-mode} \leftrightarrow \text{ExB shear} \\ \text{Power Handling} \rightarrow \text{broader heat load, etc} \end{array} \right. \rightarrow \text{Both to be good !}$

How reconcile? – Pay for power mgmt with confinement ?!

- Spurred:
  - Exploration of turbulent boundary states with improved confinement: Grassy ELM, WPQHM, I-mode, Neg. D ... N.B. What of ITB + L-mode edge?
  - + SOL width now key part of the story
  - Simulations, Visualizations (XGC, BOUT...) ~ “Go” to ITER and all be well
- But... What’s the Physics ?? How is the SOL broadened?

# **SOL Boundary Layer:**

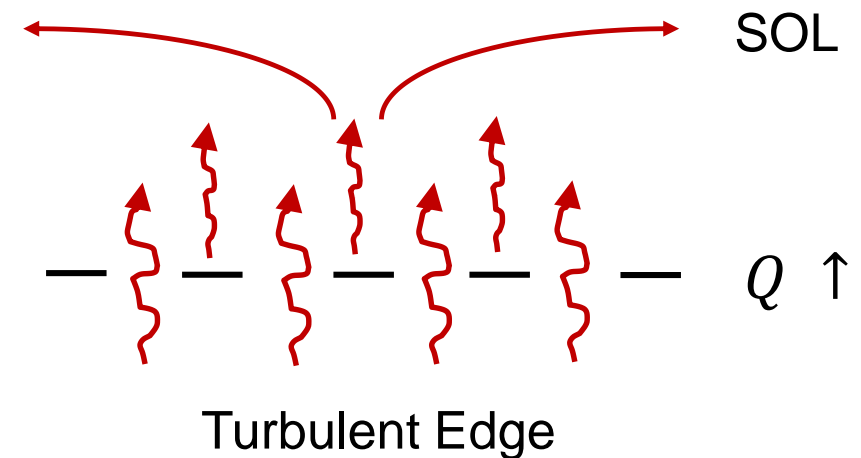
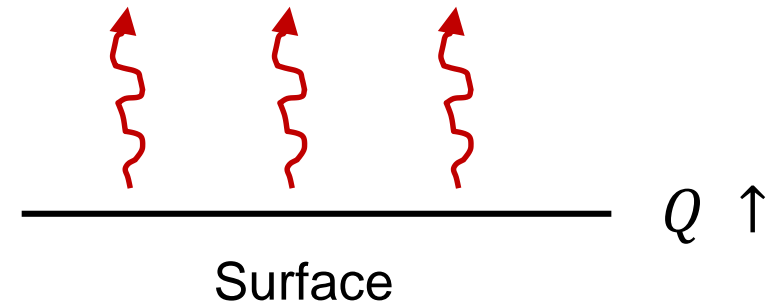
**Turbulence Production Rate and  
the Role of Spreading**

# SOL BL Problem

- Classic flux-driven BL problem
  - Heat flux at surface drives
  - Production =  $gQ$     $\tilde{V}_E \sim (gQz)^{1/3}$  etc
  - Plumes

Adapt to SOL ?

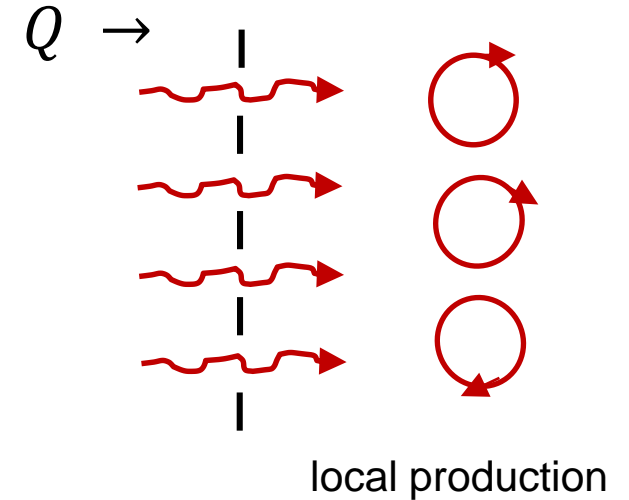
- SOL
  - Open field lines
  - Turbulent energy flux and heat flux, etc drive
  - Turbulence spreading (Garbet, P.D., Hahm, ...)
  - Includes ‘blobs’ – c.f. Manz, 2015





# SOL BL Problem

- SOL Excitation
  - Local production (SOL instabilities)
  - Turbulence energy influx from pedestal
- Key Questions:
  - Local drive vs spreading ratio  $\rightarrow Ra$
  - Is the SOL usually dominated by turbulence spreading?
  - How far can entrainment penetrate a stable SOL  $\rightarrow$  SOL broadening?
  - Effects ExB shear, role structures ?



# Physics Issues – Part I

- Measure and Characterize Turbulence Energy Flux at LCFS
- Determine Relative Contributions of :

- Influx/Spreading thru LCFS
- SOL Production








$R_a \rightarrow$  Production Ratio

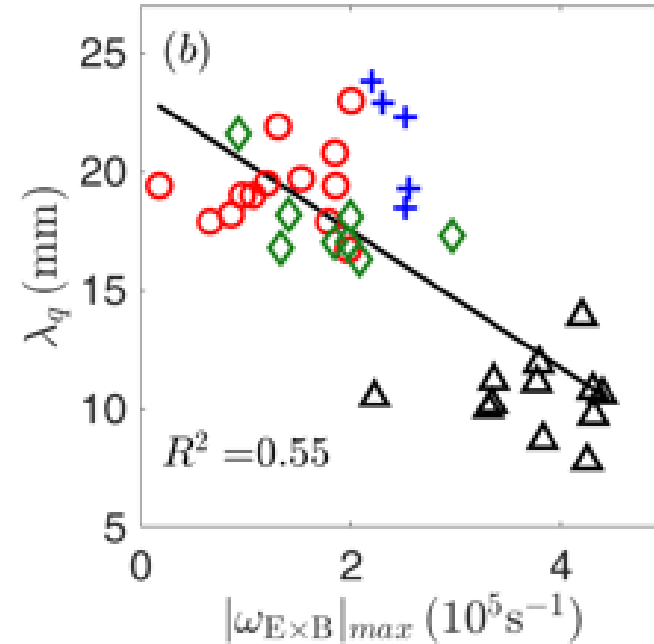
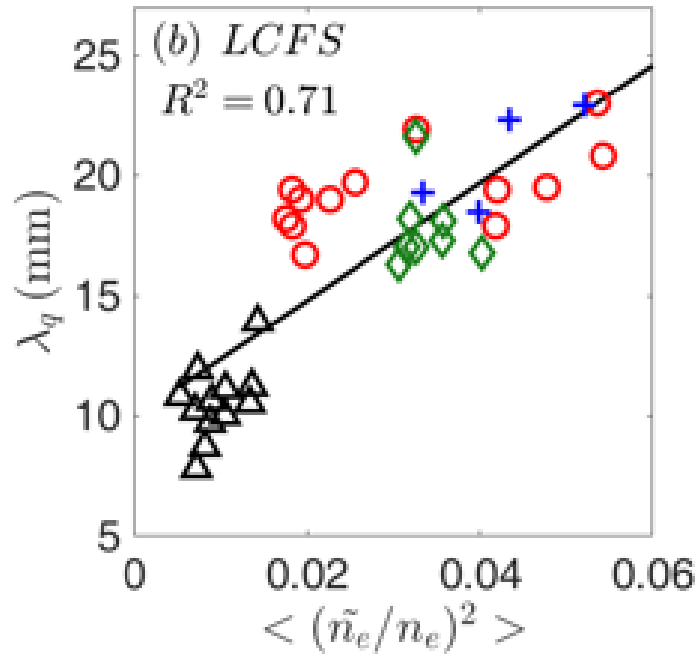
- Trends in  $\lambda_q$  and  $R_a$  vs : ExB shear, 'Blob' Fraction...
- Question: To what extent is SOL turbulence usually spreading driven?

→ Phenomenology... (see Ting Wu +, submitted 2022)

# Experiments and Data Set

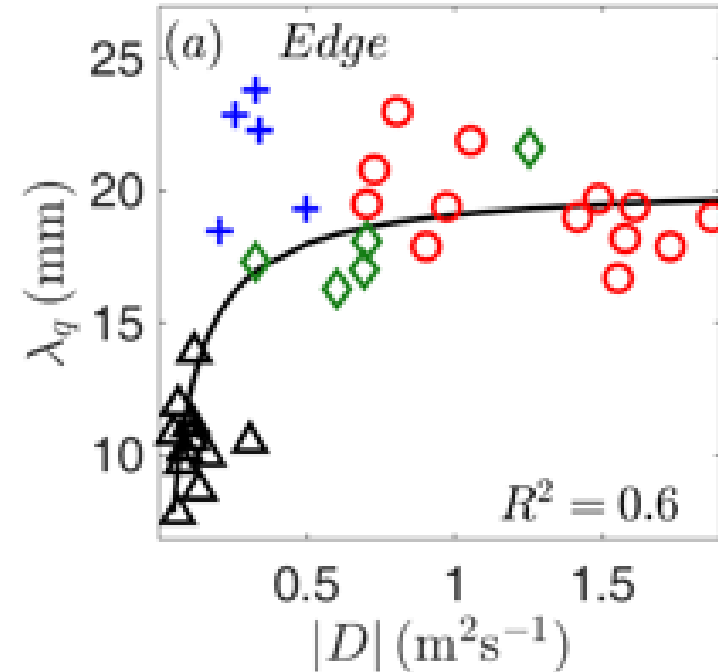
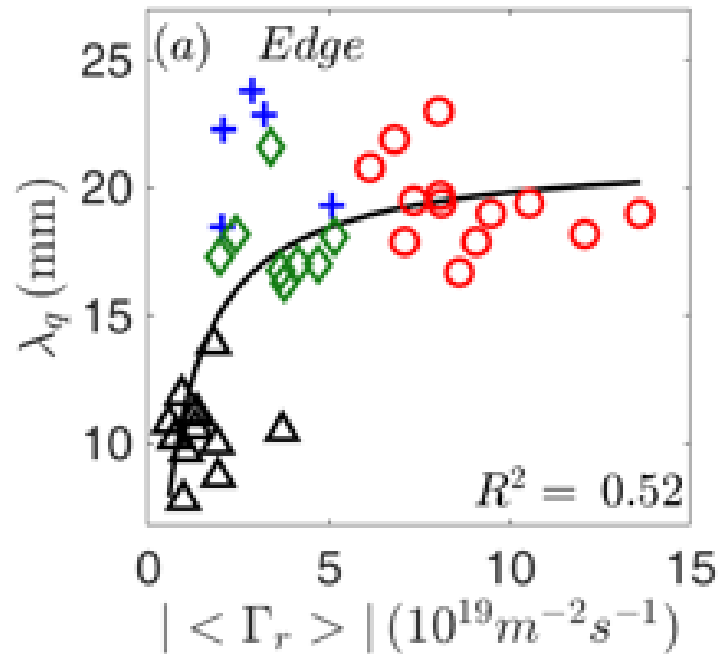
- HL-2A limited OH plasmas – classic “boring plasmas”
- Reciprocating probe array  $\leftrightarrow$  Outboard mid-plane
- $q_{\parallel} = \gamma J_{sat} T_e$  ,  $\gamma \equiv$  sheath transmission coefficient
- Database: ‘Garden Variety OH’  $\sim 150$  kA, 1.4T
- 4 parameter subgroups      
red circle   blue cross   green diamond   black triangle
- Similar, with  $\lambda_q \gg \lambda_{HD}$ , except: black triangles 
  - $\lambda_q > \lambda_{HD}$  , not  $\gg$
  - Significant GAM activity  $\rightarrow$  stronger ExB shear

## $\lambda_q$ Trends 1 – Fluctuation Levels and Shearing



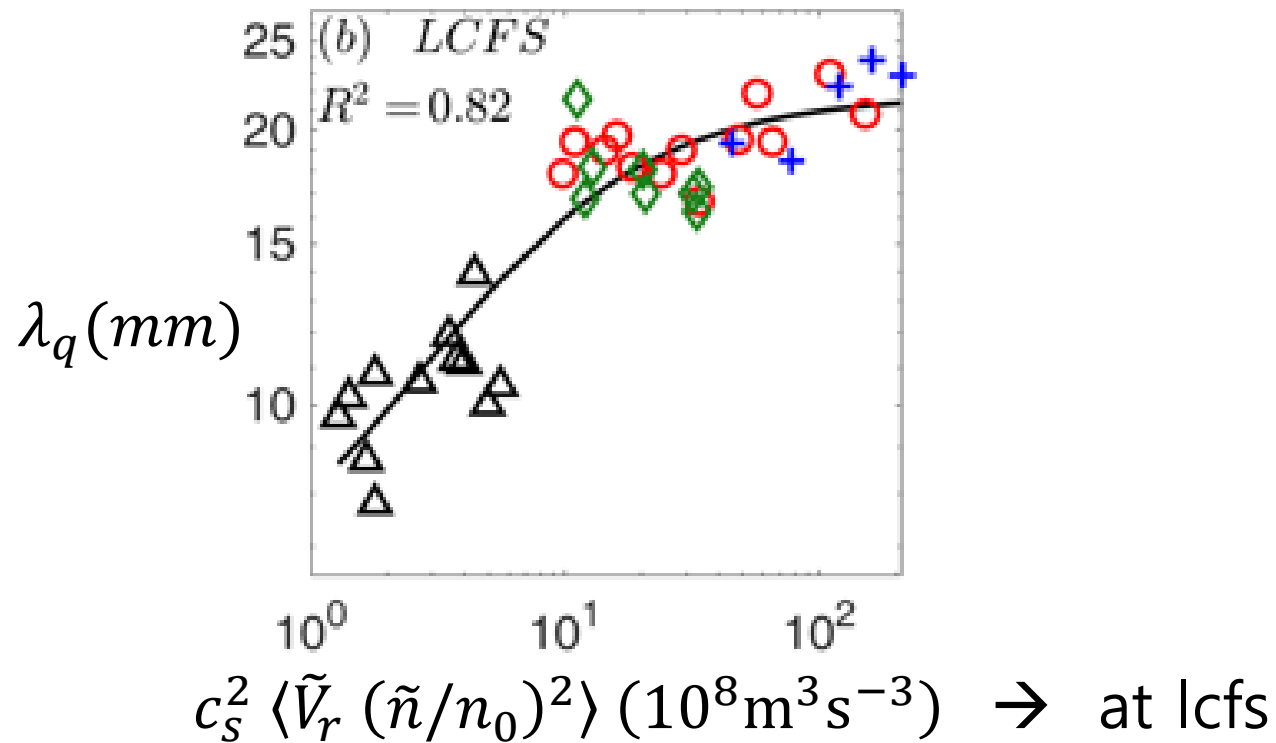
- $\lambda_q$  increases for increasing fluctuation intensity at lcfs
- $\lambda_q$  decreases for increasing ExB shear at lcfs
- Max  $\omega_{E \times B}$  at shear layer  $\sim$  lcfs

## $\lambda_q$ Trends 2 – Particle Flux and Diffusion



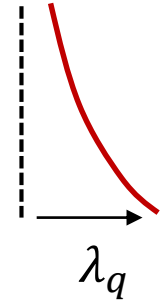
- $\lambda_q$  increases for increasing edge  $\Gamma_n$
- $\lambda_q$  increases for increasing edge  $D$
- ? Saturation – might expect  $\lambda \sim (D\tau)^{1/2}$  scaling ...

## $\lambda_q$ Trends 3 – Spreading !



- $\Gamma_\varepsilon = c_s^2 \langle \tilde{V}_r (\tilde{n}/n_0)^2 \rangle \rightarrow$  flux of turbulence internal energy thru lcfs
- Direct measurement of local spreading flux
- Consistent with expected trend of expanded SOL width due to increasing spreading across lcfs

# SOL Fluctuation Energy – Production Ratio



1 Fluid •  $\rho \left( \frac{\partial \vec{V}}{\partial t} + \vec{V} \cdot \nabla \vec{V} \right) = -\nabla P + \frac{1}{c} \vec{J} \times \vec{B} + \rho g \hat{r}$

$$\nabla \cdot \vec{V} = 0, \quad \tilde{P} + \frac{\vec{B}_0 \cdot \vec{\tilde{B}}}{4\pi} \approx 0$$

SOL interchange

$$\begin{aligned} \bullet \quad \partial_t (KE)_{SOL} &= - \int_0^\lambda dr \nabla \cdot \Gamma_E + \int_0^\lambda dr \left[ \frac{c_s^2}{R} \left\langle \frac{\tilde{V}_r \tilde{n}}{n_0} \right\rangle - \langle \tilde{V}_r \tilde{V}_\perp \rangle \frac{\partial}{\partial r} \langle V_\perp \rangle \right] \\ &= -\Gamma_E|_{\lambda_q} + \Gamma_E|_{\text{lcfS}} + [\text{SOL Integrated local production}] \end{aligned}$$

Fluctuation Energy Influx to SOL

$$\bullet \quad \Gamma_E = \langle \tilde{V}_r \tilde{V}^2 \rangle \approx c_s^2 \langle \tilde{V}_r (\tilde{n}/n_0)^2 \rangle \rightarrow \text{amenable to measurement}$$

Take: KE flux  $\sim$  Int. Energy Flux ( $\checkmark$  for drift-interchange)

this gives ...

## Aside: On Calculating the Spreading...

- Why perturbed pressure balance?
  - Else,  $\langle \vec{V} \cdot \nabla P \rangle$  and  $\langle \rho \nabla \cdot \vec{V} \rangle$  enter energy balance. Acoustic energy propagation irrelevant on  $\tau \gg \tau_{MS}$
  - Can eliminate via vorticity eqn,  $\vec{V} = \vec{E} \times \vec{B}$  etc.
- Interchange drive:  $\kappa P \rightarrow \kappa \langle \tilde{V}_r \tilde{P} \rangle \approx g c_s^2 \langle \tilde{V}_r \tilde{n} \rangle$   
as cannot measure  $\tilde{P}$  fluctuations



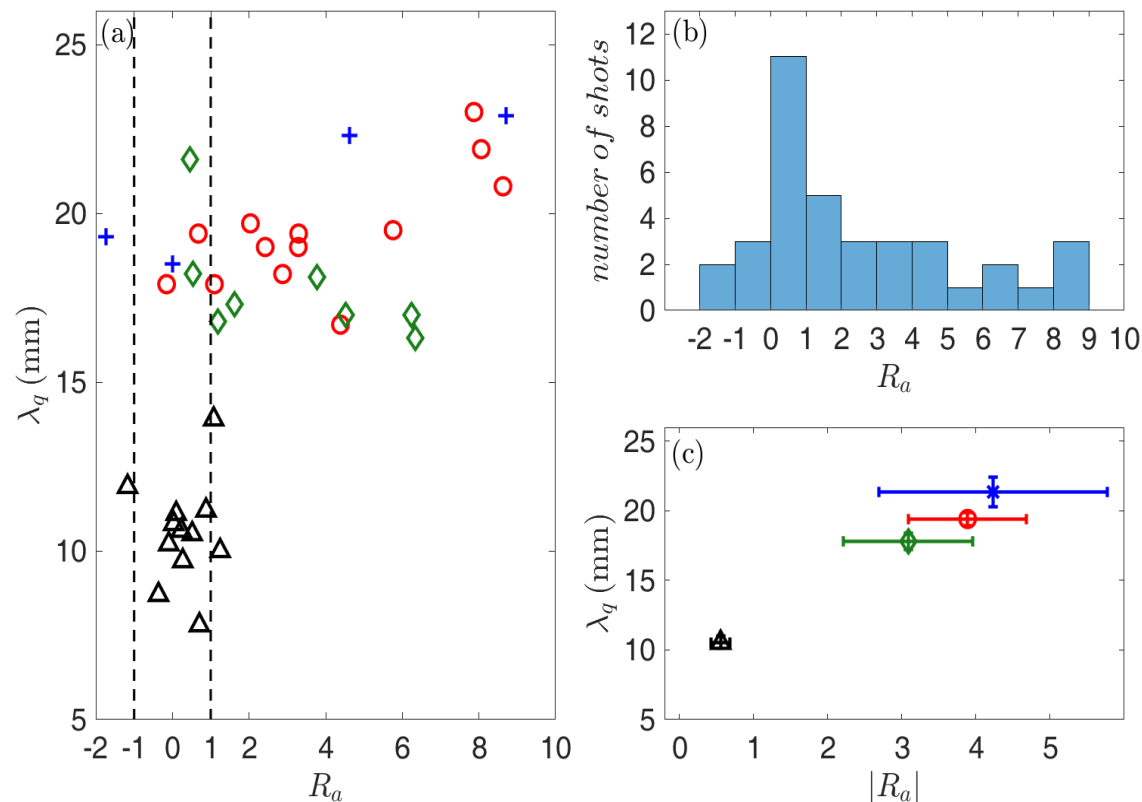
## Production Ratio, Cont'd

How important is spreading ?

$$R_a = c_s^2 \langle \tilde{V}_r (\tilde{n}/n_0)^2 \rangle \Big|_{\text{lcfS}} / \int_0^\lambda dr \frac{c_s^2}{R} \langle \tilde{V}_r \tilde{n}/n_0 \rangle$$

- Ratio of fluctuation energy influx from edge i.e. spreading drive - to net production in SOL
- $R_a < 1 \rightarrow$  SOL locally driven
- $R_a \gg 1 \rightarrow$  SOL is spreading driven
- Quantitative measurement by Langmuir probes
- N.B. very simple; likely lower bound, as local production smaller

# Production Ratio - Measurements

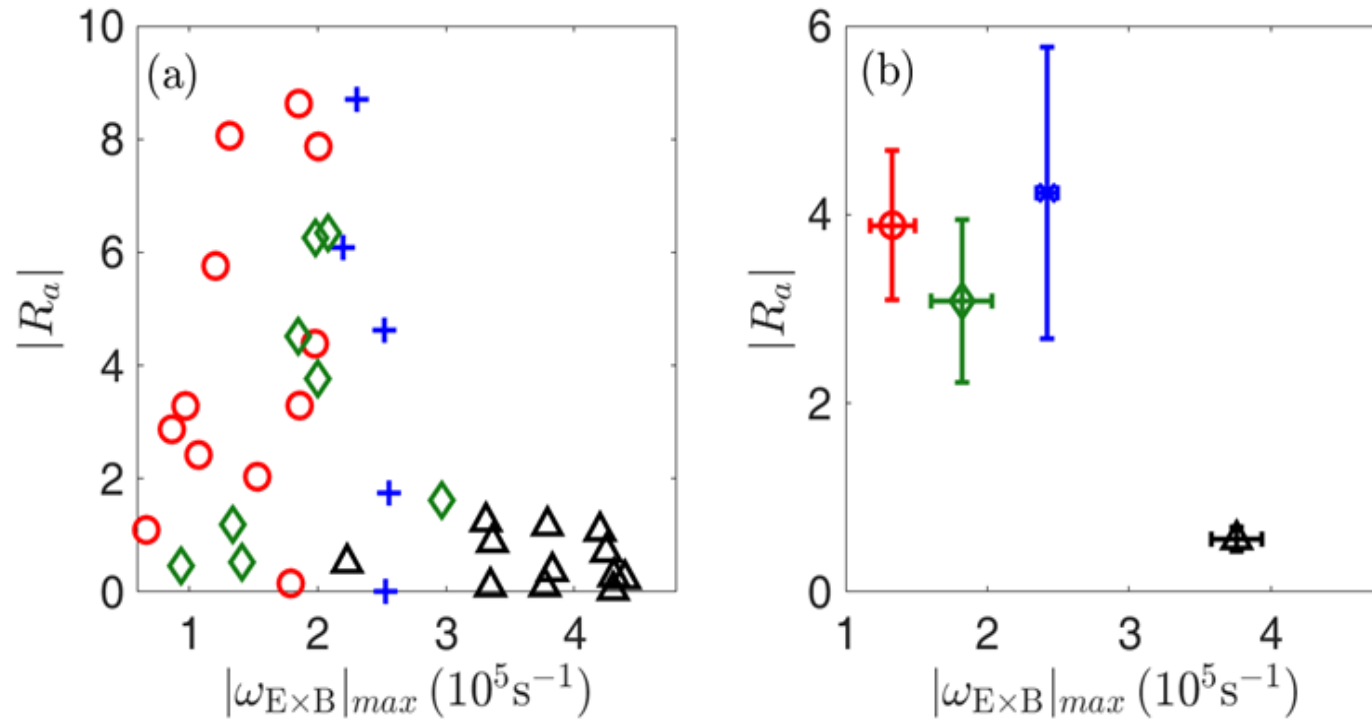


$$R_a = \frac{\text{Fluctuation Energy Influx}}{\text{SOL Local Production}}$$

- Observe:
  - $\lambda_q$  increases with  $R_a$
  - Most cases  $R_a > 1$
  - Broad distribution  $R_a$  values
  - Low  $R_a$  values  $\leftrightarrow$  strong ExB shear
- N.B. Non-trivial, as shear enters production, also via cross phase
- Also:
  - Some  $R_a < 0$  cases  $\rightarrow$  inward spreading  $\leftrightarrow$  local measurement trend outward
  - Some very large  $R_a$  values

What is happening?

# Production Ratio vs ExB Shear 1



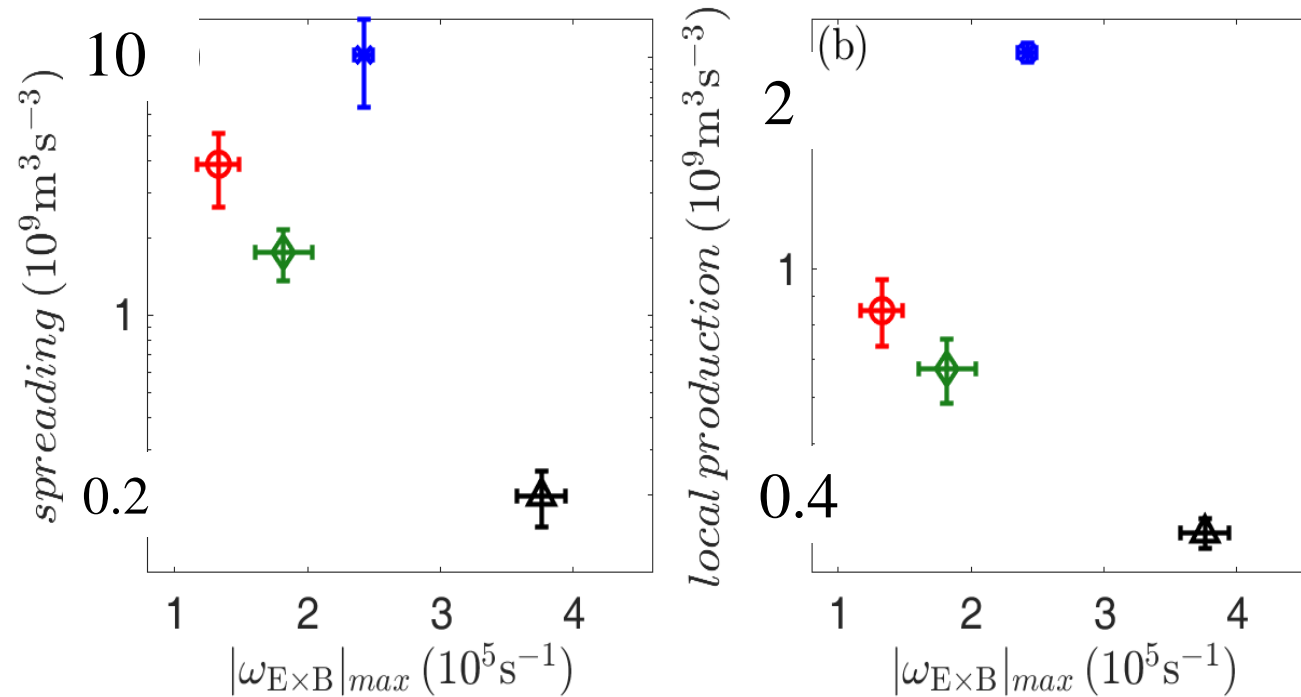
- Low values of  $|R_a|$  at high  $V'_E$
- But why?

$$R_a = c_s^2 \langle \tilde{V}_r (\tilde{n}/n_0)^2 \rangle|_{\text{lcs}} / \int_0^\lambda dr \frac{c_s^2}{R} \langle \tilde{V}_r \tilde{n}/n_0 \rangle$$

→ Expect shear inhibits both spreading and transport flux?

↔ ExB shear enters phase relation in both

# Production Ratio vs ExB Shear, cont'd



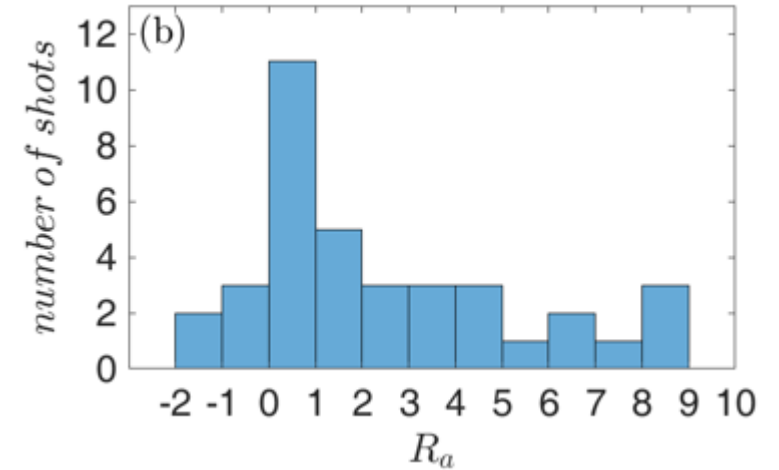
- Both spreading and local production drop due high  $V'_E$
- But spreading x (1/10) vs Production x (1/2)
- ➔ Spreading flux significantly more sensitive to  $V'_E$  than transport flux
- ↔ Triplet vs quadratic ➔ Phases?

# Large $R_a \rightarrow$ 'Blobs' ?!

- What of the large  $R_a$  values?
- Suspect – Structure Emission i.e. “blobs” !?
- Test:
  - Conditional averaging (i.e. threshold  $\tilde{n} > 2\tilde{n}_{rms} \rightarrow$  “blob”)
  - Threshold arbitrary  $\rightarrow$  setting based upon previous studies
  - Compute  $R_a, \Gamma$  etc. with conditionally averaged quantities

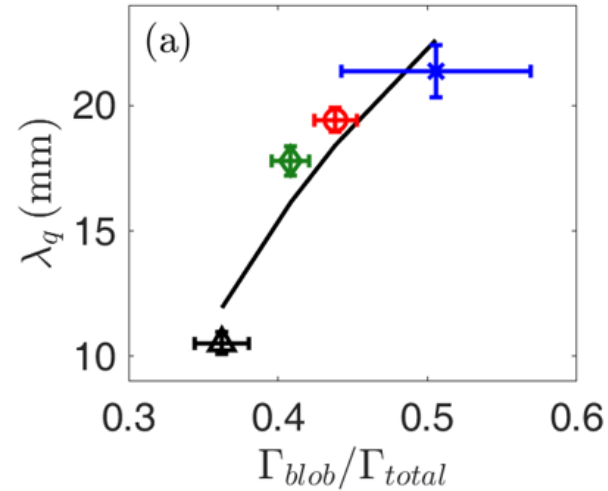
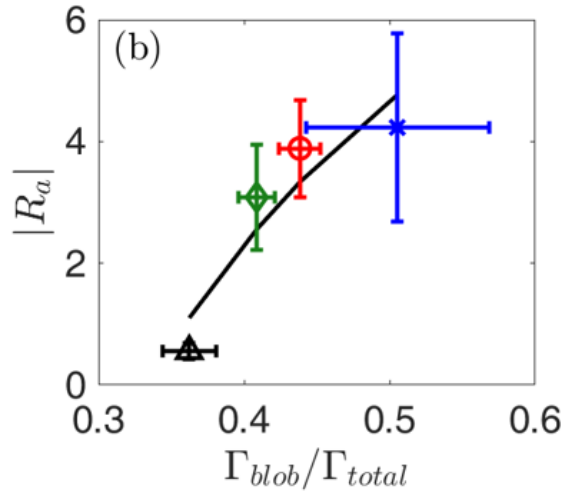
Especially:  $\Gamma_{blob} / \Gamma_{total}$

 Flux carried by “blobs”



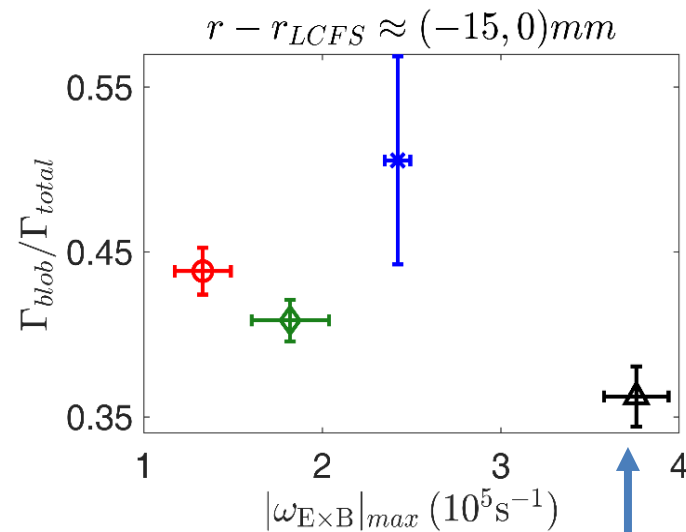
Physics of the “2” ?

# Large $R_a \rightarrow \lambda_q$ increases with 'blob' fraction



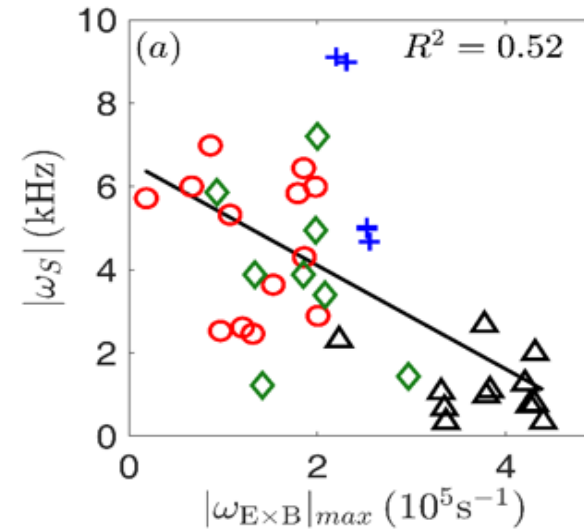
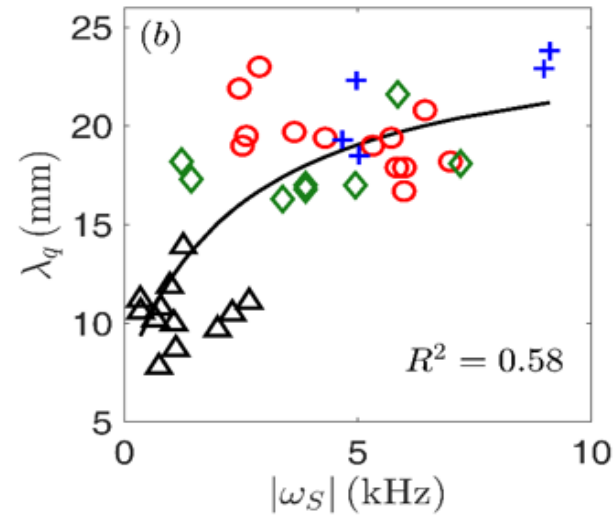
- Large  $R_a$  cases  $\leftrightarrow$  larger 'blob fraction' of flux  
 $\leftrightarrow$  spreading encompasses 'blobs' (c.f. Manz +)  $\rightarrow \langle \tilde{V}_r \tilde{n}^2 \rangle$
- $\lambda_q$  increases with  $\Gamma_b/\Gamma_{Tot}$

- High ExB shear cases  $\rightarrow$  low 'blob' fraction  
 (Consistent with Bodeo+, '03)



# Time Scales

- Spreading rates:  $\omega_s \approx -\partial_r \langle \tilde{V}_r \tilde{n} \tilde{n} \rangle / \langle \tilde{n}^2 \rangle$   
characteristic rate of spreading (Manz +)
- Shearing rate  $V'_E$



- $\lambda_q$  broadens for large  $\omega_s$
- Stronger shear reduces spreading rate

# Partial Summary

- Significant, mostly outward, spreading measured at lcfs
- Identified and calculated production ratio

$$R_a = (\text{spreading influx}) / (\text{local production})$$

- Most cases:  $R_a > 1 \rightarrow$  spreading dominant player in SOL energetics
- ExB shear reduces  $R_a \leftrightarrow$  spreading more sensitive to  $V_E'$  than transport and production – phases ?
- High  $R_a$  spreading  $\leftrightarrow$  ‘blob’ dominated dynamics  $\rightarrow$  how calculate?

YES  $\rightarrow$  SOL turbulence usually spreading driven!

“The conventional wisdom is little more than convention” - JKG

N.B. No use of closure of spreading flux



# **Calculating the Width of the Spreading-Driven SOL**

## Physics Issues – Part II

- How calculate SOL width for turbulent pedestal but a locally stable SOL?

- spreading penetration depth
- must recover HD in WTT limit

- ➔ • Scaling and cross-over of  $\lambda_q$  relative HD model
- ➔ • What is effect/impact of barrier on spreading mechanism?
- Can SOL broadening and good confinement be reconciled ?

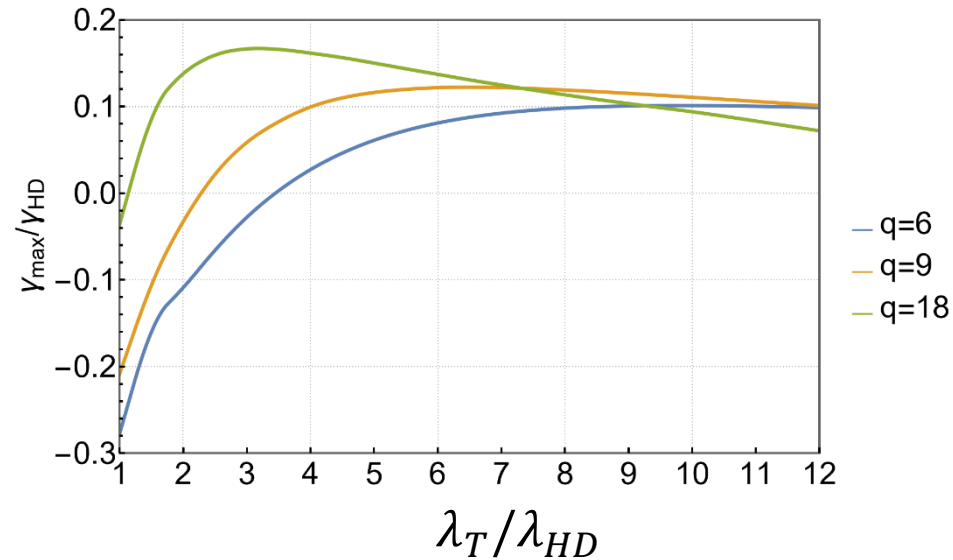
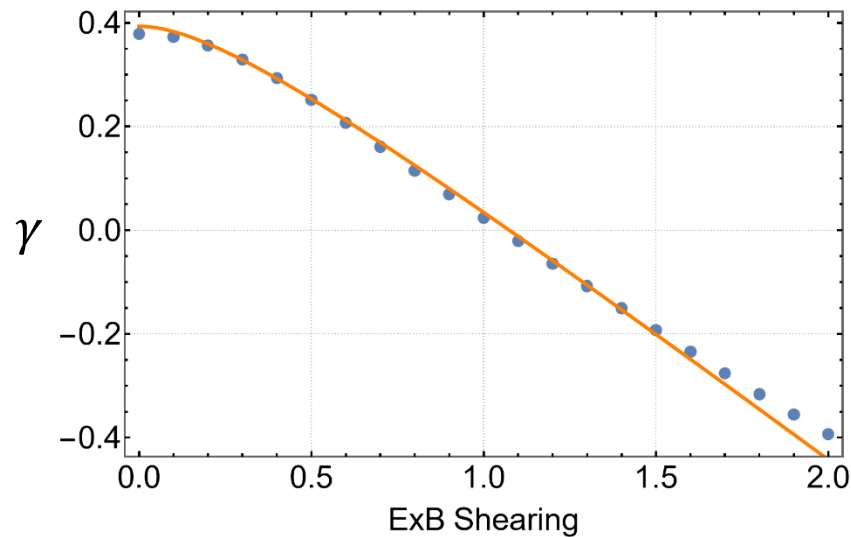
**Comment:**

**Simulation inadequate!**

**Need more than color pictures...**

# Model 1 – Stable SOL – Linear Theory

- Standard drift-interchange with sheath boundary conditions + ExB shear (after Myra + Krash.)



Maximal Linear Growth Rate of Interchange Mode in the SOL v.s. normalized layer width  $\lambda_D/\lambda_{HD}$  at different SOL safety factor  $q$  (with  $\beta = 0.001$ )

Linear Growth Rate of a specific mode (fixed  $k_y$ ) v.s.  $E \times B$  shear at  $q = 5, \beta = 0.001, k_y \cdot \lambda_{HD} = 1.58$ .

- Relevant H-mode ExB shear strongly stabilizing  $\gamma_{HD} = c_s/(\lambda_{HD}R)^{1/2}$
- Need  $\lambda/\lambda_{HD}$  well above unity for SOL instability.  $V'_E \approx \frac{3T_e}{|e|\lambda^2} \rightarrow$  layer width sets shear

# Model 2 – Two Multiple Adjacent Regions

- “Box Model” – after Z.B. Guo, P.D.

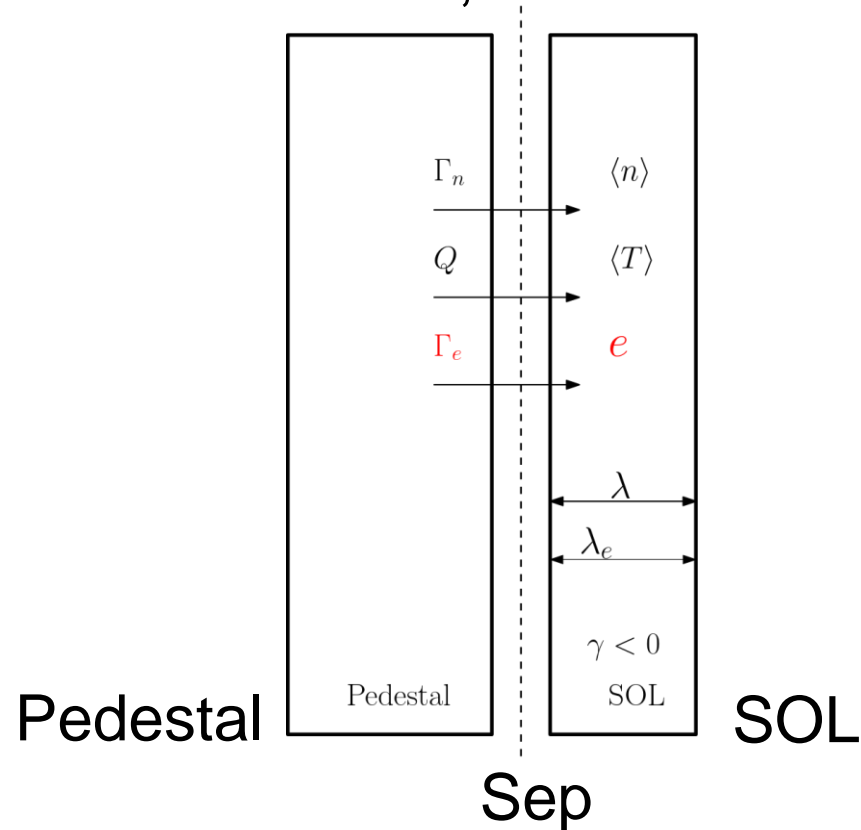


Illustration of Two Box Model: SOL driven by particle flux, heat flux and intensity flux ( $\Gamma_e$ ) from the pedestal. The horizontal axis is the radial direction, and vertical axis is the poloidal direction.

- Key Point:
  - Spreading flux from pedestal can enter stable SOL
  - Depth of penetration → extent of SOL broadening
  - Problem in one of entrainment/penetration

# Width of Stable SOL

- Fluid particle:  $\frac{dr}{dt} = V_{Dr} + \tilde{V}$ 
  - $V_{Dr}$ : drift
  - $\tilde{V}$ : fluctuating velocity
- Dwell time:  $\tau_{\parallel}$

{ Dwell time  $\tau_{\parallel}$   
constrains excursion

$$\delta^2 = \langle (\int (V_D + \tilde{V}) dt) (\int (V_D + \tilde{V}) dt) \rangle$$

$\downarrow$

$$\langle (\text{step})^2 \rangle = V_D^2 \tau_{\parallel}^2 + \langle \tilde{V}^2 \rangle \tau_c \tau_{\parallel}$$

$\downarrow$

$$= \lambda_{HD}^2 + \varepsilon \tau_{\parallel}^2$$

$\downarrow$  turbulence energy density

correlation time  
modest turbulence  $\leftrightarrow \tau_c \geq \tau_{\parallel}$

{ See also  
Fokker-Planck analysis  
i.e. drift + diffusion

- So  $\lambda = [\lambda_{HD}^2 + \varepsilon \tau_{\parallel}^2]^{1/2} \rightarrow$  SOL width [Effects add in quadrature]
- How compute  $\varepsilon$  ?  $\rightarrow$  turbulence energy !

# Calculating the SOL Turbulence Energy 1

- $K - \epsilon$  type model, mean field approach (c.f. Gurcan, P.D. '05 et seq)
  - Can treat various NL processes via  $\sigma, \kappa$
  - Exploit conservative form model
- $\partial_t \epsilon = \gamma \epsilon - \sigma \epsilon^{1+\kappa} - \partial_x \Gamma_e \quad \rightarrow \text{Spreading, turbulence energy flux}$ 
  - $\swarrow$  Growth  $\gamma < 0$   
here contains shear + sheath
  - $\searrow$  NL transfer  $\gamma_{NL} \sim \sigma \epsilon^\kappa$
- N.B.: No Fickian model of  $\Gamma_e$  employed
- Readily extended to 2D, improved production model, etc.

# Calculating the SOL Turbulence Energy 2

- Integrate  $\varepsilon$  equation  $\int_0^\lambda$
- Take quantities = layer average
- $\Gamma_{e,0} + \lambda_e \gamma \varepsilon = \lambda_e \sigma \varepsilon^{1+\kappa}$

Separatrix fluctuation energy flux

Single parameter characterizing spreading

So for  $\gamma < 0$ ,

$$\Gamma_{e,0} = \lambda_e |\gamma| \varepsilon + \sigma \lambda_e \varepsilon^{1+\kappa}$$

$\lambda_e$  = layer width for  $\varepsilon$

$\Gamma_{e,0}$  vs linear + nonlinear damping



# Calculating the SOL Turbulence Energy 3

[Mean Field Theory]

- Full system:

$$\Gamma_{e,0} = \lambda_e |\gamma| \varepsilon + \sigma \lambda_e \varepsilon^{1+\kappa}$$

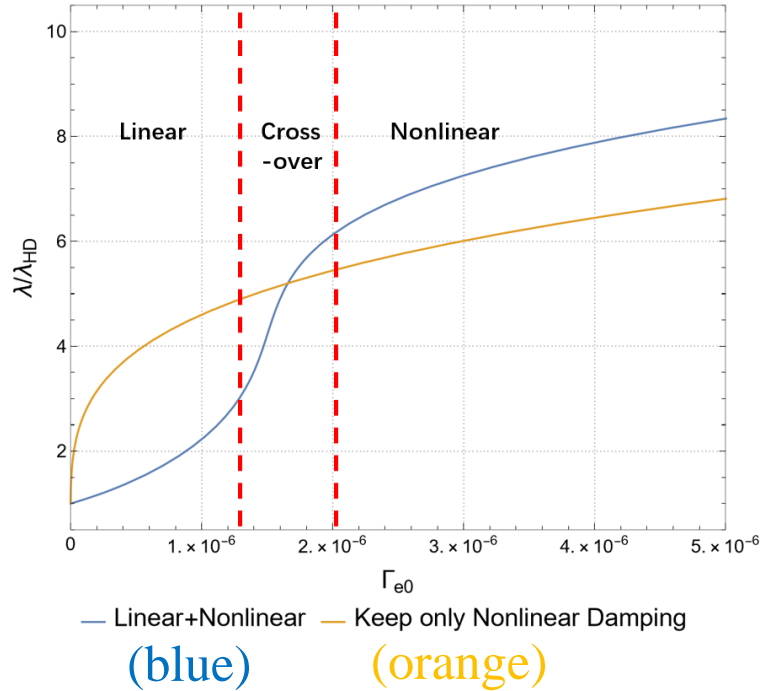
$$\lambda_e = [\lambda_{HD}^2 + \varepsilon \tau_{\parallel}^2]^{1/2}$$

Simple model of  
turbulent SOL  
broadening

- $\Gamma_{0,e}$  is single control parameter characterizing spreading
- $\tilde{\Gamma}_{0,e}$  ? Expect  $\tilde{\Gamma}_e \sim \Gamma_0$

# SOL width Broadening vs $\Gamma_{e,0}$

- SOL width broadens due spreading



$\lambda/\lambda_{HD}$  plotted against the intensity flux  $\Gamma_{e0}$  from the pedestal at  $q = 4, \beta = 0.001, \kappa = 0.5, \sigma = 0.6$

Variation indicates need for detailed scaling analysis

- Clear decomposition into
  - Weak broadening regime  $\rightarrow$  shear dominated
  - Cross-over regime
  - Strong broadening regime $\rightarrow$  NL damping vs spreading } relevant

- Cross-over for:  
 $\langle \tilde{V}^2 \rangle \sim V_D^2 \rightarrow$  cross-over  $\Gamma_{0,e}$
- Cross-over for  $\tilde{V} \sim O(\epsilon)V_*$

# Computing the Turbulence Energy Flux 1

- Need consider pedestal to actually compute  $\Gamma_{e,0}$

- Two elements

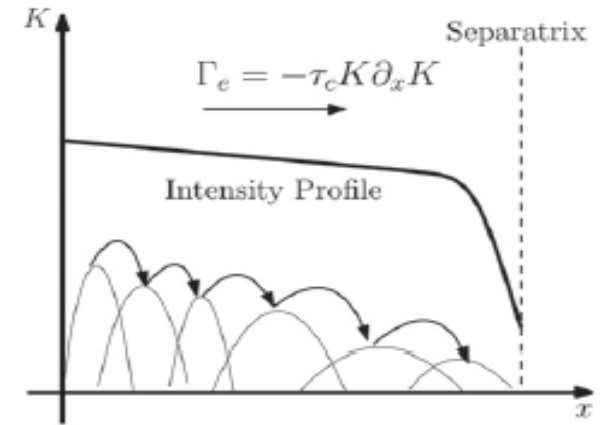
Does another trade-off loom? -- Pedestal Turbulence: Drift wave? Ballooning?  
-- Effect of transport barrier  $\leftrightarrow$  ExB shear layer  $\rightarrow$  barrier permeability!?

- Key Point: shearing limits correlation in turbulent energy flux

$$\text{i.e. } \Gamma_{e,0} \approx -\tau_c I \partial_x I \approx \tau_c I^2 / w_{\text{ped}} \quad (\text{Hahm, PD +})$$

ped turbulence  
intensity

correlation time  $\rightarrow$  strongly sensitive to shearing



N.B. Caveat Emptor re: intensity flux closure !

# Computing the Turbulence Energy Flux 2

- Familiar analysis for  $D \rightarrow$  Kubo

$$D = \int_0^\infty d\tau \langle V(0)V(\tau) \rangle = \int_0^\infty d\tau \sum_k |\tilde{V}_k|^2 \exp[-k_y^2 \omega_s^2 D \tau^3 - k^2 D \tau]$$

- Strong shear (relevant)

$$\tau_c = \tau_t^{1/2} \omega_s^{-1/2}$$

$$\tau_t \sim 1 / k \tilde{V}, \quad \omega_s \sim V_E'$$

Here, via RFB  $\rightarrow \omega_s = \partial_r \frac{\nabla P_i}{n|e|} \sim \frac{\rho^2}{w_{ped}^2} \Omega_{ci}$

- $\tau_c + w_{ped}$  + turbulence intensity in pedestal gives  $\Gamma_{e,0} \approx \tau_c I^2 / w_{ped}$
- Need  $\Gamma_{e,0} \geq \Gamma_{e,min} \approx |\gamma| \lambda_{HD}^3 \tau_{\parallel}^{-2}$

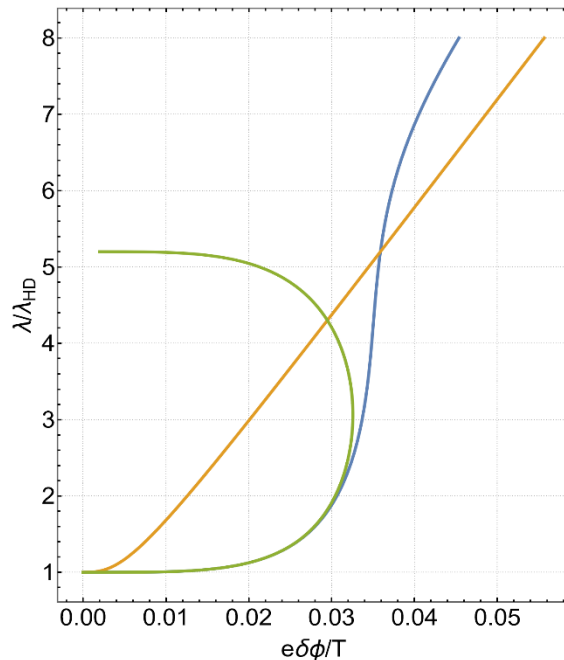
# Computing the Turbulence Energy Flux 3

- Pedestal  $\rightarrow$  Drift wave Turbulence
- Necessary turbulence level:
  - Weak Shear  $\frac{\delta V}{c_s} \sim \left(\frac{\rho}{R}\right)^{1/2} q^{-1/4}$
  - Strong Shear  $\frac{\delta V}{c_s} \sim \left(\frac{\rho}{R}\right)^{1/2} q^{-1/4} \left(\frac{w_{ped}}{\rho}\right)^{-1/8}$

blue – all damping

orange – nonlinear only

green – linear only



$\rightarrow \lambda/\lambda_{HD}$  vs  $|e|\hat{\phi}/T_e$  in pedestal

$\rightarrow \rho/R$  is key parameter

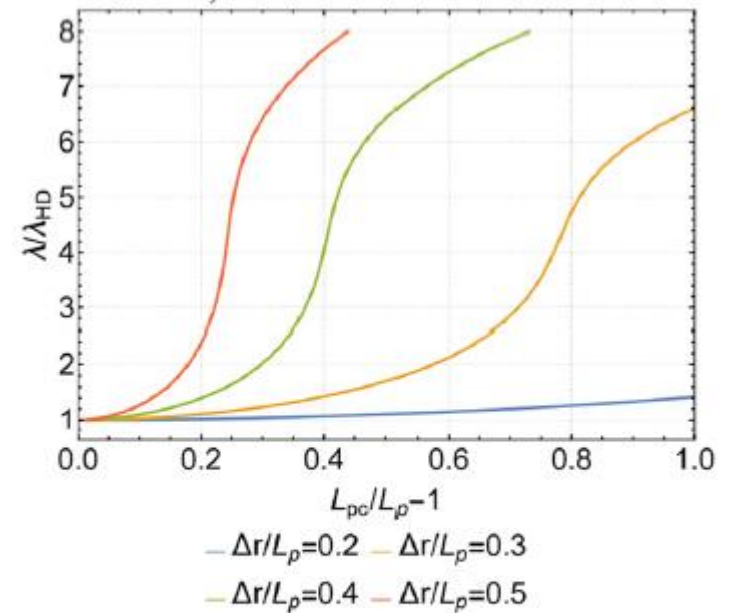
$\rightarrow$  Broadens layer at acceptable fluctuation level

# Computing the Turbulence Energy Flux 4

- Pedestal  $\rightarrow$  Ballooning modes  $\rightarrow$  Grassy ELMs
- Necessary relate turbulence to  $L_{P,crit} / L_P - 1$
- Strong shear:

$$\frac{L_{Pc}}{L_P} - 1 \sim \left(\frac{q\rho}{R}\right)^{\frac{10}{7}} \left(\frac{R}{w_{ped}}\right)^{\frac{16}{7}} \left(\frac{w_{ped}}{\Delta_r}\right)^{\frac{16}{7}} \beta$$

- Supercriticality scales with  $\frac{\rho}{R}$ ,  $\beta_t$



b) Strong Shear Case

**Figure 10.** Typical cases for ballooning. The normalized pedestal width  $\lambda/\lambda_{HD}$  is plotted against supercriticality  $L_{PC}/L_P - 1$  at different mode width  $\Delta/L_P$ .

# Computing the Turbulence Energy Flux 5 → Bottom Line

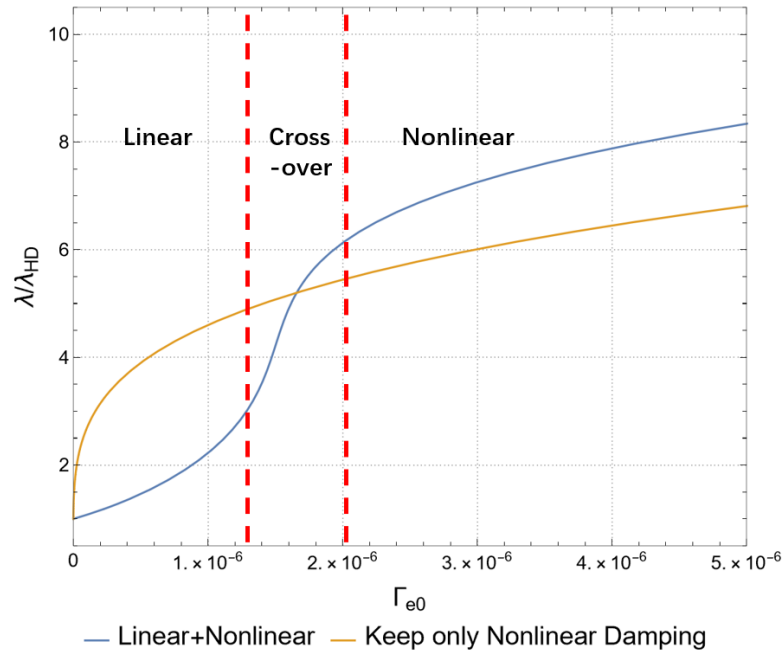
- SOL broadening to  $\lambda > \lambda_{HD}$  achievable at tolerable pedestal fluctuation levels
- DW levels scale  $\sim \left(\frac{\rho}{R}\right)^{1/2}$
- Ballooning supercritical scale  $\sim \left(\frac{\rho}{R}\right)^{10/7} \beta$
- ‘Grassy ELM’ state promising
- Sensitivity analysis → Cross over  $\varepsilon$  determined primarily by linear damping (shear). Conclusion  $\sim$  insensitive to NL saturation

# Partial Summary

- Turbulent scattering broadens stable SOL

$$\lambda = (\lambda_{HD}^2 + \varepsilon \tau_{\parallel}^2)^{1/2}$$

- Separatrix turbulence energy flux specifies SOL turbulence drive



$$\Gamma_{0,e} = \lambda_e |\gamma| \varepsilon + \lambda \sigma \varepsilon^{1+\kappa}$$

Broadening increases with  $\Gamma_{0,e}$   
cross-over for  $\langle \tilde{V}^2 \rangle \sim V_D^2$

Non-trivial dependence

- $\Gamma_{0,e}$  must overcome shear layer barrier

Yes – can broaden SOL to  $\lambda/\lambda_{MHD} > 1$  at tolerable fluctuation levels

Further analysis needed



# Broader Messages

- Turbulence spreading is important – even dominant – process in setting SOL width.  $\Gamma_{0,e}$  is critical element.  $\lambda = \lambda(\Gamma_{0,e}, \text{parameters})$
- Production Ratio  $R_a$  merits study and characterization
- ➔ • Spreading is important saturation mechanism for pedestal turbulence
- Simulation should stress calculation and characterization of turbulence energy flux over visualizations and front propagation studies.
- Critical questions include local vs FS avg, channels and barrier interaction, Turbulence ‘Avalanches’
- ➔ • Turbulent pedestal states attractive for head load management

# Open Issues

- Quantify  $\lambda = \lambda \left( \left. \frac{|e|\hat{\phi}}{T} \right|_{ped} \right)$  dependence



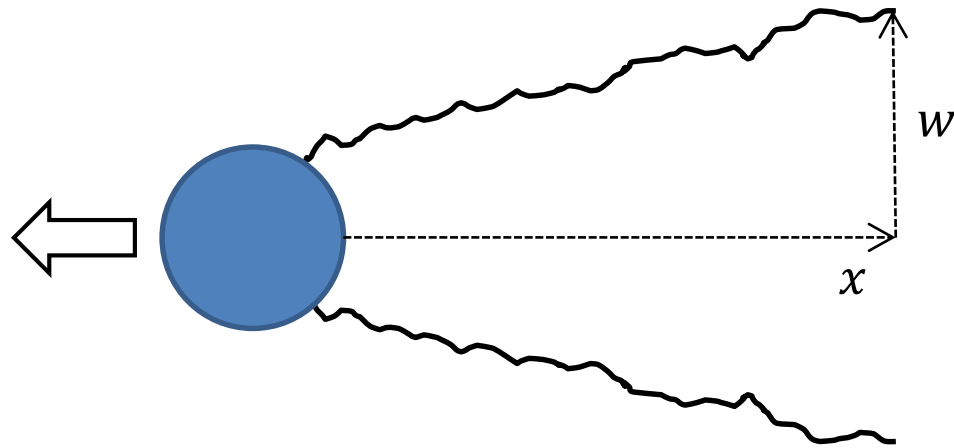
- Structure of Flux-Gradient relation for turbulence energy?
- Phase relation physics for intensity flux? – crucial to ExB shear effects
- Kinetics  $\rightarrow \langle \tilde{V}_r \delta f \delta f \rangle$ , Local vs Flux-Surface Average, EM
- SOL Diffusive?  $\rightarrow$  Intermittency('Blob'), Dwell Time ?
- SOL  $\rightarrow$  Pedestal Spreading ?  $\leftrightarrow$  HDL (Goldston) ?  
i.e. Tail wags Dog ? Both wagging ?  $\rightarrow$  Basic simulation, experiment ?  
Counter-propagating pulses ?

# **Physics of Turbulence Spreading: General Perspective**

- Structure of the intensity flux-gradient relation(?)**
- Spreading as directed percolation...**

# Spreading: Conventional Wisdom

- Turbulence spreading underpins turbulent wake  $\rightarrow$  central example in high  $Re$  fluids



Mixing length model  
Similarity theory

$$\left. \begin{array}{l} \text{Mixing length model} \\ \text{Similarity theory} \end{array} \right\} \Rightarrow \begin{aligned} w &\sim (F_d/\rho U^2)^{1/3} x^{1/3} \\ F_d &\sim \rho U^2 S C_D; \\ C_D &\rightarrow \text{indep } \nu \end{aligned}$$

- Spreading fundamental to  $k - \varepsilon$  type models, as  $\varepsilon$  evolved as unresolved energy field  $\rightarrow$  subgrid models

$$\frac{\partial \varepsilon}{\partial t} + \nabla \cdot (\tilde{V} \varepsilon) + \dots = 0$$

How render tractable ?

# Spreading: cont'd

- What you get (usually):

$$\partial_t \varepsilon + \underbrace{\vec{V}_D \cdot \nabla \varepsilon}_{\text{drift}} + \underbrace{\langle \vec{V}_E(r) \rangle \cdot \nabla \varepsilon}_{\text{shear}} - \underbrace{\partial_r D(\varepsilon) \partial_r \varepsilon}_{\text{turbulent mixing via closure}} = P_{src}(\varepsilon) - P_{damp}(\varepsilon) \rightarrow \gamma(\vec{x}) \varepsilon$$

$\gamma = \gamma(\text{gradients, etc})$

$D(\varepsilon) \approx D_0 \varepsilon$  , et. seq.  $\rightarrow$  nonlinear diffusion

$\rightarrow \varepsilon$  evolution as nonlinear Reaction-Diffusion Problem!

(P.D., Garbet, Hahm, Gurcan, Sarazin, Singh, Naulin...)

- Used also in:
  - BLY-style layering models (Ashourvan)
  - 1D L $\rightarrow$ H models (Miki)

# Spreading: cont'd

- Spreading as Front → Fast Propagation

i.e.  $V_f \sim (\gamma D)^{1/2}$ , etc [N.B. Cahn-Hilliard?]

- Key component:

$$\nabla \cdot \langle \vec{V} \varepsilon \rangle \rightarrow -\nabla \cdot D(\varepsilon) \cdot \nabla \varepsilon \quad [\text{Fickian Model}]$$

Expectation:  $D(\varepsilon) \sim \chi$ ,  $D_n$  etc. for electrostatic

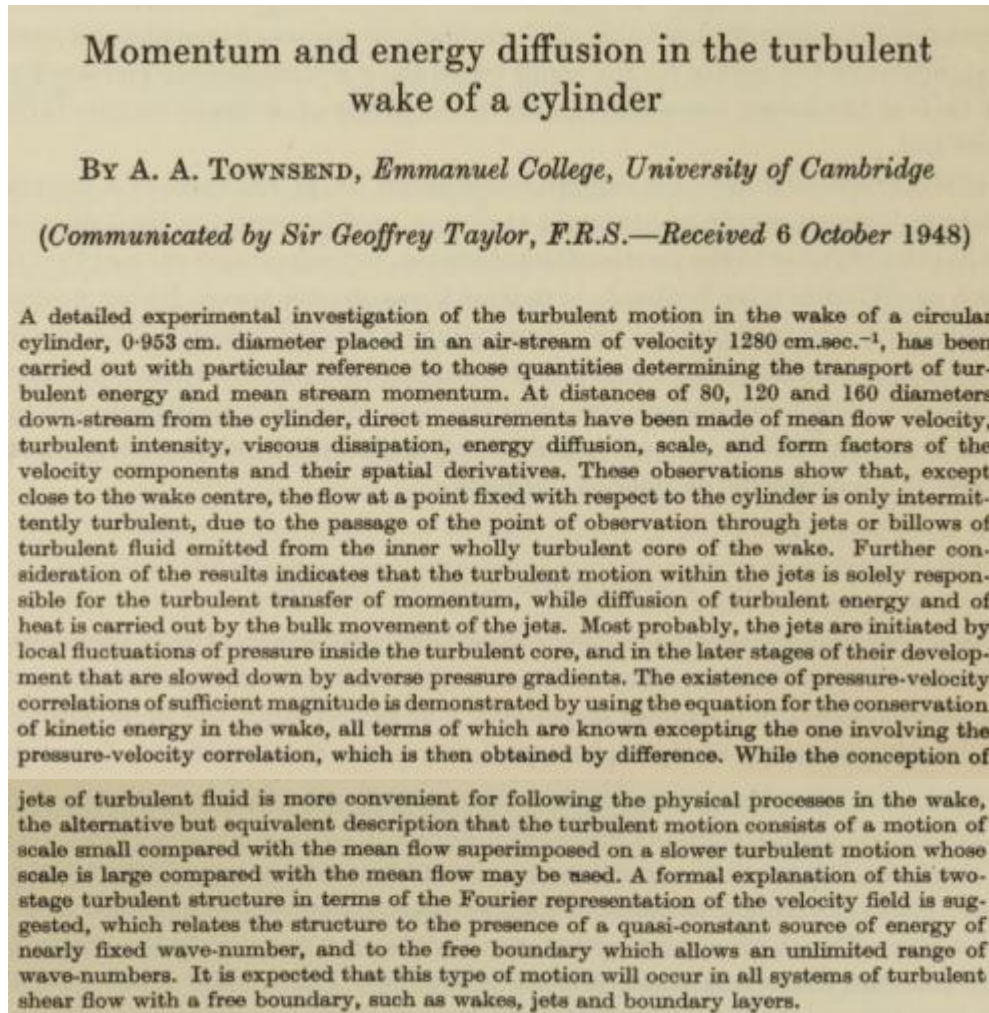
- Copious simulations: Z. Lin, W.X. Wang, S. Yi, Jae-Min Kwon, Y. Sarazin, ...

→ Observations, front tracking but critical analysis of model absent ??

No test of Fickian flux model

# Experiments: Ancient

- Not exactly a new idea ... See Townsend '49 and book



→ Wake flow intermittently turbulent

→ Compare transport of momentum and energy (spreading)

# Experiments: Ancient, cont'd

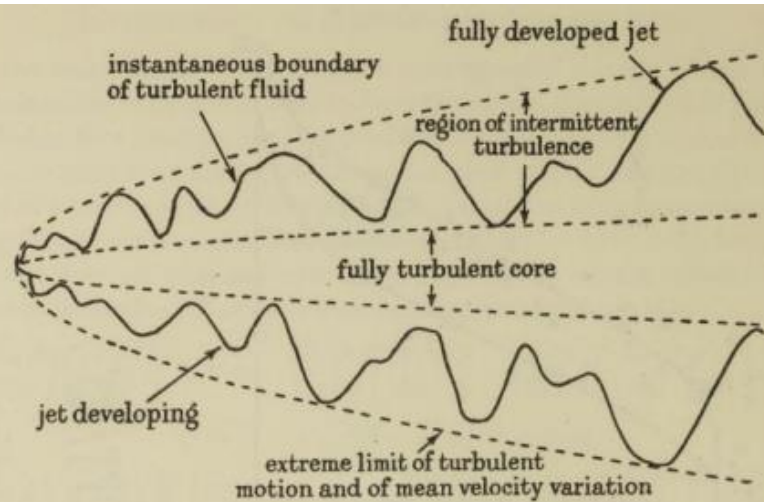


FIGURE 2. Section of hypothetical wake structure.

## STRUCTURE OF THE WAKE

Let us now consider the experimental results in turn, and use them to derive information about the detailed properties of these jets of turbulent fluid. In the first place, the velocity product  $\overline{uv}$ , representing the Reynolds shear stress, has been measured, and, with the observed distribution of mean velocity across the wake, the effective eddy viscosity  $\epsilon$  and the experimental mixing length  $l$  may be calculated, using the definitions

$$\overline{uv} = -\epsilon \frac{\partial U}{\partial y}, \quad \epsilon = l \sqrt{v^2}.$$

Experimentally,  $l$  is found to be fairly small, approximately 0.07 of the half-width of the mean velocity wake (figure 3), and does not vary greatly over the width of the wake. The small size of  $l$  is interpreted as evidence that momentum transfer in the wake is carried out by comparatively small eddies. More significantly,  $\epsilon/\gamma$  is not far from constant over the greater part of the wake (figure 4), but this will be discussed later.

→ Wake expansion due jets of expanding fluid

→ Departs mean field theory

→ Mixing length model momentum transport



# Experiments: Ancient, cont'd

The product  $uv$  may be regarded as the rate of transport of momentum (per unit mass), and similarly the rate of transport of turbulent energy is

$$\frac{1}{2}(\overline{u^2v} + \overline{v^3} + \overline{vw^2}),$$

and, in principle, it is possible to calculate an energy diffusion coefficient  $\delta$ , analogous with  $\epsilon$ , by use of the defining equation

$$\overline{u^2v} + \overline{v^3} + \overline{vw^2} = -\delta \frac{\partial}{\partial y} (\overline{u^2} + \overline{v^2} + \overline{w^2}).$$

When this is attempted (figure 5), no simple behaviour is found either for  $\delta$ , or for the corresponding mixing length. Negative values occur near the wake centre, and, even where the turbulence gradient is fairly uniform,  $\delta$  remains large compared with  $\epsilon$ ,

and decreases rapidly with distance from the wake centre. It must be concluded that the use of a diffusion coefficient to describe the transport of turbulent energy is not justified, and that energy diffusion is a process independent of momentum diffusion.

To remove this difficulty, it is not sufficient to consider the effects of intermittency. If the intermittency factor is known, then the mean intensity in the turbulent regions is

$$I_j = \frac{\overline{u^2} + \overline{v^2} + \overline{w^2}}{\gamma},$$

and  $I_j$  is found to vary only slightly over the greater part of the wake (figure 6). So a considerable transport of energy is found in the almost complete absence of a real intensity gradient, and it is difficult to see how energy flow can take place by turbulent

movements inside the jets. For the transport mechanism, there is only left the bulk movement of the jets, which is naturally outwards and away from the wake centre. The compensating inflow will consist of non-turbulent fluid transporting no turbulent energy. Consequently, the flow of energy is not dependent on the local intensity gradient (if any), but only on the mean jet velocity and the jet turbulent intensity, which in turn are determined by conditions in the turbulent core.

→ Fickian model for turbulent energy transport

→ “It must be concluded that the use of a diffusion coefficient to describe the transport of turbulent energy is not justified and that energy diffusion is a process independent of momentum diffusion”

# Experiments: Modern (Ting Long, SWIP) 1

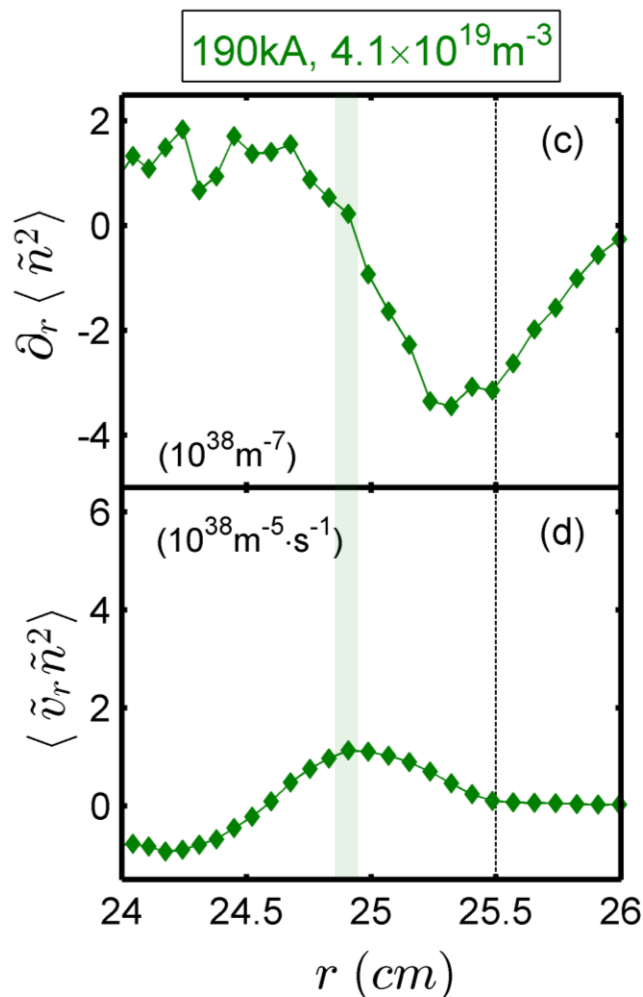
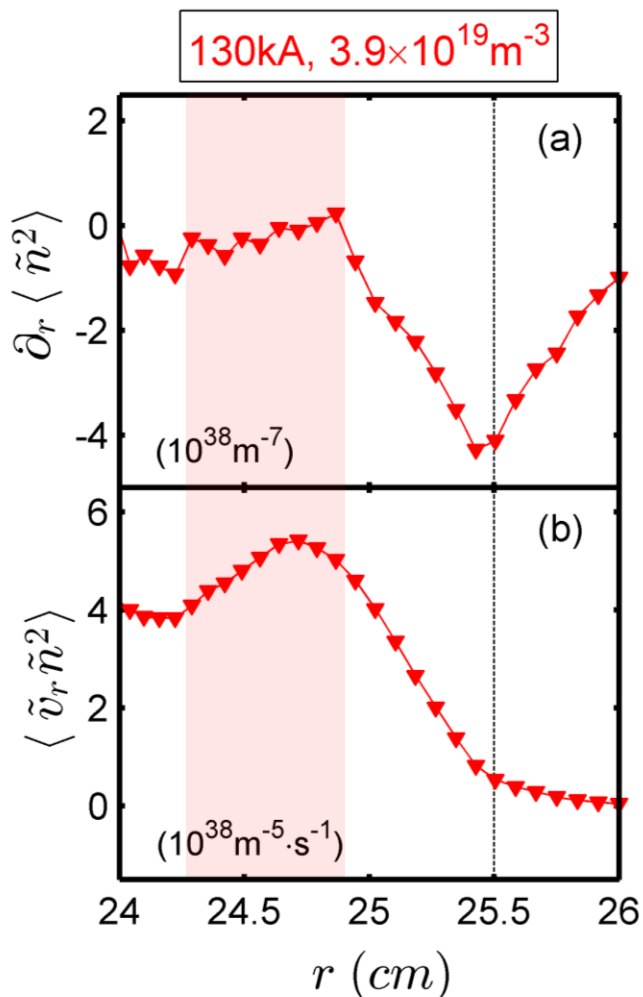
- HL-2A
- Aims:
  - Exploration of intensity flux – intensity gradient relation in edge turbulence (exploits spreading, shear layer collapse and density limit studies Long + NF'21)
  - Physics of “Jet Velocity” profile

$$V_I = \langle \tilde{V}_r \tilde{n}^2 \rangle / \langle \tilde{n}^2 \rangle$$

N.B. Identified by Townsend

# Experiments: Modern 2

- There exists a region in plasma edge, where the turbulence spreading flux  $\langle \tilde{v}_r \tilde{n}^2 \rangle / 2$  is **large**, but the turbulence intensity gradient  $\partial_r \langle \tilde{n}^2 \rangle$  is **near zero**



For close  $\bar{n}_e$

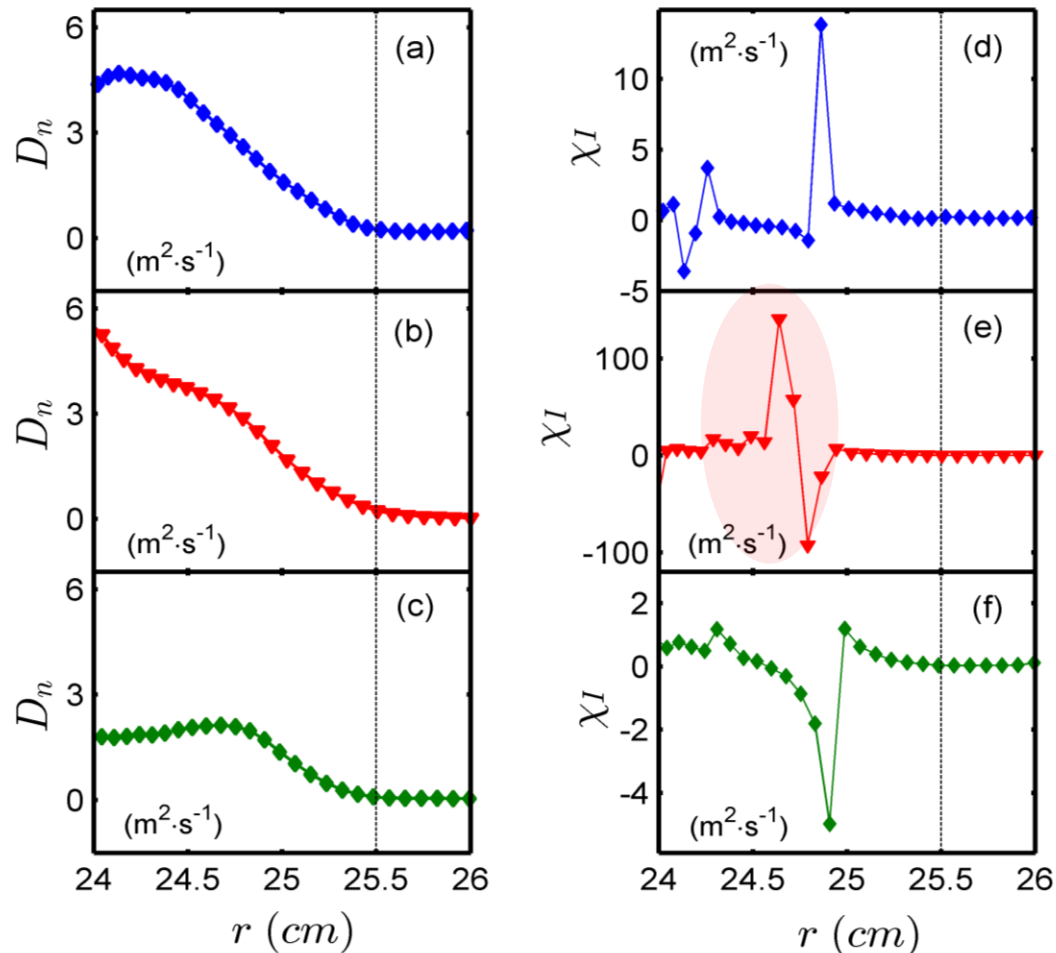
- Lower current,  
width of region is  $\sim 5 \text{ mm}$   
( $l_{cr} \sim 4.5 \text{ mm}$ )
- Higher current,  
width of region is  $< 1 \text{ mm}$   
( $\rho_i \sim 0.25 \text{ mm}$ )
- Notice: spreading diffusivity

$$\chi_I = - \frac{\langle \tilde{v}_r \tilde{n}^2 \rangle}{\partial_r \langle \tilde{n}^2 \rangle}$$

# Experiments: Modern 3

- Striking difference between particle diffusivity and energy spreading diffusivity

- Diffusivity of turbulent particle flux  $\langle \tilde{n} \tilde{v}_r \rangle = -D_n \partial_r \langle n \rangle$
- Diffusivity of turbulence spreading  $\langle \tilde{v}_r \tilde{n}^2 \rangle = -\chi_I \partial_r \langle \tilde{n}^2 \rangle$



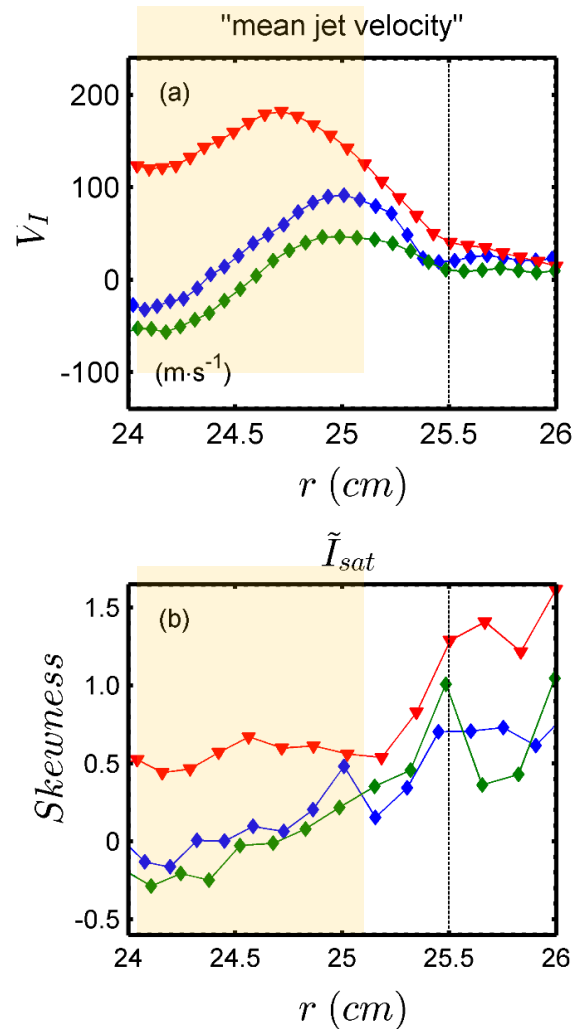
- $\chi_I$  is not equal to  $D_n$ !  
(in both magnitude and sign)

- $\chi_I$  is large where  $\partial_r \langle \tilde{n}^2 \rangle$  is near zero
- $\chi_I$  increases significantly as  $\bar{n}/n_G$  increases  
(Both  $\bar{n}$  and  $I_p$  involved)

Practical validity of Fickian model is dubious

# Experiments: Modern 4

- The “mean jet velocity” of turbulence spreading  $V_I = \frac{\langle \tilde{v}_r \tilde{n}^2 \rangle}{\langle \tilde{n}^2 \rangle}$  and skewness of density fluctuations show strong correlation



- Their trends and signs are consistent
- More work is being done on the correlation between “blobs/holes” and turbulence spreading
- $V_I$  - skewness trend follows joint reflection symmetry relation

# Spreading as Fluctuation Intensity Pulses

- Edge turbulence intermittent:
  - Strong  $\langle V_E \rangle' \rightarrow \sim$  marginal avalanching state
  - Weaker  $\langle V_E \rangle' \rightarrow$  ‘blobs’, etc.  $\Gamma_e = \langle \Gamma_e \rangle + \tilde{\Gamma}_e$

- Pulses / Avalanches are natural description

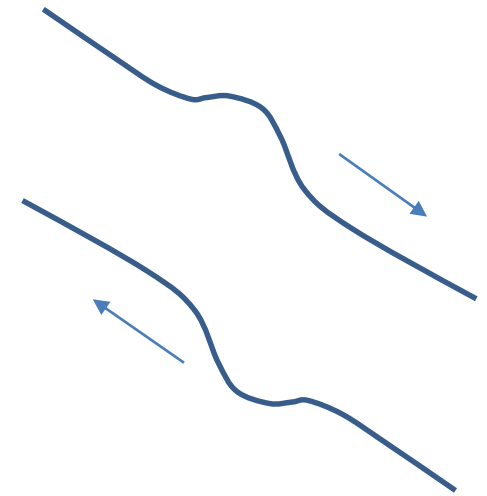
$\delta P \equiv$  deviation of profile from criticality

$$\delta P \leftrightarrow (\nabla P - \nabla P_{crit})/P$$

Naturally:  $\delta P \sim \delta \varepsilon$

→ Spreading as intensity pulses

(after Hwa, Kardar, P.D., Hahm)



Pulse, void symmetry arguments etc.

# Fluctuation Energy Pulses, cont'd

- Burgers is on the grill...
- New toppings:
  - $\delta\varepsilon > 0$     turbulence ejected into SOL  
positive intensity fluctuation
  - $V_D > 0$     mean drift out – curvature
- \* • Scale independent damping
  - $(1/\tau)\delta\varepsilon$  due finite dwell time in SOL  $\rightarrow$  order parameter not conserved
- Noise is b.c.
  - $\tilde{\Gamma}_{0,e}|_{\text{sep}}$  drives system, space-time

# Fluctuation Energy Pulses, cont'd


- Pulse model:

① drift

② dwell time decay

③ spreading

$$\overset{\textcircled{1}}{\partial_t \tilde{\varepsilon}} + \overset{\textcircled{3}}{V_D \partial_x \tilde{\varepsilon}} + \overset{\textcircled{3}}{\alpha \tilde{\varepsilon} \partial_x \tilde{\varepsilon}} - \overset{\textcircled{2}}{D_0 \partial_x^2 \tilde{\varepsilon}} + \frac{\tilde{\varepsilon}}{\tau} = 0$$


 regularization

$$\tilde{\varepsilon}(0, t) \leftrightarrow \tilde{\Gamma}_{sep}(t)$$

- Some limits:

–  $\varepsilon \rightarrow 0$  ,  $V_D \partial_x \tilde{\varepsilon} \sim \frac{\tilde{\varepsilon}}{\tau} \rightarrow \lambda \sim \lambda_{HD}$  scale ( ① vs ② )

– For  $\varepsilon$  to matter:

$\alpha \tilde{\varepsilon} > V_D \rightarrow$  amplitude vs neo drift comparison ( ① vs ③ )

- Structure is Burgers + Krook  $\rightarrow$  Crooked Burgers



# Fluctuation Energy Pulses, cont'd

- Predictions?

Structure formation → Shock Criterion !

i.e. Recall:  $\frac{d\varepsilon}{dt} = -\frac{\varepsilon}{\tau}$ ,  $\frac{dx}{dt} = \alpha\varepsilon$

- Solve via characteristics:

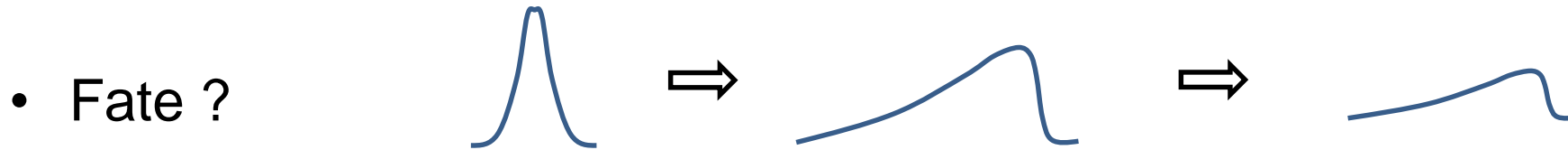
$$x = \alpha \left[ z + \frac{(1 - e^{-\frac{t}{\tau}})}{(1/\tau)} f(z) \right]$$

Shock for:  $f'(z) < -1/\tau$

→ initial slope must be sufficiently steep to shock before damped by  $1/\tau$

# Spreading as Fluctuation Intensity Pulses, cont'd

- $\alpha \frac{\partial \varepsilon}{\partial x} |_{sep} < -\frac{1}{\tau} \rightarrow$  pulse formation criterion  $\rightarrow$  intensity gradient



$\alpha \varepsilon < V_D \rightarrow$  defacto 'evaporation criterion'

$\rightarrow$  defines penetration depth of pulse

- Aim to characterize statistics of pulses, penetration depth distribution... in terms  $\text{Pdf}(\tilde{\Gamma}_{0,e})$  . Challenging...

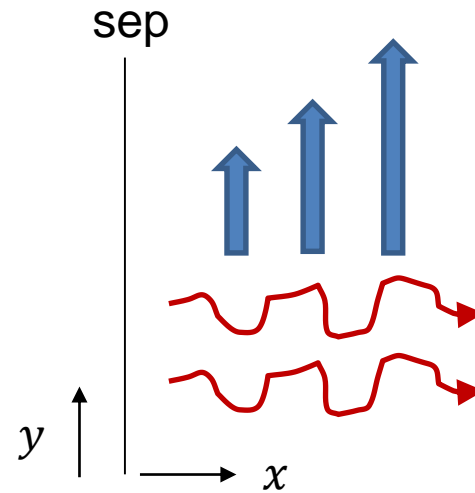
$\rightarrow$  Meaningful output for SOL broadening problem

# Spreading as Fluctuation Intensity Pulses, cont'd

- ~ 2D Model
- How address shearing → c.f. P.D., Hahm '95 → “Double” Burgers

$$\partial_t \tilde{\varepsilon} + V_D \partial_x \tilde{\varepsilon} + V_E(x) \partial_y \tilde{\varepsilon} + \alpha \tilde{\varepsilon} \partial_x \tilde{\varepsilon} - D_0 (\partial_x^2 + \partial_y^2) \tilde{\varepsilon} = 0$$

$\tilde{\Gamma}(x = 0, y, t)$  specified



- Shearing + scattering will couple  $V_E(x)$  profile model required.

→ TBC...

# Directed Percolation - Remark

- Recall Goldenfeld Rosenbluth lecture, Fest'17

➔ Fundamentally, spreading as a directed percolation process...

- D.P. as P. with sense of time's arrow

c.f. PM Lecture as Intro to Percolation

D.P.  $\leftrightarrow$  avalanching...  $\rightarrow$  pulses

BTW '87 interprets SOC state as percolation cluster, critical to addition of single grain

- Mean field models of DP  $\rightarrow$  reaction diffusion, hydrodynamics

But...

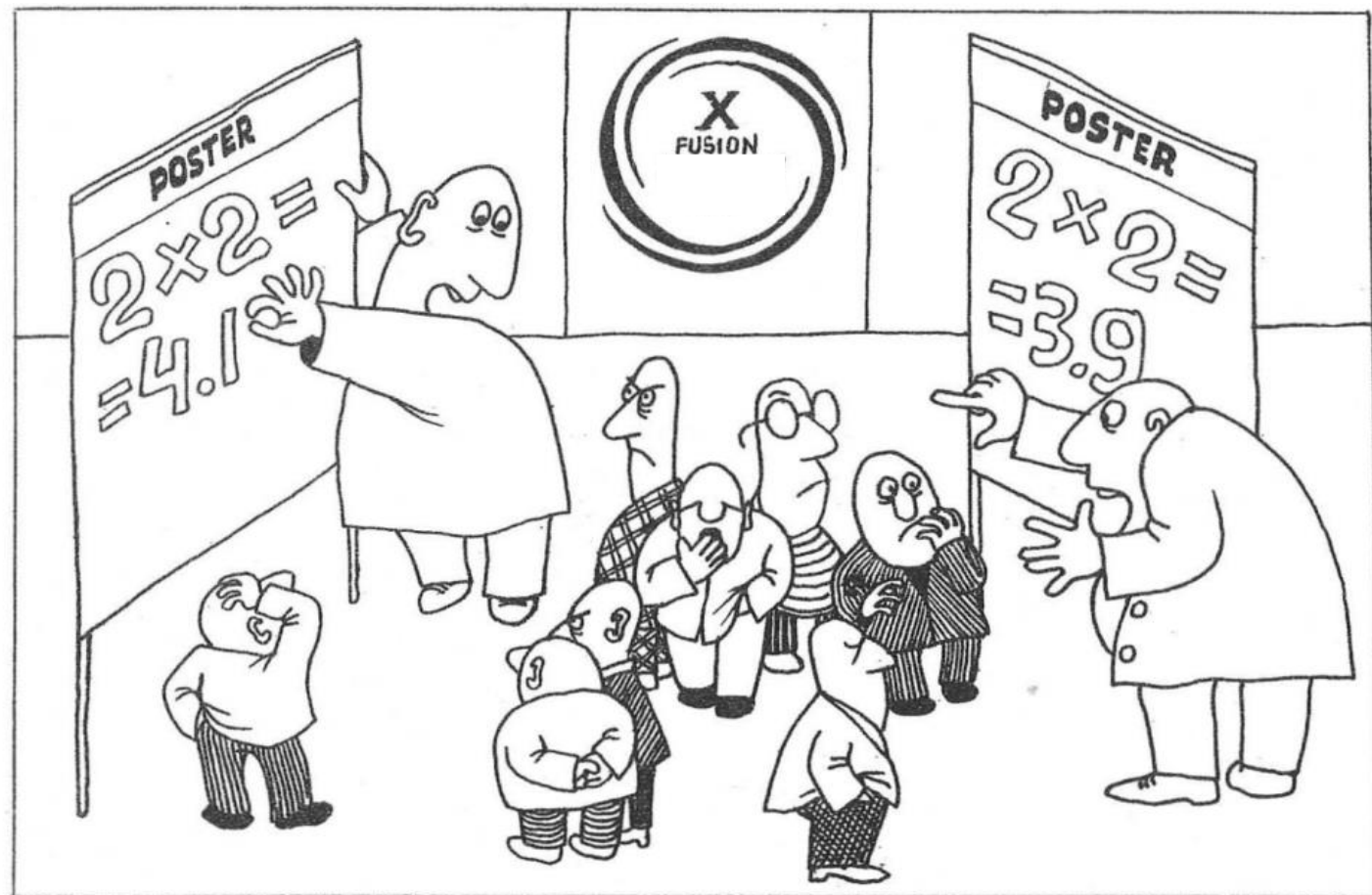
- Fluctuations significant near criticality

➔ R. G. ... TBC ...

# Philosophy

- MFE relevant questions within reach in near future. Great attention to  $\lambda_q$  problem (c.f. Samuel Johnson)
- Unreasonable for tokamak experiments to probe ~ critical dynamics so as to elucidate basic questions. Simulations???
- Well diagnosed, basic experiment with some relevant features are sorely needed – akin to ‘Tube’ studies of flows, ala’ CSDX
- How?

# Back to the Theory Festival !



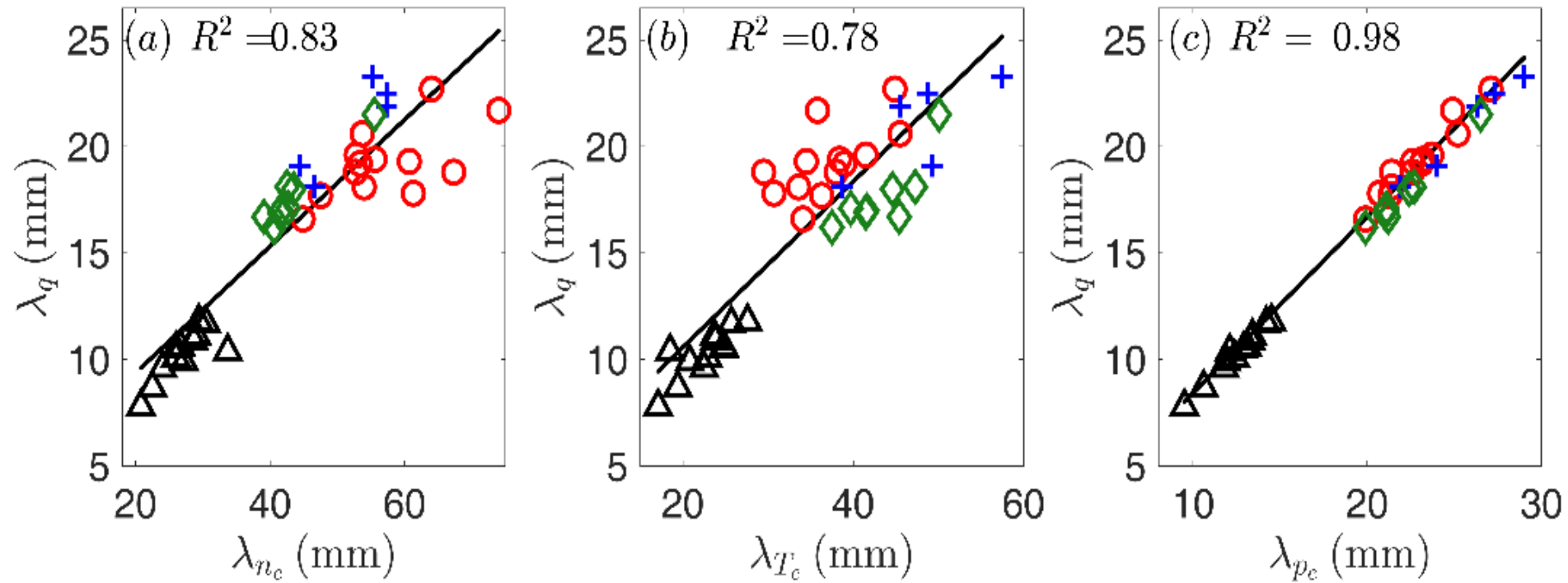
Thank You !

Supported by U.S. Dept. of  
Energy under Award Number  
DE-FG02-04ER54738

# Back-Up



$$\lambda_{n_e} \sim \lambda_{T_e} \sim \lambda_{p_e}$$



**All SOL profiles scales comparable**