

# **Self-Organizing Interference Alignment (SOIA) for Tactical Mobile Ad Hoc Communications Network**

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# 1 IDENTIFICATION & SIGNIFICANCE OF THE OPPORTUNITY

## 1.1 Problem Identification

Epitomized by recent military operations, modern warfare is conducted by small units at tactical edges. The 2012 new strategic guidance for Department of Defense articulates that one of the primary missions of the U.S. Armed forces is to project power despite anti-access/area denial (A2AD) challenges [?], including implementing the Joint Operational Access Concept [?], which suggests “smaller units and platforms that are rapidly deployable yet lethal.” For warfighters in small units at tactical edges, efficiently sharing real-time situational awareness information is critical to their mission success. To this end, the tactical Mobile Ad hoc Communications Network (TMACN) is important because it will provide the backbone for exchange and sharing of information from sensors, manned and unmanned vehicles and systems, and dismounted soldiers. Improving the network capacity for TMACN has a direct impact on warfighter’s mission success .

Wireless networks are interference limited due to the fact that multiple users need to share the transmission resources. Traditionally, time and frequency resources in wireless networks are divided into orthogonal units and assigned to different users, such as TDMA, FDMA and OFDMA. Each resource unit can only be used by a single transmission in order to avoid interference among multiple simultaneous transmissions. For large networks, resource reuse is widely adopted in both commercial and military systems to increase the network capacity. The same frequency/time resource unit is shared by multiple transceiver pairs that are far apart geographically such that mutual interference is not significant due to path loss.

In addition to the frequency and the time signaling dimensions, multiple antenna arrays and MIMO systems enable the vector space as a third signaling dimension, i.e. the spatial dimension. The spatial dimension can be shared among multiple transmissions or multiple data streams of the same transceiver pair. The same time and frequency resource unit can be shared among multiple transmissions as long as they can be separated in the spatial dimension. In the ideal case, each transmission occupies a subspace that is orthogonal to the subspaces of all other parallel transmissions. In reality, due to correlations among antennas, the channel matrix may be rank-deficient thus limits the number of simultaneous transmissions that can be supported. To some extent, spread spectrum technology can also be viewed as using vector-based signaling to support multiuser communications.

All aforementioned approaches are built upon the basic doctrine that, in order to avoid interference, closely located transceiver pairs in a wireless network must use orthogonal transmission resource units for simultaneous transmissions. Each resource unit can only be used by a single transmission. Therefore, the number of simultaneous transmissions are upper bounded by the number of orthogonal resource units available to use. Given the limited bandwidth each radio can access and the limited number of antennas each radio is equipped per size, weight and power (SWaP) requirements, the capacity of tactical mobile networks is thus constrained during operational deployment.

In order to further enhance the capacity of tactical networks, we need to develop fundamentally new technology that can be deployed in realistic mission operations.

## 1.2 Interference Alignment – The Opportunity for More Wireless Network Capacity

Interference alignment (IA) is an emerging approach, which breaks away from the above fundamental doctrine, i.e., the number of simultaneous transmissions among closely located radio transceivers is limited by the number of orthogonal transmission resource units.

In interference alignment, the signaling of multiple closely located transceiver pairs are jointly designed, such that at each individual receiver all interference aligned into a subspace that is orthogonal to the desired

signal. The number of interferences is more than the rank of the aligned subspace. This is explained graphically in Fig. \*. ... Using interference alignment, from the network point of view, the number of simultaneous transmissions within the interference range can be more than the number of available orthogonal resource units. Thus, the overall network throughput is increased.

At least in theory, shows it can significantly improve the network capacity. The theoretic concept of interference has been extensively explained in many academia publications. The theoretic framework for IA has several idealistic assumptions that need to be addressed before apply IA in practical systems. First, since IA jointly design the transmission signals for multiple transmitters, the channel state information (CSI) is central to calculating IA beamforming vectors. As a result, IA incurs significant overhead for CSI estimations and feedback. In mobile fast-fading environment, the overhead of CSI acquisition can limit or cancel out the gains of IA.

### 1.3 Practical Challenges and Requirements

Theoretical investigation shows that a  $K$ -pair interference channel with IA can achieve its total DOF, which is  $K/2$  [#]. In practice, several challenges have to be addressed before materializing the capacity gain promised by IA in an operational TMACN.

**Clustering transceiver pairs** — IA requires coordination among multiple transceiver pairs to design the signals and setup the joint transmissions in the physical layer. So the first step in applying IA is to cluster transceiver pairs instead of individual nodes, as in the traditional mobile ad hoc network (MANET) clustering problem [#]. Even given the same group of radios, if the pairing of transceivers changes, the IA signaling of this group has to be re-designed. Most research papers discussing IA assume that the  $K$  pairs are given *a priori*. This assumption is reasonable for a cellular system, where a centralized control plane manages multiple base stations and also has the full knowledge of all traffic. The knowledge of current traffic needs is necessary to determine the participating transceiver pairs within a cluster. TMACN, on the contrary, doesn't have a centralized control plane. Each radio in TMACN knows only its own traffic needs. There is no entity in a TMACN which has global knowledge of all traffic needs. In short, distributed pairing of radios with active traffic need is the first challenge to be addressed.

**Handling heterogeneous radios** — Tactical networks are inherently heterogeneous. Dismounted soldier radios and vehicle mounted radio transceivers have different SWaP requirements. Hence, different radios are equipped with various numbers of antennas. For example, the AN/PRC-154 Rifleman Radio that is being fielded now to combat troops is a single transceiver radio. The AN/PRC-155 Manpack radio has two radio channels. More antennas are available on vehicular-mounted radios, for example AN/PRC-117G. Given the dynamic nature of battlefield communications, the IA solution computing must take into account the fact that, within an IA cluster, some radios have reduced signal dimensionality than the others. This indicates that each radio must share its antenna configuration with neighboring nodes to facilitate transceiver pair clustering and IA solution generation.

**Limiting coordination overhead** — To compute IA solutions, classical IA algorithms requires not only the channel state information (CSI) of all participating transceiver pairs, but also the CSI of all interfering pairs. For a  $K$ -pair IA scheme,  $K^2$  sets of CSI information are required for computing. To control the growth of coordination overhead, smaller clusters are preferable. Since IA engine must recalculate the IA precoder beamforms when the channel changes, coordination overhead also grows in high-mobility fast-fading environment, which is typical in tactical deployment. As a result, TMACN requires IA schemes that can significantly reduce the need for CSI acquisition feedback. Protocol level coordinations, such as determining the beginning and ending of the transmission, selecting time and frequency synchronization

reference point, achieving synchronization, also incur overhead. An ideal solution for practical TMACN systems would achieve protocol level coordination with minimum cost.

In addition to above challenges, inserting new technology into military systems has to be an evolutionary process, such that we can not only maximize the value of existing technology and capital investment, but also speed up the deployment of new technology. Applying IA into TMACN is no exception. We need to leverage existing TMACN operations when designing the approach to cluster IA transceivers pairs, and develop the algorithm for computing IA solutions. At times when IA is unfeasible or cannot offer expected capacity gains, radios must be able to continue operate in the conventional mode.

## 2 PHASE I TECHICAL OBJECTIVES

The overall PHASE I technical objective is to investigate, design and evaluate an interference alignment scheme, which not only can improve the capacity of TMACN, but also is practical for prototyping, demonstration and transition. Specifically, this scheme should be able to dynamically form IA transceiver clusters without a central control plane, operate flexibly with heterogeneous radio nodes, and significantly reduce coordination feedbacks.

### 2.1 Self-organizing Interference Alignment (SOIA) for Tactical Networks

Interference alignment takes advantage of the fact that interference signals, unlike noise, have inherent structures as they are generated by other radio transmitters to carry information. If multiple interfering signals at a receiver are all structured to match a subspace that is orthogonal to the subspace of the desired signal of the receiver, these interfering signals are aligned without deteriorating the reception of the desired signal.

The typical application scenarios for IA in TMACN is the  $K$ -user interference channels, though X-channels are also applicable. Most algorithms for computing IA precoders and equalizers assume the clustering of transceiver pairs are given. An IA cluster is determined by the set of participating nodes, the pairing among these nodes, and the traffic directions for each pair of nodes. Since TMACN doesn't have a central control plane, and the topology of TMACN changes as nodes are mobile, an IA cluster in TMACN can only be formed distributedly.

TDMA is the popular choice among TMACN tactical waveforms for multiuser medium access management. For example, SRW has a centralized TDMA resource management within each island (a group of radio nodes); WNW uses distributed TDMA with dynamic slot allocations. The proposed SOIA scheme will use the time slot assignment schemes of existing TMACN tactical waveforms. There are two folds of benefits to leverage the existing time slots assignment schemes.

- SOIA simplifies IA technology insertion and assures the system is backward compatible. There is no need to reinvent a complete communication protocol stack. Instead, SOIA can be realized as add-on software and firmware modules, which can be easily inserted into existing programmable radio systems. When the channel condition doesn't warrant the gain of using IA, the radios can smoothly revert back to non-IA operations since the same MAC frame slots assignment is used. Furthermore, SOIA supports radio deployment in phases by enabling the mix of radios with and without IA capabilities inside the same TMACN. Again, this is because that all radios follow the same slot assignment schemes.
- SOIA designates the IA cluster head unambiguously without coordination overhead. As shown in Fig. ??, the MAC control assigns the time slot  $t_n$  to the transmission of radio node 2. Node 2 decides to transmit to radio node 1, based on its own application needs. In SOIA, this transceiver pair, i.e., node

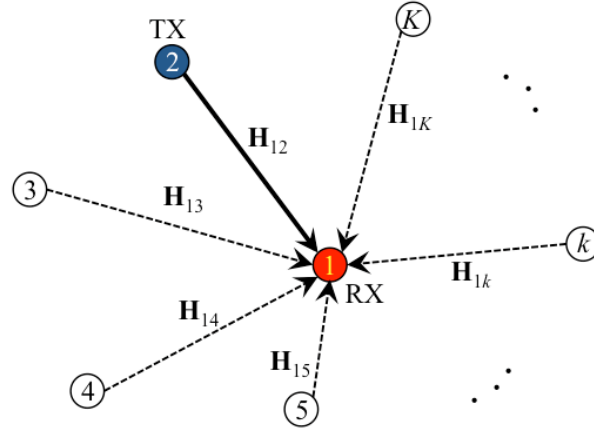


Figure 1: TBD

1 & 2, is called is called the “head pair” of the IA cluster for time slot  $t_n$ . Identifying the “head pair” of an IA cluster is the critical first step in the SOIA scheme. Note at this moment, it is unclear which of the other possible transceiver pairs would join the cluster to transmit in slot  $t_n$ . SOIA simplifies the clustering strategy by identifying the cluster “head pair” without adding any coordination overhead to the existing slot assignment scheme.

## **2.2 Performance Analysis**

## **3 PHASE I WORK PLAN**

## **4 RELATED WORK**

## **5 RELATIONSHIP WITH FUTURE RESEARCH OR RESEARCH AND DEVELOPMENT**

## **6 COMMERCIALIZATION STRATEGY**

## **7 KEY PERSONNEL**

## **8 FACILITIES/EQUIPMENT**

## **9 CONSULTANTS**

## **10 PRIOR, CURRENT OR PENDING SUPPORT**

## **References**

- [1] *Sustaining U.S. Global Leadership: Priorities for the 21st Century Defense*, U.S. Department of Defense, January 2012.
- [2] *Joint Operational Access Concept (JOAC)*, Department of Defense, January 2012.