

# Computer- and robot-assisted Surgery



## Lecture 5 Segmentation 2



NATIONALES CENTRUM  
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getragen von:  
Deutsches Krebsforschungszentrum  
Universitätsklinikum Carl Gustav Carus Dresden  
Medizinische Fakultät Carl Gustav Carus, TU Dresden  
Helmholtz-Zentrum Dresden-Rossendorf

# Segmentation

- Point-based
  - Operations only on grey values
  - No global considerations
  - e.g.: Threshold methods
- Region-based
  - Every area of a region fulfills a certain homogeneity criteria
  - e.g.: region growing

# Segmentation

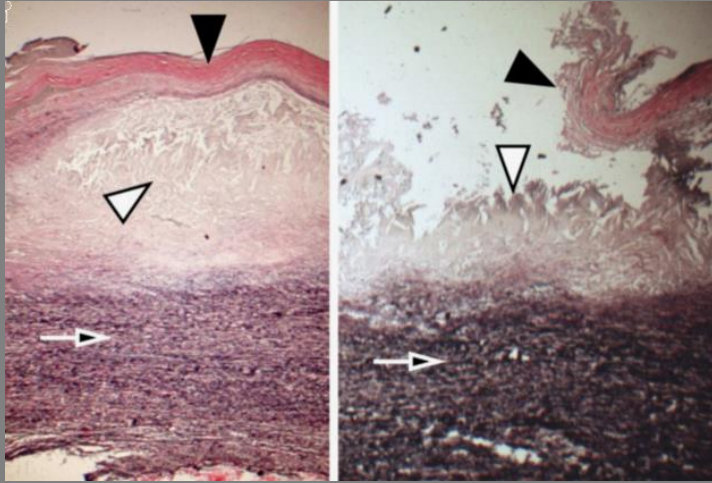
- Edge- and contour-based
  - Object has a clear edge
  - Goal: extraction und merging of edges
  - e.g.: Active Contours, Snakes
- Knowledge-/ model-based
  - Integration of problem specific a-priori-knowledge
  - Goal: Enhancement of segmentation by only considering „plausible“ results
  - z.B.: Point Distribution Models...

# Introduction

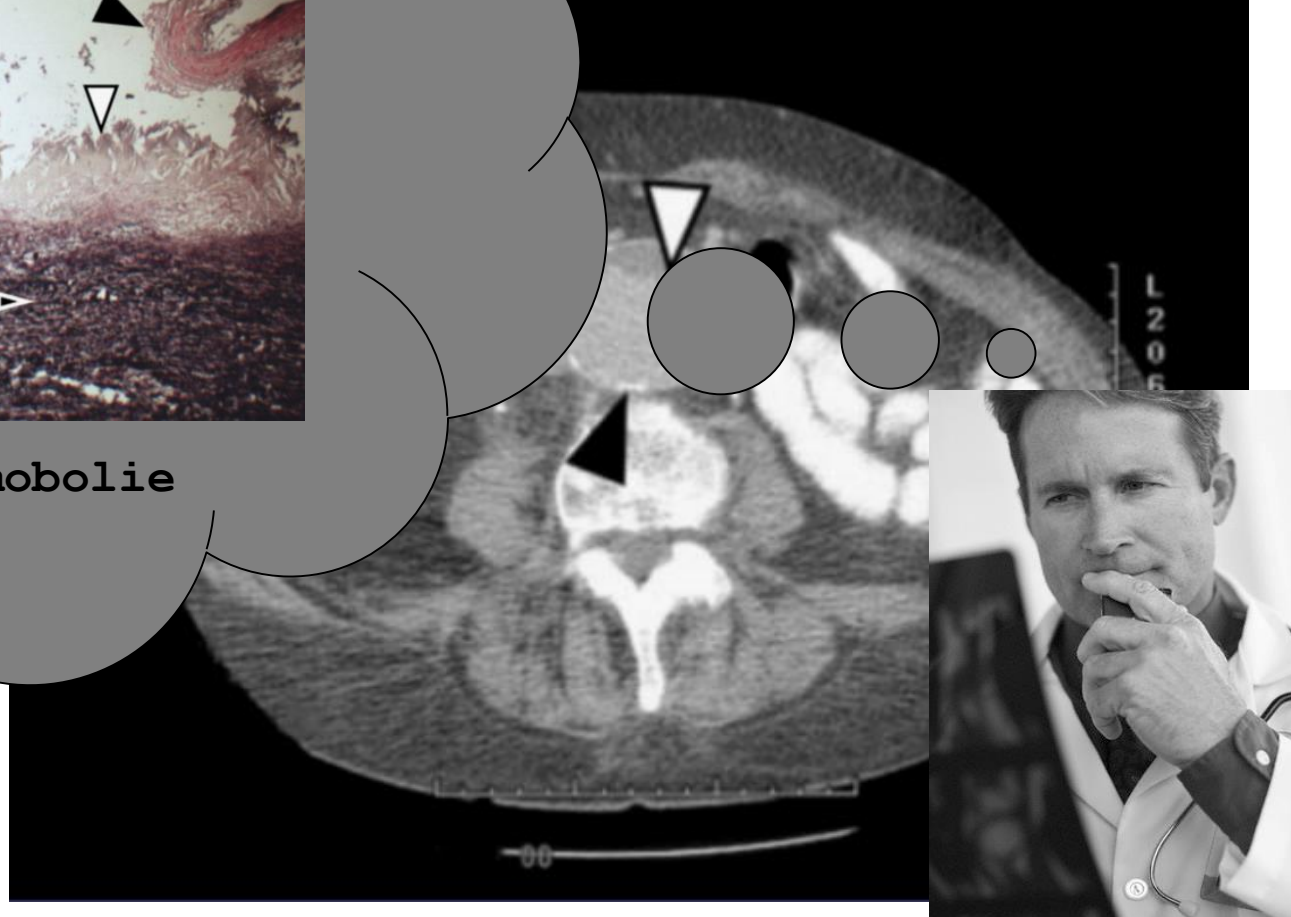


Look for a  
Dalmatiner

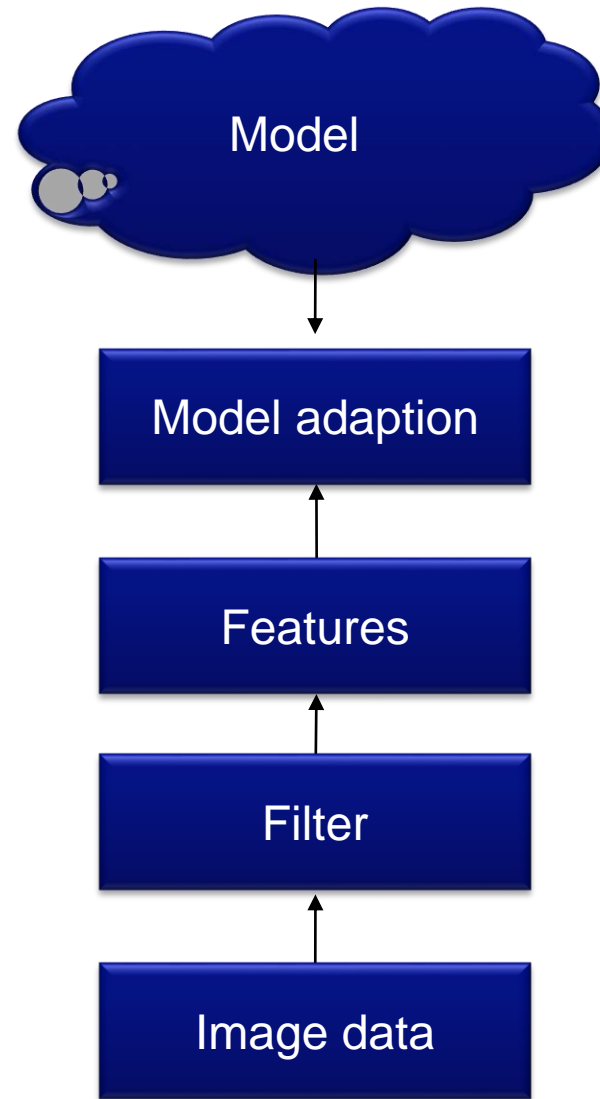
# Introduction



Cholesterinemobolie



# Model-based segmentation



# Model-based segmentation

- What does model-based mean?
  - So far: only local information without knowledge
- Now:
  - Consideration of the shape of the object
  - Simple geometric models (Lines, Ellipsoids...)
  - Deformable Models
  - Statistical Models
- Goal: find model parameters and position/orientation in the image

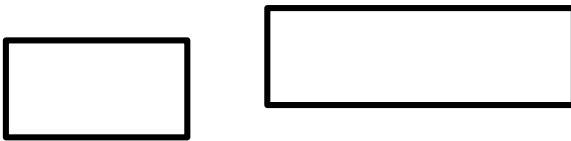


# What does „shape“ mean?

- „Configuration of a set of points that are invariant against specific transformations (Cootes 2004).

$$\mathbf{X} = (x_0, y_0, z_0, \dots, x_n, y_n, z_n)^T$$

- Geometric primitives: Circle, Square, Triangle...



same shape



Different shape

- Parametric shape: Contours/planes that can be presented via a function



# HOUGH-TRANSFORMATION

# Hough-Transformation

How can you detect known geometrical objects in an image?

Solution with Hough-Transformation

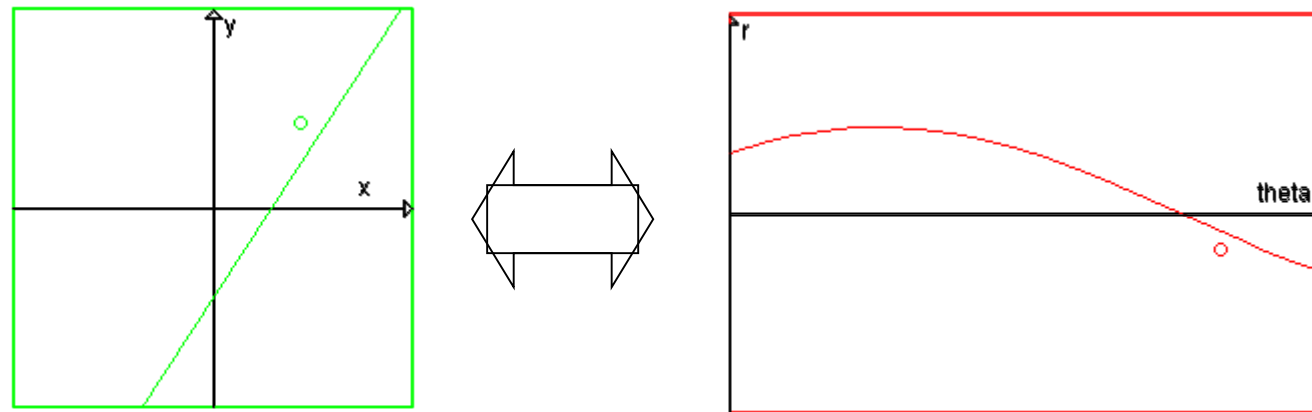
- Definition of a dual parameter space for an image:
  - Point in parameter space corresponds to an object in the image
  - Dimension parameter space = number of parameters to describe the object
- Transformation of all possible pixels on an edge into the parameter space
- Search for maxima in parameter space
- Backprojection in image space

# Hough-Transformation: Detection of lines

- Definition of lines in Hessian normal form:

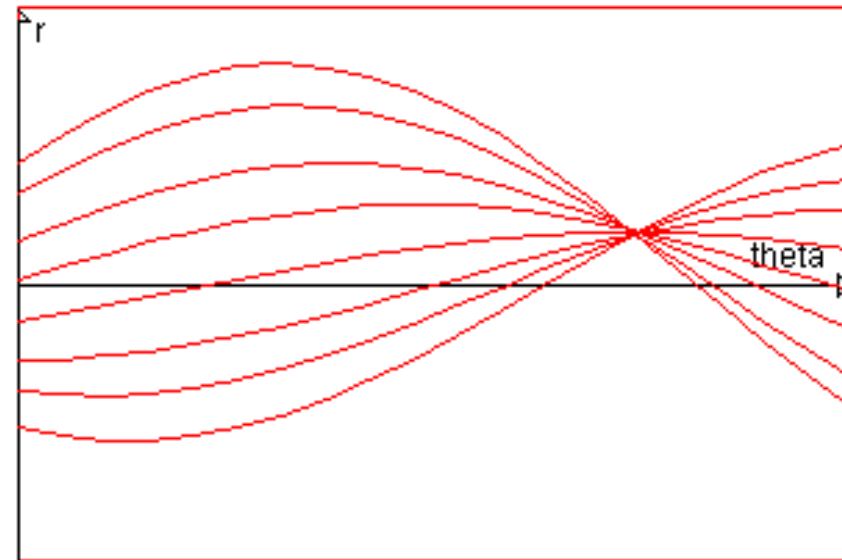
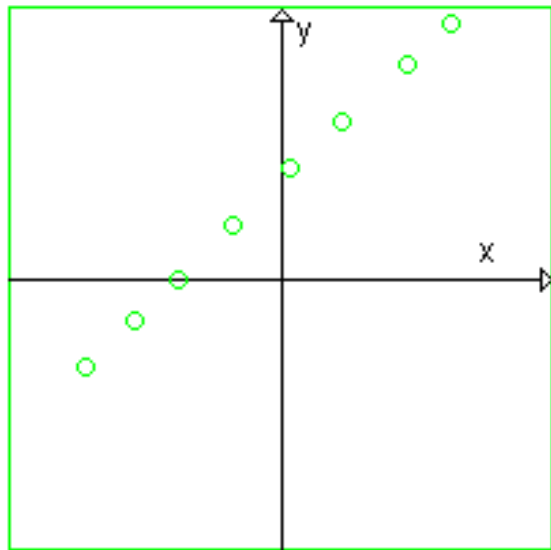
$$r = x \cdot \cos(\theta) + y \cdot \sin(\theta)$$

- Pixels of edges correspond to sinusoid in parameter space
- Point in parameter space corresponds to line in image space



# Hough-Transformation: Detection of lines

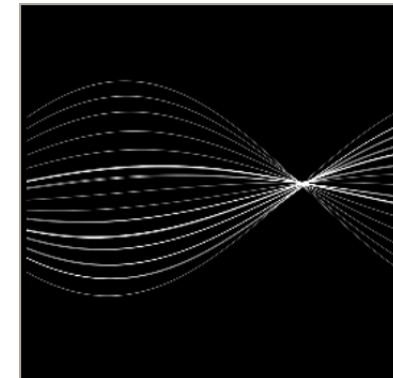
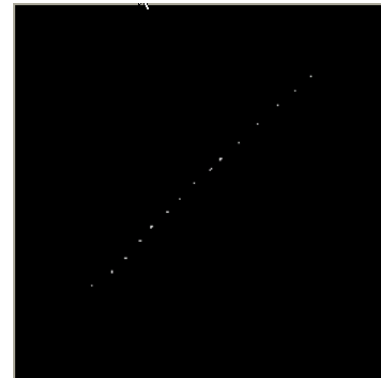
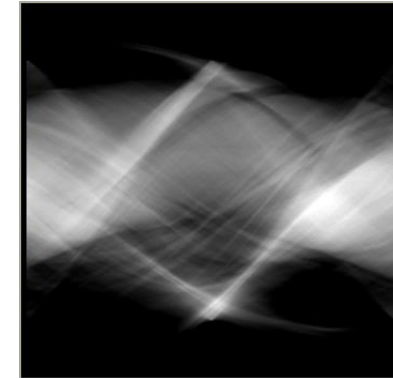
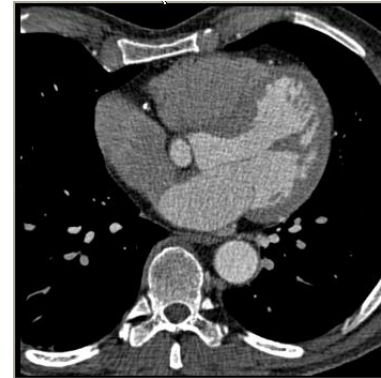
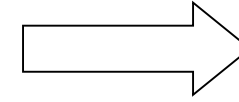
- Realisation of the parameter space as accumulator array
- For every pixel on an edge:
  - Increase accumulator cell on the corresponding sinusoid
- Line corresponds to maxima in parameter space



# Analogy Radontransformation

- Hough-Transformation is the discrete analogon of the Radon-Transformation.
- The line integral is substituted with the sum of the edge pixel

Radon-Transformation



# Hough-Transformation: Detection of circles

- Extension of the Hough-Transformation for lines to other parametric shapes possible

## Detection of circles

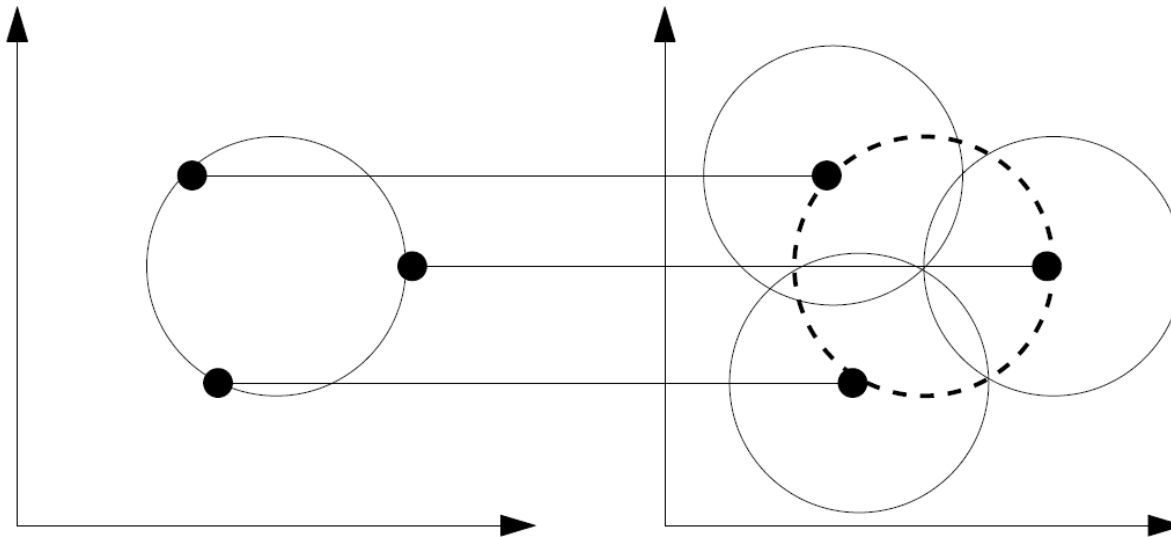
- Circle equation:

$$r^2 = x^2 + y^2$$

- unknown  $r \Rightarrow$  3D parameter space  $(x,y,r)$
- For circles with known radius  $r$  - analog to line transformation

# Hough-Transformation: Detection of circles

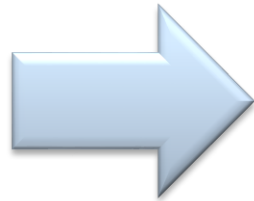
- For every edge pixel:
  - Increase accumulator cell on the corresponding circle
- Circle is maxima in parameter space





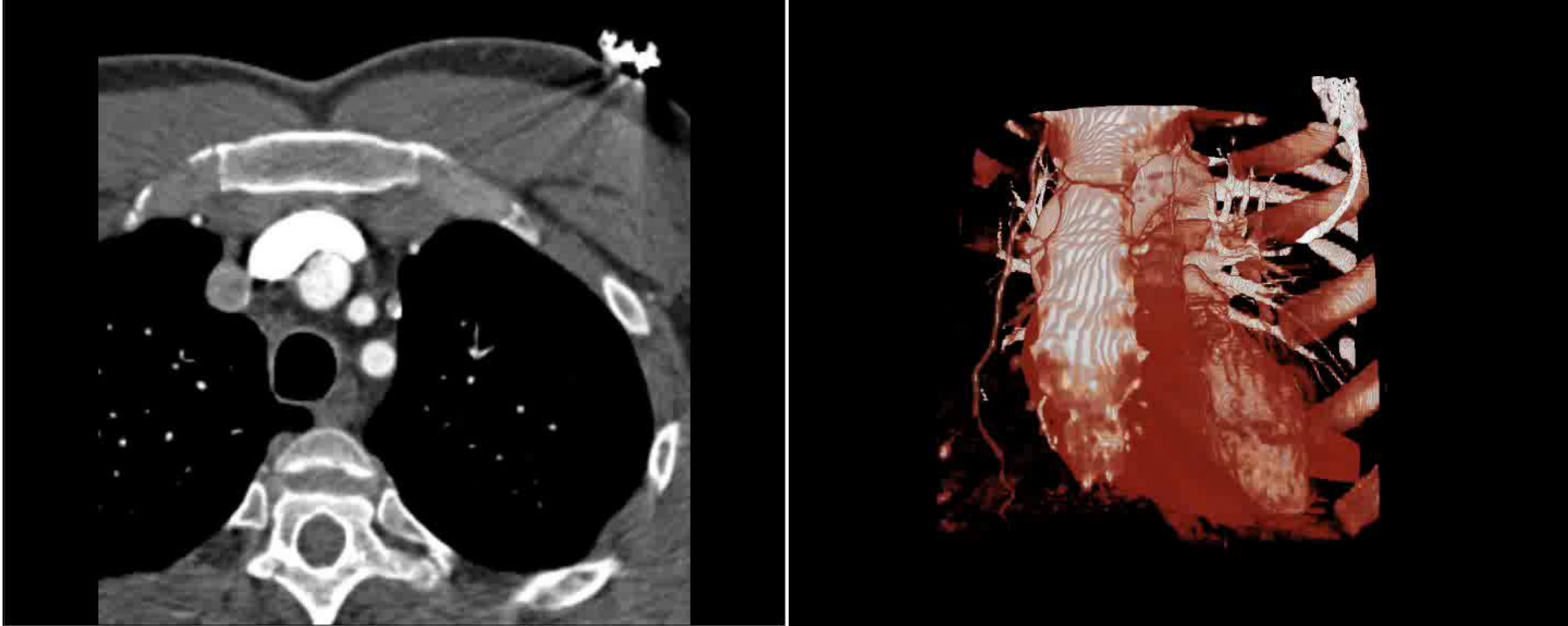
# Hough-Transformation: Conclusion

- Voting method for detection of parametric objects in binary edge images
- Lines: Analogies to Radon-Transformation
- Dimension of Hough space rises with numbers of parameters



High complexity

## Practical example: Detection of Aorta in CTA image data

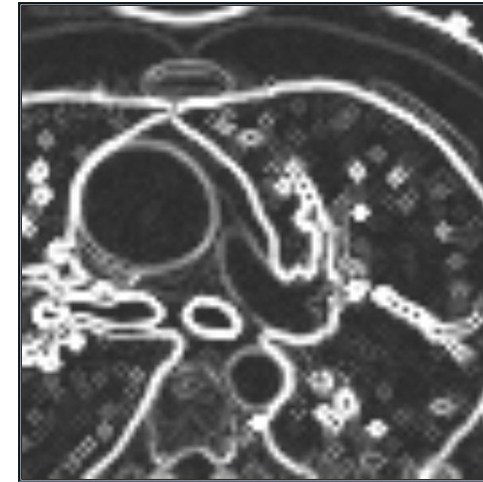
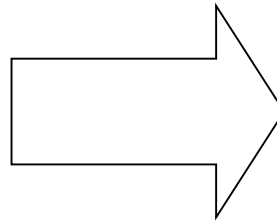


## Detection of Aorta in CTA image data



# Detection of Aorta in CTA image data

Edge filter:

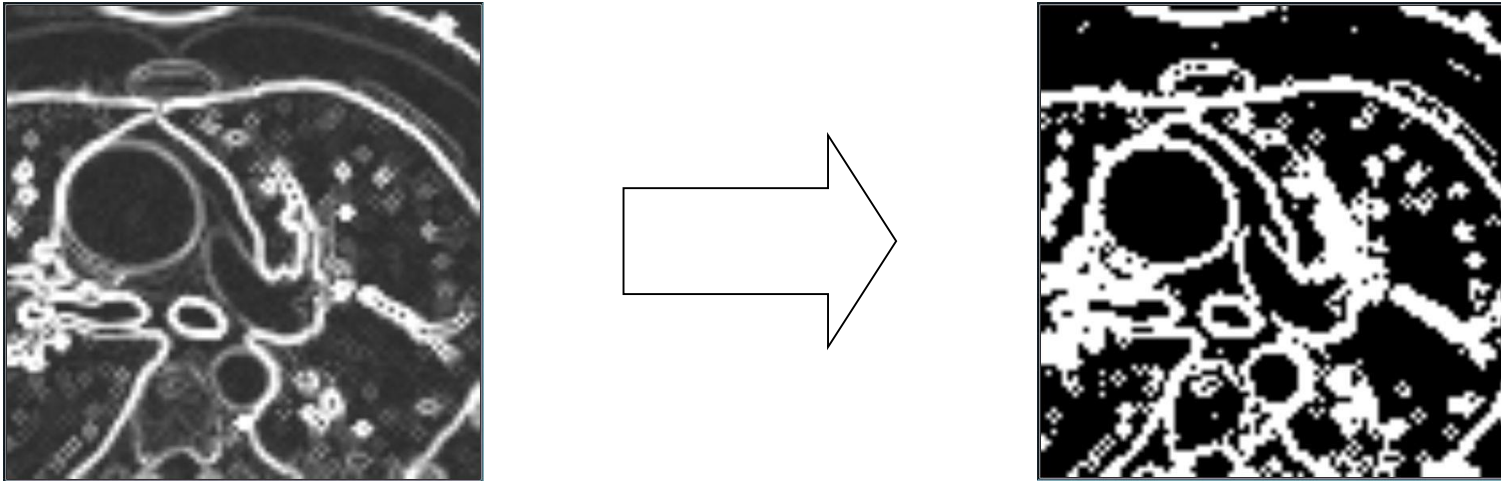


$$G_y = I * \begin{bmatrix} 1 & 2 & 1 \\ 0 & 0 & 0 \\ -1 & -2 & -1 \end{bmatrix} \quad G_x = I * \begin{bmatrix} 1 & 0 & -1 \\ 2 & 0 & -2 \\ 1 & 0 & -1 \end{bmatrix}$$

$$G = \sqrt{G_x^2 + G_y^2}$$

# Detection of Aorta in CTA image data

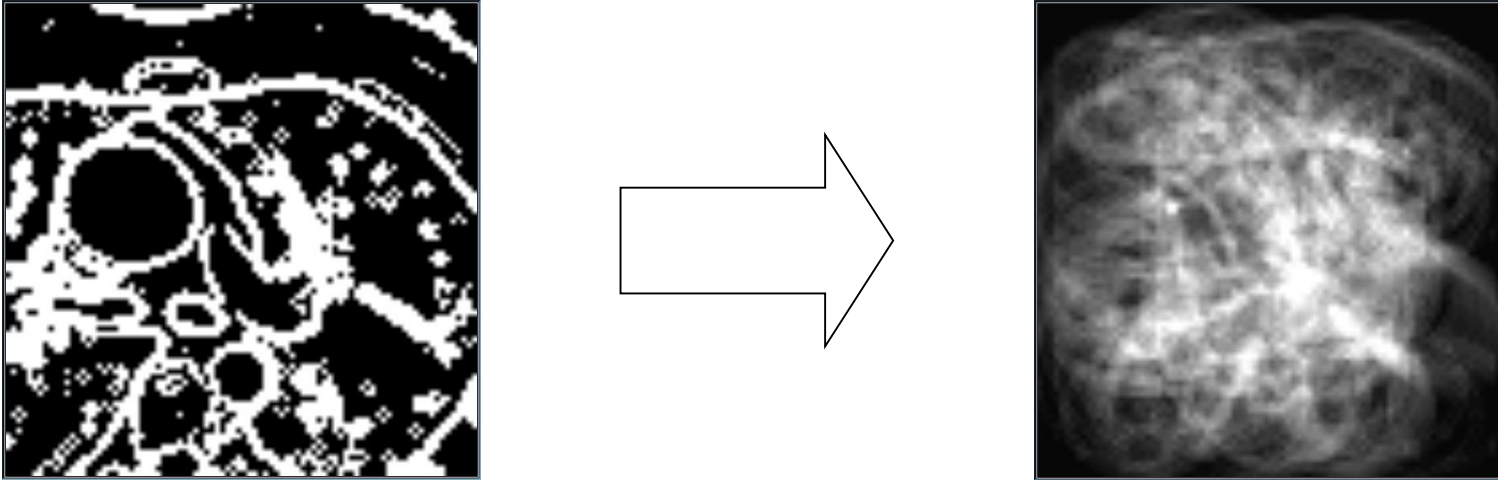
Binary edge image:



$$B(x,y) = \begin{cases} 1, & \text{wenn } |G(x,y)| > t \\ 0 & \text{sonst} \end{cases}$$

# Detection of Aorta in CTA image data

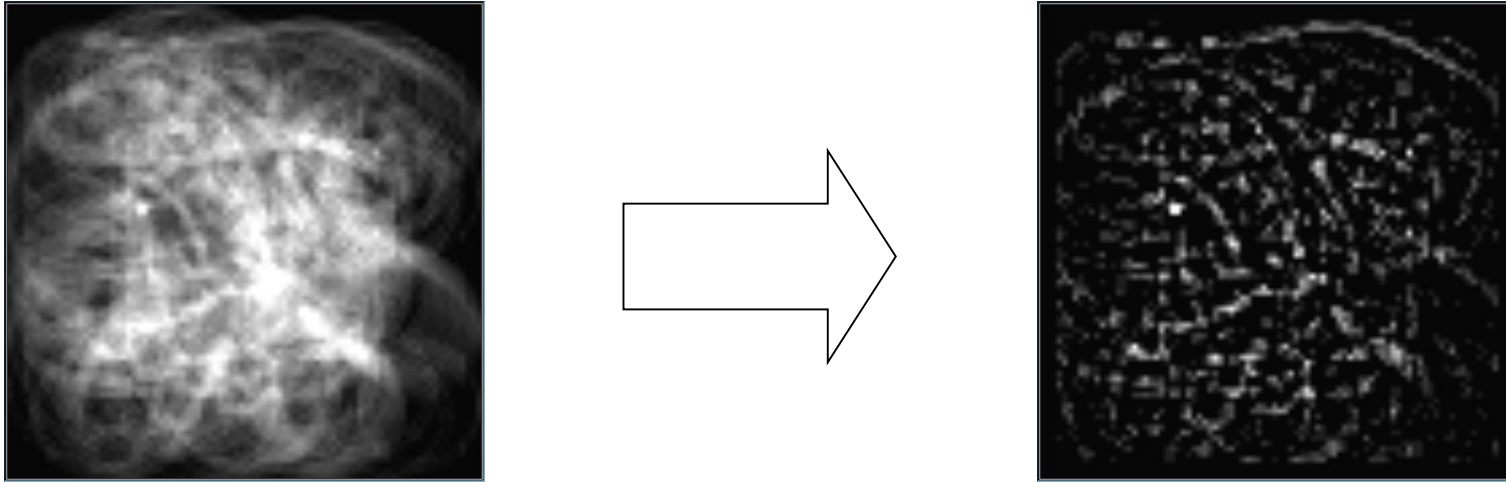
Hough-Transformation:



- Hough-Transformation for circles
- Radius is not known a-priori:
  - Separate Hough-Transformation for different Radii

# Detection of Aorta in CTA image data

Hough-Transformation filtering:



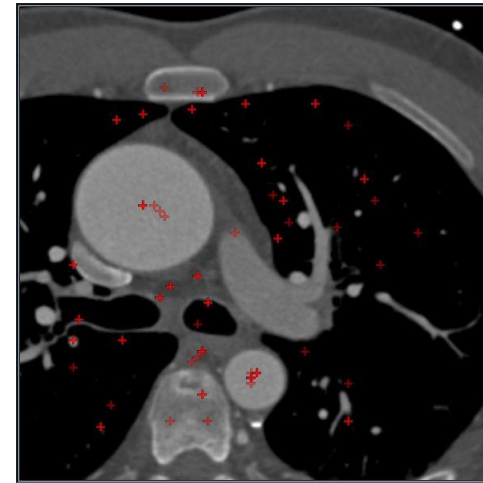
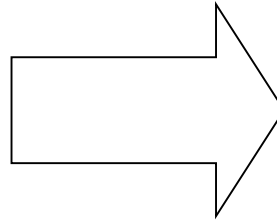
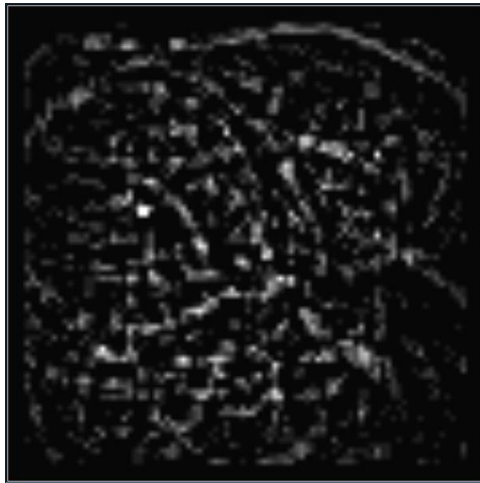
- Highlight Peaks
- Difference to the local average:

$$H_{\text{filtered}} = H * \begin{bmatrix} -1 & -1 & -1 & -1 & -1 \\ -1 & -1 & -1 & -1 & -1 \\ -1 & -1 & 24 & -1 & -1 \\ -1 & -1 & -1 & -1 & -1 \\ -1 & -1 & -1 & -1 & -1 \end{bmatrix}$$



# Detection of Aorta in CTA image data

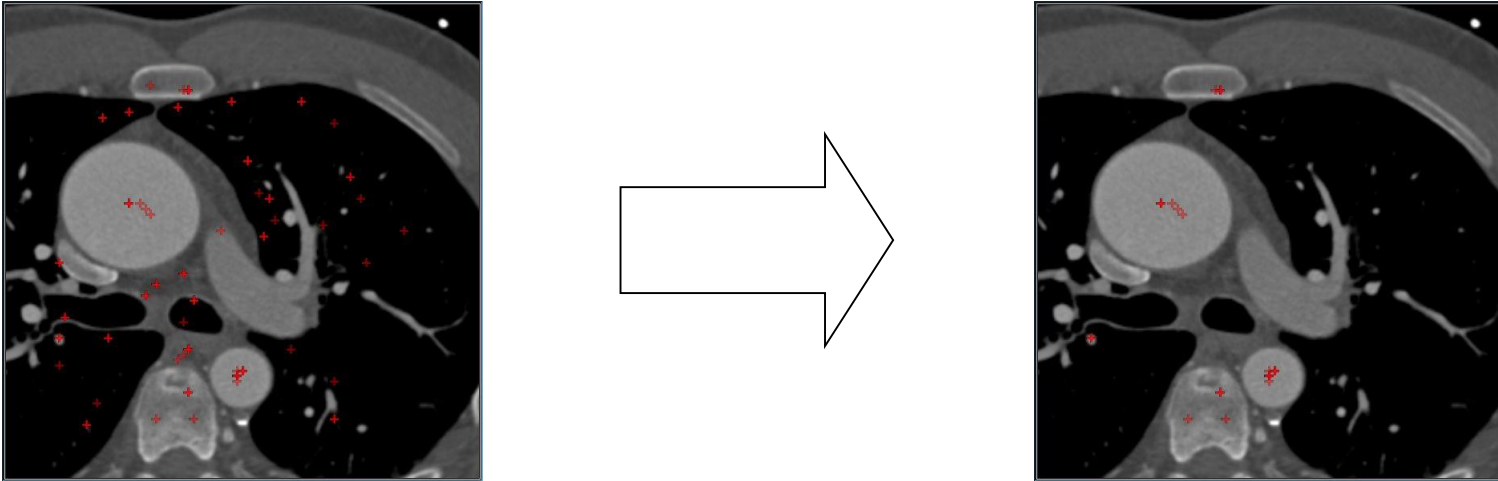
Detection of Hough-Maxima:



- for all Radi

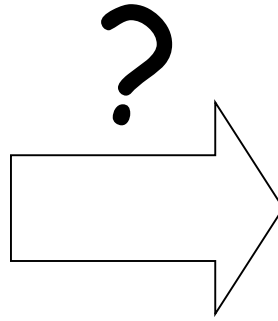
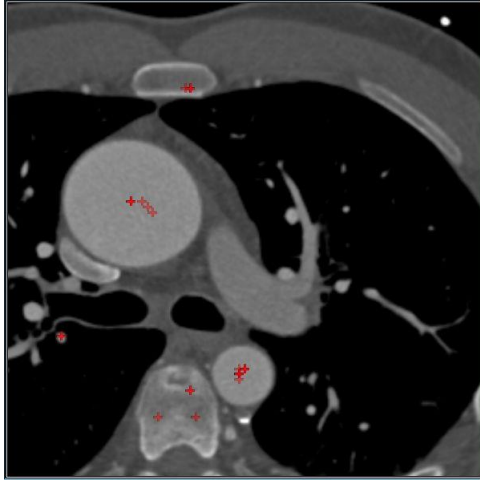
# Detection of Aorta in CTA image data

Begrenzung auf plausible Grauwerte:

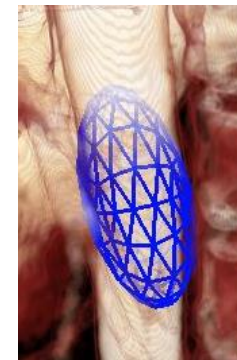


- Limitation of the candidates according to grey value (contrast agent)

# Detection of Aorta in CTA image data

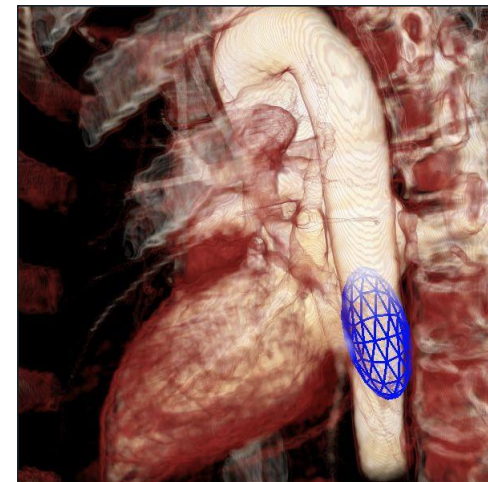
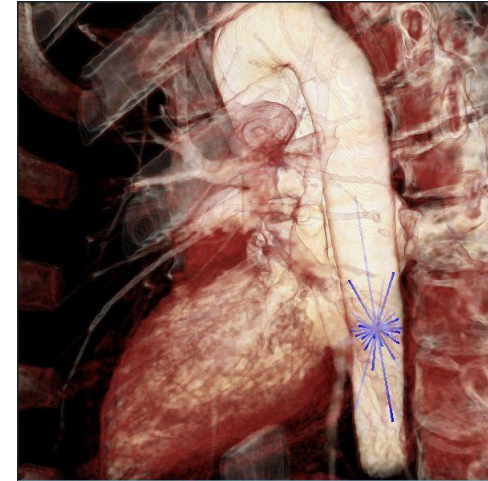


- Solution: Comparison with ellipsoid model

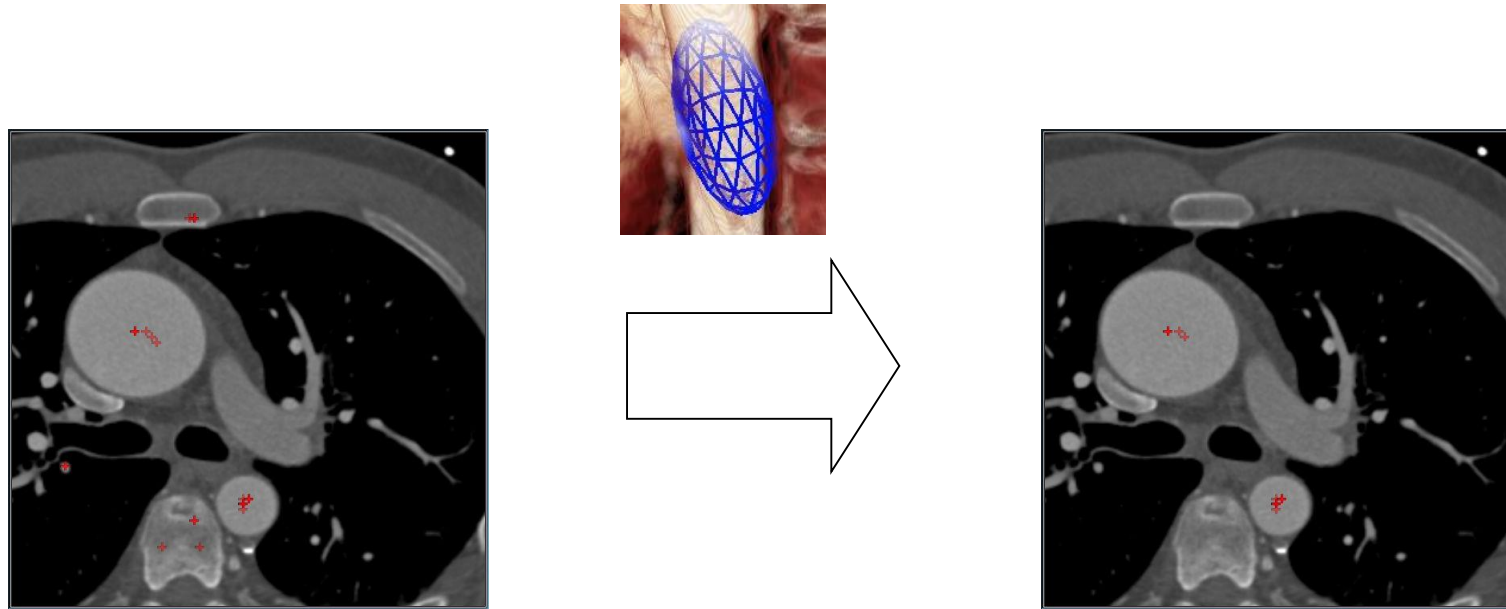


# Detection of Aorta in CTA image data

- Sample surrounding with spherical search rays
- Identify vessel contour
- Principal component analysis of the detected contour points:
  - Eigenvectors and Eigenvalues of the covariance matrix
- Approximation of the ellipsoid is calculated via eigen-vectors and eigen-values



## Detection of Aorta in CTA image data

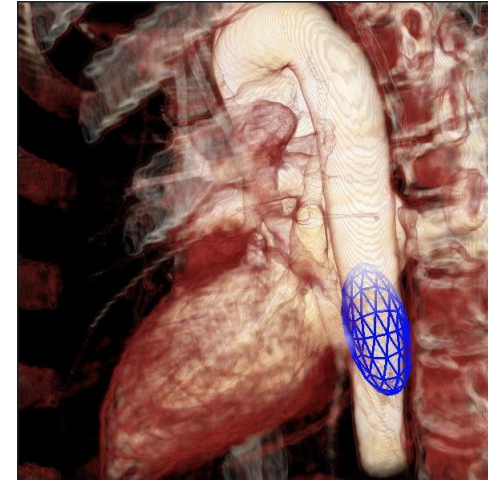


- Comparison with ellipsoid model:
  - Excentricity close to zero
  - Radius of the ellipsoids must be similar to radius of the Hough-Transformation

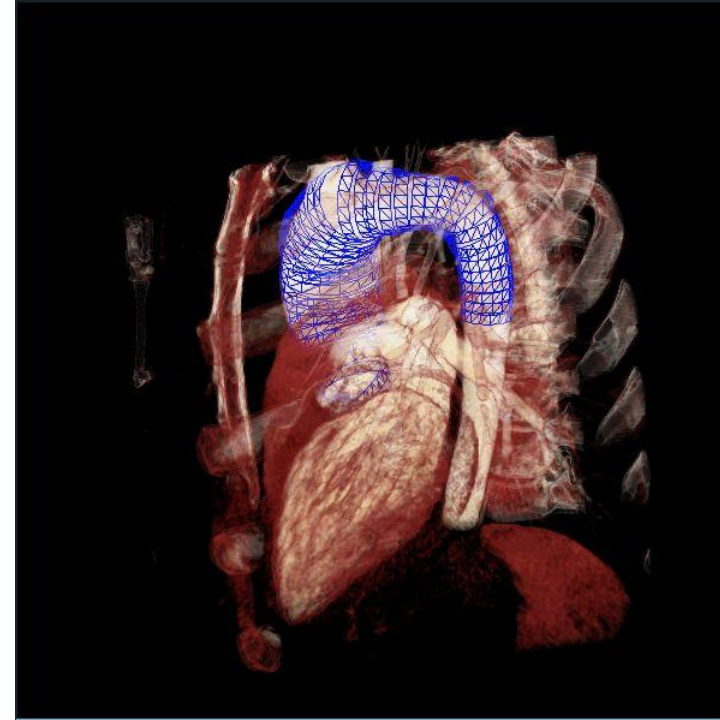
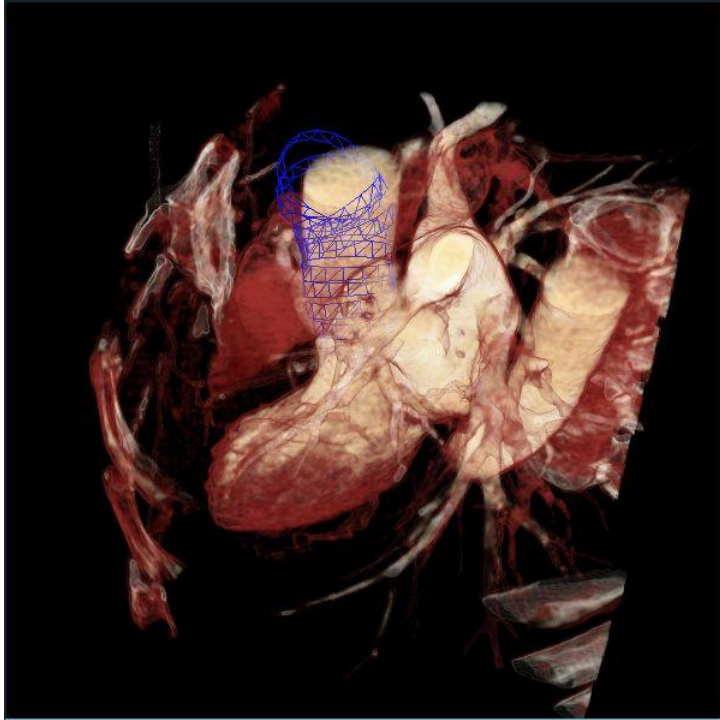
# Detection of Aorta in CTA image data

Cylinder chain model of the Aorta

- Starting with Hough-Maxima:
  - Push the ellipsoid model
  - Build a cylinder segment chain
- Selection of the correct chain depending on
  - (Aortic)-arc included?
  - Length of the chain
  - Radius maxima?



## Detection of Aorta in CTA image data



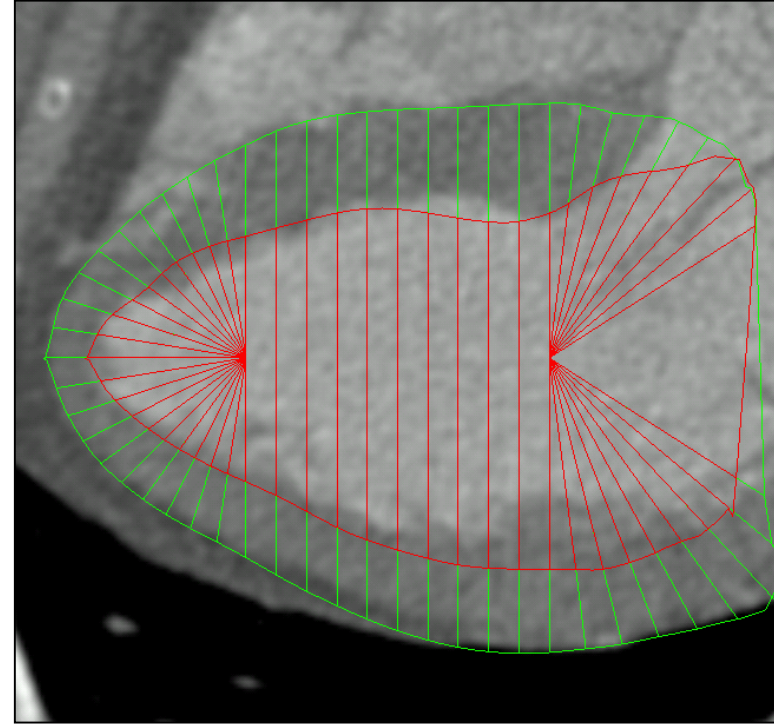


# POINT DISTRIBUTION MODELS

# Point Distribution Models

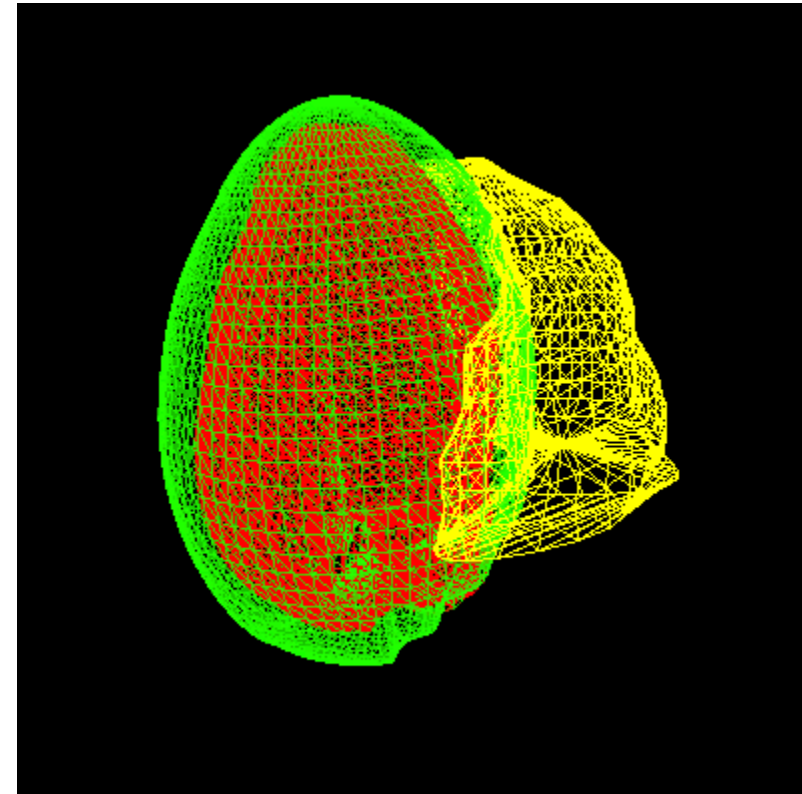
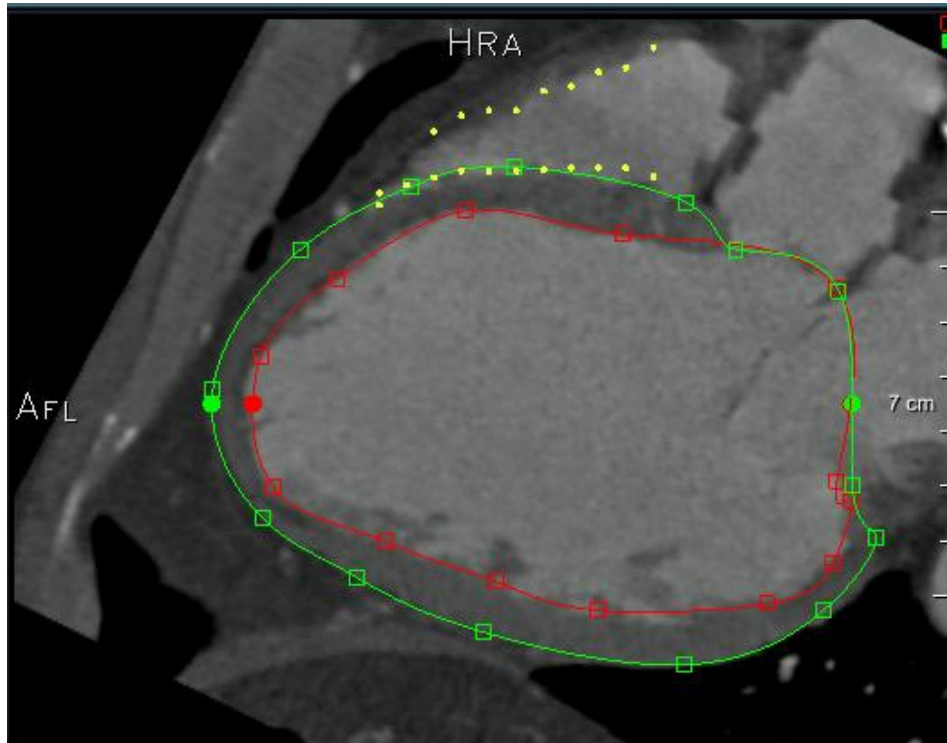
- Description of shape and shape variance of an object (Cootes et al. 1999)
- Based on different training dataset corresponding landmarks are learned
- Calculation of the average shape
- Modeling of possible deformation

# Point Distribution Models – Corresponding Landmarks



- 1:1 Correspondence is a basic requirement for statistical shape models

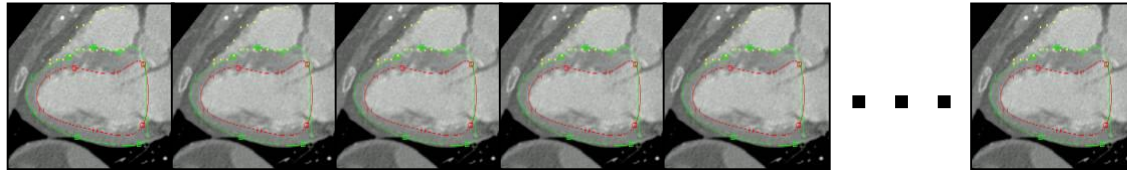
# Point Distribution Models – Corresponding Landmarks



- 1:1 Correspondence is a basic requirement for statistical shape models

# Point Distribution Models

- Calculation of  $m$  shapes.



- Each with  $n$  points  $p_k(x_k, y_k, z_k)^T \in \mathbb{R}^3$



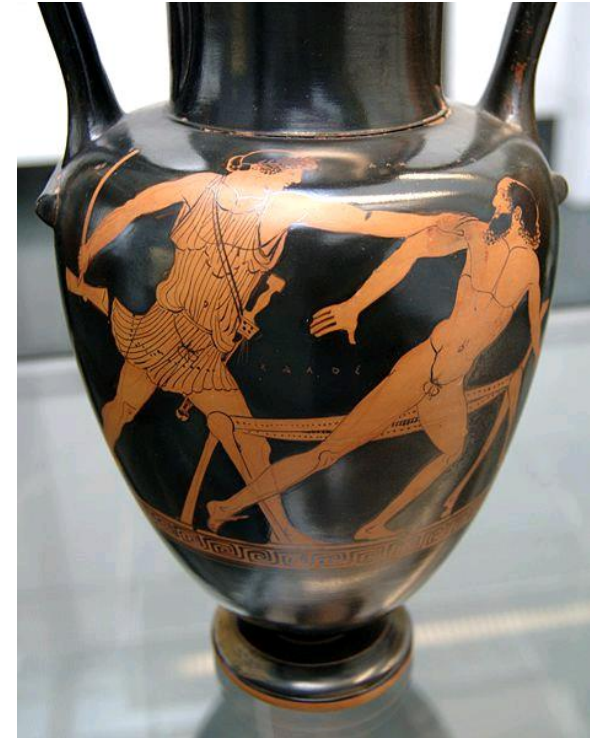
$$\mathbf{x}_i = (x_1, y_1, z_1, \dots, x_n, y_n, z_n)^T \in \mathcal{R}^{3n}$$



$$\mathbf{X} = (\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_m) \in \mathcal{R}^{3n \times m}$$

# Point Distribution Models: Prokrustes Analysis

- Statistical form analysis requires common coordinate system
- Different orientation of the training data can be seen as natural variance
- Better: Align data before statistical form analysis
- Prokrustes Analysis: Iterative method to align training data
- Minimization of quadratic distance compared to average shape



Quelle: Wikipedia

$$D = \sum |x_i - \bar{x}|^2$$

# Point Distribution Models: Prokrustes Analysis



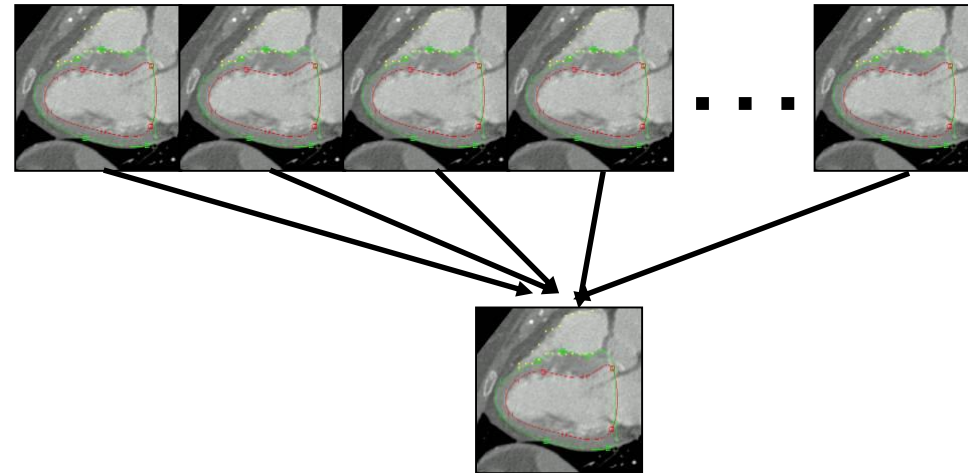
- Choose a dataset as reference
- Align all other datasets to reference dataset
- Calculate average shape of all registered data sets
- Calculate Prokrustes distance  $D = \sum |x_i - \bar{x}|^2$
- If D is bigger than a threshold choose average shape as reference



# Point Distribution Models

- Calculation of the average shape:

$$\bar{\mathbf{x}} = \frac{1}{m} \sum \mathbf{x}_i$$



Initialisation of the model

# Point Distribution Models

- Modeling of shape variance
  - How does a point move on average?
- Covariance matrix

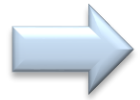
$$\mathbf{S} = \frac{1}{m-1} \sum_{i=1}^m (\mathbf{x}_i - \bar{\mathbf{x}}) \cdot (\mathbf{x}_i - \bar{\mathbf{x}})^T = \mathbf{X} \cdot \mathbf{X}^T, \quad \mathbf{S} \in \mathcal{R}^{3n \times 3n}$$



Describes the variance of all shape vectors

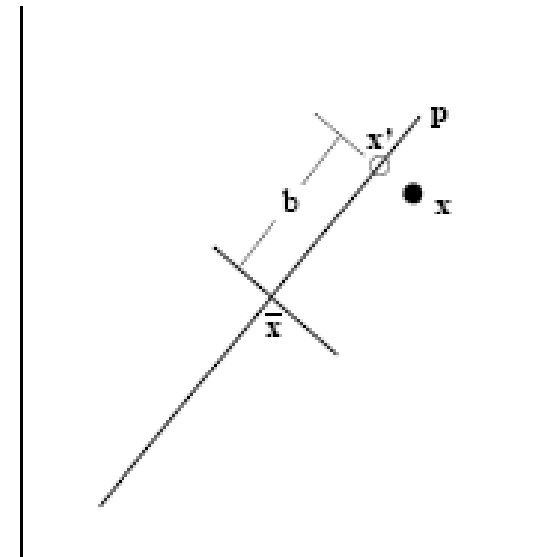
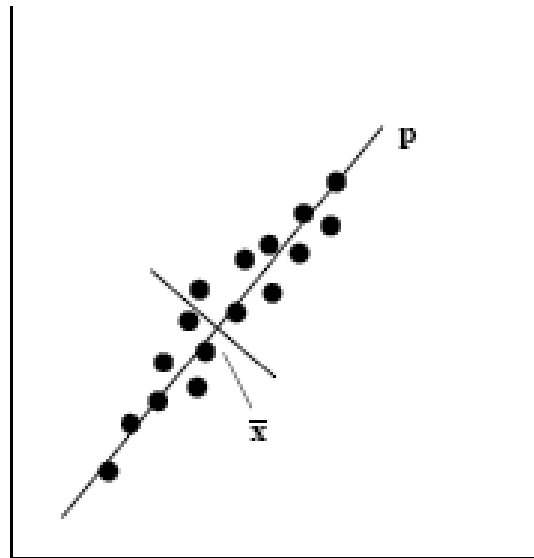
# Point Distribution Models

- Calculation of the main deformation direction



Karhunen-Loève-Transformation (engl. Principle Component Analysis, PCA)

- Movement is included in covariance matrix  $S$
- Eigenvectors of  $S$  are main deformation directions



# Point Distribution Models

- Calculation of the main deformation direction
- Calculation of Eigenvectors  $\phi_i$  and eigenvalues  $\lambda_i$  of  $\mathbf{S}$ 
  - Sort eigenvectors such that
$$\lambda_i \geq \lambda_{i+1}$$
  - main deformation directions are described through the first  $t$  Eigenvectors

$$\Phi = (\phi_1 | \phi_2 | \dots | \phi_t)$$

- How big should  $t$  be?
  - Choose  $t$  such that 98% of all shape variations are described

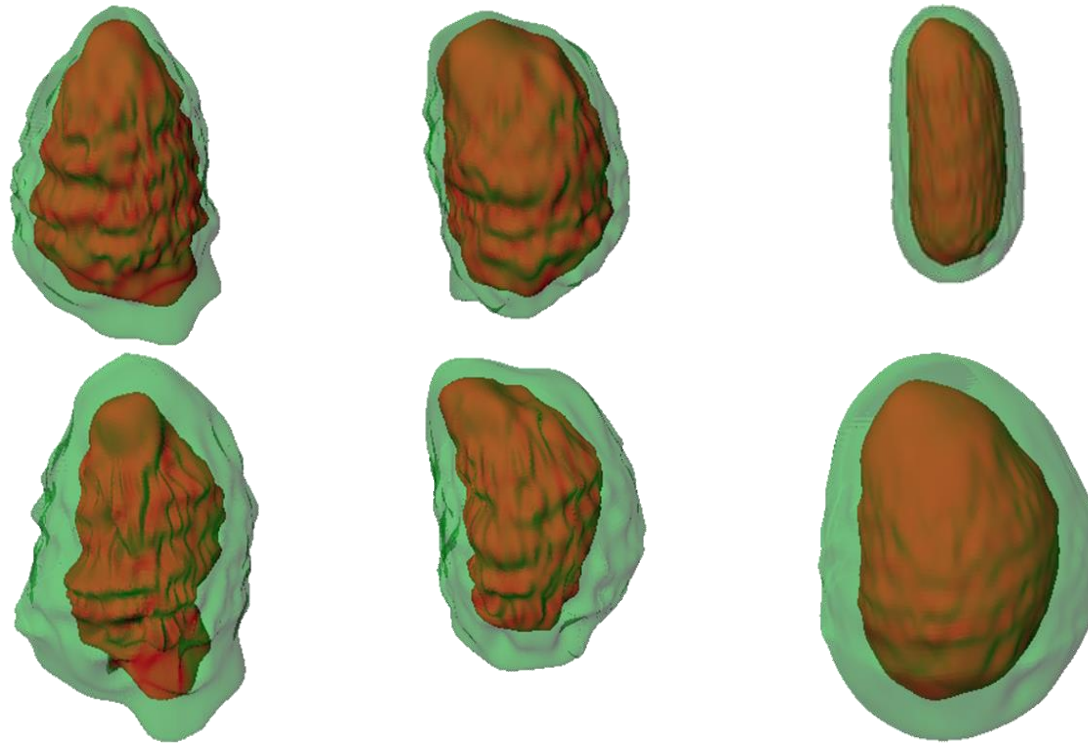
$$V_T = \sum \lambda_i$$

$$\sum_{i=1}^t \lambda_i \geq f_v V_T$$

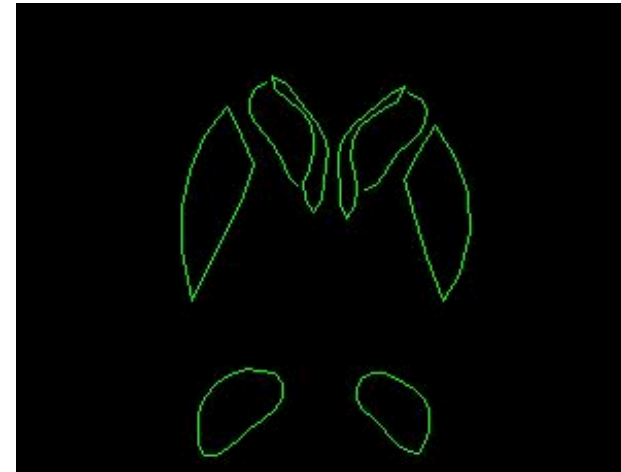
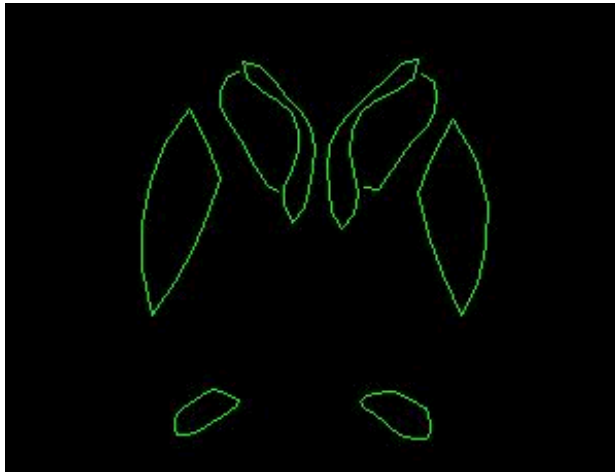
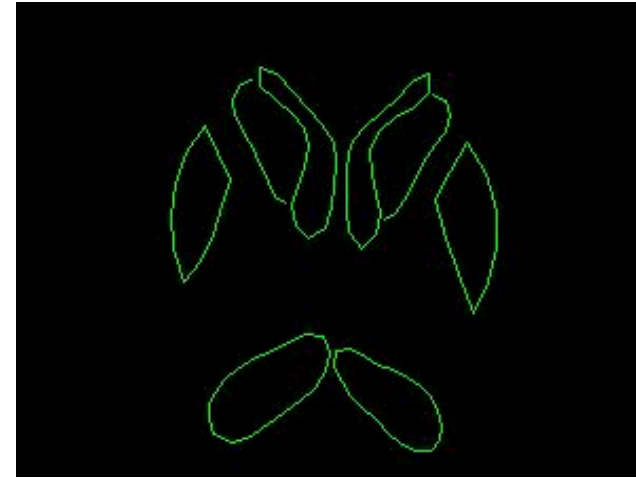
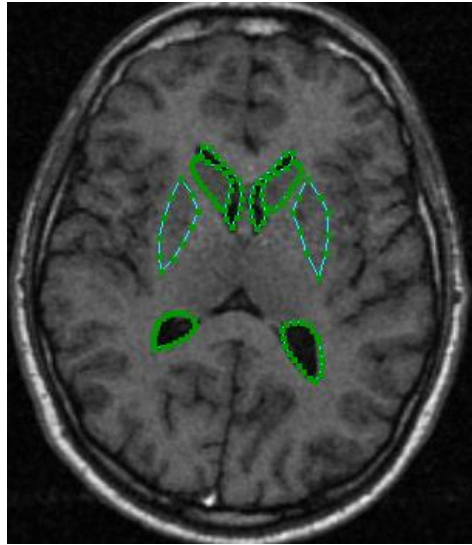
# Point Distribution Models

- Approximation of possible model shapes

$$\mathbf{x} \approx \bar{\mathbf{x}} + \Phi \cdot \mathbf{b}, \quad \mathbf{b} \in \mathcal{R}^t, \quad \Phi \in \mathcal{R}^{n \times t}$$



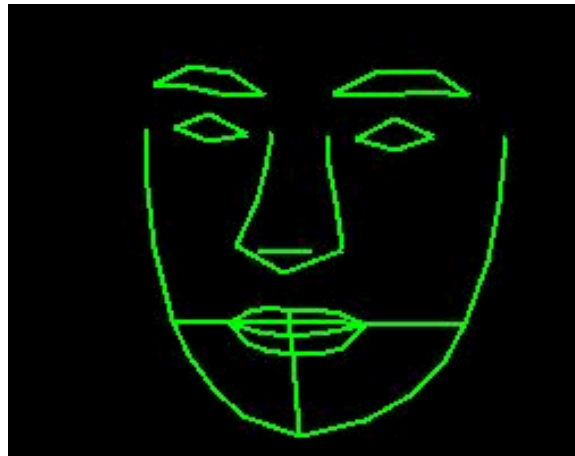
# Point Distribution Model



Quelle: T.F. Cootes

# Point Distribution Model

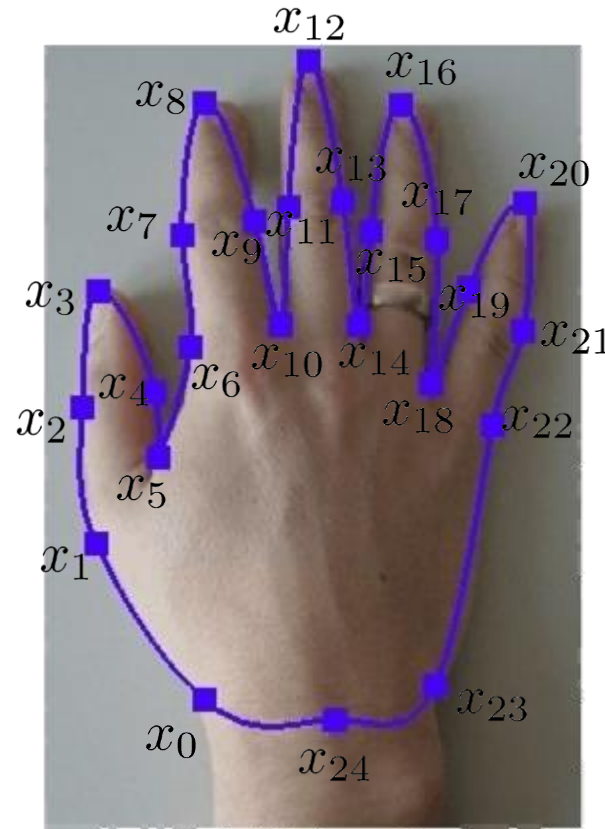
Quelle: T.F. Cootes



# Example: Hand PDM

## Acquisition of training data

training data



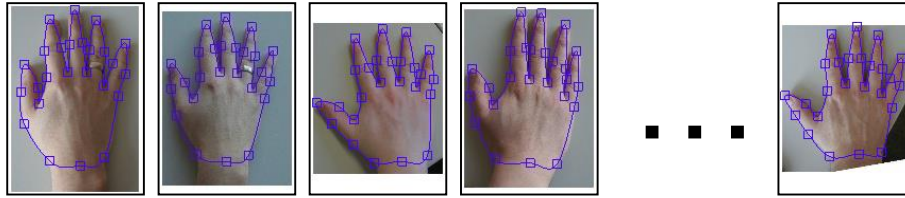


# Example: Hand PDM

## Acquisition of training data

Training data

- m=15 Shapes



- For every shape a shape vector is build:



$$\mathbf{x}_i = (x_0, y_0, \dots, x_{24}, y_{24})^T \in \mathcal{R}^{50}$$

- Training data matrix:



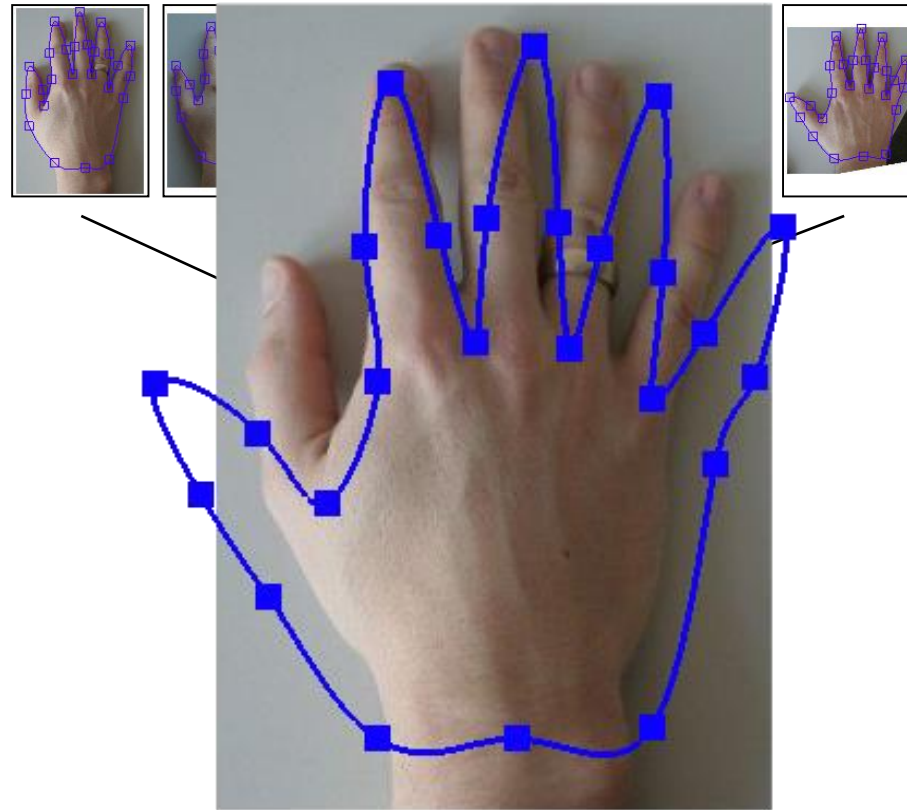
$$\mathbf{X} = (\mathbf{x}_0, \mathbf{x}_1, \dots, \mathbf{x}_{14}) \in \mathcal{R}^{50 \times 15}$$

## Example: Hand PDM Average Model

- Calculation of the average shape

$$\bar{\mathbf{x}} = \frac{1}{m} \sum \mathbf{x}_i$$

$$\bar{\mathbf{x}}, \mathbf{x}_i \in R^{50}$$



## Example: Hand PDM

### Modelling of the shape variances

- Modelling of the shape variance
- Calculation of the covariance matrix

$$\mathbf{S} = \frac{1}{m-1} \sum_{i=1}^m (\mathbf{x}_i - \bar{\mathbf{x}}) \cdot (\mathbf{x}_i - \bar{\mathbf{x}})^T = \mathbf{X} \cdot \mathbf{X}^T, \quad \mathbf{S} \in \mathcal{R}^{50 \times 50}$$

- $m$  = Number of trainings vectors = 15
- PCA detects main deformation directions
  - Calculation of eigenvectors  $\phi_i$  and eigenvalues  $\mathbf{S}$

## Example: Hand PDM

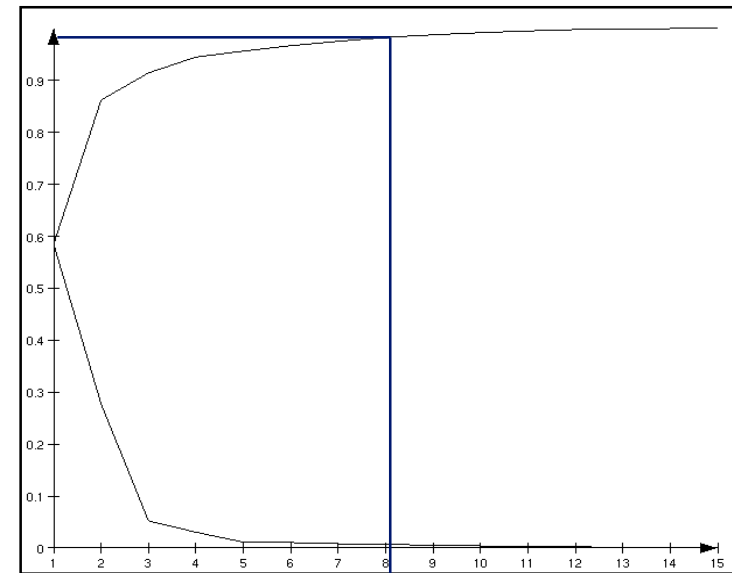
### Modelling of the shape variances

- Main deformation direction are described through the first  $t$  eigenvectors

$$\Phi = (\phi_1 | \phi_2 | \dots | \phi_t)$$

$$\mathbf{x} \approx \bar{\mathbf{x}} + \Phi \cdot \mathbf{b}, \quad \mathbf{b} \in \mathcal{R}^t, \quad \Phi \in \mathcal{R}^{2n \times t}$$

- How do you choose  $t$ , so that 98% of all variations are covered?




## Example: Hand PDM

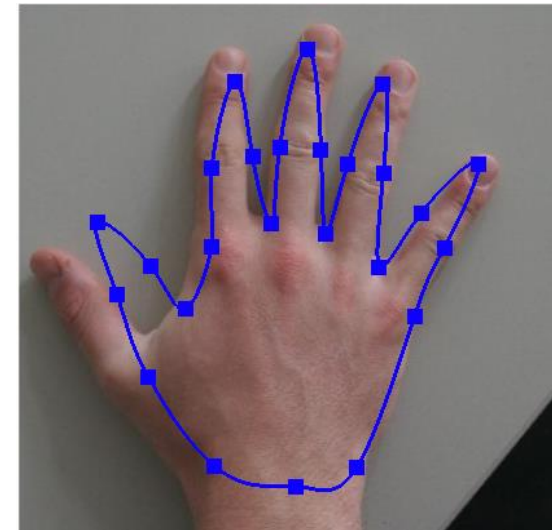
### Limitation to plausible shapes

- Model should guarantee limitations to plausible shapes
- But: arbitrary parameter  $b$  allows also „arbitrary shapes“

Limitation of  $b$


$$|b_i| \leq 3 \cdot \sqrt{\lambda_i}$$

- Background: 3-times standard deviation = outlier.

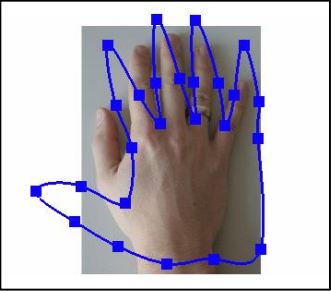


# Example: Hand PDM

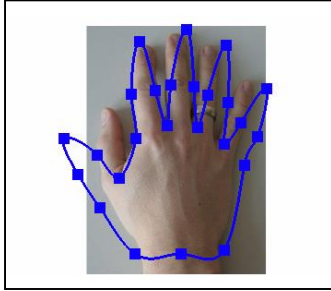
## Approximation of possible model shapes

$$\mathbf{x} \approx \bar{\mathbf{x}} + \Phi \cdot \mathbf{b}, \quad \mathbf{b} \in \mathcal{R}^t, \quad \Phi \in \mathcal{R}^{2n \times t}$$

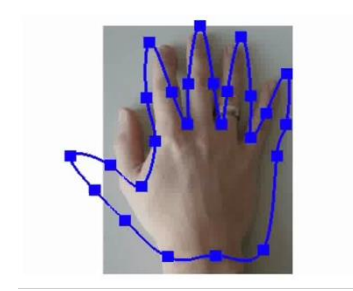
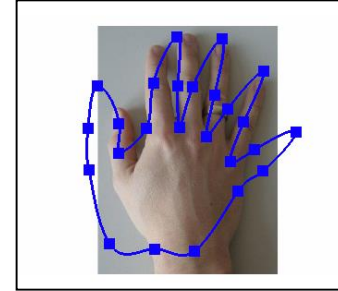
$$b_i = -3 \cdot \sqrt{\lambda_i}$$



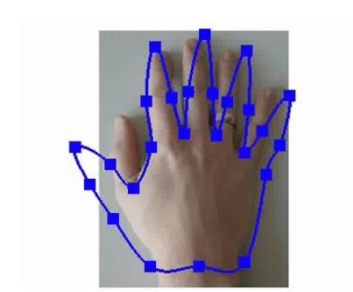
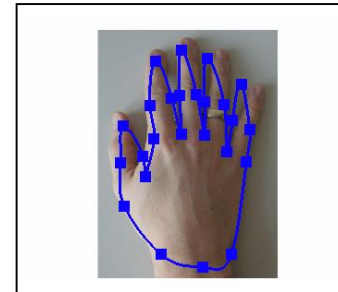
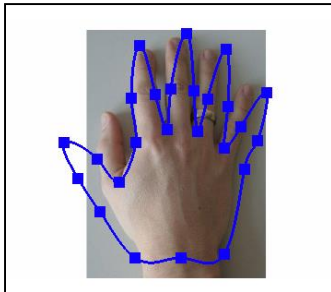
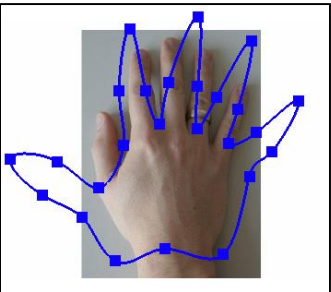
$$b_i = 0$$



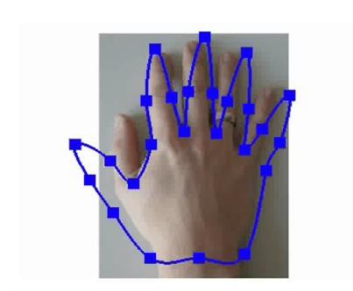
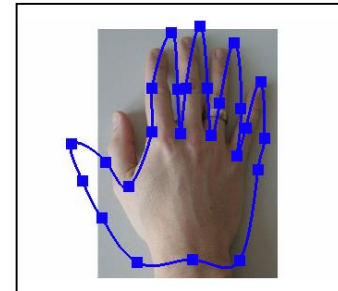
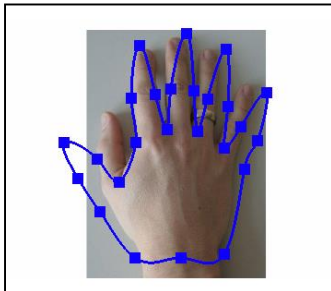
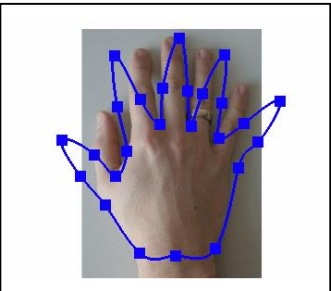
$$b_i = +3 \cdot \sqrt{\lambda_i}$$



$b_0$



$b_1$



$b_2$

# Point Distribution Models

## Modelling of local shape variances

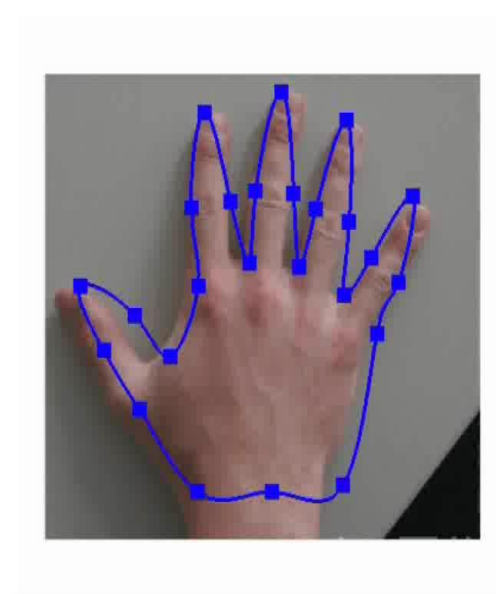
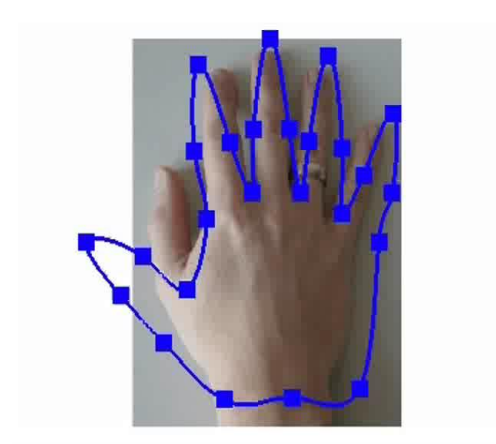
Global vs. Local shape models PCA leads to global shape models

Only global modelling leads to problems regarding segmentation

Local shape models

- Rotation of the principle component matrix leads to local shape vectors

$$\mathbf{R}_{\text{orthomax}} = \arg \max \left( \sum_{j=1}^k \sum_{i=1}^p (\Phi \mathbf{R})_{ij}^4 - \frac{1}{p} \sum_{j=1}^k \left( \sum_{i=1}^p (\Phi \mathbf{R})_{ij}^2 \right)^2 \right)$$



# ACTIVE SHAPE MODELS



# Active Shape Models

How can PDMs be applied for segmentation?

- Active Shape Models (ASM) use PDMs for segmentation of image data

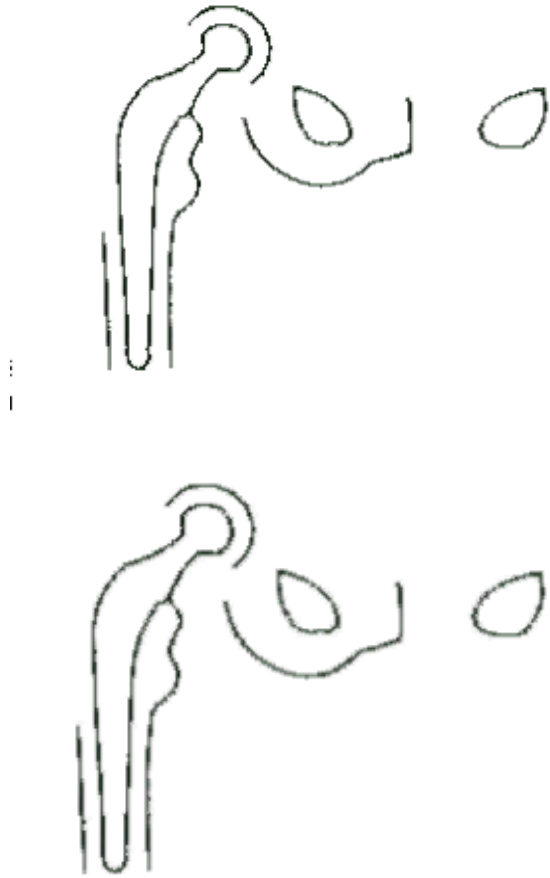
- Initialisation of the model:  $\mathbf{x} = \bar{\mathbf{x}}$
- Align model points along the normal (e.g. gradient)
- Re-calculation of the parameter vector:

$$\mathbf{b} = \Phi^{-1} \cdot (\mathbf{x} - \bar{\mathbf{x}}) = \Phi^T \cdot (\mathbf{x} - \bar{\mathbf{x}})$$

- Map points on the model:

$$\mathbf{x} = \bar{\mathbf{x}} + \Phi \cdot \mathbf{b}$$

# Active Shape Models



Quelle: T.F. Cootes

# Active Shape Models

- Alignment of model points to the image, possible criteria:
  - Gradient
  - Grey value
  - ...
  - But: gradient could be missing/not visible

Modelling of local grey value structure



# ASM – Mahalanobis Distance

- Modelling of local grey value structure – Mahalanobis Distance
  - „Learning“ of the local grey value profile along the normal for every model point  $p_i$
  - Calculation of the average grey value profile for  $p_i$

$$\bar{g}_i = \frac{1}{m} \sum_{j=1}^m g_{ij}$$

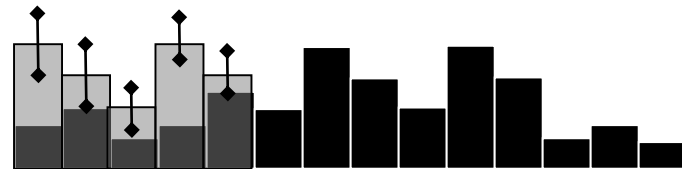
- Calculation of the covariance matrix

$$S_i = \frac{1}{m} (g_{i1} | g_{i2} | \dots | g_{im}) \cdot (g_{i1} | g_{i2} | \dots | g_{im})^T$$

# ASM – Mahalanobis Abstand

- Mahalanobis distance as quality factor for ASM adaption
  - Sampling of grey value profile  $g_s$
  - Align grey value model to  $g_s$
  - Calculation of Mahalanobis distance

$$f(g_s) = (g_s - \overline{g_i})^T \cdot S^{-1} \cdot (g_s - \overline{g_i})$$



# Active Shape Models: Conclusion

## Advantage

- A-priori Knowledge allows „meaningful“ segmentation
- Result also if image quality is reduced (e.g. little gradient information)

## Disadvantage

- Models have to be trained
  - More data -> better results, more robustness
- Solution might not be optimal
- Segmentation only looks at object shapes, texture inside is discarded

# **ACTIVE APPEARANCE MODELS**

# Active Appearance Models

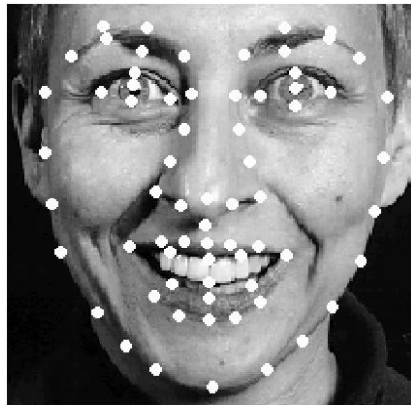
- Active Shape Models only use object shape for segmentation
- Can the texture inside the object be used?
- Active Appearance Models expand Active Shape Models taking texture into consideration



## Active Appearance Models: Statistical texture model

From shape to texture model:

- Acquisition of training data (similar to shape model)
- Landmarks are selected (similar to shape model)
- Deformation of the image: landmarks are aligned with average shape.
- Sampling of deformed image. Pixel values define texture vector  $g_{im}$



Quelle: T.F. Cootes

# Active Appearance Models

## Statistical texture model

Normalisation of texture vector  $\mathbf{g}$ :

- Global differences in brightness needs to be minimized -> normalisation of texture vectors

$$\mathbf{g} = (\mathbf{g}_{im} - \beta \mathbf{1}) / \alpha$$

$$\alpha = \mathbf{g}_{im} \cdot \bar{\mathbf{g}} \quad \beta = (\mathbf{g}_{im} \cdot \mathbf{1}) / n$$

- Recursive definition of normalisation
- Start with arbitrary  $\mathbf{g}_{im}$
- Iterative improvement

# Active Appearance Models

## Statistical texture model

Statistical texture model:

- Acquisition of texture vectors of the training data  $(\mathbf{g}_0, \dots, \mathbf{g}_n)$
- Normalisation of the texture vector
- Calculation of the covariance matrix

$$\mathbf{S}_{\mathbf{g}} = \frac{1}{n-1} \sum_{i=1}^n (\mathbf{g}_i - \bar{\mathbf{g}}) \cdot (\mathbf{g}_i - \bar{\mathbf{g}})^T = \mathbf{G} \cdot \mathbf{G}^T$$

- Eigenvectors describe texture model

$$\Phi_{\mathbf{g}} = (\phi_{g1} | \phi_{g2} | \dots | \phi_{gt})$$

- Lineare model of possible texture vectors:

$$\mathbf{g} = \bar{\mathbf{g}} + \Phi_{\mathbf{g}} \cdot \mathbf{b}_{\mathbf{g}}$$

# Active Appearance Models

## Combined Shape-Texture model

- Shape and texture are described with parameter  $\mathbf{b}_g$  and  $\mathbf{b}_s$
- Combination Shape-Texture vector

$$\mathbf{b} = \begin{pmatrix} \mathbf{W}_s \cdot \mathbf{b}_s \\ \mathbf{b}_g \end{pmatrix} = \begin{pmatrix} \mathbf{W}_s \cdot \Phi_s^T (\mathbf{x} - \bar{\mathbf{x}}) \\ \Phi_g^T (\mathbf{g} - \bar{\mathbf{g}}) \end{pmatrix}$$

- Diagonal matrix  $\mathbf{W}$  is weighting factor regarding different unities of grey value and shape
- Application of additional PCA leads to appearance model

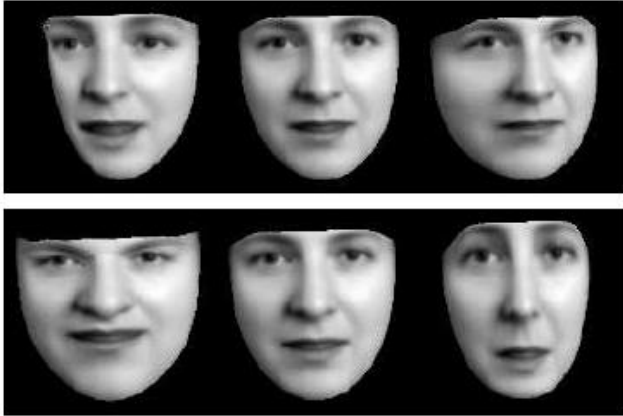
$$\mathbf{b} = \Phi_c \cdot \mathbf{c}$$

- $\Phi_c$  = Eigen vectors of the appearance model
- $\mathbf{c}$  = appearance vector

# Active Appearance Models

## Shape and Texture variation

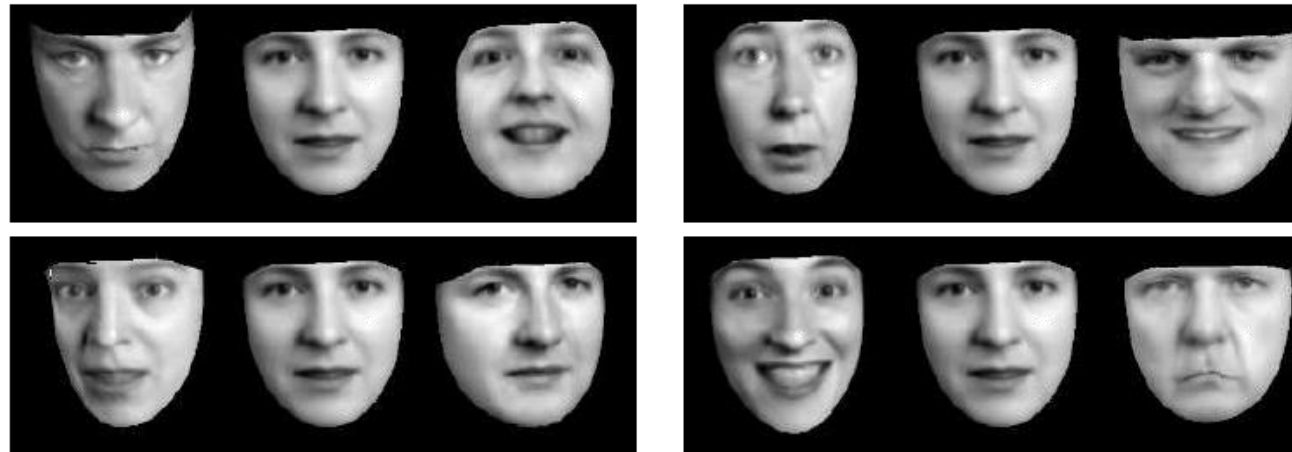
Variation of the first two  
Shape Eigenvectors



Variation of the first two  
Texture Eigenvectors



Variation of the first four Appearance Eigenvectors



Quelle: T.F. Cootes

# Active Appearance Models

## Shape and Texture variation



$$-2\sqrt{\lambda_1} \longleftarrow b_1 \longrightarrow 2\sqrt{\lambda_1}$$



$$-2\sqrt{\lambda_2} \longleftarrow b_2 \longrightarrow 2\sqrt{\lambda_2}$$

Quelle: T.F. Cootes

# Active Appearance Models

## Adaption of the model



Quelle: T.F. Cootes

# Active Appearance Models

## Adaption of the model

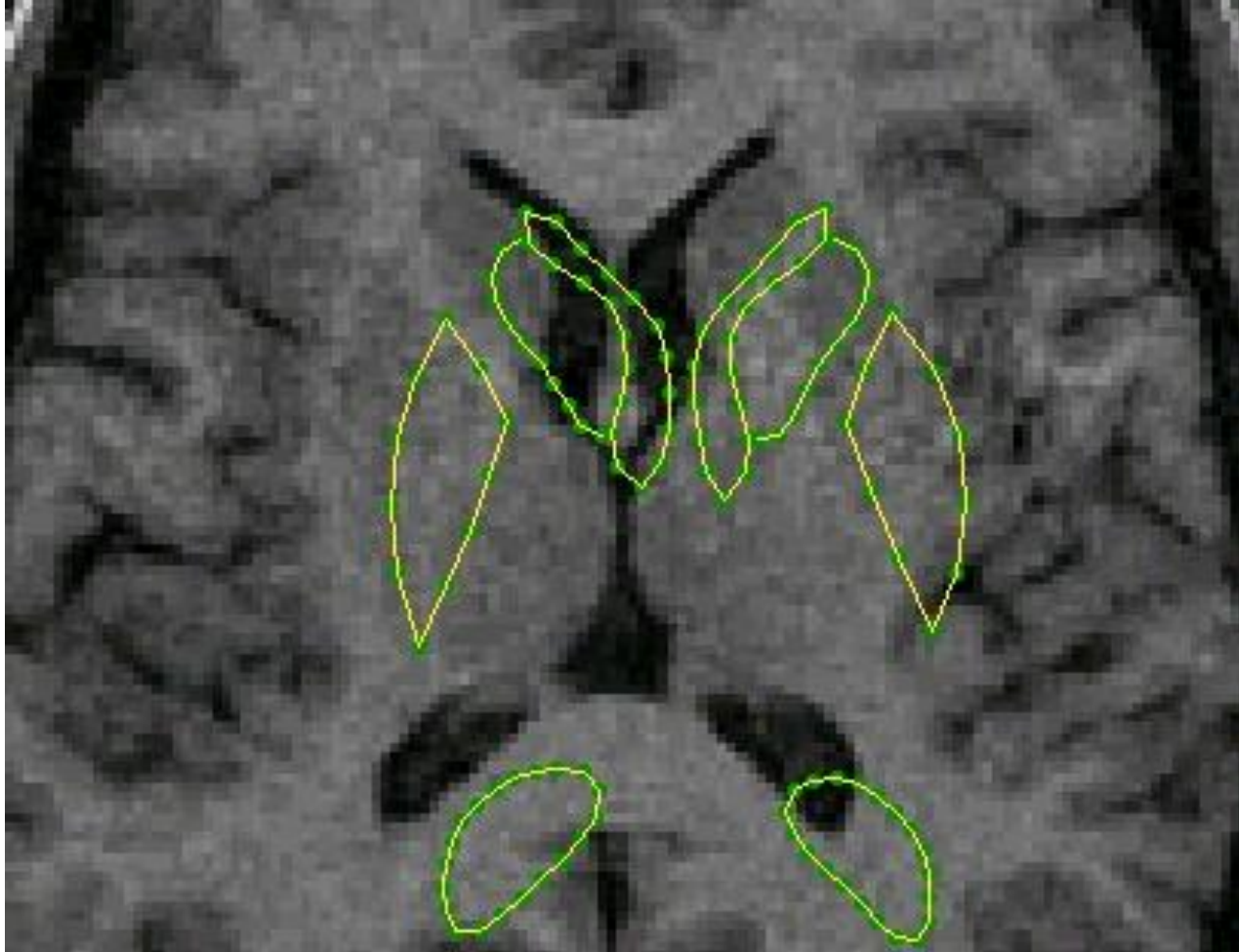


Quelle: T.F. Cootes



# Active Appearance Models

## Adaption of the model



Quelle: T.F. Cootes

# Active Appearance Models

## Conclusion

- Segmentation via synthesis
- Extension of Active Shape Models
- AAMs take also the texture into account, not only the shape
- Training is necessary

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- <http://personalpages.manchester.ac.uk/staff/timothy.f.cootes/>