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## RNNs and Vanishing Gradients

#### Outline

- Backprop through time
- RNNs and vanishing/exploding gradients
- Solutions



#### RNNs: Advantages

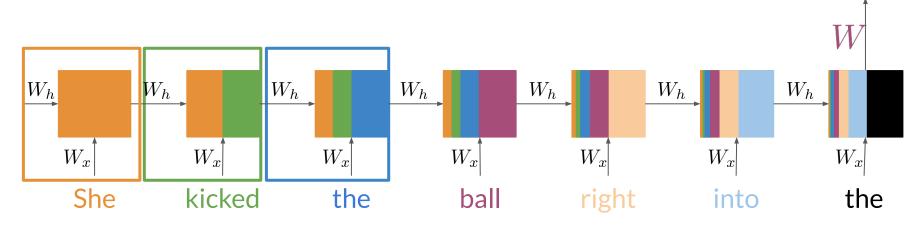
- Captures dependencies within a short range
- Takes up less RAM than other n-gram models

#### RNNs: Disadvantages

- Struggles to capture long term dependencies
- Prone to vanishing or exploding gradients

#### **RNN Basic Structure**

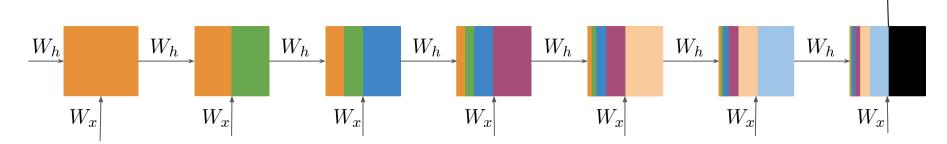
She kicked the ball right into the \_\_\_\_\_



Learnable parameters

goal

#### Backpropagation through time

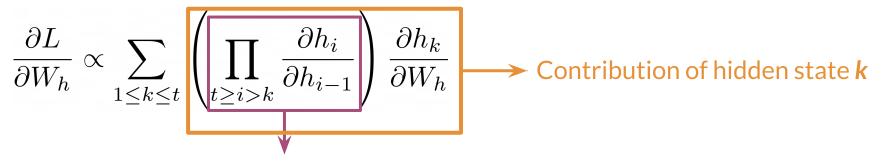


$$W_x$$
 Same at every step  $W_b$ 

$$\frac{\partial L}{\partial W_h} \propto \sum_{1 \le k \le t} \left( \prod_{t \ge i > k} \frac{\partial h_i}{\partial h_{i-1}} \right) \frac{\partial h_k}{\partial W_h}$$

Gradient is proportional to a sum of partial derivative products

#### Backpropagation through time

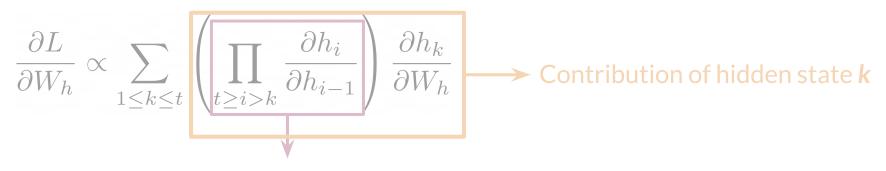


Length of the product proportional to how far **k** is from **t** 

$$\frac{\partial h_t}{\partial h_{t-1}} \frac{\partial h_{t-1}}{\partial h_{t-2}} \frac{\partial h_{t-2}}{\partial h_{t-3}} \frac{\partial h_{t-3}}{\partial h_{t-4}} \frac{\partial h_{t-4}}{\partial h_{t-5}} \frac{\partial h_{t-5}}{\partial h_{t-6}} \frac{\partial h_{t-6}}{\partial h_{t-7}} \frac{\partial h_{t-7}}{\partial h_{t-8}} \frac{\partial h_{t-8}}{\partial h_{t-9}} \frac{\partial h_{t-9}}{\partial h_{t-10}} \frac{\partial h_{t-10}}{\partial W_h}$$

Contribution of hidden state **t-10** 

#### Backpropagation through time



Length of the product proportional to how far **k** is from **t** 

Partial derivatives < 1

Contribution goes to 0

Vanishing Gradient

Partial derivatives > 1

Contribution goes to infinity

**Exploding** Gradient

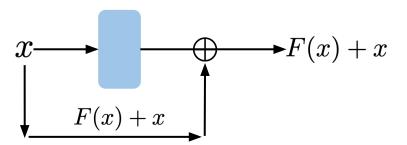
#### Solving for vanishing or exploding gradients

Identity RNN with ReLU activation  $\begin{vmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{vmatrix} -1 \longrightarrow 0$ 

$$\left[\begin{array}{cccc} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{array}\right]$$

- Gradient clipping 32 --- 25

Skip connections





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### Introduction to LSTMs

#### Outline

- Meet the Long short-term memory unit!
- LSTM architecture
- Applications



#### LSTMs: a memorable solution

- Learns when to remember and when to forget
- Basic anatomy:
  - A cell state
  - A hidden state
  - Multiple gates

Gates allow gradients to avoid vanishing and exploding

#### LSTMs: Based on previous understanding

Gates

Starting point with some irrelevant information



Cell and Hidden States

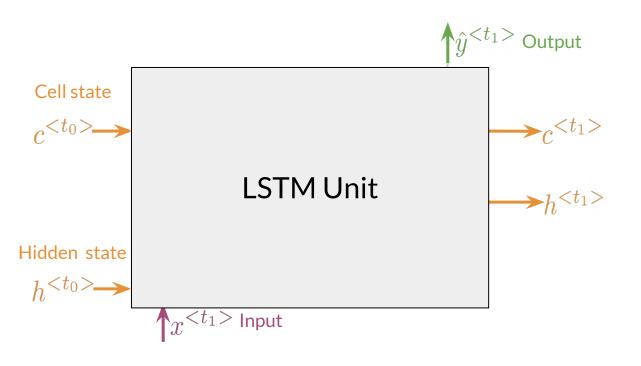
Discard anything irrelevant

Add important new information

Produce output



#### Gates in LSTM



- 1. Forget Gate:
- information that is no longer important
- 2. Input Gate: information to be stored
- 3. Output Gate: information to use at

current step

#### Applications of LSTMs

Next-character prediction

Chatbots



Music composition



Image captioning

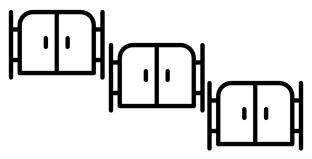


Speech recognition



#### Summary

- LSTMs offer a solution to vanishing gradients
- Typical LSTMs have a cell and three gates:
  - Forget gate
  - Input gate
  - Output gate

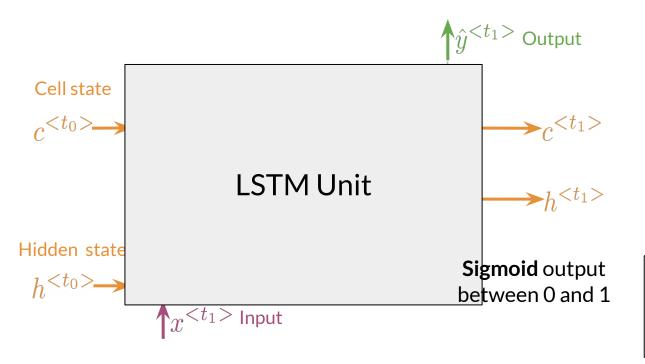




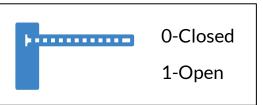
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### LSTM Architecture

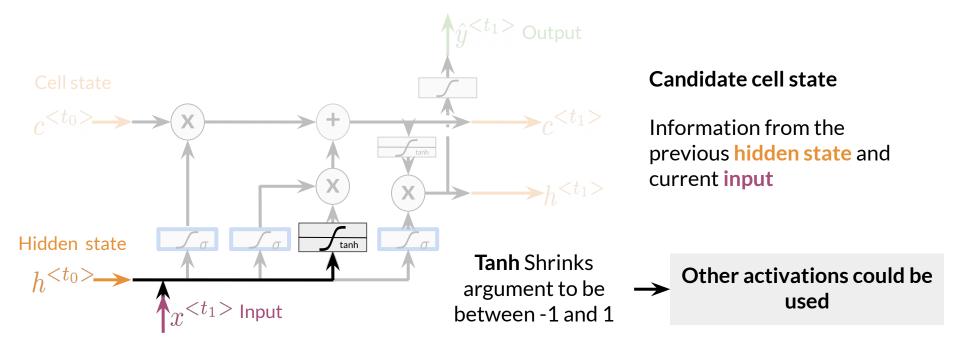
#### Gates in LSTM



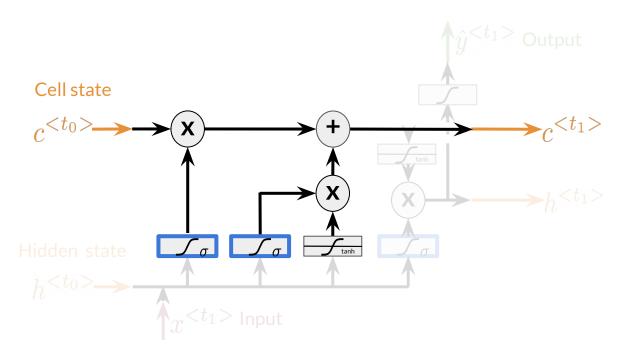
- **1. Forget Gate:** information that is no longer important
- 2. Input Gate: information to be stored
- 3. Output Gate: information to use at current step



#### Candidate Cell State



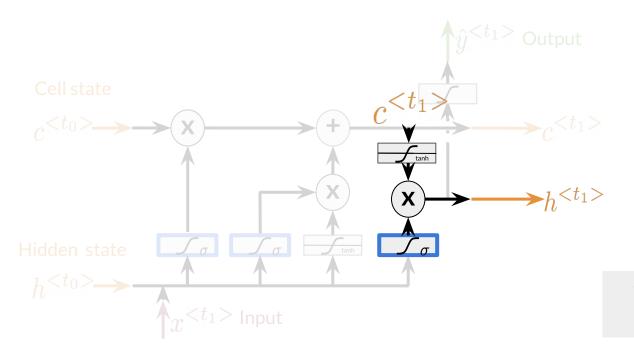
#### New Cell State



#### **New Cell state**

Add information from the candidate cell state using the forget and input gates

#### New Hidden State



#### **New Hidden State**

Select information from the new cell state using the output gate

The **Tanh** activation could be omitted

#### Summary

- LSTMs use a series of gates to decide which information to keep:
  - Forget gate decides what to keep
  - Input gate decides what to add
  - Output gate decides what the next hidden state will be



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# Introduction to Named Entity Recognition

#### What is Named Entity Recognition?

- Locates and extracts predefined entities from text
- Places, organizations, names, time and dates



#### Types of Entities



Thailand: Geographical



Google: Organization



Indian: Geopolitical

#### More Types of Entities



December: Time Indicator

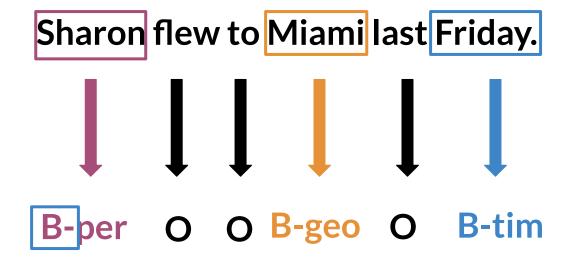


Egyptian statue: Artifact



Barack Obama: Person

#### Example of a labeled sentence



#### Applications of NER systems

- Search engine efficiency
- Recommendation engines
- Customer service
- Automatic trading











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# Training NERs: Data Processing

#### Outline

- Convert words and entity classes into arrays
- Token padding
- Create a data generator



#### Processing data for NERs

- Assign each class a number
- Assign each word a number

#### Token padding

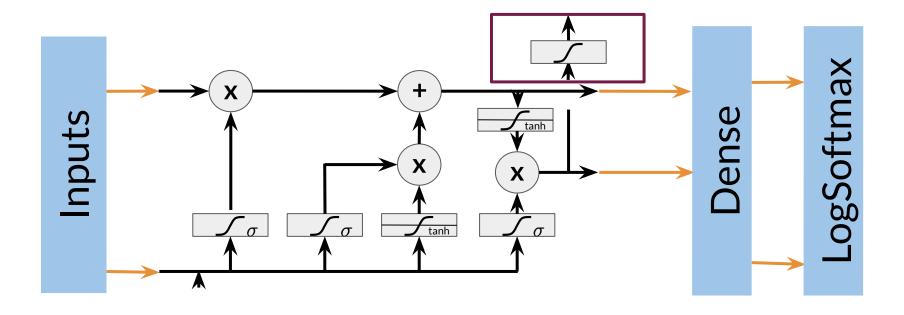
For LSTMs, all sequences need to be the same size.

- Set sequence length to a certain number
- Use the <PAD> token to fill empty spaces

#### Training the NER

- 1. Create a tensor for each input and its corresponding number
- 2. Put them in a batch → 64, 128, 256, 512 ...
- 3. Feed it into an LSTM unit
- 4. Run the output through a dense layer
- 5. Predict using a log softmax over K classes

#### Training the NER



#### Layers in TensorFlow

```
model = tf.keras.Sequential([
    tf.keras.layers.Embedding(),
    tf.keras.layers.LSTM(),
    tf.keras.layers.Dense(),
])
```

#### Summary

- Convert words and entities into same-length numerical arrays
- Train in batches for faster processing
- Run the output through a final layer and activation





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### Computing Accuracy

#### Evaluating the model

- 1. Pass test set through the model
- 2. Get arg max across the prediction array
- 3. Mask padded tokens
- 4. Compare outputs against test labels

#### Evaluating the model in Python

```
def masked_accuracy(y_true, y_pred):
    mask = ...
    y_pred_class = tf.math.argmax(y_pred, axis=-1)
    matches_true_pred = tf.equal(y_true, y_pred_class)
    matches_true_pred *= mask
    masked_acc = tf.reduce_sum(acc) / tf.reduce_sum(mask)
    return masked_acc
```

#### Summary

- If padding tokens, remember to mask them when computing accuracy
- Coding assignment!