

**Problem 1 (35 pts)**

We introduced the Motzkin polynomial in the lecture on Oct. 2nd, which is defined as:

$$p(x, y) = x^4y^2 + x^2y^4 - 3x^2y^2 + 1. \quad (1)$$

The result you will prove is as follows:

**Theorem 1.**  $p(x, y) \geq 0$  for every  $x, y \in \mathbb{R}$ , while it cannot be expressed as a sum of squares of polynomials.

1. (5 pts) Show that  $p(x, y)$  is always non-negative.

To argue no SoS expression, we need an extra definition:

**Definition 2** (Newton polytope). For an  $n$ -variate polynomial  $f(\mathbf{x}) = \sum_i c_i \mathbf{x}^{a_i}$ , where  $a_i \in \mathbb{N}^n$  and  $c_i \in \mathbb{R}_{\neq 0}$ , we assign the point  $a_i$  to the  $i$ -th term  $c_i \mathbf{x}^{a_i}$ . Then, the Newton polytope of  $f$  is the convex hull of these points in  $\mathbb{R}^n$ :

$$N(f) := \left\{ \sum_i \alpha_i a_i : \forall i \alpha_i \geq 0 \text{ and } \sum_i \alpha_i = 1 \right\}. \quad (2)$$

2. (5 pts) Prove that for two monomial terms  $a$  and  $b$  with assigned points  $p$  and  $q$ , the assigned point of  $ab$  is  $p + q$ .
3. (5 pts) For any SoS polynomial  $f = \sum_i g_i^2$ , show that  $N(f) \subseteq 2X$ , where  $X$  is the convex hull of all the points assigned to some terms in some  $g_i$ .  
 $2X := \{2a : a \in X\} \subset \mathbb{R}^n$ .
4. (5 pts) Prove that for two monomial terms  $a$  and  $b$ , if  $ab = c^2$ , then the assigned points  $p, q, r$  to  $a, b, c$  satisfy  $p + q = 2r$ .
5. (5 pts) For any SoS polynomial  $f = \sum_i g_i^2$ , show that  $N(f) = 2X$ , where  $X$  is the convex hull of all the points assigned to some terms in some  $g_i$ .
6. (5 pts) Draw the Newton polytope of the Motzkin polynomial  $p(x, y)$  in  $\mathbb{R}^2$ , and show that the only possibility of its SoS expression has the following form:

$$p(x, y) = \sum_i (\alpha_i x^2y + \beta_i xy^2 + \gamma_i xy + \delta_i)^2. \quad (3)$$

7. (5 pts) Finish the proof that  $p(x, y)$  is not a sum-of-squares of polynomials.

## Problem 2 (15 pts)

Show sum-of-squares proofs for the following inequalities:

1. **(5 pts)** Cauchy-Schwarz inequality:

$$\vdash \langle a, b \rangle \leq \frac{\epsilon}{2} \|a\|^2 + \frac{1}{2\epsilon} \|b\|^2 \quad (4)$$

and

$$\vdash \langle a, b \rangle^2 \leq \|a\|^2 \cdot \|b\|^2 \quad (5)$$

2. **(5 pts)** Triangle inequality:

$$\vdash (a + b + c)^2 \leq \frac{10}{3} (a^2 + b^2 + c^2) \quad (6)$$

3. **(5 pts)** Hölder inequality:

$$\vdash \sum_{i=1}^d a_i b_i^3 \leq \|a\|_4 \|b\|_4^3 \quad (7)$$

## Problem 3 (15 pts)

We have introduced the Bloch sphere representation of a qubit in the lecture on Oct. 7th. More specifically, a qubit can be represented by a 3-dimensional Bloch vector  $(a, b, c) \in \mathbb{R}^3$ :

$$\rho = \frac{I - a \cdot X - b \cdot Y - c \cdot Z}{2}, \quad (8)$$

where  $X, Y, Z \in \mathbb{C}^{2 \times 2}$  are Pauli matrices.

1. **(5 pts)** Find a condition on the Bloch vector  $(a, b, c)$  such that  $\rho$  is a pure state, i.e.,  $\rho = |\psi\rangle\langle\psi|$  for some unit vector  $|\psi\rangle \in \mathbb{C}^2$ .
2. **(10 pts)** Show that the density matrix representation and the Bloch sphere representation are equivalent.  
*Hint: the direction from the Bloch sphere to the density matrix requires some condition on the Bloch vector.*
3. **(5 pts)** Verify that for the Quantum Max-Cut's local term

$$h_{ij} = \frac{I - X_i X_j - Y_i Y_j - Z_i Z_j}{4} \quad (9)$$

on any edge  $ij \in E$ , and for any product state  $\rho = \rho_i \otimes \rho_j$ ,

$$\text{tr}[h_{ij}\rho] = \frac{1 - aa' - bb' - cc'}{4}, \quad (10)$$

where  $(a, b, c)$  and  $(a', b', c')$  are the Bloch vectors of  $\rho_i$  and  $\rho_j$ , respectively.