# Homework 5: Convolutional neural network (30 points)

In this part, you need to implement and train a convolutional neural network on the CIFAR-10 dataset with PyTorch.

# What is PyTorch?

PyTorch is a system for executing dynamic computational graphs over Tensor objects that behave similarly as numpy ndarray. It comes with a powerful automatic differentiation engine that removes the need for manual back-propagation.

# Why?

- Our code will now run on GPUs! Much faster training. When using a framework like PyTorch or TensorFlow you can harness the power of the GPU for your own custom neural network architectures without having to write CUDA code directly (which is beyond the scope of this class).
- We want you to be ready to use one of these frameworks for your project so you can experiment more efficiently than if you were writing every feature you want to use by hand.
- We want you to stand on the shoulders of giants! TensorFlow and PyTorch are both excellent frameworks that will make your lives a lot easier, and now that you understand their guts, you are free to use them:)
- We want you to be exposed to the sort of deep learning code you might run into in academia or industry.

# How can I learn PyTorch?

Justin Johnson has made an excellent <u>tutorial (https://github.com/jcjohnson/pytorch-examples)</u> for PyTorch.

You can also find the detailed <u>API doc (http://pytorch.org/docs/stable/index.html)</u> here. If you have other questions that are not addressed by the API docs, the <u>PyTorch forum (https://discuss.pytorch.org/)</u> is a much better place to ask than StackOverflow.

Install PyTorch and Skorch.

In [ ]: !pip install -q torch skorch torchvision torchtext

```
In []: import torch
import torch.nn as nn
import torch.nn.functional as F
import torch.optim as optim
import torchvision
import torchvision.transforms as transforms
import skorch
import sklearn
import numpy as np
import matplotlib.pyplot as plt
import pandas as pd
```

# **0. Tensor Operations (5 points)**

Tensor operations are important in deep learning models. In this part, you are required to get famaliar to some common tensor operations in PyTorch.

# 1) Tensor squeezing, unsqueezing and viewing

Tensor squeezing, unsqueezing and viewing are important methods to change the dimension of a Tensor, and the corresponding functions are <a href="torch.squeeze">torch.squeeze</a> (<a href="https://pytorch.org/docs/stable">https://pytorch.org/docs/stable</a> /torch.html#torch.unsqueeze) and <a href="torch.Tensor.view">torch.Tensor.view</a> (<a href="https://pytorch.org/docs/stable">https://pytorch.org/docs/stable</a> /tensors.html#torch.Tensor.view). Please read the documents of the functions, and finish the following practice.

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```
In []: # x is a tensor with size being (3, 2)
        x = torch.Tensor([[1, 2],
                           [3, 4],
                           [5, 6]])
        x.shape
        \# Add two new dimensions to x by using the function torch.unsqueeze,
        so that the size of x becomes (3, 1, 2, 1).
        x = torch.unsqueeze(x, 1)
        x = torch.unsqueeze(x, 3)
        print(x.shape)
        # Remove the two dimensions justed added by using the function torch.
        squeeze, and change the size of x back to (3, 2).
        x = torch.squeeze(x, 3)
        x = torch.squeeze(x, 1)
        \# x = torch.squeeze(x, (0, 2)) \# in torch 2.0 this will work
        print(x.shape)
        # x is now a two-dimensional tensor, or in other words a matrix. Now
        use the function torch. Tensor. view and change x to a one-dimensional
        vector with size being (6).
        x = x.view(6)
        print(x.shape)
        torch.Size([3, 1, 2, 1])
        torch.Size([3, 2])
        torch.Size([6])
```

## 2) Tensor concatenation and stack

Tensor concatenation and stack are operations to combine small tensors into big tensors. The corresponding functions are <a href="torch.cat">torch.cat</a> (<a href="https://pytorch.org/docs/stable/torch.html#torch.cat">https://pytorch.org/docs/stable/torch.html#torch.cat</a>) and <a href="torch.stack">torch.stack</a> (<a href="https://pytorch.org/docs/stable/torch.html#torch.stack">https://pytorch.org/docs/stable/torch.html#torch.stack</a>). Please read the documents of the functions, and finish the following practice.

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```
In []: \# x \text{ is a tensor with size being } (3, 2)
        x = torch.Tensor([[1, 2], [3, 4], [5, 6]])
        # y is a tensor with size being (3, 2)
        y = torch.Tensor([[-1, -2], [-3, -4], [-5, -6]])
        # Our goal is to generate a tensor z with size as (2, 3, 2), and z
        [0,:,:] = x, z[1,:,:] = y.
        # Use torch.stack to generate such a z
        z = torch.stack((x, y))
        # print(z)
        print(z[0,:,:])
        # Use torch.cat and torch.unsqueeze to generate such a z
        # print('torch.unsqueeze(x, 0) = ', torch.unsqueeze(x, 0))
        z = torch.cat((torch.unsqueeze(x, 0), torch.unsqueeze(y, 0)))
        # print(z)
        print(z[1,:,:])
        tensor([[1., 2.],
                [3., 4.],
                 [5., 6.]])
        tensor([[-1., -2.],
                 [-3., -4.],
                 [-5., -6.]
```

# 3) Tensor expansion

Tensor expansion is to expand a tensor into a larger tensor along singleton dimensions. The corresponding functions are <a href="tensor.expand">torch.Tensor.expand</a> (<a href="https://pytorch.org/docs/stable">https://pytorch.org/docs/stable</a> /tensors.html#torch.Tensor.expand\_as (<a href="https://pytorch.org/docs/stable">https://pytorch.org/docs/stable</a> /tensors.html#torch.Tensor.expand\_as). Please read the documents of the functions, and finish the following practice.

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```
In [ ]: # x is a tensor with size being (3)
        x = torch.Tensor([1, 2, 3])
        # Our goal is to generate a tensor z with size (2, 3), so that z
        [0,:,:] = x, z[1,:,:] = x.
        # [TO DO]
        # Change the size of x into (1, 3) by using torch.unsqueeze.
        x = torch.unsqueeze(x, 0)
        print(x.shape)
        # [TO DO]
        # Then expand the new tensor to the target tensor by using torch. Tens
        or.expand.
        z = x.expand(2, -1)
        # print(z)
        print(z.shape)
        torch.Size([1, 3])
        torch.Size([2, 3])
```

## 4) Tensor reduction in a given dimension

In deep learning, we often need to compute the mean/sum/max/min value in a given dimension of a tensor. Please read the document of <a href="mailto:torch.mean">torch.mean</a> (https://pytorch.org/docs/stable
/torch.html#torch.mean), torch.sum (https://pytorch.org/docs/stable/torch.html#torch.sum), torch.max
(https://pytorch.org/docs/stable/torch.html#torch.max), torch.min (https://pytorch.org/docs/stable
/torch.html#torch.min), torch.topk (https://pytorch.org/docs/stable/torch.html#torch.topk), and finish the following practice.

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```
In [ ]: \# x \text{ is a random tensor with size being } (10, 50)
        x = torch.randn(10, 50)
        # Compute the mean value for each row of x.
        # You need to generate a tensor x mean of size (10), and x mean[k, :]
        is the mean value of the k-th row of x.
        # dim = 1: eliminate the second(1)'s dimension
        x mean = torch.mean(x, dim=1)
        # print(x mean)
        print(x mean[3, ])
        \# Compute the sum value for each row of x.
        # You need to generate a tensor x sum of size (10).
        x sum = torch.sum(x, dim=1)
        print(x sum.shape)
        # Compute the max value for each row of x.
        # You need to generate a tensor x max of size (10).
        (x max, indices) = torch.max(x, dim=1)
        # print(x max, indices)
        print(x max.shape)
        \# Compute the min value for each row of x.
        # You need to generate a tensor x min of size (10).
        (x min, indices) = torch.min(x, dim=1)
        print(x_min.shape)
        # Compute the top-5 values for each row of x.
        \# (wrong) You need to generate a tensor x mean of size (10, 5), and x
         top[k, :] is the top-5 values of each row in x.
        # (right) You need to generate a tensor, top-5 values of each row
        (x \times top, indices) = torch.topk(x, k=5, dim=1)
        print((x xtop.shape))
        tensor(0.2702)
        torch.Size([10])
        torch.Size([10])
        torch.Size([10])
        torch.Size([10, 5])
```

# **Convolutional Neural Networks**

Implement a convolutional neural network for image classification on CIFAR-10 dataset.

CIFAR-10 is an image dataset of 10 categories. Each image has a size of 32x32 pixels. The following code will download the dataset, and split it into train and test. For this question, we use the default validation split generated by Skorch.

```
In [ ]: train = torchvision.datasets.CIFAR10("./data", train=True, download=T
    rue)
    test = torchvision.datasets.CIFAR10("./data", train=False, download=T
    rue)

Files already downloaded and verified
Files already downloaded and verified
```

The following code visualizes some samples in the dataset. You may use it to debug your model if necessary.

```
In []: def plot(data, labels=None, num_sample=5):
    n = min(len(data), num_sample)
    for i in range(n):
        plt.subplot(1, n, i+1)
        plt.imshow(data[i], cmap="gray")
        plt.xticks([])
        plt.yticks([])
        if labels is not None:
            plt.title(labels[i])

    train.labels = [train.classes[target] for target in train.targets]
    plot(train.data, train.labels)
```









## 1) Basic CNN implementation

Consider a basic CNN model

- It has 3 convolutional layers, followed by a linear layer.
- Each convolutional layer has a kernel size of 3, a padding of 1.
- ReLU activation is applied on every hidden layer.

Please implement this model in the following section. The hyperparameters is then be tuned and you need to fill the results in the table.

#### a) Implement convolutional layers (10 Points)

Implement the initialization function and the forward function of the CNN.

```
In [ ]: class CNN(nn.Module):
          def init (self, channels):
            super(CNN, self). init ()
            # implement parameter definitions here
            # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE)****
            # print('channels = ', channels)
            self.channels = channels
            self.backbone = nn.Sequential(
                nn.Conv2d(3, channels, kernel size=3, padding=1),
                nn.ReLU(),
                nn.Conv2d(channels, channels, kernel size=3, padding=1),
                nn.ReLU(),
                nn.Conv2d(channels, channels, kernel size=3, padding=1),
                nn.ReLU(),
            )
            # regard the image has the same size 32 * 32
            self.f1 = nn.Linear(channels * 32 * 32, 10)
            # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE)****
          def forward(self, images):
            # implement the forward function here
            # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE)****
            images = self.backbone(images)
            # flatten the image
            images = images.view(images.shape[0], -1)
            images = self.fl(images)
            # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE)****
            return images
```

#### b) Tune hyperparameters

Train the CNN model on CIFAR-10 dataset. We can tune the number of channels, optimizer, learning rate and the number of epochs for best validation accuracy.

```
In [ ]: # implement hyperparameters, you can select and modify the hyperparam
        eters by yourself here.
        optimizer = [torch.optim.SGD, torch.optim.Adam]
        learning rate = [1e-3, 1e-2]
        channel = [128, 256, 512]
        train data normalized = torch.Tensor(train.data/255)
        train data normalized = train data normalized.permute(0,3,1,2)
        for l in learning rate:
          for o in optimizer:
            for c in channel:
              print(f'The channel was {c}, the learning rate was {l} and the
        optimizer was {str(o)}')
              cnn = CNN(channels = c)
              model = skorch.NeuralNetClassifier(cnn, criterion=torch.nn.Cros
        sEntropyLoss,
                                            device="cuda",
                                            optimizer=o,
                                           # optimizer momentum=0.90,
                                            lr=l,
                                            max epochs=50,
                                            batch size=32,
                                            callbacks=[skorch.callbacks.EarlyS
        topping(lower_is_better=True)])
              # implement input normalization & type cast here
              model.fit(train data normalized, np.asarray(train.targets))
```

The channel was 128, the learning rate was 0.001 and the optimizer w as <class 'torch.optim.sgd.SGD'>  $\,$ 

epoch	train_loss	_	valid_loss	dur
1	2.1158	0.3160	1.9293	8.0129
2	1.8676	0.3645	1.8060	7.0036
3	1.7704	0.3984	1.7152	7.0300
4	1.6917	0.4253	1.6462	7.0520
5	1.6336	0.4405	1.5969	7.0703
6	1.5857	0.4537	1.5550	7.0006
7	1.5434	0.4653	1.5178	7.0303
8 9	1.5062 1.4740	0.4777 0.4851	1.4855 1.4584	6.9867 7.0018
10	1.4458	0.4831	1.4353	7.0018
11	1.4201	0.4999	1.4149	7.0238
12	1.3961	0.5051	1.3962	7.0131
13	1.3729	0.5109	1.3787	7.0701
14	1.3496	0.5166	1.3605	7.0898
15	1.3254	0.5228	1.3404	7.0641
16	1.3003	0.5288	1.3189	7.0448
17	1.2751	0.5329	1.2981	7.0350
18	1.2510	0.5411	1.2792	7.0506
19	1.2286	0.5472	1.2625	7.0371
20	1.2082	0.5523	1.2481	7.0452
21	1.1893	0.5558	1.2354	7.0610
22	1.1714	0.5615	1.2241	7.0859
23	1.1543	0.5647	1.2139	7.0981
24 25	1.1376 1.1213	0.5670 0.5722	1.2042 1.1951	7.1177 7.1225
26	1.1052	0.5722	1.1863	7.1223
27	1.0892	0.5781	1.1781	7.1389
28	1.0733	0.5832	1.1701	7.1626
29	1.0574	0.5866	1.1624	7.1693
30	1.0415	0.5895	1.1553	7.1629
31	1.0258	0.5915	1.1485	7.1485
32	1.0102	0.5954	1.1422	7.1612
33	0.9948	0.5981	1.1362	7.1532
34	0.9796	0.6016	1.1305	7.1491
35	0.9645	0.6052	1.1253	7.1466
36	0.9495	0.6078	1.1203	7.1506
37	0.9347	0.6089	1.1159	7.1595
38	0.9200	0.6109	1.1117	7.1716
39 40	0.9054 0.8907	0.6129 0.6141	1.1079 1.1043	7.1662 7.1629
40	0.8761	0.6160	1.1043	7.1029
42	0.8615	0.6161	1.0983	7.1671
43	0.8469	0.6193	1.0959	7.1680
44	0.8323	0.6205	1.0939	7.1720
45	0.8176	0.6211	1.0921	7.1611
46	0.8030	0.6235	1.0907	7.1709
47	0.7884	0.6242	1.0898	7.1742
48	0.7738	0.6250	1.0895	7.1943
49	0.7593	0.6255	1.0895	7.1965
50	0.7448	0.6266	1.0902	7.1881

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The channel was 256, the learning rate was 0.001 and the optimizer w as <class 'torch.optim.sgd.SGD'>

epoch	train_loss		valid loss	dur
1	2.0442	0.3434	1.8783	16.4868
2	1.8054	0.3976	1.7304	16.5860
3	1.7004	0.4273	1.6449	16.6578
4	1.6279	0.4455	1.5829	16.6932
5	1.5640	0.4653	1.5236	16.7557
6	1.5044	0.4785	1.4709	16.7104
7	1.4544	0.4940	1.4321	16.7861
8	1.4143	0.5052	1.4030	16.7697
9	1.3789	0.5118	1.3771	16.7234
10	1.3442	0.5204	1.3506	16.7258
11	1.3091	0.5285	1.3241	16.5999
12	1.2755	0.5356	1.3007	16.5341
13	1.2457	0.5426	1.2806	16.4736
14	1.2194	0.5506	1.2631	16.4122
15	1.1957	0.5565	1.2472	16.3709
16	1.1736	0.5612	1.2330	16.4181
17	1.1525	0.5658	1.2197	16.4600
18	1.1320	0.5706	1.2072	16.4435
19	1.1117	0.5745	1.1960	16.4278
20	1.0917	0.5785	1.1856	16.4144
21	1.0720	0.5834	1.1760	16.4010
22	1.0525	0.5882	1.1671	16.3734
23	1.0335	0.5924	1.1589	16.3465
24	1.0148	0.5960	1.1515	16.3348
25	0.9964	0.5974	1.1447	16.3804
26	0.9784	0.5993	1.1385	16.3272
27	0.9607	0.6022	1.1328	16.3608
28	0.9431	0.6049	1.1276	16.3947
29	0.9255	0.6067	1.1225	16.4668
30	0.9080	0.6086	1.1179	16.5375
31	0.8904	0.6086	1.1137	16.5316
32	0.8726	0.6107	1.1097	16.6019
33	0.8547	0.6129	1.1059	16.6545
34	0.8366	0.6152	1.1026	16.6232
35	0.8184	0.6159	1.0996	16.6570
36	0.8000	0.6172	1.0973	16.5988
37	0.7814	0.6189	1.0957	16.5260
38	0.7628	0.6214	1.0950	16.5240
39	0.7441	0.6227	1.0952	16.4719
40	0.7254	0.6224	1.0965	16.4138
41	0.7067	0.6222	1.0988	16.4675
42	0.6880	0.6223	1.1025	16.4783

Stopping since valid\_loss has not improved in the last 5 epochs. The channel was 512, the learning rate was 0.001 and the optimizer w as <class 'torch.optim.sgd.SGD'>

dur	valid_loss	valid_acc	train_loss	epoch
46.1175	1.8191	0.3623	1.9867	1
46.1142	1.6693	0.4171	1.7513	2
45.7091	1.5838	0.4468	1.6400	3

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4	1.5603	0.4680	1.5144	45.3723
5	1.4935	0.4853	1.4582	45.4629
6	1.4396	0.5000	1.4170	45.4543
7	1.3952	0.5077	1.3844	45.3653
8	1.3541	0.5188	1.3529	45.4058
9	1.3127	0.5287	1.3208	45.8955
10	1.2729	0.5375	1.2915	46.3144
11	1.2376	0.5454	1.2668	46.4242
12	1.2072	0.5545	1.2464	46.3143
13	1.1800	0.5601	1.2289	45.7325
14	1.1545	0.5658	1.2133	45.9819
15	1.1300	0.5724	1.1989	45.9304
16	1.1059	0.5773	1.1857	45.4933
17	1.0821	0.5817	1.1732	45.3715
18	1.0587	0.5876	1.1618	45.4426
19	1.0358	0.5915	1.1513	45.5602
20	1.0134	0.5973	1.1418	46.1363
21	0.9915	0.6005	1.1330	46.3644
22	0.9700	0.6039	1.1250	45.7330
23	0.9487	0.6072	1.1174	45.8170
24	0.9277	0.6107	1.1103	45.4220
25	0.9067	0.6116	1.1037	45.4124
26	0.8858	0.6138	1.0975	45.3856
27	0.8651	0.6175	1.0919	45.7772
28	0.8444	0.6187	1.0870	46.3898
29	0.8239	0.6195	1.0828	46.3944
30	0.8035	0.6216	1.0797	46.1950
31	0.7833	0.6235	1.0776	45.4395
32	0.7632	0.6250	1.0765	45.5423
33	0.7433	0.6255	1.0766	45.4350
34	0.7235	0.6264	1.0779	45.3818
35	0.7037	0.6283	1.0803	45.3848
36	0.6839	0.6295	1.0839	45.7210

Stopping since valid\_loss has not improved in the last 5 epochs. The channel was 128, the learning rate was 0.001 and the optimizer w as <class 'torch.optim.adam.Adam'>

dur	valid_loss	valid_acc	train_loss	epoch
7.5850	1.1889	0.5829	1.4839	1
7.5615	1.0072	0.6502	1.0473	2
7.5612	1.0422	0.6484	0.8172	3
7.5515	1.2363	0.6250	0.6176	4
7.5729	1.5414	0.5978	0.4350	5
7.5781	1.8818	0.6011	0.3217	6

Stopping since valid\_loss has not improved in the last 5 epochs. The channel was 256, the learning rate was 0.001 and the optimizer w as <class 'torch.optim.adam.Adam'>

dur	valid_loss	valid_acc	train_loss	epoch
16.8513	1.3801	0.5077	1.7182	1
16.8689	1.2567	0.5565	1.2644	2
16.9014	1.1950	0.5825	1.0805	3
16.8458	1.2774	0.5819	0.8733	4
16.8153	1.5078	0.5767	0.6655	5

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6	0.5032	0.5598	1.8750	16.8164
7	0.3858	0.5273	2.4469	16.8811

Stopping since valid\_loss has not improved in the last 5 epochs. The channel was 512, the learning rate was 0.001 and the optimizer w as <class 'torch.optim.adam.Adam'>

train_loss	valid_acc	valid_loss	dur
2.3566	0.1000	2.3026	46.3657
2.3028	0.1000	2.3026	46.2071
2.3028	0.1000	2.3026	45.8301
2.3028	0.1000	2.3026	46.2545
2.3028	0.1000	2.3026	46.7461
	2.3028 2.3028 2.3028	2.3566 0.1000 2.3028 0.1000 2.3028 0.1000 2.3028 0.1000	2.3566       0.1000       2.3026         2.3028       0.1000       2.3026         2.3028       0.1000       2.3026         2.3028       0.1000       2.3026         2.3028       0.1000       2.3026

Stopping since valid\_loss has not improved in the last 5 epochs. The channel was 128, the learning rate was 0.01 and the optimizer was <class 'torch.optim.sgd.SGD'>

train_loss	valid_acc	valid_loss	dur
1.7802	0.4473	1.5509	7.2227
1.4604	0.4953	1.3926	7.1891
1.3259	0.5312	1.2938	7.1699
1.2092	0.5520	1.2376	7.1683
1.1174	0.5713	1.1887	7.1695
1.0313	0.5887	1.1468	7.1558
0.9441	0.6095	1.1049	7.1596
0.8574	0.6212	1.0799	7.1328
0.7744	0.6294	1.0816	7.1242
0.6936	0.6324	1.1068	7.1195
0.6121	0.6323	1.1574	7.1237
0.5278	0.6290	1.2431	7.1241
	1.7802 1.4604 1.3259 1.2092 1.1174 1.0313 0.9441 0.8574 0.7744 0.6936 0.6121	1.7802 0.4473 1.4604 0.4953 1.3259 0.5312 1.2092 0.5520 1.1174 0.5713 1.0313 0.5887 0.9441 0.6095 0.8574 0.6212 0.7744 0.6294 0.6936 0.6324 0.6121 0.6323	1.7802       0.4473       1.5509         1.4604       0.4953       1.3926         1.3259       0.5312       1.2938         1.2092       0.5520       1.2376         1.1174       0.5713       1.1887         1.0313       0.5887       1.1468         0.9441       0.6095       1.1049         0.8574       0.6212       1.0799         0.7744       0.6294       1.0816         0.6936       0.6324       1.1068         0.6121       0.6323       1.1574

Stopping since valid\_loss has not improved in the last 5 epochs. The channel was 256, the learning rate was 0.01 and the optimizer was <class 'torch.optim.sgd.SGD'>

epoch	train_loss	valid_acc	valid_loss	dur
1	1.7304	0.4675	1.4906	16.3829
2	1.3863	0.5328	1.3044	16.5476
3	1.2254	0.5659	1.2175	16.5300
4	1.1000	0.5942	1.1371	16.5410
5	0.9843	0.6187	1.0781	16.5368
6	0.8811	0.6341	1.0504	16.4840
7	0.7862	0.6431	1.0436	16.4648
8	0.6937	0.6450	1.0633	16.3988
9	0.5998	0.6420	1.1201	16.3038
10	0.5008	0.6338	1.2165	16.3112
11	0.3968	0.6297	1.3476	16.3209

Stopping since valid\_loss has not improved in the last 5 epochs. The channel was 512, the learning rate was 0.01 and the optimizer was <class 'torch.optim.sgd.SGD'>

dur	valid_loss	valid_acc	train_loss	epoch
45.7170	1.4455	0.4814	1.7122	1
45.8045	1.2749	0.5414	1.3445	2
46.0595	1.1645	0.5824	1.1590	3
46.3534	1.0983	0.6085	1.0109	4

```
5
        0.8835
                     0.6283
                                   1.0726 46.3809
6
        0.7679
                     0.6391
                                   1.0765 46.4801
7
        0.6554
                     0.6344
                                   1.1211 46.4627
8
        0.5380
                     0.6302
                                   1.2184 45.9412
9
        0.4127
                     0.6206
                                   1.3871 45.4630
```

Stopping since valid\_loss has not improved in the last 5 epochs. The channel was 128, the learning rate was 0.01 and the optimizer was s <class 'torch.optim.adam.Adam'>

epoch	train_loss	valid_acc	valid_loss	dur
1	2.9942	0.1000	2.3039	7.4479
2	2.3042	0.1000	2.3039	7.4428
3	2.3042	0.1000	2.3039	7.4505
4	2.3042	0.1000	2.3039	7.4814
5	2.3042	0.1000	2.3039	7.4697

Stopping since valid\_loss has not improved in the last 5 epochs. The channel was 256, the learning rate was 0.01 and the optimizer was <class 'torch.optim.adam.Adam'>

epoch	train_loss	valid_acc	valid_loss	dur
1	8.8825	0.1000	2.3039	16.5144
2	2.3042	0.1000	2.3039	16.7190
3	2.3042	0.1000	2.3039	16.8494
4	2.3042	0.1000	2.3039	16.9722
5	2.3042	0.1000	2.3039	17.0036

Stopping since valid\_loss has not improved in the last 5 epochs. The channel was 512, the learning rate was 0.01 and the optimizer was <class 'torch.optim.adam.Adam'>

epoch	train_loss	valid_acc	valid_loss	dur
1	55.4451	0.1000	2.3038	46.9036
2	2.3042	0.1000	2.3039	46.8506
3	2.3042	0.1000	2.3039	46.5957
A	2 2242	0 1000	2 2222	45 6646

Write down **validation accuracy** of your model under different hyperparameter settings. Note the validation set is automatically split by Skorch during model.fit().

#### Learning Rate = 10<sup>-3</sup>:

Adam	SGD	#channel for each layer \ optimizer
0.6502	0.6266	128
0.5825	0.6227	256
0.1000	0.6295	512

#### Learning Rate = 10<sup>-2</sup>:

Adam	SGD	#channel for each layer \ optimizer
0.1000	0.6324	128
0.1000	0.6450	256
0.1000	0.6391	512

# 2) Full CNN implementation (10 points)

Based on the CNN in the previous question, implement a full CNN model with max pooling layer.

- Add a max pooling layer after each convolutional layer.
- Each max pooling layer has a kernel size of 2 and a stride of 2.

Please implement this model in the following section. The hyperparameters is then be tuned and fill the results in the table. You are also required to complete the questions.

#### a) Implement max pooling layers

Similar to the CNN implementation in previous question, implement max pooling layers.

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```
In [ ]: class CNN MaxPool(nn.Module):
          def init (self, channels):
            super(CNN MaxPool, self). init ()
            # implement parameter definitions here
            # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE)****
            self.channels = channels
            self.backbone = nn.Sequential(
                nn.Conv2d(3, channels, kernel size=3, padding=1),
                nn.BatchNorm2d(channels),
                nn.ReLU(),
                nn.MaxPool2d(kernel size=2, stride=2),
                nn.Dropout2d(p=0.2),
                nn.Conv2d(channels, channels, kernel size=3, padding=1),
                nn.BatchNorm2d(channels),
                nn.ReLU(),
                nn.MaxPool2d(kernel size=2, stride=2),
                nn.Dropout2d(p=0.2),
                nn.Conv2d(channels, channels, kernel size=3, padding=1),
                nn.BatchNorm2d(channels),
                nn.ReLU(),
                nn.MaxPool2d(kernel size=2, stride=2),
                nn.Dropout2d(p=0.2)
            )
            # regard the image has the same size 32 * 32
            # after 3 max pooling, the size is 32 / 2 / 2 / 2 = 4
            self.fl = nn.Linear(channels * 4 * 4, 10)
            # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE)*****
          def forward(self, images):
            # implement the forward function here
            images = self.backbone(images)
            # flatten the image
            images = images.view(images.shape[0], -1)
            images = self.fl(images)
            # *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE)****
            return images
```

#### b) Tune hyperparameters

Based on the better optimizer found in the previous problem, we can tune the number of channels and learning rate for best validation accuracy.

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```
In [ ]: | # implement hyperparameters, you can select and modify the hyperparam
        eters by yourself here.
        learning rate = [1e-4, 1e-3, 1e-2]
        channel = [128, 256, 512]
        # Select the better optimizer by the result shown in the previous pro
        blem, you can select and modify it by yourself here.
        better optimizer = torch.optim.Adam
        train data normalized = torch.Tensor(train.data/255)
        train data normalized = train data normalized.permute(0,3,1,2)
        for l in learning rate:
            for c in channel:
              print(f'The channel was {c}, the learning rate was {l}')
              cnn = CNN MaxPool(channels = c)
              model = skorch.NeuralNetClassifier(cnn, criterion=torch.nn.Cros
        sEntropyLoss,
                                            device="cuda",
                                            optimizer=better optimizer,
                                            lr=l,
                                            max epochs=50,
                                            batch size=32 * 8,
                                            callbacks=[skorch.callbacks.EarlyS
        topping(lower is better=True)])
              # implement input normalization & type cast here
              model.fit(train data normalized, np.asarray(train.targets))
```

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		learning rate		ala
epoch	train_loss 	valid_acc	valid_loss	dur
1	1.8045	0.4908	1.4717	3.2317
2	1.4935	0.5442	1.3015	3.1580
3	1.3585	0.5886	1.1966	3.1443
4	1.2596	0.6146	1.1193	3.1602
5	1.1847	0.6342	1.0625	3.1622
6	1.1234	0.6518	1.0135	
7	1.0764	0.6625	0.9778	
8	1.0358	0.6719	0.9484	3.1638
9	0.9967	0.6813	0.9210	
10	0.9698	0.6923	0.8992	3.1662
11	0.9418	0.6989	0.8795	3.1710
12	0.9159	0.7008	0.8661	3.1699
13	0.8987	0.7088	0.8503	3.1751
14	0.8736	0.7155	0.8327	3.1795
15	0.8550	0.7192	0.8209	3.1766
16	0.8351	0.7245	0.8086	3.1782
17	0.8160	0.7282	0.7994	
18	0.8006	0.7312	0.7842	
19	0.7876	0.7328	0.7803	3.1829
20	0.7678	0.7339	0.7716	3.1953
21	0.7583	0.7398	0.7576	3.1882
22	0.7469	0.7415	0.7519	3.1923
23	0.7304	0.7401	0.7504	3.1926
24	0.7186	0.7418	0.7470	3.1946
25	0.7094	0.7458	0.7346	3.1994
26	0.6931	0.7453	0.7383	3.1950
27	0.6847	0.7467	0.7313	3.1931
28	0.6752	0.7515	0.7170	3.1928
29	0.6627	0.7544	0.7119	3.1875
30	0.6524	0.7511	0.7180	3.1824
31	0.6418	0.7569	0.7052	3.1839
32	0.6332	0.7589	0.6995	3.1801
33	0.6226	0.7579	0.6945	3.1792
34	0.6115	0.7575	0.6946	3.1858
35	0.6090	0.7609	0.6869	3.1795
36	0.5965	0.7622	0.6868	3.1880
37	0.5886	0.7613	0.6831	3.1852
38	0.5806	0.7669	0.6744	3.1756
39	0.5691	0.7656	0.6816	3.1742
40	0.5664	0.7697	0.6742	3.1783
41	0.5545	0.7657	0.6778	3.1825
42	0.5465	0.7708	0.6708	3.1714
43	0.5400	0.7692	0.6658	3.1728
44	0.5343	0.7663	0.6744	3.1602
45	0.5261	0.7737	0.6608	3.1719
46	0.5252	0.7717	0.6618	3.1673
47	0.5109	0.7700	0.6638	3.1695
48	0.5050	0.7716	0.6618	3.1599
49	0.4969	0.7755	0.6571	3.1588
50	0.4920	0.7733	0.6619	3.1607
The channel	was 256, the	learning rate	was 0.0001	

The channel was 256, the learning rate was 0.0001

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epoch	train_loss	valid_acc	valid_loss	dur
1	1.6336	0.5527	1.2881	6.1969
2	1.2999	0.6045	1.1220	6.1984
3	1.1574	0.6433	1.0264	6.2124
4	1.0544	0.6692	0.9555	6.2015
5	0.9872	0.6815	0.9150	6.2078
6	0.9240	0.6962	0.8753	
7	0.8772	0.7051	0.8505	6.2226
8	0.8395	0.7134	0.8215	6.1924
9	0.8040	0.7197		6.1769
10	0.7724	0.7305	0.7737	6.1587
11	0.7434	0.7366	0.7681	6.1682
12	0.7170	0.7445	0.7461	6.1463
13	0.6899	0.7460	0.7380	6.1383
14	0.6647	0.7519	0.7267	6.1318
15 16	0.6392	0.7543	0.7104	6.1295
16	0.6187	0.7574	0.7044	
17	0.5990	0.7591	0.6985	
18	0.5774	0.7591	0.6911	
19	0.5607	0.7651	0.6901	6.0741
20	0.5382	0.7668	0.6811	6.1156
21	0.5218	0.7682	0.6737	6.1021
22	0.5011	0.7710	0.6630	6.1216
23	0.4857	0.7717	0.6631	6.0929
24	0.4669	0.7751	0.6545	6.1075
25 26	0.4572	0.7752	0.6540	6.1212
26 27	0.4396	0.7743	0.6600	6.1145
27	0.4239	0.7756	0.6529	6.1094
28	0.4048	0.7788	0.6474	
29	0.3948	0.7783 0.7789	0.6507	6.1339
30 31	0.3807 0.3655	0.7767	0.6526 0.6542	6.1389 6.1480
32			0.6444	
	0.3515 0.3393	0.7814 0.7840	0.6455	
33 34	0.3247	0.7816	0.6497	
35	0.3153	0.7810	0.6487	
35 36	0.3007	0.7860	0.6388	
37	0.2920	0.7851	0.6449	
38	0.2808	0.7826	0.6554	
39	0.2743	0.7845	0.6524	
40		0.7842		
	since valid los			
	el was 512, the			tust 5 epochs.
	train loss			dur
	_	_		
1	1.4867		1.1599	13.7566
2		0.6544		
3		0.6778		13.9526
4	0.8851	0.7036	0.8458	14.0137
5	0.8155	0.7077	0.8244	14.0539
6	0.7579	0.7197	0.7897	
7	0.7093	0.7321	0.7623	14.0722
,	0.6640	0.7351	0.7400	

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0.7351

0.7490 14.0787

0.6648

```
9
          0.6232
                       0.7519
                                     0.7108
                                             14.0801
10
          0.5813
                       0.7579
                                     0.6961
                                             13.9528
                                             13.9407
11
          0.5446
                       0.7622
                                     0.6848
12
                                     0.6737
          0.5114
                       0.7663
                                             14.0248
13
          0.4788
                                     0.6776
                                             13.9431
                       0.7650
14
          0.4443
                       0.7624
                                     0.6877
                                             14.0306
15
          0.4155
                       0.7715
                                     0.6587
                                             14.0328
16
          0.3874
                       0.7740
                                     0.6575
                                             13.8163
17
          0.3581
                       0.7736
                                     0.6607
                                             13.7884
18
          0.3337
                       0.7801
                                     0.6459
                                             13.7710
19
          0.3078
                       0.7799
                                             13.7418
                                     0.6515
20
                                             13.6890
          0.2844
                       0.7740
                                     0.6669
21
          0.2644
                                     0.6733
                                             13.6630
                       0.7758
22
          0.2433
                       0.7715
                                     0.6883
                                             13.8098
```

Stopping since valid\_loss has not improved in the last 5 epochs.

The channel was 128, the learning rate was 0.001

epoch	train_loss	valid acc	valid_loss	dur
1	1.5918	0.5432	1.2453	3.1035
2	1.1911	0.6467	1.0016	3.1075
3	1.0245	0.6690	0.9421	3.1208
4	0.9245	0.7108	0.8356	3.1018
5	0.8580	0.7191	0.8196	3.1075
6	0.8062	0.7294	0.7835	3.1001
7	0.7627	0.7342	0.7764	3.0934
8	0.7159	0.7441	0.7422	3.0959
9	0.6845	0.7535	0.7172	3.1002
10	0.6500	0.7504	0.7301	3.1135
11	0.6233	0.7222	0.8134	3.1064
12	0.5967	0.7500	0.7301	3.1062
13	0.5679	0.7382	0.7676	3.0977
14	0.5419	0.7573	0.7070	3.1099
15	0.5189	0.7275	0.8109	3.1219
16	0.5012	0.7383	0.7763	3.1253
17	0.4856	0.7759	0.6584	3.1117
18	0.4610	0.7671	0.6920	3.1101
19	0.4503	0.7484	0.7565	3.1313
20	0.4330	0.7603	0.7146	3.1280
21	0.4164	0.7584	0.7378	3.1179
Stonning	since valid loss			

Stopping since valid\_loss has not improved in the last 5 epochs.

The channel was 256, the learning rate was 0.001

epoch	train_loss	valid_acc	valid_loss	dur
1	1.6579	0.5450	1.2561	6.1184
2	1.1583	0.6407	1.0060	6.1430
3	0.9712	0.6851	0.9020	6.1335
4	0.8689	0.7178	0.8076	6.1475
5	0.7908	0.7155	0.8156	6.1657
6	0.7173	0.7254	0.7869	6.1685
7	0.6691	0.7162	0.8146	6.1811
8	0.6200	0.7231	0.8026	6.2037
9	0.5697	0.7593	0.6993	6.1986
10	0.5247	0.7511	0.7574	6.2029
11	0.4784	0.7777	0.6565	6.2012

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```
12
             0.4481
                         0.7608
                                      0.7093 6.2085
    13
             0.4193
                         0.7678
                                      0.7088
                                             6.2271
    14
             0.3876
                         0.7664
                                      0.7174
                                             6.2404
             0.3628
                       0.7653
                                      0.7162 6.2230
Stopping since valid loss has not improved in the last 5 epochs.
The channel was 512, the learning rate was 0.001
         train loss valid acc
                                  valid loss
                                                dur
        -----
                                             -----
     1
                         0.5239
                                      1.3267
             1.8660
                                             13.8909
     2
             1.2144
                         0.6288
                                      1.0492
                                             14.0318
     3
             0.9933
                       0.6585
                                      1.0033
                                             14.0499
     4
                       0.7019
             0.8716
                                      0.8538
                                             14.0592
     5
             0.7827
                        0.7338
                                      0.7637
                                             13.9973
     6
             0.7105
                       0.7051
                                      0.8631
                                             13.9783
                       0.7081
     7
             0.6455
                                      0.8460
                                             14.0149
     8
             0.5830
                       0.7151
                                      0.8477
                                             14.0355
     9
             0.5239
                                      0.7621
                        0.7465
                                             14.0760
    10
             0.4676
                        0.7337
                                      0.8000
                                             14.0717
    11
             0.4258
                       0.7354
                                      0.8330
                                             13.8616
    12
             0.4056
                        0.7492
                                      0.8092
                                             13.8587
    13
             0.3547
                         0.7633
                                      0.7739
                                             13.9999
Stopping since valid loss has not improved in the last 5 epochs.
The channel was 128, the learning rate was 0.01
 epoch
         train loss valid acc valid loss
                                                dur
-----
        -----
                                             -----
     1
             2.4661
                         0.3902
                                      1.6711
                                             3.1283
     2
             1.4921
                         0.5048
                                      1.3910
                                             3.1077
     3
             1.2807
                       0.5838
                                      1.1837
                                             3.1125
     4
             1.1125
                       0.6630
                                      0.9687
                                             3.0927
     5
             0.9888
                       0.6790
                                      0.9151
                                             3.0898
                       0.6382
0.6774
     6
             0.9001
                                      1.0577
                                             3.0995
     7
             0.8429
                                      0.9419
                                             3.0966
     8
             0.7930
                       0.7120
                                      0.8200
                                             3.0852
     9
             0.7398
                       0.6705
                                      0.9908
                                             3.0919
    10
             0.7032
                       0.7199
                                      0.8102
                                             3.0905
                       0.7302
    11
             0.6733
                                      0.7912
                                             3.0858
    12
             0.6391
                       0.7149
                                      0.8442 3.0781
    13
             0.6147
                        0.7170
                                      0.8561
                                             3.0809
    14
             0.5882
                         0.7029
                                      0.8850
                                             3.0770
             0.5654
    15
                         0.7282
                                      0.8245 3.0779
Stopping since valid loss has not improved in the last 5 epochs.
The channel was 256, the learning rate was 0.01
                    valid acc valid loss
 epoch
         train loss
                                                dur
        -----
                                -----
                                             -----
     1
             3.5919
                         0.3983
                                      1.6982
                                             6.0360
     2
             1.4422
                         0.4942
                                      1.4063
                                             6.0587
     3
             1.2272
                         0.6151
                                      1.1065
                                             6.0509
     4
             1.0562
                       0.6300
                                      1.0532
                                             6.0635
     5
             0.9361
                       0.6477
                                      1.0331
                                             6.0767
     6
             0.8409
0.7679
                       0.6235
                                      1.1104
                                             6.0766
     7
                       0.7008
                                      0.8644
                                             6.0790
                      0.6667
0.6870
             0.6919
     8
                                      0.9843
                                             6.0795
     9
             0.6367
                                      0.9418
                                             6.0814
    10
             0.5896
                    0.7083
                                      0.8764 6.0957
```

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11	0.5484	0.7123	0.8610	6.1184
12	0.4997	0.7515	0.7285	6.1226
13	0.4751	0.7501	0.7545	6.1230
14	0.4319	0.7270	0.8634	6.1406
15	0.4018	0.7519	0.7678	6.1402
16	0.3827	0.7382	0.8527	6.1434

Stopping since valid\_loss has not improved in the last 5 epochs. The channel was 512, the learning rate was 0.01 epoch train loss valid acc valid loss dur

epoch	train_loss	valid_acc	valid_loss	dur
1	6.0569	0.4086	1.6634	13.7351
2	1.5010	0.4979	1.4120	13.8113
3	1.2651	0.5863	1.1882	13.7868
4	1.0987	0.6096	1.1351	13.8378
5	0.9611	0.6584	0.9870	13.8555
6	0.8590	0.6933	0.8747	13.9215
7	0.7620	0.7215	0.8052	13.9019
8	0.6876	0.7403	0.7532	13.9872
9	0.6161	0.7516	0.7395	13.9732
10	0.5579	0.6949	0.9249	13.9310
11	0.4903	0.6445	1.1340	13.9594
10	0 4050	0 7007	0 7014	10 0005

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Write down the validation accuracy of the model under different hyperparameter settings.

# Learning Rate = 10<sup>-4</sup>:

Validation Accuracy	#channel for each layer
0.7755	128
0.7860	256
0.7801	512

# Learning Rate = 10<sup>-3</sup>:

Validation Accuracy	#channel for each layer
0.7759	128
0.7777	256
0.7633	512

# Learning Rate = 10<sup>-2</sup>:

Validation Accuracy	#channel for each layer	
0.7302	128	
0.7519	256	
0.7526	512	

For the best model you have, test it on the test set.

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```
In [ ]: # implement the same input normalization & type cast here
        optimizer = torch.optim.Adam
        learning rate = 1e-4
        channel = 256
        cnn = CNN MaxPool(channels = channel)
        model = skorch.NeuralNetClassifier(cnn, criterion=torch.nn.CrossEntro
        pyLoss,
                                            device="cuda",
                                            optimizer=optimizer,
                                            lr=learning rate,
                                            max epochs=50,
                                            batch size=32 * 8,
                                            callbacks=[skorch.callbacks.EarlyS
        topping(lower is better=True)])
        # implement input normalization & type cast here
        model.fit(train data normalized, np.asarray(train.targets))
        test_data_normalized = torch.Tensor(test.data/255)
        test_data_normalized = test_data_normalized.permute(0,3,1,2)
        test.predictions = model.predict(test data normalized)
        sklearn.metrics.accuracy score(test.targets, test.predictions)
```

epoch	trai	n_loss	valid	l_acc	valid	_loss	dur
1		1.6229	0.	5552	1	.2808	5.7887
2		1.2905		6147		. 1130	5.7904
3		1.1429		6455		.0174	5.7758
4		1.0483	0.	6692	0	. 9528	5.7884
5		0.9770	0.	6930	0	.8981	5.7653
6		0.9212	0.	7024	Θ	.8618	5.7668
7		0.8761	0.	7114	0	.8418	5.8012
8		0.8357	0.	7177	0	.8171	5.7866
9		0.8008		7259		.7928	5.7409
10		0.7699		7294		.7760	5.7591
11		0.7416		7389		.7623	5.7847
12		0.7144		7436		.7479	5.7757
13		0.6867		7459		.7379	5.7796
14		0.6621		7522		.7261	5.7849
15		0.6387		7562		.7117	5.7848
16		0.6178		7578		.7046	5.7691
17		0.5950		7620		.6940	5.7612
18		0.5779		7624		.6915	5.7960
19		0.5589		7671		.6826	5.7776
20		0.5397		7683		.6823	5.7812
21		0.5170		7703		.6769	5.7648
22		0.5039		7711		.6676	5.7785
23		0.4839		7740		.6576	5.7657
24 25		0.4651 0.4493		7744		.6569 .6514	5.7748 5.7814
25 26		0.4493		7772		.6568	5.7899
20 27		0.4333		7785		.6526	5.7753
28		0.4103		7792		.6506	5.7794
29		0.3886		7831		.6463	5.7860
30		0.3738		7800		.6461	5.7802
31		0.3578		7841		.6455	5.7607
32		0.3497		7830		.6408	5.7976
33		0.3381		7836		.6397	5.7657
34		0.3251		7826		.6426	5.7709
35		0.3099		7844		.6464	5.7790
36		0.2998		7850		.6435	5.7733
37		0.2838		7862		. 6367	5.7886
38		0.2772	0.	7858	0	.6401	5.7790
39		0.2692	0.	7871	0	.6405	5.8318
40		0.2595	0.	7866	0	.6365	5.7907
41		0.2484	0.	7872	0	.6409	5.7713
42		0.2385	0.	7827	0	.6520	5.7901
43		0.2300	0.	7860	0	.6646	5.7787
44		0.2202		7845		.6562	5.7917
Stonning	cinco	valid loc	c hac	not	improved	in tha	lact 5

Stopping since valid\_loss has not improved in the last 5 epochs.

Out[]: 0.7804

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How much **test accuracy** do you get? What can you conclude for the design of CNN structure and tuning of hyperparameters? (5 points)

#### **Your Answer:**

- 1. The test accuracy we got is 0.7804. The best model is the one with 256 channels for each layer and learning rate  $10^{-4}$ .
- 1. From the results above, we can conclude that the number of channels, learning rate and the optimizer are important hyperparameters, they could greatly influence the training speed and evaluation effects. Also the structure of CNN is also important, the max pooling layer could improve the performance of the model. And with dropout layer, the model could be more robust to against overfitting. The number of channels which also influence the net structure, could effect performence as hyperparameter. It should not be too small or too large. The learning rate should not be too large, otherwise the model will not converge.

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