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# Machine Learning, 2024 Spring

## Assignment 5

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### Notice

Plagiarizer will get 0 points.  
L<sup>A</sup>T<sub>E</sub>X is highly recommended. Otherwise you should write as legibly as possible.

#### Problem 1

Which of the following are possible growth functions  $m_{\mathcal{H}}(N)$  for some hypothesis set:

$$1 + N; 1 + N + \frac{N(N-1)}{2}; 2^N; 2^{\lfloor \sqrt{N} \rfloor}; 2^{\lfloor N/2 \rfloor}; 1 + N + \frac{N(N-1)(N-2)}{6}.$$

#### Problem 2

For an  $\mathcal{H}$  with  $d_{\text{vc}} = 10$ , what sample size do you need (as prescribed by the generalization bound) to have a 95% confidence that your generalization error is at most 0.05 ?

#### Problem 3

Let  $\mathcal{H} = \{h_1, h_2, \dots, h_M\}$  with some finite  $M$ . Prove that  $d_{\text{vc}}(\mathcal{H}) \leq \log_2 M$ .

#### Problem 4

Let  $\mathcal{H}_1, \mathcal{H}_2, \dots, \mathcal{H}_K$  be  $K$  hypothesis sets with finite VC dimension  $d_{\text{vc}}$ . Let  $\mathcal{H} = \mathcal{H}_1 \cup \mathcal{H}_2 \cup \dots \cup \mathcal{H}_K$  be the union of these models. Show that  $d_{\text{vc}}(\mathcal{H}) < K (d_{\text{vc}} + 1)$ .

#### Problem 5

In this part, you need to complete some mathematical proofs about VC dimension. Suppose the hypothesis set

$$\mathcal{H} = \{f(x, \alpha) = \text{sign}(\sin(\alpha x)) \mid \alpha \in \mathbb{R}\}$$

where  $x$  and  $f$  are feature and label, respectively.

- Show that  $\mathcal{H}$  cannot shatter the points  $x_1 = 1, x_2 = 2, x_3 = 3, x_4 = 4$ .

(Key: Mathematically, you need to show that there exists  $y_1, y_2, y_3, y_4$ , for any  $\alpha \in \mathbb{R}$ ,  $f(x_i) \neq y_i$ ,  $i = 1, 2, 3, 4$ , for example,  $+1, +1, -1, +1$  )

- Show that the VC dimension of  $\mathcal{H}$  is  $\infty$ . (Note the difference between it and the first question)

(Key: Mathematically, you have to prove that for any label sets  $y_1, \dots, y_m, m \in \mathbb{N}$ , there exists  $\alpha \in \mathbb{R}$  and  $x_i, i = 1, 2, \dots, m$  such that  $f(x; \alpha)$  can generate this set of labels. Consider the points  $x_i = 10^{-i} \dots$ )