# Machine Learning, 2024 Spring Assignment 5

Name: Zhou Shouchen Student ID: 2021533042

### **Notice**

Plagiarizer will get 0 points. LeTeXis highly recommended. Otherwise you should write as legibly as possible.

Which of the following are possible growth functions  $m_{\mathcal{H}}(N)$  for some hypothesis set:

$$1+N; 1+N+\frac{N(N-1)}{2}; 2^N; 2^{\lfloor \sqrt{N} \rfloor}; 2^{\lfloor \frac{N}{2} \rfloor}; 1+N+\frac{N(N-1)(N-2)}{6}$$

### Solution

- 1. 1 + N:
- 2.  $1 + N + \frac{N(N-1)}{2}$ :
- 3.  $2^{N}$ :
- 4.  $2^{\lfloor \sqrt{N} \rfloor}$ :
- 5.  $2^{\lfloor \frac{N}{2} \rfloor}$ :
- 6.  $1 + N + \frac{N(N-1)(N-2)}{6}$ :

For an  $\mathcal{H}$  with  $d_{\rm vc}=10$ , what sample size do you need (as prescribed by the generalization bound) to have a 95% confidence that your generalization error is at most 0.05?

Solution

Let  $\mathcal{H} = \{h_1, h_2, \dots, h_M\}$  with some finite M. Prove that  $d_{vc}(\mathcal{H}) \leq \log_2 M$ . Solution

Let  $\mathcal{H}_1, \mathcal{H}_2, \ldots, \mathcal{H}_K$  be K hypothesis sets with finite VC dimension  $d_{\mathrm{vc}}$ . Let  $\mathcal{H} = \mathcal{H}_1 \cup \mathcal{H}_2 \cup \ldots \cup \mathcal{H}_K$  be the union of these models. Show that  $d_{\mathrm{vc}}(\mathcal{H}) < K \, (d_{\mathrm{vc}} + 1)$ .

Solution

In this part, you need to complete some mathematical proofs about VC dimension. Suppose the hypothesis set

$$\mathcal{H} = \{ f(x, \alpha) = \operatorname{sign}(\sin(\alpha x)) \mid, \alpha \in \mathbb{R} \}$$

where x and f are feature and label, respectively.

• Show that  ${\cal H}$  cannot shatter the points  $x_1=1, x_2=2, x_3=3, x_4=4.$ 

(Key: Mathematically, you need to show that there exists  $y_1, y_2, y_3, y_4$ , for any  $\alpha \in \mathbb{R}$ ,  $f(x_i) \neq y_i, i = 1, 2, 3, 4$ , for example, +1, +1, -1, +1)

• Show that the VC dimension of  $\mathcal H$  is  $\infty.$  (Note the difference between it and the first question)

(Key: Mathematically, you have to prove that for any label sets  $y_1, \cdots, y_m, m \in \mathbb{N}$ , there exists  $\alpha \in \mathbb{R}$  and  $x_i, i = 1, 2, \cdots, m$  such that  $f(x; \alpha)$  can generate this set of labels. Consider the points  $x_i = 10^{-i} \ldots$ )

#### Solution

1.

2.