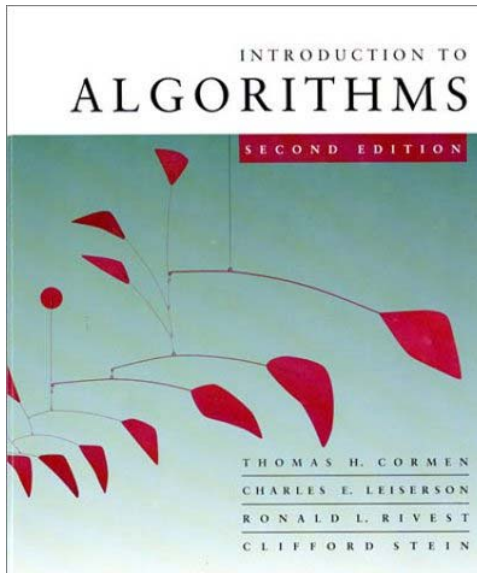


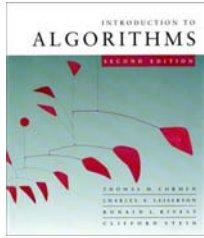
# *Introduction to Algorithms*

## 6.046J/18.401J



## *Lecture 24*

**Prof. Piotr Indyk**



# Dealing with Hard Problems

- What to do if:
  - Divide and conquer
  - Dynamic programming
  - Greedy
  - Linear Programming/Network Flows
  - ...

does not give a polynomial time algorithm?



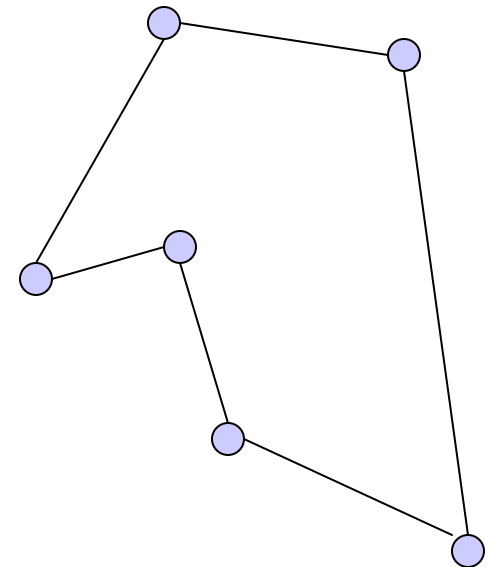
# Dealing with Hard Problems

- Solution I: Ignore the problem
  - Can't do it ! There are **thousands** of problems for which we do not know polynomial time algorithms
  - For example:
    - Traveling Salesman Problem (TSP)
    - Set Cover



# Traveling Salesman Problem

- Traveling Salesman Problem (TSP)
  - Input: undirected graph with lengths on edges
  - Output: shortest cycle that visits each vertex exactly once
- Best known algorithm:  $O(n 2^n)$  time.





# Set Covering

- Set Cover:
    - Input: subsets  $S_1 \dots S_n$  of  $X$ ,  $\cup_i S_i = X$ ,  $|X|=m$
    - Output:  $C \subseteq \{1 \dots n\}$ , such that  $\cup_{i \in C} S_i = X$ , and  $|C|$  minimal
  - Best known algorithm:  
 $O(2^n m)$  time(?)
- Bank robbery problem:
- $X = \{\text{plan, shoot, safe, drive, scary}\}$
  - Sets:
    - $S_{\text{Joe}} = \{\text{plan, safe}\}$
    - $S_{\text{Jim}} = \{\text{shoot, scary, drive}\}$
    - ....



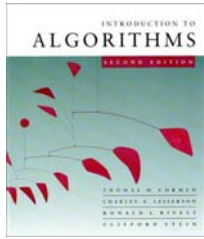
# Dealing with Hard Problems

- Exponential time algorithms for **small** inputs. E.g.,  $(100/99)^n$  time is not bad for  $n < 1000$ .
- Polynomial time algorithms for **some** (e.g., average-case) inputs
- Polynomial time algorithms for **all** inputs, but which return **approximate** solutions



# Approximation Algorithms

- An algorithm  $A$  is  $\rho$ -approximate, if, on any input of size  $n$ :
  - The cost  $C_A$  of the solution produced by the algorithm, and
  - The cost  $C_{OPT}$  of the optimal solutionare such that  $C_A \leq \rho C_{OPT}$
- We will see:
  - 2-approximation algorithm for TSP in the plane
  - $\ln(m)$ -approximation algorithm for Set Cover



# Comments on Approximation

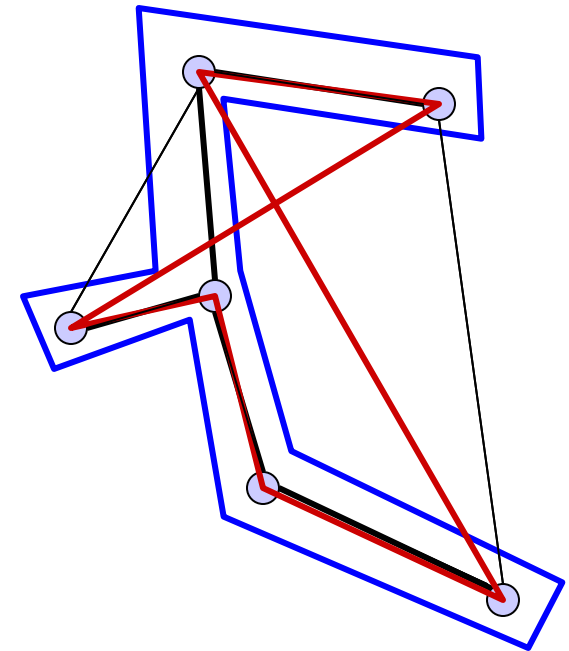
- “ $C_A \leq \rho C_{OPT}$ ” makes sense only for minimization problems
- For maximization problems, replace by “ $C_A \geq 1/\rho C_{OPT}$ ”
- Additive approximation “ $C_A \leq \rho + C_{OPT}$ ” also makes sense, although difficult to achieve





# 2-approximation for TSP

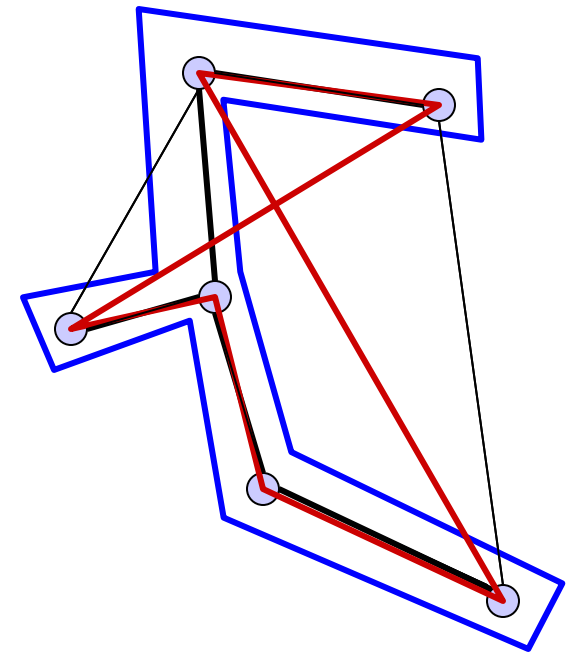
- Compute MST  $T$ 
  - An edge between any pair of points
  - Weight = distance between endpoints
- Compute a tree-walk  $W$  of  $T$ 
  - Each edge visited twice
- Convert  $W$  into a cycle  $C$  using shortcuts





# 2-approximation: Proof

- Let  $C_{OPT}$  be the optimal cycle
  - $Cost(T) \leq Cost(C_{OPT})$ 
    - Removing an edge from  $C$  gives a spanning tree,  $T$  is a spanning tree of minimum cost
  - $Cost(W) = 2 Cost(T)$ 
    - Each edge visited twice
  - $Cost(C) \leq Cost(W)$ 
    - Triangle inequality
- $\Rightarrow Cost(C) \leq 2 Cost(C_{OPT})$





# Approximation for Set Cover

Greedy algorithm:

- Initialize  $C = \emptyset$
- Repeat until all elements are covered:
  - Choose  $S_i$  which contains largest number of **yet-not-covered** elements
  - Add  $i$  to  $C$
  - Mark all elements in  $S_i$  as **covered**



# Greedy Algorithm: Example

- $X = \{1, 2, 3, 4, 5, 6\}$
- Sets:
  - $S_1 = \{1, 2\}$
  - $S_2 = \{3, 4\}$
  - $S_3 = \{5, 6\}$
  - $S_4 = \{1, 3, 5\}$
- Algorithm picks  $C = \{4, 1, 2, 3\}$
- Not optimal!



# $\ln(m)$ -approximation

- Notation:
  - $C_{OPT}$  = optimal cover
  - $k = |C_{OPT}|$
- Fact: At any iteration of the algorithm, there exists  $S_j$  which contains at  $\geq 1/k$  fraction of yet-not-covered elements
- Proof: by contradiction.
  - If all sets cover  $< 1/k$  fraction of yet-not-covered elements, there is no way to cover them using  $k$  sets
  - But  $C_{OPT}$  does that !
- Therefore, at each iteration greedy covers  $\geq 1/k$  fraction of yet-not-covered elements

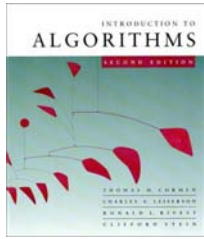


# $\ln(m)$ -approximation

- Let  $u_i$  be the number of yet-not-covered elements at the end of step  $i=0,1,2,\dots$
- We have

$$u_{i+1} \leq u_i (1-1/k)$$
$$u_0 = m$$

- Therefore, after  $t = k \ln m$  steps, we have
$$u_t \leq u_0 (1-1/k)^t \leq m (1-1/k)^{k \ln m} < m 1/e^{\ln m} = 1$$
- I.e., all elements are covered by the  $k \ln m$  sets chosen by greedy algorithm
- Opt size is  $k \Rightarrow$  greedy is  $\ln(m)$ -approximate



# Approximation Algorithms

- Very rich area
  - Algorithms use greedy, linear programming, dynamic programming
    - E.g.,  $1.01$ -approximate TSP in the plane
  - Sometimes can show that approximating a problem is as hard as finding exact solution !
    - E.g.,  $0.99 \ln(m)$ -approximate Set Cover