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My Report!

First year review report

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Abstract

Giving a short overview of the work in your project.[1]

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Chapter 1

Introduction

1.1 Background and Motivation

The concept of types is one of the most important features in most modern programming languages. It is introduced to classify variables and functions, enabling more meaningful and readable codes as well as ensuring type correctness. Types such as *boolean*, *natural number*, *list*, *binary tree*, etc. are massively used in everyday programming.

We can even classify types according to their “types”, aka the **kind**. To continue the previous example, Boolean and integer are stand-alone types and we say *true is term of boolean* directly. In contrast, list and binary tree are higher-kinded types (or parameterized datatypes as they need to be parameterized by other types), and we say *[1,2,3] is a term of list of natural numbers*.

It is usually more interesting to work on higher-kinded types parameterized by an arbitrary type X instead of a concrete type, which has led to the emergence of **(parametric) polymorphism**. The `flatten` function, as the last step of tree sorting algorithm, which converts binary tree to list is a typical example such polymorphic functions, cause there is no special constraints upon the internal type X.

```
flatten : BTree X → List X
flatten leaf = []
flatten (node lt x rt) = flatten lt ++ (x :: flatten rt)
```

However, not all types are well-behaved in our language. Here is a typical counterexample:

```

{-# NO_POSITIVITY_CHECK #-}
data  $\Lambda$  : Set where
  lam : ( $\Lambda \rightarrow \Lambda$ )  $\rightarrow \Lambda$ 

app :  $\Lambda \rightarrow \Lambda \rightarrow \Lambda$ 
app (lam f) x = f x

self-app :  $\Lambda$ 
self-app = lam ( $\lambda x \rightarrow$  app x x)

 $\Omega$  :  $\Lambda$ 
 $\Omega$  = app self-app self-app

```

The Ω represents the famous non-terminating λ -term $(\lambda x.x \ x)(\lambda x.x \ x)$ which reduces to itself infinitely. It is valid in untyped λ -calculus but not typable in simply-typed λ -calculus.

1.2 Aims and Objectives

Using the language of **Type Theory** and adopting the semantics of **Category Theory**, we wish to achieve the following objectives:

- To develop the syntax and semantics of **Higher(-Kinded) Functors** and their natural transformations, which capture the definition of higher types and higher polymorphic functions
- To develop the syntax and semantics of **Higher(-Kinded) Containers** and their morphisms, which should give rise higher functors and their natural transformations
- To show higher container model is simply-typed category with family
- ...

1.3 Overview of the Report

In the rest of report, I will cover:

- Chapter 2 - Prerequisites: ...
- Chapter 3 - Literature Review: ...

- Chapter 4 - Conducted Research: Literature review, and topics studied.
We introduce inductive types, containers, category with families, hereditary substitution.
- Chapter 5 - Future Work Plan: Our future plan!

Chapter 2

Prerequisites

We assume basic knowledge in logics and functional programming. We give overview of background materials and fix terminology by introducing type theory (with Agda) and category theory.

2.1 Type Theory

Martin-Löf Type Theory - MLTT is a formal language in mathematics logics, where all objects and functions are assigned to some types. Additionally, it introduces advanced concepts like dependent types, universe size, strong normalization, etc. avoiding paradoxes and being used as foundations of mathematics and programmings.

Inference rules are the fundamental set of rules that regulate all valid definitions and computations. Especially, we are interested in rules about the formation of contexts, types and terms. Take natural number as an example:

$$\frac{}{\vdash \mathbb{N} \text{ type}}$$

$$\frac{}{\vdash \text{zero} : \mathbb{N}}$$

$$\frac{}{\vdash \text{suc} : \mathbb{N} \rightarrow \mathbb{N}}$$

$$\Gamma, n : \mathbb{N} \vdash P(n) \text{ type}$$

$$\Gamma \vdash p_0 : P(\text{zero})$$

$$\Gamma \vdash p_s : \Pi (n : \mathbb{N}). P(n) \rightarrow P(\text{suc}(n))$$

$$\frac{}{\Gamma \vdash \text{recN}(p_0, p_s) : \Pi (n : \mathbb{N}). P(n)}$$

$$\begin{array}{c}
\Gamma, n : \mathbb{N} \vdash P(n) \text{ type} \\
\Gamma \vdash p_0 : P(\text{zero}) \\
\Gamma \vdash p_s : \Pi (n : \mathbb{N}). P(n) \rightarrow P(\text{suc}(n)) \\
\hline
\Gamma \vdash \text{recN}(p_0, p_s, \text{zero}) \doteq p_0 : P(\text{zero})
\end{array}$$

$$\begin{array}{c}
\Gamma, n : \mathbb{N} \vdash P(n) \text{ type} \\
\Gamma \vdash p_0 : P(\text{zero}) \\
\Gamma \vdash p_s : \Pi (n : \mathbb{N}). P(n) \rightarrow P(\text{suc}(n)) \\
\hline
\Gamma \vdash \text{recN}(p_0, p_s, \text{suc}(n)) \doteq p_s(n, \text{recN}(p_0, p_s, n)) : P(\text{suc}(n))
\end{array}$$

2.2 Agda

Agda is dependently typed programming language and interactive theorem prover based on MLTT. We therefore use Agda as our meta language in our research and this report.

Natural Number

To define natural number \mathbb{N} as a new type in Agda:

```

{- Formation Rule -}
data N : Set where
  {- Introduction Rule -}
  zero : N
  suc : N → N

```

We need to explicitly define type constructor \mathbb{N} and data constructors **zero** and **suc**, which correspond to the formation rule and introduction rule.

```

{- Elimination Rule -}
recN : (P : N → Set)
  → P zero
  → ((n : N) → P n → P (suc n))
  → (n : N) → P n
recN P p0 ps zero = p0
recN P p0 ps (suc n) = ps n (recN P p0 ps n)

_+2 : N → N
_+2 = recN (λ _ → N) (suc (suc zero)) (λ _ ssn → suc ssn)

```



```

_+2' : ℕ → ℕ
zero +2' = suc (suc zero)
suc n +2' = suc (n +2)

```

The elimination rule, also called recursor in FP, tells how to define functions or proofs out of \mathbb{N} . We can define function `_+2` using `recN`, or alternatively using pattern matching, which provides equivalent definition but syntactically better.

```

{- Computation Rule -}
compN0 : ∀ {P p0 ps}
  → recN P p0 ps zero ≡ p0
compN0 = refl

compNs : ∀ {P p0 ps n}
  → recN P p0 ps (suc n) ≡ ps n (recN P p0 ps n)
compNs = refl

```

Finally, the computation rule describes how eliminations behave on terms. It is primitively implemented in Agda type system and therefore trivially hold.

2.3 Category Theory

Chapter 3

Literature review

This is literature review!

Chapter 4

Conducted Research

In this section, we introduce inductive types, coinductive types and the categorical semantics of them. Then we give the definition of containers and demonstrate their properties. Finally, we introduce some contributions to the container model, such as higher functoriality, higher containers and their properties.

4.1 Inductive Types and Coinductive Types

We now give a definition of inductive types. An inductive type \mathbf{T} is given by a finite number of data constructors, such that they should follow some constraints, namely the *formation rule*, *introduction rule*, *elimination rule* and *computation rule*. We look at natural number type in detail, followed by other examples.

Indexed Type

```
data Maybe (X : Type) : Type where
  nothing : Maybe X
  just : X → Maybe X

data List (X : Type) : Type where
  [] : List X
  _::_ : X → List X → List X

record N∞ : Type where
  coinductive
```

```

    field
      pred $\infty$  : Maybe  $\mathbb{N}_\infty$ 
  open  $\mathbb{N}_\infty$ 

  record Stream (X : Type) : Type where
    coinductive
    field
      head : X
      tail : Stream X
  open Stream

```

4.2 Categorical Semantics of Types

4.2.1 Initial Algebra and Terminal Coalgebra

4.3 Containers

4.4 Higher Functoriality

4.5 Higher Containers

Syntax

```

infixr 20  $\_ \Rightarrow \_$ 
data Ty : Type where
  * : Ty
   $\_ \Rightarrow \_$  : Ty  $\rightarrow$  Ty  $\rightarrow$  Ty

infixl 5  $\_ \triangleright \_$ 
data Con : Type where
  • : Con
   $\_ \triangleright \_$  : Con  $\rightarrow$  Ty  $\rightarrow$  Con

```

```

data Var : Con → Ty → Type where
  vz : Var (Γ ▷ A) A
  vs : Var Γ A → Var (Γ ▷ B) A

data Nf : Con → Ty → Set1

record Ne (Γ : Con) (B : Ty) : Set1

data Sp : Con → Ty → Ty → Set1

data Nf where
  lam : Nf (Γ ▷ A) B → Nf Γ (A ⇒ B)
  ne : Ne Γ * → Nf Γ *

record Ne Γ B where
  inductive
  field
    S : Set
    P : Var Γ A → S → Set
    R : (x : Var Γ A) (s : S) → P x s → Sp Γ A B

data Sp where
  ε : Sp Γ A A
  →, → : Nf Γ A → Sp Γ B C → Sp Γ (A ⇒ B) C

HCont : Ty → Set1
HCont A = Nf • A

```

Semantics

```

[ ]T : Ty → Set1
[ * ]T = Set
[ A ⇒ B ]T = [ A ]T → [ B ]T

[ ]C : Con → Set1
[ • ]C = Lift (suc zero) τ
[ Γ ▷ A ]C = [ Γ ]C × [ A ]T

```

```

[-]v : Var  $\Gamma$  A  $\rightarrow$  [ $\Gamma$ ]C  $\rightarrow$  [ $A$ ]T
[vz]v ( $\gamma$ , a) = a
[vs x]v ( $\gamma$ , a) = [x]v  $\gamma$ 

[-]nf : Nf  $\Gamma$  A  $\rightarrow$  [ $\Gamma$ ]C  $\rightarrow$  [ $A$ ]T

[-]ne : Ne  $\Gamma$  *  $\rightarrow$  [ $\Gamma$ ]C  $\rightarrow$  Set

[-]sp : Sp  $\Gamma$  A B  $\rightarrow$  [ $\Gamma$ ]C  $\rightarrow$  [ $A$ ]T  $\rightarrow$  [ $B$ ]T

[lam x]nf  $\gamma$  a = [x]nf ( $\gamma$ , a)
[ne x]nf  $\gamma$  = [x]ne  $\gamma$ 

[-]ne { $\Gamma$ } record { S = S ; P = P ; R = R }  $\gamma$  =
   $\Sigma$  [ s  $\in$  S ] ( {A : Ty} (x : Var  $\Gamma$  A) (p : P x s)  $\rightarrow$  [R x s p]sp  $\gamma$  ([x]v  $\gamma$ ))

[ $\epsilon$ ]sp  $\gamma$  a = a
[n, ns]sp  $\gamma$  f = [ns]sp  $\gamma$  (f ([n]nf  $\gamma$ ))

[-] : HCont A  $\rightarrow$  [ $A$ ]T
[x] = [x]nf (lift tt)

```

Normalization

```

nvar : Var  $\Gamma$  A  $\rightarrow$  Nf  $\Gamma$  A

_[_:=_] : Nf  $\Gamma$  B  $\rightarrow$  (x : Var  $\Gamma$  A)  $\rightarrow$  Nf ( $\Gamma$  - x) A  $\rightarrow$  Nf ( $\Gamma$  - x) B

_<_:=_> : Sp  $\Gamma$  B C  $\rightarrow$  (x : Var  $\Gamma$  A)  $\rightarrow$  Nf ( $\Gamma$  - x) A  $\rightarrow$  Sp ( $\Gamma$  - x) B C

_ $\diamond$ _ : Nf  $\Gamma$  A  $\rightarrow$  Sp  $\Gamma$  A B  $\rightarrow$  Nf  $\Gamma$  B

napp : Nf  $\Gamma$  (A  $\Rightarrow$  B)  $\rightarrow$  Nf  $\Gamma$  A  $\rightarrow$  Nf  $\Gamma$  B

```

4.6 Questions

Chapter 5

Future Work Plan

This is future work plan.

Chapter 6

Conclusions

This is conclusions.

Chapter 7

Appendix

This is appendix.

Bibliography

- [1] ABBOTT, M., ALTENKIRCH, T., AND GHANI, N. Containers: Constructing strictly positive types. *Theoretical Computer Science* 342, 1 (2005), 3–27. Applied Semantics: Selected Topics.