

**Ph.D. Qualifying Exam, Real Analysis**

**Fall 2016, part I**

Do all five problems. Write your solution for each problem in a separate blue book.

- 1 Suppose  $X$  is a Banach space and  $A \in \mathcal{L}(X)$  is a bounded linear operator on it. Show that the spectrum of  $A$  is a closed, bounded subset of  $\mathbb{C}$ .
- 2 Recall that  $\mathcal{S}(\mathbb{R})$  is the space of Schwartz functions on  $\mathbb{R}$ , and  $\mathcal{S}'(\mathbb{R})$  the space of tempered distributions. Show that there exists no  $u \in \mathcal{S}'(\mathbb{R})$  such that for  $\phi \in \mathcal{S}(\mathbb{R})$  with  $\text{supp } \phi \subset (0, \infty)$ ,  $u(\phi) = \int e^{1/x} \phi(x) dx$ . (Hint: if such a distribution  $u$  existed, it would satisfy an estimate!)
- 3 Let  $\mu$  be a non-negative Borel measure on  $\mathbb{R}^n$  such that  $\mu(A) < \infty$  for each bounded Borel subset  $A \subset \mathbb{R}^n$ .
  - a. Setting  $\overline{B}_\rho(x) = \{y \in \mathbb{R}^n : |y - x| \leq \rho\}$ , prove that for each  $\rho > 0$ ,  $x \mapsto \mu(\overline{B}_\rho(x))$  is an upper semi-continuous function on  $\mathbb{R}^n$ . (A real-valued function  $\theta$  on  $\mathbb{R}^n$  is upper semi-continuous if for all  $x \in \mathbb{R}^n$ ,  $\theta(x) \geq \limsup_{y \rightarrow x} \theta(y)$ .)
  - b. Give an example of a Borel measure  $\mu$  as above and  $\rho > 0$  such that  $x \mapsto \mu(\overline{B}_\rho(x))$  is not continuous.
- 4 Consider the Hilbert space  $\mathcal{H} = L^2(\mathbb{R}, e^{-x^2} dx)$ , and let  $\phi_n(x) = x^n$  for  $n \geq 0$  integer, so  $\phi_n \in \mathcal{H}$ .
  - a. Let  $e_\xi(x) = e^{i\xi x}$  for  $x \in \mathbb{R}$ . Prove that  $\sum_{n=0}^k \frac{(i\xi)^n}{n!} \phi_n$  converges to  $e_\xi \in \mathcal{H}$  in the norm topology as  $k \rightarrow \infty$ .
  - b. Using (a) or otherwise show that if  $\phi \in \mathcal{H}$  and  $\langle \phi_n, \phi \rangle_{\mathcal{H}} = 0$  for all  $n$  then  $\phi = 0$ . (Hint: show that the Fourier transform of  $e^{-x^2} \phi$  vanishes!)
  - c. Show that there is an orthonormal basis  $\{\psi_n\}_{n=0}^\infty$  of  $\mathcal{H}$  such that for all  $n$

$$\text{span}\{\phi_0, \phi_1, \dots, \phi_n\} = \text{span}\{\psi_0, \psi_1, \dots, \psi_n\}.$$

- 5 Let  $\mathbb{T} = \mathbb{R}/(2\pi\mathbb{Z})$  be the unit circle, and for  $m > 0$  let  $H^m(\mathbb{T})$  be the Sobolev space consisting of  $L^2(\mathbb{T})$ -functions  $f$  whose Fourier coefficients  $\hat{f}(n)$  satisfy  $\sum_{n \in \mathbb{Z}} |\hat{f}(n)|^2 (1 + n^2)^m < \infty$ . Suppose  $A : H^m(\mathbb{T}) \rightarrow L^2(\mathbb{T})$  is a continuous linear map, and there is  $B \in \mathcal{L}(L^2(\mathbb{T}), H^m(\mathbb{T}))$  such that  $AB - I = E$  and  $BA - I = F$  are compact on  $L^2(\mathbb{T})$ , resp.  $H^m(\mathbb{T})$ , [and in fact  $E^* \in \mathcal{L}(L^2, H^m)$ ]. Suppose also that  $\langle Af, g \rangle_{L^2} = \langle f, Ag \rangle_{L^2}$  when  $f, g \in H^m(\mathbb{T})$ , [and  $\langle Bf, g \rangle_{L^2} = \langle f, Bg \rangle_{L^2}$  for  $f, g \in L^2(\mathbb{T})$ ]. Show that  $A - \lambda I : H^m \rightarrow L^2$  is invertible when  $\lambda \notin \mathbb{R}$ .

**Ph.D. Qualifying Exam, Real Analysis**

**Fall 2016, part II**

Do all five problems. Write your solution for each problem in a separate blue book.

- 1 Show that if  $\mu$  is a  $\sigma$ -finite measure on a measurable space  $(X, \mathcal{A})$  (i.e. on  $\mathcal{A}$ , where  $\mathcal{A}$  is a  $\sigma$ -algebra of subsets of  $X$ ), then there is a *finite* measure  $\nu$  on  $(X, \mathcal{A})$  with  $\nu \ll \mu$  and  $\mu \ll \nu$ .
- 2 Suppose  $K \in L^2(\mathbb{R}^{2n})$ , and define  $(Tf)(x) = \int_{\mathbb{R}^n} K(x, y)f(y) dy$ . Show that  $T \in \mathcal{L}(L^2(\mathbb{R}^n))$ , and  $T$  is compact.
- 3 Recall that  $\mathcal{S}(\mathbb{R})$  is the space of Schwartz functions on  $\mathbb{R}$ , and  $\mathcal{S}'(\mathbb{R})$  the space of tempered distributions. Let  $\phi_n \in \mathcal{S}(\mathbb{R})$ ,  $n \geq 1$ . Suppose that  $u \in \mathcal{S}'(\mathbb{R})$ , and the distributions corresponding to  $\phi_n$ , namely  $u_n(\psi) = \int \phi_n \psi$  for  $\psi \in \mathcal{S}(\mathbb{R})$ , converge to  $u$  in  $\mathcal{S}'(\mathbb{R})$ .
  - a. Suppose that there exists  $C > 0$  such that  $\|\phi_n\|_{L^2} < C$  for all  $n$ . Show that there exists  $\phi \in L^2$  such that  $u(\psi) = \int \phi \psi$ ,  $\psi \in \mathcal{S}(\mathbb{R})$  and  $\phi_n$  converge weakly to  $\phi$  in  $L^2$ .
  - b. Show that the analogous statement is not true if  $L^2$  is replaced by  $L^1$ , namely show that there exist  $\phi_n$  and  $u$  with  $\|\phi_n\|_{L^1} < C$  such that  $u$  is not a distribution given by an  $L^1$  function  $\phi$ .
- 4 Suppose  $X \subset Z$ ,  $Y \subset V$ , with  $X, Y, Z, V$  Banach spaces, and with both inclusions continuous with respect to their respective norms. Suppose that  $P : Z \rightarrow V$  continuous linear and has the property that  $u \in Z$ ,  $Pu \in Y$  implies  $u \in X$ . Show that there exists  $C > 0$  such that for all  $u \in Z$  satisfying  $Pu \in Y$ , one has

$$\|u\|_X \leq C(\|Pu\|_Y + \|u\|_Z).$$

- 5 Let  $\mathbb{S}^1 = \mathbb{R}/\mathbb{Z}$ .
  - a. Assume that for a given function  $\phi \in L^1([0, 1])$  there exists an irrational number  $\alpha$  such that  $\phi(x) = \phi(x + \alpha)$  for almost all  $x \in [0, 1]$ , where  $+$  is addition modulo  $\mathbb{Z}$ . Show that  $\phi(x)$  equals to a constant for almost all  $x \in [0, 1]$ .
  - b. Given an irrational number  $\alpha$ , consider the equation

$$g(x + \alpha) - g(x) = p(x), \quad x \in \mathbb{S}^1,$$

for an unknown function  $g(x)$ , with a given function  $p \in C^\infty(\mathbb{S}^1)$ , such that

$$\int_{\mathbb{S}^1} p(x) dx = 0.$$

Give a condition on  $\alpha$  that would guarantee that  $g \in C^1(\mathbb{S}^1)$  for any such function  $p$ .