## ZULF'S JANUARY 31 2022 STANFORD FALL 2014 ANALYSIS PH.D. QUAL EFFORT

### ZULFIKAR MOINUDDIN AHMED ZULFIKAR.AHMED@GMAIL.COM

#### 1. Introductory Comments

I am seeking a tenured full professorship at Stanford University immediately. The best way to let me know of Stanford University's Decision to give me a nice tenured position is zulfikar.ahmed@gmail.com. I want to live in Mission District, San Francisco and create a couple of companies for technology-psychology applications for service to eight billion people. I am seeking tenure for my successful Scientific work in four-sphere theory and Universal Human Moral Nature.

I am doing the Mathematics Ph.D. Qual problems for regaining skills in Mathematics atrophied since my graduation (magna cum laude) Princeton University 1995 with a prize-winning thesis in Several Complex Variables under Jeff McNeal who is at Ohio State University now.

I will put in the effort to do all ten problems and I have my solutions to a number of other exams already.

My interest in gaining some deeper understanding of Analysis. I am also examining the history of Analysis and of Science in eighteenth-twentieth centuries to gain a holistic appreciation for *mathematical substance*.

You can find my work archived and publicly available [1].

#### 2. Problem II.5

The discrete Laplacian L is defined on  $\ell^2(\mathbf{Z})$  by

$$Lf(n) = f(n) - 1/2(f(n+1) + f(n-1))$$

We are to prove that the spectrum is [0,2]. Let's see bounded symmetric is easy since we have an identity that's bounded symmetric. Then matched shifts +/-1 is obviously bounded and symmetric as well.

We consider inverting (s-L) for some  $s \in \mathbb{C}$ . We write

$$g(n) = (s - L)f(n)$$

and try to solve for f(n). Let

$$\begin{pmatrix} y_1 \\ y_2 \\ y_3 \end{pmatrix} = \begin{pmatrix} g(n-1) \\ g(n) \\ g(n+1) \end{pmatrix}$$

and

$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} f(n-1) \\ f(n) \\ f(n+1) \end{pmatrix}$$

Date: February 2, 2022.

$$\begin{pmatrix} y_1 \\ y_2 \\ y_3 \end{pmatrix} = \begin{pmatrix} 1/2 & s-1 & 1/2 & 0 & 0 \\ 0 & 1/2 & s-1 & 1/2 & 0 \\ 0 & 0 & 1/2 & s-1 & 1/2 \end{pmatrix} \begin{pmatrix} x_0 \\ x_1 \\ x_2 \\ x_3 \\ x_4 \end{pmatrix}$$

I don't know how to invert the matrix yet, so let us just relax and not get too worried. As you can see, I have this beautiful matrix equation produced in LaTeX. As my dear reader is aware, in case you don't have substance ready, always make a pretty form so the audience, being impatient for substance is put in a pleasant state of mind. I don't want to say that good LaTeX form is extremely important, but it's like the totally cheesy music those companies play whenever you call them when they put you on hold and go off to make out with their girlfriends watching Hollywood movies while you're waiting for some response.

Well it becomes clear that Neumann series is the way to go. We consider

$$(s-L)^{-1} = \frac{1}{s} \sum_{k=0}^{\infty} (L/s)^k$$

This will produce a norm-convergent series of bounded operators in  $L(\ell^2(\mathbf{Z}))$  whenever ||L|| < |s| by obvious inequalities and geometric series.

We're therefore not going to try to invert the operator by hand since Neumann series is easier. We will have to prove (a) ||L|| = 2, and (b) spectrum is real (follows from symmetric) and (c) none of the rest of  $s \in [0, 2]$  produce invertible operators for s - L.

We'll come back to these. Now I want to claim that Vf(n) = v(n)f(n) has discrete spectrum  $\{v(n)\}_{n \in \mathbb{Z}}$ . It is obvious that  $Ve_n = v(n)e_n$  so v(n) is an eigenvalue so lies in the spectrum. Next  $\{v(n)\}$  is a bounded set because given  $\epsilon > 0$  we have N such that if  $|n| \geq N$  then  $|v(n)| < \epsilon$  so

$$\sup_{n} |v(n)| \le \max_{1 \le n \le N} |v(n)| + \epsilon$$

Therefore V is a compact selfadjoint operator.

We are in the situation of sum of a bounded selfadjoint operator B and a compact selfadjoint operator K. We look at

$$(s - B - K)^{-1} = \frac{1}{s}(1 - B/s - K/s) = \frac{1}{s}(1 - K/s)^{-1}\sum_{k=0}^{\infty} (B/s)^k$$

Let's look at this formula for B = L. Outside [0,2] we have convergence of Neumann series. The nice thing is that if  $s \notin \sigma(K)$  we have bounded  $(1 - K/s)^{-1}$  so we have s is in the resolvent of B + K.

2.1. The Irrational Averaging Of Hermann Weyl. I was not sure for while how to prove that L will have spectrum [0,2]. It's symmetric, so  $\sigma(L) \subset \mathbf{R}$ , so that's fine. It's also not so bad to show ||L|| = 2. We'll come back to these issues. These are not really deep.

What is mathematical substance here is that for all  $s \in [0,2]$  nothing is in the resolvent of L. That's not simple at all. It is here that we recall the beautiful Weyl Law, that if we average iterations of irrational  $\alpha$  translated on [0,1] we will have the uniform measure on [0,1].

You know it was Peter Sarnak who taught us this for the first time, and I think he is a truly great mathematician with a keen nose for mathematical substance.

So what I will do is the following. I will let  $F: L^2(\mathbf{T}) \to \ell^2(\mathbf{Z})$  denote the Fourier transform, with  $F^{-1}$  be the inverse. Then I will consider the operator  $A = F^{-1}LF$ . This is a beautiful operator on  $L^2(\mathbf{T})$  and I will assume known that spectrum of A is the same as spectrum of L. Then I will try to show that various irrational iterations are involved in translation on  $[0, 2\pi]$  in A so that it has spectrum [0, 2].

I know, I know, this is intuitive and vague. But refer to my commentary about the difference between mathematical rigour and mathematical substance to appreciate why I think it worthwhile to talk about the vague plan here. You see, it's true that spectrum of a symmetric operator is real but that's not real mathematical substance. This averaging of irrational iteration, on the other hand is actually mathematical substance.

2.2. Zulf Attempts Some Jambalaya Management. Let's say all the f(n) are Fourier coefficients of some function  $g \in L^2(\mathbf{T})$ . We have then

$$FA = c \int_0^{2\pi} e^{i(n-1)} (e^{ix} - \frac{1}{2} - \frac{1}{2} e^{2ix}) g(x) dx$$

In other words this is n-1-th Fourier coefficient of the function

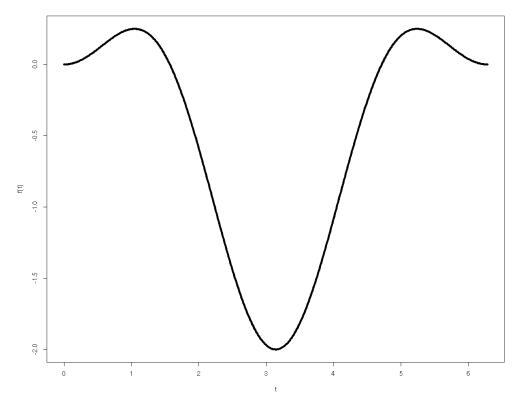
So that's the first step to understanding Problem II.5(a), the part on spectrum of L is [0,2]. We need to have some sense of how to evaluate spectrum of a multiplier operator in  $L^2(\mathbf{T})$ .

What is the multiplier?

$$k(x) = \sum_{m=1}^{\infty} (1 - 2^m)(ix)^m / m!$$

where I was hoping that the cancellation of the constant term could help us.

That's one small step towards the spectrum of L. Let's at least look at what the range is for this k(x).



That's the real part. Well maybe there is a negative sign or something but the important observation is that k(x) goes from 0 to -2. One idea is to prove that or just take a look at the graph and proclaim something like spectrum of muliplication operator is range of this |k(x)| but that is not yet justified.

#### 3. Spectrum of Multiplier Functions on $L^2(\mathbf{R})$

Spectrum of multiplier functions on  $L^2(\mathbf{R})$  is a fairly sophisticated analytical problem, so we do not promise a clear answer here. We conjecture that if  $\phi \in C^{\infty}(\mathbf{T})$  then the operator  $Tf = \phi f$  on  $L^2$  has spectrum including the full range of  $\phi$ . This sort of conjecture is easy to make and hard to prove.

Let's see what we can prove here. Suppose  $x_0 \in L^2(\mathbf{T})$  is arbitrary and let  $a = \phi(x_0)$ . We want to prove that  $a \in \sigma(T)$ . The problem is that we don't have an easy *exact* eigenfunction that lives in  $L^2(\mathbf{T})$ . Suppose we consider

$$f_{\delta}(x) = \begin{cases} 1 & x = x_0 \\ 0 & |x - x_0| > \delta \end{cases}$$

Then  $f_{\delta} \in L^2(\mathbf{T})$  for all  $0 < \epsilon < 2\pi$ .

Suppose  $a \in R(T)$  the resolvent set. Then we have

$$a - T \in L(L^2(\mathbf{T}))$$

Let's say the bound is  $B < \infty$ . What we do is let  $\delta < 1/B$ . Then

$$(a-T)^{-1}f_{\delta}(x) = (a-\phi(x))^{-1}f_{\delta}(x)$$

For any  $\epsilon > 0$  we have existence of  $\delta > 0$  such that  $|\phi(x) - \phi(y)| < \epsilon$  whenever  $|x - y| < \delta$ . Then we just pick the  $\delta$  and plug it in and get

$$|(a-T)^{-1}f_{\delta}(x)| \ge \epsilon^{-1}|f_{\delta}(x)|$$

for  $|a - x| < \delta$ . And we get

$$\int |(a-T)^{-1} f_{\delta}(x)|^2 dx \ge \epsilon^{-1} \delta^2$$

This looks good. We can fanagle this using explicit bound  $||(a-T)^{-1}|| \leq B$  to get a contradiction.

Once we fix up this argument, we'll get  $a \in \sigma(T)$ .

#### 3.1. An Elementary But Useful New Theorem.

**Theorem 1** (Zulfikar Moinuddin Ahmed's Theorem For Spectrum Of Continuous Multipliers). Suppose  $\varphi \in C(S^1)$  is a continuous function on the circle identified with  $[-\pi, \pi]$ . Let  $T: L^2(S^1) \to L^2(S^1)$  be defined by

$$Tf(x) = \varphi(x)f(x)$$

Then every value  $\varphi(y)$  for  $y \in [-\pi, \pi]$  belongs to the spectrum  $\sigma(T)$ .

*Proof.* Suppose  $a = \varphi(y)$  for some  $y \in [-\pi, \pi]$ . Assume  $a \in R(T)$  the resolvent set. Then there exists a  $B < \infty$  such that

$$||(a-T)^{-1}|| < B$$

Given any  $\epsilon > 0$  there is a  $\delta > 0$  such that if  $|x - y| < \delta$  then  $|\varphi(y) - \varphi(x)| = |a - \varphi(x)| < \epsilon$ .

Choose  $\epsilon < 1/B$  and choose  $\delta > 0$  appropriately. Define  $f_{\delta} \in L^{2}(S^{1})$  satisfying  $||f_{\delta}||_{L^{2}} = 1$  with  $f_{\delta}(y) > 0$  and  $f_{\delta}(z) = 0$  for  $|x - z| \ge \delta$ . Then

$$\|(a-T)^{-1}f_{\delta}\|_{2}^{2} \ge \int_{y-\delta}^{y+\delta} \frac{1}{\epsilon^{2}} |f_{\delta}(x)|^{d} x = \frac{1}{\epsilon^{2}} \|f_{\delta}\|^{2} = \frac{1}{\epsilon^{2}}$$

This then contradicts

$$||(a-T)^{-1}|| \le B$$

Therefore  $a \notin R(T)$  and  $a \in \sigma(T)$ .

Could someone at Stanford Mathematics communicate this to a journal that is widely read so that this very useful but elementary theorem is widely used? The reason this is a theorem is that it is not at all intuitively clear that all the range of values of  $\varphi$  must be in  $\sigma(T)$  and since this is a Fourier multiplier result it is widely useful.

#### 4. Problem II.1

(a) Suppose  $f: \mathbf{R} \to \mathbf{R}$  is a function such that for all  $x \in R$  and all  $k \in \mathbf{N}$  there exists a polynomial  $P_{x,k}$  such that

$$|f(y) - P_{x,k}(y)| \le C_{x,k}|y - x|^{k+1}$$

Is f infinitely differentiable?

(b) Suppose Y is a normed complex vector space with norm  $\|\cdot\|$  and  $f: Y \to \mathbf{C}$  is linear but not continuous. Show that  $N = f^{-1}(0)$  is dense in Y.

4.1. **Problem II.1(b).** Suppose, to the contrary of the claim of Problem II.1(b) we had a norm ball  $B \subset Y$  where we had no zeros of f. Let's say the norm ball is  $B(y_0, r)$ . Let's take two points  $y_1, y_2 \in B(y_0)$  with  $f(y_1) \neq f(y_2)$ . We can do that with our assumption by taking  $y_2 = by_1$  with  $b \neq 1$ . Now consider the line

$$g(t) = ty_1 + (1 - t)y_2$$

we get

$$f(g(t)) = tf(y_1) + (1-t)f(y_2)$$

This maps to a nontrivial interval  $[f(y_1), f(y_2)]$ ; we could have chosen  $f(y_2) > f(y_1)$  to get the ordering right.

Our goal is to somehow translate the  $B(y_0, r)$  to the origin and show that the image contains an open neighborhood of zero in  $\mathbf{R}$ . If we are able to do that then we will have f continuous which will contradict the assumption.

I am not yet sure about this scheme, so stay tuned.

4.2. **Problem II.1(a).** My optimistic answer is that  $P_{x,k}$  is forced to be the Taylor polynomial up to order k, so the I expect f to be infinitely differentiable.

Let's start with k = 0 and build up. We have

$$|f(y) - P_{x,0}(y)| \le C_{x,0}|x - y|$$

This does show that f is continuous because given  $\epsilon > 0$  we let  $\delta = \epsilon/C_{x,0}$  and get  $\epsilon > 0$  to the right. Then we iterate this. Suppose we know that  $f \in C^{k-1}$  already. We consider  $f^{(k-1)}$  and take also k-1 derivatives of our polynomial and massage

$$|f^{(k)}(y) - P_{x,k}^{(k)}(y)| \le C|x - y|$$

and get  $f \in C^k$ .

We'll come back to details about how these things can work.

#### 5. Problem II.2

Suppose A is compact and in L(H). Prove that if z - A is injective, then it has closed range.

Since z - A is injective, we have

$$\|(z-A)x\|^2 > 0$$

for all nonzero  $x \in H$ . I am not sure how this problem will go, so I will explore

$$\langle (z - A)x, (z - A)x \rangle = |z|^2 ||x||^2 - \langle zx, Ax \rangle - \langle Ax, zx \rangle + \langle x, A^*Ax \rangle$$

Now if  $x_n \to x$  then

$$\|(z-A)x_n\|^2 = |z|^2 \|x_n\|^2 + \langle x_n, A^*Ax_n \rangle - \langle zx_n, Ax_n \rangle - \langle Ax_n, zx_n \rangle$$

Now  $A^*A$  is a compact selfadjoint operator and we want to use this somehow.

Obviously  $zx_n \to zx$ . Now let's see what we can do about  $A^*Ax_n$ . Well adjoints have closed range, so  $Ran(A^*A)$  is closed. If z is real then we can use  $A + A^*$  has closed range. I see we can use  $\bar{z}A + zA^*$  has closed range. So then

$$B = |z|^2 + \bar{z}A + zA^* + A^*A$$

has closed range. Then if  $Bx_n \to y$  then y = Bx for some x. Then we write Bx = (z - A)Kx for the right sort of K and then we use that K will be injective to recover the x' such that  $(z - A)x' = \lim_n (z - A)x_n$ .

This is a bit confused still but the technique can be fixed here for a sharp result. If (z - A) is not injective, we could take  $x_n \to x$  with (z - A)x = 0. I need to think about this more.

5.1. A Second Pass At The Problem. This problem is nontrivial in a way because it actually requires people to know that adjoints have closed range which is not exactly automatic knowledge. You need to have some experience to know and remember that.

Suppose  $T \in L(H)$  Then  $Ran(T^*) = Ker(T)^{\perp}$ . That's what you need to know. It is then obvious that  $T^*$  has closed range because if  $y \in Ran(T^*)$  then for any  $z \in Ker(T)$  we have

$$\langle y, z \rangle = \langle T^*w, z \rangle = \langle w, Tx \rangle = 0$$

This shows  $Ran(T^*) \subset Ker(T)^{\perp}$ . On the other hand T is injective on  $Ker(T)^{\perp}$  and that can be used to prove that  $Ran(T^*) = Ker(T)^{\perp}$ .

Once we know that adjoints have closed range, we know if

$$Bx_n = (z - A)^*(z - A)x_n \to w$$

Then there exists  $x \in H$  so w = Bx. Suppose then that  $y_n \in Ran(z-A)$  converges to some y. Then  $w_n = (z-A)^*y_n$  converges to  $w = (z-A)^*y$ . These  $w_n \in Ran(B)$  and B is an adjoint, so  $w \in Ran(B)$ . Now use  $(z-A)^*$  is an adjoint and then z-A is injective to see there exists x such that y = (z-A)x. This proves z-A has closed range.

If z - A is not injective we'll find nonzero x with zx = Ax. This is then a  $|z|^2$  eigenvalue of  $A^*A$  a compact self-adjoint operator. These operators have two possibilities, either  $|z|^2$  is in the spectrum for discrete set or they are in the resolvent. Here  $|z|^2$  must lie in the spectrum. But the spectrum will have the property that  $|z_i|^2 \to 0$ .

Obviously  $|z|^2 - A^*A$  has closed range because it's an adjoint. I am not sure about the last question yet.



#### 5.2. Now For Some Song And Dance And A Picture of Sweaty Face.

This goes with the Prince song When Doves Cry.

Dig if you will the picture
Of you and I engaged in a kiss
The sweat of your body covers me
Can you my darling
Can you picture this?
Dream, if you can, a courtyard
An ocean of violets in bloom
Animals strike curious poses
They feel the heat
The heat between me and you

Fine the reality is that the second part of Problem II.2 is giving me beads of sweat and there are no oceans of violet in bloom at all. Are you satisfied?

5.3. On The Eigenspaces. Consider z-A when it is not injective. Then there are x with zx=Ax so we have some eigenvectors of A. Then we have  $A^*Ax=zA*x$ . Now the situation for  $A^*A$  is known. Either  $|z|^2$  is an eigenvalue or  $|z|^2$  is in the resolvent.

In the first case, x can be an eigenvector of  $A^*Ax = |z|^2x$ . In this case we have

$$|z|^2 x = zA^*x$$

and  $\bar{z}$  is the eigenvalue of  $A^*$  and x is an eigenvector of  $A^*$ . If  $|z|^2$  is not an eigenvalue of  $A^*A = AA^*$ . Actually if  $A^*A = AA^*$  then we have  $A^*x$  is an eigenvector of A.

Now the eigenspaces of  $A^*A$  are finite dimensional and orthogonal to each other by the spectral theorem for compact selfadjoint operators. We want to know if z-A is invertible on  $Ker(z-A)^{\perp}$ . The entire space is composed of subspaces with  $|z_j|^2w=A^*Aw$ .

Fine, let's roll up our sleeves here and let's say the  $A^*A$  has orthonormal bases  $w_{jk}$  with eigenvector  $|b_j|^2$  and they span H. Then let's do a computation assuming

$$w_n = \sum_{jk} a_{njk} w_{jk}$$

where k counts the degeneracy at eigenspace associated to  $|b_i|^2$ . Then

$$(z - A)w_n = \sum_{jk} a_{njk}(z - A)w_{jk}$$

I am not seeing this yet. What is z-A doing on these eigenspaces.

This is progress. See, in four-sphere theory, I have been doing some basis expansions for Dirac eigenspinors on  $S^4$  and I have the intuition that these z-A has some reasonable behavior on eigenspaces of  $A^*A$  and then we can say something about range of z-A such as it's closed.

Unfortunately I don't have something yet.

#### 6. VACUOUSNESS OF BILL GATES WHITE SUPERIORITY

Well look, all men are created equal has basis in genetic code in common  $G_c$  which constitutes 99.9% of everyone's genome and is letter-by-letter identical for all people everywhere.

But Bill Gates' special brand of white superiority is provably vacuous.

Consider the scenario.

Zulf: Bill Gates, how is the weather there in podunk Pacific Northwest hickslandia?

Bill Gates: I'm white. I'm white. Whites are superior. Whites are superior.

Zulf: Well that's really great. That's really great that whites are superior. Now can you, as a superior white man, Master Race, etc. please tell me how to do second part of Problem II.2?

Bill Gates: Trivia. Trivia. Trivia.

Zulf: Ok, fine fine. Trivia. What's the answer of the trivia?

Bill Gates: Whites are superior. Whites are superior.

Zulf: Groan.

#### 7. Let Me Tell You What I Am About

I am a man of faith. I believe that I have been an Archangel in the universe for billions of years, and have lived in the Universe, and that I am on Earth, by ordinary birth to a family in Indian Subcontinent on November 19 1973. I believe that I am on Earth to benefit the Human Race but really just on vacation. I believe that when I die, I will regain my Archangel Consciousness and begin a trek to the next world. I believe that the universe is filled with many worlds, planets like Earth and many races. Human beings are a *Primitive Angelic Race*. The reference for primitivity is not found on Earth. There are worlds with Angelic Species like humans who are much much older than seven or eight million years. So primitivity is relative to them. I believe that I have lived in thousands of these worlds before my birth on Earth. I believe that among Archangels of Heaven I have seniority

and that it does not matter on Earth at all because that's a social issue among Archangels of Heaven and has no bearing at all on human society.

I believe that I ought to have my natural rights on Earth protected. I have proven that (a) Human Race is a single race and (b) the human race is an Angelic Race. I am Asian-American, but I am not strongly tribal about Asia per se. I am not racial but I do not appreciate Bill Gates' extreme hateful and destructive views and direct targeting of myself for destructive war acts. He is a Demon and outght to be destroyed immediately and his flesh ought to be consumed in fire to prevent spread of extreme evil among the human race.

Four-sphere theory is absolute truth. It is one of my gifts to my beloved people the human race. I have already given a completion of Aristotle's Virtue-Eudaimonia theory to United Nations which contain secrets for autonomous life satisfaction.

I have worked in Finance, Technology and Biotech and am not even interested in \$350k/year jobs any more. I have grander plans of establishing Quantitative Positive Psychology and Global Individual Debt projects, preferably with a tenure and full professorship at Stanford.

Bill Gates invaded my Deep Interior with devastating destructive power and I will hold United States Government responsible for all harm to me and seek \$1-2 trillion in penalty for this. I also plan to litigate against United States Government for involuntary medical incarceration in the period January 12-19 2022 for claims of hallucination and for frivolous charges based on the following poster. I have never had any firearms and do not really like guns.



It's true that Bill Gates ought to be physically destroyed and his flesh burned with purifying fire to restrict contagion of extreme Evil that might ultimately destroy the human race. I expect the United States Government to do those sorts of dastardly deeds, not myself.

#### 8. Problem II.3

Let  $E \subset \mathbf{R}$  and let  $E + E = \{x + y : x, y \in E\}$  and define E - E similarly. Prove that if m(E) > 0 then E + E and E - E contain nonempty open sets.

8.1. **Beginning of Exploration.** I have very little intuition about set operations in Lebesgue theory. Honestly, I never considered these things all that important. I

remember Littlewood's three principles, (a) a measurable set is almost an open set, (b) a measurable function is almost a continuous function, and (c) every convergent sequence of functions is almost uniformly continuous.

I have always been more geometric, and never really liked hacking with the wiring, so to speak. But I am moved to do some of this in this late age at 49 because my precious baby, four-sphere theory, seems to need analytical care. Lebesgue measurable sets of  $S^4$  are important and so here I am doing these problems.

The first track is to see if we can do the following. Given any  $\epsilon > 0$  we can find open intervals  $I_j$  so that

$$E \subset \bigcup_{j} I_{j}$$

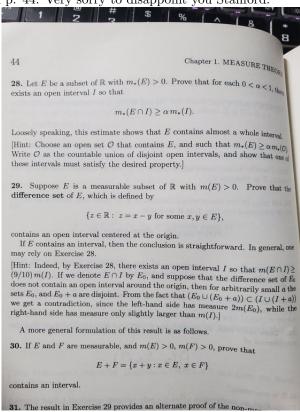
and more importantly

$$m(\bigcup_{j} I_j - E) < \epsilon$$

That's part of Lebesgue measure theory, and follows from the definition of Lebesgue measurability. We are interested in understanding what we can do with this covering of E.

Our hope is that  $O = \bigcup_j I_j$  will have something to contribute to E + E and E - E. This is aimless exploration. We consider O + O first.

I am not going to do this problem and just learn how to do it from Stein-Shakarchi III p. 44. Very sorry to disappoint you Stanford.



Let me tell you something. Elias is Stein was the world's master at these sorts of things and Zulf is wise and does not try to do these things at all and re-invent the wheel. Chalk this up for Zulf knows where to find these sorts of answers.

#### 9. Problem I.1

Suppose H is separable Hilbert space. Then show that B(H,H) is separable in operator norm topology.

#### 10. Problem I.2

Define  $M_f: L^2(S,\mu) \to L^2(S,\mu)$  by  $M_f = fg$  for  $f \in L^\infty(S,\mu)$  and  $(S,\mu)$  is a sigma-finite.

- (a) Give necessary and sufficient conditions for  $M_f$  to have an eigenvector.
- (b) Give necessary and sufficient conditions for  $M_f$  to be compact.
- 10.1. **Problem I.2a.** Suppose  $M_f g = zg$  for some  $z \in \mathbf{C}$  and some  $g \in L^2(S, \mu)$  then

$$(f-z)g = 0$$

so either f = z or g = 0. So f must be constant.

10.2. **Problem I.2b.** Suppose  $||g_n|| \leq B$  and we want a subsequence of  $fg_n$  converging in  $L^2(S,\mu)$ .

A sufficient condition is that f is supported on a finite number of points. If the support is  $x_1, \ldots, x_N$  then we can use the Heine-Borel Theorem to produce a sieve that converges for  $g_n$  at those points.

The analogy is the compactness of  $H^1 \to L^2$  or Arzela-Ascoli theorem. If you cannot localise to a finite number of points then there can be no subsequence convergence.

#### 11. Problem I.3

(a) Suppose  $x_n$  is a sequence in a Banach space and let  $X_n = Span\{x_1, \ldots, x_n\}$ . Prove that if

$$X = \bigcup_{n=1}^{\infty} X_n$$

then X is finite dimensional.

- (b) Prove that if
- 11.1. **Failure of Intuition.** The idea that you cannot just approximate a space by taking spans of more and more vectors is outrageous to my intuition. And this is the sort of thing that I really dislike.

The conclusion of (a) is in the end a simple consequence of Baire Category theorem. If  $X = \bigcup_n X_n$  then one of these have to have empty interior, but they are all finite dimensional and therefore X must be as well.

I am devastated by this because I am already 49 years old and suddenly infinite dimensional linear spaces seem a lot spookier to me. It's true. You can't keep going on adding spans of more vectors and reach the full space by unions.

That's just too strange for me and it's true. Who was this René-Louis Baire? This result is so shocking but it's true. I suddenly feel sad because I don't feel as comfortable with Banach Spaces any more. Brrrrr.

(b) Show that if X has countable base in its weak topology then X is finite dimensional. Let X have neighborhood basis of zero given by  $U_{\alpha}$  in weak topology. These are intersections of *finite number* of linear functionals  $f_j \in X^*$  satisfying  $f_j^{-1}((-\epsilon, \epsilon))$ .

Let's simplify and assume that X is reflexive so  $X \simeq X^*$ . The finite number of linear functionals correspond to finite number of points  $x_1, \ldots, x_N \in X$  and then we want to say  $X = \bigcup_n X_n$  as in (a).

I do not have a very sharp idea for this problem because I will admit that here I have a severe weakness. I need to adjust my intuitions which are wrong about Banach spaces because I was so used to geometric thinking that it did not occur to me that these Banach spaces are not the sorts of things I would like them to be at all.

I am going to go back to Reed-Simon and Brezis to try to adjust my intuition here. This is just very devastating for me. I had such *beautiful* geometric intuition, and suddenly I feel small and finite dimensional and I don't like this feeling at all.

Chapter III of Reed-Simon deals with Banach Spaces and the first few of Brezis' book. I also have Riesz-Nagy but this is quite devastating, let me tell you. I treated Banach Spaces so well over the years. Why did they have to be this way?

#### 12. Zulf Is Now Concerned About Problem I.3

Are the conclusions of Problem I.3 really true? Baire Category Theorem only tells us that X is not a countable union of nowhere dense sets. If  $X = \bigcup_j A_j$  then some of the  $\bar{A}_j$  must have nonempty interiors.

Suppose I take  $L^2(S^1)$ . Then what is wrong with taking the basis  $e^{inx}$  and letting

$$A_j = Span\{e^{inx} : |n| \le j\}$$

Then I want to say  $L^2(S^1) = \bigcup_j A_j$ . Is this not true? Oh I see, an arbitrary element of  $L^2(S^1)$  has nonzero coefficients in all of the  $e^{inx}$  while  $\bigcup_j A_j$  only contains the elements with finite non-zero coefficients. The union will not exactly *ever reach*  $L^2(S^1)$ .

I see this. One should not consider limits of unions for approximations at all. Finite dimensional subspaces have to be treated with *analysis* and not *set theory*; we have to use something like: for  $\epsilon > 0$  we have

$$|x - \sum_{|n| \le N} x_n e^{inx}| \le \epsilon$$

but we can't really fill up the  $\epsilon$  with unions.

I start to understand this. Unions in this case are less refined set theoretic operations and are only technical constructions. In the Analysis one does not use them at all.

See, this is a serious subtlety. Set theoretic constructions are not as powerful in Analysis of infinite dimensional spaces.

No wonder all these weak topologies and other things are always causing problems for me. Take X a Banach space and consider the weak topology  $\mathcal{T}$ . The neighborhood base at zero consists of

$$N(f_1, ..., f_N; \epsilon_1, ..., \epsilon_N) = \{x : |f_i(x)| < \epsilon_i, f_i \in X^*\}$$

Lets call these  $N_{\alpha}$ . I am not sure how to make this precise yet, but the point of Problem I.3(b) is that one should be able to take countable union of these to fill up the entire space and then deduce something about X being finite dimensional.

Now for (b) I am more confused because sets that are intersections of sets like  $|f_j(x)| < \epsilon_j$  look like what? They are linear functionals, so the zero set of  $f_j(x)$  is a hyperplane that is infinite dimensional, morally codimension 1 subspace. So these are hyperplanes with a little bit of thickness to them.

How do we get span of finite number of vectors from these? This is extremely murky at the moment to me.

## 13. THE WORLD NEEDS MUCH CLEARER DETAILS ON WEAK TOPOLOGY ISSUES

Weak topology on Banach Spaces and Locally Convex Spaces, the development of intuition about how to deal with them, the arguments and techniques that have produced some actual results, and the adjustment of dealing with the disjunction of intuition that ordinary mortals have, these things I feel are in total disarray and anarchy.

I have been studying from Reed-Simon and Haim Brezis, and let me tell you right away that none of these weak topology arguments seem to be to be anything but technical mumbo-jumbo. I am deeply uncomfortable with them still because I don't have any sense of the naturality in this arena. I am literally feeling like a fish out of water and soon I will have all sorts of psychological paranoia, like weak topologies from another galaxy will begin to invade Earth.

In this case, frighteningly enough, *Bill Gates* who has an agenda to *literally enslave and destroy all non-white people* and is one of the most Evil horrible savage disgusting monsters who makes Adolf Hitler seem like a saint – no exaggeration intended here at all and I am serious – is the salvation. I regain my human wisdom and put things in perspective and suddenly I realise that it's *okay to consider all this technical mumbo-jumbo the realm of all sorts of technicians.* No law of Nature forces me, Zulfikar Moinuddin Ahmed, to suddenly at 49 grow functional analysis topology wings and fly through the arcane technicalities that were probably all plotted and foisted upon the innocent people by Jon Von Neumann out of malicious pleasure of schadenfreude, of other people's sufferings.

But the sad truth is that I am actually willing to learn this material. On my mind is only one thing, that my precious baby, my four-sphere theory might not survive if papa does not nourish it with care. Square integrable and  $L^p$  spinor fields on the four-sphere might need some of this mumbo-jumbo. So I swallow my intense discomfort and heroically push forward. What sacrifices have I not endured for my baby?

And so these are the ways that we human beings keep pursuing things that are perhaps not really meant for human beings at all.

Now I ask the Earth, "Oh Earth, what is this issue of first countable weak topology basis of Banach space forces it to be finite dimensional?" I ask the Heavens, the Sky and the Ocean, and to no avail. They remain silent. They offer no solace for my distress. Such is the loveless cold world.

#### 14. Barry Simon's Real Analysis I

Thank Heavens for Barry Simon. Some years ago, I bought his volumes Comprehensive Course In Analysis. Let me show you the pictures of Sections 3.6 and 5.7 dealing with weak topologies.

Yes, it matters that I have actual physical hard copies of the books that I am studying.

and 
$$P_n^{\star}(e^{i\theta})$$
 means  $\frac{d}{d\theta}f(\theta)$  with  $f(\theta) = 1$ . (not as a sum) and  $P_n^{\star}(e^{i\theta})$  means  $\frac{d}{d\theta}f(\theta)$  with  $P_n^{\star}(e^{i\theta})$  means  $P_n^{\star}(e^{i\theta})$  means  $P_n^{\star}(e^{i\theta})$  and  $P_n^{\star}(e^{i\theta})$  means  $P_n$ 

# The Weak Topology

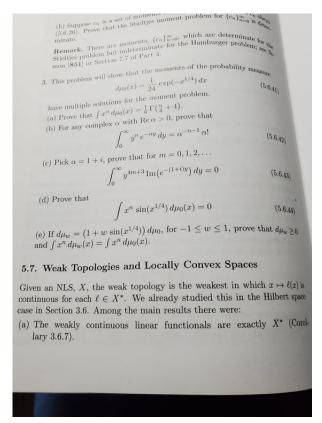
The central parts of this chapter are concluded. The final hint at further directions. In this section, we introduce and explain the basic compactness result that is respons This material will be largely subsumed in the discussion nach space case in Sections 5.5 and 5.7. In the next se some special classes of operators, whose discussion will Part 4 and in the final section, we discuss tensor produ

So far, the only topology we've put on a Hilbert sp (aka strong) topology, where a net,  $\varphi_{\alpha}$  converges to  $\varphi$  if a  $\varphi \parallel = 0.$ 

**Definition.** The weak topology on  $\mathcal{H}$  is the weakest top for all  $\psi \in \mathcal{H}$ ,  $\varphi \mapsto \langle \psi, \varphi \rangle$  is continuous.

Thus, a neighborhood base for  $\eta \in \mathcal{H}$  is given by  $\{\varphi \mid |\langle \psi_1, \varphi - \eta \rangle| < \varepsilon_1, \dots, |\langle \psi_n, \varphi - \eta \rangle| < \varepsilon_n\} \equiv N_{\psi}$ 

as  $\psi_1, \ldots, \psi_n$  runs through all *n*-tuples in  $\mathcal{H}, \varepsilon_j > 0$ , bu and  $n = 1, 2, \dots$  Equivalently a not



You see, if people don't know that you are actually being serious and examining these issues with some idea of where the answers might come from, they can't take you seriously.

I simply don't understand what is really going on in Problem II.3 and I don't think it's just a minor issue. I need to have some sense of how to manage weak topologies and I don't know enough about them to do this.

#### 14.1. Lemma 3.6.5 and 3.6.6 of Barry Simon.

**Lemma 1.** If X is any vector space and  $a \in \mathbb{R}^n$  and  $\ell_1, \ldots, \ell_n$  are linear functionals that are linearly independent, then there must exist  $x \in X$  such that  $(\ell_1(x), \ldots, \ell_n(x)) =$ 

*Proof.* Let  $Q = \{(\ell_1(x), \dots, \ell_n(x)) : x \in X\}$ . If  $Q \neq \mathbf{R}^n$  then let  $b \in \mathbf{R}^n$  and for all  $x \in X$  we have  $\sum b_i \ell_i(x) = 0$  and that contradicts linear independence.

**Lemma 2.** Suppose X is a vector space and  $\ell_1, \ldots, \ell_n$  are linear functionals. Now  $suppose \ \ell$  is another linear functional such that

$${x : |\ell(x)| < 1} \subset {x : |\ell_1(x)| < \epsilon, \dots, |\ell_n(x)| < \epsilon}$$

Then  $\ell$  is a linear combination of  $\ell_i$ .

*Proof.* Suppose  $(\ell, \ell_1, \dots, \ell_n)$  are linearly independent. Then we can find some  $x_0$ with  $\ell(x_0) = 2$  but  $\ell_j(x_0) = 0$ . The latter puts  $x_0$  in the set in the smaller set  $\{x: |\ell_i(x)| < \epsilon\}$  but the first condition says  $x_0$  is not in the bigger set. Therefore  $\ell = \sum a_j \ell_j$ .  Now 3.6.4 of Barry Simon seems to be exactly the right sort of thing to understand. It says that in a *Hilbert space* if  $x_n$  is countable then there is a y that is not a finite linear combination of elements of  $\{x_n\}$ . Let us try to prove this for a Banach Space. Here we need to know a result that says that if  $K \subset X$  is any linear subspace then there is a  $y \in X$  with  $\inf_{k \in K} ||y - k|| \ge 1/2$ . Let  $K_n = Span\{x_1, \ldots, x_n\}$ .

Let's assume that this is known for a Banach space. The proof of Barry Simon's 3.6.8 seems to contain the main issue of this finite dimensionality business.

That is progress for Problem I.3(b). We note that this requires some unusual mixing of linear algebra and these weak sets. In other words, this is technical mumbo-jumbo turf for me.

#### 15. February 1 2022 Another Attempt At Problem I.3(b)

I don't really worry too much about failures as learning entails failures. The issue is that we have a Banach Space X and we are given that there is a countable base of neighborhoods at every point. We are give an hint to show that the dual space has some property.

So let's return to this issue. By assumption, there is a countable base of neighborhoods at zero in X. In other words there is a collection  $U_k$  of neighborhoods of zero such that for any open set W containing zero we will have  $U_k \subset W$ .

I won't even try to get a sharp proof of this result. Let us assume that not only that we have a countable set of neighborhoods  $U_k$  but in addition that associated to each  $U_k$  there exists  $\ell_1^k,\ldots,\ell_{N_k}^k\in X^*$  and also  $\epsilon_1^k,\ldots,\epsilon_{N_k}^k$  such that all of the  $U_k$  are in the standard form

$$U_k = N(\ell_1^k, \dots, \ell_{N_k}^k, \epsilon_1^k, \dots, \epsilon_{N_k}^k)$$

I will not attempt in this qual exam to go through the issue of how weak topology by construction allows us to choose a *finite* number of linear functionals to define the neighborhood bases.

This is one of the things I really really hate about weak topologies on Banach Spaces X. Almost no one really spells out clearly why these steps are justified, and no one really wants to write them either and so they are repeatedly put in exercises for the reader in most presentations. And I am 99% sure that no ordinary normal human being finds any of this all that interesting so naturally not many people have any clue about what is going on. This is part of life, where no one wants to do it and then people pull rabbits out of a hat and put everything under the rug. That's what happens when Jon Von Neumann introduces these things in 1929. People know it's important but everyone is interested in other, naturally much more important, things and so no one spends any time being very clear about what is going on. And in the end Zulf has to pay for it because Zulf does not have any interest in spending a lot of time on such technical mumbo-jumbo either but is forced to because I don't know why any of the propositions are valid at all and then I feel guilty about using the theorems. I am not Bill Gates who lies constantly about all things and pretends that while he has been pretending to be liberal and normal in his public appearances his whole entire life is fake and in actuality his entire life revolved around white power and white superiority and pretending that being white allows you magical genius without effort and he is proud of his work in destroying my career and livelihood, health and earning, personal life because he feels that all other white people are like that too and don't talk about it. I simply

cannot even believe that United States Government did not send in lethal force to nuke his ass sky high the moment he was born. They will obviously pay, and their cost will be \$1-2 trillion just for this insolence against Zulf.

But let us return to the issue at hand. We want to prove that first countability of weak topology on X leads to a conclusion that  $X^* = \bigcup_j X_j$  where  $X_j$  is a span of a finite number of linear functionals.

Let's examine first what each of the  $U_k$  are giving us. Barry Simon's Lemma 3.6.6 would tell us that if any weakly open set defined by a single functional  $\ell$  contains  $U_k$  then it will be a linear combination of  $\ell_1^k, \ldots, \ell_{N_k}^k$ . This suggests that we ought to obtain  $X^*$  as the following sort of thing:

(1) 
$$B_k = Span(\bigcup_{p=1}^k \{\ell_1^p, \dots, \ell_{N_p}^p)\}$$

I have not told you how to get this yet. Be aware that I am confused about these issues, and just exploring. I am seeking the right sort of approach blindly. Now if we did manage to secure  $X^* = \bigcup_k B_k$  then we can apply Problem I.3(a) to  $X^*$  and conclude it is finite dimensional and since  $X \subset X^{**}$  and the right side is finite dimensional, so is X and we're done with Problem I.3(b).

But this translation (1) is the heart of the problem. I am not even sure if (1) is true yet. That is the problem. Manipulation of weak neighborhoods and making conclusions about them is murky. The reason is quite clear. Jon Von Neumann was really probably from another galaxy and had absurd abilities with arcane knowledge that no human being was ever able to penetrate but we pretend that we understand what he did. I am an honest man, and I am sure I don't understand these arcane machinations. And that is why we are in the situation of confusion right now.

#### 16. SEARCH FOR CLARITY FOR WEAK TOPOLOGY ON BANACH SPACES

Let X be a Banach Space. We are concerned about the Weak Topology, let us call it  $\mathcal{T}_w$  on X.

There is nothing so totally arcane as this sort of game one plays between set theoretic arguments and firm conclusions about linear subspaces of  $X^*$ .

It is so infuriating that I am just going to try to clear up these issues. We made progress before. Let us assume known that a neighborhood base at zero for  $\mathcal{T}_w$  consists of sets of form

$$N(\ell_1,\ldots,\ell_N,\epsilon_1,\ldots,\epsilon_N) = \{x \in X : |\ell_i(x)| < \epsilon_i, 1 \le j \le N\}$$

We get to this by rigorous construction of the weak topology as the coarsest topology that ensures that all the linear functionals  $\ell \in X^*$  will be continuous as functions  $\ell : X \to \mathbf{R}$  with the ordinary topology on  $\mathbf{R}$ . So there is no deep theorem involved for why neighborhood base elements only involve finite number of functionals. This was quite confusing for me some weeks ago in another Stanford Qual that I was doing. I was then wondering, "Huh, what? How do we know that only finitely many linear functionals are guaranteed to produce the topology  $\mathcal{T}_w$ ?" It was some work before Haim Brezis' presentation cleared up the issue and it was a matter of technical matter of finite intersections are in topologies generally.

Note that this has nothing to do with first countable or whatever other qualities we are interested in examining. Every weak topology of a Banach space always has a neighborhood base at zero of this form. Once the form is known, we say, "And

then we vary  $\ell_j$ ,  $\epsilon_j$  over all possible elements of  $X^*$  and  $(0, \infty)$  and this gives us the base.

Now let us specialise to the case at hand, that we know that  $\mathcal{T}_w$  is first countable. What changes here is that we do not go through all possible  $\ell_j \in X^*$  and  $\epsilon_j \in (0, \infty)$  any more. Instead we have a countable set.

At this point we can identify our countable base  $\{U_k\}_{k=1}^{\infty}$  with form and get

$$U_k = N(\ell_1^k, \dots, \ell_{N_k}^k, \epsilon_1^k, \dots, \epsilon_{N_k}^k)$$

Look, we are not trying to be rigorous here. We are instead trying to understand what is going on.

See, there is a maneuver we need to do for Problem I.3(b) and I don't understand the particular clear steps to make the maneuver justified. The maneuver has to start with this countable base and reach some conclusion about  $X^*$  but in a careful manner so that we can actually prove something.

Suppose  $\ell \in X^*$  is arbitrary. Aha. That is the key point. When  $\ell \in X^*$  is arbitrary the weak topology  $\mathcal{T}_w$  ensures that it is continuous. This means that

$$\{x: |\ell(x)| < \epsilon\}$$

must contain a  $U_k$ . This will work. This implies

$$\ell = \sum_{r} a_r \ell_r^k$$

Now when we go over all elements of  $\ell \in X^*$  we see that

$$X^* = \bigcap_{k=1}^{\infty} Span(\ell_1^k, \dots, \ell_{N_k}^k)$$

This is a valid step. It is an extremely annoying technicality, but this is now reasonable. Then we apply Problem I.3(a) and conclude, using Baire Category Theorem, that  $X^*$  is finite dimensional because one of the spans must have non-empty interior.

I don't even understand how this happened. We have a valid end to Problem 1.3(b).

Let me tell you what is so confusing. These arguments rely on one having a sharp sense of what the neighborhood bases are generally, and what they are when someone says 'assume countable' and then knowing esoteric lemmas from the depths of Barry Simon's Comprehensive Course In Analysis and then using all sorts of topological arguments.

Whew. I'm glad this is done now. I thank Barry Simon for writing about this. Who would have cooked up this? Never mind it was Jon Von Neumann. I will fast exit from this weak topology business. Hahaha I live! I'm still alive after this harrowing experience!

#### 17. Problem I.4

I am going to do a simple computation here before getting to the problem. Let  $g(x) = e^{-iax^2}$  and let's calculate the one-dimensional Fourier transform.

$$ax^{2}/2 + x\xi = a/2(x^{2} + 2x\xi/a)$$

$$= a/2(x^{2} + 2x\xi/a + (\xi/a)^{2}) - a/2(\xi/a)^{2}$$

$$= a/2(x + \xi/a)^{2} - a/2(\xi/a)^{2}$$

So the Fourier transform of g looks like

$$e^{ia/2(\xi/a)^2} \int e^{-ia/2(x+\xi/a)^2} dx$$

Then we do a substitution  $u = x + \xi/a$  and claim

$$C = \int e^{-iu^2} du$$

the end result will be

$$\hat{g}(\xi) = Ce^{ia/2(\xi/a)^2}$$

We're going to take this as our model for the Problem I.4. Since  $det(A) \neq 0$  we just use  $A^{-1}$  without comment. We will comment on convergence issues later.

First let's just give the answer.

$$\hat{f}(\xi) = Ce^{i\frac{1}{2}\langle A^{-1}\xi, A^{-1}\xi\rangle}$$

That's the answer after the various steps are justified. I will examine the various steps later on.

#### 18. Interlude: Commentary On My Development

In the past months I had been doing some of the Ph.D. Qualifying Exams of Stanford University. I think it is worthwhile to examine some of the strengths and weaknesses that I can detect in my own abilities as a result.

One broad theme is that I am much more comfortable with more eighteenth and nineteenth century mathematical issues than more modern ones in Analysis. This I attribute to my geometric focus from Princeton years. These are double-edged swords, in a way. If I had spent more time developing in modern analysis, i.e. Lebesgue integration theory and Distribution theory, I would probably have not instead been naturally led to four-sphere theory. And that would have been unfortunate. Four-sphere theory is, I am extremely sure, one of the most momentous events in the entire 350 years of Science, although it is not yet seen to be so. It is only after empirical success was certain in four-sphere theory after more than 15 years of labour without income, without a reasonable life in America, living on disability, that success was apparent. And it invited all the predators and the parasites to destroy me, the foremost among them this horrid and evil, savage and barbaric, malevolent and destructive Bill Gates.

In terms of mathematical education, it is clear that my weaknesses have a historical regularity. Weak topologies were introduced in 1929 by Jon Von Neumann, and first Sergei Sobolev and then Laurent Schwartz introduced distribution theory between 1935-1945. This gives me some solace, for I was wondering why these things seem suddenly unfamiliar and I am lost with these. They represent a different mode of mathematical thought than the issues that I understand better which are still pre-Lebesgue and pre-Schwartz.

It is clear that I ought to gain better education on these issues, and I was looking at Robert Strichartz' book. I suddenly gain clarity regarding my own path to establish four-sphere theory. Some days ago I realised that four-sphere theory naturally suggests that distributions that will have existence in nature ought to be ubiquitous. These are in the dual of smooth spinor fields on the four-sphere.

I can see conceptually that distribution theory and measurability, as Robert Strichartz sees them, completions of integration theory and differential calculus belongs quite

naturally in four-sphere theory in the actual objects of nature, in the scientific theory itself.

And for this reason I am willing to put in some effort in learning about these to some level of competence. Both measurable spinor fields and distributional spinor fields, I hypothesize must exist in nature.

In Robert Strichartz' book A Guide To Distribution Theory And Their Fourier Transforms he often mentions that in many situations of partial differential equations often there is no reason to prefer a classical solution over a distributional solution [2].

I am not a professional Mathematician but a Scientific Revolutionary and so I am not actually advocating any particular interpretation of orthodox theory that is established at all. My concern is to insert distributional solutions are physically meaning in all cases in four-sphere theory.

In other words I am not advocating anything at all about orthodox established theories. I am mandating that in four-sphere theory distributional solutions of Ahmed-d'Alembert Equation are physically meaningful as part of four-sphere theory. I did not gain the idea from Strichartz or Laurent Schwartz who are Mathematicians. My reasoning is based on my philosophy of Mathematics and exact representability of Nature.

I won't go through the issues again in detail. When the fundamental equation is a wave equation, it is intuitively clear that Nature will produce an abundance of distributional solutions that are not 'classical solutions'. That's just the sort of equations wave equations are.

#### 19. Problem I.5

#### 20. Problem II.4

#### References

- [1] https://github.com/zulf73/S4TheoryNotes
- [2] Robert Strichartz, A Guide To Distribution Theory And Their Fourier Transforms, CRC 1993