Cryptography and Security

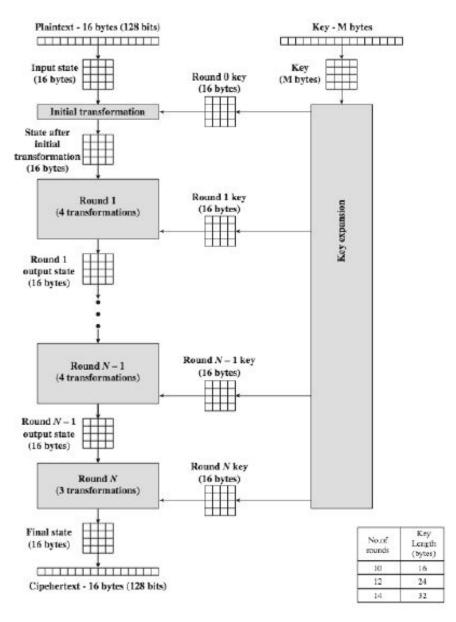
Lecture 8

Advanced Encryption Standard

History of AES

- Clear a replacement for DES was needed
 - have theoretical attacks that can break it.
 - have demonstrated exhaustive key search attacks
- Can use Triple-DES but slow, has small blocks
- US NIST issued call for ciphers in 1997
- 15 candidates were accepted in Jun 98
- 5 were shortlisted in Aug-99
- Rijndael was selected as the AES in Oct-2000
- issued as FIPS PUB 197 standard in Nov-2001

AES Structure



- ✓ Plaintext size = 128 bits or 16 bytes Key size = 16 (AES-128), 24 (AES-192) and 32 (AES-256) bytes.
- The cipher consists of N rounds depend on the key length.
- ✓ First N-1 round consists of 4 distinct transformation functions:
 - ✓ SubBytes
 - ✓ ShiftRows
 - ✓ MixColumns
 - ✓ AddRoundKey

Round N: consists of 3 transformations.

Round 0: single transformation (AddRoundKey).

✓ The key expansion generates N+1 round keys, each of which is a distinct 4×4 matrix.

AES Data Structure

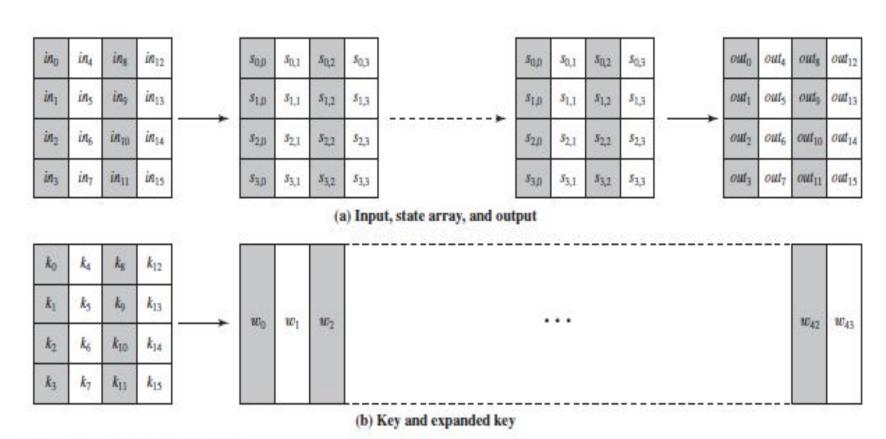


Figure 5.2 AES Data Structures

Detailed Structure

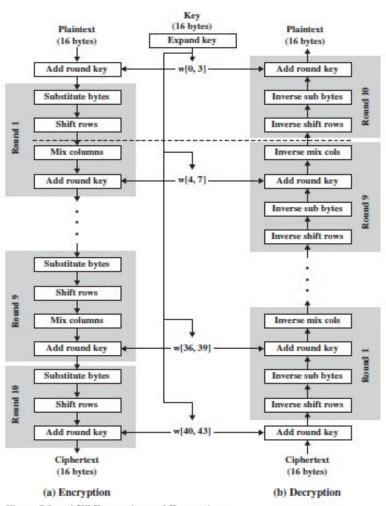


Figure 5.3 AES Encryption and Decryption

- 1. Iterative rather than Feistel cipher
- 2. key expanded into array of forty-four 32-bit words 4 words form round key in each round
- 3. 4 different stages are used as shown
- 4. has a simple structure
- 5. only AddRoundKey uses key
- 6. AddRoundKey a form of Vernam cipher
- 7. each stage is easily reversible
- 8. decryption uses keys in reverse order
- 9. decryption does recover plaintext
- 10.final round has only 3 stages

Detailed Structure

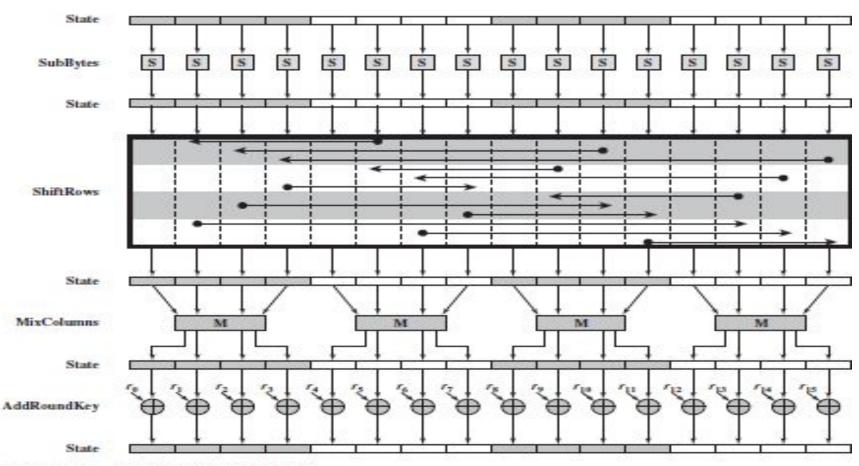
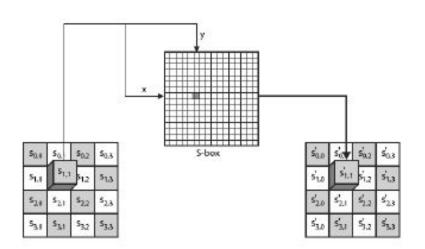


Figure 5.4 AES Encryption Round

Substitute Bytes Transformation

- A simple substitution of each byte
- Uses one table of 16x16 bytes containing a permutation of all 256 8-bit values
- Each byte of state is replaced by byte indexed by row (left 4-bits) & column (right 4-bits)
- eg. byte {95} is replaced by byte in row 9 column 5, which has value {2A}
- S-box constructed using defined transformation of values in GF(2⁸)
- Designed to be resistant to all known attacks.

Substitute Bytes Transformation



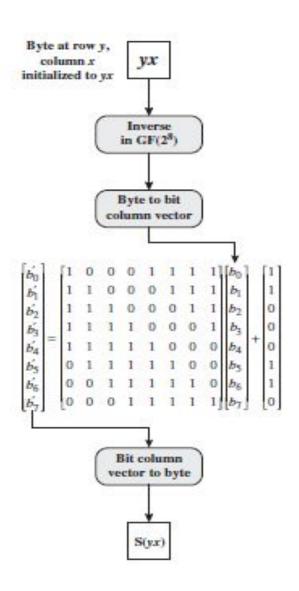
EA	04	65	85	87	F2	4D	97
83	45	5D	96	EC	6E	4C	90
5C	33	98	В0	 4A	C3	46	E7
FO	2D	AD	C5	8C	D8	95	A6

Table	5.2	AES	S-Bo	xes													
				× 37					. 8	y	- 8			- 8		- 3	
		0	1	2	3	4	5	6	7	8	9	Α	В	C	D	E	F
	0	63	7C	77	7B	F2	6B	6F	C5	30	01	67	2B	FE	D7	AB	76
1 3	1	CA	82	C9	7D	FA	59	47	FO	AD	D4	A2	AF	9C	A4	72	CO
	2	B7	FD	93	26	36	3F	F7	CC	34	A5	E5	F1	71	D8	31	15
	3	04	C7	23	C3	18	96	05	9A	07	12	80	E2	EB	27	B2	75
1 8	4	09	83	2C	1A	1B	6E	5A	A0	52	3B	D6	B3	29	E3	2F	84
1 3	5	53	D1	00	ED	20	FC	B1	5B	6A	CB	BE	39	4A	4C	58	CF
×	6	D0	EF	AA	FB	43	4D	33	85	45	F9	02	7F	50	3C	9F	A8
x	7	51	A3	40	8F	92	9D	38	F5	BC	B6	DA	21	10	FF	F3	D2
*	8	CD	0C	13	EC	5F	97	44	17	C4	A7	7E	3D	64	5D	19	73
	9	60	81	4F	DC	22	2A	90	88	46	EE	B8	14	DE	5E	0B	DB
	A	E0	32	3A	0A	49	06	24	5C	C2	D3	AC	62	91	95	E4	79
	В	E7	C8	37	6D	8D	D5	4E	A9	6C	56	F4	EA	65	7A	AE	08
	C	BA	78	25	2E	1C	A6	B4	C6	E8	DD	74	1F	4B	BD	8B	8A
1 8	D	70	3E	B5	66	48	03	F6	0E	61	35	57	B9	86	CI	1D	9E
	E	Ei	F8	98	11	69	D9	8E	94	9B	1E	87	E9	CE	55	28	DF
	**	0.01	1.4	on	ors	75.21	150	47	co	2.5	an	OTA	ore	mo	**	mn	4.0

										y							
		0	1	2	3	4	5	6	7	8	9	Α	В	C	D	E	F
	0	52	09	6A	D5	30	36	A5	38	BF	40	A3	9E	81	F3	D7	FE
	1	7C	E3	39	82	9B	2F	FF	87	34	8E	43	44	C4	DE	E9	CE
	2	54	7B	94	32	A6	C2	23	3D	EE	4C	95	OB	42	FA	C3	4E
	3	08	2E	A1	66	28	D9	24	B2	76	5B	A2	49	6D	8B	D1	25
	4	72	F8	F6	64	86	68	98	16	D4	A4	5C	CC	5D	65	B6	92
	5	6C	70	48	50	FD	ED	B9	DA	5E	15	46	57	A7	8D	9D	84
	6	90	D8	AB	00	8C	BC	D3	0A	F7	E4	58	05	B8	B3	45	06
x	7	D0	2C	1E	8F	CA	3F	0F	02	Ct	AF	BD	03	01	13	8A	6I
	8	3A	91	11	41	4F	67	DC	EA	97	F2	CF	CE	F0	B4	E6	73
	9	96	AC	74	22	E7	AD	35	85	E2	F9	37	E8	1C	75	DF	6I
	A	47	F1	1A	71	1D	29	C5	89	6F	B7	62	0E	AA	18	BE	11
	В	FC	56	3E	4B	C6	D2	79	20	9A	DB	C0	FE	78	CD	5A	F
	C	1F	DD	A8	33	88	07	C7	31	B1	12	10	59	27	80	EC	5F
	D	60	51	7F	A9	19	B5	4A	0D	2D	E5	7.A	9F	93	C9	9C	El
	E	A0	E0	3B	4D	AE	2A	F5	B0	C8	EB	BB	3C	83	53	99	61
	F	17	2B	04	7E	BA	77	D6	26	E1	69	14	63	55	21	0C	71

(b) Inverse S-box

Construction of S-Box



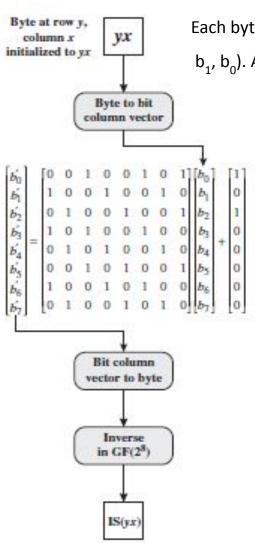
- 1. Initialize the S-box with the byte values in ascending sequence row by row.
- 2. Map each byte in the S-box to its multiplicative inverse in GF(2⁸). {00} is mapped to itself.
- 3. Each byte in the S-box consists of 8 bits labeled as $(b_7, b_6, b_5, b_4, b_3, b_2, b_1, b_0)$. Apply the following transformation to each bit

$$b'_{l} = b_{l} \oplus b_{(l+4) \mod 8} \oplus b_{(l+5) \mod 8} \oplus b_{(l+6) \mod 8} \oplus b_{(l+7) \mod 8} \oplus c_{l}$$

Where, byte c is the value 63, eg. $(c_7 c_6 c_5 c_4 c_3, c_2 c_1 c_0) = (01100011)$.

$$\begin{bmatrix} b'_0 \\ b'_1 \\ b'_2 \\ b'_3 \\ b'_4 \\ b'_5 \\ b'_6 \\ b'_7 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 1 & 1 & 0 & 0 & 0 & 1 & 1 & 1 \\ 1 & 1 & 1 & 0 & 0 & 0 & 1 & 1 \\ 1 & 1 & 1 & 1 & 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 1 & 1 & 0 & 0 & 0 \\ 1 & 1 & 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 1 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 & 1 \end{bmatrix} \begin{bmatrix} b_0 \\ b_1 \\ b_2 \\ b_3 \\ b_4 \\ b_5 \\ b_6 \\ b_7 \end{bmatrix} + \begin{bmatrix} 1 \\ 1 \\ 0 \\ 0 \\ 0 \\ 1 \\ 0 \end{bmatrix}$$

Construction of IS-Box



Each byte in the S-box consists of 8 bits labeled as $(b_7, b_6, b_5, b_4, b_3, b_2, b_1, b_0)$. Apply the following transformation to each bit

$$b'_i = b_{(i+2) \mod 8} \oplus b_{(i+5) \mod 8} \oplus b_{(i+7) \mod 8} \oplus d_i$$

Where, d={05}=00000101.

$$\begin{bmatrix} b_0' \\ b_1' \\ b_2' \\ b_3' \\ b_4' \\ b_5' \\ b_6' \\ b_7' \end{bmatrix} = \begin{bmatrix} 0 & 0 & 1 & 0 & 0 & 1 & 0 & 1 \\ 1 & 0 & 0 & 1 & 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 & 1 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 1 & 0 & 0 & 1 \\ 1 & 0 & 0 & 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 1 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 & 1 & 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} b_0 \\ b_1 \\ b_2 \\ b_3 \\ b_4 \\ b_5 \\ b_6 \\ b_7 \end{bmatrix} + \begin{bmatrix} 1 \\ 0 \\ b_2 \\ b_3 \\ b_4 \\ b_5 \\ b_6 \\ b_7 \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{bmatrix}$$

**Try example with {95} given in the book by yourself.

InvSubBytes is inverse of SubBytes

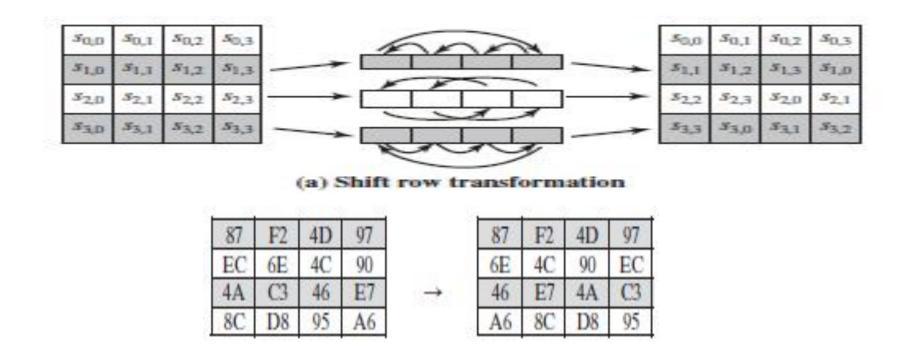
- **X** = matrix of SubBytes and **Y** = matrix of InvSubBytes
- C = matrix for constant c and D = matrix for constant d.
- From SubBytes, $B' = XB \oplus C$ It can be proved that $Y(XB \oplus C) \oplus D = B = YXB \oplus C \oplus D$
- ✓ YX produces identity matrix.
- ** Try the yourself from the book.

Rationale:

- ✔ Requires low correlation between input bits and output bits and the output is not a linear function of the input.
 - ✓ Non linearity is introduced by the use of multiplicative inverse.
 - \checkmark c is chosen so that no S-box(a) = a and S-box(a) = compl(a).
 - ✓ S-box does not self-inverse that is S-box(a) = IS-box(a) is not possible. Eg. S-box(95) = $\{2A\}$ but IS-box(95) = $\{AD\}$.

ShiftRows Transformation

- In forward shift row transformation, circular left shift is performed.
- In inverse shift row transformation, circular right shift is performed.
- This step scatters bytes of a columns to 4 different columns.



MixColumns Transformation

- Forward mix column transformation operates on each column individually.
- Each byte of a column is mapped into a new value that is a function of all 4 bytes in that column.

$$\begin{bmatrix} 02 & 03 & 01 & 01 \\ 01 & 02 & 03 & 01 \\ 01 & 01 & 02 & 03 \\ 03 & 01 & 01 & 02 \end{bmatrix} \begin{bmatrix} s_{0,0} & s_{0,1} & s_{0,2} & s_{0,3} \\ s_{1,0} & s_{1,1} & s_{1,2} & s_{1,3} \\ s_{2,0} & s_{2,1} & s_{2,2} & s_{2,3} \\ s_{3,0} & s_{3,1} & s_{3,2} & s_{3,3} \end{bmatrix} = \begin{bmatrix} s'_{0,0} & s'_{0,1} & s'_{0,2} & s'_{0,3} \\ s'_{1,0} & s'_{1,1} & s'_{1,2} & s'_{1,3} \\ s'_{2,0} & s'_{2,1} & s'_{2,2} & s'_{2,3} \\ s'_{3,0} & s'_{3,1} & s'_{3,2} & s'_{3,3} \end{bmatrix}$$

$$s'_{0,j} = (2 \cdot s_{0,j}) \oplus (3 \cdot s_{1,j}) \oplus s_{2,j} \oplus s_{3,j}$$

$$s'_{1,j} = s_{0,j} \oplus (2 \cdot s_{1,j}) \oplus (3 \cdot s_{2,j}) \oplus s_{3,j}$$

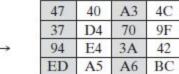
$$s'_{2,j} = s_{0,j} \oplus s_{1,j} \oplus (2 \cdot s_{2,j}) \oplus (3 \cdot s_{3,j})$$

$$s'_{3,j} = (3 \cdot s_{0,j}) \oplus s_{1,j} \oplus s_{2,j} \oplus (2 \cdot s_{3,j})$$

- ✓ Each element in the matrix is the sum of products of elements of one row and one column.
- \checkmark The individual additions and multiplications are performed in $GF(2^8)$.

MixColumns Example

87	F2	4D	97
6E	4C	90	EC
46	E7	4A	C3
A6	8C	D8	95



- ✓ In GF(2⁸), addition is bitwise XOR operation.
- ✓ Multiplication by 02 is implemented as a 1-bit left shift followed by a conditional XOR with 0001 1011 if the leftmost bit of the original value (prior shift) is 1.

For the first equation, we have $\{02\} \cdot \{87\} = (0000\ 1110) \oplus (0001\ 1011) = (0001\ 0101)$ and $\{03\} \cdot \{6E\} = \{6E\} \oplus (\{02\} \cdot \{6E\}) = (0110\ 1110) \oplus (1101\ 1100) = (1011\ 0010)$. Then,

```
{02} • {87} = 0001 0101

{03} • {6E} = 1011 0010

{46} = 0100 0110

{A6} = 1010 0110

0100 0111 = {47}
```

Inverse Mix Columns Transformation

$$\begin{bmatrix} 0E & 0B & 0D & 09 \\ 09 & 0E & 0B & 0D \\ 0D & 09 & 0E & 0B \\ 0B & 0D & 09 & 0E \end{bmatrix} \begin{bmatrix} s_{0,0} & s_{0,1} & s_{0,2} & s_{0,3} \\ s_{1,0} & s_{1,1} & s_{1,2} & s_{1,3} \\ s_{2,0} & s_{2,1} & s_{2,2} & s_{2,3} \\ s_{3,0} & s_{3,1} & s_{3,2} & s_{3,3} \end{bmatrix} = \begin{bmatrix} s'_{0,0} & s'_{0,1} & s'_{0,2} & s'_{0,3} \\ s'_{1,0} & s'_{1,1} & s'_{1,2} & s'_{1,3} \\ s'_{2,0} & s'_{2,1} & s'_{2,2} & s'_{2,3} \\ s'_{3,0} & s'_{3,1} & s'_{3,2} & s'_{3,3} \end{bmatrix}$$

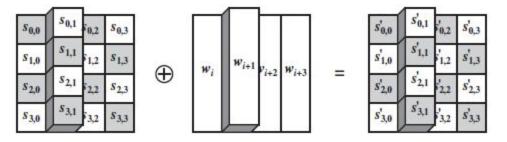
$$\begin{bmatrix} 0 \text{E} & 0 \text{B} & 0 \text{D} & 0 9 \\ 0 9 & 0 \text{E} & 0 \text{B} & 0 \text{D} \\ 0 \text{D} & 0 9 & 0 \text{E} & 0 \text{B} \\ 0 \text{B} & 0 \text{D} & 0 9 & 0 \text{E} \end{bmatrix} \begin{bmatrix} 0 2 & 0 3 & 0 1 & 0 1 \\ 0 1 & 0 2 & 0 3 & 0 1 \\ 0 1 & 0 1 & 0 2 & 0 3 \\ 0 3 & 0 1 & 0 1 & 0 2 \end{bmatrix} \begin{bmatrix} s_{0,0} & s_{0,1} & s_{0,2} & s_{0,3} \\ s_{1,0} & s_{1,1} & s_{1,2} & s_{1,3} \\ s_{2,0} & s_{2,1} & s_{2,2} & s_{2,3} \\ s_{3,0} & s_{3,1} & s_{3,2} & s_{3,3} \end{bmatrix} = \begin{bmatrix} s_{0,0} & s_{0,1} & s_{0,2} & s_{0,3} \\ s_{1,0} & s_{1,1} & s_{1,2} & s_{1,3} \\ s_{2,0} & s_{2,1} & s_{2,2} & s_{2,3} \\ s_{3,0} & s_{3,1} & s_{3,2} & s_{3,3} \end{bmatrix}$$

$$\begin{bmatrix} 0E & 0B & 0D & 09 \\ 09 & 0E & 0B & 0D \\ 0D & 09 & 0E & 0B \\ 0B & 0D & 09 & 0E \end{bmatrix} \begin{bmatrix} 02 & 03 & 01 & 01 \\ 01 & 02 & 03 & 01 \\ 01 & 01 & 02 & 03 \\ 03 & 01 & 01 & 02 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Try to verify using the example from your book.

AddRoundkey Transformation

- XOR state with 128-bits of the round key
- Processed by column

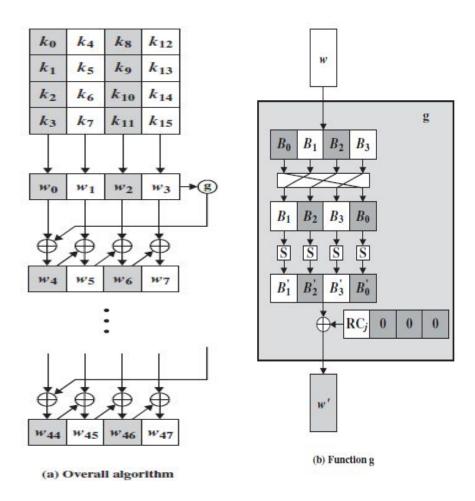


- Inverse for decryption identical
 - since XOR own inverse, with reversed keys

Key Expansion Algorithm

✓ Takes as input a four-word (16 bytes) key and produces a linear array of 44 words (176 bytes) which is sufficient to provide a 4 word round key for the initial AddRoundKey stage and each of the 10 rounds of the cipher.

```
KeyExpansion (byte key[16], word w[44])
   word temp
   for (i = 0; i < 4; i++) w[i] = (key[4*i], key[4*i+1],
                                   kev[4*i+2].
                                   kev[4*i+3]);
   for (i = 4; i < 44; i++)
     temp = w[i - 1];
     if (i mod 4 = 0) temp = SubWord (RotWord (temp))
                               (f) Rcon[i/4];
     w[i] = w[i-4] (+) temp
```



Key Expansion Algorithm

In Rcon[j], the 3 rightmost bytes are always 0.

j	1	2	3	4	5	6	7	8	9	10
RC[j]	01	02	04	08	10	20	40	80	1B	36

Design Consideration:

- designed to resist known attacks
- design criteria includes
 - knowing part key insufficient to find many more
 - invertible transformation
 - fast on wide range of CPU's
 - use round constants (RCj) to break symmetry
 - diffuse key bits into round keys
 - enough non-linearity to hinder analysis
 - simplicity of description

Do the calculation of generating round key by yourself.

An AES Example

 Read the example of Key Expansion and Encryption of AES by yourself.

Avalanche Effect of AES Algorithm

ble 5 A	valanche Effect in AES: Change in Plaintext	Number of Bits that Differ
Round	-2210	1
	0123456789abcdeffedcba9876543210 0023456789abcdeffedcba9876543210	1
0	002345676920556626b174ed92b5588 0e3634aece7225b6f26b174ed92b5588 0f3634aece7225b6f26b174ed92b5588	20
1	- FERRENCE ADDITION OF THE PROPERTY OF THE PRO	20
2	657470750fc7ff3fc0e8e8ca4dd02a9c c4a9ad090fc7ff3fc0e8e8ca4dd02a9c 5c7bb49a6b72349b05a2317ff46d1294	58.
	fe2ae569f7ee8bb8clf5a2bb37e25	59
3	ec093dfb7c45343d689017507d485e62	61
4	f867aee8b437a5210c24c1974cffeabc 43efdb697244df808e8d9364ee0ae6f5	20 20 21 27
5	721eb200ba06206dcbd4bce704fa654e 7b28a5d5ed643287e006c099bb375302	68
6	0ad9d85689f9f77bclc5f71185e5fb14 3bc2d8b6798d8ac4fe36a1d891ac181a	64
7	db18a8ffa16d30d5f88b08d777ba4eaa 9fb8b5452023c70280e5c4bb9e555a4b	67
8	f91b4fbfe934c9bf8f2f85812b084989 20264e1126b219aef7feb3f9b2d6de40	65
9	cca104a13e678500ff59025f3bafaa34 b56a0341b2290ba7dfdfbddcd8578205	61
0	ff0b844a0853bf7c6934ab4364148fb9 612b89398d0600cde116227ce72433f0	58

Avalanche Effect of AES Algorithm

Round		Number of Bits that Differ
	0123456789abcdeffedcba9876543210 0123456789abcdeffedcba9876543210	0
0	0e3634aece7225b6f26b174ed92b5588 0f3634aece7225b6f26b174ed92b5588	1
1	657470750fc7ff3fc0e8e8ca4dd02a9c c5a9ad090ec7ff3fc1e8e8ca4cd02a9c	22
2	5c7bb49a6b72349b05a2317ff46d1294 90905fa9563356d15f3760f3b8259985	58
3	7115262448dc747e5cdac7227da9bd9c 18aeb7aa794b3b66629448d575c7cebf	67
4	f867aee8b437a5210c24c1974cffeabc f81015f993c978a876ae017cb49e7eec	63
5	721eb200ba06206dcbd4bce704fa654e 5955c91b4e769f3cb4a94768e98d5267	81
6	0ad9d85689f9f77bc1c5f71185e5fb14 dc60a24d137662181e45b8d3726b2920	70
7	db18a8ffa16d30d5f88b08d777ba4eaa fe8343b8f88bef66cab7e977d005a03c	74
8	f91b4fbfe934c9bf8f2f85812b084989 da7dad581d1725c5b72fa0f9d9d1366a	67
9	cca104a13e678500ff59025f3bafaa34 0ccb4c66bbfd912f4b511d72996345e0	59
10	ff0b844a0853bf7c6934ab4364148fb9 fc8923ee501a7d207ab670686839996b	53

AES Decryption

- AES decryption is not identical to the encryption.
- Encryption Round:
 - SubBytes ShiftRows MixColumns AddRoundKey
- Decryption Round:
 - •InvShiftRows -InvSubBytes -AddRoundKey -InvMixColumns
 - •Interchanging InvSubBytes and InvShiftRows:

```
InvShiftRows [InvSubBytes (S_i)] = InvSubBytes [InvShiftRows (S_i)]
```

- Interchanging AddRoundKeys and InvMixColumns:
- •For a given state S_i and a round key w_j :

```
InvMixColumns (S_i \oplus w_j) = [InvMixColumns (S_i)] \oplus [InvMixColumns (w_j)]
```

AES Decryption

$$\begin{bmatrix} 0E & 0B & 0D & 09 \\ 09 & 0E & 0B & 0D \\ 0D & 09 & 0E & 0B \\ 0B & 0D & 09 & 0E \end{bmatrix} \begin{bmatrix} y_0 \oplus k_0 \\ y_1 \oplus k_1 \\ y_2 \oplus k_2 \\ y_3 \oplus k_3 \end{bmatrix} = \begin{bmatrix} 0E & 0B & 0D & 09 \\ 09 & 0E & 0B & 0D \\ 0D & 09 & 0E & 0B \\ 0B & 0D & 09 & 0E \end{bmatrix} \begin{bmatrix} y_0 \\ y_1 \\ y_2 \\ y_3 \end{bmatrix} \oplus \begin{bmatrix} 0E & 0B & 0D & 09 \\ 09 & 0E & 0B & 0D \\ 0D & 09 & 0E & 0B \\ 0B & 0D & 09 & 0E \end{bmatrix} \begin{bmatrix} k_0 \\ k_1 \\ k_2 \\ k_3 \end{bmatrix}$$

```
[\{0E\} \cdot (y_0 \oplus k_0)] \oplus [\{0B\} \cdot (y_1 \oplus k_1)] \oplus [\{0D\} \cdot (y_2 \oplus k_2)] \oplus [\{09\} \cdot (y_3 \oplus k_3)]
= [\{0E\} \cdot y_0] \oplus [\{0B\} \cdot y_1] \oplus [\{0D\} \cdot y_2] \oplus [\{09\} \cdot y_3] \oplus
[\{0E\} \cdot k_0] \oplus [\{0B\} \cdot k_1] \oplus [\{0D\} \cdot k_2] \oplus [\{09\} \cdot k_3]
```

