

# Lecture 15

## Top-Down Parsing

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Troy Vasiga et al  
University of Waterloo

## Parsing

Given a grammar  $G$  and a word  $w$ , find a derivation for  $w$ .

Two strategies:

1. Top-down: find a non-terminal and replace it with a right-hand side of a rule.

Natural: humans do this  
 $S \xrightarrow{③} aAbX$

2. Bottom-up: replace a right-hand side with a non-terminal.

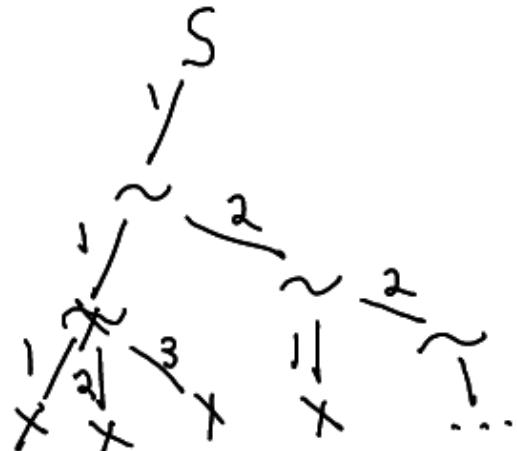
$\underbrace{A_1 b Y_1}_T \Rightarrow A_2 B$  machines are good  
at this.

In both of the above strategies, we have to make the correct decision at each step.  
which rule to use?

## Parsing Algorithm

- ▶ There is a backtracking algorithm for parsing in any CFG
  - ▶ try each rule in turn
  - ▶ if we can move “forward”, do so
  - ▶ if we cannot move “forward”, go back a step and try the “next” rule
  - ▶ stop when we find the derivation

- ▶ Backtracking is not practical.
  - exhaustive search
  - exponential time



- ▶ We will look at two (linear-time) algorithms.

## Stack-based Parsing

e.g.  $(())()$

- if ( push
- if ) pop
- never pop empty
- make sure empty at end

For top-down parsing, we use a stack to remember information about our derivations and/or processed input.

Recall: Context free languages are recognized by a finite control & one stack.

## Augmenting Grammars

Empty words and empty stacks can cause hassles.

We augment our grammars by adding "beginning" and "ending" characters.

$\text{BOF}$                      $\text{EOF}$   
↓                        ↓  
 $\vdash$                      $\dashv$

Example:

1.  $S' \rightarrow \vdash S \dashv$
2.  $S \rightarrow A y B$
3.  $A \rightarrow ab$
4.  $A \rightarrow cd$
5.  $B \rightarrow z$
6.  $B \rightarrow wz$

## A simple parse

$\vdash a b y w$   
 $\sqrt{\quad} \sqrt{\quad} \sqrt{\quad} \sqrt{\quad}$

$$\begin{aligned} S' &\xrightarrow{\textcircled{1}} \vdash S \dashv \\ &\xrightarrow{\textcircled{2}} \vdash A y B \dashv \\ &\xrightarrow{\textcircled{3}} \vdash a b y B \dashv \\ &\xrightarrow{\textcircled{4}} \vdash a b y w z \dashv \end{aligned}$$

## Top-down parsing with a stack

Invariant:

derivation = input already read + stack  
always true  
concatenated  
read from the top-down

expand: pop LHS  
and push RHS  
in reverse.

## Stack Example

Derivation	Input read	Input to be read	Stack	Actions
$S'$		t a b y w z -	$S'$	expand ①
$t S -$		a b y w z -	$t S -$	match
$t S -$	t	a b y w z -	$S -$	expand ②
$t A y B -$	t	a b y w z -	$A y B -$	expand ③
$t a b y B -$	t	a b y w z -	$a b y B -$	match
$t a b y B -$	t a	b y w z -	$y B -$	match
$t a b y B -$	t a b	y w z -	$w z -$	match
$t a b y B -$	t a b y	w z -	$w z -$	expand ⑥
$t a b y w z -$	t a b y	w z -	$w z -$	match
$t a b y w z -$	t a b y w	z -	$z -$	match
$t a b y w z -$	t a b y w z	-	-	ACCEPT

## Observations:

How do we apply these rules? What does "expand" mean?

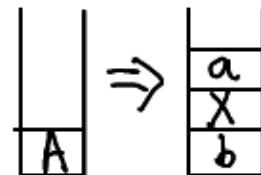
expanding by  
a rule

$\downarrow$   
→ pop LHS  
non-terminal  
→ push RHS  
in reverse order

How do we know we are done?

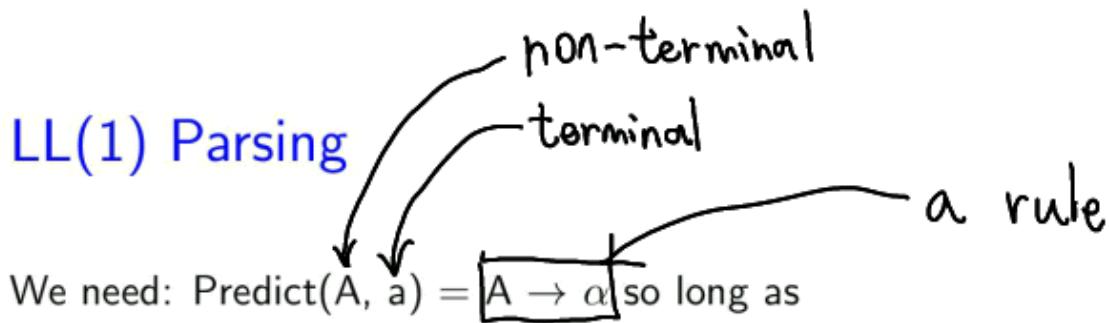
Stack contains EOF  
and input contains EOF

$$A \rightarrow aXb$$



How to know which rule to use?

predict correctly



- ▶ A is on top of the stack, and
- ▶ a is the first symbol of input to be read

Definition of an LL(1) grammar:

$$\forall A \in N, \forall a \in T, |\text{Predict}(A, a)| \leq 1$$

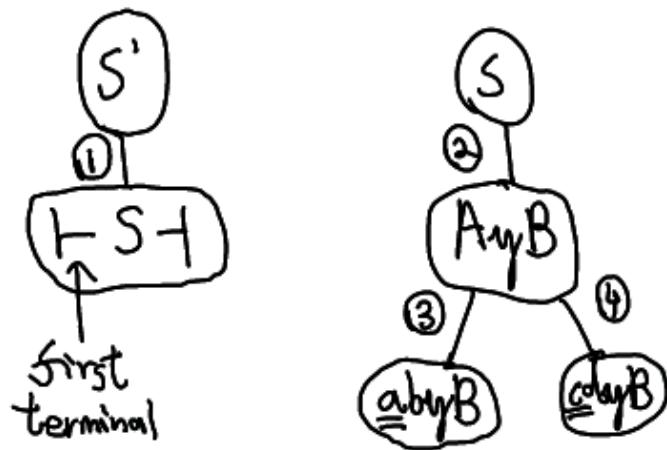
Meaning of: non-terminal terminal

- ▶ L Left-to-right input
- ▶ L Leftmost derivation
- ▶ 1 1-token of "look ahead" (input)

## Constructing a Predictor Table

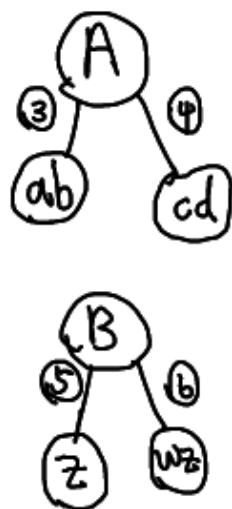
CFG:

1.  $S' \rightarrow \vdash S \dashv$
2.  $S \rightarrow AyB$
3.  $A \rightarrow ab$
4.  $A \rightarrow cd$
5.  $B \rightarrow z$
6.  $B \rightarrow wz$



	a	b	c	d	y	w	z	$\vdash$	$\dashv$
$S'$	E	E	E	E	E	E	E	I	E
S	2	E	2	E	E	E	E	E	E
A	3	E	4	E	E	E	E	E	E
B	E	E	E	E	E	6	5	E	E
	↑	↑	↑	↑					

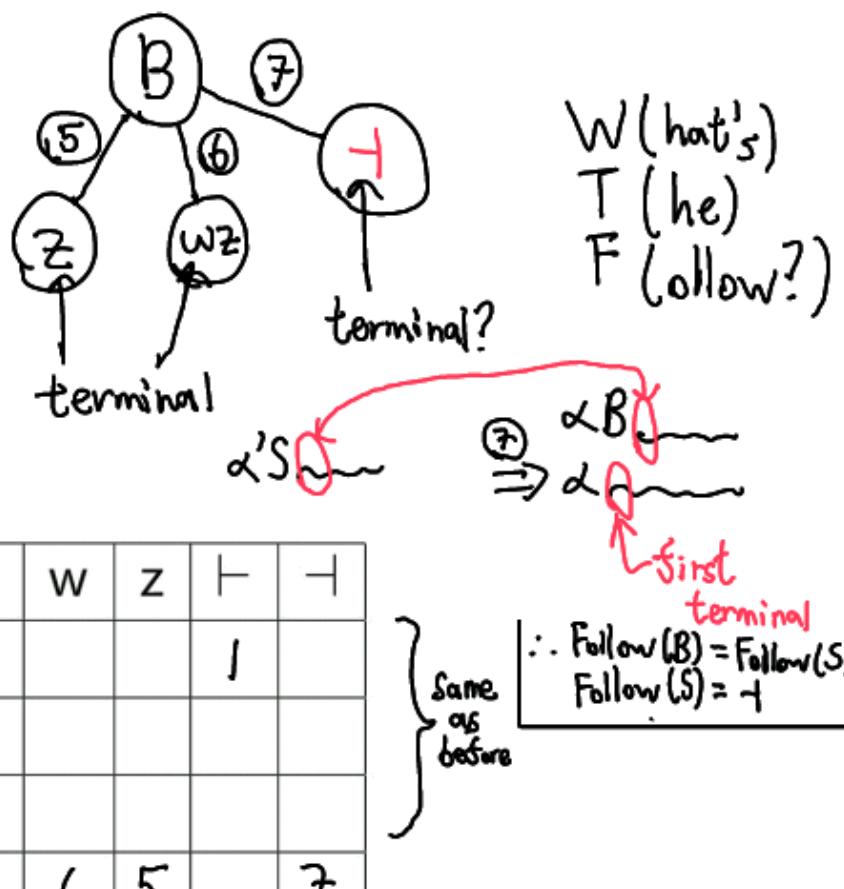
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## Constructing a Predictor Table (with $\epsilon$ )

CFG:

1.  $S' \rightarrow \vdash S \dashv$
2.  $S \rightarrow AyB$
3.  $A \rightarrow ab$
4.  $A \rightarrow cd$
5.  $B \rightarrow z$
6.  $B \rightarrow wz$
7.  $B \rightarrow \epsilon$



## Algorithm to construct predictor table

Below,  $\alpha, \beta \in (N \cup T)^*$ ,  $a, b \in T$ ,  $A \in N$

$\text{Empty}(\alpha) = \text{true}$  if  $\alpha \Rightarrow^* \epsilon$

Can  $\alpha$  disappear?

Implement: if  $x \in T$  in  $\alpha \Rightarrow \text{false}$   
if no terminals:  
Search tree on each non-terminal.

$\text{First}(\alpha) = \{a \mid \alpha \Rightarrow^* a\beta\}$

starting from  $\alpha$ , what can I generate as a first terminal

$\text{Follow}(A) = \{b \mid S' \Rightarrow^* \alpha A b\}$

Starting from the start symbol, does the terminal  $b$  ever immediately follow  $A$ ? Look at RHS

$\text{Predict}(A, a) = \left\{ A \rightarrow \alpha \mid a \in \text{First}(\alpha) \right\} \cup \left\{ A \rightarrow \beta \mid a \in \text{Follow}(A) \text{ and } \text{Empty}(\beta) = \text{true} \right\}$

## LL(1) Parsing algorithm

Input:  $w$

push  $\vdash S \dashv \leftarrow$  in reverse

for each  $a \in w$

    while (top of stack is some  $A \in N$ ) {

        pop  $A$

        if  $\text{Predict}(A, a) = \{A \rightarrow \alpha\}$

            push  $\alpha \leftarrow$  in reverse

        else

            reject  $\leftarrow$  no rule found

}

    pop  $c \leftarrow$  terminal

    if  $c \neq a$  reject  $\leftarrow$  no-match

    try to expand

    try to match

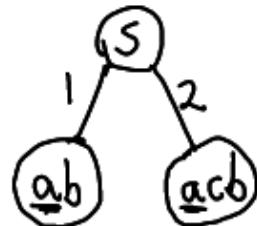
end for

accept  $w$

$$\mathcal{O}(n) \leftarrow n = |G| + |w|$$

## Non LL(1) Grammars

$$G: \begin{array}{l} 1. S \rightarrow a \ b \\ 2. S \rightarrow a \ c \ b \end{array}$$



$$|L(G)| = 2$$

Not LL(1):  $S \quad \begin{matrix} a & b & c \\ | & | & | \\ 1,2 & & \end{matrix}$

It is LL(2):  $S \quad \begin{matrix} aa & ab & ac & ba & bb & bc & ca & cb & cc \\ | & | & | & | & | & | & | & | & | \end{matrix}$

## Converting non-LL(1) grammars to LL(1) grammars

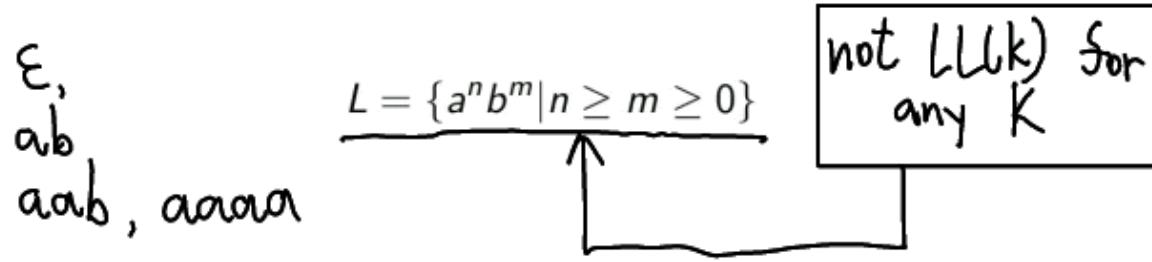
$$\begin{aligned}1. \quad S &\rightarrow a b \\S &\rightarrow a c \quad b \\&\downarrow \text{convert}\end{aligned}$$

1.  $T \rightarrow a X$
2.  $X \rightarrow b$
3.  $X \rightarrow c b$

Factoring

	a	b	c
T	1		
X		2	3

## A non LL(1) language



Grammar (ambiguous)

$$\begin{array}{ll} 1. S \rightarrow \epsilon & S \xrightarrow{?} aS \\ 2. S \rightarrow aS & \underline{ab} \\ 3. S \rightarrow aSb & \end{array}$$

Grammar (unambiguous)

$$\left[ \begin{array}{lll} S \rightarrow A & A \rightarrow \epsilon & B \rightarrow aA \\ S \rightarrow B & A \rightarrow aAb & B \rightarrow aB \end{array} \right]$$