

# Commutative Algebra: Flatness

Dr. Zvi Rosen

Department of Mathematical Sciences,  
Florida Atlantic University



# Outline

What is flatness?

Why is flatness? A Linear Algebra Perspective

Why is flatness? A Geometric Perspective

-  $\otimes M$ , the functor

Let  $A$  be a ring,  $M$  an  $A$ -module.

-  $\otimes_A M : A\text{-mod} \rightarrow A\text{-mod}$ .

$N \rightarrow N \otimes_A M$ . Objects.

$f : N \rightarrow P$  Morphisms.

$f \otimes 1 : N \otimes_A M \rightarrow P \otimes_A M$

$\sum_{i \in I} n_i \otimes m_i \mapsto \sum_{i \in I} f(n_i) \otimes m_i$ .

-  $\otimes M$  is right-exact

$$N' \rightarrow N \rightarrow N'' \rightarrow 0 \Big) \text{ exact}$$

$$\Downarrow - \otimes M$$

$$N' \otimes M \rightarrow N \otimes M \rightarrow N'' \otimes M \rightarrow 0 \Big) \text{ exact}$$

Tensoring with  $M$  preserves cokernels.

-  $\otimes M$  is not left-exact

$$0 \rightarrow N' \rightarrow N \rightarrow N'' \text{ exact}$$



$$0 \rightarrow N' \otimes M \rightarrow N \otimes M \rightarrow N'' \otimes M \quad \text{NOT necessarily exact}$$

Ex  $0 \rightarrow \mathbb{Z} \xrightarrow{x_2} \mathbb{Z} \rightarrow \mathbb{Z}/2\mathbb{Z} \text{ exact}$

$$\downarrow - \otimes_{\mathbb{Z}} \mathbb{Z}/2\mathbb{Z}$$

$$0 \rightarrow \boxed{\mathbb{Z}/2\mathbb{Z} \xrightarrow{0} \mathbb{Z}/2\mathbb{Z}} \rightarrow \mathbb{Z}/2\mathbb{Z} \otimes_{\mathbb{Z}} \mathbb{Z}/2\mathbb{Z}$$

not inj.  $\Rightarrow$  not exact.

# When is $- \otimes M$ exact?

TFAE conditions characterizing *flatness*.

$M$  is a flat module if

1.  $- \otimes M$  is an exact functor.
2.  $- \otimes M$  preserves short exact sequences.
3.  $f : N' \rightarrow N$  injective  $\implies f \otimes 1 : N' \otimes M \rightarrow N \otimes M$  injective.
4.  $f : N' \rightarrow N$  injective for  $N'$ ,  $N$  finitely generated  $\implies f \otimes 1 : N' \otimes M \rightarrow N \otimes M$  injective.

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$(1) \Rightarrow (2)$  trivial.

$$(2) \Rightarrow (1) \quad 0 \rightarrow A \xrightarrow{\alpha} B \xrightarrow{\beta} C \xrightarrow{\gamma} D \xrightarrow{\delta} E \xrightarrow{\varepsilon} \dots$$

$$0 \rightarrow A \rightarrow B \rightarrow \text{im}(\beta) \rightarrow 0$$

$$0 \rightarrow \text{coker}(\alpha) \rightarrow C \rightarrow \text{im}(\gamma) \rightarrow 0 \dots$$

$(2) \Rightarrow (3) \quad 0 \rightarrow N' \rightarrow N \rightarrow N'' \rightarrow 0$   
 $\otimes M$  preserves exactness.

$(3) \Rightarrow (2)$  preserves kernels & cokernels  $\Rightarrow$  exact.

3.  $f : N' \rightarrow N$  injective  $\implies$

$f \otimes 1 : N' \otimes M \rightarrow N \otimes M$  injective.

4.  $f : N' \rightarrow N$  injective for  $N', N$  finitely generated  $\implies$

$f \otimes 1 : N' \otimes M \rightarrow N \otimes M$  injective.

(3)  $\Rightarrow$  (4) trivial.

(4)  $\Rightarrow$  (3).  $\sum_{i \in I} x_i \otimes y_i \in \ker(f \otimes 1).$

$$\sum_{i \in I} f(x_i) \otimes y_i = 0 \in N \otimes M.$$

Def  $N'_0 = \langle x_i \rangle$ .  $N_0$  = f.g. submodule  
of  $N$  containing  $f(N'_0)$ .

$(f \otimes 1)(\sum x_i \otimes y_i \in N'_0) = 0 \implies \sum x_i \otimes y_i = 0$   
 $\Rightarrow f \otimes 1$  injective for general modules.

# Linear Dependency & Bases

[Approach based on nLab's page on flat modules]

Suppose  $v_1, \dots, v_n$  are elements of a  $k$ -vector space  $V$ .  $\exists a_1, \dots, a_n \in k$  s.t.

$$\sum_{i=1}^n a_i v_i = 0.$$

Take basis  $w_1, \dots, w_m$  s.t.  $v_i = \sum_{j=1}^m b_{ij} w_j$

Then

$$\begin{aligned} \sum_{i=1}^n a_i v_i &= \sum_{i=1}^n \sum_{j=1}^m a_i b_{ij} w_j \\ &= \sum_{j=1}^m \left( \sum_{i=1}^n a_i b_{ij} \right) w_j. \Rightarrow \sum_{i=1}^n a_i b_{ij} = 0 \quad \forall j. \end{aligned}$$

## Translating to Rings

Suppose  $x_1, \dots, x_n \in M$  and  $\exists a_1, \dots, a_n \in A$

$$\text{s.t. } \sum_{i=1}^n a_i x_i = 0$$

Then, the module  $M$  is flat if there exists a collection of elmts  $y_1, \dots, y_m$  s.t.

$$x_i = \sum_{j=1}^m b_{ij} y_j \quad \text{and} \quad \sum_{i=1}^n \sum_{j=1}^m a_i b_{ij} y_j = 0$$

$$\Rightarrow \sum_{i=1}^n a_i b_{ij} = 0 \quad \text{for all } i.$$

## Formal Theorem

A module  $M$  is flat if and only if for every finite linear combination

$$\sum_i a_i m_i \equiv 0 \in M, \quad a_i \in A, m_i \in M$$

there are elements  $n_j$  and linear combinations

$$m_i = \sum_j b_{ij} n_j \in M, \quad b_{ij} \in A,$$

such that for all  $j$ , we have

$$\sum_i a_i b_{ij} \equiv 0 \in R.$$

# Defn: Affine Algebraic Variety

[Approach based on Ch 6 of Eisenbud's *Commutative Algebra*]

"flat families".

$k$  field.  $I \subseteq k[x_1, \dots, x_n]$ .

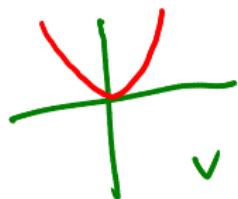
The set of points  $v \subseteq k^n$  for which all polynomials in  $I$  vanish is called an

affine algebraic variety.

The affine coordinate ring is  $A(v) = k[x_1, \dots, x_n]/I$ .

Ex  $k = \mathbb{R}$ .  $(y - x^2) \subseteq \mathbb{R}[x, y]$ .

$$A(v) = \mathbb{R}[x, y]/(y - x^2) \cong \mathbb{R}[x].$$



## Defn: Morphism of Varieties

Let  $X \subseteq k^n$ ,  $Y \subseteq k^m$  affine alg vars.

$$f: X \rightarrow Y$$

$$(x_1, \dots, x_n) \mapsto (f_1(x), \dots, f_m(x)).$$

$$f^*: A(Y) \rightarrow A(X)$$

$$k[y_1, \dots, y_m]/I \rightarrow k[x_1, \dots, x_n]/J$$

$$y_i \mapsto f_i(x).$$

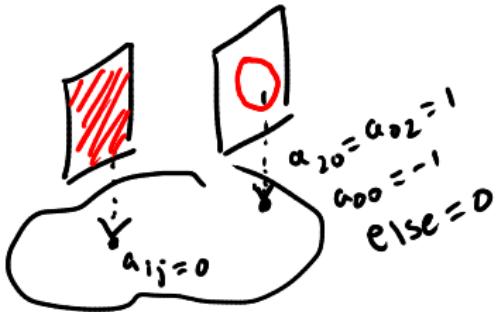
# What is a family of varieties?

$\varphi: X \rightarrow B$   
variables      parameters  
& parameters.

$b \in B \rightsquigarrow \varphi^{-1}(b)$  variety in variable-space.

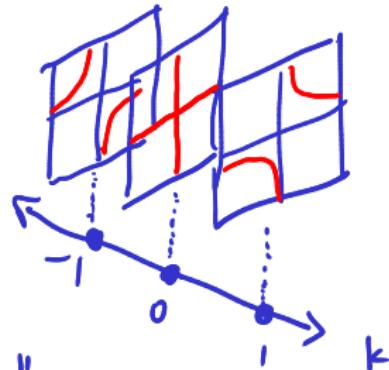
Ex  $a_{20}x^2 + a_{11}xy + a_{02}y^2 + a_{10}x + a_{01}y + a_{00}$ .

$$\varphi: k^8 \rightarrow k^6$$
$$(x, y, a)$$
$$(a)$$



Example: Hyperbolas  $\{xy - a : a \in k\}$

$$\begin{array}{ccc} k^3 & \longrightarrow & k \\ (x, y, a) & & (a) \\ X & \rightarrow & B \end{array}$$

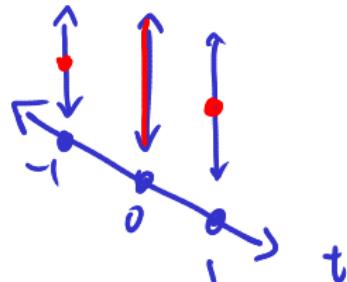


Families that are "nice"

have  $A(X)$  flat as an  $A(B)$ -module.

Example:  $k[x, t]/(tx)$  not flat as  $k[t]$ -module

$$\begin{matrix} k^2 & \rightarrow & k \\ (t, x) & & (t) \end{matrix}$$



Indeed,

$M = k[x, t]/(tx)$  is not flat as  $k[t]$ -module.

$$k[t] \xrightarrow{x \mapsto t} k[t] \text{ injective}$$

$$k[t] \otimes M \rightarrow k[t] \otimes M \quad \text{not injective}$$
$$(1 \otimes x) \mapsto 0.$$