

Commutative Algebra: Graded Rings & Modules

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Outline

Graded Rings & Modules

Poincaré Series

Hilbert Function

Def: Graded Ring

A *graded ring* is a ring A together with a family $(A_n)_{n \geq 0}$ of subgroups of the additive group of A with

$$A = \bigoplus_{n=0}^{\infty} A_n, \quad A_m A_n \subseteq A_{m+n}.$$

Note: A_0 is a subring of A and each A_n is an A_0 -module.

$$A_0 A_0 \subseteq A_{0+0} = A_0.$$

$$A_0 A_n \subseteq A_{n+0} = A_n.$$

Examples: Graded Rings

Trivial. $A = A_0.$

$n > 0, A_n = 0.$

$\bigoplus A_n = A_0 = A \vee A_m A_n = \begin{cases} 0 & \text{if } m > 0 \\ \text{or } n > 0. \end{cases}$

$A = k[x_1, \dots, x_n].$

$A_n =$ degree n homogeneous polynomials

in $A.$ homog: $x_1^2 x_2 + x_3^3 - x_1 x_2 x_3.$

non-homog: $x_1^3 + x_1 x_2.$

$A = \bigoplus_{n=0}^{\infty} A_n \rightsquigarrow f \rightarrow f_n + f_{n+1} + \dots + f_0. A_n A_m \subseteq A_{n+m}.$

Def: Graded Modules

Let A be a graded ring. A **graded A -module** is an **A -module** M together with a family $(M_n)_{n \geq 0}$ of subgroups of M with

$$M = \bigoplus_{n=0}^{\infty} M_n,$$

m-graded part of A
 \downarrow
 $A_m M_n \subseteq M_{m+n}.$

Note: M_n is an A_0 -module for all n .

$$A_0 M_n \subseteq M_{n+0} = M_n.$$

Def: Homogeneous Element

A homogeneous element in a graded module M is an elmt of M_n for some n .

Given $x \in M$, we can write

$$x = \sum_{i \in I} x_i, \quad I \subseteq \mathbb{N} \text{ finite index set}$$

with $x_i \in M_i$ homogeneous.

Thm: Noetherian graded rings

Let A be a graded ring. The following are equivalent:

1. A is a Noetherian ring.
2. A_0 Noetherian, A finitely-generated as an A_0 -algebra.

2) \Rightarrow 1) Hilbert Basis Thm.

1) \Rightarrow 2) A Noetherian. Let $A_+ =$

$\bigoplus_{n>0} A_n$. A_+ is an ideal.

$A_0 = A/A_+$ \leftarrow Noetherian.

Proof

A fin. gen. as an A_0 -algebra.

A_+ is a finitely-generated ideal.

$$\Rightarrow A_+ = \langle x_1, \dots, x_r \rangle.$$

$$\text{Let } A' = A_0[x_1, \dots, x_r] \subseteq A.$$

Claim: $A_n \subseteq A'$ for all n . Argue by induction.

Base step: $A_0 \subseteq A'$ ✓

Proof

Assume $A_k \subseteq A'$ for $k < h$.

Consider $x \in A_n \subseteq A_+ \Rightarrow x \in A_+$
 $\Rightarrow x = \sum_{i=1}^r a_i x_i, a_i \in A.$

$x_i \in A_+$ for all $i \Rightarrow a_i \in A_{n-k_i}$

where k_i is the grading of x_i .

$\Rightarrow a_i \in A' \Rightarrow x \in A'.$ \blacksquare

Henri Poincaré



Def: Poincaré Series

- ▶ $A = \text{Noetherian graded ring generated over } A_0$ by elements x_1, \dots, x_s in degrees k_1, \dots, k_s ,
- ▶ M a finitely-generated graded A -module.
- ▶ λ an additive function on f.g. A_0 -modules.

Then, $P(M, t)$ is defined as $\sum_{n \geq 0} \lambda(M_n) t^n$.

(Hilbert-) "Poincaré series".

$\downarrow A = k\text{-alg.}$

$\lambda(M_n) = \dim_k M_n$.

Examples

Trivial Graded Ring. $A_0 = A, A_n = 0 \quad n > 0.$

$$\lambda(A) t^0 + 0t^1 + \dots = \lambda(A) \quad (\text{e.g. } \sim)$$

$$k[x] \quad \lambda(M_n) = \dim_K M_n$$

$$k[x] = k \oplus kx \oplus kx^2 \oplus \dots$$

$$\Rightarrow P(k[x], +) = 1 + t + t^2 + \dots = \frac{1}{1-t}.$$

Example

A

" $k[x_1, \dots, x_n]$ For degree s , the monomials

$x_1^{a_1} \cdots x_n^{a_n}$ where $a_1 + \cdots + a_n = s$ span

A_s . 

$$\# \text{ monomials} = \binom{n+s-1}{n-1}.$$

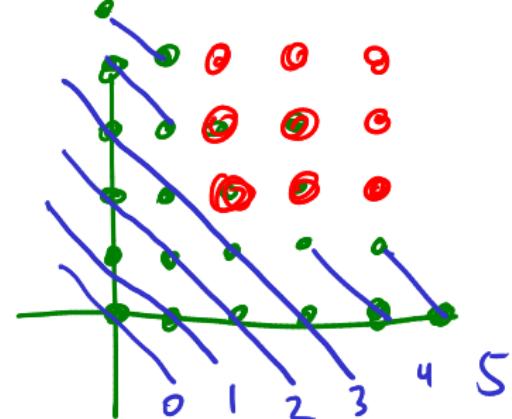
$$P(A, t) = \sum_{s=0}^{\infty} \binom{n+s-1}{n-1} t^s = \frac{1}{(1-t)^n}.$$

Example

M

$$= k[x, y]/(x^2y^2)$$

$$= \sum_{n=0}^{\infty} (\dim_k M_n) t^n = 1 + 2t + 3t^2 + 4t^3 + 4t^4 + 4t^5 + 4t^6 + \dots$$



$$P(k[x, y], t) = \frac{1}{(1-t)^2} \Rightarrow P(M, t) = \frac{1-t^4}{(1-t)^2}$$

$$P(x^2y^2 | k[x, y], t) = \frac{t^4}{(1-t)^2}$$

Thm: Poincaré Series is Rational

- ▶ $A =$ Noetherian graded ring generated over A_0 by elements x_1, \dots, x_s in degrees k_1, \dots, k_s ,
- ▶ M a finitely-generated graded A -module.
- ▶ λ an additive function on f.g. A_0 -modules.

Then, $P(M, t) = \sum_{n \geq 0} \lambda(M_n) t^n = \frac{f(t)}{\prod_{i=1}^s (1 - t^{k_i})}$,
where $f(t) \in \mathbb{Z}[t]$.

Proof

Induction # generators s of A over A_0 .

Base case: $s=0$. $A = A_0$.

M f.g. A -module $\Rightarrow M$ f.g. A_0 -mod.

$\Rightarrow M = \bigoplus_{i=1}^n A_0 x_i \Rightarrow P(M, t)$ is polynomial.

Induction Step: Suppose true for

$r < s$. WTS: true for s generators.

Proof

Consider exact sequence:

$$0 \rightarrow K_n \rightarrow M_n \xrightarrow{\cdot \chi_s} M_{n+k_s} \rightarrow L_{n+k_s} \rightarrow 0$$

λ additive function:

$$\lambda(K_n) - \lambda(M_n) + \lambda(M_{n+k_s}) - \lambda(L_{n+k_s}) = 0.$$

$$P(K, t) - P(M, t) + \underbrace{t^{k_s} P(M, t)}_{\sim} - \underbrace{t^{k_s} P(L, t)}_{\sim} + g(t) = 0.$$

Proof

$$(1-t^{k_s}) P(M,t) = P(K,t) - t^{k_s} P(L,t) + g(t).$$

K, L are both annihilated by x_s

so they are modules over $A_0[x_1, \dots, x_{s-1}]$

$\Rightarrow P(K,t)$ and $P(L,t)$ can be written

as $\prod_{i=1}^{s-1} \frac{h(t)}{1-t^{k_i}}$. $\Rightarrow P(M,t)$ has the
desired form.

Def: Hilbert Function

Let A be a Noeth. graded ring,
 M fin-gen graded A -module,
 λ additive function on the class
of f.g. A_0 -modules.

$\lambda(M_n)$ as a function of n
is called the "Hilbert function" of M .

Examples

Trivial Graded Ring. $A = k$.

$$\lambda(M_n) = \dim_k M_n. \quad f(n) = \begin{cases} 1 & n=0 \\ 0 & \text{else.} \end{cases}$$

$k[x]$

$$\lambda(M_n) = \dim_k M_n. \quad f(n) = 1.$$

Example

$$k[x_1, \dots, x_s]$$

$\lambda(M_n) = \dim_k M_n = \# \text{ monomials of degree } n \text{ in } s \text{ variables.}$

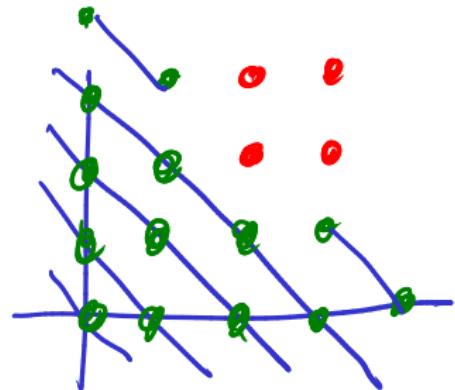
$$= \binom{s+n-1}{s-1} \leftarrow \begin{array}{l} \text{polynomial} \\ \text{function in } n. \end{array}$$

Example

$$k[x, y]/(x^2y^2)$$

$$\lambda(M_n) = \dim_k(M_n)$$

$$f(n) = \begin{cases} 1 & n=0 \\ 2 & n=1 \\ 3 & n=2 \\ 4 & n \geq 3 \end{cases}$$



Thm: Hilbert function is Polynomial

\wedge
eventually

- ▶ A Noetherian graded ring generated over A_0 by d elements of degree 1,
- ▶ M a finitely-generated graded A -module.
- ▶ λ an additive function on f.g. A_0 -modules.

Then, for $n \gg 0$, $\lambda(M_n)$ is a polynomial in n of degree $d - 1$.

Proof

$$P(m, t) = \frac{f(t)}{(1-t)^s}.$$

$$f(t) = \sum_{k=0}^n a_k t^k \quad \frac{1}{(1-t)^s} = \sum_{d=0}^{\infty} \binom{d+s-1}{s-1} t^d.$$

$\lambda(M_n)$ = Coeff of t^n

$$= \sum_{k+d=n} a_k \binom{d+s-1}{s-1} = \sum_{k=0}^n a_k \binom{n-k+s-1}{s-1}.$$

If $n < m$, the function does not include all a_k .

Proof

Once, we have $n \geq m$, all a_k 's are included and the Hilbert function is fixed as a polynomial.