

# **Explaining Errors in Complex Systems**

A **Diagnosis** Tool and Testing Framework for **Robust** Decision Making

Leilani H. Gilpin, Assistant Professor  
Dept. of CSE, UC Santa Cruz  
CSE 200  
November 18, 2021

# Agenda

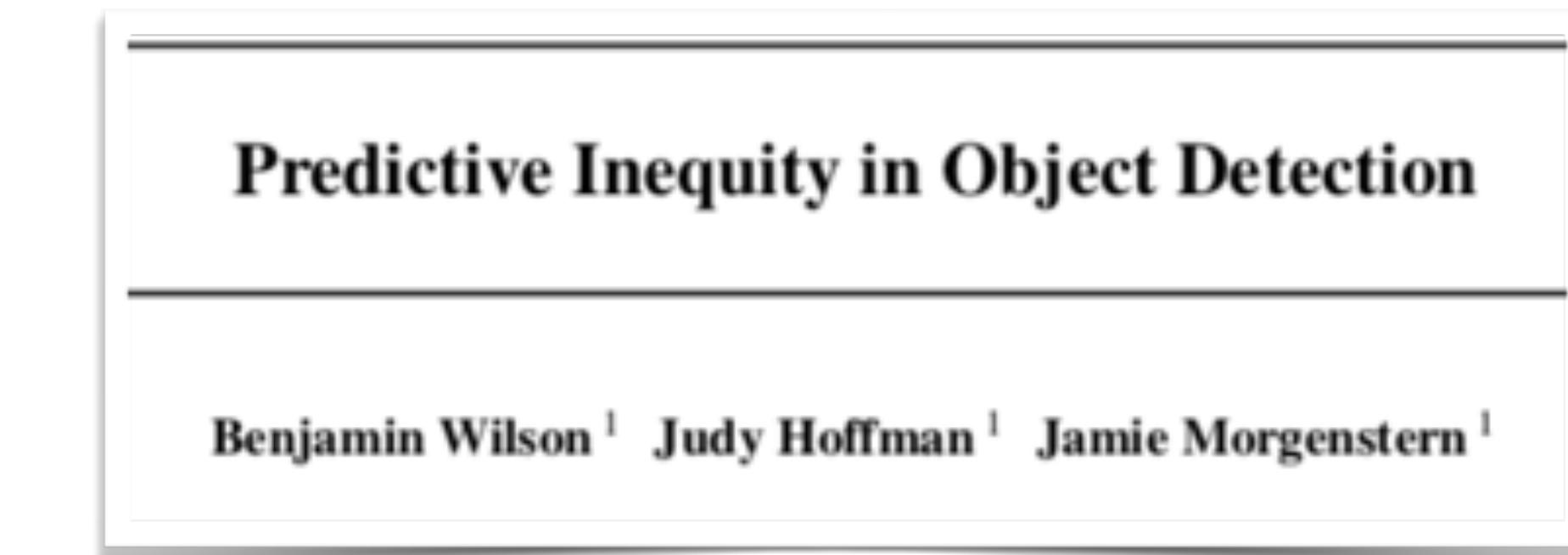
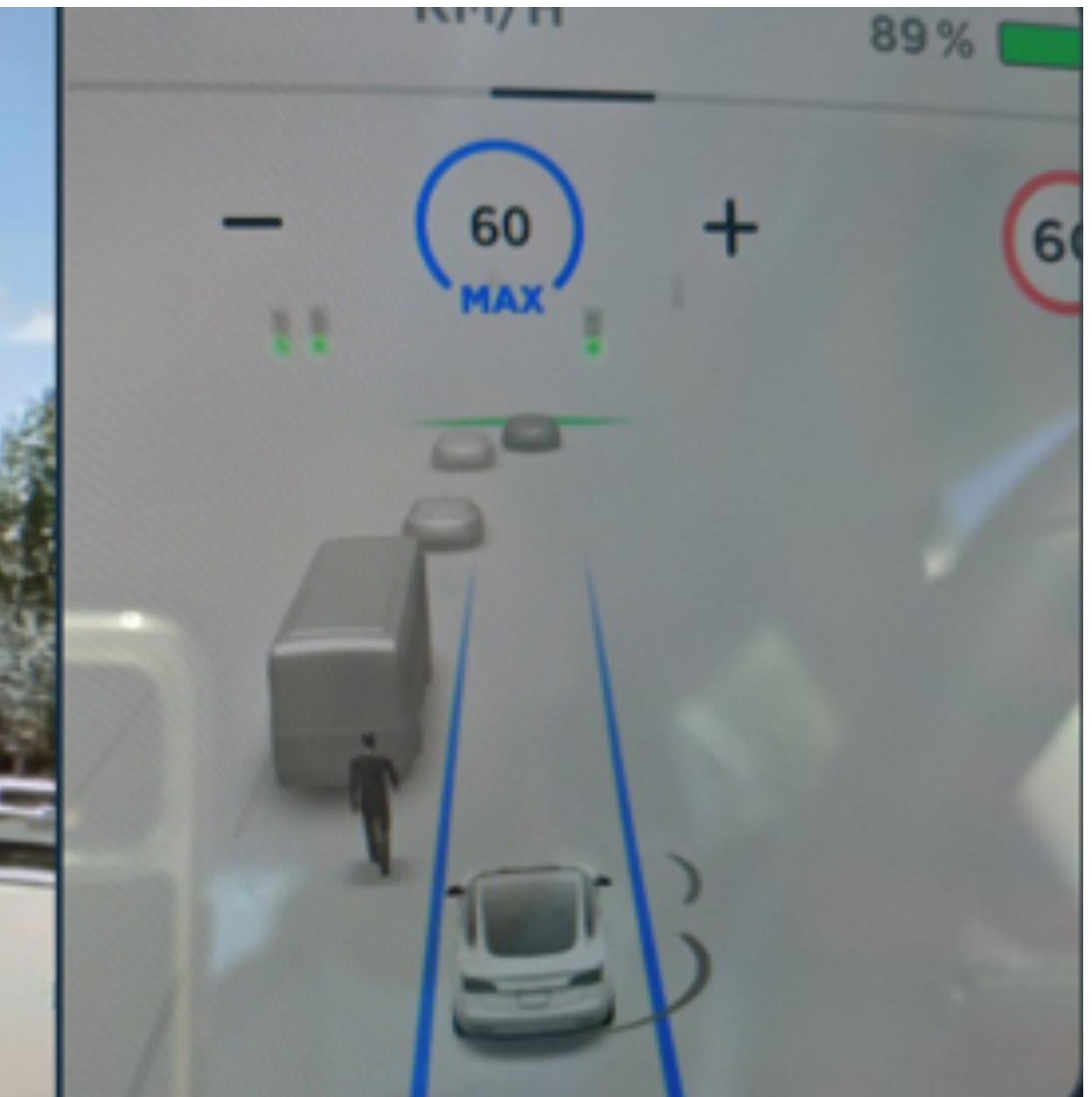
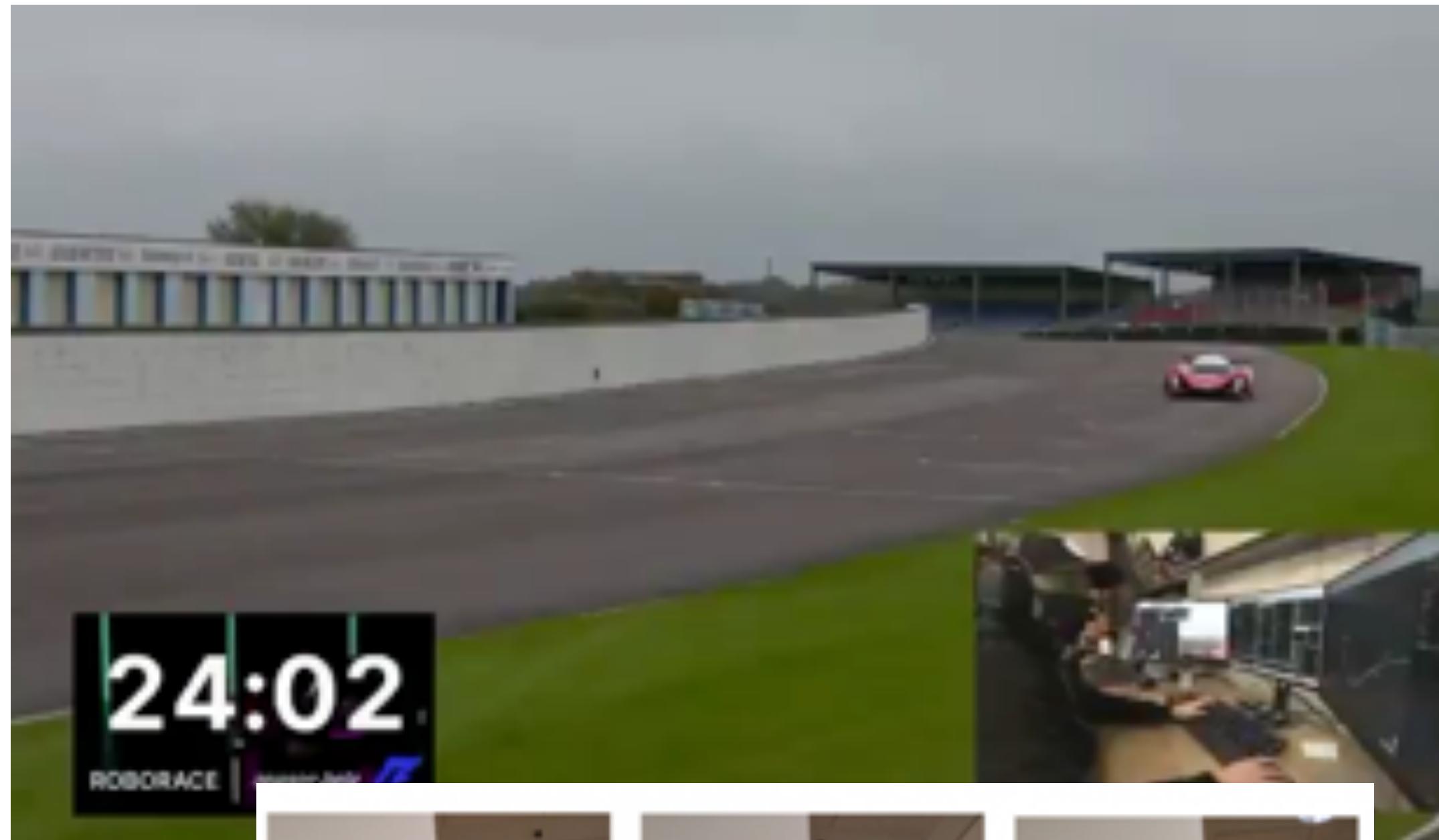
Motivate problem: Autonomous Vehicles are Prone to Failure

Anomaly Detection through Explanations (ADE): a Diagnosis Tool for AVs.

Future work: Explainable Tasks for Robust and Secure Hybrid Systems.

**Question: How to develop self-explaining architectures that can help anticipate failures instead of after-the-fact?**

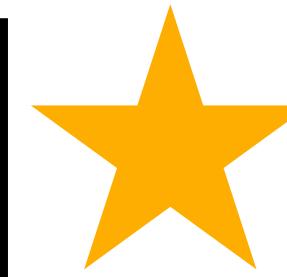
# Complex Systems Fail in Complex Ways



K. Eykholt et al. "Robust Physical-World Attacks on Deep Learning Visual Classification."

# Autonomous Vehicle Solutions are at Two Extremes

Very comfortable



**Serious safety lapses led to Uber's fatal self-driving crash, new documents suggest**

Comfort

Problem: Need better  
sanity checks and  
communication

Not comfortable

**My Herky-Jerky Ride in General Motors' Ultra-Cautious Self Driving Car**

GM and Cruise are testing vehicles in a chaotic city, and the tech still has a ways to go.

Not cautious

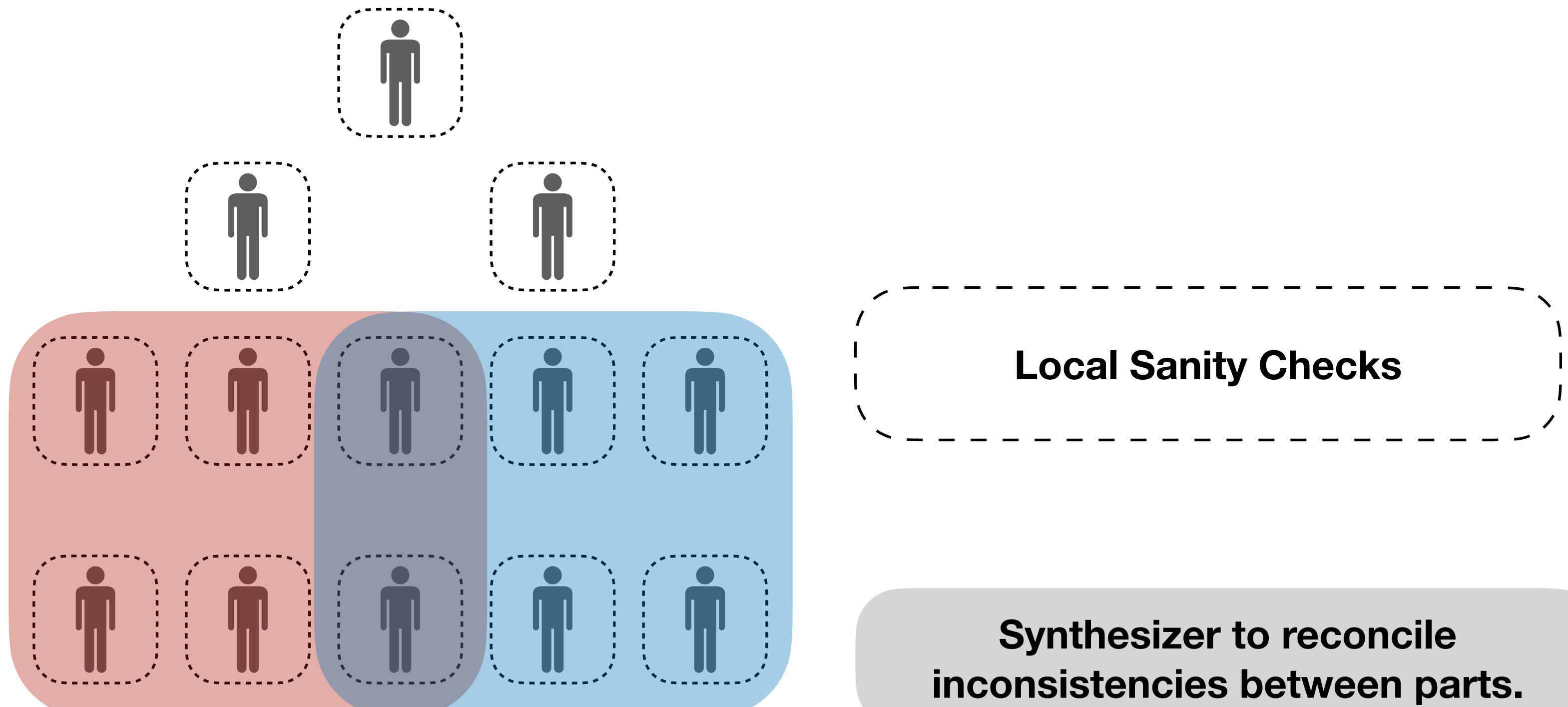
Cautious



Very cautious

# Architecture Inspired by Human Organizations

## Communication and Sanity Checks



1. Hierarchy of overlapping committees.
2. Continuous interaction and communication.
3. When failure occurs, a story can be made, combining the members' observations.

# An Architecture to Mitigate Common Problems

Synthesizer to reconcile  
inconsistencies between parts.



Local Sanity Checks



Reconcile conflicting reasons.

Justify new examples.

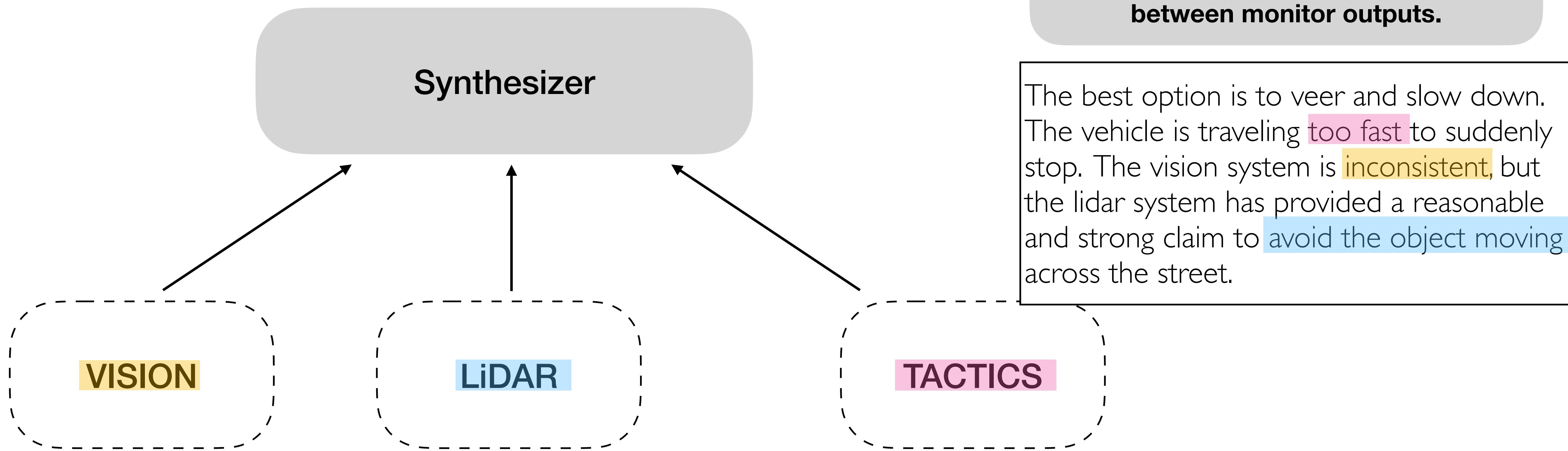
# An Existing Problem

## The Uber Accident



# Solution: Internal Communication

## Anomaly Detection through Explanations



**Synthesizer to reconcile inconsistencies between monitor outputs.**

# Agenda

Motivate problem: Autonomous Vehicles are Prone to Failure

Anomaly Detection through Explanations (ADE): a Diagnosis Tool for AVs.

Future work: Explainable Tasks for Robust and Secure Hybrid Systems.

# Limited Internal Reasoning

**A Google self-driving car caused a crash for the first time**

*A bad assumption led to a minor fender-bender*

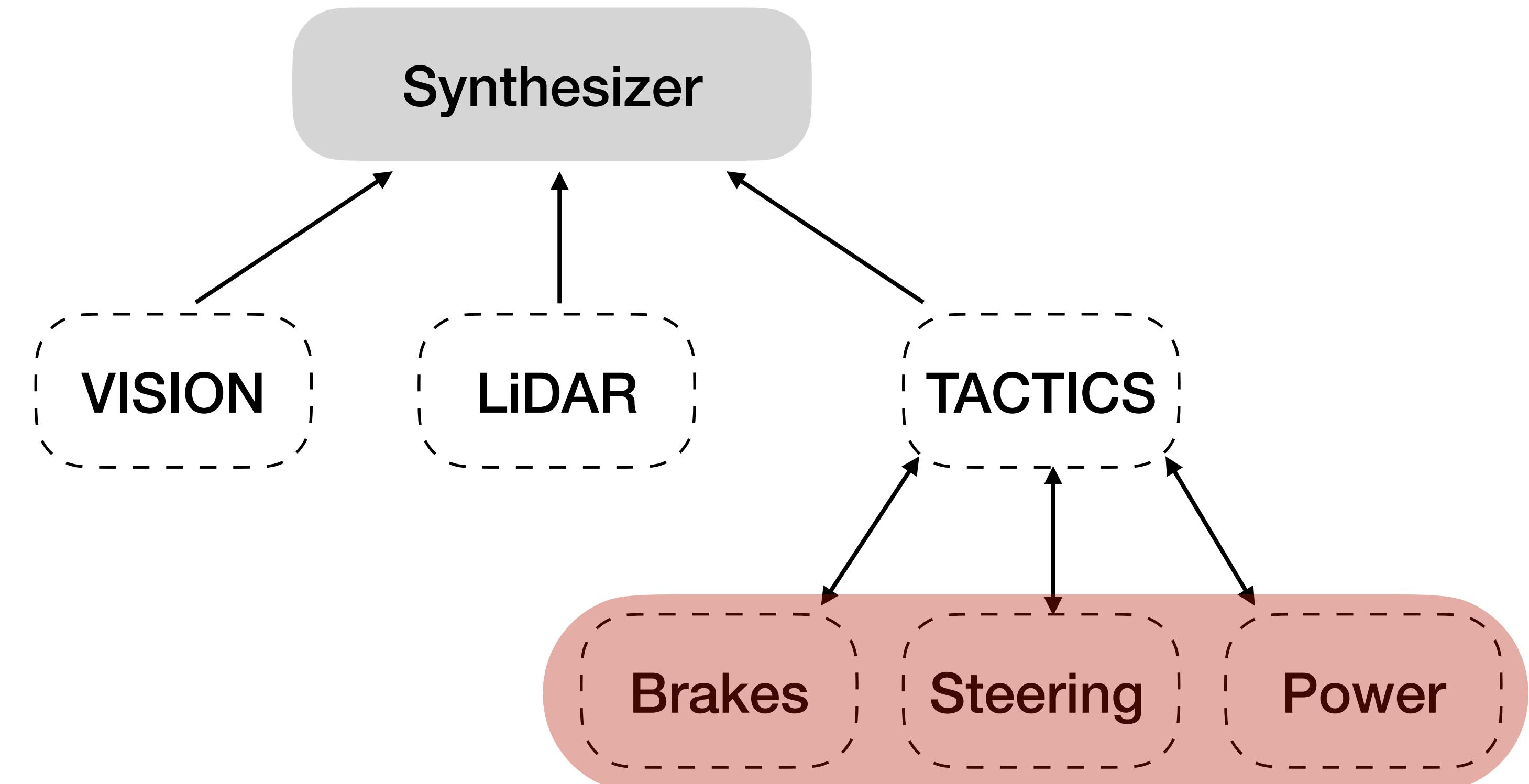
**Serious safety lapses led to Uber's fatal self-driving crash, new documents suggest**

**My Herky-Jerky Ride in General Motors' Ultra-Cautious Self Driving Car**

GM and Cruise are testing vehicles in a chaotic city, and the tech still has a ways to go.

# Reconciling Internal Disagreements With an Organizational Architecture

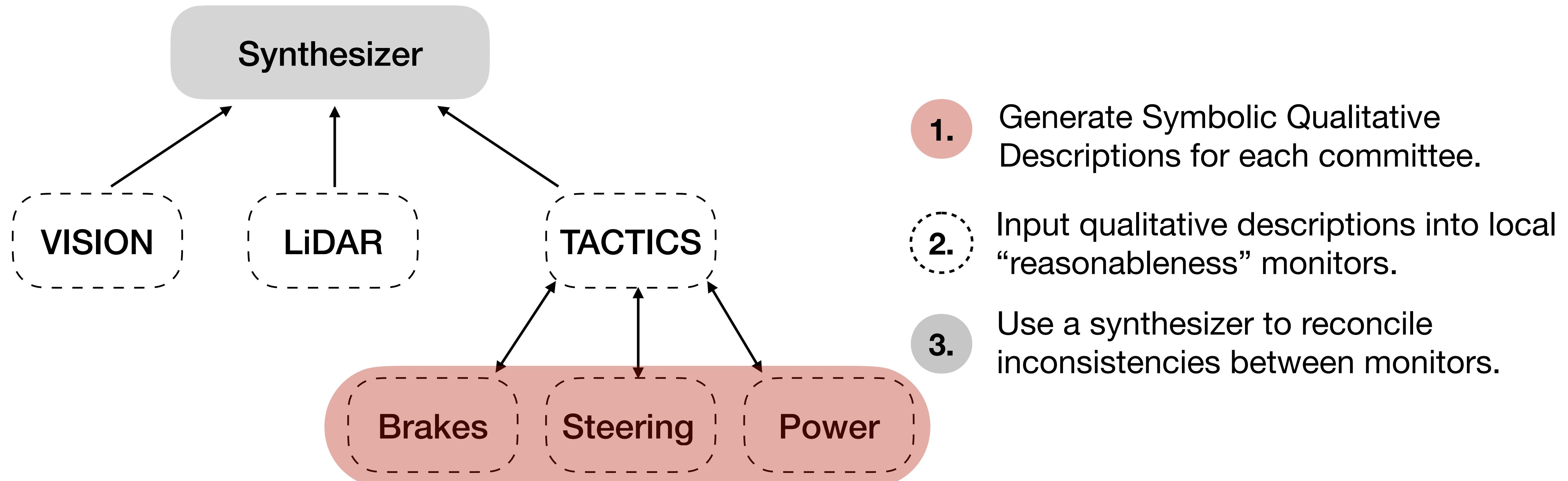
- Monitored subsystems combine into a system architecture.
- Explanation synthesizer to deal with *inconsistencies*.
  - Argument tree.
  - Queried for support or counterfactuals.



Anomaly Detection Through  
Explanations

# Anomaly Detection through Explanations

## Reasoning in Three Steps



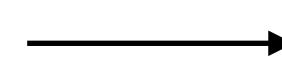
3.

Use a synthesizer to reconcile inconsistencies between monitors.

Synthesizer

+

Priority Hierarchy



Abstract Goals

- Explanation synthesizer to deal with *inconsistencies*.
  - Argument tree.
  - Queried for support or counterfactuals.

1. Passenger Safety
2. Passenger Perceived Safety
3. Passenger Comfort
4. Efficiency (e.g. Route efficiency)



A passenger is safe if:

- The vehicle proceeds at the same speed and direction.
- The vehicle avoids threatening objects.

3.

Use a synthesizer to reconcile inconsistencies between monitors.

$$\begin{aligned}
 & (\forall s, t \in STATE, v \in VELOCITY \\
 & ((self, moving, v), \mathbf{state}, s) \wedge \\
 & (t, \mathbf{isSuccessorState}, s) \wedge \\
 & ((self, moving, v), \mathbf{state}, t) \wedge \\
 & (\exists x \in OBJECTS \text{ s.t.} \\
 & ((x, isA, threat), \mathbf{state}, s) \vee \\
 & ((x, isA, threat), \mathbf{state}, t)))
 \end{aligned}$$

$\Rightarrow (\mathbf{passenger}, \mathbf{hasProperty}, \mathbf{safe})$

A passenger is safe if:

- The vehicle proceeds at the same speed and direction.
- The vehicle avoids threatening objects.

$$\begin{aligned}
 & (\forall s \in STATE, x \in OBJECT, v \in VELOCITY \\
 & ((x, moving, v), \mathbf{state}, s) \wedge \\
 & ((x, locatedNear, self), \mathbf{state}, s) \wedge \\
 & ((x, isA, large\_object), \mathbf{state}, s) \\
 & \Leftrightarrow ((x, isA, threat), \mathbf{state}, s)
 \end{aligned}$$

3.

Use a synthesizer to reconcile inconsistencies between monitors.

$(\forall s, t \in STATE, v \in VELOCITY$

$((self, moving, v), \mathbf{state}, s)$   $\wedge$

$(t, \mathbf{isSuccessorState}, s)$   $\wedge$

$((self, moving, v), \mathbf{state}, t)$   $\wedge$

$(\exists x \in OBJECTS \text{ s.t.}$

$((x, isA, threat), \mathbf{state}, s)$   $\vee$

$((x, isA, threat), \mathbf{state}, t)$ ))

$\Rightarrow (\mathbf{passenger}, \mathbf{hasProperty}, \mathbf{safe})$

### Abstract Goal Tree

'passenger is safe',  
AND(  
'safe transitions',  
NOT('threatening objects'))

3.

Use a synthesizer to reconcile inconsistencies between monitors.

## Abstract Goal Tree

```
'passenger is safe',  
AND(  
    'safe transitions',  
    NOT('threatening objects'))
```

List of Rules

Backwards Chain

AND/OR TREE

```
IF ( AND('moving (?v) at state (?y)',  
        '?z) succeeds (?y)',  
        'moving (?v) at state (?z)'),  
    THEN('safe driving at (?v) during (?y) and (?z)'))  
  
IF (OR('obj is not moving',  
      'obj is not located near',  
      'obj is not a large object'),  
    THEN('obj not a threat at (?x)'))  
  
IF (AND('obj not a threat at (?y)',  
        'obj not a threat at (?z)',  
        '?z) succeeds (?z)'),  
    THEN('obj is not a threat between (?y) and (?z)'))
```

```
passenger is safe at V between s and t  
AND (AND (moving V at state s  
          t succeeds s  
          moving V at state t ))  
AND (  
    OR ( obj is not moving at s  
        obj is not locatedNear at s  
        obj is not a large object at s )  
    OR ( obj is not moving at t  
        obj is not locatedNear at t  
        obj is not a large object at t ) ) )
```

3.

### Use a synthesizer to reconcile inconsistencies between monitors.

```
(monitor, judgement, unreasonable)
(input, isType, labels)
(all_labels, inconsistent, negRel)
(isA, hasProperty, negRel)

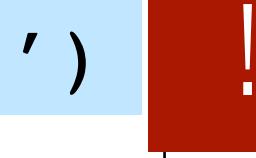
...
(all_labels, notProperty, nearMiss)
(all_labels, locatedAt, consistent)
(monitor, recommend, discount)

(monitor, judgement, reasonable)
(input, isType, sensor)
...
(input_data[4], hasSize, large)
(input_data[4], IsA, large_object) !
(input_data[4], moving, True) !
(input_data[4], hasProperty, avoid)
...
(monitor, recommend, avoid)

(monitor, judgement, reasonable)
(input, isType, history)
(input_data, moving, True)
(input_data, direction, forward)
(input_data, speed, fast)
(input_data, consistent, True)
(monitor, recommend, proceed)
```

#### Abstract Goal Tree

'passenger is safe',  
AND(  
'safe transitions',  
NOT('threatening objects')) !



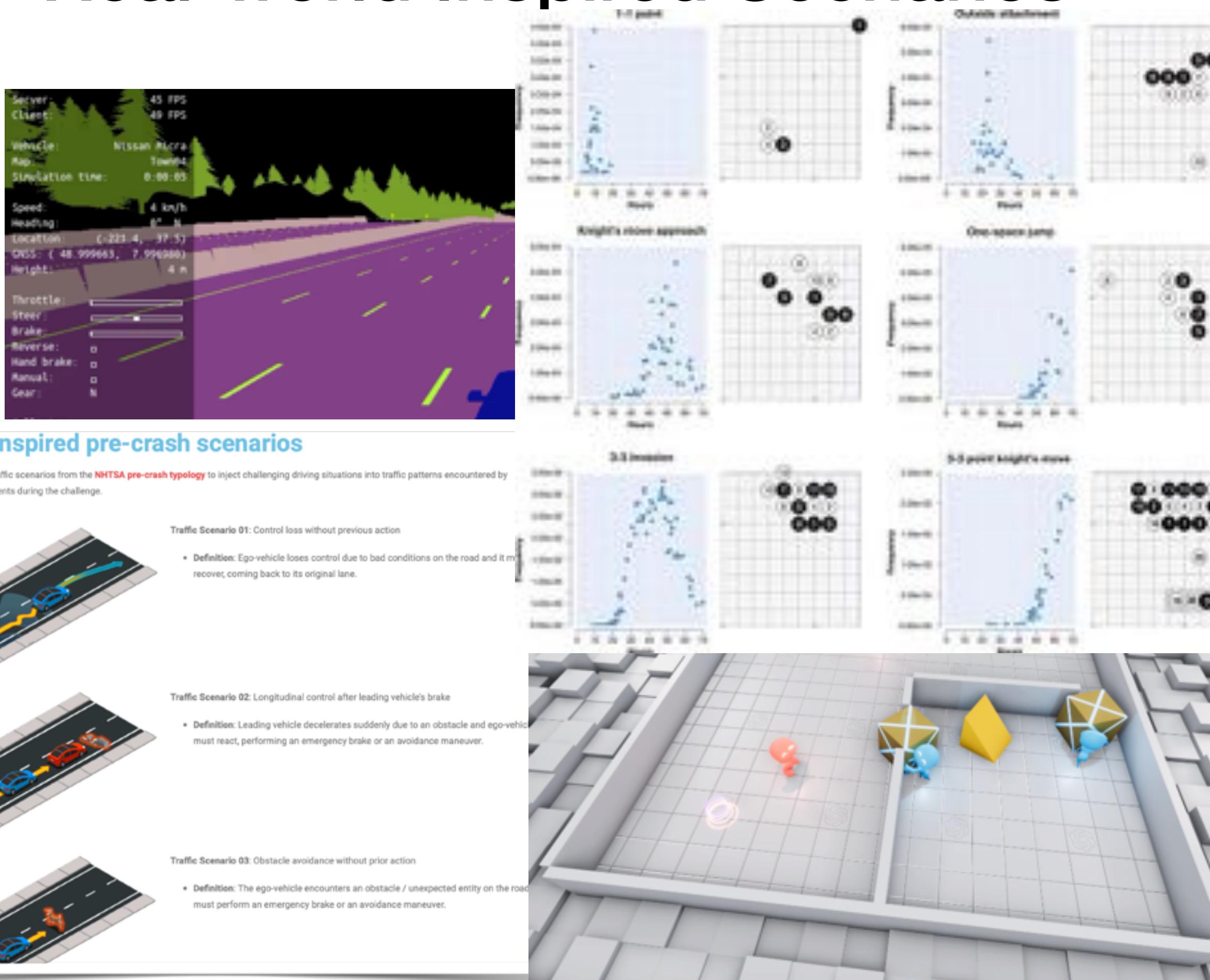
The best option is to veer and slow down.  
The vehicle is traveling **too fast** to suddenly stop. The vision system is **inconsistent**, but the lidar system has provided a reasonable and strong claim to **avoid the object moving across the street**.

# Uber Example in Simulation



# Evaluation of Error Detection is Difficult

## Real-world Inspired Scenarios



## Reconcile Inconsistencies

- Detection: Generate logs from scenarios to detect failures.
- Insert errors: Scrambling \*multiple\* labels on existing datasets.
- Real errors: Examining errors on the validation dataset of NuScenes leaderboard.

# Approach: Content Generation

## Anticipatory Thinking Layer for Error Detection



DALL-E Generates “A chair in the shape of an avocado”



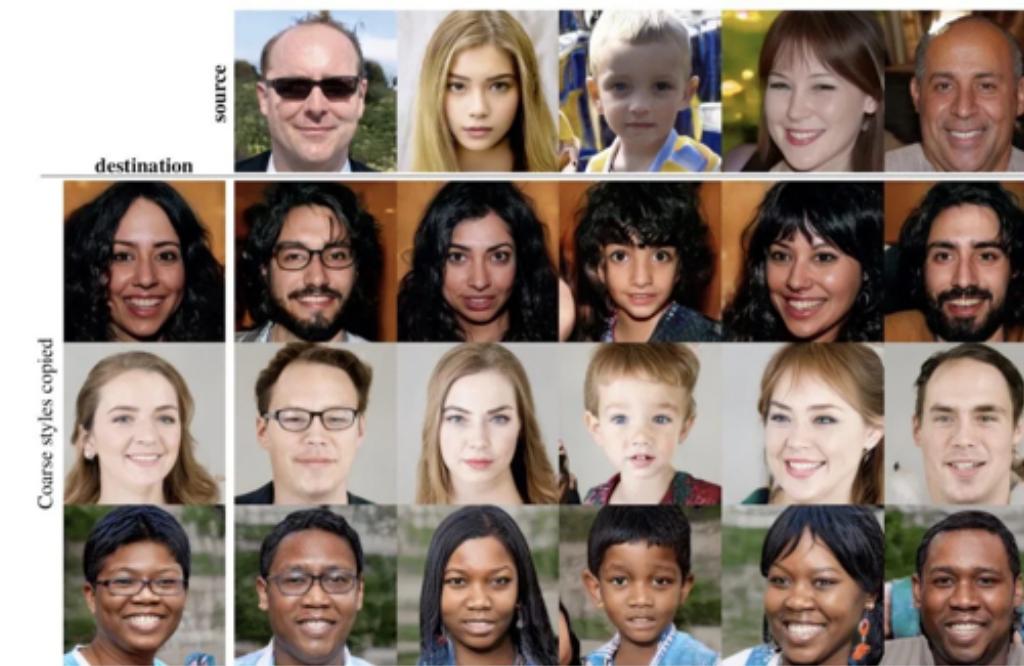
Synthetic images produced by StyleGAN, a GAN created by Nvidia researchers.

# Approach: Content Generation

## Anticipatory Thinking Layer for Error Detection



+



Generate images with shadows before tunnels.



Generate images with fallen signs.

Generate images with trucks carrying traffic lights.



# Agenda

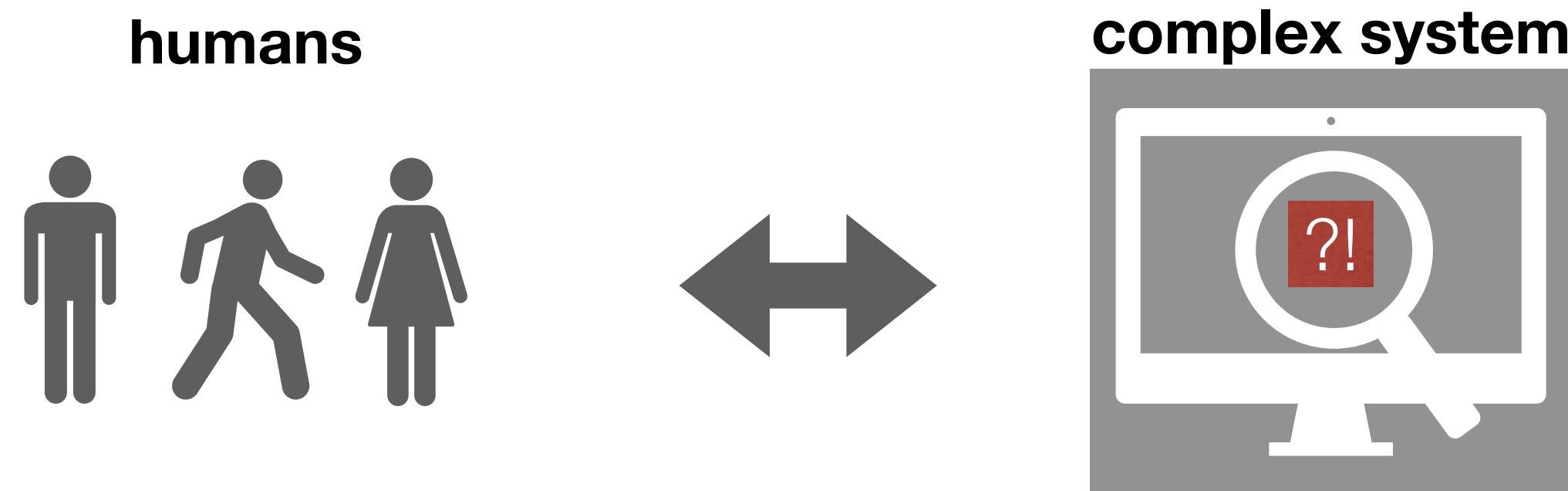
Motivate problem: Autonomous Vehicles are Prone to Failure

Anomaly Detection through Explanations (ADE): a Diagnosis Tool for AVs.

Future work: Explainable Tasks for Robust and Secure Hybrid Systems.

# Hybrid Systems with Humans and Machines

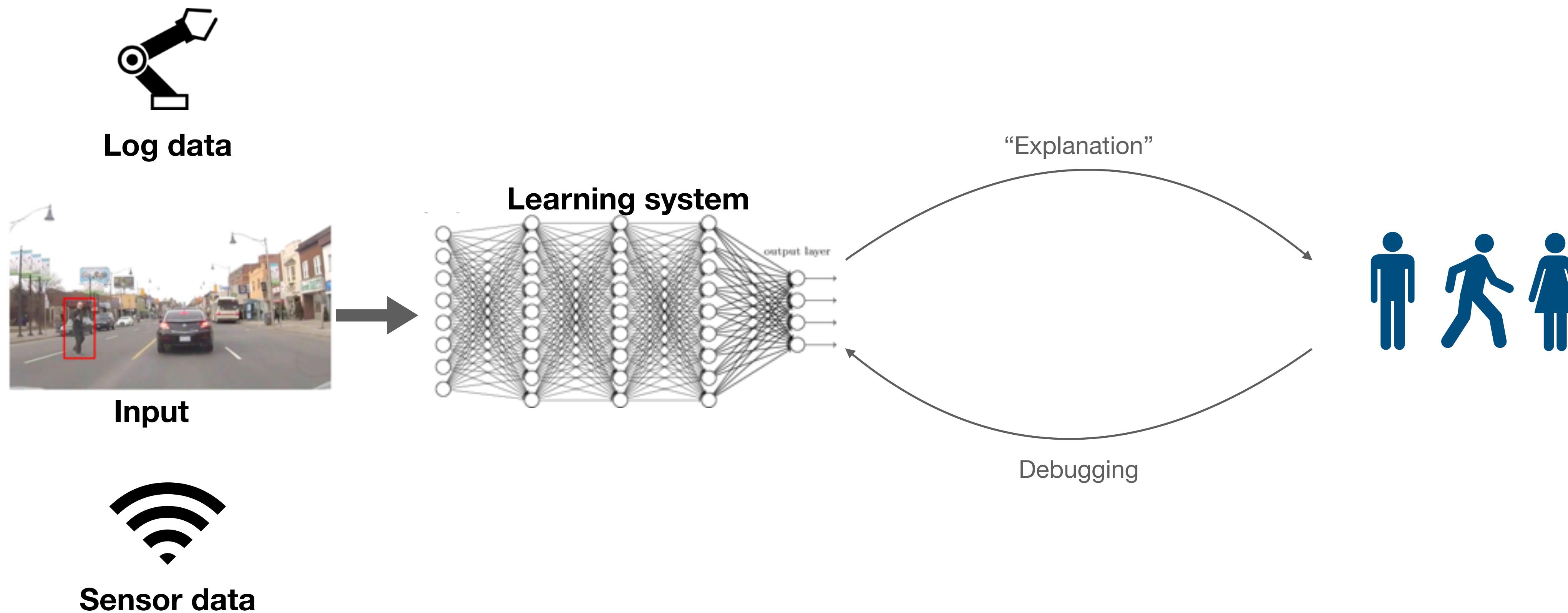
## Working Together on Shared Tasks

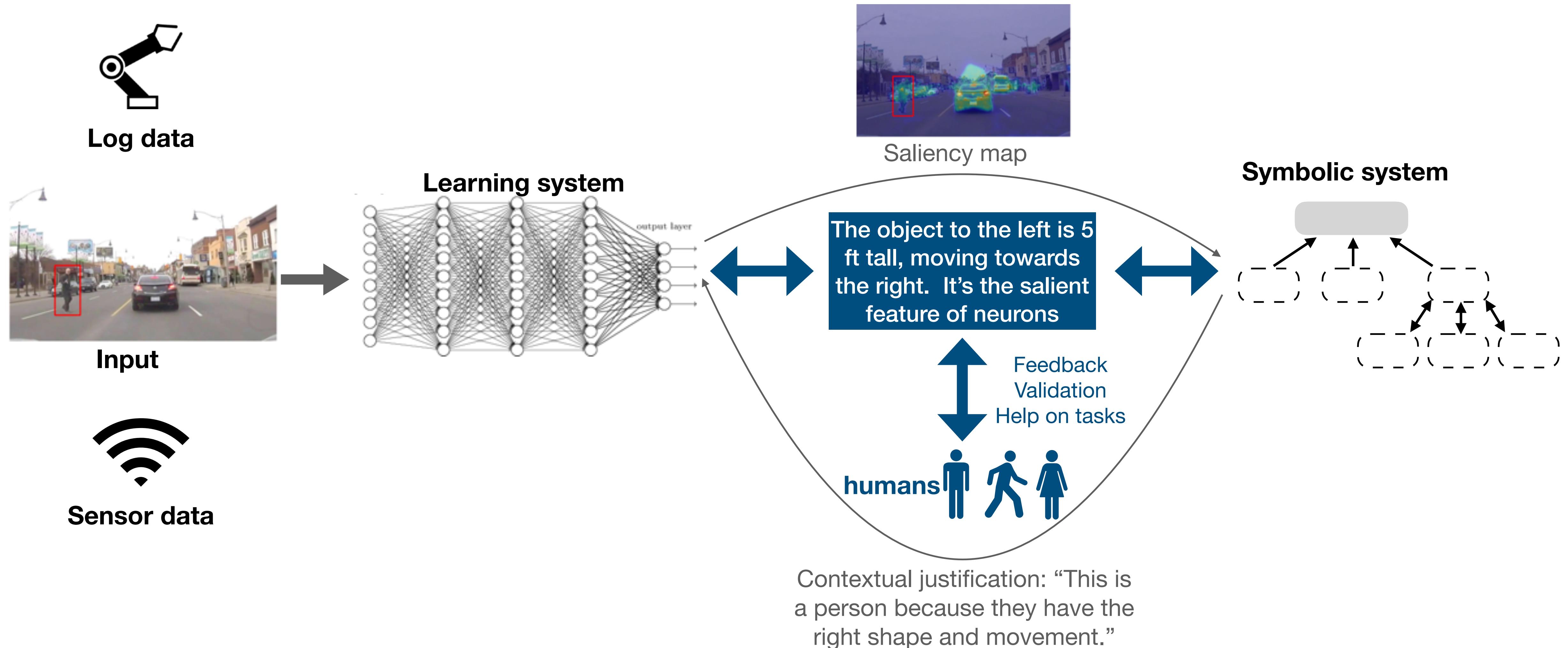


*Explanations are a debugging language.*

- Debugging: humans can improve complex systems.
- Education: complex systems can “improve” or teach humans.

# Ex post facto explanations





Dev testing

Game adversaries

Security

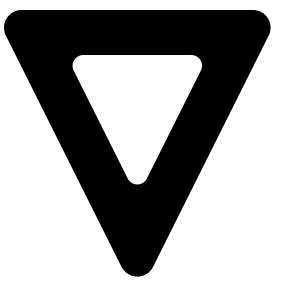
# Explaining Errors in Complex Systems



Opaque Systems



Autonomous Systems



Error Detection

## Explanations and Reasons that Society can Trust

- Systems that can **testify**, answer questions, and **provide insights**.
- Systems that use **commonsense**, similar to the ways that humans do.

## A Common Language for Debugging and Diagnosis

- Interactive tools using explanations as a common **debugging language**.
- Systems that **articulately communicate with humans** on shared tasks.

## Articulate Mechanisms that are Robust

- Hybrid, symbolic, learning systems that solve problems in **multiple ways**.
- **Dynamic explanations**, under uncertainty for safety or mission-critical tasks.