

# Lab 13: Diffusion Models

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# Outline

- Introduction to diffusion model
- Denoise Diffusion Probabilistic Model
- Assignment

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# Introduction to Diffusion Models

- Models like VAEs, GANs, and flow-based models proved to be great success in generating high-quality content, especially images.
- Diffusion Models are a class of generative models inspired by thermodynamics that has proven to be better than previous approach
- They use **forward** and **reverse** process to generate high-quality images
- Applications include image inpainting, super resolution, image generation, etc.

# Introduction to Diffusion Models

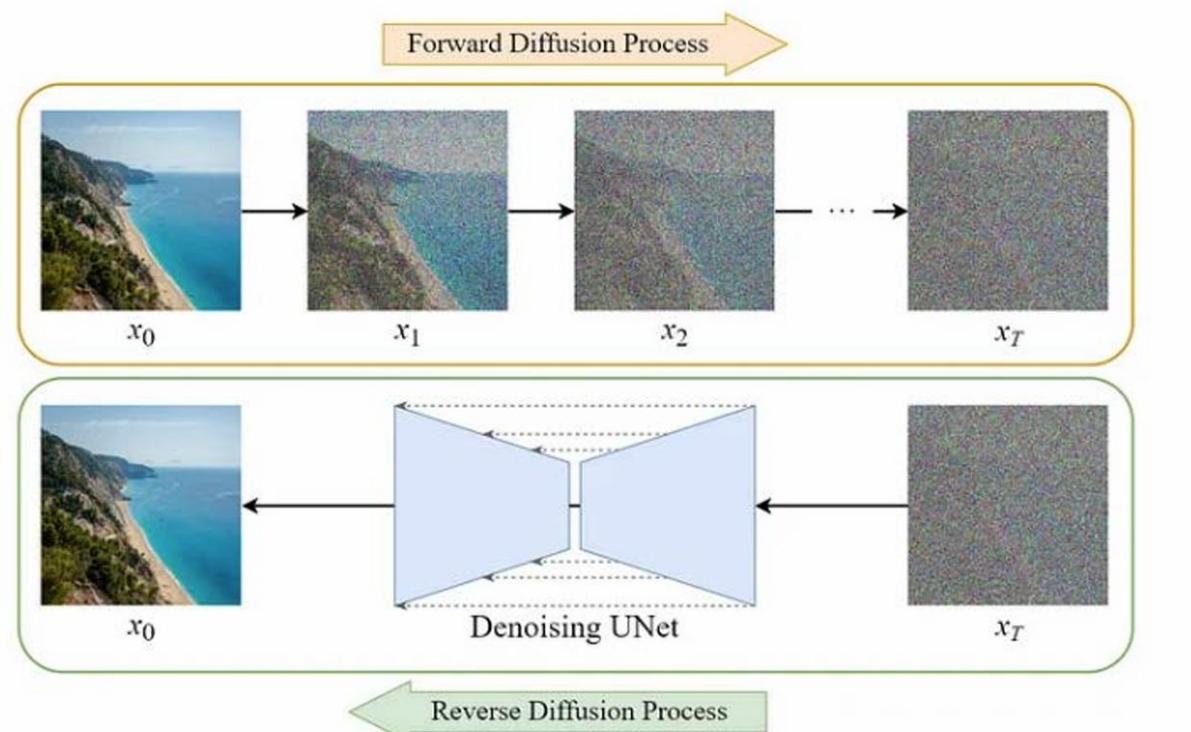
## Flow-based models

- **Invertibility:**
  - Flow-based models learn **invertible transformations** that map data  $x$  to latent variables  $z$ .
  - These transformations convert a **complex distribution** (e.g., natural images) into a **simple distribution** (usually an isotropic Gaussian).
  - Because each step is invertible, the model can also map the Gaussian latent back to the original data distribution for generation
- **Incremental Transitions**
  - This approach supports layered transformations, ensuring that each step introduces small and controllable change.

# Introduction to Diffusion model

## Diffusion models

- **Forward process**
  - Gradually add noise to input data
- **Reverse process**
  - Step-by-step denoising to reconstruct original data



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# Denoise Diffusion Probabilistic Model (DDPM)

Forward process (Diffusion process):

- Defined as a Markov chains parameterized by a variance schedule  $\beta_1, \dots, \beta_T$

$$q(x_t|x_{t-1}) = \mathcal{N}(\sqrt{1 - \beta_t}x_{t-1}, \beta_t I)$$

$$\Rightarrow x_t = \sqrt{1 - \beta_t}x_{t-1} + \sqrt{\beta_t}\epsilon_t, \quad \epsilon_t \sim \mathcal{N}(0, 1)$$

- Each step depends only on the previous step, following the property of Markov chains.

- A notable property  $q(x_t|x_0) = \mathcal{N}(\sqrt{\bar{\alpha}_t}x_0, (1 - \bar{\alpha}_t)I)$

$$\Rightarrow x_t = \sqrt{\bar{\alpha}_t}x_0 + \sqrt{1 - \bar{\alpha}_t}\epsilon, \quad \epsilon \sim \mathcal{N}(0, 1)$$

where  $\alpha_t = 1 - \beta_t$  and  $\bar{\alpha}_t = \prod_{s=1}^t \alpha_s$

# DDPM

Reverse process:

- They assume a reverse process is also a Markov chain with Gaussian transitions:  $q(x_{t-1}|x_t) \approx p_\theta(x_{t-1}|x_t) = \mathcal{N}(\mu_\theta(x_t, t), \sigma_t^2 I)$   
 $\Rightarrow x_{t-1} = \mu_\theta(x_t, t) + \sigma_t z, \quad z \sim \mathcal{N}(0, 1)$
- Originally, the model is trained to minimize the variational lower bound. But the DDPM derivation shows that predicted mean can be rewritten into predicted noise

$$\mu_\theta(x_t, t) = \frac{1}{\sqrt{\alpha_t}} \left( x_t - \frac{\beta_t}{\sqrt{1-\bar{\alpha}_t}} \right) \epsilon_\theta(x_t, t)$$

- Therefore, training simplifies to minimizing the mean squared error between the true noise added in the forward process and the predicted noise  
 $x_t = \sqrt{\bar{\alpha}_t} x_0 + \sqrt{1 - \bar{\alpha}_t} \epsilon, \quad \epsilon \sim \mathcal{N}(0, 1)$
- We can generate image by

$$q(x_T)p_\theta(x_{T-1}|x_T), \dots, p_\theta(x_{t-1}|x_t), \dots, p_\theta(x_0|x_1), \quad q(x_T) \sim \mathcal{N}(0, 1)$$

# DDPM

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**Algorithm 1** Training

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```
1: repeat
2:    $\mathbf{x}_0 \sim q(\mathbf{x}_0)$ 
3:    $t \sim \text{Uniform}(\{1, \dots, T\})$ 
4:    $\epsilon \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$ 
5:   Take gradient descent step on
      
$$\nabla_{\theta} \|\epsilon - \epsilon_{\theta}(\sqrt{\bar{\alpha}_t} \mathbf{x}_0 + \sqrt{1 - \bar{\alpha}_t} \epsilon, t)\|^2$$

6: until converged
```

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**Algorithm 2** Sampling

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```
1:  $\mathbf{x}_T \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$ 
2: for  $t = T, \dots, 1$  do
3:    $\mathbf{z} \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$  if  $t > 1$ , else  $\mathbf{z} = \mathbf{0}$ 
4:    $\mathbf{x}_{t-1} = \frac{1}{\sqrt{\alpha_t}} \left( \mathbf{x}_t - \frac{1 - \alpha_t}{\sqrt{1 - \bar{\alpha}_t}} \epsilon_{\theta}(\mathbf{x}_t, t) \right) + \sigma_t \mathbf{z}$ 
5: end for
6: return  $\mathbf{x}_0$ 
```

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# DDPM

## Key Modules in the implementation:

- Gaussian Diffusion Utilities:
  - Manage the scheduling and progression of noise
  - Support data processing for both forward and reverse processes.
- Network Architecture:
  - Based on an optimized U-Net structure, designed to suit the characteristics of diffusion models
- Training:
  - Using the MSE loss function to predict noise, combined with **EMA** for weight updates

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# Assignment

## Requirements

### 1. Diffusion Schedule

- Complete the TODO sections in `diffusion_schedule`
- Implement continuous version of the **cosine schedule**

### 2. DDIM reverse process

- Complete the TODO section in `denoise` and `reverse_diffusion`
- Implement the deterministic **reverse process of DDIM**.

### 3. Briefly summarize what you did and explain the performance results

# Assignment

## Diffusion Schule

- Controls how much noise is added at each step during the forward process.
- Defined by parameters  $\beta_t$  (noise increment) and  $\alpha_t$  (data retention)
- Ensures smooth transition from clean data to pure noise
- Helps the model effectively learn the reverse process (denoising)
- There are many kinds of schedule, linear, cosine...

# Assignment

## Cosine schedule

- Recall that noisy image is compute by  $x_t = \sqrt{\bar{\alpha}_t}x_0 + \sqrt{1 - \bar{\alpha}_t}\epsilon$ ,  $\epsilon \sim \mathcal{N}(0, 1)$
- When  $x_0$  is normalized image (mean is 0 and variance is 1)
- Since  $x_0$  and  $\epsilon$  are independent,  $\text{Var}(x_t) = \bar{\alpha}_t \cdot 1 + (1 - \bar{\alpha}_t) \cdot 1 = 1$
- Define
  - $\sqrt{\bar{\alpha}_t}$  as the **signal rate(t)**
  - $\sqrt{1 - \bar{\alpha}_t}$  as the **noise rate(t)**,
  - then we have  $(\text{signal\_rate}(t))^2 + (\text{noise\_rate}(t))^2 = 1$ . This condition matches the unit circle which can be express as  $\cos^2 \theta(t) + \sin^2 \theta(t) = 1$
- Therefore, to decide the signal and noise rate at  $t$  is decide and angle  $\theta(t)$
- Given Max single rate  $s_{max}$ , at  $t = 0 \Rightarrow \theta_{start} = \arccos(s_{max})$
- Given Min single rate  $s_{min}$ , at  $t = 1 \Rightarrow \theta_{end} = \arccos(s_{min})$
- For any  $t \in [0, 1]$ , we have

$$\theta(t) = (1 - t)\theta_{start} + t\theta_{end}$$

$$\text{signal\_rate}(t) = \cos(\theta(t)), \quad \text{noise\_rate}(t) = \sin(\theta(t))$$

# Assignment

## Bottleneck of DDPM:

- The length  $T$  of the forward process is an important hyperparameter in DDPM
- From a variational perspective, a large  $T$  allows the reverse process to be close to a Gaussian
- This motivates the choice of large  $T$  value, such as  $T = 1000$
- However, as all  $T$  iteration have to be performed sequentially, to obtain a sample  $x_0$  from DDPM is much slower than sampling from other deep generative models.

# Assignment

## Denoising Diffusion Implicit Models (DDIM)

- Re-design the reverse process of DDPM into a **deterministic, non-Markovian** mapping
- Since DDIM removes the stochastic noise term in the DDPM reverse process, sampling becomes fully deterministic.
- Therefore, **non-fixed and larger step sizes** can be used, enabling faster sampling with fewer steps.

<https://arxiv.org/abs/2010.02502>

# Assignment

## Denoise Diffusion Implicit models (DDIM)

- They found that changing the reverse transition to

$$q_\sigma(x_{t-1}|x_t, \textcolor{red}{x}_0) = \mathcal{N}(\sqrt{\bar{\alpha}_{t-1}}\textcolor{red}{x}_0 + \sqrt{1 - \bar{\alpha}_{t-1} - \sigma_t^2} \cdot \frac{x_t - \sqrt{\bar{\alpha}_t}x_0}{\sqrt{1 - \bar{\alpha}_t}}, \sigma_t^2 I)$$

a new family of Gaussian conditionals indexed by  $\sigma$ .

- The marginal  $q_\sigma(x_t|x_0) = \mathcal{N}(\sqrt{\bar{\alpha}_t}x_0, (1 - \bar{\alpha}_t)I)$  is same as DDPM. Therefore, the same noise predictor  $\epsilon_\theta(x_t, t)$  trained in DDPM can be used directly
- Note that using the DDPM forward process  $x_t = \sqrt{\bar{\alpha}_t}x_0 + \sqrt{1 - \bar{\alpha}_t}\epsilon$ ,  $\epsilon \sim \mathcal{N}(0, 1)$  we have

$$\textcolor{red}{x}_0 \approx \textcolor{magenta}{f}_\theta(x_t, t) = \frac{x_t - \sqrt{1 - \bar{\alpha}_t}\epsilon_\theta(x_t, t)}{\sqrt{\bar{\alpha}_t}}, \quad \frac{x_t - \sqrt{\bar{\alpha}_t}x_0}{\sqrt{1 - \bar{\alpha}_t}} \approx \epsilon_\theta(x_t, t) \quad (1)$$

- Plugging (1) into the DDIM reverse transition:

$$q_\sigma(x_{t-1}|x_t, \textcolor{magenta}{f}_\theta(x_t, t)) = \mathcal{N}(\sqrt{\bar{\alpha}_{t-1}}\textcolor{magenta}{f}_\theta(x_t, t) + \sqrt{1 - \bar{\alpha}_{t-1} - \sigma_t^2} \cdot \epsilon_\theta(x_t, t), \sigma_t^2 I)$$

- So the update rule becomes  $\Rightarrow x_{t-1} = \sqrt{\bar{\alpha}_{t-1}}\textcolor{magenta}{f}_\theta(x_t, t) + \sqrt{1 - \bar{\alpha}_{t-1} - \sigma_t^2} \cdot \epsilon_\theta(x_t, t) + \sigma_t^2 z$
- When  $\sigma = 0$ , we have deterministic reverse process

$$\Rightarrow x_{t-1} = \sqrt{\bar{\alpha}_{t-1}}\textcolor{magenta}{f}_\theta(x_t, t) + \sqrt{1 - \bar{\alpha}_{t-1}} \cdot \epsilon_\theta(x_t, t)$$

# Assignment

## Requirement

- Complete the TODO sections in the following code, including `diffusion_schedule`, `denoise` and `reverse_diffusion`.
- Briefly summarize what you did and explain the performance results.
- This assignment does not specify a clear model loss threshold.
- However, please train your model to the extent that it can generate images with clearly recognizable flowers.

