

# Convolutional Neural Networks

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Machine Learning

# Outline

## ① Design

- Convolution Layers
- Pooling Layers
- Variants & Case Studies

## ② Visualization

- Visualizing Activations
- Visualizing Filters/Kernels
- Visualizing Gradients
- Dreaming and Style Transfer

## ③ Beyond Image Classification

- Segmentation and Localization
- Object Detection
- More Applications

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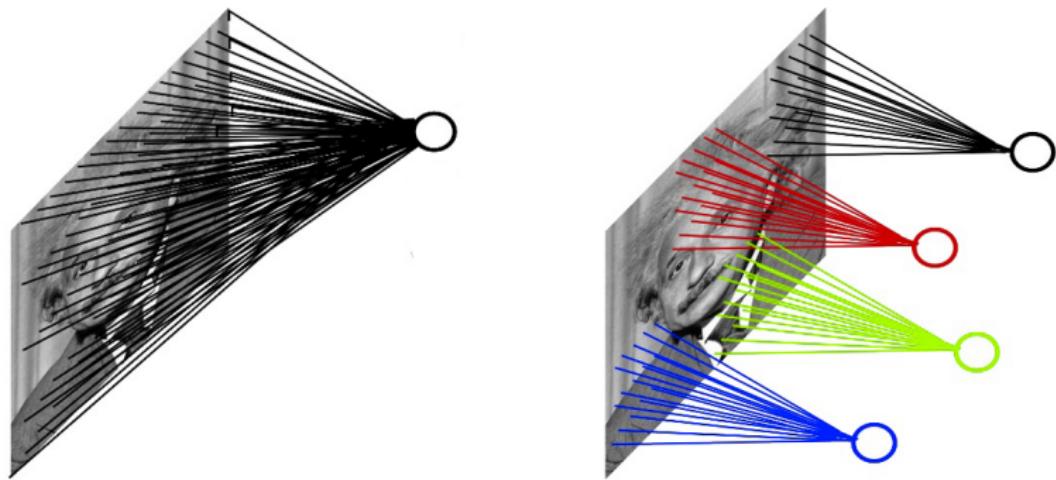
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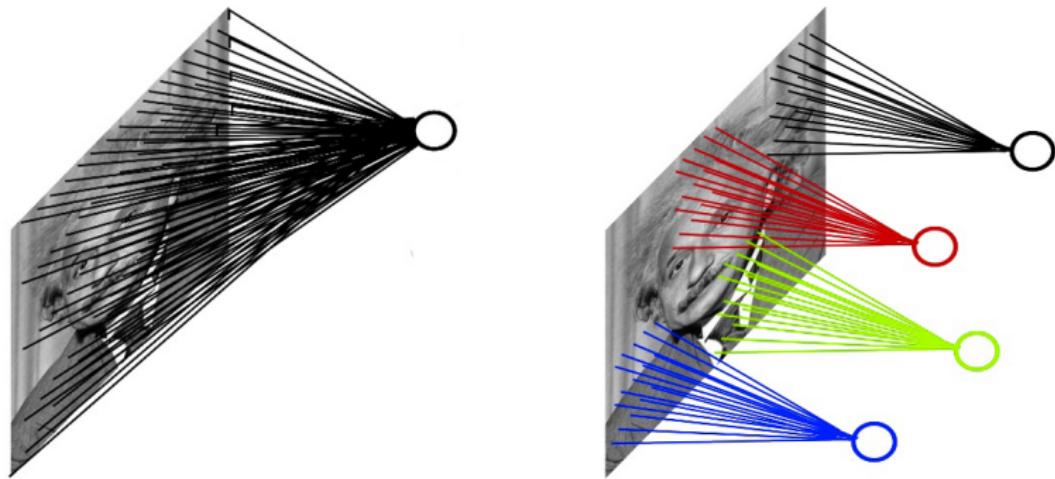
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# CNNs for Image Data



- Convolutional Neural Networks (CNNs) as *regularized* NNs
  - Incorporate image-specific prior knowledge in model design

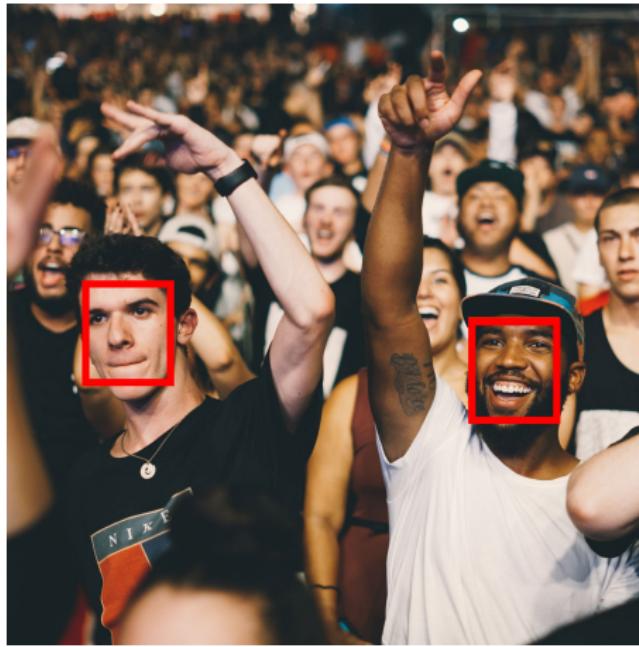
# CNNs for Image Data



- Convolutional Neural Networks (CNNs) as *regularized* NNs
  - Incorporate image-specific prior knowledge in model design
- What prior knowledge?

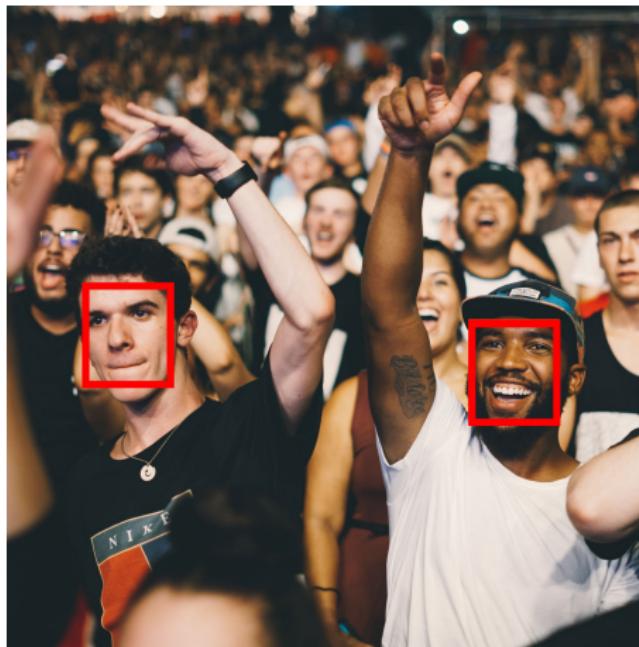
# Image-Specific Priors (1/2)

- Patterns in an images are location independent



# Image-Specific Priors (1/2)

- Patterns in an images are location independent
- Drives development of *convolution layers*
  - *Pruned* and *tied* weights between neurons at successive layers
  - Significantly improves learning efficiency



# Image-Specific Priors (1/2)

- Patterns can be zoomed (more specifically, downsampled)



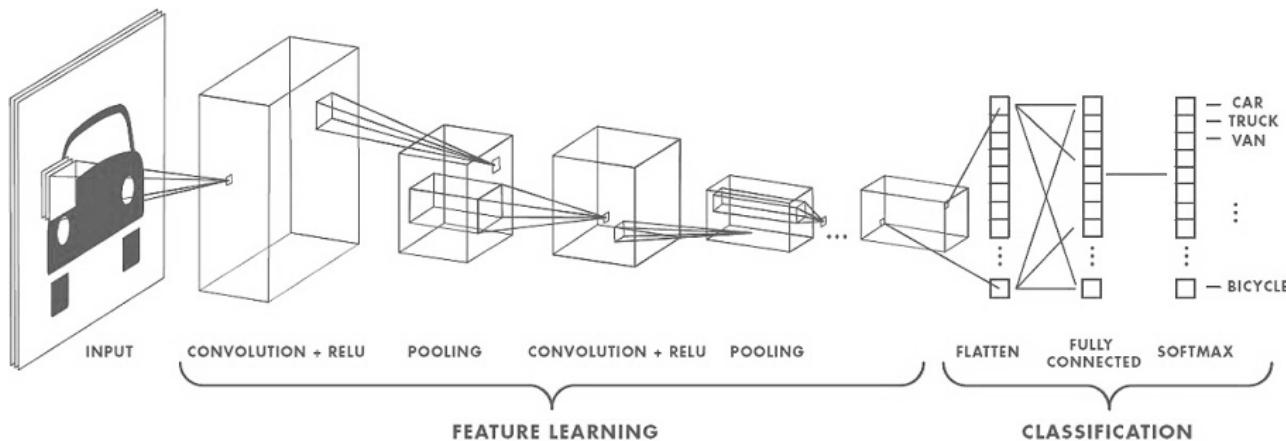
# Image-Specific Priors (1/2)

- Patterns can be zoomed (more specifically, downsampled)
- Drives development of *pooling layers*
  - *Reduce #neurons* at deeper layers to save computation



# Typical CNN Architecture

- Convolution and pooling layers learn hidden representations
  - Capture local image patterns
- Fully connected layers in the end
  - Serve as classifier, regressor, etc.



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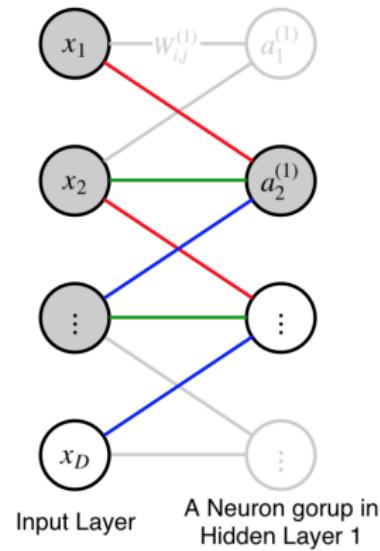
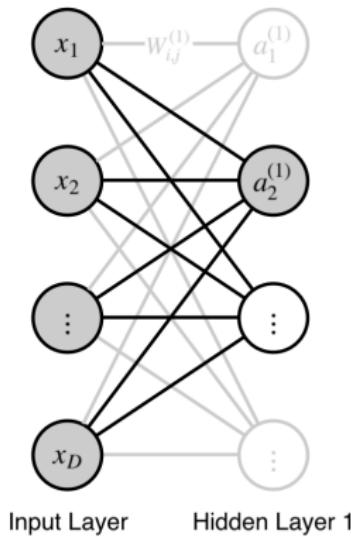
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# Capturing location Independent Patterns

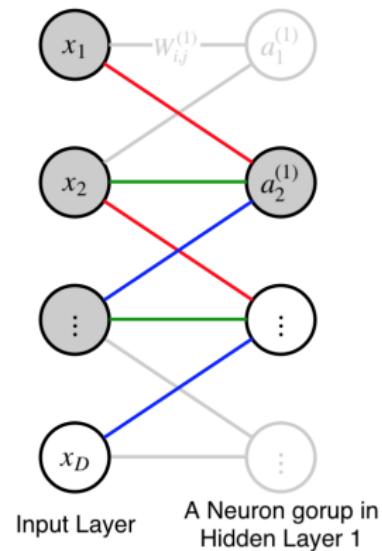
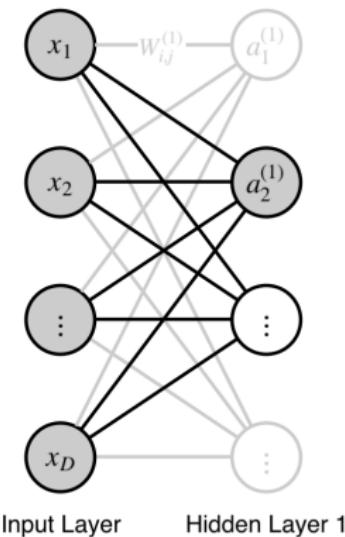
- In a fully connected layer, each neuron is connected to all pixels
- A convolution layer:
  - Divides neurons into groups
  - Lets each group of neurons capture a particular local pattern at different locations
- **Pruned** and **tied** weights; **zero-padding** at image boundary



# Filters and Feature Maps

$$a_i^{(l)} = \text{act}^{(l)}([a_{i-K^{(l)}/2}^{(l-1)}, \dots, a_i^{(l-1)}, \dots, a_{i+K^{(l)}/2}^{(l-1)}] \begin{bmatrix} w_1 \\ \vdots \\ w_{K^{(l)}} \end{bmatrix} + b^{(l)})$$

- Tied weights  $[w_1, \dots, w_{K^{(l)}}]$  for a group are called a *filter* or *kernel*
- Activations per group are called *feature map* or *activation map*

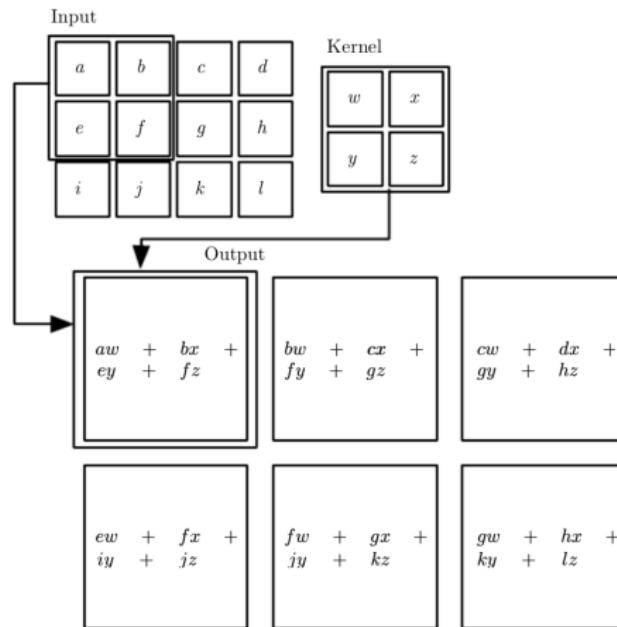


# 2D Convolution

- 1D input  $\ast$  1D filter  $\rightarrow$  1D activation map ( $\ast$  as “scanned by”)
  - $x \in \mathbb{R}^D \ast w \in \mathbb{R}^K \rightarrow a \in \mathbb{R}^D$

# 2D Convolution

- 1D input \* 1D filter → 1D activation map (\* as “scanned by”)
  - $x \in \mathbb{R}^D * w \in \mathbb{R}^K \rightarrow a \in \mathbb{R}^D$
- 2D input \* 2D filter → 2D activation map
  - $X \in \mathbb{R}^{W \times H} * W \in \mathbb{R}^{K \times K} \rightarrow A \in \mathbb{R}^{W \times H}$



# Why Called Convolution? (1/2)

- Suppose we are tracking the location  $y(t)$  of a car with a GPS sensor at time  $t$ 
  - Let  $x(t)$  be a GPS reading at time  $t$

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<sup>1</sup>In this example,  $w$  should satisfy  $\int w(t-s)ds = 1$  and  $w(t-s) = 0$  if  $s < 0$

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  - If GPS sensor is noisy, we can obtain an estimate of  $y(t)$  by the **convolution** of the function  $x(\cdot)$  with a weighting function  $w(\cdot)$ :<sup>1</sup>

$$\begin{aligned}y(t) &\approx (x * w)(t) \\&= \int x(s)w(t-s)ds \text{ (continuous time), or} \\&= \sum_s x(s)w(t-s) \text{ (discrete time),}\end{aligned}$$

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- $x(\cdot)$  is called **input**
- $w(\cdot)$  is called **kernel**

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- 2D convolution in CNNs:

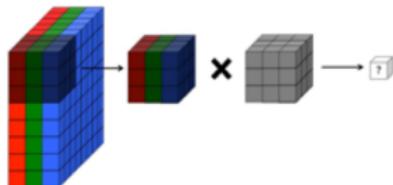
- $x(i - u, j - v)$  as image pixels
- $w(u, v)$  as a filter dimension  $W_{u,v}$
- $(x * w)(i, j)$  as an activation  $A_{i,j}$  in a feature map
- Operator  $*$  as a scanning step

# Supporting Color Images



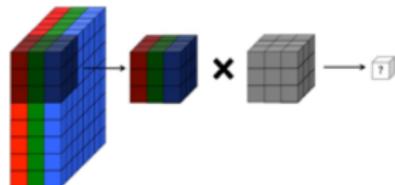
- A color image has multiple channels
- How to extend 2D convolution?
  - ① 3D input \* 2D filter → 3D activation map  
 $\mathbf{X} \in \mathbb{R}^{W \times H \times 3} * \mathbf{W} \in \mathbb{R}^{K \times K} \rightarrow \mathbf{A} \in \mathbb{R}^{W \times H \times 3}$
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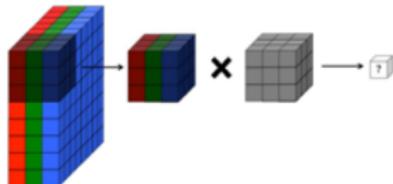
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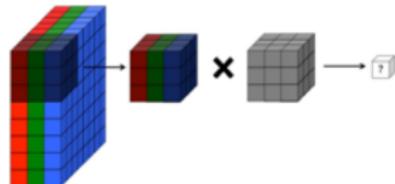
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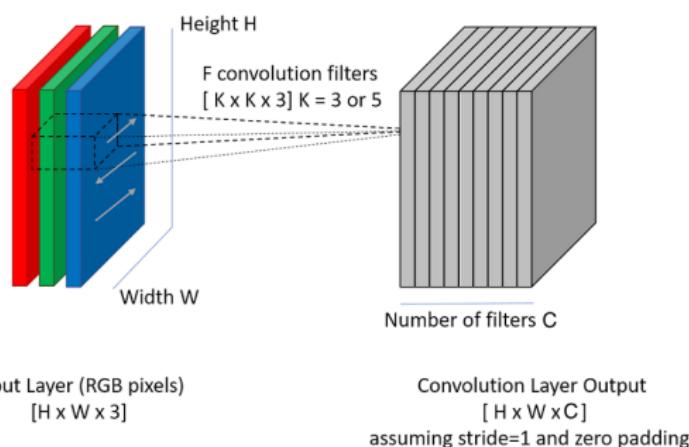
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- Because humans *see through channels*
- Still called 2D convolution because the scanning moves in 2D
- When to use 3D convolution? E.g., videos as 4D input
  - To detect spatially & temporally local pattern
  - 4D input \* 4D filter → 3D activation map

# Detecting Multiple Local Patterns

- Suppose we use  $C^{(l)}$  filters to detect local patterns at layer  $l$
- After convolution, we have  $C^{(l)}$  feature maps:  $\{A^{(l,1)}, \dots, A^{(l,C)}\}$
- How to form the input for the next layer  $l+1$ ?

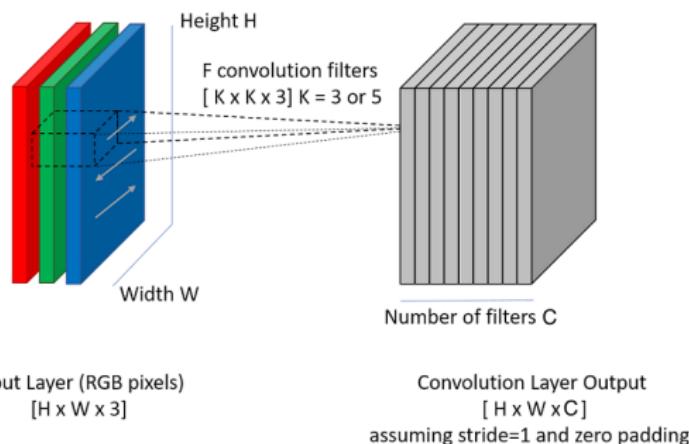
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- Stack up the activation maps ***along the channel dimension***
  - $A^{W \times H \times C^{(l)}}$  as the input



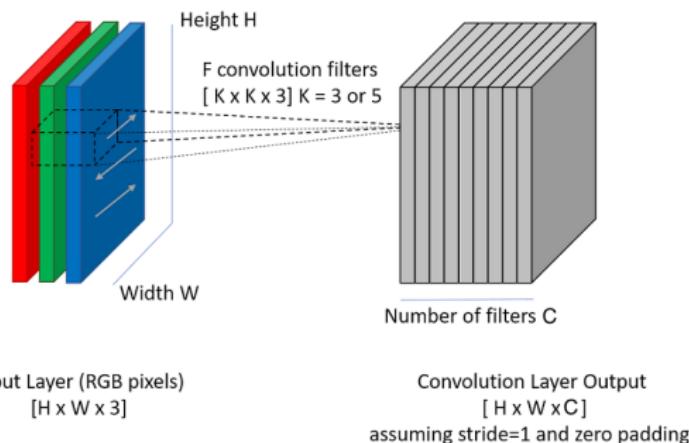
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- Dimension of a filter in the next layer?



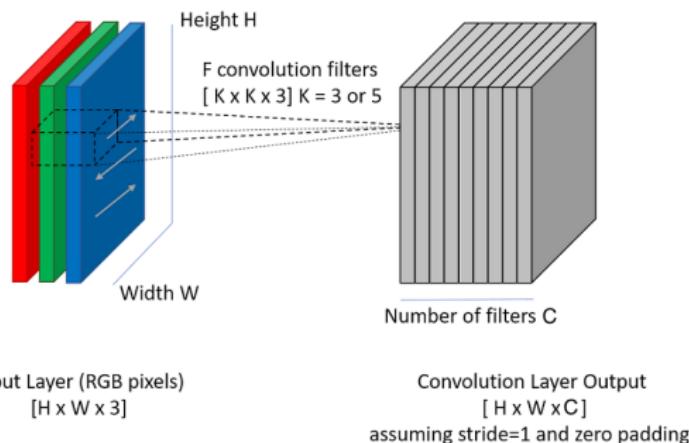
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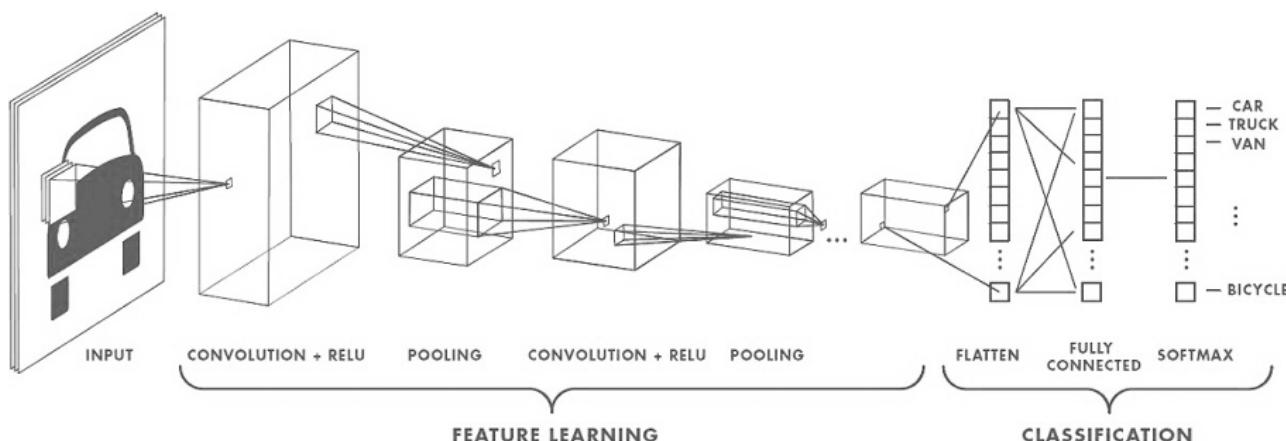
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  - $A^{W \times H \times C^{(l)}}$  as the input
- Dimension of a filter in the next layer?  $K^{(l+1)} \times K^{(l+1)} \times C^{(l)}$
- What does the filter in the next layer learn?



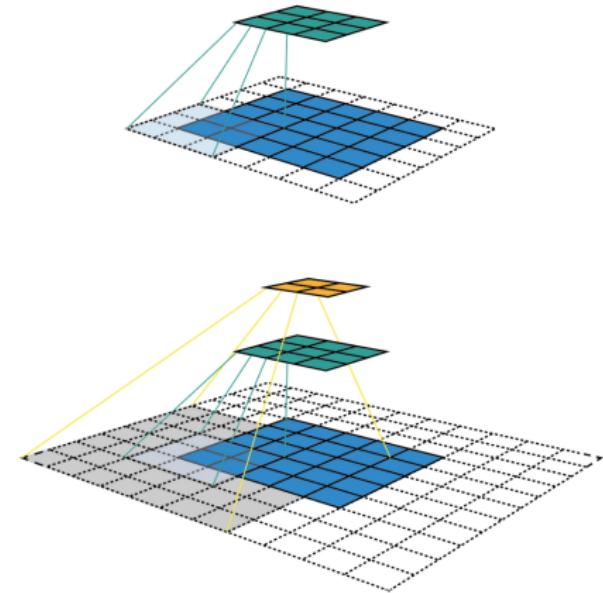
# Learning Deep Local Patterns (1/3)

- Feature map is **equivariant** to translation
  - A function  $f$  is equivariant to a function  $g$  if  $f(g(x)) = g(f(x))$
  - $g(x)$  as translated input
  - $f(x)$  as feature map
- Stacking up feature maps along channel dimension allows a deep filter to **see through patterns in the same local region** to detect new patterns



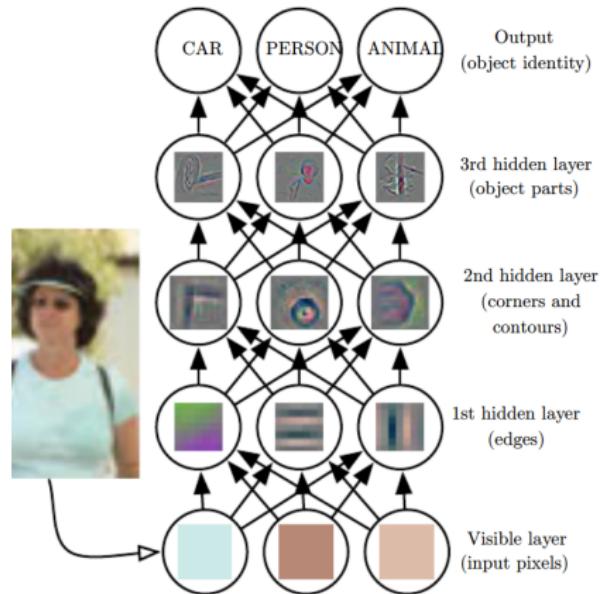
# Learning Deep Local Patterns (2/3)

- Deep filter has larger *receptive field* than shallow filter
  - Receptive field of a neuron is the pixels that can activate the neuron
- I.e., deep filter sees (indirectly) more pixels



# Learning Deep Local Patterns (3/3)

- A deep filter
  - sees through patterns in the same region
  - has enlarged receptive fieldto detect new patterns
- Together, these capabilities allow more complex patterns such as objects to be detected



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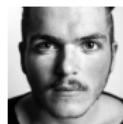
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# Downsampling Feature Maps

- Idea: to add a *max pooling* layer after each feature map
  - $\tilde{a}_{i,j} = \max\{\text{activation values scanned by a filter each time}\}$
  - Usually with a large *stride* (i.e., amount of filter shift during scanning)
- A max pooling layer downsamples a feature map *without significantly changing "how it looks"*
  - Reduces #neurons (input of the next layer) and speeds up scanning



1	1	2	4
5	6	7	8
3	2	1	0
1	2	3	4

max pool with 2x2 filters  
and stride 2



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- Max pooling vs. average pooling?
  - Max: better for detecting edges or textures
  - Average: better for detection brightness or contrast



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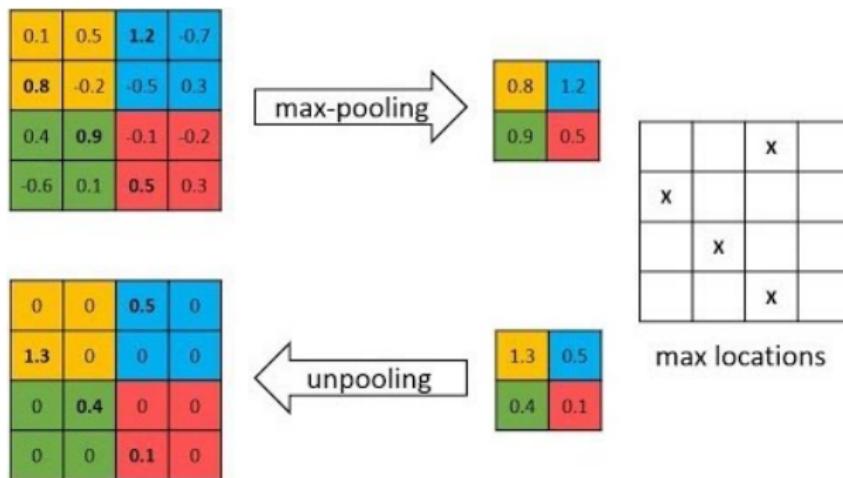
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# How to Train CNNs with Max Pooling Layers?

- A (max) pooling layer does not introduce new weights to learn
- However, the max function is non-differentiable
- How to backprop through a max pooling layer?

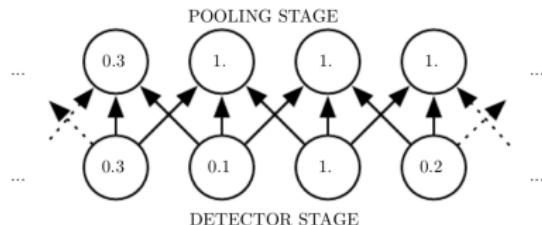
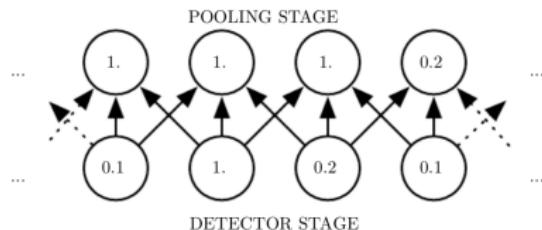
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  - ① During forward pass, remember the index of max
  - ② Then backprop only through the index



# Side Effect: Translation Invariance

- Max pooling makes feature map **invariant** to input translation
  - Every value in the bottom row has changed
  - But only half of the values in the top row have changed

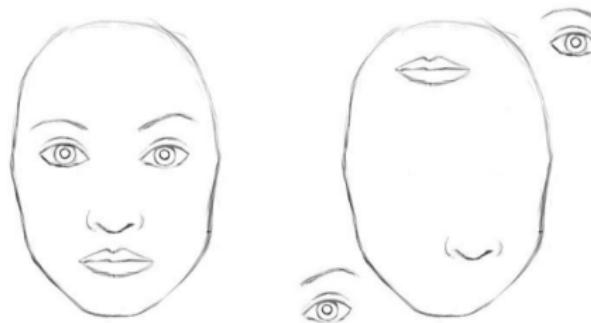


# Equivariance vs. Invariance (1/2)

- Raw feature map: *equivariant* to input translations
- Max pooled feature map: *invariant* to input translations
- How does it impact the learning?

# Equivariance vs. Invariance (1/2)

- Raw feature map: **equivariant** to input translations
- Max pooled feature map: **invariant** to input translations
- How does it impact the learning?
- Given a face recognition task:
  - Humans: left ✓, right X
  - CNNs with max pooling layers: left ✓, right ✓



## Equivariance vs. Invariance (2/2)

- Use max pooling only when you care more about *whether some feature is present* than exactly where it is
  - Enough for CNNs to perform many image tasks well

# Equivariance vs. Invariance (2/2)

- Use max pooling only when you care more about ***whether some feature is present*** than exactly where it is
  - Enough for CNNs to perform many image tasks well
- However, there are also many opposite cases:
  - Image segmentation: weighted average pooling in Mask R-CNNs [6]
  - Predicting class labels of objects from unseen angles: Capsule Net [19, 8]



# Exercise: #weights and #neurons at each layer?

Softmax output: 10 classes



Fully connected



Flatten



Max pooling:  $K = 4$ , stride=4



Convolution: 32 filters,  $K = 4$ , zero padding



Max pooling:  $K = 4$ , stride=4



Convolution: 16 filters,  $K = 4$ , zero padding



Input image:  $256 \times 256 \times 3$

# Exercise: #weights and #neurons at each layer?

Softmax output: 10 classes	#units: 10 #weights: 0
Fully connected	#units: 10 #weights: $8192 \times 10$
Flatten	#units: 8192 #weights: 0
Max pooling: $K = 4$ , stride=4	#units: $16 \times 16 \times 32$ #weights: 0
Convolution: 32 filters, $K = 4$ , zero padding	#units: $64 \times 64 \times 32$ #weights: $4 \times 4 \times 16 \times 32$
Max pooling: $K = 4$ , stride=4	#units: $64 \times 64 \times 16$ #weights: 0
Convolution: 16 filters, $K = 4$ , zero padding	#units: $256 \times 256 \times 16$ #weights: $4 \times 4 \times 3 \times 16$
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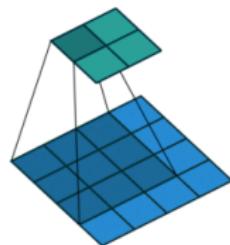
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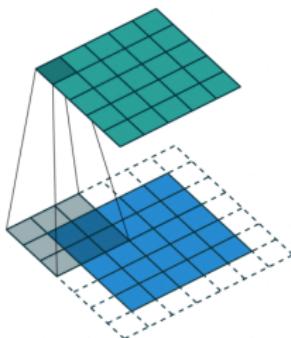
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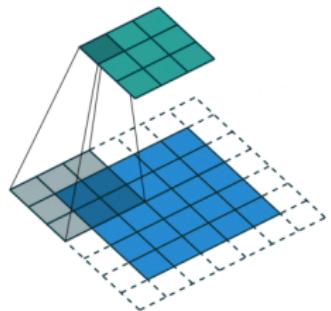
# Variants of Convolution



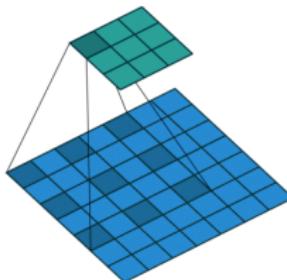
No padding, stride=1.



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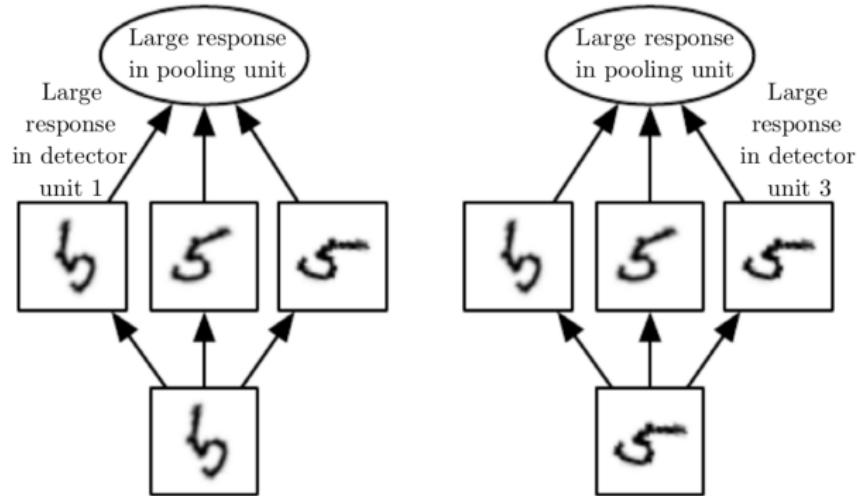


Zero padding, stride=2.



No padding, stride=1, dilation=2.

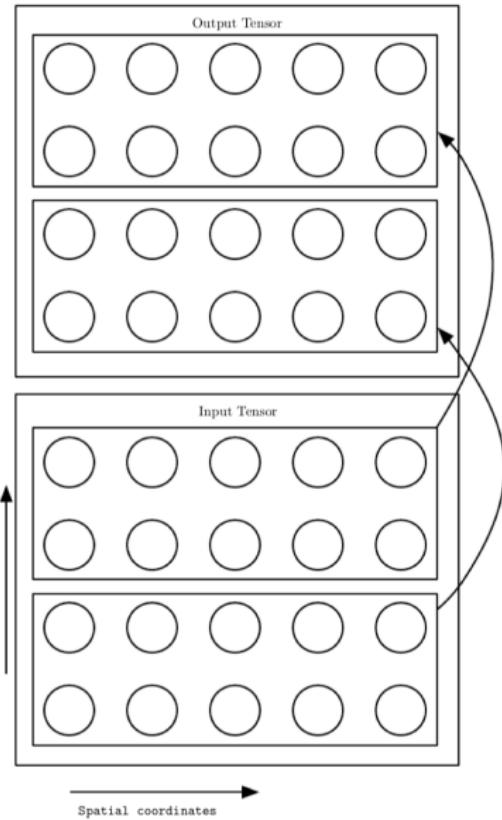
# Cross-Filter Feature Pooling



- We may apply max pooling to features of *different filters*
- Creates invariance to other transformations of the input
  - E.g., rotations

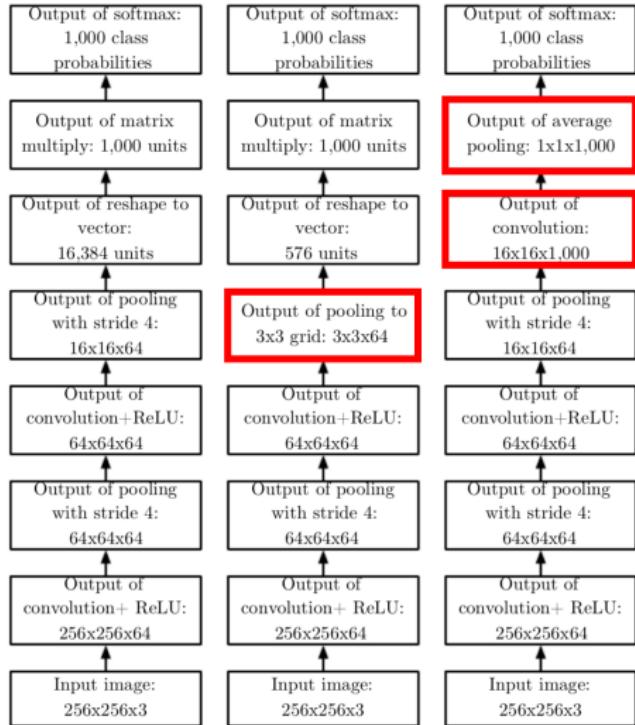
# Channel Grouping

- An output channel may only connect to few input channels
- Limits #sub-patterns in a pattern (a prior)
  - May improve learning efficiency
- Further reduces #weights and computation



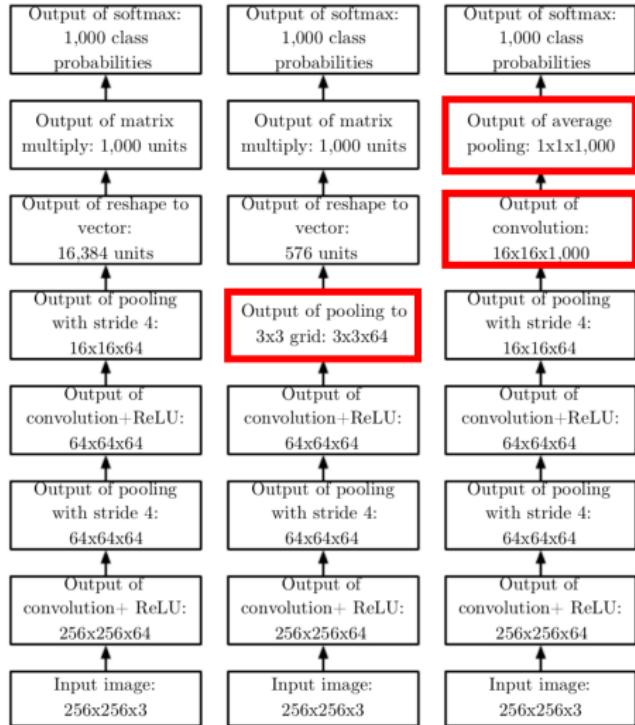
# Input and Output

- Left: for images with fixed size



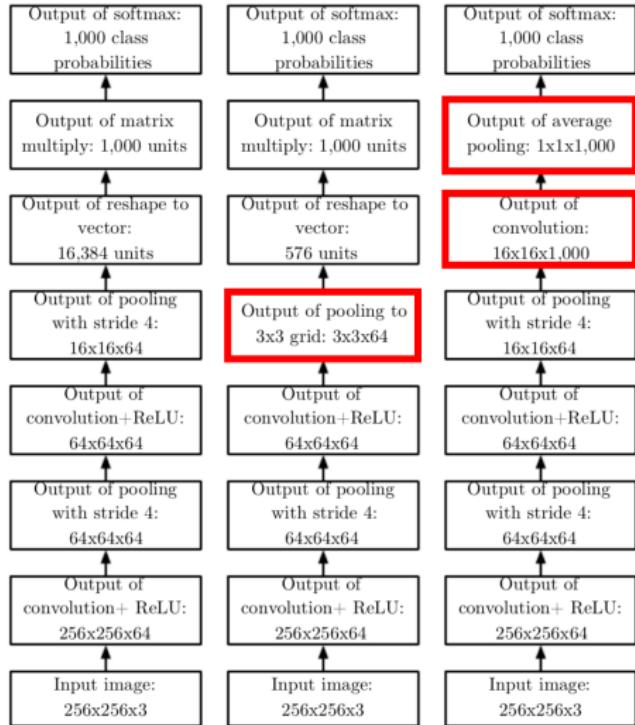
# Input and Output

- Left: for images with fixed size
- Center: for images with variable size

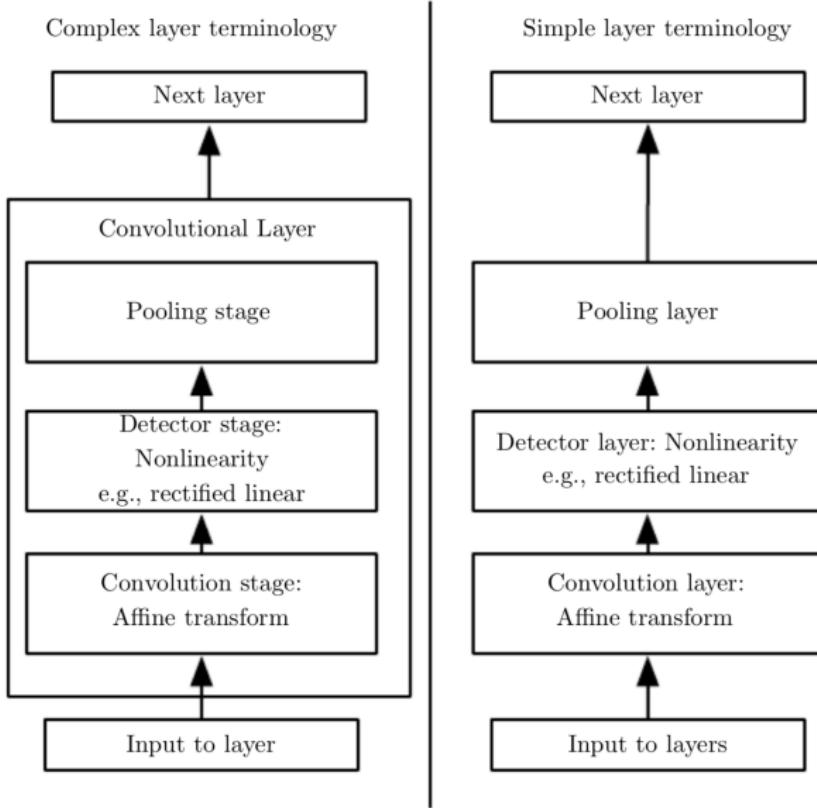


# Input and Output

- Left: for images with fixed size
- Center: for images with variable size
- Right: classes as local patterns
  - Called **fully convolutional networks** (FCNs)

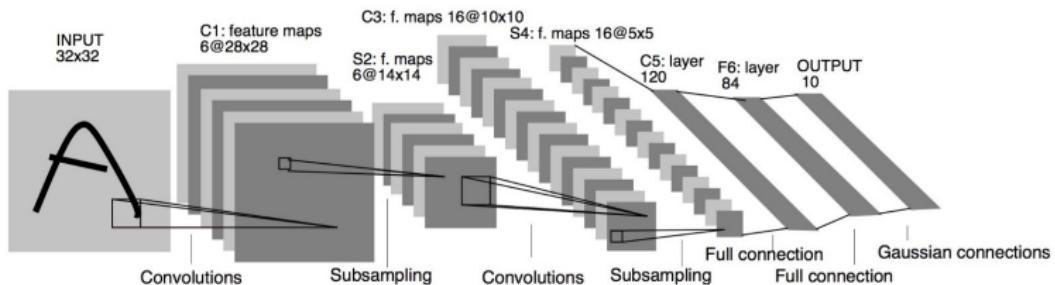


# Layer Terminology



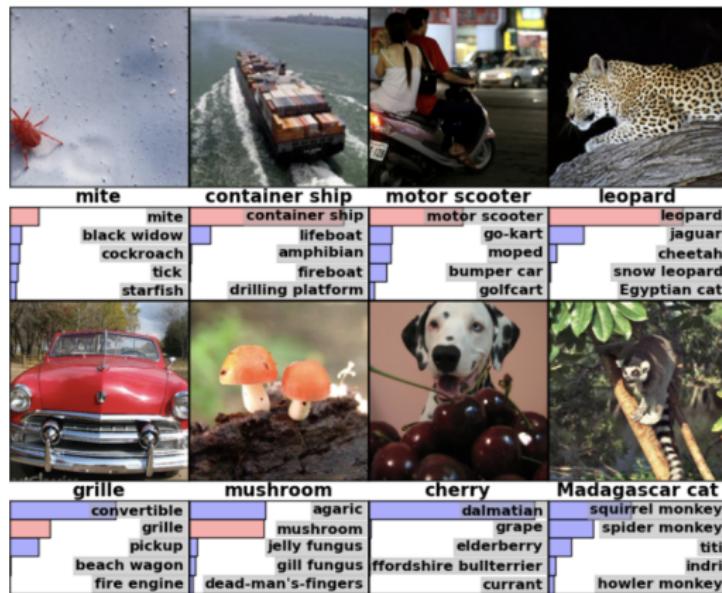
# Case Study: LeNet (1998)

- Developed by Yann LeCun [12]
- Lays fundamentals of modern CNNs
  - Convolution → Pooling → Convolution → Pooling → ...



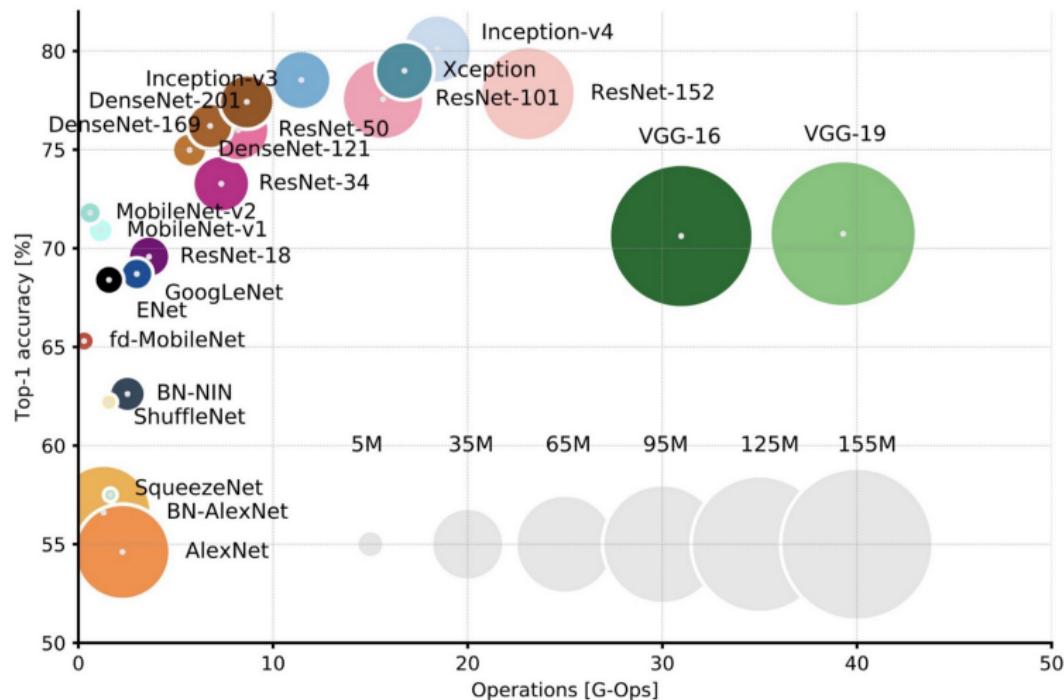
# Arrival of Big Visual Data (2009)

- ImageNet [1] is an image database organized according to the WordNet [15] nouns hierarchy
  - Over five hundred images per word
  - Each image is labeled multiple words



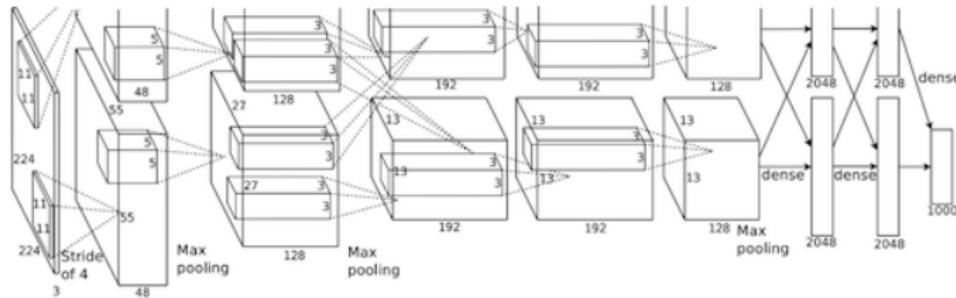
# ImageNet Large Scale Visual Recognition Competition (ILSVRC)

- Drives AlexNet, VGG, GoogleLeNet, ResNet, DenseNet...



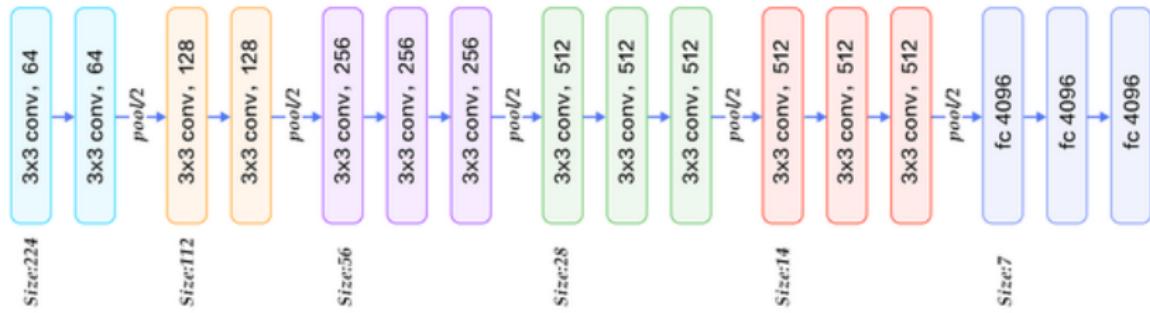
# Case Study: AlexNet (2012) [11]

- Scales up LeNet
- Use of rectified linear units (ReLU)
- Use of dropout technique to avoid overfitting
- Uses GPU to get 10x faster training time



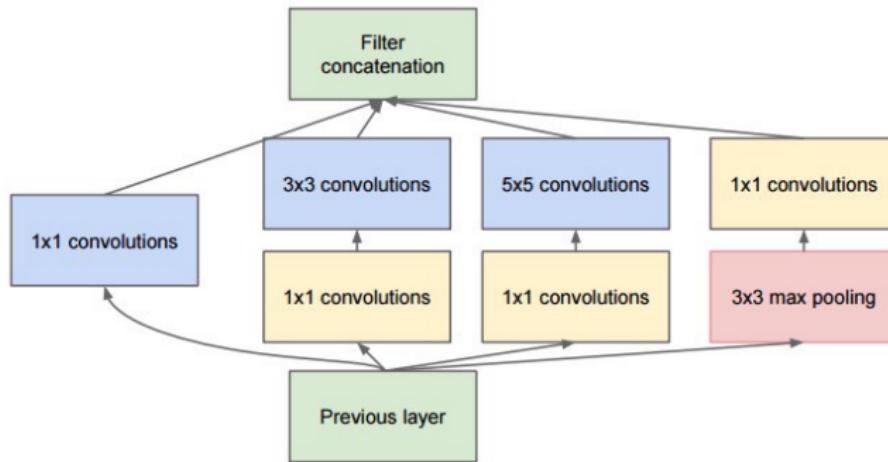
# Case Study: VGG (2014) [21]

- Replace a filter with a large  $K$  (9 or 11) with *a sequence of filters with smaller  $K$*  (3)
- Take advantage of enlarged receptive fields at deep layers



# Case Study: GoogleLeNet (2015) [22]

- Proposes ***inception module*** consisting of filters of different  $K$ 's
  - Learns patterns from sub-patterns of different sizes
- Use  $1 \times 1$  convolution as ***bottleneck layer*** to save computing



# Bottleneck layer

- Conventional:

- Input  $(W \times H \times 256) * 256$  filters  $(3 \times 3 \times 256 \times 256)$   
→ Feature maps  $(W \times H \times 256)$
- #multiplies:  $(W \times H) \times (3 \times 3 \times 256) \times 256 \approx (W \times H) \times 590K$

# Bottleneck layer

- Conventional:

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- #multiplies:  $(W \times H) \times (3 \times 3 \times 256) \times 256 \approx (W \times H) \times 590K$

- Bottleneck layer:

- ① Input  $(W \times H \times 256) * 64$  filters  $(1 \times 1 \times 256 \times 64)$   
→ Feature maps  $(W \times H \times 64)$

- ② Input  $(W \times H \times 64) * 64$  filters  $(3 \times 3 \times 64 \times 64)$   
→ Feature maps  $(W \times H \times 64)$

- ③ Input  $(W \times H \times 64) * 256$  filters  $(1 \times 1 \times 64 \times 256)$   
→ Feature maps  $(W \times H \times 256)$

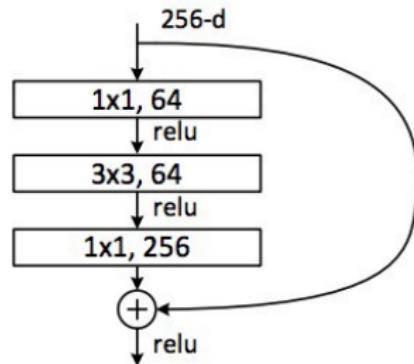
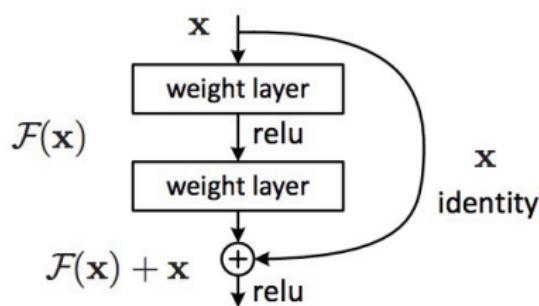
- #multiplies:  
$$(W \times H) \times [(1 \times 1 \times 256) \times 64 + (3 \times 3 \times 64) \times 64 + (1 \times 1 \times 64) \times 256] \approx (W \times H) \times 70K$$

# Bottleneck layer

- Conventional:
  - Input  $(W \times H \times 256) * 256$  filters  $(3 \times 3 \times 256 \times 256)$   
→ Feature maps  $(W \times H \times 256)$
  - #multiplies:  $(W \times H) \times (3 \times 3 \times 256) \times 256 \approx (W \times H) \times 590K$
- Bottleneck layer:
  - ① Input  $(W \times H \times 256) * 64$  filters  $(1 \times 1 \times 256 \times 64)$   
→ Feature maps  $(W \times H \times 64)$
  - ② Input  $(W \times H \times 64) * 64$  filters  $(3 \times 3 \times 64 \times 64)$   
→ Feature maps  $(W \times H \times 64)$
  - ③ Input  $(W \times H \times 64) * 256$  filters  $(1 \times 1 \times 64 \times 256)$   
→ Feature maps  $(W \times H \times 256)$
  - #multiplies:  
$$(W \times H) \times [(1 \times 1 \times 256) \times 64 + (3 \times 3 \times 64) \times 64 + (1 \times 1 \times 64) \times 256] \approx (W \times H) \times 70K$$
- At little cost of performance drop!
  - It helps by **combining the features at the same position** before learning new patterns from their relative positions

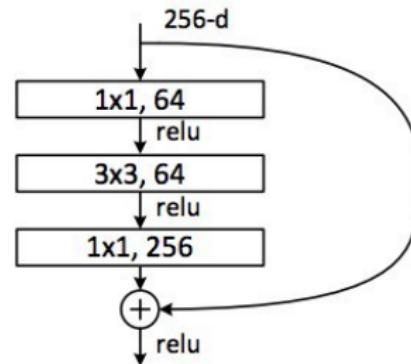
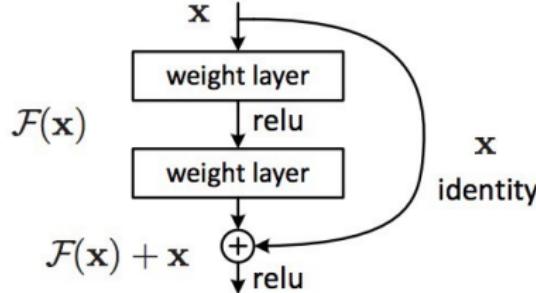
# Case Study: ResNet (2016) [7]

- Use of batch normalization
- An FCN: omits fully-connected layers at the end
- Very deep—152 layers
- Shortcut from current to the next-2 layer (or next bottleneck layer)



# Case Study: ResNet (2016) [7]

- Use of batch normalization
- An FCN: omits fully-connected layers at the end
- Very deep—152 layers
- Shortcut from current to the next-2 layer (or next bottleneck layer)
- Idea: let a deeper CNN perform as least as well as a shallower one
  - Can “skip” layers if they are not helpful



# Unraveled ResNet

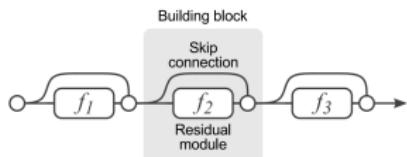
- Why to the next-**2** layer?

# Unraveled ResNet

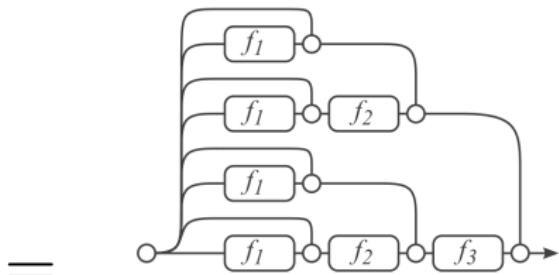
- Why to the next-**2** layer?
  - Empirically, does not improve performance in case of 1
  - One layer is not enough to learn “residual” patterns

# Unraveled ResNet

- Why to the next-**2** layer?
  - Empirically, does not improve performance in case of 1
  - One layer is not enough to learn “residual” patterns
- ResNet can also be seen as an ensemble of small networks [25]
- ResNet usually operates on blocks of relatively low depth (20–30 layers)
  - Blocks act in parallel, rather than serially



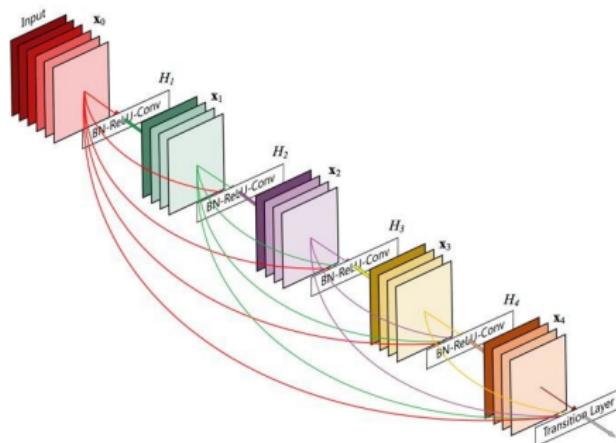
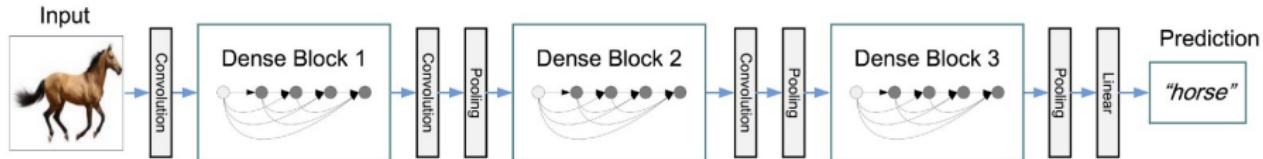
(a) Conventional 3-block residual network



(b) Unraveled view of (a)

# Case Study: DenseNet (2017) [9]

- Idea: let a filter in a block see through features in *all* previous blocks



# Outline

## ① Design

- Convolution Layers
- Pooling Layers
- Variants & Case Studies

## ② Visualization

- Visualizing Activations
- Visualizing Filters/Kernels
- Visualizing Gradients
- Dreaming and Style Transfer

## ③ Beyond Image Classification

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# What does a CNN learn?

- Common criticism: the decisions of NNs are not interpretable

# What does a CNN learn?

- Common criticism: the decisions of NNs are not interpretable
- Modeling image priors also helps people understand CNNs!
- Two common approaches:
  - Given  $f$  and input  $x$ , ***find out parts of x***
  - Given  $f$ , ***synthesis input x***

that mostly activate  $\hat{y}_j = f_j^{(L)}(\dots f^{(1)}(\mathbf{x}))$  or  $a_{i,j,c}^{(l)} = f_{i,j,c}^{(l)}(\dots f^{(1)}(\mathbf{x}))$

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# Retrieving Raw Images

- One simple way to understand how  $\hat{y}_j$  or  $a_{i,j,c}$  is made is to retrieve (from external database) the images that mostly activate it

# Retrieving Raw Images

- One simple way to understand how  $\hat{y}_j$  or  $a_{i,j,c}$  is made is to retrieve (from external database) the images that mostly activate it
- Images can be cropped by the receptive field of  $a_{i,j,c}$
- E.g., maximally activating patches for neurons in AlexNet



# Conditioned Retrieval

- Given an input  $x$ , we can find images most similar to  $x$  *in feature space*

# Conditioned Retrieval

- Given an input  $x$ , we can find images most similar to  $x$  *in feature space*
- E.g., NNs at the deepest fully-connected layer of AlexNet
  - Semantically consistent; despite pixel-level diversity



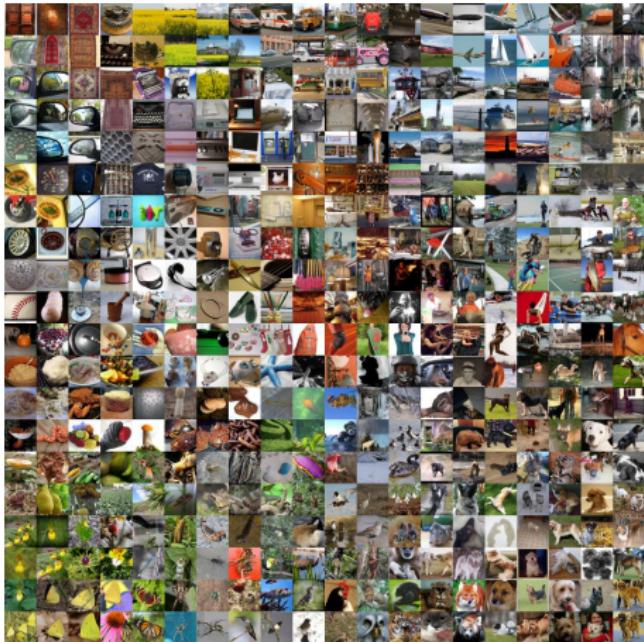
# Clustering Raw Images

- Cluster images (e.g., using  $t$ -SNE [14]) based on their similarity *in feature space*



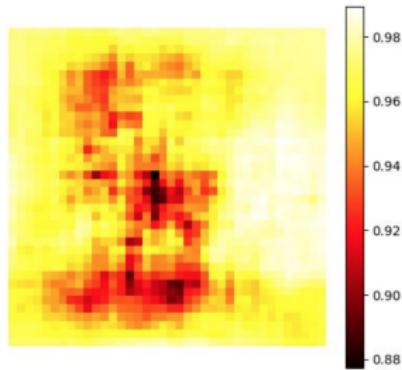
# Clustering Raw Images

- Cluster images (e.g., using  $t$ -SNE [14]) based on their similarity *in feature space*
- E.g., 2D  $t$ -SNE space reduced from the activation space of the deepest fully-connected layer of AlexNet



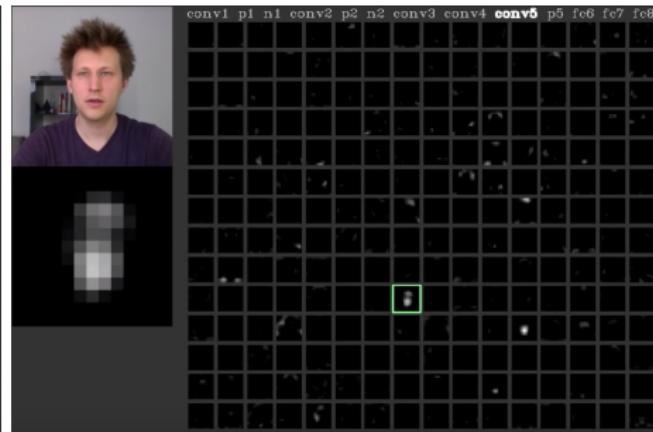
# Occluding Parts of an Image

- Mask part of a given image  $x$  before feeding to  $f$ 
  - Occlusion area corresponds to the receptive field of a neuron
- Draw heatmap of neuron activation at each mask location



# Visualizing Activation Maps as Images

- Treat each feature map as a grayscale image
  - Smaller at deeper layers
- E.g., feature maps of AlexNet at 1st and 5th layers
  - A feature map at layer 1 detects verticals
  - Another at layer 5 detects faces



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# Visualizing Filters

- The filters ( $K \times K \times 3$ ) at the first layer can be viewed as a color image
  - Help us understand what pixels are detected
  - **Not** specific to an image
- E.g., 64 first-layer filters in different CNNs



AlexNet:  
 $64 \times 3 \times 11 \times 11$



ResNet-18:  
 $64 \times 3 \times 7 \times 7$



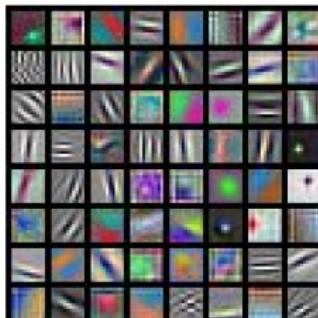
ResNet-101:  
 $64 \times 3 \times 7 \times 7$



DenseNet-121:  
 $64 \times 3 \times 7 \times 7$

# Visualizing Filters

- The filters ( $K \times K \times 3$ ) at the first layer can be viewed as a color image
  - Help us understand what pixels are detected
  - Not** specific to an image
- E.g., 64 first-layer filters in different CNNs
- However, this method cannot be applied to filters at deep layers
  - Filters scan sub-patterns, not pixels
  - We need a way to recursively map patterns to sub-patterns... to pixels



AlexNet:  
 $64 \times 3 \times 11 \times 11$



ResNet-18:  
 $64 \times 3 \times 7 \times 7$



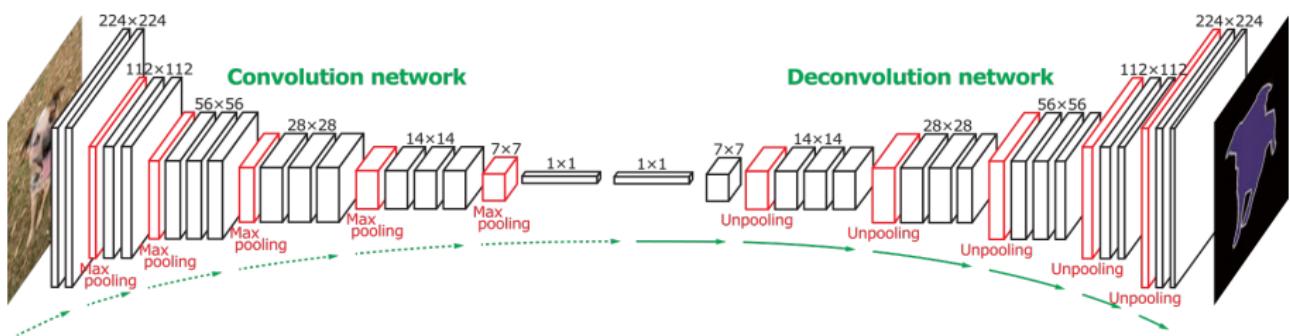
ResNet-101:  
 $64 \times 3 \times 7 \times 7$



DenseNet-121:  
 $64 \times 3 \times 7 \times 7$

# Deconvolution Network (DeconvNet) [28, 27]

- Given an activation value at deep layer
- Goal: to “undo” the effect of convolution, ReLU, and max pooling to synthesis image



# Undoing Pooling

**Nearest Neighbor**

1	2
1	2
3	4



1	1	2	2
1	1	2	2
3	3	4	4
3	3	4	4

Input: 2 x 2

Output: 4 x 4

**“Bed of Nails”**

1	2
3	4



1	0	2	0
0	0	0	0
3	0	4	0
0	0	0	0

Input: 2 x 2

Output: 4 x 4

# Undoing Pooling

Nearest Neighbor

1	2
1	2
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1	1	2	2
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"Bed of Nails"

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1	0	2	0
0	0	0	0
3	0	4	0
0	0	0	0

Input: 2 x 2

Output: 4 x 4

- If  $x$  is available: remember which element was max during the forward pass (called *max unpooling*)

Max Pooling

Remember which element was max!

1	2	6	3
3	5	2	1
1	2	2	1
7	3	4	8



5	6
7	8

→ ... → Rest of the network

Input: 4 x 4

Output: 2 x 2

Max Unpooling

Use positions from pooling layer

1	2
3	4



0	0	2	0
0	1	0	0
0	0	0	0
3	0	0	4

Input: 2 x 2

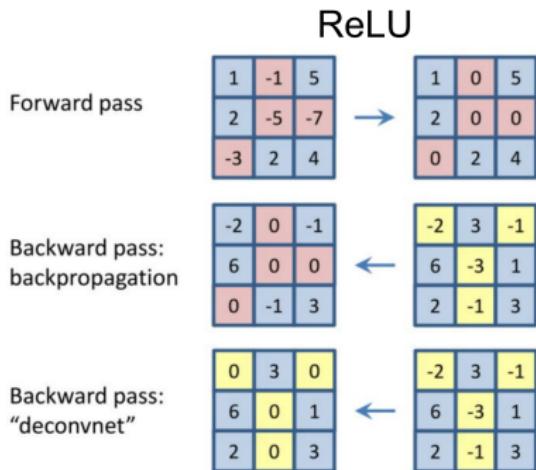
Output: 4 x 4

# Undoing ReLU

- If  $x$  is available: rectify using the binary mask remembered during the feed-forward ReLU operation
- DeconvNet [27]: simply use ordinary ReLU

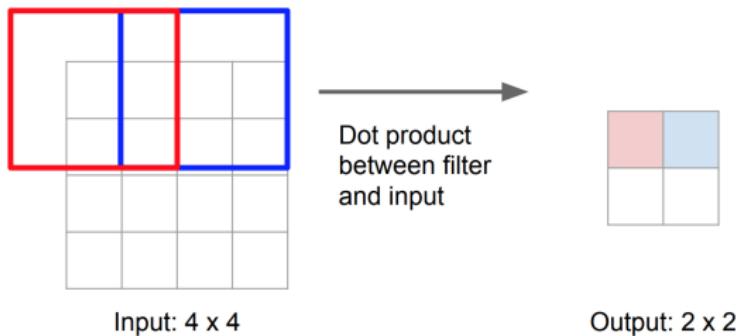
# Undoing ReLU

- If  $x$  is available: rectify using the binary mask remembered during the feed-forward ReLU operation
- DeconvNet [27]: simply use ordinary ReLU
  - Feature maps (and final pixels) are always positive
  - Gives more clear results empirically



# Undoing Convolution (1/2)

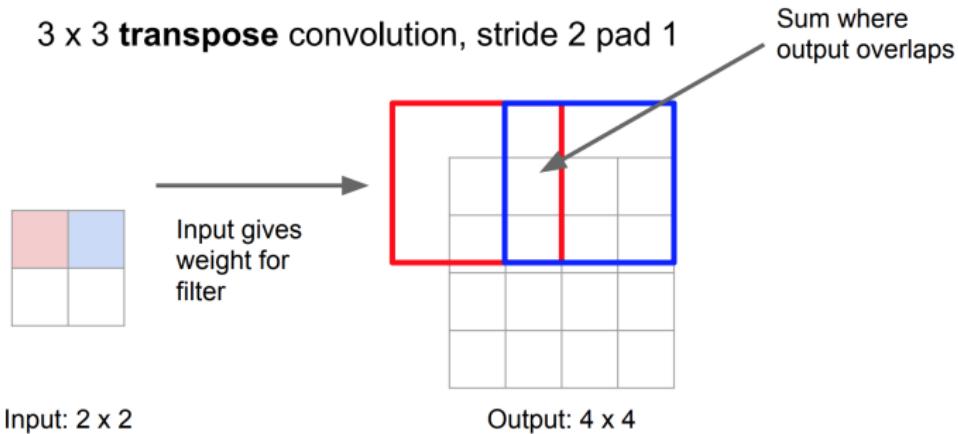
**Recall:** Normal  $3 \times 3$  convolution, stride 2 pad 1



- Denoting a convolution op by  $*$ , we have ( $K = 2$ ):

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} * \begin{bmatrix} x & y \\ z & w \end{bmatrix} = ax + by + cz + dw$$

# Undoing Convolution (2/2)

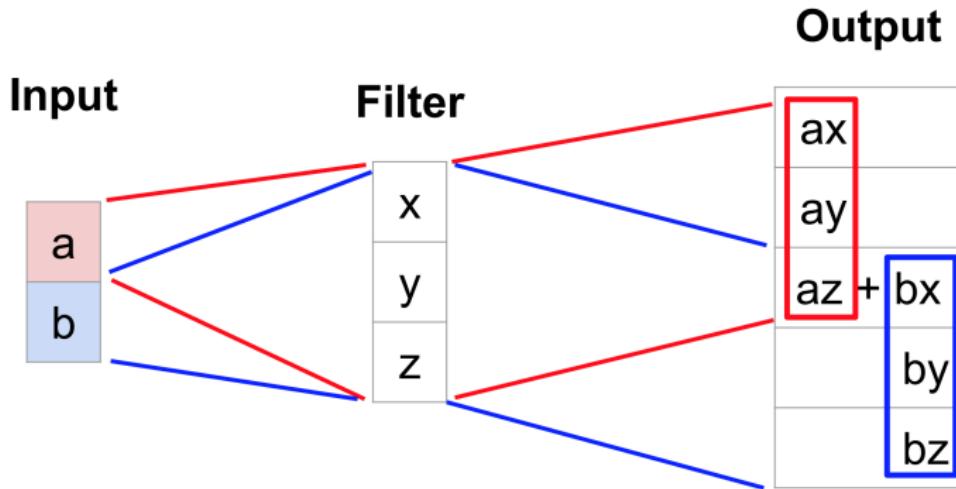


- Denoting a **transposed convolution** op by  $*^\top$ , we have ( $K = 2$ ):

$$a' *^\top \begin{bmatrix} w & z \\ y & x \end{bmatrix} = \begin{bmatrix} a'w & a'z \\ a'y & a'x \end{bmatrix}$$

# Why Called Transposed Convolution? (1/2)

- Example: 1D convolution with  $K = 3$ , stride=1, and padding=1



# Why Called Transposed Convolution? (2/2)

- 1D convolution ( $K = 3$ , **stride=1**, padding=1):

$$\mathbf{a} * \mathbf{w} = \mathbf{W}\mathbf{a} = \begin{bmatrix} x & y & z & 0 & 0 & 0 \\ 0 & x & y & z & 0 & 0 \\ 0 & 0 & x & y & z & 0 \\ 0 & 0 & 0 & x & y & z \end{bmatrix} \begin{bmatrix} 0 \\ a \\ b \\ c \\ d \\ 0 \end{bmatrix} = \begin{bmatrix} ay + bz \\ ax + by + cz \\ bx + cy + dz \\ cx + dy \end{bmatrix}$$

- 1D transposed convolution ( $K = 3$ , stride=1, padding=0):

- $\mathbf{W}^\top$  denotes a regular convolution **with reversed filter weights**

$$\mathbf{a}' * {}^\top \mathbf{w} = \mathbf{W}^\top \mathbf{a} = \begin{bmatrix} x & 0 & 0 & 0 \\ y & x & 0 & 0 \\ z & y & x & 0 \\ 0 & z & y & x \\ 0 & 0 & z & y \\ 0 & 0 & 0 & z \end{bmatrix} \begin{bmatrix} a' \\ b' \\ c' \\ d' \end{bmatrix} = \begin{bmatrix} a'x \\ a'y + b'x \\ a'z + b'y + c'x \\ b'z + c'y + d'x \\ c'z + d'y \\ d'z \end{bmatrix}$$

# General Cases

- 1D convolution ( $K = 3$ , stride=2, padding=1):

$$\mathbf{a} * \mathbf{w} = \mathbf{W}\mathbf{a} = \begin{bmatrix} x & y & z & 0 & 0 & 0 \\ 0 & 0 & x & y & z & 0 \end{bmatrix} \begin{bmatrix} 0 \\ a \\ b \\ c \\ d \\ 0 \end{bmatrix} = \begin{bmatrix} ay + bz \\ bx + cy + dz \end{bmatrix}$$

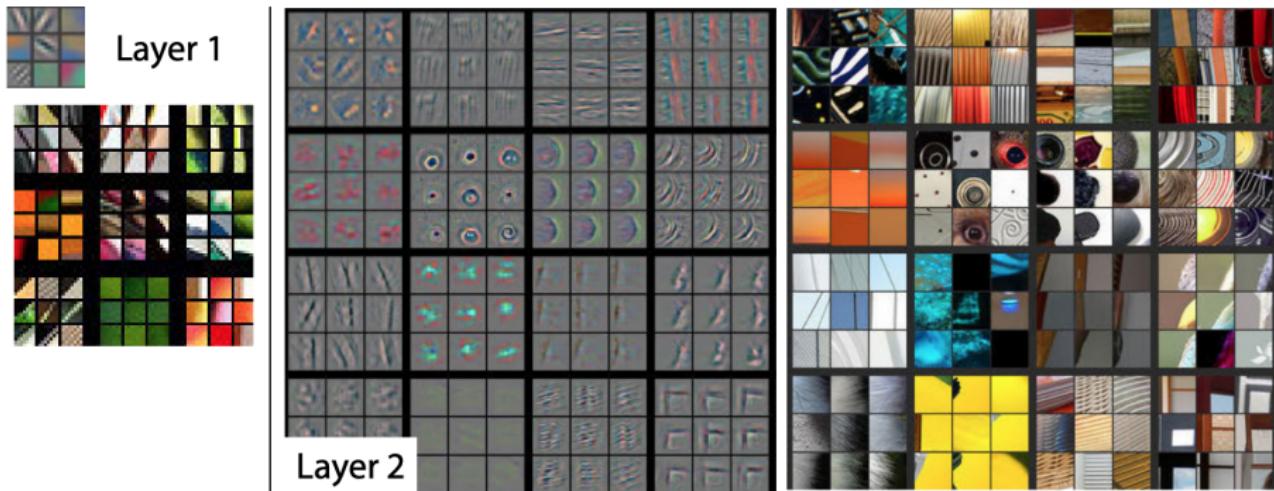
- 1D transposed convolution:

- $\mathbf{W}^\top$  **does not** represent a convolution any more

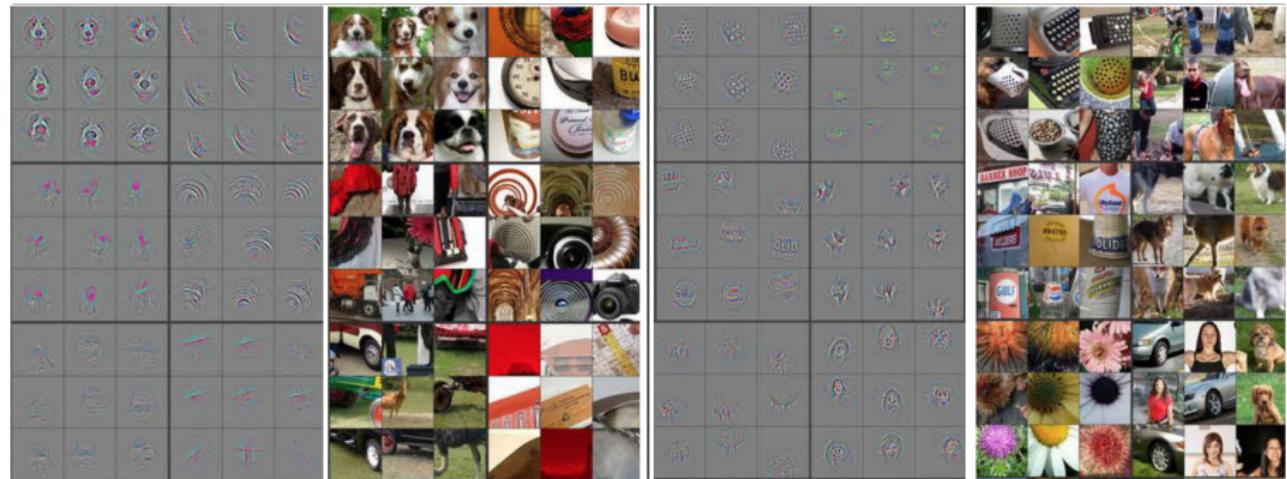
$$\mathbf{a}^{*\top} \mathbf{w} = \mathbf{W}^\top \mathbf{a} = \begin{bmatrix} x & 0 \\ y & 0 \\ z & x \\ 0 & y \\ 0 & z \\ 0 & 0 \end{bmatrix} \begin{bmatrix} a \\ b \end{bmatrix} = \begin{bmatrix} ax \\ ay \\ az + bx \\ by \\ bz \\ 0 \end{bmatrix}$$

# DeconvNet Visualization: Layer 2

- Input image  $x$  is given
- Uses max unpooling



# DeconvNet Visualization: Layers 4 and 5



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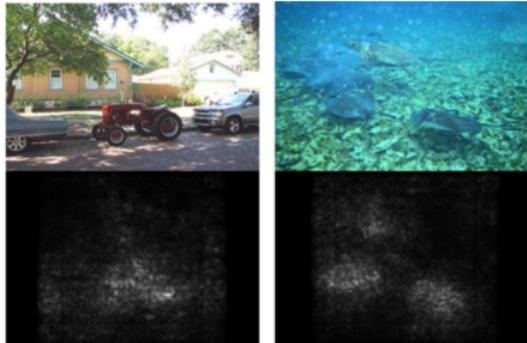
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# Saliency Maps



- ① Given an image  $x$ , compute gradient of unnormalized class score (the logit) with respect to image pixels at  $x$ :

$$\frac{\partial \hat{y}_j}{\partial x} = \frac{\partial f_j(x; \Theta)}{\partial x}$$

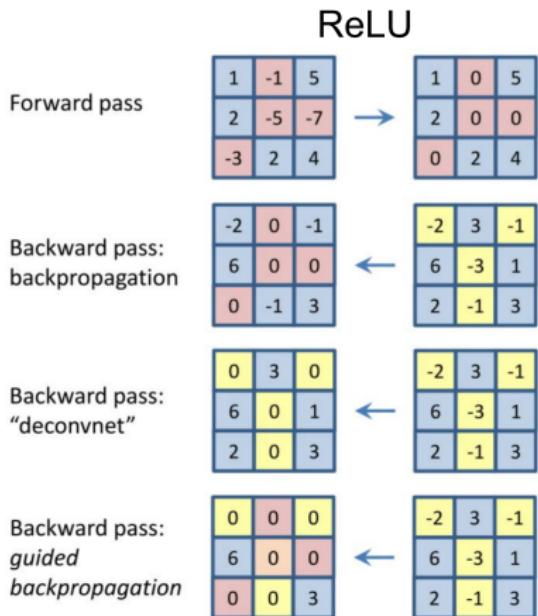
- Network weights  $\Theta$  are fixed now
- ② Take absolute value and max over RGB channels

# Guided Backprop (1/2)

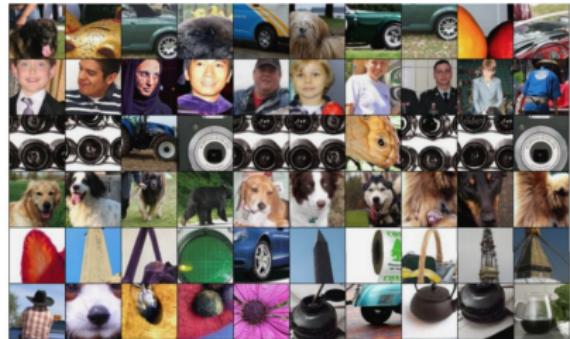
- Similarly, we can compute gradient for a neuron:

$$\frac{\partial a_{i,j,c}}{\partial x}$$

- Trick to get clear visualization:  
*guided backprop*
  - Gradient = forward term  $\times$  backward term
  - Only keep the positive part of the gradient



# Guided Backprop (2/2)



# Gradient Ascent

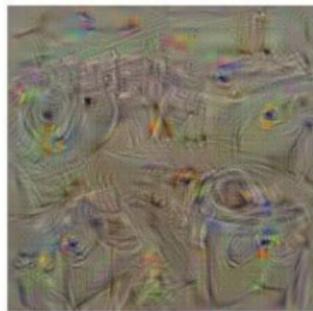
- Guided backprop requires  $x$  to be given
- **Gradient ascent** synthesizes  $x$  from scratch:

$$\arg \max_x J(x; \Theta) = \arg \max_x f(x; \Theta) - \Omega(x)$$

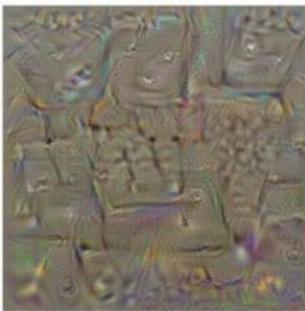
- $f(x)$ : a prediction score or activation value
- $\Omega(x)$ : regularizer that makes the image more natural
- Solved by gradient ascent algorithm:  $x^{(t)} \leftarrow x^{(t-1)} + \lambda \frac{\partial J(x; \Theta)}{\partial x}$

# Natural Image Regularizer (1/2)

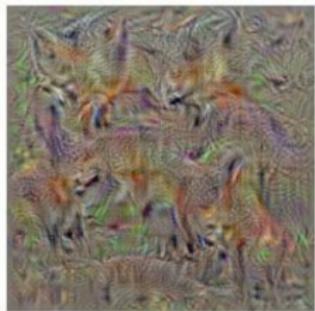
- $\Omega(\mathbf{x}) = \|\mathbf{x}\|_2^2$  [20]



washing machine



computer keyboard



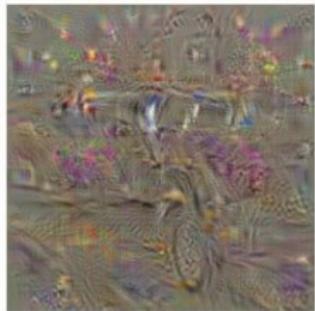
kit fox



goose



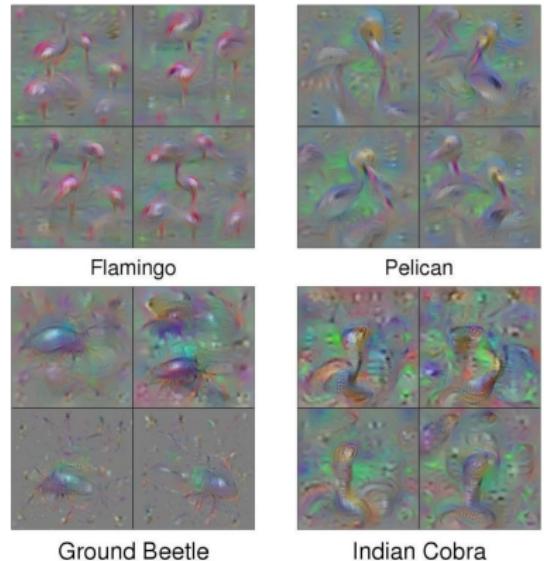
ostrich



limousine

# Natural Image Regularizer (2/2)

- $\Omega(\mathbf{x}) = \|\mathbf{x}\|_2^2$
- During gradient ascent optimization, periodically do followings: [26]
  - Gaussian blur image
  - Clip pixels with small values to 0
  - Clip pixels with small gradients to 0



# Multi-Faceted Gradient Ascent (1/2)

- A class or a feature may be multi-faceted [16]
- Cluster images that mostly activate a neuron
  - Each cluster represents a facet
- Set the initial  $x^{(0)}$  as an image close to a clusterhead

Reconstructions of multiple feature types (facets) recognized by the same "grocery store" neuron



Corresponding example training set images recognized by the same neuron as in the "grocery store" class



# Multi-Faceted Gradient Ascent (2/2)



# Outline

## ① Design

- Convolution Layers
- Pooling Layers
- Variants & Case Studies

## ② Visualization

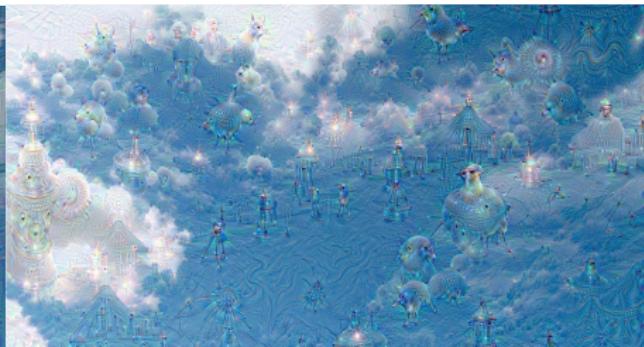
- Visualizing Activations
- Visualizing Filters/Kernels
- Visualizing Gradients
- Dreaming and Style Transfer

## ③ Beyond Image Classification

- Segmentation and Localization
- Object Detection
- More Applications

# DeepDream

- To amplify the neuron activations at some layer
- Gradient ascent, but
  - Start at a given image
  - Maximize activations of all neurons in a layer



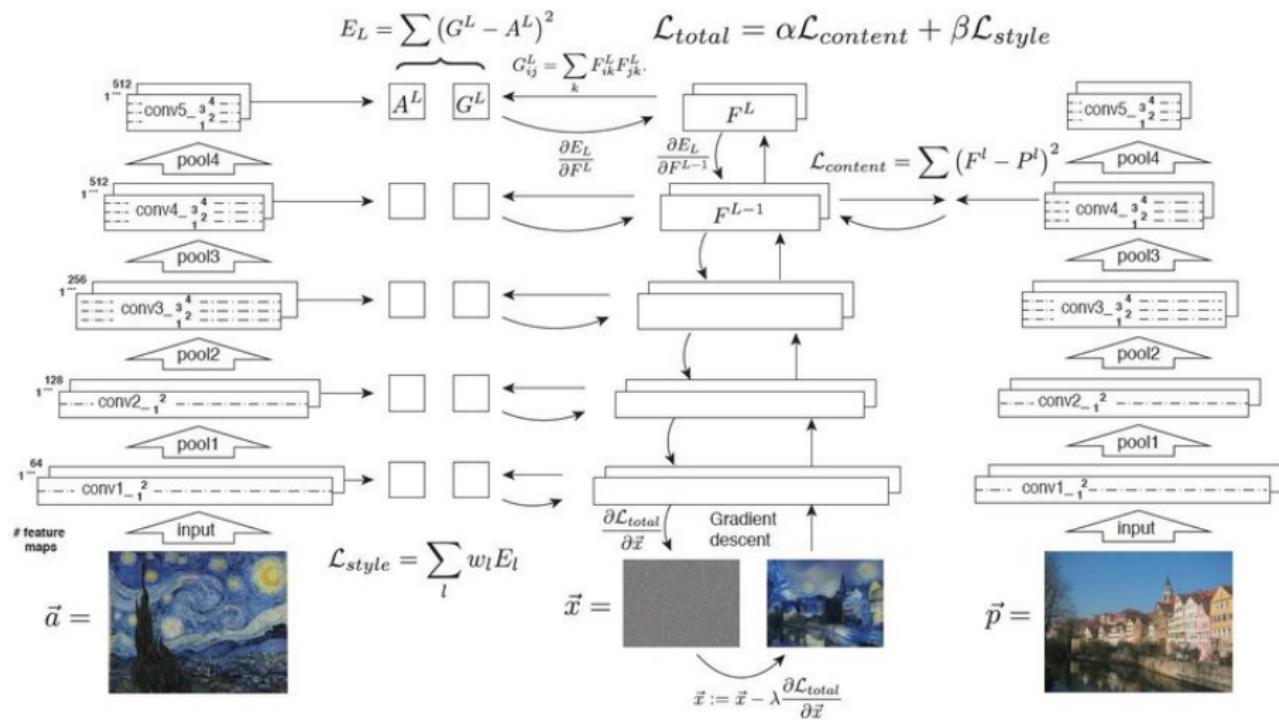
# Style Transfer [3]

- Given a content image  $p$  and style image  $a$
- Synthesis an image  $x$  with content in  $p$  and style in  $a$



# Network Architecture: VGG (Fixed Weights)

- Gradient descent finds  $\vec{x}$  minimizing both  $L_{content}$  and  $L_{style}$

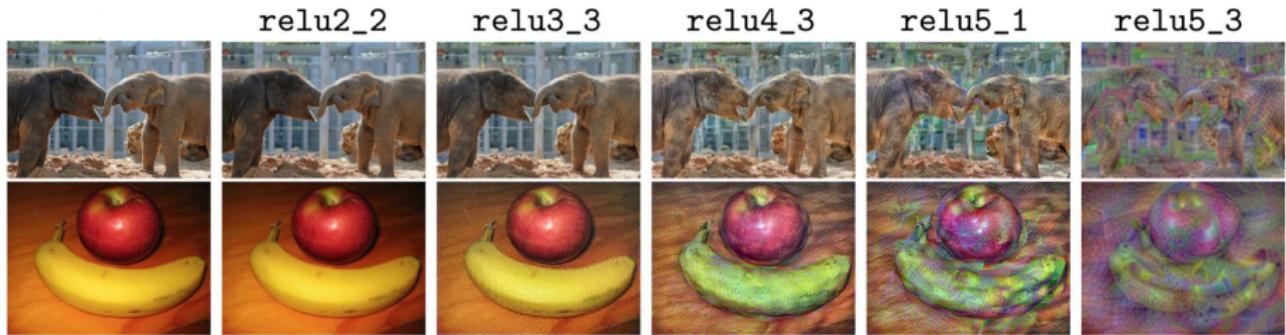


# Content Loss

- The content loss:

$$L_{\text{content}} = \sum_c \|f_{\cdot,\cdot,c}^{(l)}(\mathbf{x}) - f_{\cdot,\cdot,c}^{(l)}(\mathbf{p})\|_F^2$$

aligns the feature maps of  $\mathbf{x}$  and  $\mathbf{p}$  at a particular layer  $l$



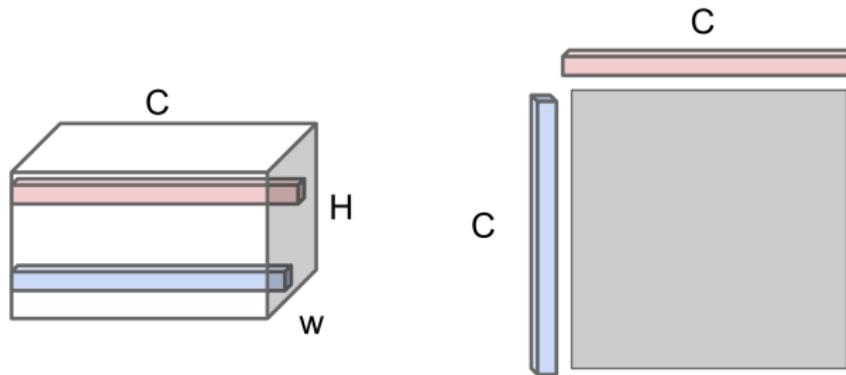
# Style Loss (1/2)

- The style loss:

$$L_{\text{style}} = \sum_l \|G_x^{(l)} - G_p^{(l)}\|_F^2$$

aligns the **Gram matrices**  $G \in \mathbb{R}^{C \times C}$  of  $x$  and  $p$  at all layers

- $G = FF^\top$ , where  $F \in \mathbb{R}^{C \times WH}$  is the reshaped feature map
  - $C_{s,t} = \sum_{i,j} a_{i,j,m} a_{i,j,n}$  captures the correlation of sub-patterns  $m$  and  $n$  at different locations

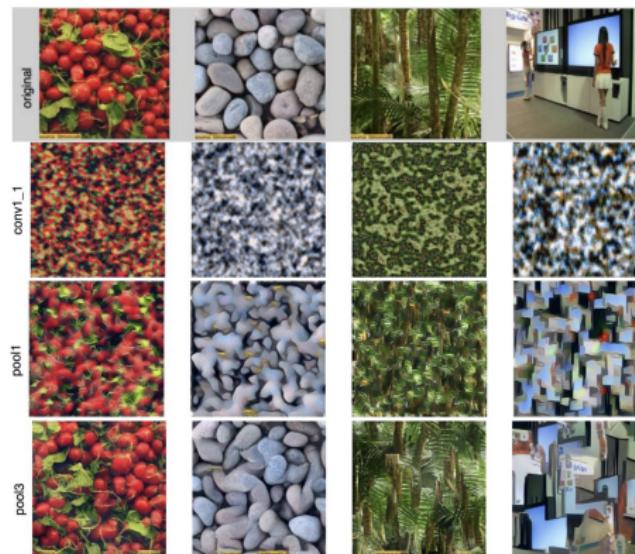


# Style Loss (2/2)

- The style loss:

$$L_{\text{style}} = \sum_l \|G_x^{(l)} - G_p^{(l)}\|_F^2$$

- Layer-by-layer effect: deeper layer  $\rightarrow$  more coarse-grained texture [2]



# Fast Style Transfer

- Problem: gradient descent is run to generate an image
  - Very slow!
- Fast style transfer for, e.g., videos?

# Fast Style Transfer

- Problem: gradient descent is run to generate an image
  - Very slow!
- Fast style transfer for, e.g., videos?
- Idea: train another network to perform style transfer [10, 24]

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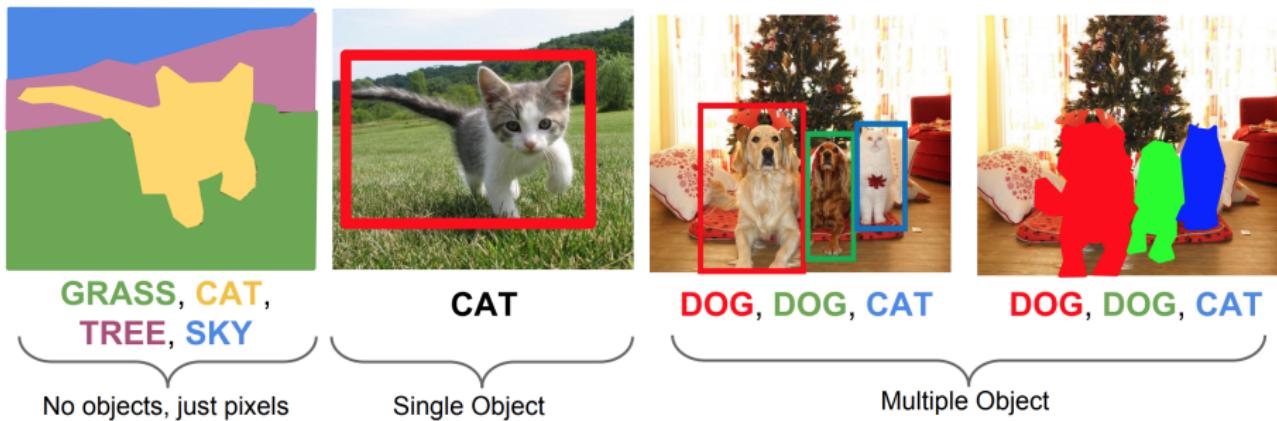
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# More Supervised Image Tasks

- **Semantic segmentation:** label  $y$  contains segment ID of each pixel
- Classification + **localization:** label  $y$  contains class ID and location info (e.g., bounding box) of an object
- **Object detection:** label  $y$  contains class IDs and location info of multiple objects
- **Instance segmentation:** object detection with pixel masks



# Outline

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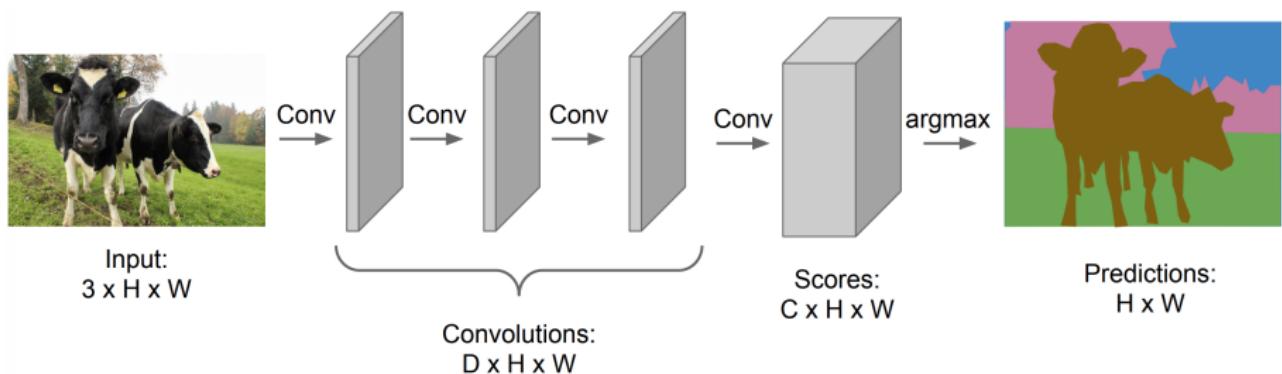
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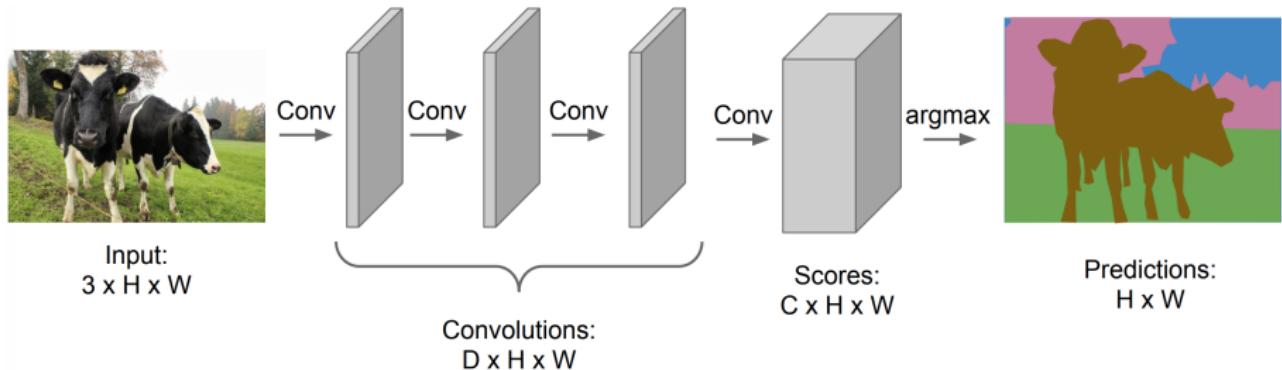
# Semantic Segmentation: FCN

- Use an FCN to predict the class of each pixel



# Semantic Segmentation: FCN

- Use an FCN to predict the class of each pixel
- However, convolutions at original image resolution are very expensive

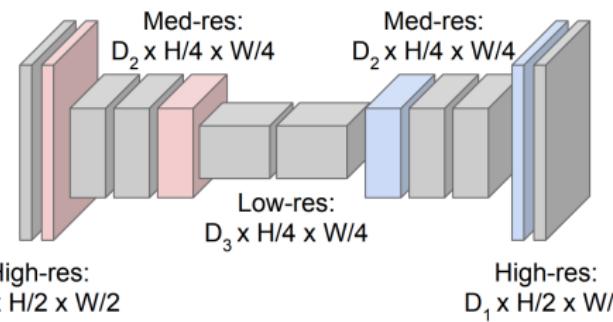


# Semantic Segmentation: FCN + DeconvNet

- Use DeconvNet to do downsampling and upsampling inside the network



Input:  
 $3 \times H \times W$



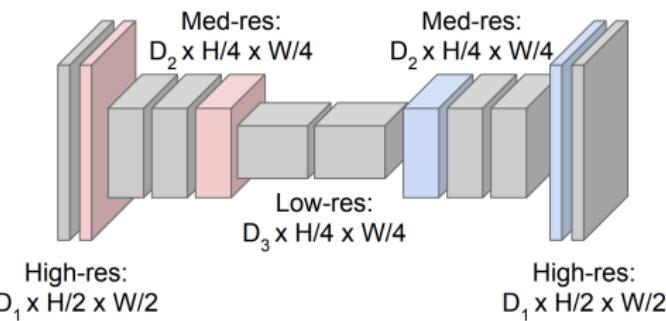
Predictions:  
 $H \times W$

# Semantic Segmentation: FCN + DeconvNet

- Use DeconvNet to do downsampling and upsampling inside the network
- The weights of a transpose convolution can be *tied* with weights of a corresponding convolution or *re-trained*



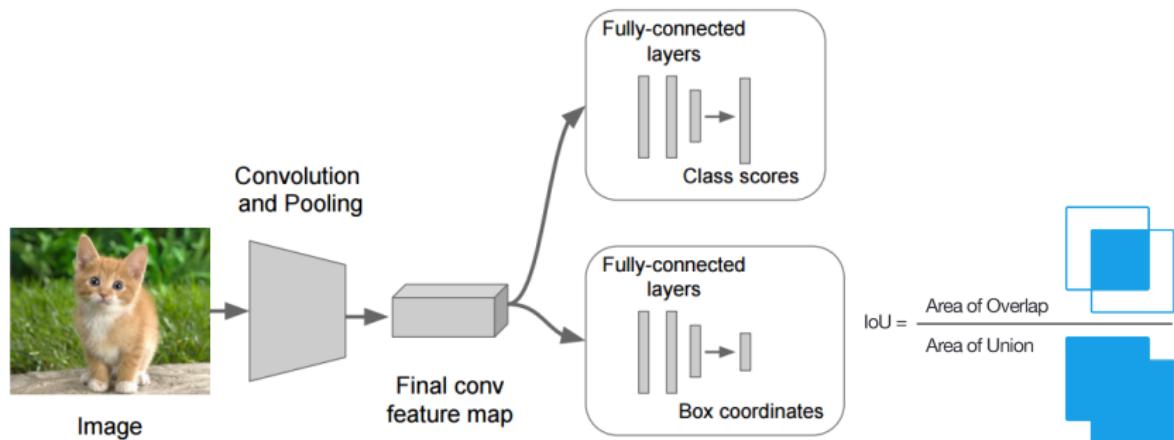
Input:  
 $3 \times H \times W$



Predictions:  
 $H \times W$

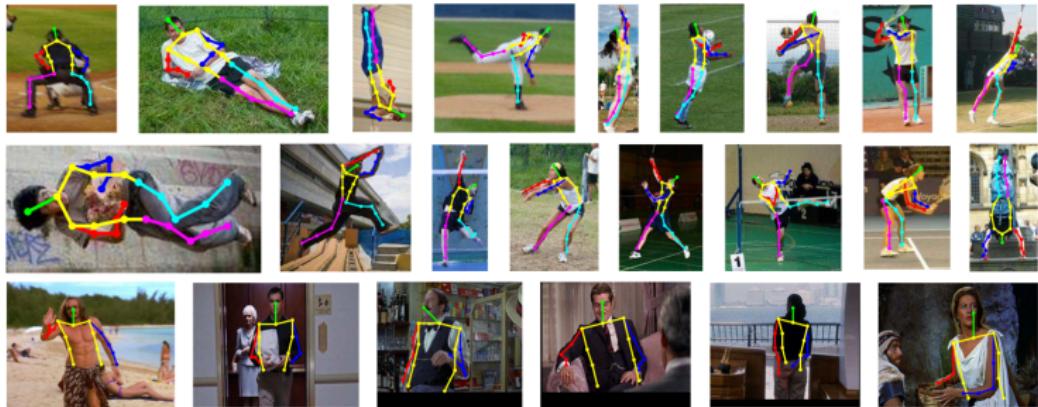
# Classification + Localization

- Two losses:
  - Classification loss:  $l(\hat{y}, y)$
  - Regression loss, e.g.,  $\|[\hat{x}, \hat{y}, \hat{w}, \hat{h}] - [x, y, w, h]\|_2^2$  or  $(1 - \text{IoU})$ 
    - **Intersection over Union** (IoU) takes the size of ROI (region of interest) into account and gives an relative error



# Pose Estimation

- Just like classification + localization
- Bounding boxes replaced by joint positions
  - Head, neck, shoulder, elbow, hand, hip, knee, foot, etc.
- Regression loss for each joint position



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# How to Detect Multiple Objects?

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- Naive idea: let CNN detect one object in a sliding window
  - Assuming **fixed #classes**: cat, dog, and background



# How to Detect Multiple Objects?

- Naive idea: let CNN detect one object in a sliding window
  - Assuming **fixed #classes**: cat, dog, and background
- Problem: too many windows!
  - at different locations and scales

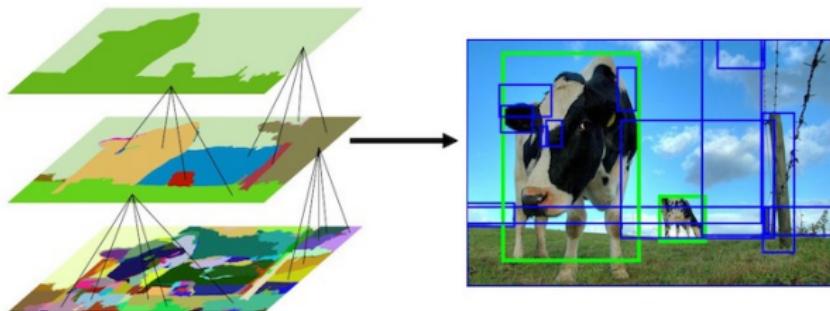


# Region Proposals

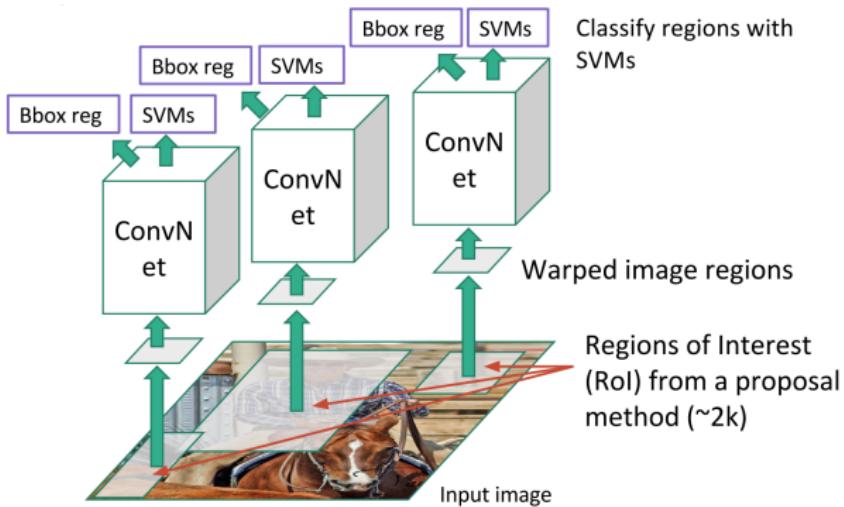
- Use a region proposal algorithm that outputs bounding boxes likely to contain objects
- E.g., selective search [23]
  - Low precision; *high recall*

# Region Proposals

- Use a region proposal algorithm that outputs bounding boxes likely to contain objects
- E.g., selective search [23]
  - Low precision; ***high recall***
- Repeat:
  - Group adjacent pixels/segments based on similarity
  - Propose a bounding box for each new segment
- Deterministic and fast: 1000+ region proposals in a few seconds on CPU

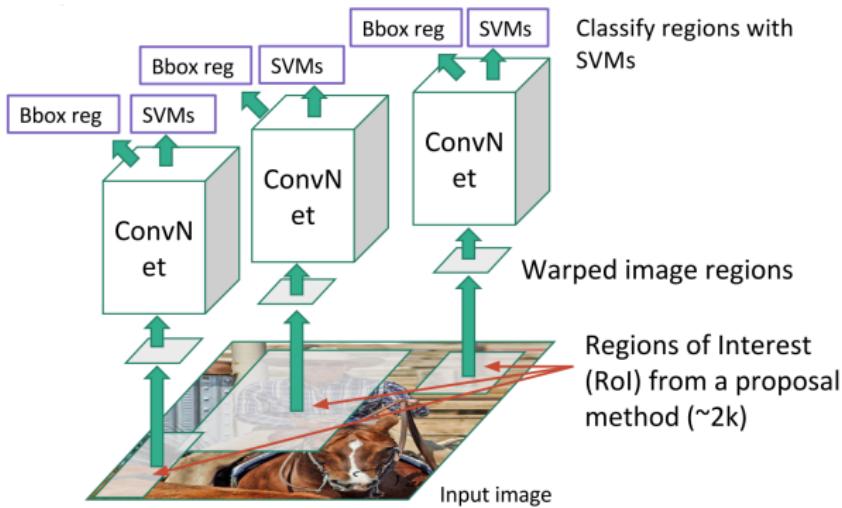


# R-CNN [5]



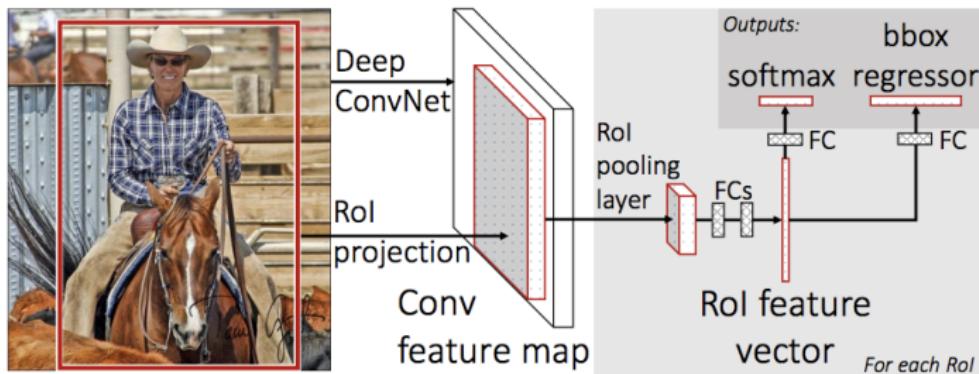
# R-CNN [5]

- Multi-stage training:
  - ① CNN (AlexNet) with softmax classifier (log loss)
  - ② SVMs (hinge loss)
  - ③ Regressors (least square loss)
- Storage: 2K feature tensors
- Slow at test time
  - 2K feed-forward passes in CNN



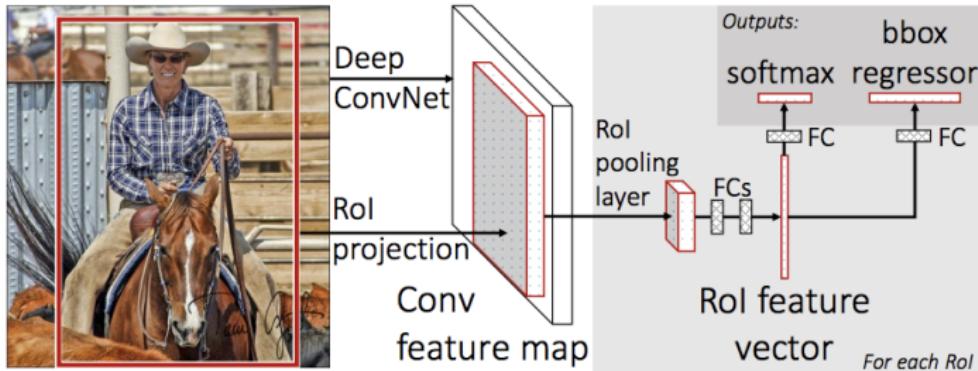
# Fast R-CNN [4]

- Single network: end-to-end prediction and training
- Shared CNN computation
  - Input: 1 entire image
  - Output: 1 feature tensor (storage consumption)
- Then apply classification/regression network to each RoI *in the feature space*
  - **RoI pooling:** warp different projected RoIs to the same  $7 \times 7$  grid



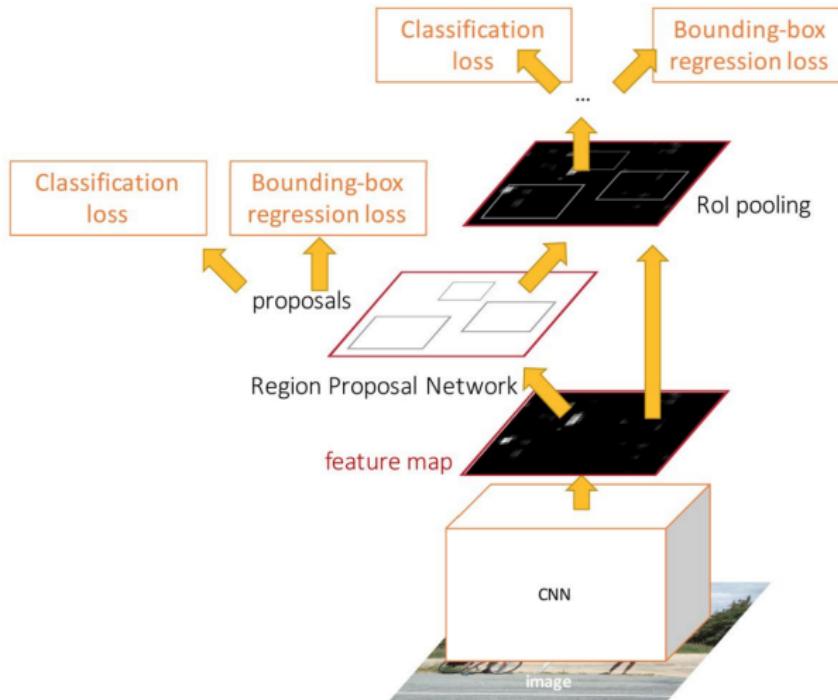
# Fast R-CNN [4]

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  - Input: 1 entire image
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- Then apply classification/regression network to each RoI ***in the feature space***
  - ***RoI pooling***: warp different projected RoIs to the same  $7 \times 7$  grid
- Test time: 1 feed-forward pass in CNN for all predictions
  - So fast such that the region proposal algorithm becomes a bottleneck



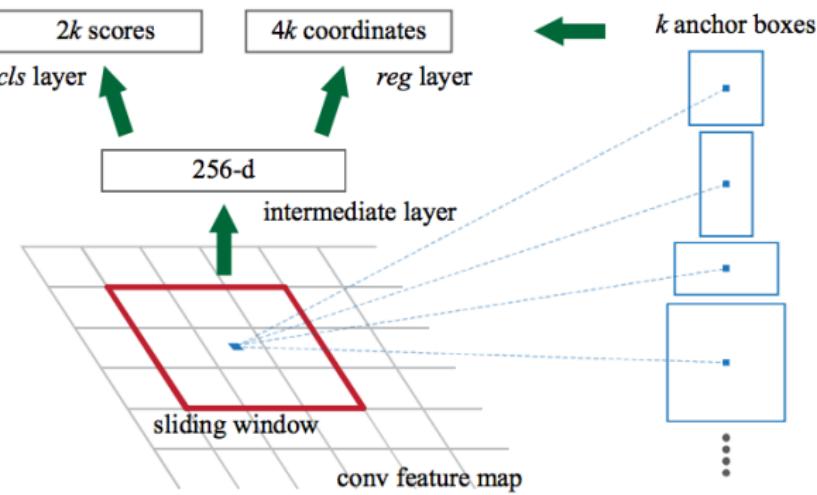
# Faster R-CNN [18]

- Jointly learn a *region proposal network* (RPN)



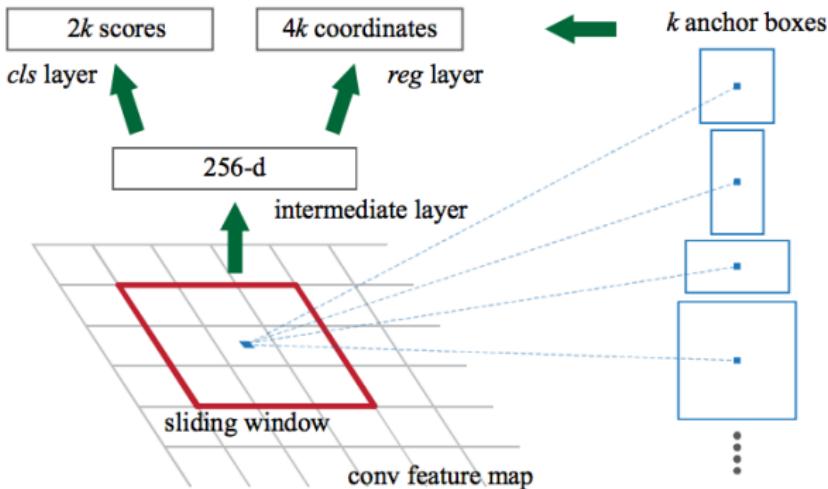
# Region Proposal Network (1/2)

- Slides a window over the feature map of the CNN
- At each window location, the network outputs for each anchor box:
  - A binary score: if the anchor box contains an object or not
  - Corrections of coordinates of the anchor box
- Anchor boxes represent common aspect ratios at different scales



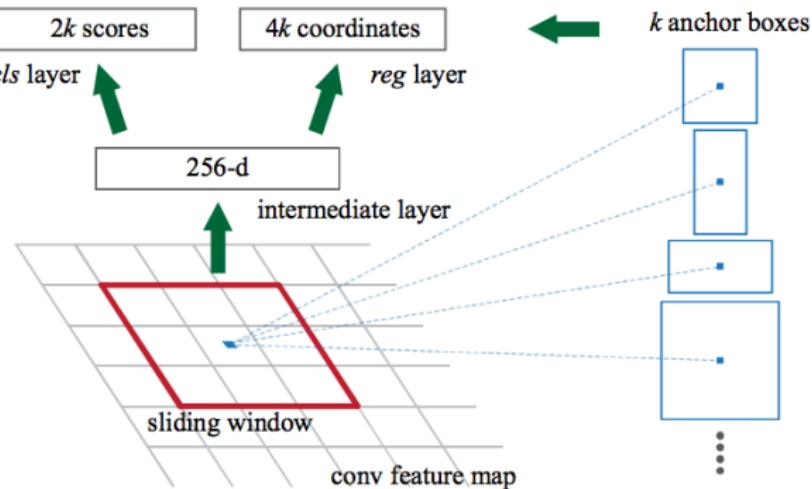
# Region Proposal Network (2/2)

- Why anchor boxes?
  - Regularizing/limiting correction length allows network to learn proposals of different sizes



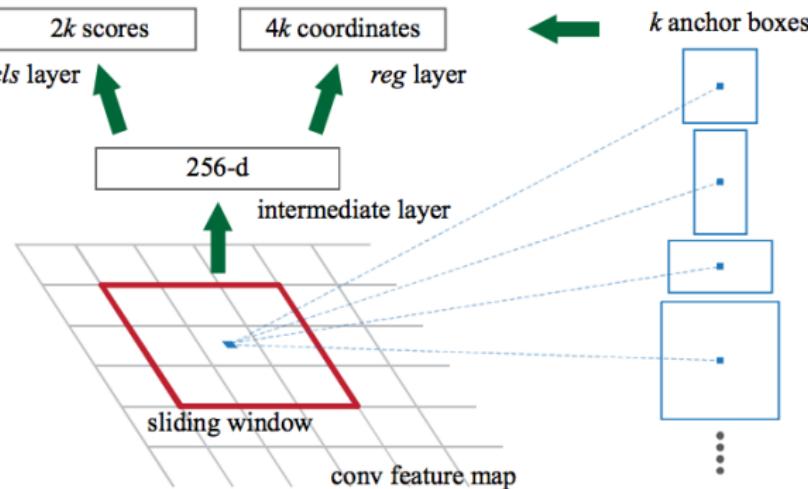
# Region Proposal Network (2/2)

- Why anchor boxes?
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- How to generate training labels?



# Region Proposal Network (2/2)

- Why anchor boxes?
  - Regularizing/limiting correction length allows network to learn proposals of different sizes
- How to generate training labels?
  - For each RoI in the ground truth, assign positive label (and corrections) to the anchor box with the highest IoU score at a window location



# Single-Shot Detectors

- Faster R-CNN gives close to real-time test performance
  - ~ 0.2 sec per image

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- Faster R-CNN gives close to real-time test performance
  - ~ 0.2 sec per image
- Still not fast enough for detecting objects in videos
  - Bottleneck: repeated computation for each RoI

# Single-Shot Detectors

- Faster R-CNN gives close to real-time test performance
  - ~ 0.2 sec per image
- Still not fast enough for detecting objects in videos
  - Bottleneck: repeated computation for each RoI
- Single-shot object detectors:
  - You Only Look Once (YOLO) [17]
  - Single-Shot Detector (SSD) [13]

# YOLO (1/2)

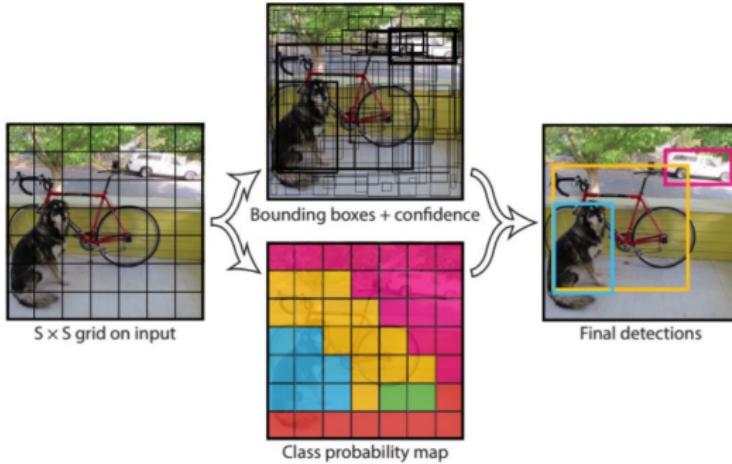
- In Faster R-CNN:
  - Region proposal network generates region proposals
  - Classification/regression network accepts/rejects proposals and makes adjustments

# YOLO (1/2)

- In Faster R-CNN:
  - Region proposal network generates region proposals
  - Classification/regression network accepts/rejects proposals and makes adjustments
  - Why not use the region proposal network to directly generate final bounding boxes?

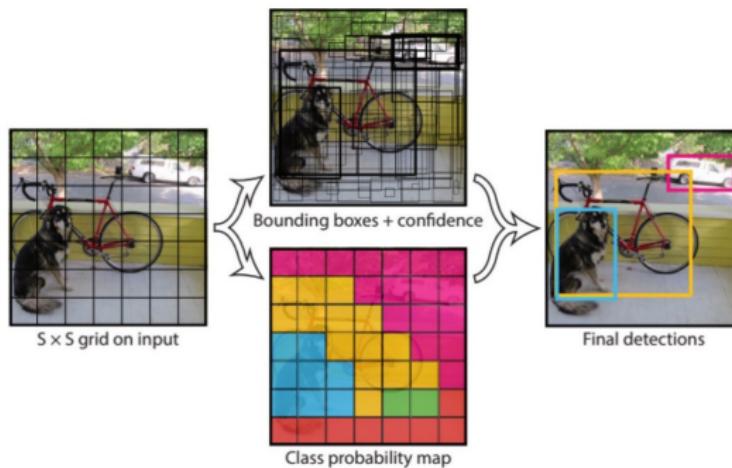
# YOLO (2/2)

- YOLO [17] resembles to a region proposal network, except
  - Classifies window locations while proposing regions
  - Uses deterministic (non-parametric) algorithm to reject low-confidence boxes at test time



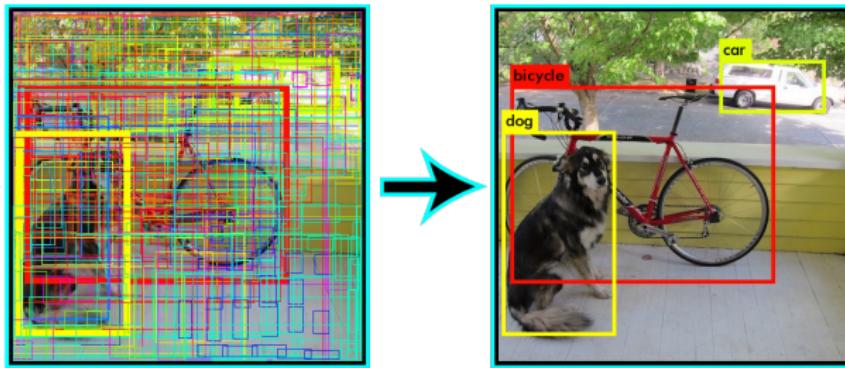
# Network

- Output dimension:  $S \times S \times (B \times 5 + C)$ 
  - $S$ : #window locations
  - $B$ : #anchor boxes
  - 5: corrections of box coordinates (4) + object confidence (1)
  - $C$ : #classes (one-hot)
- End-to-end prediction and training
  - Each RoI in the ground truth is assigned to grid that contains RoI's midpoint and anchor box with highest IoU



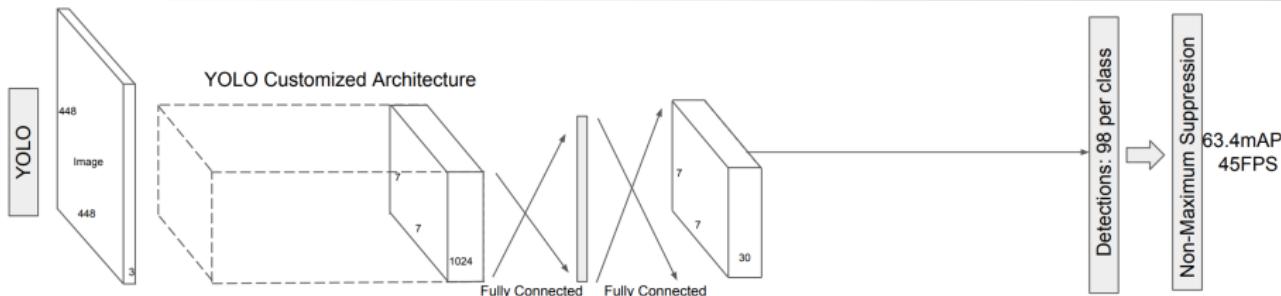
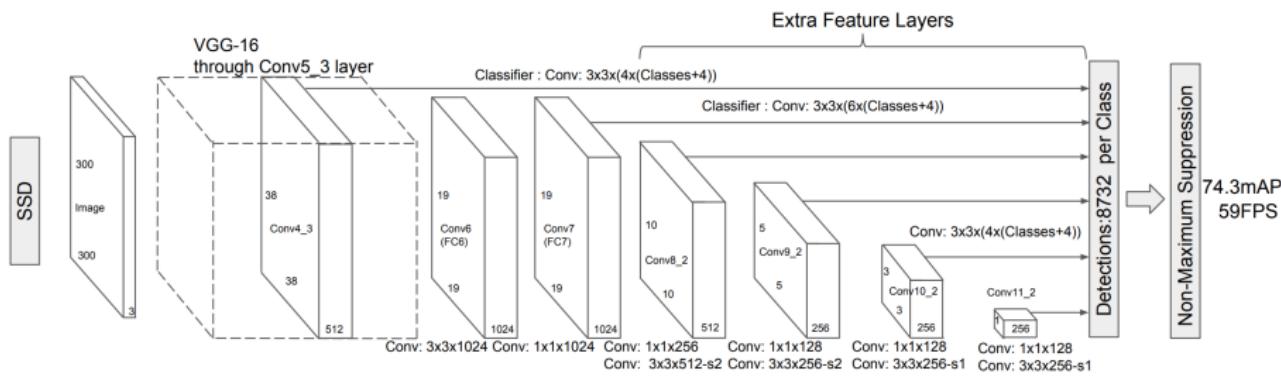
# Reducing #Boxes at Test Time

- ① Label each box by class score = class probability  $\times$  object confidence
- ② Discard boxes with low scores
- ③ ***Non-max suppression:*** repeat until there is no box left
  - Output the box  $b$  with highest score
  - Discard any remaining box of the same class having  $\text{IoU} \geq 0.5$  with  $b$



# SSD [13]

- FCN, no fully-connected layers
- Scans feature maps at multiple scales



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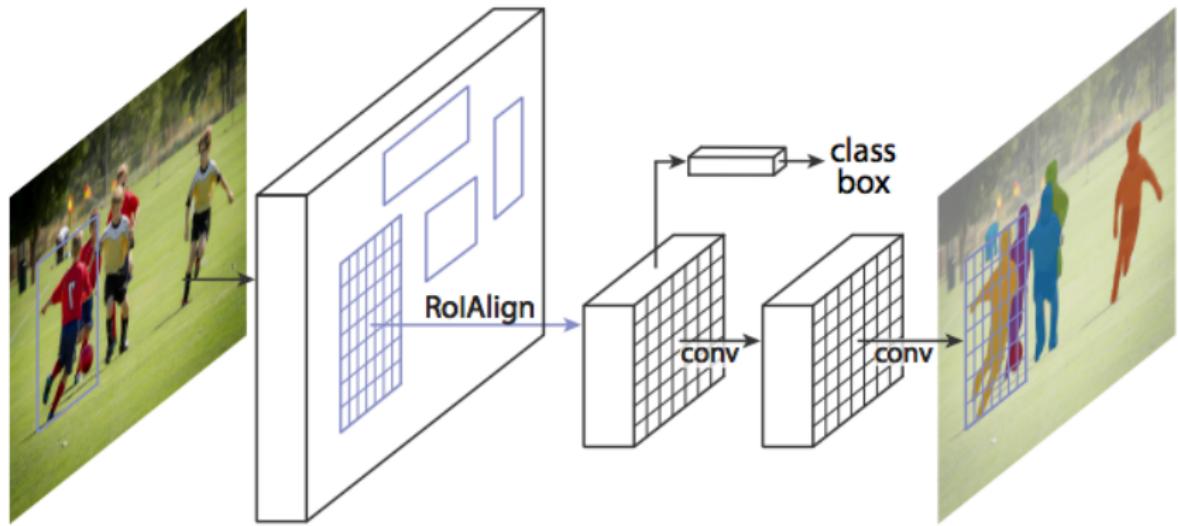
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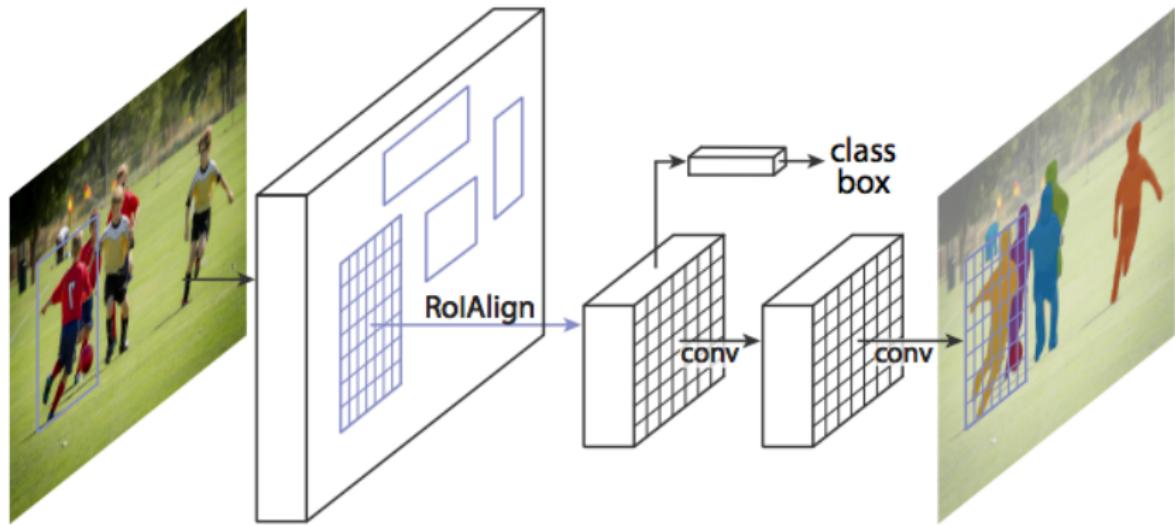
# Mask R-CNN [6]

- Instance segmentation (object detection + segmentation)
- Add an FCN on top of the CNN features of Faster R-CNN
  - Outputs segmentation mask



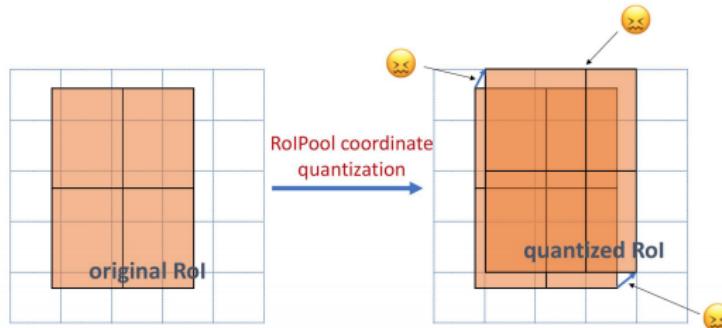
# Mask R-CNN [6]

- Instance segmentation (object detection + segmentation)
- Add an FCN on top of the CNN features of Faster R-CNN
  - Outputs segmentation mask
- Replace RoI pooling with *RoI align* to achieve pixel-level precision in segmentation



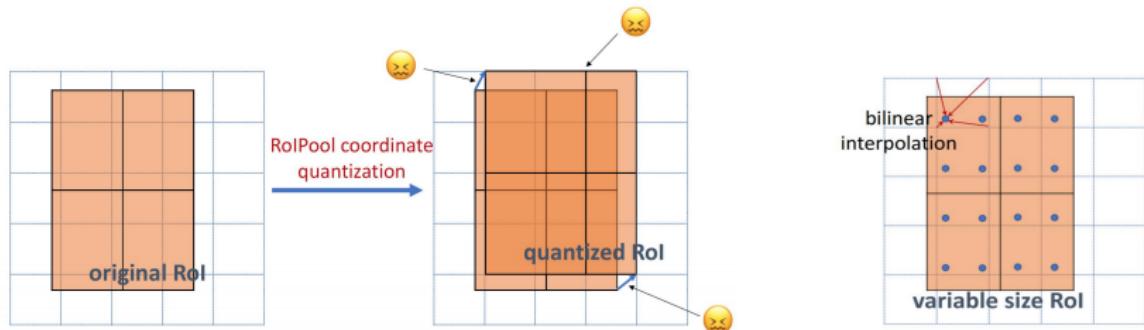
# RoI Align

- RoI pooling in Fast/Faster R-CNNs creates translation invariance
  - Leads to errors in segmentation



# RoI Align

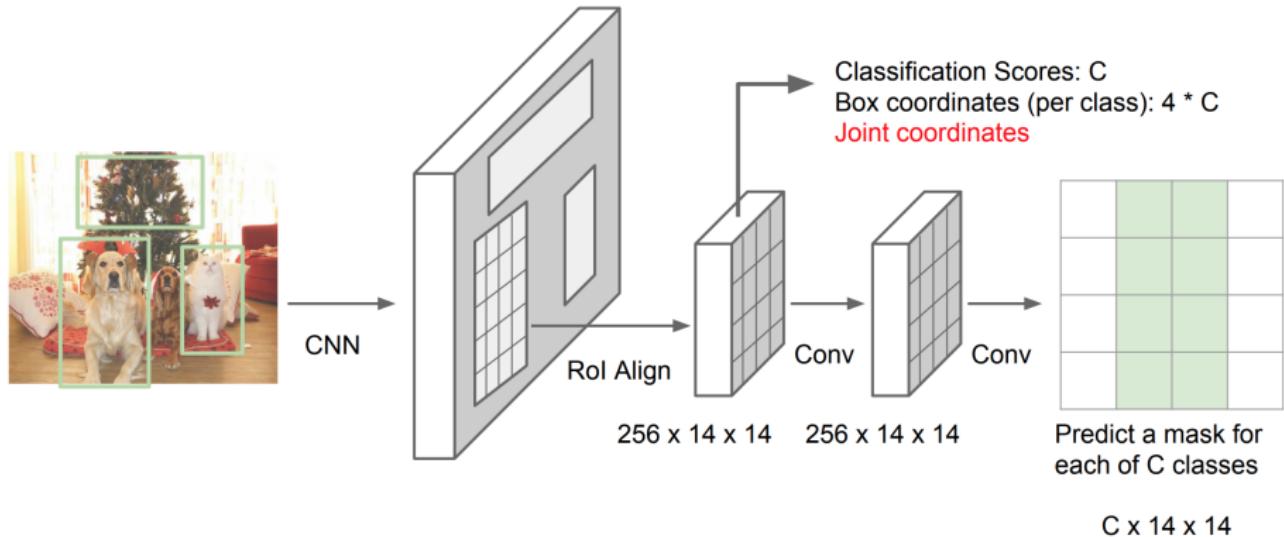
- RoI pooling in Fast/Faster R-CNNs creates translation invariance
  - Leads to errors in segmentation
- **RoI align:** weighted average pooling
  - Computes the value of each sampling point by bilinear interpolation from the nearby grid points on the feature map



# Results



# Mask R-CNN + Pose Estimation

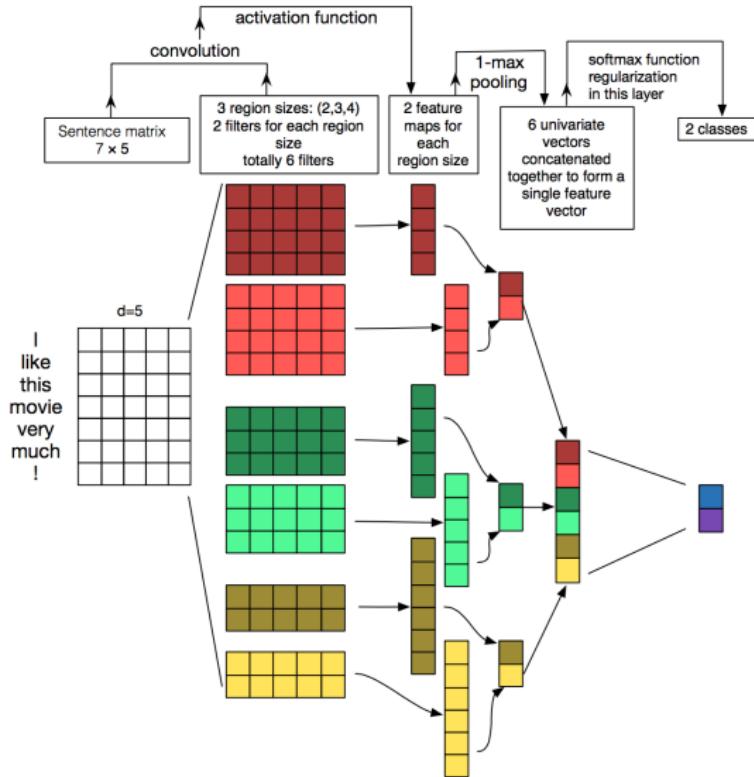


# Results



# CNNs for Non-Image Tasks

- Example: sentiment analysis in NLP
  - Input: sentence/document
  - Output: positive or negative
- 1D convolution of words
  - Multiple filters **of different sizes** ( $K = 2, \dots, D$ ) for 2-gram, ...,  $D$ -gram
- 1-max pooling



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