

ORIGINAL ARTICLE

Synthesis of lumped-element dual-band bandpass filters with independently controllable bandwidth

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Abstract

In this article, a novel synthesis method for lumped-element dual-band bandpass filters (DBPFs) with independently controllable bandwidth is proposed. The proposed lumped-element DBPF exhibits the advantages including two passbands with independently controllable bandwidth, arbitrary frequency ratio, availability for different lowpass prototypes, and compact size below 6 GHz. This design theory is based on the equivalents of dual-band J-inverters and LC resonators which combines generalized dual-band resonators theory with independently controllable bandwidth. A dual-band bandpass frequency transformation and four circuit conversions are derived. For demonstration, three cases of DBPF with different bandwidths, frequency ratios, and orders are designed, fabricated, and measured. The simulated and measured results have a good agreement, validating the proposed synthesis theory.

KEYWORDS

dual-band filter, frequency transformation, independently controllable bandwidths, lumped elements, printed circuit board

1 | INTRODUCTION

With the rapid development of wireless communication systems, various research has concentrated on the dual-band bandpass filters (DBPFs)^{1–13} to use for multi-frequency channels and saving board size, which can be used for other devices, such as dual-band impedance transformers¹⁴ and dual-band power dividers.¹⁵

The most basic method to design a DBPF is parallel connecting two bandpass filters or cascading a bandstop filter and a wideband bandpass filter.¹ However, these methods need to require impedance matching and occupy a large area. Using the frequency transformation method is more commonly used. In Reference 2, a symmetric DBPF synthesis method based on lumped elements is presented. In Reference 3, a design method for DBPF with different bandwidths is realized by frequency transformation. In Reference 4, a synthesis

method for DBPF is proposed, which is using two lowpass prototypes based on coupling matrix. Instead, a dual wide-band BPF is realized by frequency mapping in Reference 5.

The DBPF circuit can be implemented using distributed components,^{1–8} lumped components,^{9–11} or hybrid components.^{12,13} Circuit size and complexity can be reduced by using lumped or hybrid components, especially at low frequencies (below 6 GHz). In Reference 9, a lumped-element dual-band coplanar waveguide filter using impedance matching is proposed. This method can realize independently controllable bandwidth using the quarter-wavelength transmission line inverter. In Reference 10, a synthesis method for lumped DBPF with independently controllable bandwidth by adopting capacitive networks as dual-mode J-inverters is presented. However, the design methods of lumped-element DBPF with independently controllable bandwidth proposed in References 9,10 are

complex. Based on frequency transformation in Reference 2, a synthesis method of DBPF with circuit conversion is proposed in Reference 12. This method can realize a wide fractional bandwidth range and wide center frequency ratio using hybrid components. However, the two passbands proposed in Reference 12 cannot be tuned independently because all the passbands are derived from the same lowpass prototype. In Reference 15, a design method of dual-band unequal filtering power divider is presented. This method can design DBPFs with arbitrary frequency ratios and independently controllable bandwidth based on microstrip lines, but the design procedure is complicated and the designed circuit occupies a large area.

In this article, based on dual-band bandpass frequency transformation and circuit conversions, a novel synthesis method of lumped-element ICBW DBPF is proposed. Based on the equivalents of dual-band J-inverters and LC resonators, the lowpass prototype to dual-band bandpass transformation with independently controllable bandwidth was derived. Simultaneously, four type circuit equivalent conversions were used to simplify the circuit for implementation. Compared to References 9,10, extra matching networks are not required. Overall, the proposed LC DBPF has the following significant advantages: (i) independently controllable bandwidth between two passbands; (ii) arbitrary frequency ratios; (iii) compact size below 6 GHz; (iv) large range of fractional bandwidth; (v) availability for different lowpass prototypes.

2 | SYNTHESIS THEORY OF THE PROPOSED DUAL-BAND BPF

A generalized DBPF can consist of dual-band admittance inverters (DBAIs) and dual-band resonators (DBRs). The generalized DBRs with independently controllable bandwidth can be expressed as Reference 15.

$$Y_B(\omega_1) = Y_B(\omega_2) = 0, \quad (1)$$

$$b_{\omega_r} = \frac{\omega_r}{2} \frac{\partial}{\partial \omega} \text{Im}[Y_B(\omega)] \Big|_{r=1,2}, \quad (2)$$

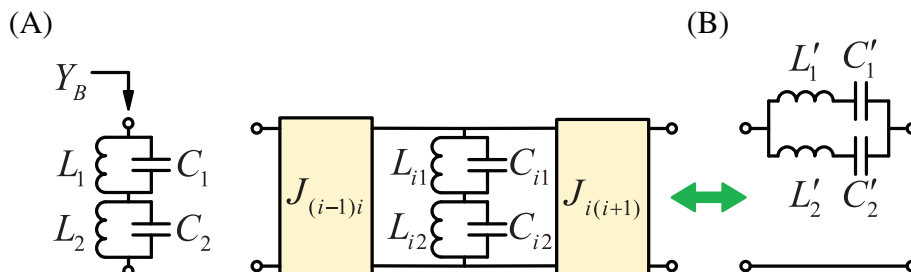


FIGURE 1 (A) Schematics of the selected LC DBR. (B) Circuit conversion of the dual-band J-inverters and dual-band resonators (DBRs)

$$b_{i\omega_r} = \frac{g_i}{FBW_r R_S}, i = 2, 3, \dots, N-1, \quad (3)$$

where Y_B is the input admittance of DBR, ω_1 and ω_2 are the center angular frequencies of the first and second band of the DBPF, b is the slope parameter, g_i is the corresponding lowpass prototype element value, FBW_r is the fractional bandwidth of the first or the second passband for DBPF.

The selected LC DBR structure is shown in Figure 1A. The input admittance of this LC DBR is

$$Y_B = \frac{L_1 L_2 C_1 C_2 \omega^4 - (L_1 C_1 + L_2 C_2) \omega^2 + 1}{j[-(C_1 + C_2) L_1 L_2 \omega^2 + (L_1 + L_2) \omega]}. \quad (4)$$

According to Equations (1) and (4), the inductances of the LC DBR are given as

$$L_1 = \frac{1}{\omega_1^2 C_1}, L_2 = \frac{1}{\omega_2^2 C_2}. \quad (5)$$

Based on Equations (2) and (4), the slope parameters can be derived as

$$\begin{cases} b_{\omega_1} = \frac{\omega_1}{2} \frac{\partial}{\partial \omega} \text{Im}[Y_B(\omega)] \Big|_{r=1} = \omega_1 C_1 \\ b_{\omega_2} = \frac{\omega_2}{2} \frac{\partial}{\partial \omega} \text{Im}[Y_B(\omega)] \Big|_{r=2} = \omega_2 C_2. \end{cases} \quad (6)$$

Then based on Equations (3) and (6), the capacitances of the LC DBR are given as

$$C_1 = \frac{g_i}{\omega_1 FBW_1 R_S}, C_2 = \frac{g_i}{\omega_2 FBW_2 R_S}. \quad (7)$$

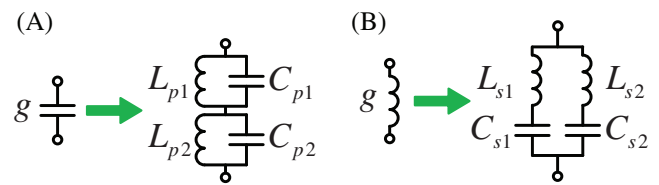


FIGURE 2 Proposed lowpass prototype to ICBW dual-band bandpass transformation. (A) Capacitor transformation. (B) Inductor transformation

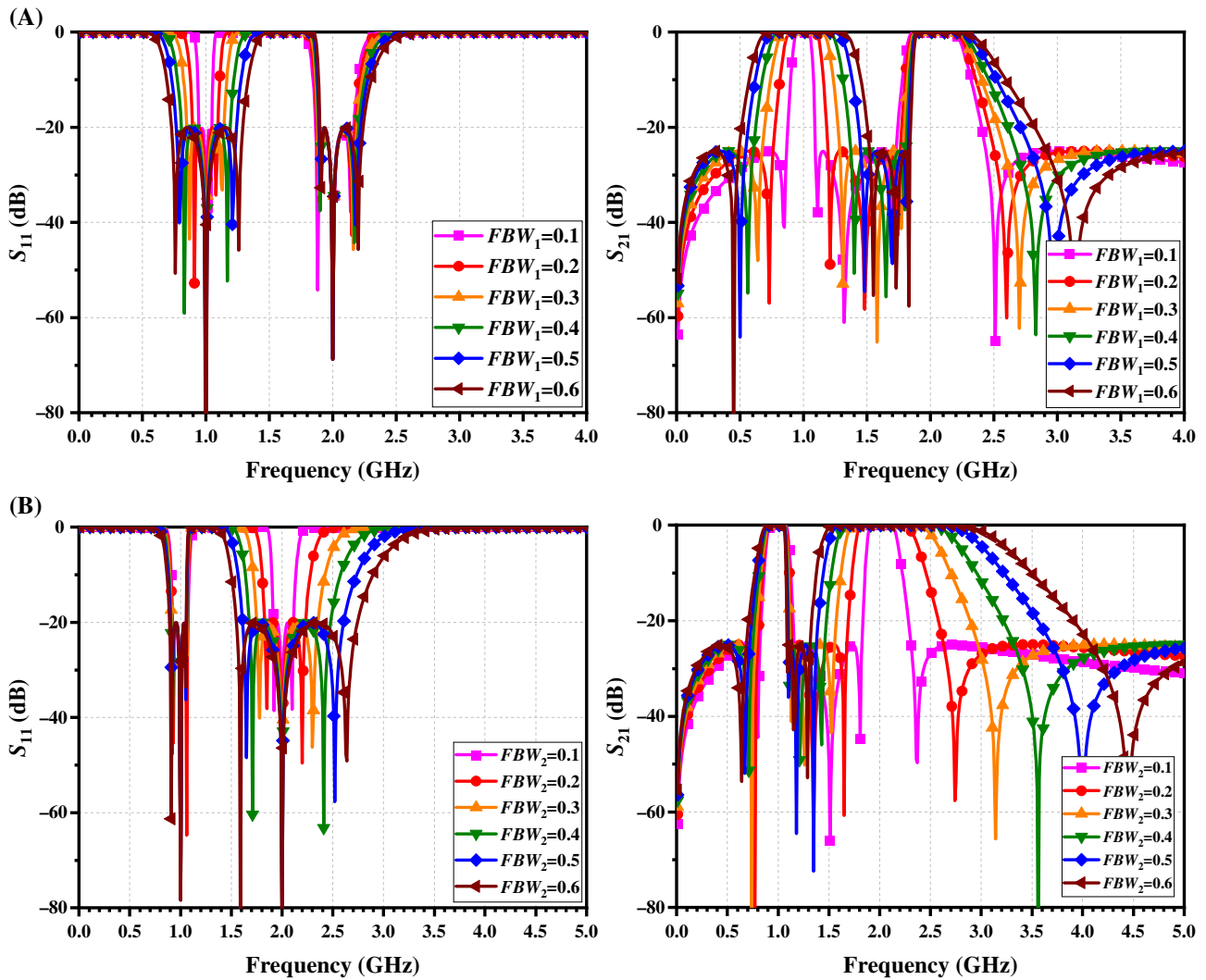


FIGURE 3 Ideal S -parameters of the examples. (A) Various FBW_1 with the fixed value FBW_2 . (B) Various FBW_2 with the fixed value FBW_1

TABLE 1 Bandwidth of the different case

| Parameter FBW (%) | $S_{11} = -10$ dB FBW (%) | $S_{21} = -3$ dB FBW (%) |
|------------------------|--------------------------------|-------------------------------|
| 10/15 | 11.0/17.5 | 14.4/21.4 |
| 20/15 | 23.0/17.5 | 28.2/21.6 |
| 30/15 | 33.0/18.0 | 41.2/22.1 |
| 40/15 | 44.0/18.5 | 53.3/22.9 |
| 50/15 | 55.0/19.5 | 64.4/24.0 |
| 60/15 | 64.0/20.5 | 74.5/25.4 |
| 15/10 | 17.0/11.5 | 21.3/14.4 |
| 15/20 | 17.0/23.5 | 21.5/28.2 |
| 15/30 | 17.0/35.0 | 22.0/41.2 |
| 15/40 | 18.0/46.5 | 22.8/53.3 |
| 15/50 | 18.0/58.0 | 23.9/64.4 |
| 15/60 | 19.0/69.5 | 25.3/74.5 |

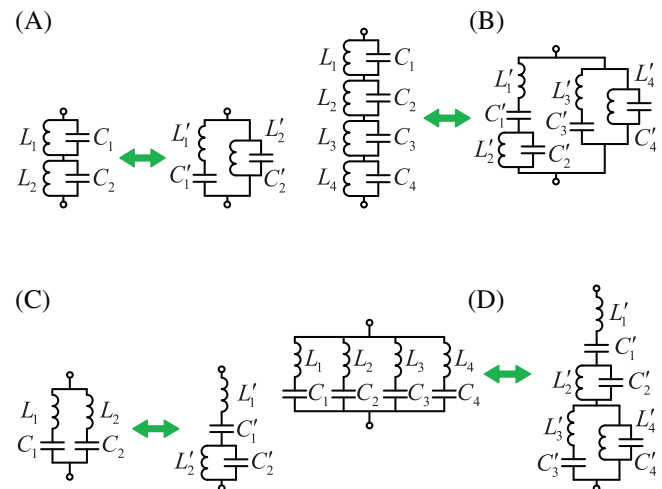


FIGURE 4 Four common circuit equivalent conversions. (A) Type 1. (B) Type 2. (C) Type 3. (D) Type 4

The DBAI can be implemented by lumped elements, but the DBPF circuit will become complicated. The simplified circuit without DBAIs using circuit conversion is shown in Figure 1B. Similar to the above, the parameters can be expressed as

TABLE 2 Configurations of the generalized cases

| | Case A | Case B | Case C |
|------------------------------|--------|--------|--------|
| n | 3 | 3 | 5 |
| ϵ | 0.1 | 0.1 | 0.1 |
| IL_{\max} in stopband (dB) | 25 | 25 | 20 |
| f_1/f_2 (GHz) | 1/2 | 1/2 | 0.5/2 |
| FBW_1/FBW_2 (%) | 40/15 | 15/30 | 70/70 |

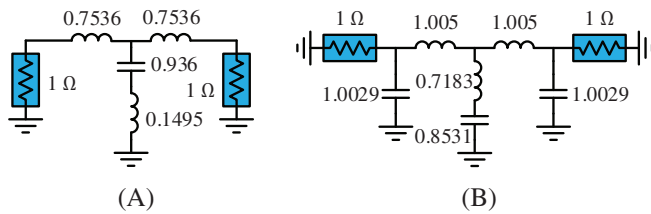


FIGURE 5 Schematics of the lowpass prototype. (A) Case A and B. (B) Case C

$$\begin{cases} C'_1 = \frac{R_s g_i}{\omega_1 FBW_1}, & L'_1 = \frac{1}{\omega_1^2 C_1} \\ C'_2 = \frac{R_s g_i}{\omega_2 FBW_2}, & L'_2 = \frac{1}{\omega_2^2 C_2} \end{cases} \quad (8)$$

Therefore, based on References 2,15, a novel synthesis method of LC DBPF with independently controllable bandwidth is proposed, which is an improvement of the traditional lowpass prototype filter theory in dual-band. Figure 2 shows the proposed lowpass prototype to dual-band bandpass transformation with independently controllable bandwidth.

Values of the elements in the DBPF can be determined by

$$\begin{cases} C_{pi} = \frac{\Omega_c g}{FBW_i \omega_i \gamma_0}, & g \text{ representing the capacitance} \\ L_{pi} = \frac{1}{\omega_i^2 C_{pi}} \end{cases} \quad (9a)$$

$$\begin{cases} L_{si} = \frac{\Omega_c \gamma_0 g}{FBW_i \omega_i}, & g \text{ representing the inductance} \\ C_{si} = \frac{1}{\omega_i^2 L_{si}} \end{cases} \quad (9b)$$

where γ_0 is the impedance scaling factor, Ω_c is the cutoff frequency, $i = 1, 2$.

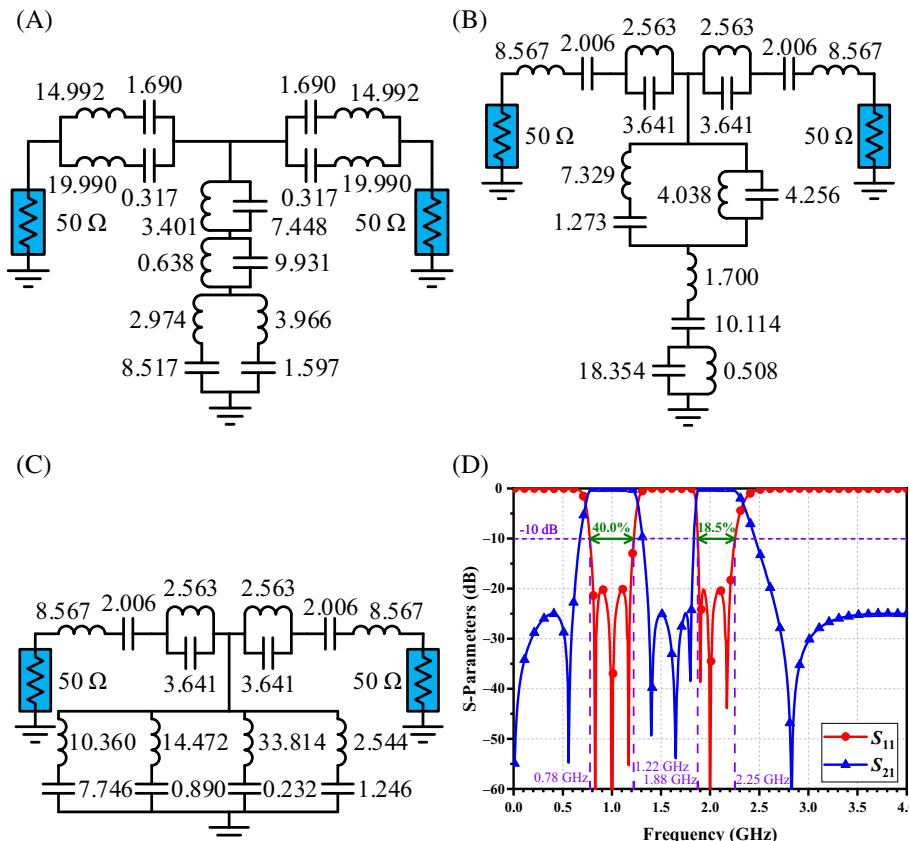


FIGURE 6 Schematics and S-parameters of the Case A. (A) Initial DBPF. (B) All converted DBPF. (C) Final converted DBPF. (D) Ideal S-parameters

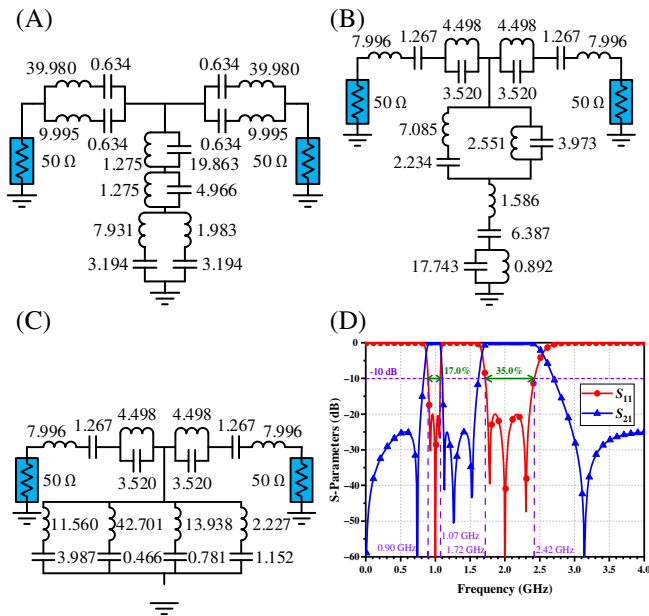


FIGURE 7 Schematics and S -parameters of the Case B. (A) Initial DBPF. (B) All converted DBPF. (C) Final converted DBPF. (D) Ideal S -parameters

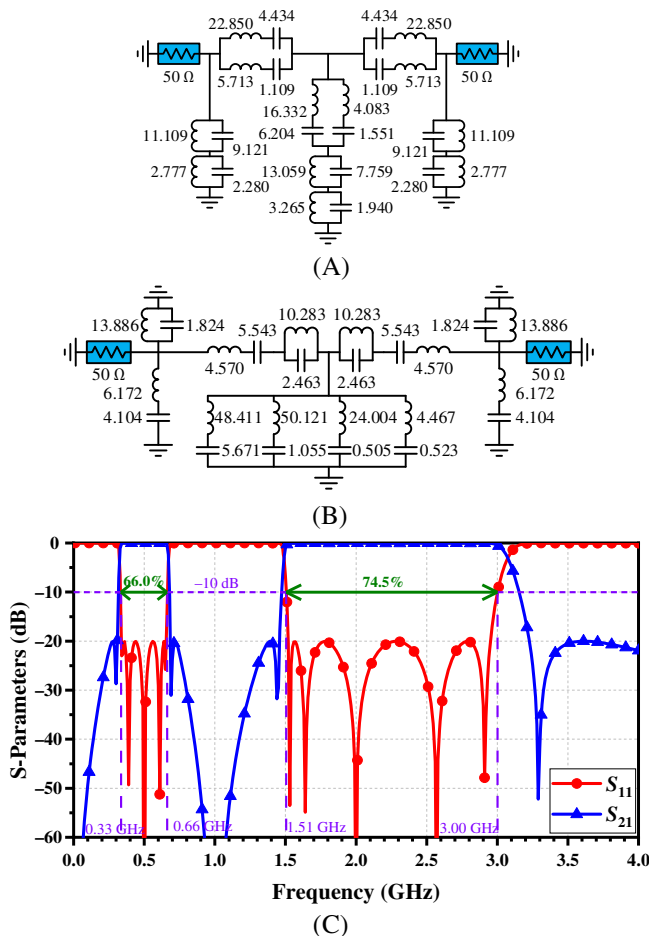


FIGURE 8 Schematics and S -parameters of the Case C. (A) Initial DBPF. (B) Final converted DBPF. (C) Ideal S -parameters

Two examples are given to verify the independently controllable bandwidth function of DBPF. Both examples are third-order quasi-elliptic DBPFs with f_1 at 1 GHz, f_2 at 2 GHz, $\epsilon = 0.1$, IL_{\max} in stopband = 25 dB. Figure 3A, B show the ideal S -parameters of the DBPF for various FBW_1 with the fixed value $FBW_2 = 15\%$ (Example 1) and the S -parameters of the DBPF for various FBW_2 with the fixed value $FBW_1 = 15\%$ (Example 2), respectively.

Table 1 shows the bandwidth of the different cases. In Example 1, when FBW_1 increases, the bandwidth of the second passband slightly increases. A slight deviation of the fixed bandwidth can be allowed.

In filter design, circuit equivalent conversion is often used which can be easier implemented. Figure 4 shows the four common circuit equivalent conversions. The equivalent conversion of the circuit is based on the equal input impedance. After dual-band frequency transformation based on (9), the initial DBPF circuit can be converted by the four-parameter circuit conversions in Figure 4A,C. The eight-parameter circuit conversion in Figure 4B can reduce the sensitivity of the performance to lumped elements values significantly by utilizing relatively large inductances, as explained in Reference 12. Whether or not the parameter values are simple to implement determines which conversion method to choose.

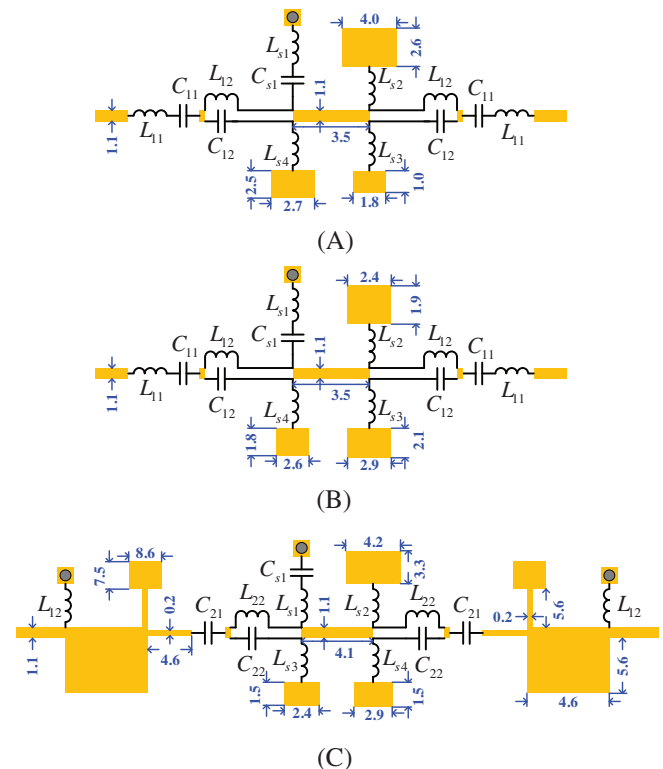


FIGURE 9 Layout of the manufactured DBPF (unit: mm). (A) Case A. (B) Case B. (C) Case C

TABLE 3 Parameter values of the three cases

| | Case A | Case B | Case C |
|----------|-----------------|-----------------|-----------------|
| L (nH) | $L_{11} = 4.8$ | $L_{11} = 6.2$ | $L_{12} = 12.0$ |
| | $L_{12} = 3.0$ | $L_{12} = 4.1$ | $L_{22} = 10.0$ |
| | $L_{s1} = 10.0$ | $L_{s1} = 12.0$ | $L_{s1} = 47.0$ |
| | $L_{s2} = 11.0$ | $L_{s2} = 33.0$ | $L_{s2} = 33.0$ |
| | $L_{s3} = 27.0$ | $L_{s3} = 18.0$ | $L_{s3} = 20.0$ |
| | $L_{s4} = 3.5$ | $L_{s4} = 5.0$ | $L_{s4} = 4.2$ |
| C (pF) | $C_{11} = 2.4$ | $C_{11} = 1.4$ | $C_{21} = 7.0$ |
| | $C_{12} = 4.2$ | $C_{12} = 3.7$ | $C_{22} = 2.3$ |
| | $C_{s1} = 7.0$ | $C_{s1} = 3.4$ | $C_{s1} = 6.0$ |

Finally, the overall design procedure for the proposed DBPF with independently controllable bandwidth can be summarized as follows.

1. *Step 1:* Given the filter order n , the passband ripple level ε , and IL_{\max} in stopband. Get the elements of the lowpass prototype.
2. *Step 2:* Given f_1 , f_2 , FBW_1 , and FBW_2 . Calculate the LC values according to Equation (9).
3. *Step 3:* Convert the initial DBPF to all-converted DBPF according to four-parameter circuit equivalent conversions in Figure 4A,C.
4. *Step 4:* Convert all-converted DBPF according to eight-parameter circuit equivalent conversions in

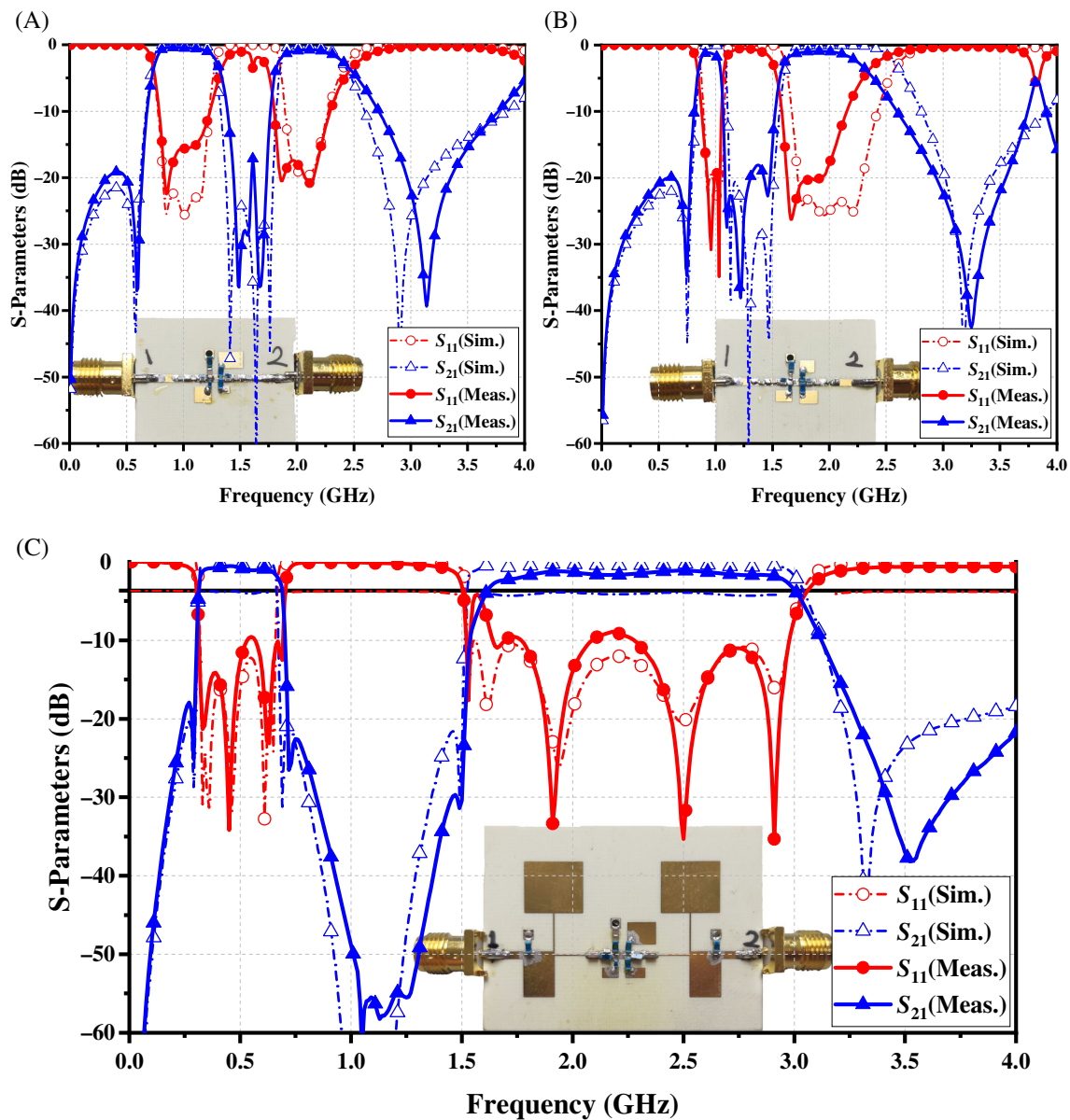


FIGURE 10 Simulated and measured S-parameters of the presented DBPF. (A) Case A. (B) Case B. (C) Case C

TABLE 4 Comparison with previous dual-band BPFs

| Refs. | f_1/f_2 (GHz) | Frequency ratio | 3-dB | RL in passband (dB) | IL in passband (dB) | TZs | Order | Size ($\lambda_g \times \lambda_g$) |
|----------|--------------------|--------------------|-----------|------------------------|------------------------|-----|-------|--|
| 2 | 1.8/2.4 | 1.3 | 2.8/2.8 | 17/17 | 3/4 | 3 | 2/2 | 0.75×0.75 |
| 6 | 0.71/1.37 | 1.9 | 41.1/19.0 | 13/15 | 0.6/1.0 | 8 | - | 0.33×0.05 |
| 7 | 2.4/5 | 2.1 | 14.6/5.8 | 10/10 | 0.9/1.6 | 6 | - | 0.58×0.26 |
| 12 I | 1/2 | 2 | 8.5/9 | 10/10 | 1.0/0.7 | 5 | 3/3 | 0.08×0.08 |
| 13 Third | 0.62/1.41 | 2.3 | 28.2/18.2 | 20/10 | 0.9/1.7 | 2 | 3/3 | 0.47×0.47 |
| 14 II | 1/2.2 | 2.2 | 43/19.5 | 18/18 | 0.4/0.9 | 3 | 3/3 | 0.38×0.57 |
| Case A | 1/2 | 2 | 55/30 | 15/17 | 0.5/0.8 | 5 | 3/3 | 0.05×0.08 |
| Case B | 1/2 | 2 | 18/34 | 19/18 | 1.2/1.0 | 5 | 3/3 | 0.05×0.08 |
| Case C | 0.5/2 | 4 | 72/67 | 9/8 | 1.0/2.1 | 5 | 5/5 | 0.06×0.09 |

Note: λ_g : Guide wavelength on the substrate at the center frequency of the first passband. All values are measured results.

Abbreviations: FBW, fractional bandwidth; IL, insertion loss; RL, return loss; TZ, transmission zero.

Figure 4B,D. Compare the all-converted DBPF and the DBPF in this step to choose the one that is easy to realize as the final circuit.

3 | DESIGN EXAMPLES

Three design examples of DBPFs (*Case A*, *B*, and *C*) are given. The configurations of the cases are listed in Table 2. The generalized quasi-elliptic lowpass prototype are employed, which are shown in Figure 5. The conversion procedures of the circuit with all the values and the ideal *S*-parameters are shown in Figures 6–8. The unit of capacitance is pF, and the unit of inductance is nH. In order to implement easily, some shunt capacitors are approximated by open-stubs, some shunt inductors are approximated by short-stubs.¹⁶

All the cases are fabricated and measured on Rogers4350B substrate ($\epsilon_r = 3.66$, $\delta = 0.0037$) with a thickness of 0.508 mm. Three layouts of the fabricated DBPF are shown in Figure 9. Lumped components values are described in Table 3. The MuRata lumped components are used. The MuRata's GRM15 capacitors and LQG15, LQW15 inductors are used.

The measured results are obtained by the vector network analyzer of Rohde & Schwarz ZVA8. The EM simulated and measured results of the three cases, as well as the photographs of the fabricated circuits, are shown in Figure 10.

In *Case A*, the measured 3-dB fractional bandwidths are 55% (0.70–1.30 GHz) and 30% (1.83–2.43 GHz) with the designated center frequency of 1 and 2 GHz. Within the two passbands, the measured insertion losses are below 0.5 and 0.8 dB. The return loss is greater than

15 dB from 0.81 to 1.11 GHz in the first passband and greater than 17 dB from 1.84 to 2.18 GHz in the second passband. In *Case B*, the measured 3-dB fractional bandwidths are 18% (0.86–1.04 GHz) and 34% (1.60–2.28 GHz) with the designated center frequency of 1 and 2 GHz. Within the two passbands, the measured insertion losses are below 1.2 and 1.0 dB. The return loss is greater than 19 dB from 0.93 to 1.04 GHz in the first passband and greater than 18 dB from 1.63 to 1.99 GHz in the second passband. In *Case C*, the measured 3-dB fractional bandwidths are 72% (0.32–0.68 GHz) and 67% (1.64–2.98 GHz) with the designated center frequency of 0.5 and 2 GHz. Within the two passbands, the measured insertion losses are below 1.0 and 2.1 dB. The return loss is greater than 9 dB from 0.32 to 0.69 GHz in the first passband and greater than 8 dB from 1.63 to 2.99 GHz in the second passband. The deviation between the measured and ideal performance is mainly attributed to the conductor loss, the connection lines between the lumped components, and the low-quality factor of the relatively large inductances.

Overall, good agreement between the simulated and measured results is observed. The comparisons with the reported DBPFs are listed in Table 4 to highlight the advantages of the proposed compact LC DBPFs.

4 | CONCLUSION

In this brief, a novel synthesis method of compact LC DBPFs with independently controllable bandwidth is presented. The method is based on dual-band frequency transformation and circuit conversions. Three cases of DBPF with different frequency ratios, different bandwidths,

and different orders are designed, manufactured, and measured, which reveals the validity of the proposed design theory. The proposed lumped-element DBPF exhibits the advantages including two passbands with independently controllable bandwidth, arbitrary frequency ratio, large range of FBW, availability for different lowpass prototypes, and compact dimensions below 6 GHz. It is predictable that the presented DBPF design methodology can be applied to design and implement the multi-band filter and the proposed method will be widely used in dual-/multi-band RF wireless circuits and systems.

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DATA AVAILABILITY STATEMENT

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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