FIRA: <u>Fi</u>ne-Grained G<u>raph</u>-Based Code Change Representation for Automated Commit Message Generation

Jinhao Dong
Key Laboratory of High Confidence Software
Technologies (Peking University), MoE
School of Computer Science,
Peking University
Beijing, China
dongjinhao@stu.pku.edu.cn

Qihao Zhu, Zeyu Sun, Zhilin Li Key Laboratory of High Confidence Software Technologies (Peking University), MoE School of Computer Science, Peking University Beijing, China {zhuqh,szy_,1700012439}@pku.edu.cn

ABSTRACT

Commit messages summarize code changes of each commit in natural language, which help developers understand code changes without digging into detailed implementations and play an essential role in comprehending software evolution. To alleviate human efforts in writing commit messages, researchers have proposed various automated techniques to generate commit messages, including template-based, information retrieval-based, and learning-based techniques. Although promising, previous techniques have limited effectiveness due to their *coarse-grained code change representations*.

This work proposes a novel commit message generation technique, FIRA, which first represents code changes via fine-grained graphs and then learns to generate commit messages automatically. Different from previous techniques, FIRA represents the code changes with fine-grained graphs, which explicitly describe the code edit operations between the old version and the new version, and code tokens at different granularities (i.e., sub-tokens and integral tokens). Based on the graph-based representation, FIRA generates commit messages by a generation model, which includes a graph-neural-network-based encoder and a transformer-based decoder. To make both sub-tokens and integral tokens as available ingredients for commit message generation, the decoder is further incorporated with a novel dual copy mechanism. We further perform an extensive study to evaluate the effectiveness of FIRA. Our quantitative results show that FIRA outperforms state-of-the-art

*Corresponding authors

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Yiling Lou*
Department of Computer Science,
Purdue University
West Lafayette, IN, USA
lou47@purdue.edu

Wenjie Zhang, Dan Hao*
Key Laboratory of High Confidence Software
Technologies (Peking University), MoE
School of Computer Science,
Peking University
Beijing, China
{zhang_wen_jie,haodan}@pku.edu.cn

techniques in terms of BLEU, ROUGE-L, and METEOR; and our ablation analysis further shows that major components in our technique both positively contribute to the effectiveness of FIRA. In addition, we further perform a human study to evaluate the quality of generated commit messages from the perspective of developers, and the results consistently show the effectiveness of FIRA over the compared techniques.

CCS CONCEPTS

Software and its engineering → Software maintenance tools.

KEYWORDS

Commit Message Generation, Graph Neural Network, Code Change Representation

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1 INTRODUCTION

When developers commit changed code to a version control system, each commit is supposed to be documented with a *commit message*. Commit messages summarize code changes in natural language, and can help developers quickly understand the high-level intention of code changes without digging into detailed implementations. Therefore, commit messages are prevalent in software maintenance and play an essential role in comprehending software evolution [4].

However, manually writing commit messages can be very laborintensive. High-quality commit messages should precisely describe the rationales of changed code, which often requires non-trivial manual efforts in practice. In addition, modern software has been evolving rapidly, and frequent commit submissions put a heavy burden on developers. Therefore, although commit messages are beneficial, they are often neglected by developers due to the time costs. As is reported, almost 14% commit messages in 23K Java projects are empty [10].

To alleviate manual efforts in writing commit messages, researchers have proposed various techniques to generate commit messages automatically. Given a code change, these techniques first represent the old-version and new-version code with specific formats, such as sequences of tokens or paths of abstract syntax tree (AST), and then generate commit messages based on the representations via different strategies such as template-based [4, 6], information retrieval-based [16, 29], and learning-based [19, 28, 32, 41, 44] techniques.

Although achieving promising performance, the effectiveness of previous techniques has been restricted by their coarse-grained code change representations. First, existing commit message generation techniques represent the code changes by simply putting old-version and new-version code together without explicitly highlighting finegrained edit operations. For example, given an expression "a = 1;" modified into "b = 1;", token-based representations [19, 32, 41, 44] represent such code changes by concatenating both expressions into one flat sequence of tokens (i.e., "- a = 1; + b = 1;"), where -/+ denotes the old/new-version code; the AST-based representations [28] represent the code changes by concatenating old-version and new-version AST paths into one sequence (i.e., "assignment.variable.a.operator.=.literal.1; + assignme nt.variable.b.operator.=.literal.1;"). Therefore, existing learning-based models have to compare the code representations of old and new versions so as to capture the subtle edit operation (i.e., the token "a" is changed into a new token "b") by themselves, which makes it more challenging to generate precise commit messages. Second, existing code change representations mainly focus on coarsegrained tokens (i.e., integral tokens) in the code without explicitly and individually describing finer-grained tokens (i.e., sub-tokens of integral tokens). In fact, it is prevalent that the commit messages may contain sub-tokens of the input code changes. For example, for a code change that contains an integral token "setMinimumSize", its relevant commit message contains three tokens "set", "minimum", and "size", which are exactly the sub-tokens of the integral token "setMinimumSize". However, most previous techniques [19, 32, 41] consider only integral tokens and ignore sub-tokens in their code change representations; while a few techniques [28, 44] represent all sub-tokens in a compound representation (e.g., one single embedding vector) without representing each sub-token individually. Such compound representations make it challenging to utilize each sub-token as available ingredients for commit message generation. Therefore, they exhibit a poor performance for the cases that commit messages contain sub-tokens of the input code.

To address the limitations above, in this work, we propose a novel commit message generation technique, FIRA, which first represents code changes via fine-grained graphs and then learns to generate commit messages automatically. Compared to previous code change representations, FIRA makes the first attempt to explicitly describe the edit operations between the old-version and new-version code, along with tokens at different granularities (i.e., integral tokens and sub-tokens). Based on the proposed graph-based representations, FIRA then learns to generate commit messages

iteratively with an encoder-decoder model. In particular, FIRA incorporates the graph neural network in the encoder so as to directly encode the graph-structured inputs; and the decoder incorporates the transformer [39] and a novel dual copy mechanism, which can not only generate tokens from the vocabulary but also copy both integral tokens and sub-tokens from the input.

We perform an extensive evaluation to compare FIRA with six state-of-the-art commit message techniques on a widely-used benchmark [16, 19, 29, 32, 41, 44]. The results show that FIRA outperforms all compared techniques in terms of BLEU, ROUGE-L, and METEOR. We further analyze the effectiveness of each component in FIRA by an ablation study and case analysis. The results further confirm that major components (i.e., explicitly representing edit operations and copying sub-tokens) both positively contribute to the effectiveness of FIRA, and indeed help generate higher-quality commit messages than previous techniques. In addition, we further perform a human study to evaluate the quality of generated commit messages from the perspective of developers, which consistently shows the effectiveness of FIRA over compared techniques.

In summary, this paper makes the following contributions:

- A fine-grained graph-based code change representation for commit message generation, which explicitly describes code edit operations and tokens at different granularities.
- A novel encoder-decoder model for commit message generation, which leverages the graph neural network in the encoder to process the proposed graph-based representation, and leverages the transformer with a novel dual copy mechanism in the decoder to utilize both integral tokens and sub-tokens.
- An extensive experiment evaluating our approach against six state-of-the-art techniques on a widely-used benchmark, which suggests the effectiveness of our approach by the quantitative, qualitative, and ablation analysis.
- A human study on the quality of generated commit messages, which further shows the effectiveness of our approach from the perspective of developers.
- A replication package available at https://github.com/DJjjjhao/ FIRA-ICSE.

2 MOTIVATION

Existing commit message generation techniques represent and utilize code changes in a coarse-grained way. First, they simply put old-version and new-version code together without explicitly describing fine-grained edit operations; second, they only focus on coarse-grained tokens (i.e., integral tokens) without representing sub-tokens individually. In this section, we further illustrate these limitations with several real-world examples.

2.1 Limitation 1: Edit Operations

As shown by the example in Figure 1, we can observe that edit operations are highly relevant to developer-written commit messages. The developer makes the edit operation (i.e., adding one token "abstract") and the corresponding commit message indicates his/her intention of making the class abstract. However, existing techniques cannot always notice such edit operations, since their

Figure 1: Motivating example: edit operations

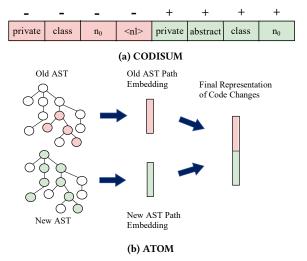


Figure 2: Existing code change representations

code change representations put old-version and new-version code together without explicitly highlighting the differences. Therefore, they have to compare old-version and new-version code and then infer the edit operations by themselves. For example, as shown in Figure 2a, CODISUM [44], one of the learning-based approaches, represents the code change by concatenating the flat token sequences of old-version and new-version code into one sequence. Although each token in the sequence is annotated with old version (-) or new version (+), CODISUM has to learn to infer the specific edit operation (i.e., one token "abstract" is added) by itself. Similarly, in Figure 2b, another learning-based technique ATOM [28], represents the code changes by concatenating old-version and new-version AST paths together, and it also has to compare two paths by itself so as to capture the edit operations at AST level. Such coarse-grained code change representations are actually cumbersome, especially when code changes involve very minor edit operations with the majority of tokens unchanged (e.g., only one token is changed in the example). Learning-based techniques cannot always guarantee to precisely capture such subtle edit operations, which may further result in imprecise commit message generation. In fact, our experimental results also confirm that these techniques all fail to generate precise commit messages for this example.

To address this limitation, we propose to explicitly highlight edit operations in the code change representation, which can include more accurate information for commit message generation.

Figure 3: Motivating example: sub-tokens

2.2 Limitation 2: Sub-tokens

As shown by the example in Figure 3, we can observe that subtokens in the input code can provide very helpful hints for commit message generation. For example, the developer-written commit message "Add tab listener for feature toggles" consists of the subtokens "tab", "listener", "feature" and "toggles" in the input code. However, existing techniques focus on integral tokens and seldom treat sub-token as equally important as integral token. For example, most existing techniques [19, 32, 41] ignore sub-tokens in their code change representations, while a few techniques [28, 44] describe all sub-tokens in a compound representation (e.g., one single embedding vector) without representing sub-tokens explicitly and individually. Such compound representations restrict the utilization of sub-tokens. For example, with such representations, existing techniques can only generate the frequent sub-tokens that are included in the vocabulary, but often fail to generate those infrequent sub-tokens that are excluded in the vocabulary or seldom occur in the training set. Actually, for the generation tasks related to program code, such infrequent sub-tokens can be very prevalent since they are often project specific tokens (e.g., "tab" and "toggles" in the example). Therefore, existing coarse-grained code change representations make it challenging to generate commit messages containing such sub-tokens.

Therefore, to fully utilize sub-tokens in the code, we propose to treat all integral tokens and sub-tokens equally important and represent sub-tokens individually in the code change representation. In addition, to make both frequent and infrequent sub-tokens as ingredients of the commit message, we further leverage a novel dual copy mechanism additionally for sub-tokens in our model so that both integral tokens and sub-tokens can be either copied or generated from the vocabulary.

3 CODE CHANGE REPRESENTATION

This section presents our fine-grained graph-based code change representation, which explicitly includes edit operations and subtokens to enable more precise commit message generation. In particular, the graph construction consists of four steps, including (1) building chopped abstract syntax trees (Section 3.1), (2) adding subtokens (Section 3.2), (3) annotating edit operations (Section 3.3), and (4) incorporating additional sequential information (Section 3.4). We then introduce each step in detail and use the motivating example in Figure 1 for illustration.

3.1 Chopped Abstract Syntax Trees

A typical code change often includes the modified code and its surrounding context. For example, a code change in GitHub (e.g.,

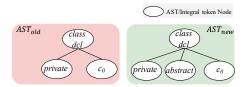


Figure 4: Graph_{AST}: chopped AST

Figure 1 and Figure 3) often contains multiple lines of code and each line starts with its change type. More specifically, "-" denotes the deleted old-version code, "+" denotes the added new-version code, and the empty character "" denotes the unchanged code both in old and new version. A code change may involve single or multiple hunks. Here, a hunk refers to the continuous lines with the same change type. Among commits in GitHub, some may modify only one token in a hunk, while some may modify hundreds of lines in multiple hunks. Existing learning-based techniques often represent all the changed code together, e.g., CODISUM [44] concatenates all deleted/added hunks as one sequence and ATOM [28] constructs AST of the entire code file even if the code changes occur in only several lines in the file. Representing the code change as a whole can obfuscate details among hunks and thus makes it more challenging for the commit message generation model to summarize essential features from such a coarse-grained representation. Therefore, in FIRA, we propose to construct AST at hunk level, so that more detailed information can be reserved when the code change involves multiple hunks.

More specifically, given a code change, we first separate it into several hunks according to their change types; then for each hunk, we parse it to construct its own abstract syntax tree, i.e., chopped AST. In this way, we obtain a set of chopped ASTs for the given code change, which are actually a set of graphs with basic semantic and syntactic information of each hunk. In particular, for the chopped AST of a deleted hunk (i.e., all its lines are deleted), we denote it as AST_{old} since the relevant code only exists in the old version; for the chopped AST of an added hunk (i.e., all its lines are added), we denote it as AST_{new} since the relevant code only exists in the new version. We denote the graph constructed in this phase as $Graph_{AST}$. Figure 4 presents the $Graph_{AST}$ of changed lines in the illustration example, where "class dcl" is the abbreviation of the AST node type "class_declaration", and " c_0 " is the placeholder of the class name "FormAuthClientBase".

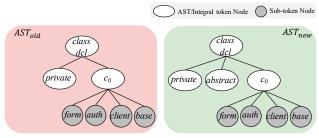


Figure 5: Graph_{token}: Graph_{AST} extended with sub-tokens

3.2 Sub-tokens

As mentioned in Section 2, commit messages often contain coarse/finegrained tokens (i.e., integral tokens and sub-tokens) in the input code. Such a phenomenon is prevalent, since it is a common practice for developers to name a function or a class with phrases. For example, given a method named as "deleteOldThreadDumps", this integral token consists of four sub-tokens "delete old thread dumps", which describe the functionality of the method and might be adopted in the commit message when code changes are relevant to this method. Therefore, in our representation, we consider not only the integral tokens but also their sub-tokens. More specifically, in each Graph_{AST}, for the node with an integral token, we split it into separated sub-tokens according to the widely-adopted naming convention (i.e., camel case and snake case), represent these subtokens as extra nodes in the graph, and then connect them with their belonging integral token nodes. In this way, the chopped AST is extended with nodes and edges relevant to sub-tokens, where integral tokens and sub-tokens are equally-important individuals and both can be directly utilized in the subsequent commit message generation. We denote the graph constructed in this phase as $Graph_{token}$. Figure 5 presents the $Graph_{token}$, which extends the Graph_{AST} (i.e., Figure 4) with sub-token information.

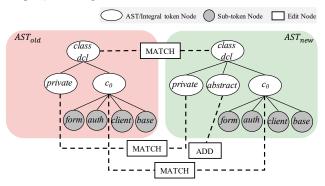


Figure 6: Graph_{edition}: Graph_{token} extended with edit nodes

3.3 Edit Operations

So far, all nodes in the graph represent code elements, which are denoted as *code nodes* for distinction. Based on $Graph_{token}$, we further introduce *edit nodes* to explicitly represent fine-grained edit operations between AST_{old} and AST_{new} . In particular, we consider five edit nodes, including v_{ADD} , v_{DEL} , v_{MOVE} , v_{UPDATE} , and v_{MATCH} .

- v_{ADD}. If the code node v exists in AST_{new} but not in AST_{old},
 v is newly-added and should be connected with an edit node
 V_{ADD}.
- v_{DEL}. If the code node v exists in AST_{old} but not in AST_{new},
 v is deleted and should be connected with an edit node v_{DEL}.
- v_{MOVE}. If the code node v exists in both AST_{old} and AST_{new} and the positions of v and its sub-tree are moved, the node v in both AST_{old} and AST_{new} should be connected with an edit node v_{MOVE}.
- v_{UPDATE}. If the code node v exists in both AST_{old} and AST_{new} and its value is updated, the nodes v in both AST_{old} and AST_{new} should be connected with an edit node v_{UPDATE}.
- v_{MATCH}. If a node v exists in both AST_{old} and AST_{new} and its value and position remain unchanged, the nodes v in both

 ${\rm AST}_{old}$ and ${\rm AST}_{new}$ should be connected with an edit node ${\rm V}_{MATCH}$.

According to the description above, we further insert edit nodes to the $\operatorname{Graph}_{token}$ by connecting them with the original code nodes. We denote the graph constructed in this phase as $\operatorname{Graph}_{edition}$. Figure 6 presents the $\operatorname{Graph}_{edition}$, which further extends the $\operatorname{Graph}_{token}$ (i.e., Figure 5) with edit nodes.

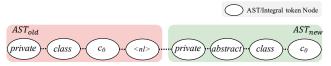


Figure 7: Graph_{seq}: one-line graph

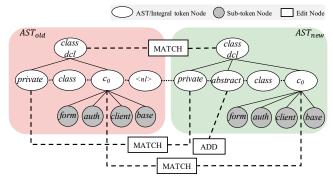


Figure 8: Graph final: fine-grained representation for code changes

3.4 Additional Sequential Information

So far, the code change has mainly been represented based on the AST structure. As suggested by previous work [19, 44, 45], the sequential information (i.e., treating code as a flat token sequence) can also be helpful for commit message generation, since it can reserve the adjacent relationship and the order of the tokens. Therefore, we further extend Graph_{edition} with additional sequential information so as to include more helpful information. In particular, we first build an extra one-line graph (denoted as $Graph_{seq}$) by regarding each token as a node and connecting every two adjacent nodes. Figure 7 presents the Graph seq of the code change hank. Then, we merge $Graph_{seq}$ with the $Graph_{edition}$ by using the nodes existing in both graphs as the anchor nodes. More specifically, for each node v_i in Graph_{edition}, if v_i matches with the node v_i in $Graph_{seq}$, v_i is removed from $Graph_{edition}$ and all its connected edges are re-connected with v_i . In this way, we combine the ASTbased information (i.e., Graph_{edition}) and sequential information (i.e., $Graph_{seq}$) into one larger graph, i.e., as $Graph_{final}$. Figure 8 presents the Graph final for the illustration example, which further extends the Graph_{edition} (i.e., Figure 6) with additional sequential information.

4 MODEL ARCHITECTURE

Figure 9 presents the overview of our model, whose input is the final graph-based code change representation and output is the

generated commit message. Overall, the model is in an encoderdecoder architecture. In the encoder, we adopt graph neural networks (GNN) due to its strong capability of processing graphstructured data [12, 13, 22, 30, 34, 40, 43]. In the decoder, we leverage the transformer architecture [39], which is the state-of-the-art sequence to sequence model and is widely used in various generation tasks [1, 37, 46], to generate tokens in commit messages iteratively. When generating the next token, the decoder first performs selfattention between the current token and the previously generated tokens, and then performs cross-attention over input tokens embedded by the encoder. In addition, to fully utilize the integral tokens and sub-tokens in inputs, the decoder further incorporates a novel dual copy mechanism, which can copy both integral tokens and sub-tokens from the inputs. In other words, in each iteration, the model can choose an integral token or a sub-token with highest probability from the vocabulary or directly from the inputs. We then describe each component in detail.

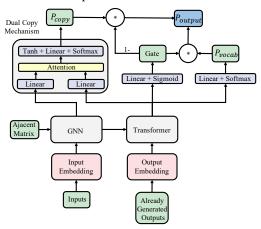


Figure 9: Architecture of the proposed model

4.1 Encoder

Given the final graph-based representation of code changes, i.e., $\operatorname{Graph}_{final}$, the encoder first embeds the nodes with an embedding layer (i.e., in Section 4.1.1); then the graphs are represented by embedding vectors and an adjacency matrix, which can be further fed to a graph neural network layer (i.e., in Section 4.1.2); the final output of the encoder is learned representation vectors for each node, which can be further used by the decoder.

4.1.1 Embedding Layer. Formally, the final graph-based representation of code changes $\operatorname{Graph}_{final}$ can be defined as $\mathcal{G}=(\mathcal{V},\mathcal{E})$, where \mathcal{V} denotes the nodes and \mathcal{E} denotes the edges in the graph. As mentioned above, \mathcal{V} contains two types of nodes, i.e., code nodes and edit nodes. We establish a lookup table for both nodes and covert them to embedding vectors based on the table. In particular, the embedding vectors of code nodes can be denoted as $[c_1, c_2, ..., c_{N_c}]$ and the embedding vectors of edit nodes can be denoted as $[e_1, e_2, ..., e_{N_e}]$, where N_c and N_e denote the numbers of code nodes and edit nodes, respectively. Therefore, the embedding vectors E for the graph can be represented by $[c_1, c_2, ..., c_{N_c}, e_1, e_2, ...$

, e_{N_e}], where $E \in \mathbb{R}^{d_x \times N}$, $N = N_c + N_e$, and d_x denotes the embedding size. Note that embedding vectors are learnable and are initialized randomly.

4.1.2 Graph Neural Network. The embedding vectors are further fed into a graph convolution network (GCN) layer. GCN [22] is a variant of the graph neural network (GNN) and it leverages the first-order approximation of Chebyshev Spectral CNN (ChebNet) [7] to aggregate the feature information among all neighbor nodes [43].

Here we use an adjacency matrix and the embedding vectors E to identically represent the input graph \mathcal{G} , so that GCN can directly process the input. For an adjacency matrix A of \mathcal{G} ($A \in \{0, 1\}^{N \times N}$), $A_{i,j}$ means whether there exists an edge between the node v_i and the node v_j in \mathcal{G} . In order to preserve the information of each node itself, we further include self-connections to each node in the graph and obtain an enhanced adjacency matrix \widetilde{A} with self-connections. In addition, to avoid gradient explosion caused by accumulated degrees, we apply symmetric normalization to \widetilde{A} and get the normalized adjacency matrix \widehat{A} , as shown in Equation 1. \widetilde{D} denotes the degree matrix of \widetilde{A} , which can be computed by $\widetilde{D}_{ii} = \sum_i \widetilde{A}_{ij}$.

$$\hat{A} = \widetilde{D}^{-\frac{1}{2}} \widetilde{A} \widetilde{D}^{-\frac{1}{2}}. \tag{1}$$

The output of GCN in the lth iteration can be computed as Equation 2. $W_g \in \mathbb{R}^{d_X \times d_X}$ is the trainable parameters. X^{l-1} denotes the embedding vectors of the nodes in the last iteration, and initially X^0 is the embedding vectors of all nodes (i.e., E). In addition, to boost the learning process, we employ residual connection [15] and layer normalization [2] similar to the transformer architecture [39].

$$X^{l} = W_{a}X^{l-1}\hat{A},\tag{2}$$

After L iterations, the final representation of the nodes can be denoted as X^L , i.e., $X^L \in \mathbb{R}^{d_x \times N}$.

4.2 Decoder

The decoder is built on top of a transformer architecture with a novel dual copy mechanism for both integral tokens and sub-tokens.

4.2.1 Transformer Layer. Here we use the decoder part of transformer [39], which is stacked by multi-head self-attention, multi-head attention over the output of the encoder, and a fully-connected feed-forward network.

For better illustration, we denote the output of the encoder as X_e , i.e., $X_e = X^L$. The decoder decides each token in the commit message iteratively, which is based on both the output of the encoder X_e and the currently generated tokens. When generating the kth token in the commit message, we denote the output of the decoder as \mathbf{x}_d^k , (i.e., $\mathbf{x}_d^k \in \mathbb{R}^{d_x}$), which can be computed as Equation 3. For better illustration, we use X_d^{k-1} to represent the already generated output $[\mathbf{x}_d^1, \mathbf{x}_d^2, ..., \mathbf{x}_d^{k-1}]$ of the decoder.

$$x_d^k = \text{Transformer}(X_e, X_d^{k-1})$$
 (3)

Next, we introduce the detailed computation process of transformer. First, transformer computes multi-head self-attention (i.e., a_d^k). a_d^k is the concatenation of multiple single attention $a_d^k(i)$, which is the weighted sum of the already generated output X_d^{k-1} , as shown in Equation 4 and Equation 5. $W_O(i) \in \mathbb{R}^{d_X \times d_X}$, $W_K(i) \in \mathbb{R}^{d_X \times d_X}$,

 $W_V(i) \in \mathbb{R}^{d_X \times d_X}$, $W_O \in \mathbb{R}^{d_X \times hd_X}$ denote the projection parameters, and h is the number of heads.

$$\boldsymbol{a}_{d}^{k}(i) = W_{V}(i)\boldsymbol{X}_{d}^{k-1} \cdot \operatorname{softmax}\left(\frac{\left(\boldsymbol{X}_{d}^{k-1}\right)^{T} W_{K}(i)^{T} \cdot W_{Q}(i)\boldsymbol{x}_{d}^{k-1}}{\sqrt{d_{X}}}\right) \tag{4}$$

$$a_d^k = W_O[a_d^k(1); a_d^k(2); ...; a_d^k(h)]$$
 (5)

Second, transformer computes multi-head attention between a_d^k and the output of the encoder X_e , which is denoted as a_e^k and shown in Equation 6 and Equation 7.

$$\boldsymbol{a}_{e}^{k}(i) = W_{V}(i)\boldsymbol{X}_{e} \cdot \operatorname{softmax} \left(\frac{\boldsymbol{X}_{e}^{T} W_{K}(i)^{T} \cdot W_{Q}(i) \boldsymbol{a}_{d}^{k}}{\sqrt{d_{x}}} \right) \quad (6)$$

$$a_e^k = W_O[a_e^k(1); a_e^k(2); ...; a_e^k(h)]$$
 (7)

Third, a_e^k passes a fully connected feed-forward network to get the output x_d^k , as shown in Equation 8. $W_1 \in \mathbb{R}^{d_x \times d_x}$, $W_2 \in \mathbb{R}^{d_x \times d_x}$, $b_1 \in \mathbb{R}^{d_x}$, $b_2 \in \mathbb{R}^{d_x}$ are trainable parameters.

$$x_d^k = W_2 \cdot \max(0, W_1 a_e^k + b_1) + b_2 \tag{8}$$

 \boldsymbol{x}_d^k is then fed to a linear layer and transformed into a |V|-dimension vector \boldsymbol{o}_v^k as shown in Equation 9. |V| denotes the size of the vocabulary and $W_v \in \mathbb{R}^{|V| \times d_x}$ is a trainable parameter.

$$\boldsymbol{o}_{v}^{k} = W_{v} \boldsymbol{x}_{J}^{k} \tag{9}$$

At last, for each token in the vocabulary, the decoder calculates its probability of being selected as the next token by passing \boldsymbol{o}_v^k to a *softmax* layer. \boldsymbol{p}_v^k denotes the probability distribution across the vocabulary, and $\boldsymbol{p}_v^k(i)$ denotes the probability of the *i*th token being selected, which is computed as Equation 10.

$$\mathbf{p}_{v}^{k}(i) = \frac{exp\{\mathbf{o}_{v}^{k}(i)\}}{\sum_{j=1}^{|V|} exp\{\mathbf{o}_{v}^{k}(j)\}}$$
(10)

4.2.2 Dual Copy Mechanism. To fully utilize both integral tokens and sub-tokens during commit message generation, we propose and include a novel dual copy mechanism in the decoder. In this way, when generating each token in the commit message, the candidate tokens can be selected not only from the vocabulary but also from the integral tokens or sub-tokens in the input.

More specifically, in the kth iteration, the probability of each input token being copied is computed according to the current output of the decoder (i.e., x_d^k). In FIRA, we consider the input token which is the most similar to x_d^k with the highest probability of being copied. Given an input token (i.e., a code node v_j in \mathcal{G}), its similarity to x_d^k can be computed by the sum of its embedding vector x_e^j and the output of the decoder x_d^k , as shown in Equation 11. $W_1 \in \mathbb{R}^{d_x \times d_x}$, $W_2 \in \mathbb{R}^{d_x \times d_x}$, $v \in \mathbb{R}^{d_x}$ are learnable parameters.

$$s_k(j) = \boldsymbol{v}^T \tanh(W_1 \boldsymbol{x}_d^k + W_2 \boldsymbol{x}_e^j)$$
 (11)

The similarity of kth token s_k is further fed to a *softmax* layer, which generates the probability of each input token being copied, i.e., $p_c^k = softmax(s_k)$.

At the end of the iteration, we combine the probability distribution across the vocabulary tokens (i.e., p_c^k) and the probability distribution across input tokens (i.e., p_c^k) as Equation 13. g are learned according to the output of the decoder, as shown in Equation 12. $\mathbf{w} \in \mathbb{R}^{1 \times d_x}$ is the learnable parameter. In this way, the kth token to be selected in the commit message would be a token from the vocabulary or copied from inputs.

$$g = \frac{1}{1 + exp\{\mathbf{w}\mathbf{x}_d^k\}} \tag{12}$$

$$\mathbf{p}^{k} = [g * \mathbf{p}_{v}^{k}; (1 - g) * \mathbf{p}_{c}^{k}]$$
(13)

5 EXPERIMENTAL SETUP

5.1 Research Question

- **RQ1: Overall effectiveness.** How does FIRA perform compared to the state-of-the-art commit message generation techniques?
- RQ2: Ablation analysis. How does each component of FIRA contribute to the effectiveness?
- **RQ3: Human evaluation.** How does FIRA perform from the perspective of developers?

5.2 Dataset

Our experiments are evaluated on the well-established benchmark [20, 44], which has been widely used in previous commit message generation techniques [16, 19, 29, 32, 41, 44]. The dataset is based on the commits from top 1,000 popular Java projects in GitHub, excluding rollback/merge commits and duplicated code changes. For each commit, it includes the first sentence of the relevant commit message. In total, the dataset contains 90,661 pairs of commits and the relevant commit messages. Following existing work [44], we randomly select 75,000 commits as the training set, 8,000 commits as the validation set, and the remaining 7,661 commits as the testing set.

5.3 Compared Techniques

We compare FIRA with six state-of-the-art commit message generation techniques as follows.

Information retrieval-based techniques leverage information retrieval (IR) to adopt existing commit messages from similar code changes. We consider two representative IR-based techniques NNGen [29] and LogGen [16] for comparison.

Learning-based techniques leverage neural machine translation (NMT) models to generate commit messages automatically. We consider four state-of-the-art learning-based techniques, i.e., CODISUM [44], ATOM [28], CoreGen [32], and CoRec [41] for comparison.

5.4 Implementation

Representations. FIRA applies GumTree [11] to map ASTs of old-version and new-version code and then to identify edit operations.

GumTree [11] is a representative AST mapping algorithm and has been widely adopted in various tasks [5, 14, 18, 24, 26, 31].

Model. In the encoder, we set the size of the input graphs (i.e., the maximum number of nodes) up to 650, containing up to 370 code nodes and 280 edit nodes, which is more than the number of the graph nodes of each training data so that the largest graphs in the training set can be included. In the decoder, we set the maximum length of each commit message as 30, which is longer than the length of all commit messages in the training set. For the hyperparameters, we configure the six-layer GNN with 0.20 dropout rate [36], and the six-layer eight-head transformer with 0.10 dropout rate and 256-dimension hidden states. In the training phase, we adopt the cross-entropy loss function and the Adam optimizer [21] with 0.0001 learning rate. We tune these hyper-parameters and select the best performing model in the validation set.

Compared techniques. We directly reuse the implementations of the compared techniques from their reproducible packages, if their packages are available and executable [28, 29, 32, 41]; otherwise, we re-implement the techniques strictly following the description in their papers.

Environment. The experiments are performed on a Dell workstation with Intel Xeon CPU E5-2680 v4 @ 2.40GHz, running Ubuntu 16.04.6 LTS. The models are trained on two 24G GPUs of GeForce RTX 3090 and two 24G GPUs of NVIDIA TITAN RTX.

5.5 Evaluation Metrics

We use the commit messages (i.e., manually written by developers) in the dataset as the ground truth. In particular, given a code change, we compare the similarity between the generated commit message with the ground truth. Following previous work on commit message generation [16, 19, 28, 29, 32, 41, 44], we use the widely-used metrics, BLEU, ROUGE-L, and METEOR to measure the similarity. Their computation details are presented as follows.

BLEU measures the precision of generated sequences by calculating its average of the modified n-gram precision (i.e., 1-gram, 2-grams, 3-grams and 4-grams for BLEU-4) [33]. The modified n-gram precision refers to the ratio of the number of matched n-grams to the number of all the n-grams in the generated sequence. So far, researchers have proposed several variants of BLEU. According to a recent human study [38], the B-Norm BLEU exhibits the most consistently with human judgements on the quality of commit messages. Therefore, in this paper, we use B-Norm BLEU as one of the metrics.

ROUGE-L calculates the F-score of precision and recall based on the longest common sub-sequences (LCS) between the generated sequence and the ground truth [25]. A longer LCS indicates the higher similarity between two sentences.

METEOR calculates the harmonic mean of 1-gram precision and 1-gram recall of the generated sequence against the ground truth [3]. It also includes a penalty mechanism when the matched tokens are not adjacent.

6 RESULTS AND ANALYSIS

In this section, we first present the overall results of FIRA (RQ1) in Section 6.1, the results of the ablation study (RQ2) in Section 6.2 and human evaluation (RQ3) in Section 6.3.

Table 1: Overall commit message generation results

Model	BLEU	ROUGE-L	METEOR
LogGen [16]	8.95	10.50	8.34
NNGen [29]	9.16	11.24	9.53
CoreGen [32]	14.15	18.22	12.90
CODISUM [44]	16.55	19.73	12.83
ATOM [28]	8.35	10.17	8.73
CoRec [41]	13.03	15.47	12.04
FIRA	17.67	21.58	14.93

6.1 RQ1: Overall Effectiveness

Table 1 presents average of BLEU, ROUGE-L and METEOR on all the commit messages generated by FIRA and compared techniques. Figure 10 further shows the distribution of ROUGE-L in a box plot.

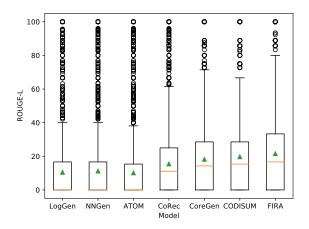


Figure 10: Box plot of ROUGE-L

As shown by the table and the figure, FIRA outperforms all the compared techniques including the best IR-based technique NNGen and the best learning-based technique CODISUM on all metrics, indicating effectiveness both in precision (i.e., BLEU, ROUGE-L and METEOR) and recall (i.e., ROUGE-L and METEOR). For example, the improvements achieved by FIRA range from 7% to 112%, 9% to 112%, and 16% to 79% in BLEU, ROUGE-L, and METEOR, respectively. In addition, it is notable that the IR-based approaches LogGen and NNGen perform significantly worse. A potential reason is that these techniques can only retrieve existing messages from the retrieved database instead of generating new commit messages. In other words, they are no longer effective, once there exists no similar code change as the given code change.

Table 2: Penalty-BLEU of all approaches

Model	LogGen	NNGen	CoreGen	CODISUM	ATOM	CoRec FIRA
Penalty-BLEU	7.15	8.07	11.15	12.07	7.42	10.49 13.30

BLEU may overrate the precision of the cases that the actual number of the matched n-grams is small but the length of the commit message is even shorter, which may result in biased average of all commit messages. Therefore, for those short commit messages, we introduce a penalty mechanism by multiplying their original BLEU with a penalty factor, to reduce their impact on the final average score. The penalty factor f_i of the i-th commit message can be computed as Equation 14, which is the ratio of the length of the i-th ground truth commit message to the total length of all ground truth commit messages.

$$f_i = len(msg_i) / \sum_j len(msg_j)$$
 (14)

We denote the BLEU with an enhanced penalty as penalty-BLEU for distinction. Table 2 presents the penalty-BLEU of all approaches. From the table, we can find that our approach also outperforms other approaches in terms of the penalty-BLEU, indicating FIRA is consistently effective on generating commit messaging of different lengths.

In summary, our quantitative results show that FIRA outperforms all six compared techniques in terms of all studied metrics; meanwhile FIRA is consistently effective on generating commit messages of different lengths.

6.2 RQ2: Ablation Study

In this section, we further perform an ablation study to investigate the effectiveness of each component in FIRA. The major novelty of FIRA is explicitly including and analyzing (1) edit operations between old and new versions, and (2) copying sub-tokens with a dual copy mechanism. Therefore, to investigate their contribution, we further build two variants of FIRA by (1) removing the edit operations from the code change representation graph (i.e., denoted as FIRA $_{edit-}$), and (2) degrading the dual copy mechanism into single copy mechanism for integral tokens which cannot copy sub-tokens anymore (i.e., denoted as FIRA $_{sub-}$). In addition, we build a naive model by removing both components (i.e., denoted as FIRA $_{both-}$) for comparison. Table 3 presents the effectiveness of the default FIRA and variants. In the following sections, we then analyze the contribution of each component quantitatively and qualitatively.

Table 3: Results of the ablation study

Model	BLEU	ROUGE-L	METEOR
$FIRA_{edit-}$	17.39	21.15	14.54
$FIRA_{sub-}$	17.36	20.97	14.09
$FIRA_{both-}$	16.82	20.15	13.42
FIRA	17.67	21.58	14.93

6.2.1 Contribution of edit operations. As shown in Table 3, the effectiveness of $FIRA_{edit-}$ becomes worse in terms of all three metrics, indicating that including edit operations is helpful for commit message generation.

We further look into some cases that FIRA $_{edit-}$ exhibits less effective than the default FIRA in terms of these metrics. Figure 11 presents such a real-world case, which includes the code changes, the ground truth, the commit messages generated by the default FIRA, FIRA $_{edit-}$, and other compared techniques, including the best IR-based technique NNGen and the best learning-based technique CODISUM. In this example, developers rename the method from "getInputEventListener" to "getInputEventHandler" in

Figure 11: Case analysis: edit operations

two involving files, and the manual commit message exactly describes such edit operations. As shown in the figure, the default FIRA can precisely generate the exactly same message as developers, whereas after removing explicit representation of edit operations FIRA $_{edit-}$ fails to generate such correct message. In addition, we can observe that other compared techniques cannot generate the precise commit message neither, since none of them represents edit operations explicitly. Such observations further confirm our intuition that representing edit operations explicitly can help the model to capture the fine-grained code changes, enabling more precise commit message generation. On the contrary, if the old-version and new-version code are represented in combination without highlighting their differences, the model has to learn to capture such edit operations by itself, which can be challenging especially when there are only a few tokens changed.

```
@@ -6.6 +6.7 @@
 package net.java.sip.communicator.impl.gui.main.call;
+import java.awt.*;
import java.awt.event.*;
@@ -39,6 +40,8 @@ public class TransferCallDialog{
     this.setOkButtonText(GuiActivator.getResources()
           .getI18NString("service.gui.TRANSFER"));
     this.setMinimumSize(new Dimension(300, 300));
     addOkButtonListener(new ActionListener()
       public void actionPerformed(ActionEvent e)
Commit message:
  Ground Truth: Set minimum size for transfer call dialog
 FIRA:
              Set minimum size for TransferCallDialog
 FIRA sub.:
              Set dialog size to the dialog
  NNGen:
              Remove border from dialpad button to hide the button style on ubuntu
              Set the size of the size
```

Figure 12: Case analysis: copying sub-tokens

6.2.2 Contribution of copying sub-tokens. As shown in Table 3, the performance of $FIRA_{sub-}$ declines, indicating the dual copy mechanism for sub-tokens indeed boosts commit message generation.

We further look into some cases that ${\rm FIRA}_{sub-}$ exhibits less effective than the default FIRA in terms of these metrics. Figure 12 presents such a real-world case in our dataset, including the code changes, the ground truth, the commit messages generated by the default FIRA, FIRA $_{sub-}$, and other compared techniques. In the example, the developer commit message contains several sub-tokens

in the newly-added code (i.e., setMinimumSize) and the integral token in its belonging class name "TransferCallDialog". As shown in the figure, the default FIRA can effectively utilize sub-tokens in the input code, while the FIRA $_{sub-}$ without the dual copy mechanism is incapable of copying the infrequent sub-token (i.e., minimum) to the commit message. In addition, other compared techniques fail to generate precise commit messages neither. For example, NNGen generates a completely irrelevant commit message. For CODISUM, it includes only two sub-tokens in the generated commit message and generates the commit message with poor readability. Since CODISUM only leverages a single copy mechanism for integral token, it can only generate the frequent sub-tokens from the vocabulary (e.g., two successfully generated sub-tokens set and size) but fails to generate the infrequent sub-token (e.g., minimum) that is excluded in the vocabulary or seldom occurs in the training set.

Table 4: Results for copying sub-tokens

Model	Copy Ratio (%)	#Different Sub-tokens	Occurrence Frequency
NNGen CODISUM	10.53 3.77	436 115	689 1097
FIRA _{sub-}	5.40	159	1118
FIRA	11.95	454	643

To confirm the explanation above, we further investigate whether dual copy mechanism has correctly copied sub-tokens into commit messages. In particular, we denote the sub-token appears both in the input code change and the commit message as a copy token. We then compute the ratio of the number of correctly-copied copy tokens to the number of all copy tokens in our testing set. A higher ratio indicates the technique is more effective in copying sub-tokens. We also present the number of different correctly-copied sub-tokens. In addition, we further present the average number of times of the correctly-copied sub-tokens occurring in the training messages, which can reflect the occurrence frequency of the sub-tokens. Table 4 presents the results. Based on the table, we can notice that FIRA can correctly copy more sub-tokens than other techniques, and FIRA can copy more infrequent sub-tokens. Furthermore, we notice that only FIRA can copy the sub-tokens never occurring in the training set. Without the dual copy mechanism, the performance of $FIRA_{sub}$ -declines a lot. We can notice that NNGen performs well in terms of copying sub-token, because instead of generating new commit messages, IR-based techniques select existing messages based on the similarity of the code changes and similar code changes may have common sub-tokens. However, note that the overall performance of IR-based techniques (i.e., as shown in Table 1) is much worse than FIRA (i.e., 9.16 v.s 17.67 in BLEU). In summary, the results further indicate FIRA can copy sub-tokens effectively.

6.3 RQ3: Human Evaluation

To further study the quality of generated commit messages from the perspective of developers, we perform a human study to evaluate the commit messages generated by FIRA and compared techniques. We compare FIRA with the best retrieval-based technique NNGen and the best learning-based technique CODISUM. We invite six

developers¹ to participate in this study, who have industrial experience in Java programming language ranging from 3 to 5 years.

Table 5: Scoring criterion

Score Definition				
0	Neither relevant in semantic nor having shared tokens.			
1	Irrelevant in semantic but with some shared tokens.			
2	Partially similar in semantic, but each contains exclusive information.			
3	Highly similar but not identical in semantic.			
4	Identical in semantic.			

6.3.1 Study Design. Following previous work [28, 41], we randomly select 100 commits from the testing set and design a questionnaire for manual evaluation. For each commit, the questionnaire includes the code change, the ground truth commit message, and the commit messages generated by FIRA as well as the compared techniques (i.e., the best IR-based technique NNGen and the best learning-based technique CODISUM). Each invited participant is asked to score the commit messages generated by three techniques (i.e., FIRA, CODISUM, and NNGen) based on the code changes and the ground truth commit message. The score ranges from 0 to 4, and a higher score indicates a higher similarity between the generated commit message and the ground truth. We follow the existing scoring criterion [28, 29], and detailed definition is shown in Table 5. To avoid bias, all three techniques are anonymous in the questionnaire and each participant fills in the questionnaire separately.

Table 6: Results of the human evaluation

Model	Low (%)	Medium (%)	High (%)	Average Score
NNGen	71.3	13.2	15.5	0.98
CODISUM	38.0	19.8	42.2	2.06
FIRA	35.5	20.3	44.2	2.15

6.3.2 Results. For each technique, we measure the quality of its generated commit message based on the average scores of six participants on that commit message. In particular, in line with previous work [28, 29], we regard the commit messages scored 0 and 1 as low-quality, scored 2 as medium-quality, and scored 3 and 4 as high-quality. Table 6 presents the ratio of commit messages of different quality. As shown in the table, a large proportion (i.e., 44.2%) of commit messages generated by FIRA are considered as highquality by the participants. In addition, FIRA exhibits the largest ratio of high-quality commit messages while the lowest ratio of low-quality commit messages. The average score also indicates the out-performance of FIRA over compared techniques. To confirm our observations, we further conducted a Wilcoxon signed-rank test [42] between the scores of FIRA and the other techniques. The results further confirm that difference between the scores of FIRA and NNGen/CODISUM is statistically significant at the confidence level of 95%.

6.3.3 Successful cases. We further present two cases that FIRA achieves higher scores in Figure 13 and Figure 14. Each figure includes the code change, the ground truth, and the commit messages

```
@@ -88,6 +88,7 @@ public class DeepLearningAutoEncoderTest extends
TestUtil {
// cleanup
     frame.add("dummy", resp);
      frame.delete();
     p.delete();
12 frame.delete();
00 -95,7 +96,6 00 public class DeepLearningAutoEncoderTest extends
     reconstructed.delete();
      ((Frame) DKV.get(Key.make("Difference")).get()).delete();
diff.delete();
     resp.remove(null);
   }
Commit message:
  Ground Truth: Fix memory leak
  FIR A:
               Fix memory leak in DeepLearningAutoEncoderTest
  NNGen
              Add missing npe checks
              Fix test case
```

Figure 13: Example of fixing memory leak

generated by FIRA and the compared techniques, i.e., NNGen and CODISUM.

The first example in Figure 13 shows the code changes for fixing a memory-leak bug. In particular, the old-version code "resp. remove (null);" fails to delete the object "resp", which results in a memory leak; while the new-version code puts "resp" as a member of "frame", which can successfully delete "resp" once "frame" is deleted. As shown in the figure, FIRA successfully predicts the intention of fixing memory leak and also the location of where memory leak occurs, which we consider as a precise commit message; whereas, other approaches fail to generate such commit messages.

Figure 14: Example of removing unnecessary null check

The second example in Figure 14 shows the code changes for removing an unnecessary null check, since the object "stream" never becomes null. As shown in the figure, FIRA appears to capture the functionality of changed code (i.e., null check) and thus generates similar message with the ground truth. In contrast, the compared approaches fail to generate proper descriptions for the given code change: NNGen generates completely irrelevant message while CODISUM generates over-general and uninformative message.

7 DISCUSSION

7.1 Threats to Validity

The internal threat to validity lies in the implementation of compared techniques and our approach. To reduce this threat, we directly reuse the implementation of the compared techniques from their reproducible packages, if their packages are available and executable [28, 29, 32, 41]; otherwise, we re-implement the techniques

 $^{^1\}mathrm{None}$ of them are co-authors of this paper.

strictly following their papers. We also build our approach based on existing mature tools/libraries, such as GumTree [11]. In addition to code review, we also sampled 100 data items from our dataset and manually ensure there is no violation case where camel case or snake case is not applicable.

The external threat to validity lies in the dataset used in the experiment. To mitigate this threat, we use a well-established dataset, which has been constructed on popular Java projects from GitHub and well-cleaned by previous work [20, 44].

The construct threat lies in the metrics used in evaluation. To reduce this threat, we adopt several metrics that have been widely used by prior work on commit message generation [16, 19, 28, 29, 32, 41, 44]. In addition, we further perform a human evaluation to evaluate the effectiveness from the perspective of developers. We strictly follow the procedure of previous work [28, 41] and invite experienced developers, so as to reduce the threats in human evaluation (e.g., the limited number of participants [23]).

7.2 Limitations

The section discusses the limitations in FIRA. First, our approach would be less effective when the code change cannot be parsed into valid AST. In this case, FIRA would utilize only sub-token identifiers and sequential information during learning. Such cases are actually not observed in our dataset according to our manual inspection, which however are still possible in practice. Second, when the training set contains highly-similar code changes as the given one and the frequency of these similar data items is quite low (e.g., only once), FIRA is less effective than the retrieval-based approaches. It is a common drawback for learning-based techniques, since retrieval-based approaches can inherently retrieve the correct commit message for the similar inputs from the training set. Third, when the commit message contains tokens absent from both vocabulary and the input code change, FIRA would fail to generate these tokens in the commit message.

8 RELATED WORK

The existing work on commit message generation can be categorized as template-based, information retrieval-based, and learning-based techniques.

The template-based techniques [4, 6, 35] analyze code changes and generate commit messages with pre-defined patterns. For example, Buse and Weimer [4] design pre-defined templates based on path predicates, while Cortés-Coy et al. [6] propose templates based on method stereotypes [9] and commit stereotypes [8]. In general, the template-based techniques tend to describe what is changed but has weak capability of capturing the rationales and purposes of code changes. In addition, they are effective only when the cases perfectly fit with the pre-defined rules, but cannot be general due to the diversity of commit messages.

The information retrieval-based approaches [16, 17, 29] leverage IR techniques to adopt existing commit messages from similar code changes. For example, given a code change as a query, Liu et al. [29] leverage cosine similarity and BLEU to select a most similar code change from the training set; similarly, Huang et al. [17] use both syntax similarity and semantic similarity as the similarity metric. However, IR-based techniques are no longer effective once there is

no similar code change in the retrieved database and they can only output existing commit messages instead of generating new ones.

More recently, researchers propose to leverage advanced learning techniques in commit message generation. The learning-based techniques [19, 27, 28, 32, 41, 44] regard commit message generation as a translation problem, and adopt neural machine translation (NMT) models to generate commit message for the given code change. Existing learning-based techniques first represent the oldversion and new-version code with specific formats respectively, such as sequences of tokens [32] or paths of abstract syntax tree (AST) [28], concatenate both representations, and generate commit messages via different learning models. The code representations in existing learning-based techniques are coarse-grained, since (1) they represent the code changes by simply putting old-version and new-version code together, and thus edit operations have to be learned by models, and (2) they only focus on integral tokens without individually describing sub-tokens, and thus commit message with infrequent sub-tokens cannot be generated. To address these limitations, we propose a fine-grained graph-based representation for code changes to enable more powerful commit message generation. In addition to the code change representation, we propose a novel model that is different from prevision work. In particular, we leverage a graph neural network in the encoder so as to directly encode the graph-structured inputs; and we equip the decoder with the transformer and a novel dual copy mechanism, which can not only generate tokens from the vocabulary but also directly copy both integral tokens and sub-tokens from the input.

9 CONCLUSION

In this work, we propose a novel commit message generation technique, FIRA, which first represents code changes via fine-grained graphs and then learns to generate commit messages automatically. Compared to previous code change representations, FIRA explicitly describes the edit operations between the old-version and newversion code, along with tokens at different granularities. Based on the proposed graph-based representations, FIRA generates commit messages by a generation model. FIRA incorporates the graph neural network in the encoder so as to directly encode the graphstructured inputs; and the decoder incorporates the transformer and a novel dual copy mechanism, which can not only generate tokens from the vocabulary but also directly copy both integral tokens and sub-tokens from the input. We perform an extensive evaluation to compare FIRA with six commit message techniques on a widely-used benchmark. The results show that FIRA outperforms all compared techniques in terms of BLEU, ROUGE-L, and METEOR. We further analyze the effectiveness of each component in FIRA by an ablation study and case analysis. The results further confirm that major components both positively contribute to the effectiveness of FIRA. In addition, we further perform a human study to evaluate the quality of generated commit messages from the perspective of developers, which consistently shows the effectiveness of FIRA.

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