

# Lecture 4 Seq2Seq Learning

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## Overview

1. Problems abstraction
  - a. N-to-1: sentiment analysis, topic classification
  - b. N-to-N: pos tagging
  - c. N-to-path: parsing
  - d. N-to-M: dialog, translation
2. Application
  - a. Speech recognition
    - input: speech signal
    - output: text
  - b. Movie frame labelling
    - input: video frame
    - output: scene labels
  - c. PoS tagging
    - input: text
    - output: part of speech
  - d. Arithmetic calculation
    - Math expression -> Numbers
  - e. Machine Translation
    - Eng. Text -> Chinese Text
  - f. Sentence Completion
    - Partial sentence -> partial sentence

g. Conversational Modelling

Utterance -> utterance

## Seq2Seq with DL

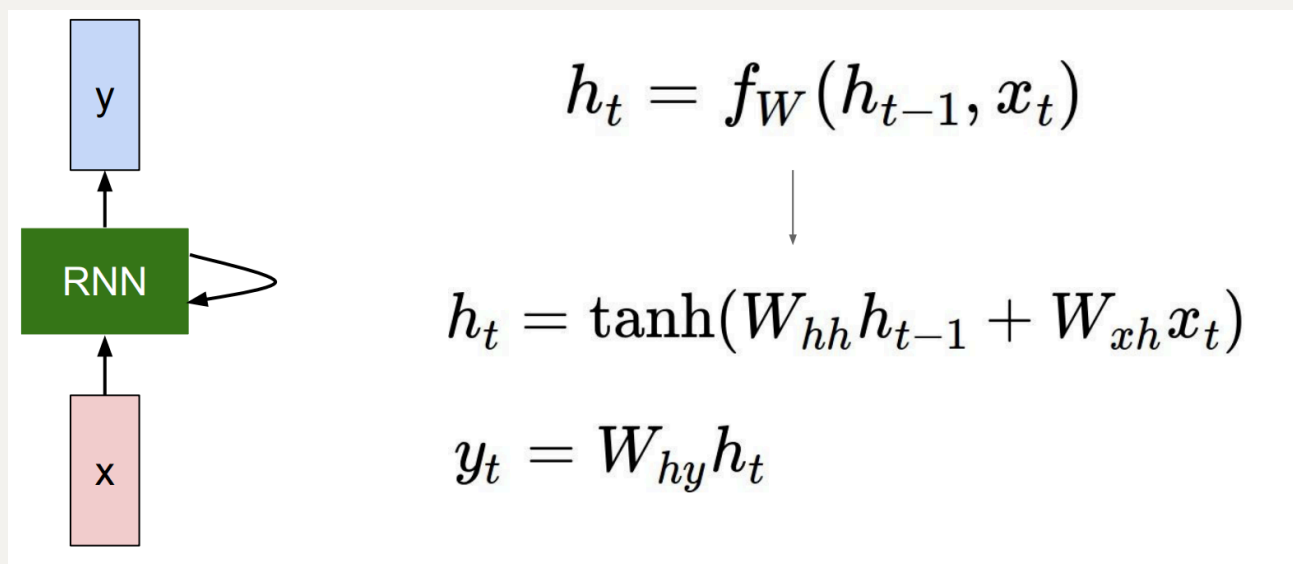
Recurrent Neural Network (RNN=Neural Network + Memory)

**Memory**: *retention of the information over time to influence the future action*

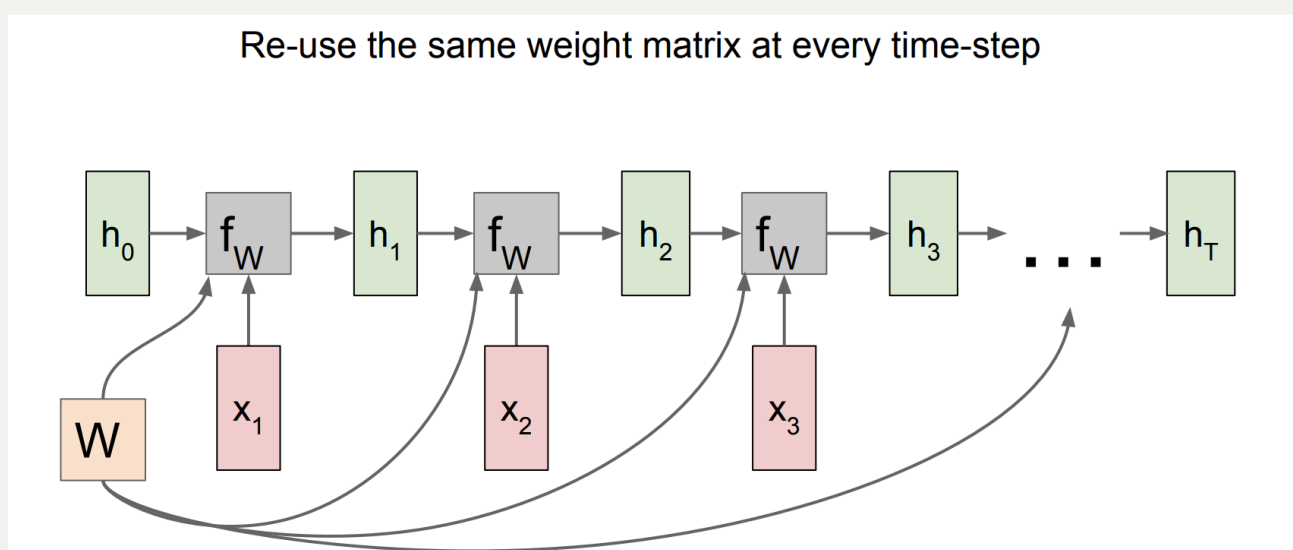
### Model Structure

- Solve the limitation of CNN for that input and output has to be the same length
- Process sequence of vectors  $X$  by applying **recurrent function** at every time steps
- The RNN state consists of a single hidden vector  $h$ 
  - $h_t$ : current state cal. by the last state and the current input
  - $h_{t-1}$ : the state of the last time step
  - $x_t$ : the input at the current time step

**Note.** *every categorical inputs are named as 'time step'*

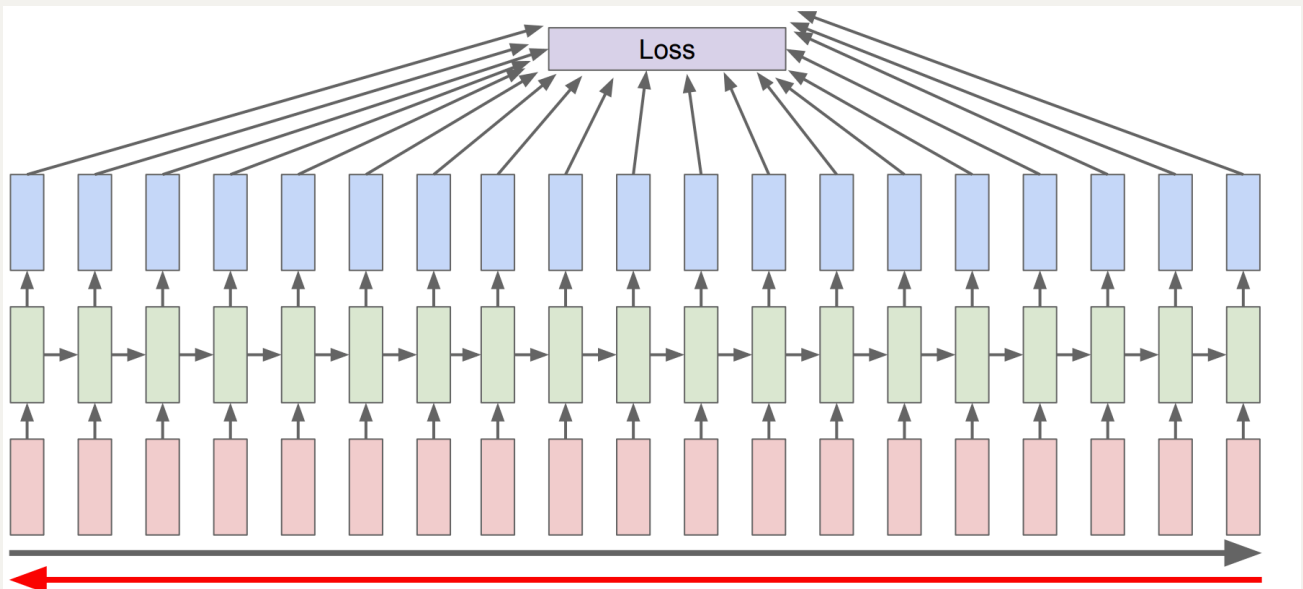


- The *tanh* function to project the linear output to the non-linear interval  $[-1, 1]$
- Share the weights with all inputs



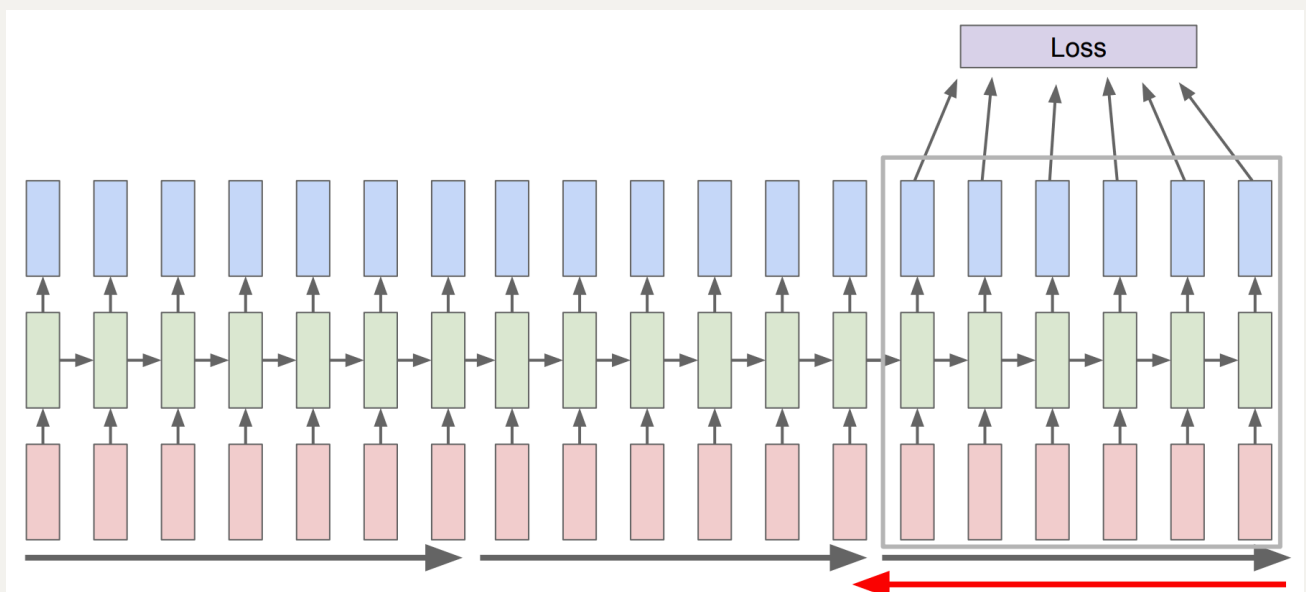
## Backward propagation through time (BPTT)

- Forward through entire sequence to compute loss
- Backward through entire sequence to compute gradients



## Truncated Backpropagation through time (TBPTT)

- Run forward and backward through chunks of sequences instead of whole sequence
- Carry hidden state in time forever
- Only backpropagate for some smaller number of steps

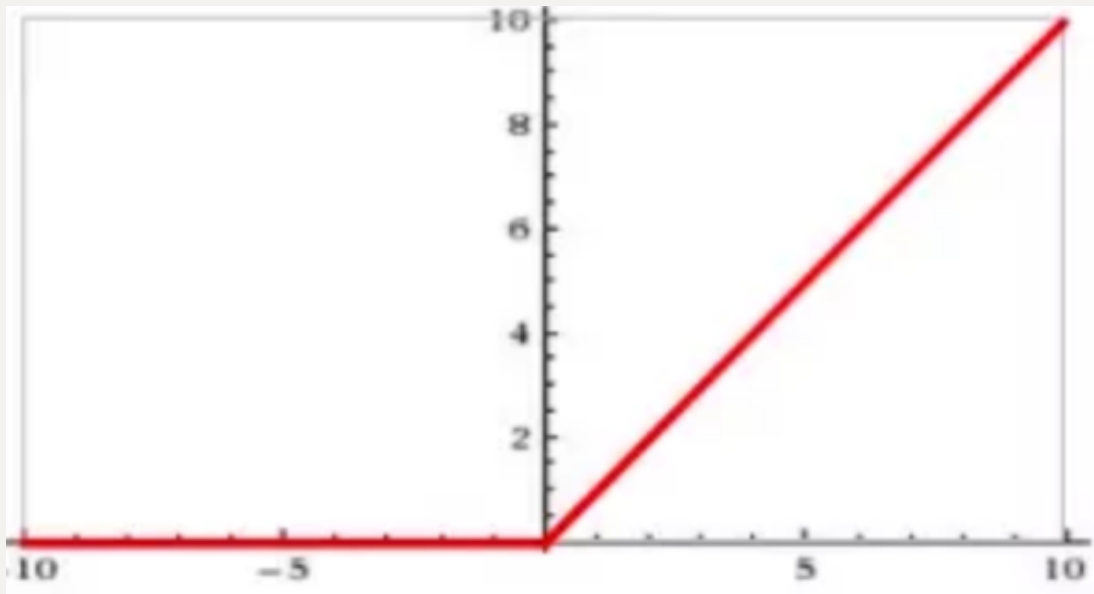


## Limitation of vanilla RNN

— Gradients Exploding: if using active function *Relu*

$$Relu : \phi(x) = \max(0, x)$$

$$\frac{\alpha \phi(x)}{\alpha x} = 1(x > 0) \text{ or } 0(x \leq 0)$$

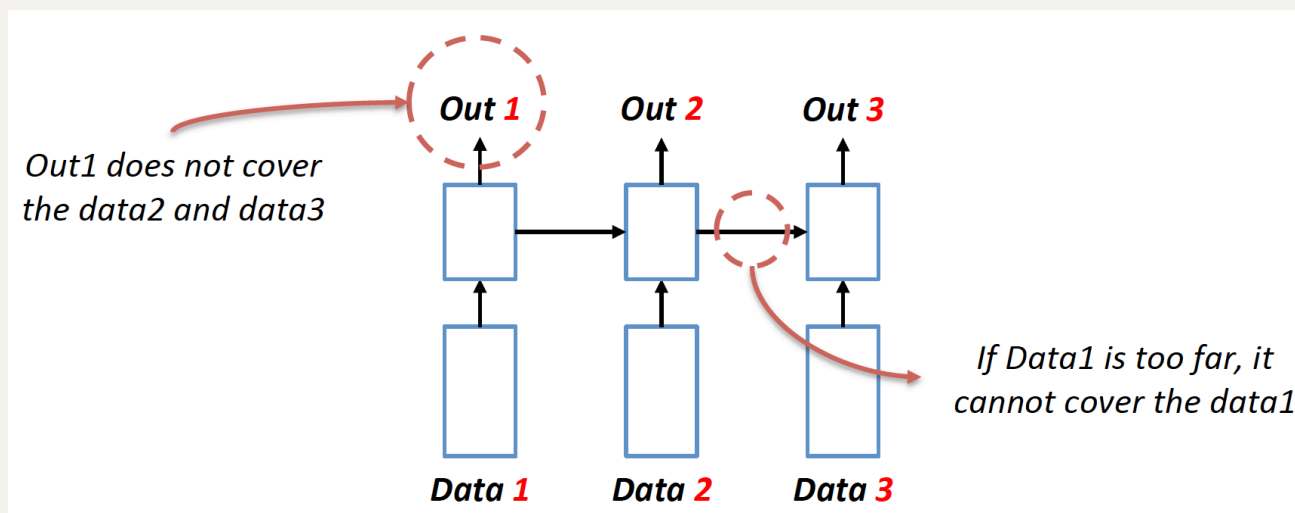


— Vanishing gradients reason: The *taugh* function output a lot of values under 1, therefore, according to the chain rule, the value of a gradient rate are too small and the model stops learning or takes too long to learn (equation 2)

The *taugh* function will project all weighted outputs to values between  $[-1, 1]$ , when using BPTT to calculate the gradients thus minimizing *loss*:

- loss function: cross entropy  $E(y, \hat{y}) = \sum_t E(y_t, \hat{y}_t) = - \sum_t y_t \log(\hat{y}_t)$
- Cal. Gradients:  $\frac{\alpha E}{\alpha W} = \sum_t \frac{\alpha E_t}{\alpha W}$
- $h_t = \text{taugh}(w_{hh} h_{t-1} + w_{ht} x_t) \dots \dots \dots (1)$
- $\sum_t \frac{\alpha E_t}{\alpha W} = \sum_t \frac{\alpha E_t}{\alpha \hat{y}_t} \frac{\alpha \hat{y}_t}{\alpha h_t} \frac{\alpha h_t}{\alpha h_{t-1}} \frac{\alpha h_{t-1}}{\alpha h_{t-k}} \dots \frac{\alpha h_{t-k}}{\alpha W} \dots \dots \dots (2)$

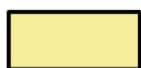
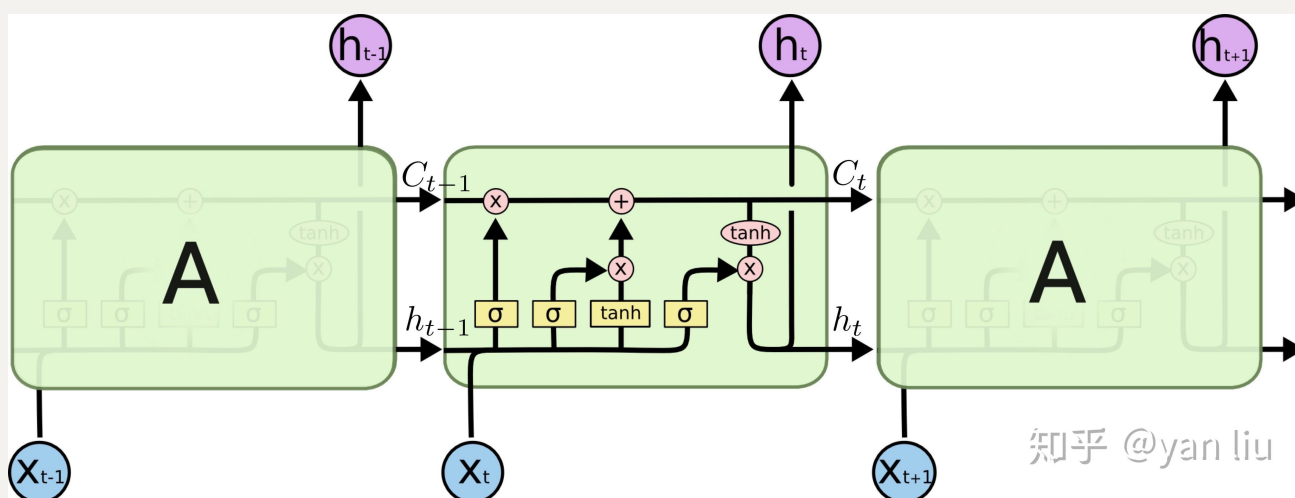
— Long term dependency



## Long Short-term Memory (LSTM)

### LSTM cell internal structure

— Final output state at the current time step:  $c_t = f_t * c_{t-1} + i_t * \tilde{c}_t$



Neural Network  
Layer



Pointwise  
Operation



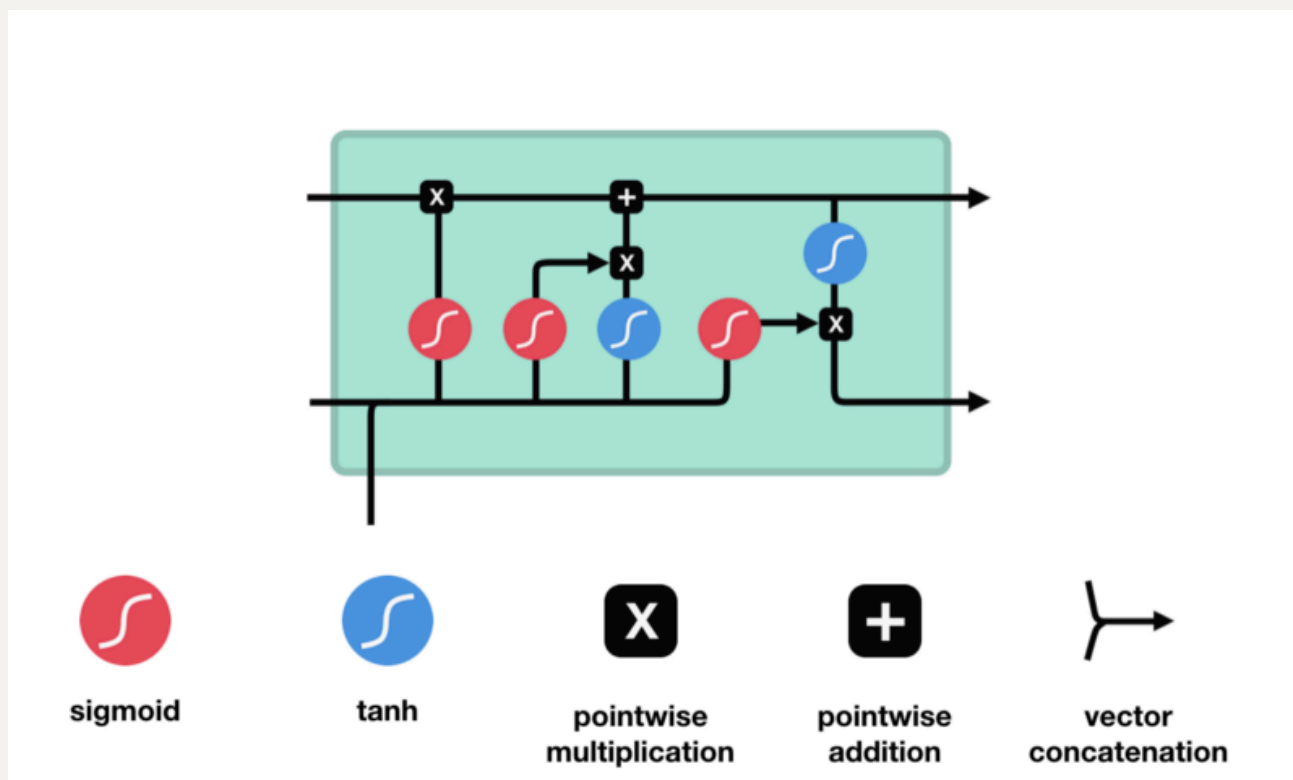
Vector  
Transfer



Concatenate

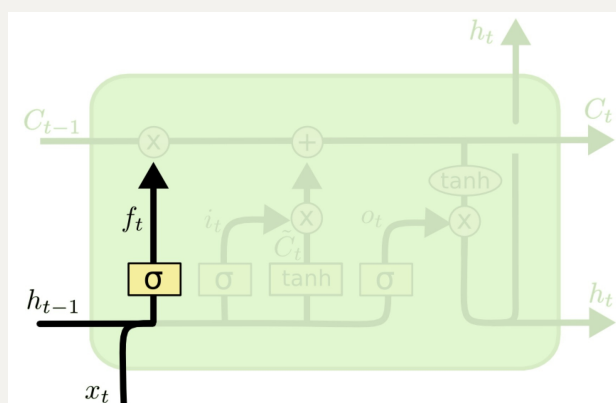


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## Forget gate

- $f_t$  is the forget gate to decide how much information should be discarded
- $h_{t-1}$  and  $x_t$  are inputs for  $f_t$
- *sigmoid* function to covert values in  $[0,1]$ :  $\alpha(z) = \frac{1}{1+\exp(-z)}$

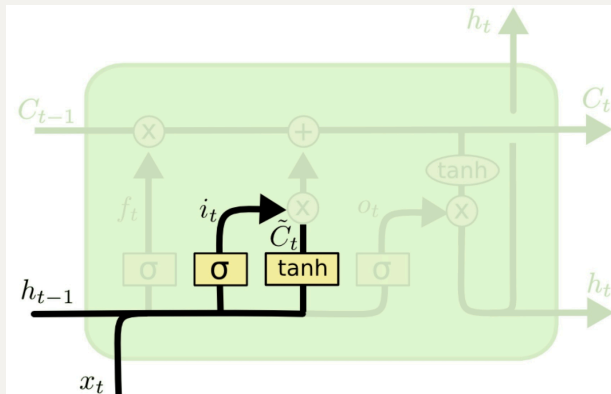


$$f_t = \sigma(W_f \cdot [h_{t-1}, x_t] + b_f)$$

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## Cell state

—  $\tilde{c}_t$  : the update of the cell state



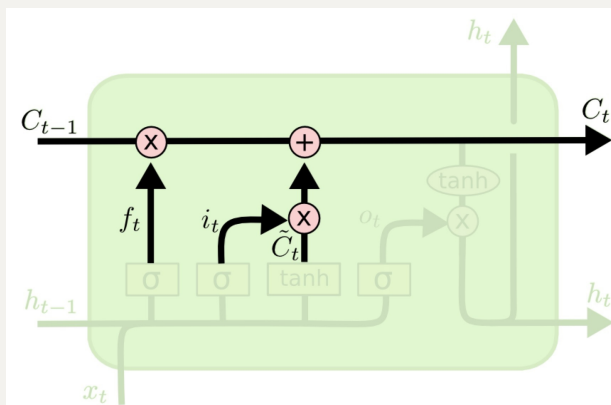
$$i_t = \sigma(W_i \cdot [h_{t-1}, x_t] + b_i)$$

$$\tilde{C}_t = \tanh(W_C \cdot [h_{t-1}, x_t] + b_C)$$

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## Input gate

—  $i_t$  : input gate to control how much indormation from  $\tilde{c}_t$  will be used fo



$$C_t = f_t * C_{t-1} + i_t * \tilde{C}_t$$

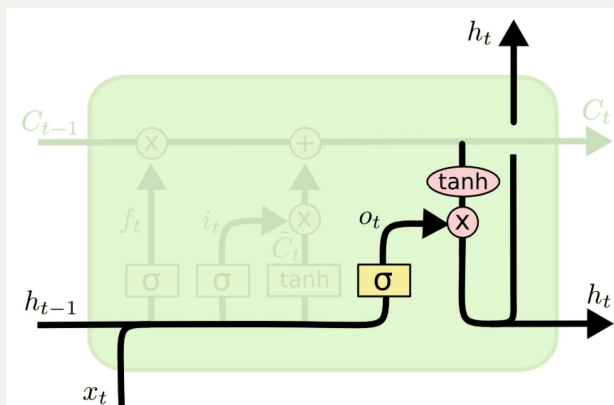
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## Output gate

— calculate the output of the hidden state  $h_t$

— output the  $y_t$  and the input for the next time step  $c_t$





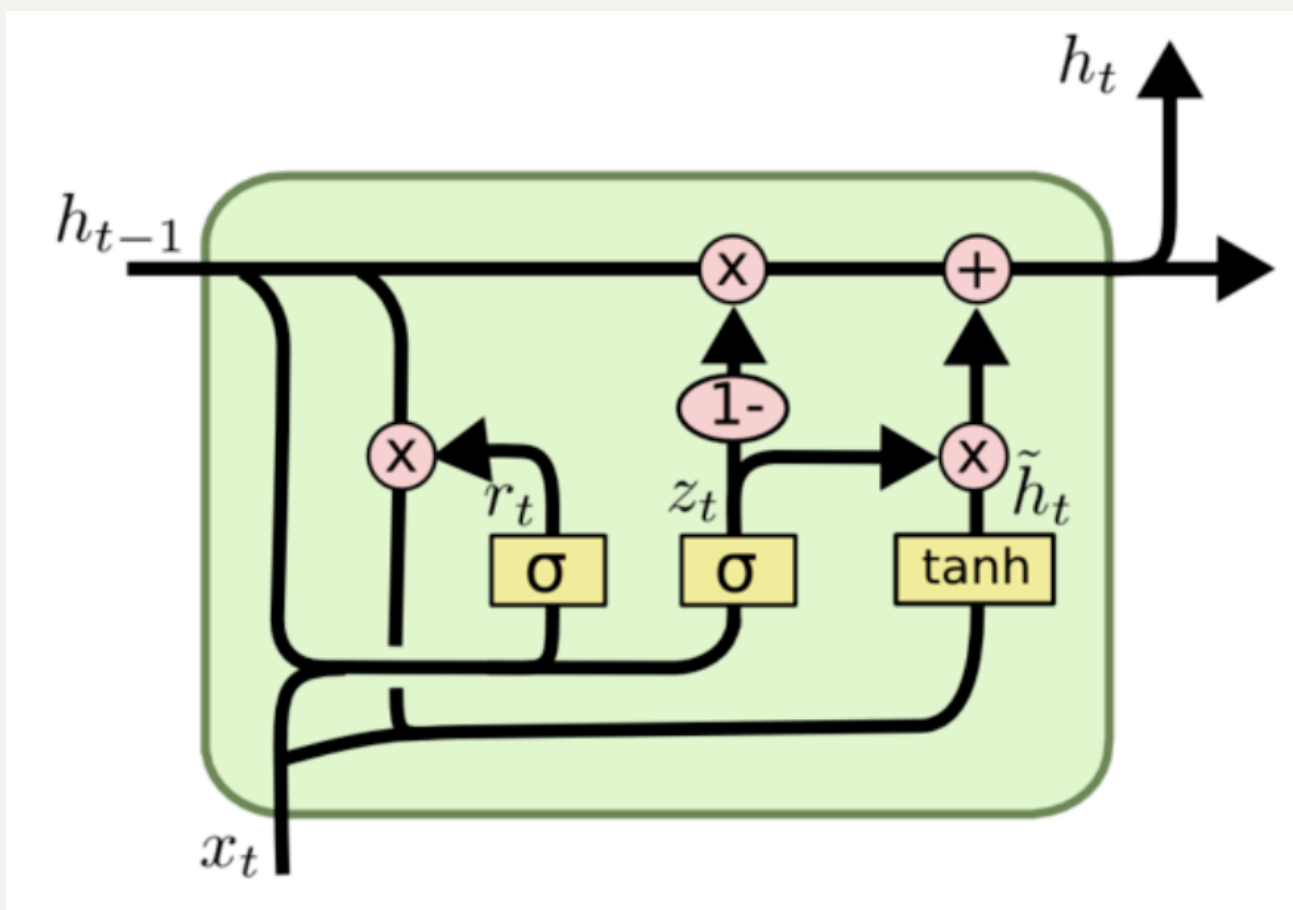
$$o_t = \sigma(W_o [h_{t-1}, x_t] + b_o)$$

$$h_t = o_t * \tanh(C_t)$$

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## Gated Recurrent Unit (GRU)

— A simplified LSTM cell, only have two gate: update gate( $z_t$ ) and reset gate( $r_t$ )



### Update gate:

1. controlling how much information from the last state will be carried

on in this state.

2. the larger the value of  $z_t$  is, the more information is carried on
3. useful to capture the long-term memory

### Reset gate:

1. combining the forget gate and input gate
2. controlling how much information from the last state will be discarded
3. the smaller the value of  $r_t$  is, the more information is discarded
4. useful to capture the short-term memory

### GRU Forward feed

- reset gate:  $r_t = \text{sigmoid}(W_r * [h_{t-1}, x_t] + b_r)$
- update gate:  $z_t = \text{sigmoid}(W_z * [h_{t-1}, x_t] + b_z)$
- candidate hidden state at current time step:  
 $\tilde{h}_t = \tanh(W_{\tilde{h}} * [r_t * h_{t-1}, x_t] + b_h)$
- hidden state at current time step:  $h_t = z_t * h_{t-1} + (1 - z_t) * \tilde{h}_t$
- $y_t = \text{sigmoid}(W_o * h_t)$

### GRU BPTT: Learning Parameter

$$W_r = W_{rx} + W_{rh}$$

$$W_z = W_{zx} + W_{zh}$$

$$W_{\tilde{h}} = W_{\tilde{h}x} + W_{\tilde{h}h}$$

Input for the output layer:  $y_t^i = W_o h$

Output for the output layer:  $y_t^o = \text{sigmoid}(y_t^i)$

The MSE loss at the t time step:  $E_t = \frac{1}{2}(y_d - y_t^o)^2$ , total:  $E = \sum_{t=1}^T E_t$

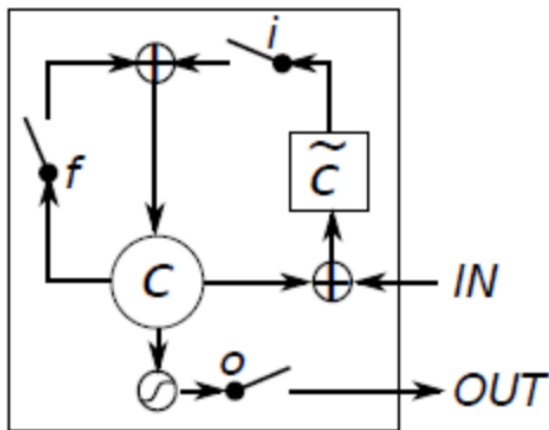
The error  $\delta$ :  $\frac{\alpha E}{\alpha W_o} = \delta_{y_t} h_t$

$W_r$  :  $\frac{\alpha E}{\alpha W_{zx}} = \delta_{z_t} x_t$  ,  $\frac{\alpha E}{\alpha W_{zh}} = \delta_{z_t} h_{t-1}$

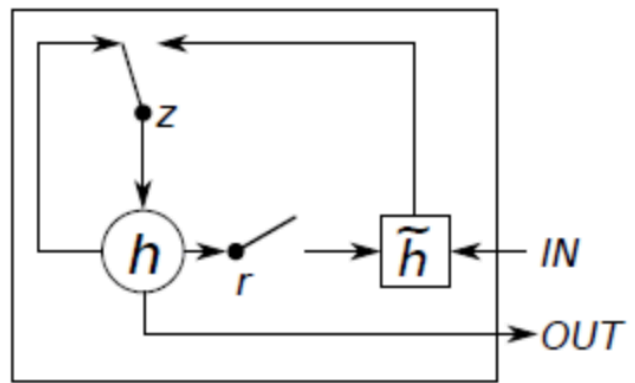
$W_z$  :  $\frac{\alpha E}{\alpha W_{hx}} = \delta_t x_t$ ,  $\frac{\alpha E}{\alpha W_{hh}} = \delta_t(r_t, h_{t-1})$

$W_{\tilde{h}}$  :  $\frac{\alpha E}{\alpha W_{rx}} = \delta_{r_t} x_t$ ,  $\frac{\alpha E}{\alpha W_{\tilde{h}h}} = \delta_{r_t} h_{t-1}$

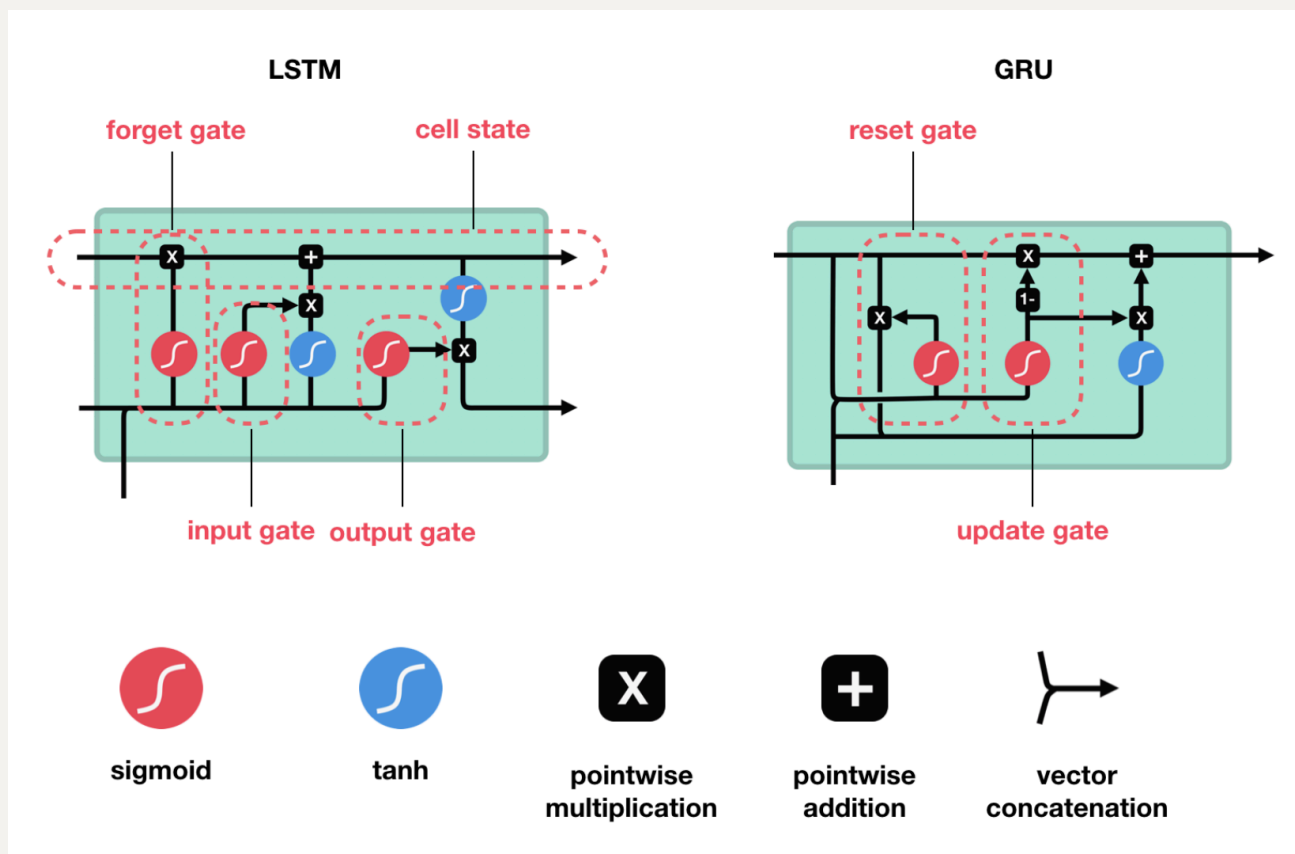
## Comparison (LSTM vs GRU)



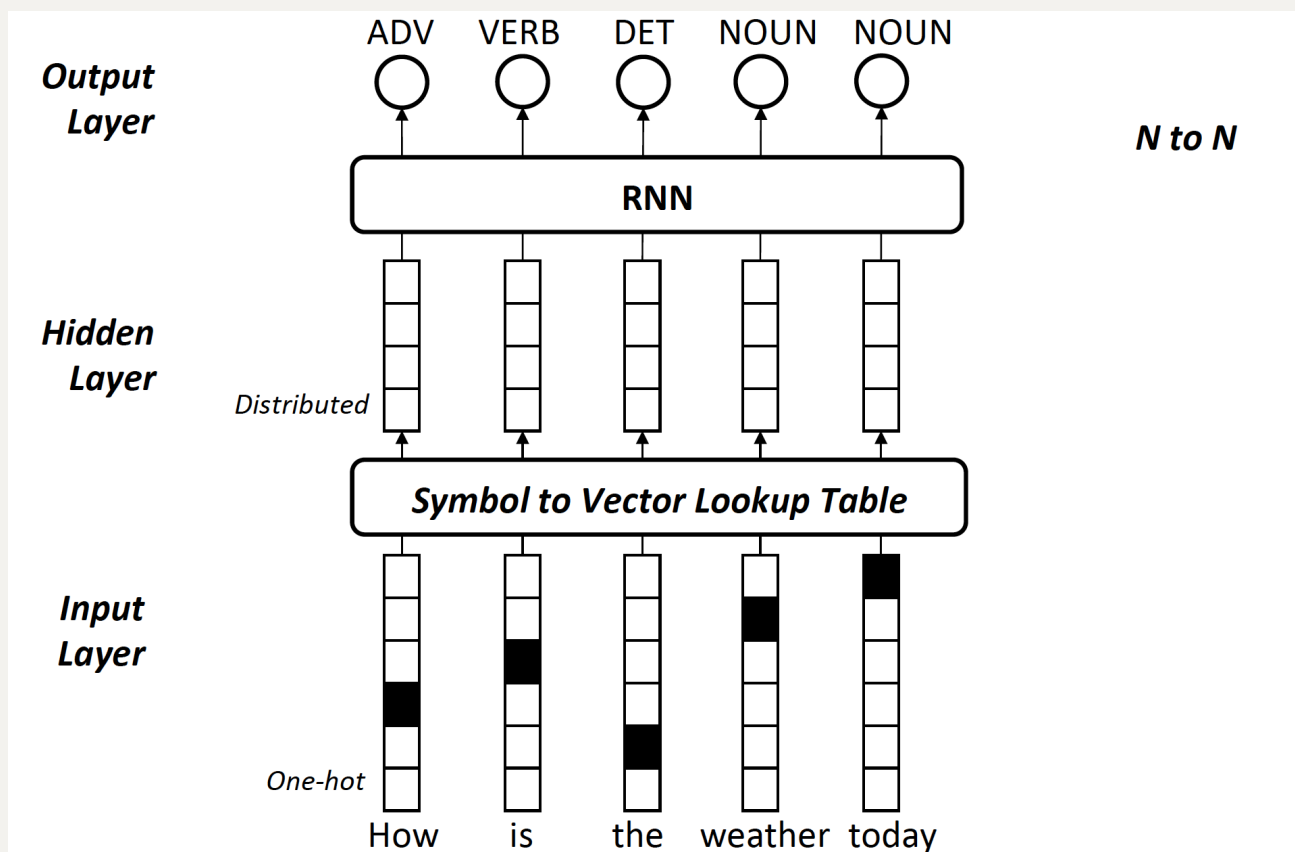
(a) Long Short-Term Memory



(b) Gated Recurrent Unit



## Application: POS Tagging



# Seq2Seq Encoding and Decoding

