

# COMP5046 Natural Language Processing

Lecture 3: Word Classification and Machine Learning  
Semester 1, 2019  
School of Computer Science  
The University of Sydney, Australia



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## 0 LECTURE PLAN

### Lecture 3: Word Classification and Machine Learning

1. Word Embedding Review
2. Word Embedding Evaluation
3. Classification (Machine Learning)
4. Deep Learning for Natural Language Processing
  1. Perceptron and Neural Network (NN)
  2. Multilayer Perceptron
  3. Applications
5. Next Week Preview
 

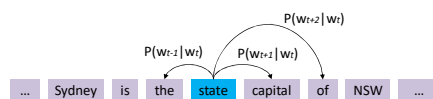
See how the Deep Learning can be used for NLP

  - Text Classification, etc.

## 1 Word2Vec

### Word2Vec

Sentence: "Sydney is the state capital of NSW"



## 1 Word2Vec

### Word2Vec

Sentence: "Sydney is the state capital of NSW"

Using window sliding, develop the training data

Center word	Context ("outside") word
[1,0,0,0,0,0,0]	[0,1,0,0,0,0,0], [0,0,1,0,0,0,0]
[0,1,0,0,0,0,0]	[1,0,0,0,0,0,0], [0,0,1,0,0,0,0]
[0,0,1,0,0,0,0]	[1,0,0,0,0,0,0], [0,1,0,0,0,0,0], [0,0,0,1,0,0,0], [0,0,0,0,1,0,0]
[0,0,0,1,0,0,0]	[1,0,0,0,0,0,0], [0,1,0,0,0,0,0], [0,0,0,1,0,0,0], [0,0,0,0,1,0,0]
[0,0,0,0,1,0,0]	[1,0,0,0,0,0,0], [0,1,0,0,0,0,0], [0,0,0,1,0,0,0], [0,0,0,0,1,0,0]
[0,0,0,0,0,1,0]	[1,0,0,0,0,0,0], [0,1,0,0,0,0,0], [0,0,0,1,0,0,0]
[0,0,0,0,0,0,1]	[1,0,0,0,0,0,0], [0,1,0,0,0,0,0]

Center word  
Context ("outside") word

## 1 Word2Vec

### Word2Vec Models

**CBOW**

Predict center word  
from (bag of) context words

**Skip-gram**

Predict context words  
given center word

## 1 Word2Vec: Demo

I want to eat

✓  
apple

✓  
orange

✗  
milk

✗  
water

<https://ronxin.github.io/wevi/>

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See how the Deep Learning can be used for NLP

  - Text Classification, etc.

## 2 Word Embedding Evaluation

### How to evaluate word vectors?

Type	How to work / Benefit
Intrinsic	Evaluation on a specific/intermediate subtask
	<ul style="list-style-type: none"> <li>Fast to compute</li> <li>Helps to understand that system</li> <li>Not clear if really helpful unless correlation to real task is established</li> </ul>
Extrinsic	Evaluation on a real task
	<ul style="list-style-type: none"> <li>Can take a long time to compute accuracy</li> <li>Unclear if the subsystem is the problem or its interaction or other subsystems</li> </ul>

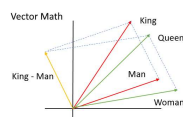
## 2 Word Embedding Evaluation

### Intrinsic word vector evaluation

Word Vector Analogies

$a \leftrightarrow b :: c \leftrightarrow ???$   
 $man \leftrightarrow women :: king \leftrightarrow ???$

- Evaluate word vectors by how well their cosine distance after addition captures intuitive semantic and syntactic analogy questions



## 2 Word Embedding Evaluation

### Intrinsic word vector evaluation

Word Vector Analogies

King - Man + Woman = ?

No	Dataset	Type	Result
1		word2vec CBOW	President
2	TED Script	word2vec Skip-gram	Luther
3		fastText CBOW	Kidding
4		fastText Skip-gram	Jarring
5	Google News	word2vec CBOW	queen
6		word2vec Skip-gram	queen

## 2 Word Embedding Evaluation

### Intrinsic word vector evaluation

How the word embedding can include meaning



## 2 Word Embedding Evaluation

### Intrinsic word vector evaluation

Evaluation Result Comparison

The Semantic-Syntactic word relationship tests for understanding of a wide variety of relationships as shown below. Using 640-dimensional word vectors, a skip-gram trained model achieved 55% semantic accuracy and 59% syntactic accuracy.

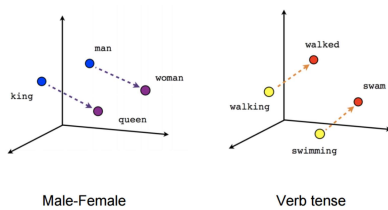
Table 3: Comparison of architectures using models trained on the same data, with 640-dimensional word vectors. The accuracies are reported on our Semantic-Syntactic Word Relationship test set, and on the syntactic relationship test set of [20].

Model Architecture	Semantic-Syntactic Word Relationship test set		MSR Word Relatedness Test Set [20]
	Semantic Accuracy [%]	Syntactic Accuracy [%]	
RNNLM	9	36	35
NNLM	23	53	47
CBOW	24	64	61
Skip-gram	55	59	56

## 2 Word Embedding Evaluation

### Intrinsic word vector evaluation

Word Vector Visualisation



## 0 LECTURE PLAN

### Lecture 3: Word Classification and Machine Learning

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3. **Classification (Machine Learning)**
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See how the Deep Learning can be used for NLP

  - Text Classification, etc.

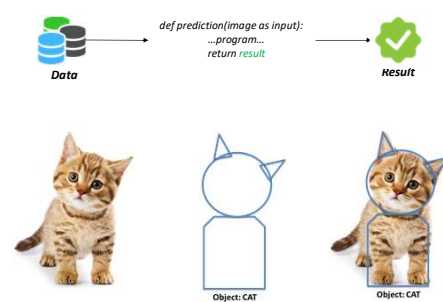
## 3 Classification (Machine Learning)

How to classify this?



## 3 Classification (Machine Learning)

Computer System



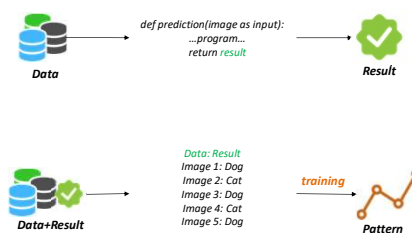
### 3 Classification (Machine Learning)

#### Machine learning?



### 3 Classification (Machine Learning)

#### Computer System VS Machine Learning



### 0 LECTURE PLAN

#### Lecture 3: Word Embeddings and Representation

1. Word Embedding Review
2. Word Embedding Evaluation
3. Classification (Machine Learning)
4. **Deep Learning for Natural Language Processing**
  1. Perceptron and Neural Network (NN)
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5. Next Week Preview
  - See how the Deep Learning can be used for NLP
  - Text Classification, etc.

### 3 Idea of Classification

#### Classification for Image Processing and NLP



#### Data and Result for Natural Language Processing

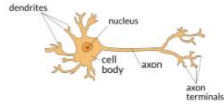
$x_i$	Inputs	words (indices or vectors!), context windows, sentences, documents, etc.
$y_i$	label	What we try to predict <ul style="list-style-type: none"> <li>Class: word meaning, sentiment, name entity</li> </ul>

4 Deep Learning for NLP

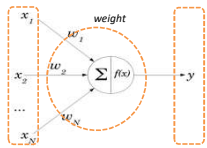
Deep Learning with Neural Network

Neuron and Perceptron

**Neuron**



**Perceptron**



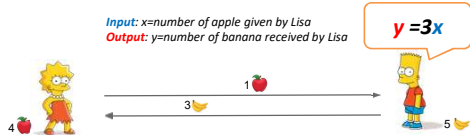
4 Deep Learning for NLP

Deep Learning with Neural Network

A Neuron: Function, Parameter, Cost, Optimiser, and Gradient

**Input:**  $x$ =number of apple given by Lisa  
**Output:**  $y$ =number of banana received by Lisa

$y = 3x$



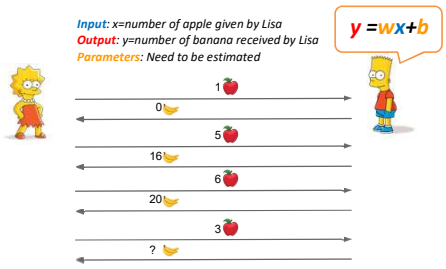
4 Deep Learning for NLP

Deep Learning with Neural Network

A Neuron: Function, Parameter, Cost, Optimiser, and Gradient

**Input:**  $x$ =number of apple given by Lisa  
**Output:**  $y$ =number of banana received by Lisa  
**Parameters:** Need to be estimated

$y = wx + b$



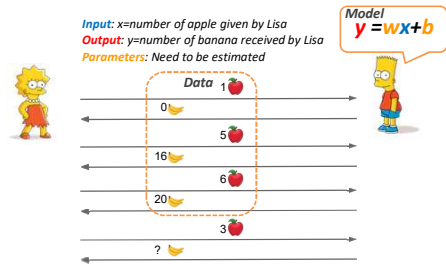
4 Deep Learning for NLP

Deep Learning with Neural Network

A Neuron: Function, Parameter, Cost, Optimiser, and Gradient

**Input:**  $x$ =number of apple given by Lisa  
**Output:**  $y$ =number of banana received by Lisa  
**Parameters:** Need to be estimated

**Model**  
 $y = wx + b$



## 4 Deep Learning for NLP

## Deep Learning with Neural Network

## A Neuron: **Function**, Parameter, Cost, Optimiser, and Gradient

**Input:**  $x$ =number of apple given by Lisa  
**Output:**  $y$ =number of banana received by Lisa  
**Parameters:** Need to be estimated

**Model**  
 $y = wx + b$

<i>Data</i>	
$x$	$y$
1	0
5	16
6	20



## 4 Deep Learning for NLP

## Deep Learning with Neural Network

## A Neuron: Function, **Parameter**, Cost, Optimiser, and Gradient

$x$	$\hat{y}$
1	0
5	16
6	20

Model

$$y = wx + b$$

How can we find the parameters,  $w$  and  $b$ ?

## 4 Deep Learning for NLP

## Deep Learning with Neural Network

## A Neuron: Function, Parameter, Cost, Optimiser, and Gradient

x	y
1	0
5	16
6	20

Model

$$y = wx + b$$

 Which one is better?

Model Ex#1  
 $w=1, b=0$

$$y = 1x + 0$$

x	$\hat{y}$	y
1	0	1
5	16	5
6	20	6

Model Ex#2  
 $w=2, b=2$

$$y = 2x + 2$$

x	$\hat{y}$	y
1	0	4
5	16	12
6	20	14

## 4 Deep Learning for NLP

## Deep Learning with Neural Network

## A Neuron: Function, Parameter, **Cost**, Optimiser, and Gradient

<u>Data</u>		
n	x	y
0	1	0
1	5	16
2	6	20

Model

$$y_n = wx_n + b$$

$$\text{Model} \quad y_n = wx_n + b \quad \text{Cost} \quad C(w, b) = \sum_{n \in \{0, 1, 2\}} \text{Square Loss} (y_n - \hat{y}_n)$$

**Model Ex#1**  
**w=1, b=0**

$$y = 1x + 0$$

x	$\hat{y}$	y
1	0	1
5	16	5
6	20	6

Model Ex#2  
 $w=2, b=2$

$$y = 2x + 2$$

x	$\hat{y}$	y
1	0	4
5	16	12
6	20	14

**4 Deep Learning for NLP**

**Deep Learning with Neural Network**

A Neuron: Function, Parameter, Cost, Optimiser, and Gradient

**Data**

n	x	y
0	1	0
1	5	16
2	6	20

**Model**  
 $y_n = wx_n + b$

**Cost**  
 $C(w, b) = \sum_{n \in \{0, 1, 2\}} (y_n - \hat{y}_n)^2$

**Square Loss**

**Model Ex#1**  
 $w=1, b=0$   
 $y = 1x + 0$

n	x	y	$\hat{y}$	$(y - \hat{y})^2$
0	1	0	1	1
1	5	16	5	121
2	6	20	6	196

$C(1, 0) = 318$

**Model Ex#2**  
 $w=2, b=2$   
 $y = 2x + 2$

n	x	y	$\hat{y}$	$(y - \hat{y})^2$
0	1	0	4	16
1	5	16	12	16
2	6	20	14	36

$C(2, 2) = 68$

**4 Deep Learning for NLP**

**Deep Learning with Neural Network**

A Neuron: Function, Parameter, Cost, Optimiser, and Gradient

**Data**

n	x	y
0	1	0
1	5	16
2	6	20

**Model**  
 $y_n = wx_n + b$

**Cost**  
 $C(w, b) = \sum_{n \in \{0, 1, 2\}} (y_n - \hat{y}_n)^2$

**Square Loss**

**Model Ex#1**  
 $w=1, b=0$   
 $y = 1x + 0$

n	x	y	$\hat{y}$	$(y - \hat{y})^2$
0	1	0	1	1
1	5	16	5	121
2	6	20	6	196

$C(1, 0) = 318$

**Model Ex#2**  
 $w=2, b=2$   
 $y = 2x + 2$

n	x	y	$\hat{y}$	$(y - \hat{y})^2$
0	1	0	4	16
1	5	16	12	16
2	6	20	14	36

$C(2, 2) = 68$

**4 Deep Learning for NLP**

**Deep Learning with Neural Network**

A Neuron: Function, Parameter, Cost, Optimiser, and Gradient

**Data**

n	x	y
0	1	0
1	5	16
2	6	20

**Model**  
 $y_n = wx_n + b$

**Cost**  
 $C(w, b) = \sum_{n \in \{0, 1, 2\}} (y_n - \hat{y}_n)^2$

**Square Loss**

**Optimiser**  
 $\arg \min_{w, b \in [-\infty, \infty]} C(w, b)$

**4 Deep Learning for NLP**

**Deep Learning with Neural Network**

A Neuron: Function, Parameter, Cost, Optimiser, and Gradient

**Data**

n	x	y
0	1	0
1	5	16
2	6	20

**Model**  
 $y_n = wx_n + b$

**Cost**  
 $C(w, b) = \sum_{n \in \{0, 1, 2\}} (y_n - \hat{y}_n)^2$

**Square Loss**

**Optimiser**  
 $\arg \min_{w, b \in [-\infty, \infty]} C(w, b)$

$\arg \min C(w, b)$   
 $w, b \in [-\infty, \infty]$   
 $w, b_0 = 2, 2 : C(w, b_0) = 68$



#### 4 Deep Learning for NLP

##### Deep Learning with Neural Network

A Neuron: Function, Parameter, Cost, Optimiser, and Gradient

n	x	y
0	1	0
1	5	16
2	6	20

**Model**  
 $y_n = wx_n + b$

**Cost**  
 $C(w, b) = \sum_{n \in \{0, 1, 2\}} (y_n - \hat{y}_n)^2$

**Square Loss**  
 $\arg \min_{w, b \in [-\infty, \infty]} C(w, b)$

**Optimiser**  
 $\arg \min_{w, b \in [-\infty, \infty]} C(w, b)$

$\arg \min C(w, b)$   
 $w, b \in [-\infty, \infty]$   
 $w, b = 2, 2 : C(w, b) = 68$   
 $w, b = 3, 2 : C(w, b) = ??$

n	x	$\hat{y}$	y	(y- $\hat{y}$ )
0	1	0	5	25
1	5	16	17	1
2	6	20	20	0

$C(3, 2) = 26$

#### 4 Deep Learning for NLP

##### Deep Learning with Neural Network

A Neuron: Function, Parameter, Cost, Optimiser, and Gradient

n	x	y
0	1	0
1	5	16
2	6	20

**Model**  
 $y_n = wx_n + b$

**Cost**  
 $C(w, b) = \sum_{n \in \{0, 1, 2\}} (y_n - \hat{y}_n)^2$

**Square Loss**  
 $\arg \min_{w, b \in [-\infty, \infty]} C(w, b)$

**Optimiser**  
 $\arg \min_{w, b \in [-\infty, \infty]} C(w, b)$

$\arg \min C(w, b)$   
 $w, b \in [-\infty, \infty]$   
 $w, b = 3, 2 : C(w, b) = 26$   
 $w, b = 3, 0 : C(w, b) = 13$

n	x	$\hat{y}$	y	(y- $\hat{y}$ )
0	1	0	3	9
1	5	16	15	1
2	6	20	18	4

$C(3, 0) = 13$

#### 4 Deep Learning for NLP

##### Deep Learning with Neural Network

A Neuron: Function, Parameter, Cost, Optimiser, and Gradient

n	x	y
0	1	0
1	5	16
2	6	20

**Model**  
 $y_n = wx_n + b$

**Cost**  
 $C(w, b) = \sum_{n \in \{0, 1, 2\}} (y_n - \hat{y}_n)^2$

**Square Loss**  
 $\arg \min_{w, b \in [-\infty, \infty]} C(w, b)$

**Optimiser**  
 $\arg \min_{w, b \in [-\infty, \infty]} C(w, b)$

$\arg \min C(w, b)$   
 $w, b \in [-\infty, \infty]$   
 $w, b = 4, -4 : C(w, b) = 0$

$y = 4x - 4$

#### 4 Deep Learning for NLP

##### Deep Learning with Neural Network

A Neuron: Function, Parameter, Cost, Optimiser, and Gradient

**Input:** x=number of apple given by Lisa  
**Output:** y=number of banana received by Lisa  
**Parameters:** Need to be estimated


**Model**  
 $y = 4x - 4$

x	y
1	0
5	16
6	20

#### 4 Deep Learning for NLP

##### Deep Learning with Neural Network

A Neuron: Function, Parameter, Cost, Optimiser, and Gradient


$$y = \overset{\text{pixel}(1,1)}{w_1}x_1 + \overset{\text{pixel}(1,2)}{w_2}x_2 + w_3x_3 + w_4x_4 + \dots + w_nx_n + b$$


*Millions of Parameters*  
*Millions of Samples*

#### 4 Deep Learning for NLP

##### Deep Learning with Neural Network

A Neuron: Function, Parameter, Cost, Optimiser, and Gradient

$$y = \overset{\text{vector1}}{w_1}x_1 + \overset{\text{vector2}}{w_2}x_2 + w_3x_3 + w_4x_4 + \dots + w_nx_n + b$$


*Millions of Parameters*  
*Millions of Samples*

#### 4 Deep Learning for NLP

##### Deep Learning with Neural Network

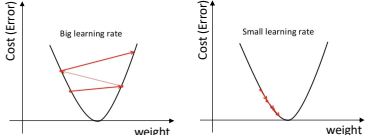
A Neuron: Function, Parameter, Cost, Optimiser, and Gradient

Data		
n	x	y
0	1	0
1	5	16
2	6	20

**Model**  
 $y_n = wx_n + b$

**Cost**  
 $C(w, b) = \sum_{n \in \{0, 1, 2\}} (y_n - \hat{y}_n)^2$  (Square Loss)

**Optimiser**  
 $\arg \min_{w, b \in \{-\infty, \infty\}} C(w, b)$



$\text{new\_weight} = \text{existing\_weight} - \text{learning\_rate} * \text{gradient}$

#### 4 Deep Learning for NLP

##### Deep Learning with Neural Network

Parameters vs Hyper-Parameters

- Parameters:
  - Parameters are the tunable components of the model.
  - Learnt from training data.
  - Typical examples: probabilities, feature weights
- Hyper-parameters:
  - Variables that control how parameters are learnt.
  - Chosen a priori (or tuned using held-out data).
  - Typical examples: model size (depth, complexity), learning rate

#### 4 Deep Learning for NLP

##### Deep Learning with Neural Network

A Neuron: Function, Parameter, Cost, Optimiser, and Gradient

Data		
n	x	y
0	1	0
1	5	16
2	6	20

**Model**  
 $y_n = wx_n + b$

**Cost**  
 $C(w, b) = \sum_{n \in \{0, 1, 2\}} (y_n - \hat{y}_n)^2$  (Square Loss)

**Optimiser**  
 $\arg \min_{w, b \in \{-\infty, \infty\}} C(w, b)$

**System**  
 $y = 4x - 4$

#### 4 Deep Learning for NLP

##### Deep Learning with Neural Network

A Neuron: Function, Parameter, Cost, Optimiser, and Gradient

**Input:** x=number of apple given by Lisa  
**Output:** y=number of banana received by Lisa  
**Parameters:** Need to be estimated

There is a limit of bananas I can give you

Data		
x (Apples)	y (Bananas)	
0	0	
5	16	
6	20	
3	?	

#### 4 Deep Learning for NLP

##### Deep Learning with Neural Network

Nonlinear Neural Network

Data		
x	y	
1	0	
5	16	
6	20	

$y = 4x - 4$

#### 4 Deep Learning for NLP

##### Deep Learning with Neural Network

Nonlinear Neural Network

Data		
n	x	y
0	1	0
1	5	16
2	6	20
3	9	20
4	11	20

$y = 2x + 3$

Underfitting Issue

4 Deep Learning for NLP

Deep Learning with Neural Network

Nonlinear Neural Network

n	x	y
0	1	0
1	5	16
2	6	20
3	9	20
4	11	20

How to make this possible?

A: Use different linear functions depending on the value of  $x$ ?

$$y = (w_1x + b_1)s_1 + (w_2x + b_2)s_2$$

4 Deep Learning for NLP

Deep Learning with Neural Network

Nonlinear Neural Network

n	x	y
0	1	0
1	5	16
2	6	20
3	9	20
4	11	20

How to make this possible?

A: Use different linear functions depending on the value of  $x$ ?

$$y = (w_1x + b_1)s_1 + (w_2x + b_2)s_2$$

$s_1 = 1$  if  $x < 6$  and 0 otherwise  
 $s_2 = 1$  if  $x \geq 6$  and 0 otherwise

4 Deep Learning for NLP

Deep Learning with Neural Network

Nonlinear Neural Network

$$s = o(wx + b)$$

$$o(t) = \frac{1}{1 + e^{-t}}$$

4 Deep Learning for NLP

Deep Learning with Neural Network

Nonlinear Neural Network

n	x	y
0	1	0
1	5	16
2	6	20
3	9	20
4	11	20

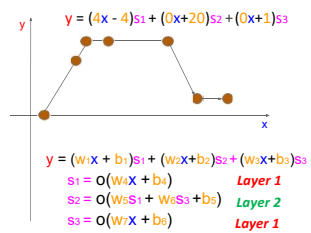
$$y = (4x - 4)s_1 + (0x + 20)s_2$$

## 4 Deep Learning for NLP

## Deep Learning with Neural Network

Multilayer Perceptron

Data		
n	x	y
0	1	0
1	5	16
2	6	20
3	9	20
4	11	20
5	15	1
6	19	1



## 4 Deep Learning for NLP

## Deep Learning with Neural Network

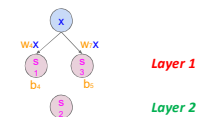
Multilayer Perceptron

$$y = (w_1x + b_1)s_1 + (w_2x + b_2)s_2 + (w_3x + b_3)s_3$$

$$s_1 = O(w_4x + b_4)$$

$$s_2 = O(w_5s_1 + w_6s_3 + b_5)$$

$$s_3 = O(w_7x + b_6)$$



## 4 Deep Learning for NLP

## Deep Learning with Neural Network

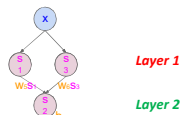
Multilayer Perceptron

$$y = (w_1x + b_1)s_1 + (w_2x + b_2)s_2 + (w_3x + b_3)s_3$$

$$s_1 = O(w_4x + b_4)$$

$$s_2 = O(w_5s_1 + w_6s_3 + b_5)$$

$$s_3 = O(w_7x + b_6)$$



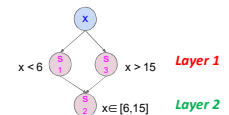
## 4 Deep Learning for NLP

## Deep Learning with Neural Network

Multilayer Perceptron

$$y = (w_1x + b_1)s_1 + (w_2x + b_2)s_2 + (w_3x + b_3)s_3$$

n	x	y
0	1	0
1	5	16
2	6	20
3	9	20
4	11	20
5	15	1
6	19	1



#### 4 Deep Learning for NLP

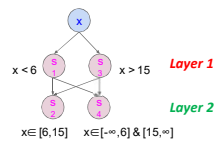


##### Deep Learning with Neural Network

Multilayer Perceptron

$$y = (w_1x + b_1)s_1 + (w_2x + b_2)s_2 + (w_3x + b_3)s_3$$

n	x	y
0	1	0
1	5	16
2	6	20
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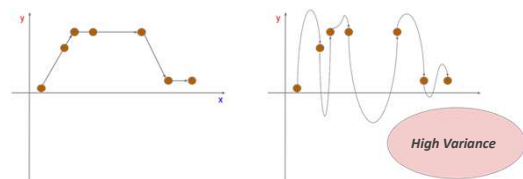
#### 4 Deep Learning for NLP



##### Deep Learning with Neural Network

Multilayer Perceptron

$$y = (w_1x + b_1)s_1 + (w_2x + b_2)s_2 + (w_3x + b_3)s_3$$



#### 4 Deep Learning for NLP

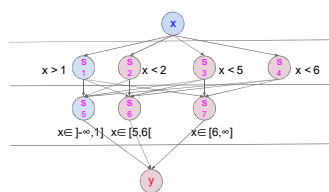


##### Deep Learning with Neural Network

Multilayer Perceptron

Data

n	x	y
0	1	0
1	5	16
2	6	20



#### 4 Deep Learning for NLP

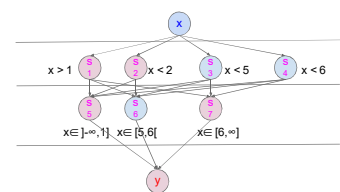


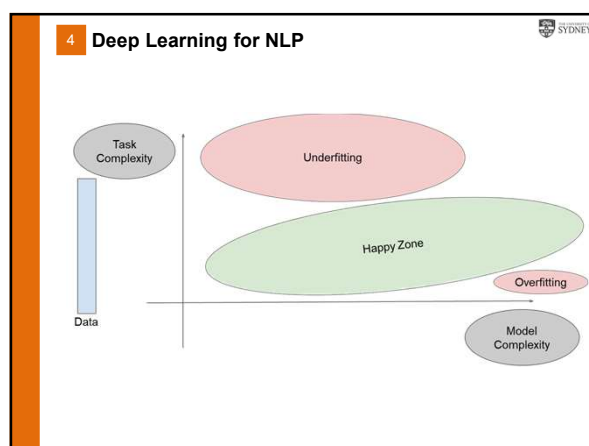
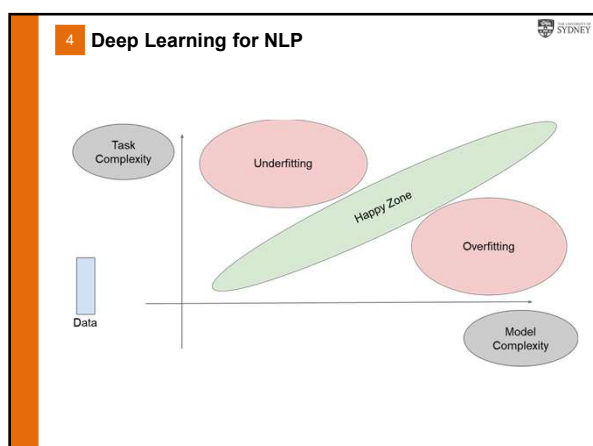
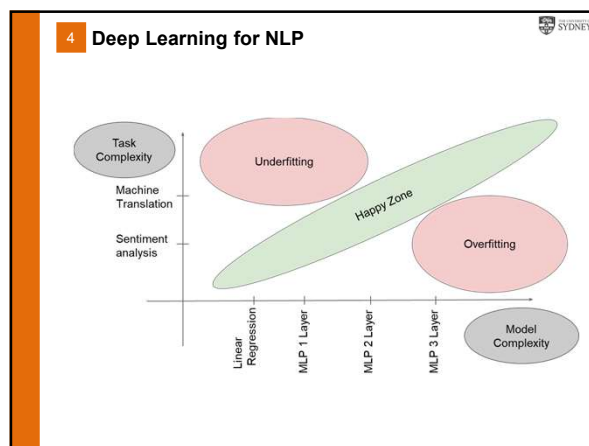
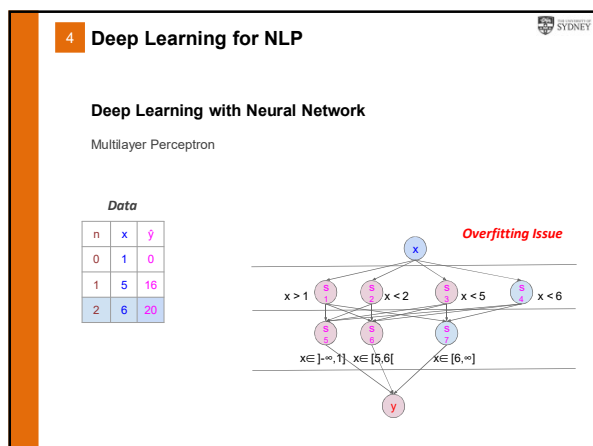
##### Deep Learning with Neural Network

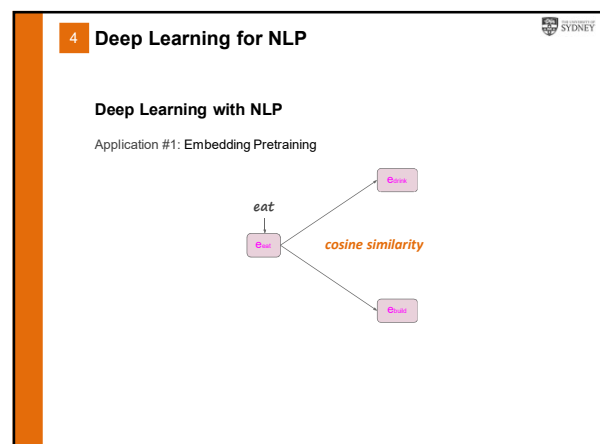
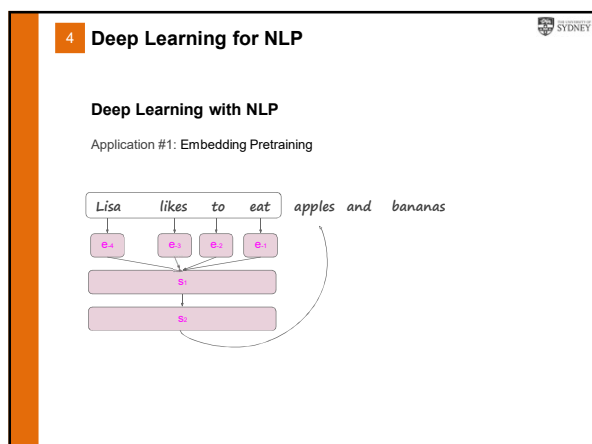
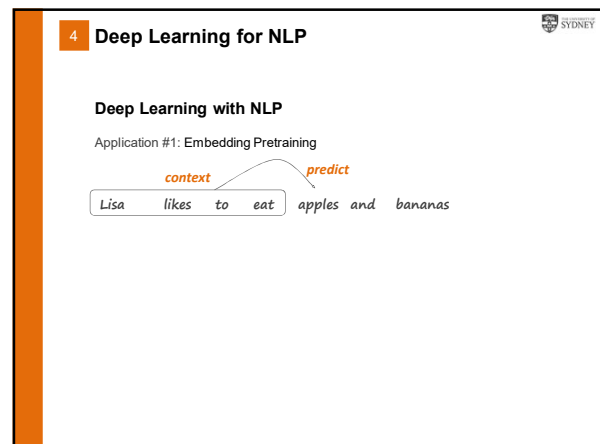
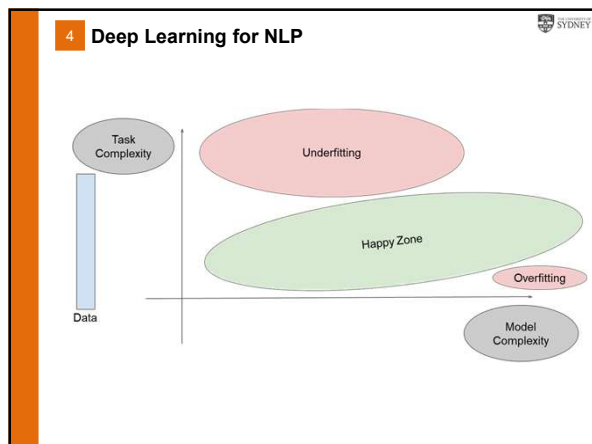
Multilayer Perceptron

Data

n	x	y
0	1	0
1	5	16
2	6	20





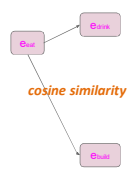




**4 Deep Learning for NLP**

**Deep Learning with NLP**

Application #1: Embedding Pretraining



cosine similarity

[http://bionlp-www.utu.fi/wv\\_demo/](http://bionlp-www.utu.fi/wv_demo/)

**4 Deep Learning for NLP**

**Deep Learning with NLP**

Application #2: Window-based Tagging

Lisa likes to eat apples and bananas

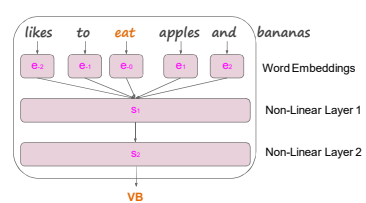
NNP VBZ TO VB NNS CC NNS

**4 Deep Learning for NLP**

**Deep Learning with NLP**

Application #2: Window-based Tagging

Lisa likes to eat apples and bananas



Word Embeddings

Non-Linear Layer 1

Non-Linear Layer 2

VB

**4 Deep Learning for NLP**

**Deep Learning with NLP**

Application #3: Translation Rescoring

Lisa gosta de comer Macas e bananas source

Bart does to eat coconuts and bananas translation#1

Lisa likes to eat apples and bananas translation#2

Lisa dislikes to drink apples and bananas translation#3



#### 4 Deep Learning for NLP

Deep Learning with NLP

Application #3: Translation Rescoring

*Lisa gosta de comer Macas e bananas* source

*Bart does to eat coconuts and bananas* 0.00003

*Lisa likes to eat apples and bananas* 0.000378

*Lisa dislikes to drink apples and bananas* 0.00012

#### / Reference

Reference for this lecture

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