

# Lecture 5 Chatbot and Language Fundamental

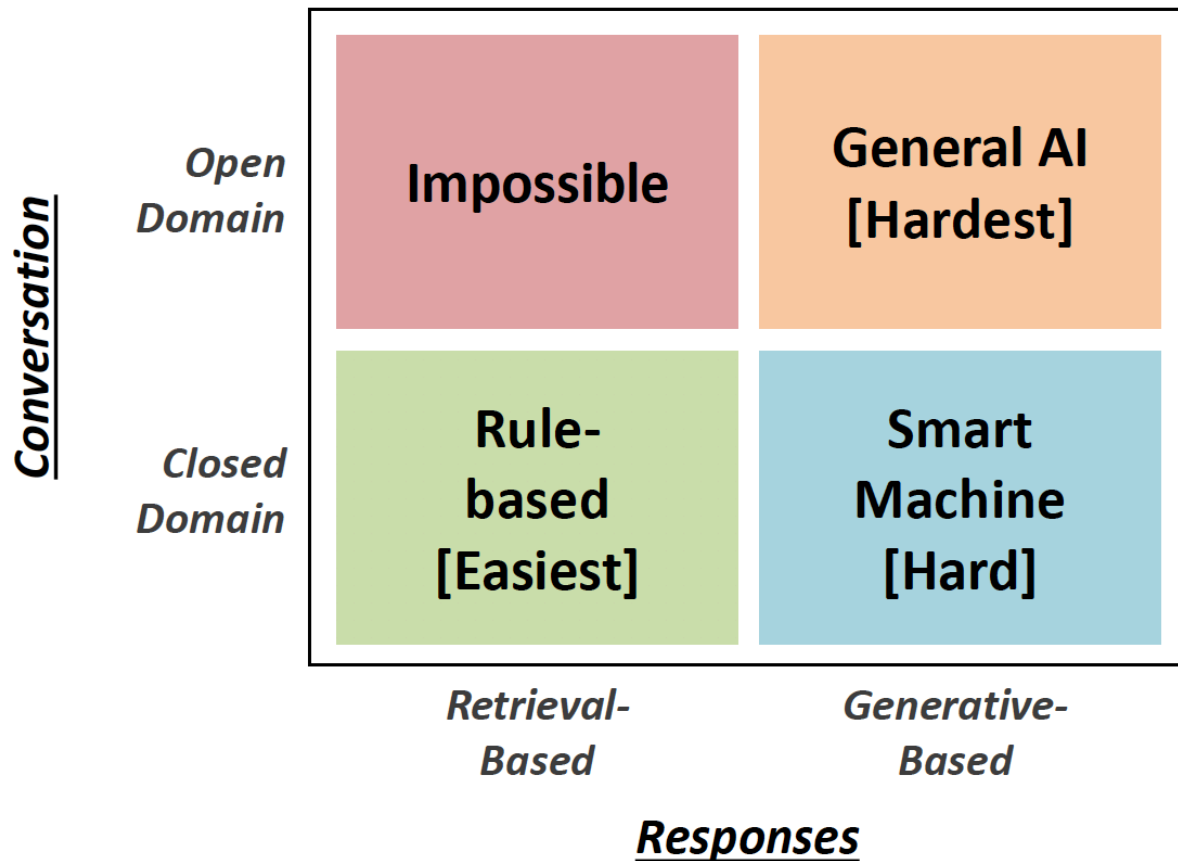
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## Conversational Agent

### *Concepts*

- a software program
- interprets and respond the statement made by user in the natural language
- integrates computational linguistics techniques with communication over the internet

## ***Conversation Agent Framework***



### ***Goal-oriented Conversational Agent***

For a particular task, utilizing short conversations to get information from the user to help complete



#### ***Frame-based Approach***

1. Based on a "domain ontology"
  - a knowledge structure representing user intentions
2. One or more frame
  - Each collection has a set of slots
  - Each slot having a value

- Each set of slots, to be filled with information of a given typer
- Each type is associated with a question to the user

<i>Slot</i>	<i>Type</i>	<i>Question</i>
<i>ORIGIN</i>	<i>city</i>	<i>What city are you leaving from?</i>
<i>DEST</i>	<i>city</i>	<i>Where are you going?</i>
<i>DEPT DATE</i>	<i>date</i>	<i>What day would you like to leave?</i>
<i>DEPT TIME</i>	<i>time</i>	<i>What time would you like to leave?</i>
<i>AIRLINE</i>	<i>line</i>	<i>What is your preferred airline?</i>

1. Dialoge is structured in a sequence of predetermined utterance
2. Attributes
  - a. system completely controls the conversation with the user
  - b. It asks the user a series of questions
  - c. ignore (misinterpreting) anything the user says that is not a direct answer to the system's questions
3. Dialogue Intiative: System/single initiative

	<ul style="list-style-type: none"> <li>• <i>Simple to build</i></li> <li>• <i>User always knows what they can say next</i></li> <li>• <i>System always knows what user can say next</i></li> <li>• <i>Good for Very Simple tasks (entering a credit card, booking a flight)</i></li> </ul>
	<ul style="list-style-type: none"> <li>• <i>Too limited: does not generate any new text, they just pick a response from a fixed set</i></li> <li>• <i>A lot of hard coded rules have to be written so not much intelligent</i></li> </ul>

4. Initiative issue: handlling mutiple answers in one sentence to all questions
5. Solution: Mixed initiative

- Use the structure of the frame to guide dialogue
- system ask questions of user, filling any slots that user specifies
- When frame is filled, do database query
- if user answers 3 questions at once, system can fill 3 slots and not ask these questions again
- **Approach:** "Frame and slot semantics", to represent meaning of sentences

## ***Condition-action rules Approach***

1. Based on "active ontology"  
— relational network of concepts
2. Data structures: concepts with relation  
e.g. a meeting—>(a date, a location, a topic, a list of attendees)
3. Rule(condition +action): sets that perform actions for concepts  
e.g. convert date to string
4. Improvement: ML (require lots of labelled data)  
given a set of *labelled* sentences, build a classifier to map from one to the author(words —> semantics frame-fillers)

## ***Chatbots(Chat-oriented conversational agent)***

For handling full conversations, mimicking the unstructured flow of a human-to-human conversation

## ***Rule-based Approach***

### **1. Pattern-Action Rules(Eliza)**

- pattern matching
- very basic reconstruction rules
- some programmed responses to special keywords
- randomisation to avoid getting stuck in a rut
- when all fails, some stock responses

### **2. Pattern-Action Rules + A mental model(Parry)**

- same pattern-rule structure as Eliza
- analysis the personal attributes with hand-written rules

## ***Corpus-based (/w large chat corpus)***

### **1. Information retrieval (IR) based**

- Mine conversation of human or human-machine chats

— with large corpus (Twitter, movie dialogue etc.)

1. Return the response to the most similar turn

- Take user's turn (q) find a similar (TF-idf) turn (t) in the corpus
- Grab whatever the responses was to t:

$$r = response(\operatorname{argmax}_{t \in C} \frac{q^T t}{\|q\| \|t\|})$$

2. Return the most similar turn:

$$r = \operatorname{argmax}_{t \in C} \frac{q^T t}{\|q\| \|t\|}$$

3. fine to use other features, e.g user features, prior turns, non-dialogue text

## 2. DNN

— Think of response generation as a task of transducing from the user's prior turn to the system's turn

— Train on: Movie Dialogues, Twitter Conversation

— Train DNN: map from user 1 turn to user 2 response



- *Simple to build*
- *User always knows what they can say next*
- *System always knows what user can say next*
- *Good for Very Simple tasks (entering a credit card, booking a flight)*



- *Too limited: does not generate any new text, they just pick a response from a fixed set*
- *A lot of hard coded rules have to be written so not much intelligent*

# Summary

## Goal-oriented Conversational Agent:

- Ontology + hand-written rules for slot fillers
- Machine learning classifiers to fill slots

## Chatbots:

- Simple rule-based systems
- IR-based: mine datasets of conversations.
- Neural net models with more data

## The future...

- Need to acquire that data
- Integrate goal-based and chatbot-based systems

# Language Fundamental

## 1. Phonology/Morphology

- Composed of a prefix , an affix
- The structure of words

## 2. Sytax

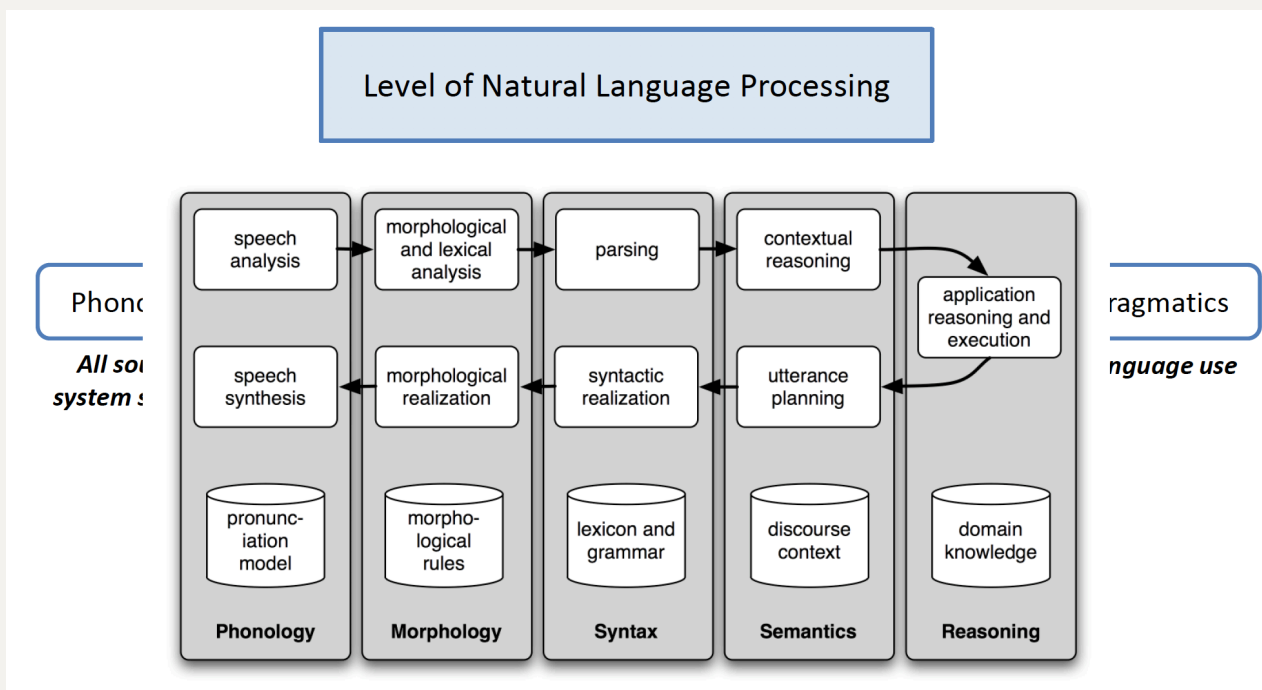
- The way words are used to form phrases

## 3. Semantics

- Compositional semantics: the construction of meaning based on syntax
- Lexical semantics: the meaning of individual words

## 4. Pragmatics

- Meaning in context



- Pieces of sounds: in or not in the language? How sounds can combine?

—> **Phonology**

- Meaning: the context of the utterance

—> **Semantics and Pragmatics**

- Pieces of words : bases, roots and affixes. how words are formed or marked via other processes?

—> **Morphology**



- The order of words in the sentence: How words combine?  
How words go in relation to another  
—> *Syntax*
- Words and morphemes: mental dictionary  
—> *Lexicon*

## Text Preprocessing

### Normalization

- Need to 'Normalize' terms
  - IR: indexed convex & query terms must have same form  
e.g. U.S.A=USA
- Implicitly define equivalence classes of terms
  - e.g. deleting periods in a term
- Alternative: asymmetric expansion
- powerful but less efficient

### Case Folding

- Application like IR: lower case all letters
- for sentiment analysis, machine translation and information extraction
  - case is helpful (US v.s us)

# Lemmatization

- Reduce inflections or variant forms to base form
  - e.g that's ->that is; is, are,am ->be
- Have to find correct dictionary headword form
- ML

# Morphology

- Morphemes : small meaningful units that make up words
  - Stems: core meaning-bearing units
  - Affixes: bits and pieces that adhere to stems
  - often with grammatical functions

# Stemming

- Reduce terms to their stems in IR
- Stemming is crude chopping of affixes

# Sentence Segmentation

- Identifying relatively unambiguous
  - e.g !,?
- Identifying ambiguous
  - e.g "." for abbreviation,numbers,sentence boundary

- Build a binary classifier (Decision Tree)
  - looks at a sentence boundary "."
  - decides EndOfSentence/BegOfSentence
  - classifiers: hand-written rules, regular expressions, ML

## Regular Expression

- Fixing two types of error
  - Type I (False Positives): matching cases that we should not have matched
  - Type II (False Negatives): not matching cases that we should have matched
- Reducing error
  - Increasing accuracy and precision (minimizing FP)
  - Increasing coverage and recall (minimising FN)

## Summary

1. RE
  - Main tool for text preprocessing
  - sophisticated sequences
2. ML (hard task)
  - RE could be used as features
  - better for generalisations

