

Homework 4 - Report

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Implementation

We implemented algorithm described in the paper “On Spectral Clustering: Analysis and an algorithm” by the following steps.

- Given a set of points $S = s_1, \dots, s_n$ that we want to cluster into k subsets and form A .

We used `networkx` package to read and draw graphs and converted them into `np.array` data type.

The results of visualisation regarding dataset 1 and dataset 2 are shown below.

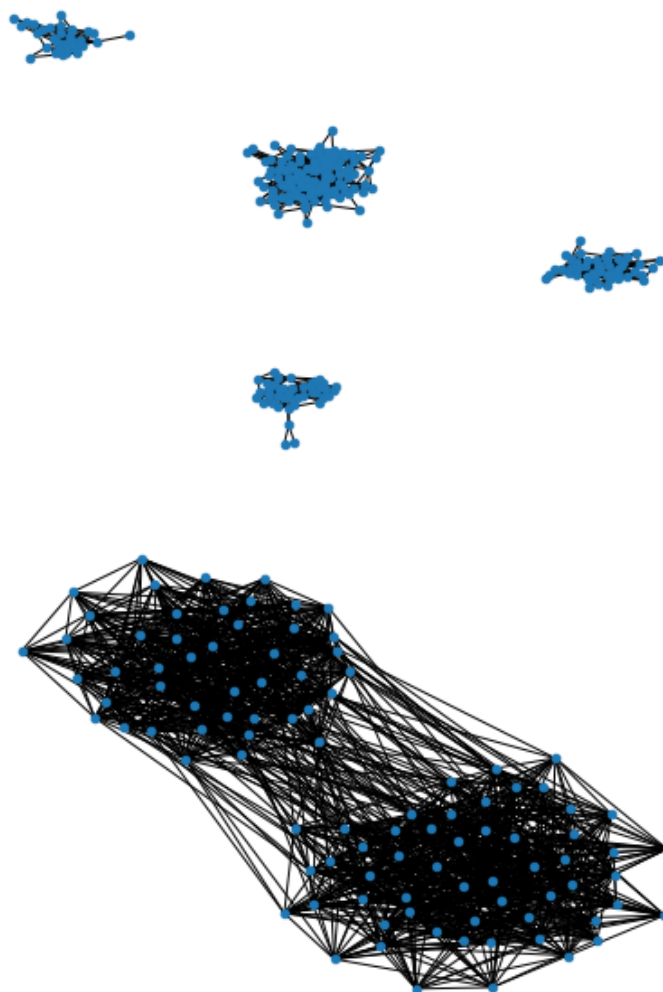


Fig.1 - Dataset 1 and 2.

Therefore, we can for the affinity matrix (adjacent matrix) A .

```
1 | A = nx.to_numpy_array(graph)
```

- Define D and L .

D is a diagonal matrix generated by making a sum of each line in A .

$$D = \begin{pmatrix} \sum_{j=1}^n a_{1j} & 0 & 0 & 0 & 0 & 0 \\ 0 & \sum_{j=1}^n a_{2j} & & & & \\ 0 & & \ddots & & & \\ 0 & & & \ddots & & \\ 0 & & & & \ddots & \\ 0 & & & & & \sum_{j=1}^n a_{nj} \end{pmatrix} \quad (1)$$

```
1 | D = np.diagflat(np.sum(A, axis=1))
```

$$L = D^{-1/2} A D^{-1/2}$$

$$X^{-1/2} = (X^{1/2})^{-1}$$

```
1 | D_inv = np.linalg.inv(np.sqrt(D))
2 | L = D_inv @ A @ D_inv
```

- Calculate the k largest eigenvectors and form X .

```
1 | w, v = np.linalg.eigh(L)
```

```
1 | X = v[:, -k:]
```

- How to find k .

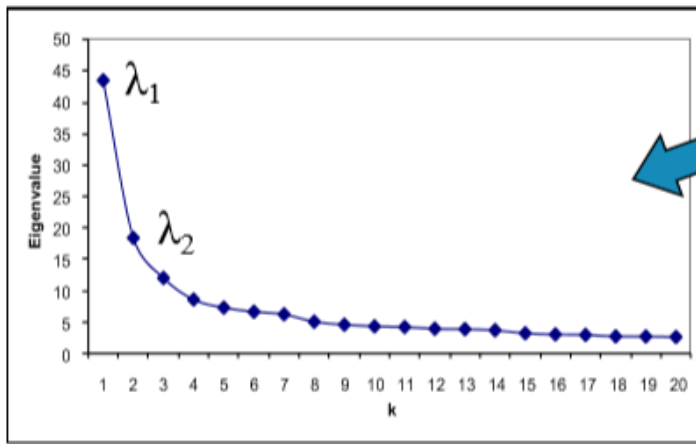


Fig.2 - Diff.

$$\max \Delta_k = |\lambda_2 - \lambda_1|$$

⇒ **Choose**
k = 2

```
1 | np.argmax(np.abs(np.ediff1d(w[:-1])))
```

`np.ediff1d` is used to calculate the differences between consecutive elements.

```
1 | np.array([1, 2, 4, 7, 0]) => [1, 2, 3, -7]
```

- Normalise Y .

$$Y_{ij} = X_{ij} / (\sum_j X_{ij}^2)^{1/2}$$

```
1 | # Default: Frobenius Norm
2 | Y = X / np.linalg.norm(X, axis=1, keepdims=True)
```

- Use K-means to cluster them.

```
1 | res = KMeans(n_clusters=k).fit(Y).labels_
```

- Fiedler Value.

the second-smallest eigenvalue of the Laplacian matrix L .

$$L = D - A$$

```
1 | values, vectors = np.linalg.eig(D-A)
```

Plot results are shown below.

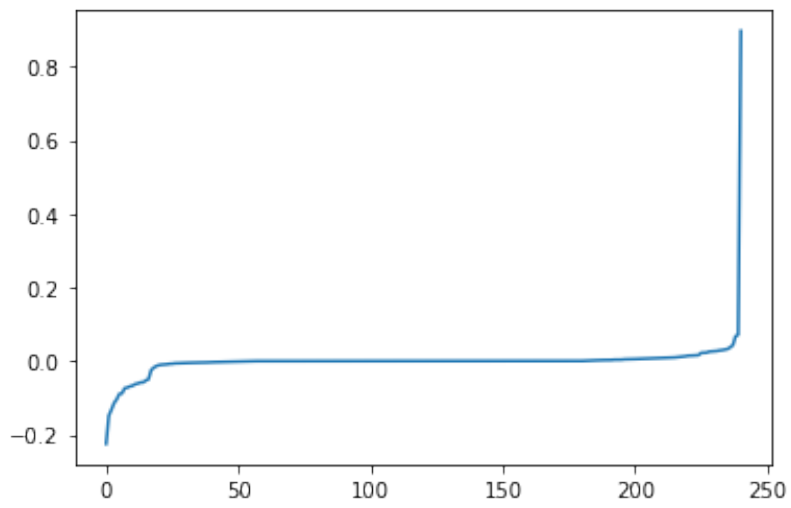
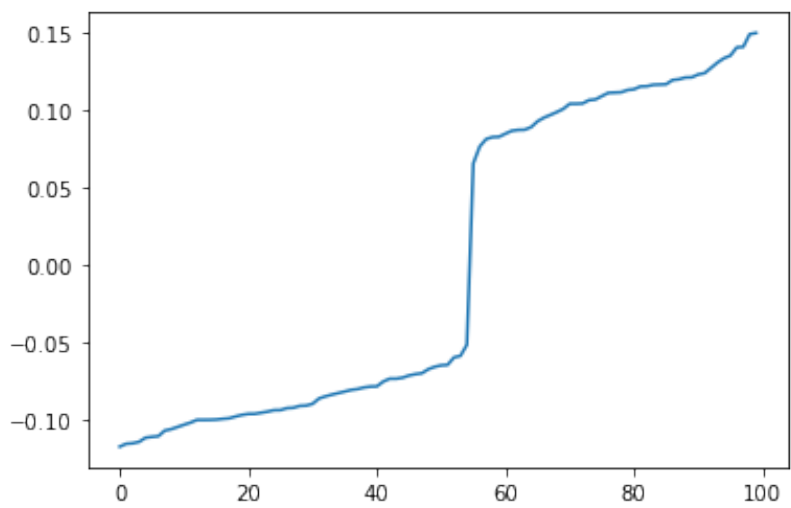


Fig.3 - Clustering Result 1.



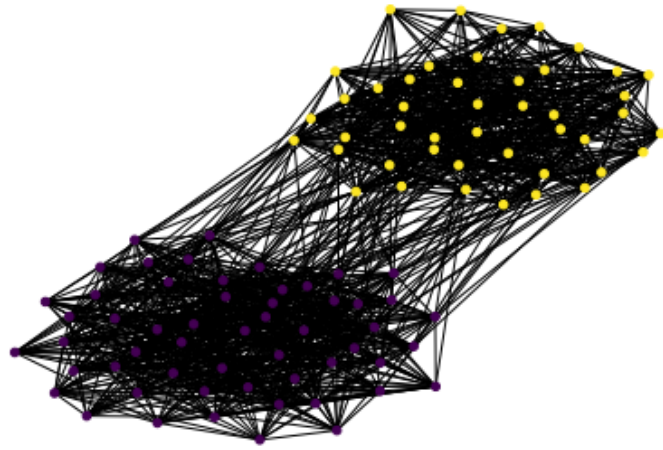


Fig.4 - Clustering Result 2.