Storj Protocol Specification

Version 2 (May 23, 2017)

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0 License

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1 Introduction

This specification documents the Storj network protocol in its entirety for the purpose of enabling its implementation in other languages. Described here, is the protocol **base** - the minimum specification for compatibility with the Storj network. Additional optional extensions to this work are defined as Storj Improvement Proposals (or "SIPs"), some of which have been folded into the base protocol since Version 1, such as SIP-4 and SIP-32.

2 Identities

Every node (host computer speaking the Storj protocol) on the network possesses a unique cryptographic identity. This identity is used to derive a special 160 bit identifier for the purpose of organizaing the overlay structure and routing messages (3.1: Kademlia). In order for a node to join the network it must generate an identity.

Identities are described as **hierarchically deterministic** and serve the purpose of running a cluster of nodes that can all share the same contracts and act on behalf of each other in the network. The specification extends Bitcoin ECDSA derivation standard BIP32 and BIP43.

Key derivation must match the specification of Bitcoin Hierarchical Deterministic Wallets (BIP32) with the purpose field described in Bitcoin Purpose Field for Deterministic Wallets (BIP43).

We define the following levels in BIP32 path:

```
m / purpose' / group_index' / node_index
```

The apostrophe in the path indicates that BIP32 hardened derivation is used. Purpose is a constant set to 3000, so as to not collide with any bitcoin related proposals which recommends to use the BIP number.

```
m / 3000' / group_index' / node_index
```

The <code>group_index</code> for most purposes will be 0. However is reserved for a future use to be able to increment in the case that the contracts should be updated with a new key. The <code>node_index</code> can be a number from 0 through 2 ^ 31 - 1, so that it's using a non-hardened paths and it's always possible to derive the public key for a node using the <code>m / 3000' / group_index'</code> derived extended public key. This gives a total of 2.147 billion possible nodes to run in a group cluster.

As noted in BIP32, a compromised private key at the node_index level in combination with the extended public key at the group_index level will compromise all descending private keys derived from the group_index level, this is the rationale for a hardened path for the group_index.

In every message exchanged on the network, each party will include a tuple structure which includes enough information to locate and authenticate each party.

```
["<node_id>", { /* <contact> */ }]
```

2.1 Node ID Generation

Once a HD identity has been generated, a child identity should be derived and used for a single node. The resulting public key from that child identity is used to derive the Node ID. The node's identifier is the RIPEMD160(SHA256(CHILD_PUBLIC_KEY)) encoded in hexidecimal. This value is inserted as the first item in the identity tuple.

```
["705e93f855e60847fda4c48adff0dc1b1f7c40ef", { /* <contact> */ }]
```

2.2 Contact Hash Map

The second entry in the identity tuple contains additional information specific to addressing the node on the network. This includes:

```
{
  "hostname": "ip.address.or.domain.name",
  "port": 8443,
  "protocol": "https:",
  "xpub": "<child_identity_public_extended_key>",
```

```
"index": "<child_identity_derivation_index>"
}
```

Additional properties may be included based on individual use cases within the network, however the properties above are **required**.

3 Network Structure

Storj employs a **structured** network, meaning that nodes are organized and route messages based on a deterministic metric. The network uses a Kademlia distributed hash table as the basis for the network overlay. In addition to Kademlia, Storj also employs other extensions to mitigate issues and attacks defined by the work on S/Kademlia.

In addition to the distributed hash table, Storj also implements a publishsubscribe system, Quasar, atop the Kademlia overlay to provide effective delivery of publications related to the solicitation of storage space (6: Storage Contracts).

3.1 Kademlia

Once a Storj node has completed generating its identity, it bootstraps its routing table by following the Kademlia "join" procedure. This involves querying a single known "seed" node for contact information about other nodes that possess a Node ID that is close (XOR distance) to its own (4.4 FIND_NODE). This is done iteratively, sending the same query to the ALPHA (3) results that are closest, until the further queries no longer yield results that are closer or the routing table is sufficiently bootstrapped.

3.2 Quasar

Upon successfully bootstrapping a routing table, a node may choose to subscribe to certain publication topics related to types of storage contracts they wish to accept (6.2 Topic Codes). Each node in the network, maintains an attenuated bloom filter, meaning a **list** of exactly 3 bloom filters, each containing the the topics in which neighboring nodes are interested.

```
Filter 0 [...] - WE are subscribed

Filter 1 [...] - 3 NEAREST NEIGHBORS are subscribed

Filter 2 [...] - NEIGHBORS' 3 NEAREST NEIGHBORS' are subscribed
```

The Storj network expects these blooms filters to be constructed and modified in a specific manner. Each filter's bitfield must be exactly 160 bits in size. Items are hashed with FNV in a manner consistent with the paper "Less Hashing, Same Performance: Building a Better Bloom Filter" using 2 slices. To illustrate the hashing in pseudo-code:

```
function calc(key, size = 160, slices = 2) {
  function fnv(seed, data) {
    const hash = new FNV();

    hash.update(seed);
    hash.update(data);

  return hash.value() >>> 0;
}

const hash1 = fnv(Buffer.from([83]), key);
  const hash2 = fnv(Buffer.from([87]), key);
  const hashes = [];

for (let i = 0; i < slices; i++) {
    hashes.push((hash1 + i * hash2) % size);
  }

return hashes;
}</pre>
```

The above example illustrates how to calculate the values to insert into a bloom filter for a given key (or topic code). To subscribe to a given topic, the code(s) should be processed as shown above and then inserted into the filter at index 0. Once the filter at index 0 represents what the node wants to receive, it must exchange this information with its 3 nearest neighbors $(4.7\ SUBSCRIBE + 4.8\ UPDATE)$. This allows publications to be properly relayed to nodes who are most likely to be subscribed to the given topic.

3.3 Transport

The Storj network operates entirely over HTTPS. TLS *must* be used - there is no clear text supported. In general this means that certificates are self-signed and you must accept them in order to communicate with others on the network. Because of this, it is recommended that certificate pinning be used when implementing the Storj protocol.

It's important to note that while it may be possible for a man-in-the-middle to intercept RPC messages if certificate pinning is not used, it is not possible for this attacker to manipulate messages. Furthermore, data transfer is encrypted such that only the sender is capable of decrypting it. The most damage this type of attack could cause is targeted denial-of-service.

Each Storj node exposes 2 endpoints to other nodes; one for receiving RPC messages (4. Remote Procedure Calls) and the other for serving and accept-

ing raw data streams associated with held contracts (5. Data Transfer Endpoints). Requests sent to the RPC endpoint require a special HTTP header x-kad-message-id to be included that matches the id parameter in the associated RPC message (4.1 Structure and Authentication).

4 Remote Procedure Calls

Method: POSTPath: /rpc/

 $\bullet \ \ Content \ Type: \ {\tt application/json}$

• Headers: x-kad-message-id

4.1 Structure and Authentication

Each remote procedure call sent and received between nodes is composed in the same structure. Messages are formatted as a JSON-RPC 2.0 batch payload containing 3 objects. These objects are positional, so ordering matters. The anatomy of a message takes the form of:

```
[{ /* rpc */ },{ /* notification */ },{ /* notification */ }]
```

At position 0 is the RPC request/response object, which must follow the JSON-RPC specification for such an object. It must contain the properties: jsonrpc, id, method, and params if it is a request. It must contain the properties: jsonrpc, id, and one of result or error if it is a response.

At positions 1 and 2 are a JSON-RPC notification object, meaning that it is not required to contain an id property since no response is required. These two notifications always assert methods IDENTIFY and AUTHENTICATE respectively. Together, these objects provide the recipient with information regarding the identity and addressing information of the sender as well as a cryptographic signature to authenticate the payload.

Positions 3 and beyond in this structure are reserved for future protocol extensions related to global message processing.

Example: Request

```
"jsonrpc": "2.0",
    "method": "IDENTIFY",
    "params": [
      "<public_key_hash>",
        "hostname": "sender.hostname",
        "port": 8443,
        "protocol": "https:",
        "xpub": "<public_extended_key>",
        "index": "<child_key_derivation_index>"
    ]
 },
    "jsonrpc": "2.0",
    "method": "AUTHENTICATE",
    "params": [
      "<payload_signature>",
      "<child_public_key>",
      ["<public_extended_key>", "<child_key_derivation_index>"]
    ]
 }
]
Example: Response
{
    "jsonrpc": "2.0",
    "id": "<uuid_version_4_from_request>",
    "result": ["<result_one>", "<result_two>"]
 },
    "jsonrpc": "2.0",
    "method": "IDENTIFY",
    "params": [
      "<public_key_hash>",
      {
        "hostname": "receiver.hostname",
        "port": 8443,
        "protocol": "https:",
        "xpub": "<public_extended_key>",
        "index": "<child_key_derivation_index>"
      }
    ]
```

```
},
{
    "jsonrpc": "2.0",
    "method": "AUTHENTICATE",
    "params": [
        "<payload_signature>",
        "<child_public_key>",
        ["<public_extended_key>", "<child_key_derivation_index>"]
    ]
}
```

In the examples above, public_key_hash and child_public_key must be encoded as hexidecimal strings, public_extended_key must be encoded as a base58 string (in accordance with BIP32), and payload_signature must be encoded as a base64 string which is the concatenation of the public key recovery number with the actual signature of the payload - excluding the object at index 2 (AUTHENTICATE). This means that the message to be signed is [rpc, identify].

Note the exclusion of a timestamp or incrementing nonce in the payload means that a man-in-the-middle could carry out a replay attack. To combat this, it is urged that the id parameter of the RPC message (which is a universally unique identifier) be stored for a reasonable period of time and nodes should reject messages that attempt to use a duplicate UUID.

The rest of this section describes each individual method in the base protocol and defines the parameter and result signatures that are expected. If any RPC message yields an error, then an error property including code and message should be send in place of the result property.

4.2 PROBE

Upon receipt of a PROBE message, the node must attempt to send a PING message to the originator using the declared contact information. If successful, it must respond positively, otherwise error. Used for joining nodes to verify they are publicly addressable.

Parameters: [] Results: []

4.3 PING

This RPC involves one node sending a PING message to another, which presumably replies. This has a two-fold effect: the recipient of the PING must update

the bucket corresponding to the sender; and, if there is a reply, the sender must update the bucket appropriate to the recipient.

Parameters: []
Results: []

4.4 FIND NODE

Basic kademlia lookup operation that builds a set of K contacts closest to the the given key. The FIND_NODE RPC includes a 160-bit key. The recipient of the RPC returns up to K contacts that it knows to be closest to the key. The recipient must return K contacts if at all possible. It may only return fewer than K if it is returning all of the contacts that it has knowledge of.

Parameters: [key_160_hex]

Results: [contact_0, contact_1, ...contactN]

4.5 FIND_VALUE

Kademlia search operation that is conducted as a node lookup and builds a list of K closest contacts. If at any time during the lookup the value is returned, the search is abandoned. If no value is found, the K closest contacts are returned. Upon success, we must store the value at the nearest node seen during the search that did not return the value.

A FIND_VALUE RPC includes a B=160-bit key. If a corresponding value is present on the recipient, the associated data is returned. Otherwise the RPC is equivalent to a FIND_NODE and a set of K contacts is returned.

If a value is returned, it must be in the form of an object with properties: timestamp as a UNIX timestamp in milliseconds, publisher as a 160 bit public key hash in hexidecimal of the original publisher, and value which may be of mixed type that is valid JSON.

Parameters: [key_160_hex]

Results: { timestamp, publisher, value } or [...contactN]

$4.6 \; {\tt STORE}$

The sender of the STORE RPC provides a key and a block of data and requires that the recipient store the data and make it available for later retrieval by that key .

Parameters: [key_160_hex, { timestamp, publisher, value }] Results: [key 160 hex, { timestamp, publisher, value }]

4.7 SUBSCRIBE

Upon receipt of a SUBSCRIBE message, we simply respond with a serialized version of our attenuated bloom filter. Senders of this message must merge the response with their local attenuated bloom filter starting at their filter at index 1.

```
Parameters: []
Results: [filter 0 hex, filter 1 hex, filter 2 hex]
```

4.8 UPDATE

Upon receipt of an UPDATE message we merge the delivered attenuated bloom filter with our own. This is the inverse of SUBSCRIBE, where a peer requests a copy of our attenuated bloom filter.

```
Parameters: [filter_0_hex, filter_1_hex, filter_2_hex] Results: []
```

4.9 PUBLISH

Upon receipt of a PUBLISH message, we validate it, then check if we or our neighbors are subscribed. If we are subscribed, we execute our handler. If our neighbors are subscribed, we relay the publication to ALPHA random of the closest K. If our neighbors are not subscribed, we relay the publication to a random contact.

The parameters for a PUBLISH message are named, not positional. It must be a JSON object containing: uuid, a version 4 UUID string, topic, the topic string to which a node may be subscribed, publishers, an array of 160 bit public key hash strings in hexidecimal representing nodes that have relayed the message previously, ttl the number of hops left for relaying the publication, and contents, any arbitrary valid JSON data associated with the publication.

Before relaying the message to others, we must add our public key hash to the publishers list and decrement the ttl.

```
Parameters: { uuid, topic, publishers, ttl, contents } Results: []
```

4.10 OFFER

Upon receipt of an OFFER message, nodes must validate the descriptor, then ensure that the referenced shard is awaiting allocation(s). If both checks succeed, then the descriptor is added to the appropriate offer processing stream. Once the descriptor is processed, we respond back to the originator with the final

copy of the contract (6.1 Descriptor Schema). These messages are generally sent based on information collected when subscribed to renter contract publications.

Parameters: [descriptor_map]
Results: [descriptor_map]

4.11 CLAIM

Upon receipt of an CLAIM message, nodes must validate the descriptor, then ensure that there is enough available space for the shard. If both checks succeed, then the descriptor is signed and returned along with a consignment token so the initiating renter can immediately upload the data. This call is the functional inverse of OFFER, as it is used for a renter to signal to a farmer that it wishes to rent capcacity. These messages are generally sent based on information collected when subscribed to farmer capacity publications.

Parameters: [descriptor_map]

Results: [descriptor_map, token_256_hex]

4.11 CONSIGN

Upon receipt of a CONSIGN message, the node must verify that it has a valid storage allocation and contract for the supplied hash and identity of the originator. If so, it must generate an authorization token which will be checked by the shard server before accepting the transfer of the associated shard.

Parameters: [hash_160_hex] Results: [token_256_hex]

4.12 AUDIT

Upon receipt of an AUDIT message, the node must look up the contract that is associated with each hash-challenge pair in the payload, prepend the challenge to the shard data, and caclulate the resulting hash, formatted as a compact proof (7 Retrievability Proofs).

Parameters: [...{ hash, challenge }]
Results: [...{ hash, proof }]

4.13 MIRROR

Upon receipt of a MIRROR message, the node must verify that it is in possesion of the shard on behalf of the identity or the message originator. If so, given the token-hash pair, it must attempt to upload it's copy of the shard to the target to establish a mirror. The originator must have an established contract with

the target node and have issued a CONSIGN message to the target in advance to provide the MIRROR recipient with this token.

In addition to the hash and token, the sender must also include the target contact data in the form of [public_key_hash, { hostname, port, xpub, index, protocol }].

Parameters: [hash_160_hex, token_256_hex, target_contact]

Results: [status_message_string]

4.14 RETRIEVE

Upon receipt of a RETRIEVE message, the node must verify that it is in possession of the shard on behalf of the identity of the originator. If so, it must generate an authorization token which will be checked by the shard server before accepting the transfer of the associated shard.

Parameters: [hash_160_hex] Results: [token 256 hex]

4.15 RENEW

Upon receipt of a RENEW message, the recipient farmer must extend or terminate it's contract based on the new terms supplied by the renter. If the renewal descriptor is valid and complete, the farmer must store the updated version after signing and respond back to the originator with the version containing the updated signature (6.1 Descriptor Schema).

Implementations should only allow certain properties to be updated: renter_id, renter_hd_key, renter_signature, store_begin, store_end, audit_leaves. If the sender has attempted to modify any other parts of the contract, an error should be returned.

Parameters: [descriptor_map]
Results: [descriptor_map]

5 Data Transfer Endpoints

Initiating the transfer of data between nodes after a contract has been signed is straightforward. First, the initiator must request a transfer token from the custodian. If uploading the shard for the first time to a farmer, a CONSIGN RPC (4.13 CONSIGN) must be sent. If downloading the shard, a RETRIEVE RPC (4.14 RETRIEVE) is sent. The result of either of those messages should yield an authorization token that is included in the query string of the next request.

5.1 Uploading

• Method: POST

• Path: /shards/{hash}?token={consign_token}

• Content Type: binary/octet-stream

5.2 Downloading

• Method: GET

• Path: /shards/{hash}?token={retrieve token}

• Content Type: binary/octet-stream

6 Storage Contracts

Nodes on the Storj network form agreements with each other regarding the storage of data by exchanging a "contract descriptor". These descriptors are included in contract publications intended to solicit storage space (7 Renting Space) as well as in RENEW messages (4.15 RENEW). Contracts describe identifying attributes of the data, the parties involved, terms of payment, and information about audits (8 Audit Preparation).

6.1 Contract Descriptor Schema

Contracts are structured as a flat JSON dictionary and includes cryptographic signatures from the parties involved. The properties that compose a contract are show as follows.

- version Integer Unique identifier for the contract (this is version 1)
- renter_hd_key String Node extended public key in base58
- renter_hd_index Integer Derivation index for signature
- renter_id String Node ID of the renter
- renter signature String Renter's cryptographic signature
- farmer_hd_key String Node extended public key in base58
- farmer_hd_index Integer Derivation index for signature
- farmer_id String Node ID of the farmer
- farmer_signature String Farmer's cryptographic signature
- data_size Integer Number of bytes to store
- data_hash String RIPEMD-160 SHA-256 hash of the data
- store_begin Integer UNIX timestamp to start contract in milliseconds
- store_end Integer UNIX timestamp to end the contract in milliseconds
- $audit_count\ Integer\ Number\ of\ audits\ renter\ will\ perform$
- audit_leaves Array Merkle leaves for audit tree as hexidecimal strings
- payment_storage_price Integer Price for storage in non-divisible unit
- payment_download_price Integer Price per download in non-divisible unit

• payment_destination String Address to send funds (Etheruem)

For a completed and valid contract, all fields be assigned a value and both the renter and farmer must sign the contract, placing their signatures in the respective fields. The signature is generated by using the private key associated with the renter_id or farmer_id, which is the hash of the public key. The signature field must be the result of the public key recovery number prepended to the actual signature of the JSON formatted descriptor exluding both signature fields and all other fields sorted alphanumerically. The signature must be encoded as a base64 string.

6.2 Topic Codes

Storj defines a matrix of *criteria* and *descriptors* in the form of codes representing the degree of which the criteria must be met. The resulting topic code is used as the key for cross-referencing neighborhood bloom filters to determine how the publication should be routed. At the time of writing, there are 4 criteria column in the topic matrix:

- Size: refers to the size of the data to be stored
- Duration: refers to the length of time which the data should be stored
- Availability: refers to the relative uptime of required by the contract
- Speed: refers to the throughput desired for retrieval of the stored data

At the time of writing, there are 3 descriptor opcodes representing low, medium, and high degrees of the criteria.

Low: 0x01Medium: 0x02High: 0x03

The ranges represented by these descriptors are advisory and may change based on network performance and improvements to hardware over time.

When publishing or subscribing to a given topic representing the degrees of these criteria, nodes must serialize the opcodes as the hex representation of the bytes in proper sequence. This sequence is defined as: prefix + size + duration + availability + speed.

The prefix byte is the static identifier for a type of publication. This may include both capacity announcements (6.4 Announcing Capacity) and contract publications (6.3 Renting Space). The prefix acts as a namespace for a type of publication topic. The prefix for a contract publication is 0x0f and the prefix for a capacity announcement is 0x0c, followed by the topic-criteria sequence.

To illustrate by example, we can determine the proper topic by analyzing the use case for a given file shard. For instance, if we want to store an asset that is displayed on a web page we can infer the following:

- The file is small
- The file may change often, so we should only store it for medium duration
- The file needs to always be available
- The file should be transferred quickly

Using the matrix, we can determine the proper opcode sequence: [0x0f, 0x01, 0x02, 0x03]. Serialized as hex, our topic string becomes: 0f01020303. Another example, by contrast, is data backup. Data backup is quite different than the previous example:

- The file is large (perhaps part of a hard drive backup)
- The file will not change and should be stored long term
- The file will not be accessed often, if ever
- The file does not need to be transferred at high speed

Using the matrix, we can determine the proper opcode sequence: [0x0f, 0x03, 0x03, 0x01, 0x01]. Serialized as hex, our topic string becomes: 0f03030101.

6.3 Renting Space

TODO

6.4 Announcing Capacity

TODO

7 Retrievability Proofs

When the custodian of a data shard receives an audit (4.12 AUDIT), it is expected to respond with proof that it still in possession of the shard. This works by computing a retrievability proof structure from a provided challenge. Upon receipt of a hash-challenge pair, the associated data is prepended with the challenge bytes and hashed:

```
RIPEMD160( SHA256( CHALLENGE + DATA ) )
```

The result of this operation yields a value that, when hashed again, equals one of the bottom leaves of the audit tree (8 Audit Preparation). In addition to supplying this single-hashed value as proof that the farmer is still honoring the terms of the contract, the farmer must also provide the uncles required to rebuild the merkle tree. This proof response is specified as a series of nested JSON arrays.

```
[[[[["<response>"],"<uncle>"],"<uncle>"],"<uncle>"],"<uncle>"]
```

For clarification, given a simple merkle tree:

The resulting format of a proof for an audit matching ${\tt HASH_6}$ would appear as:

```
[HASH_1, [HASH_5, [CHALLENGE_RESPONSE]]]
```

The resulting format of a proof for an audit matching <code>HASH_3</code> would appear as:

```
[[[CHALLENGE RESPONSE], HASH 5], HASH 2]
```

Upon receipt of the farmer's proof, the renter must verify that the proof is valid by using it to rebuild the merkle tree. If the proof is verified successfully, the renter is expected to issue a payment to the payment_destination defined in the original contract. The amount of the payment should be equal to: payment_storage_price / audit_count in addition to payment_download_price * downloads_since_last_audit.

If the verification fails then the contract is null and no payment is required. Conversely, if the verification succeeds and the renter does not issue the payment in a timely manner, then the contract is also null and the farmer may decide to cease storage of the data.

8 Audit Preparation

Before a renter node published a contract or claims a capacity allocation, it must pre-calculate a series of "challenges", the number of which must equal the audit_count defined in the contract descriptor and be included in the descriptor's audit_tree. A challenge is simply 32 random bytes encoded as hexidecimal. The generated challenges must not be shared until the renter wishes to issue an AUDIT RPC for proof-of-retrievability.

An audit_tree contains the bottom leaves of a Merkle Tree. Each of the bottom leaves of the tree must be equal to the double RIPEMD160(SHA256 (challenge + shard)) encoded as hexidecimal. In order to ensure that the resulting merkle tree is properly balanced, the number of bottom leaves must be equal to the next power of 2 of the audit count. To ensure this, the additional leaves can simply be the double RIPEMD160(SHA256 (NULL)) (the same hash function for an audit, but applied to an empty buffer).

To audit a farmer is to request proof that it is still honoring the terms of the storage contract without the need to have it supply the entire shard of data. To do this, the renter must supply the farmer with one of the secret pre-calculated

challenges (4.12 AUDIT). The receiving farmer must respond with a retrievability proof (7 Retrievability Proofs).

9 References

- Storj Improvement Proposals (https://github.com/storj/sips)
- BIP32 (https://github.com/bitcoin/bips/blob/master/bip-0032.mediawiki)
- BIP43 (https://github.com/bitcoin/bips/blob/master/bip-0043.mediawiki)
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- FNV (https://en.wikipedia.org/wiki/Fowler%E2%80%93Noll%E2%80%93Vo_hash_function)
- Less Hashing, Same Performance: Building a Better Bloom Filter (http://www.eecs.harvard.edu/~michaelm/postscripts/rsa2008.pdf)
- Merkle Tree (https://en.wikipedia.org/wiki/Merkle_tree)