

1 Experience with research paradigms relates to infants' direction of preference.

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Abstract

Interpreting and predicting direction of preference in infant research has been a thorny issue for decades. Several factors have been proposed to account for familiarity versus novelty preferences, including age, length of exposure, and task complexity. The current study explores an additional dimension: experience with the experimental paradigm. We re-analyzed the data from 4 experiments on artificial grammar learning in 12-month-old infants run using the Head-turn Preference Procedure (HPP). Participants in these studies varied substantially in their number of laboratory visits. Results show that the number of HPP studies is related to direction of preference: infants with limited experience with the HPP setting were more likely to show familiarity preferences than infants who had amassed more experience with this paradigm. This evidence has important implications for the interpretation of experimental results: experience with a given method or, more broadly, with the lab environment, may affect infants' patterns of preferences.

Keywords: preferential looking, familiarity preference, novelty preference, head-turn preference procedure, linear mixed-effects model

Word count: 2765

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Introduction

The importance of changes in preferential looking has been recognized since at least the 1960s, when Fantz (1964) showed that infants preferentially attend to novel visual stimuli. Subsequent studies extended this evidence to domains including auditory perception and cognition, revealing differences in direction of preference. Rather than representing a binary distinction, direction of preference can be construed as a continuum from more familiar to more novel (e.g., Thiessen et al., 2005). The infant's position along this continuum seems to be determined by a variety of factors related to the task and/or age (e.g., Houston-Price & Nakai, 2004; Aslin, 2007; Hunter & Ames, 1988). However, it is frequently the case that the observed direction of preference does not conform with expectations based on these dimensions; the infancy literature is rife with examples of counterintuitive patterns of preference (e.g., Fiser & Aslin, 2001; Bosch & Sebastián-Gallés, 2001; Dawson & Gerken, 2009; DePaolis, Keren-Portnoy, & Vihman, 2016; Johnson et al., 2009; Jusczyk & Aslin, 1995; Sebastián-Gallés & Bosch, 2009; Thiessen, 2012).

One frequently-overlooked factor is that infants do not arrive at the lab as naïve participants. Like adults, they bring significant prior experience that may influence their performance in lab tasks. Researchers attempt to override or sidestep those experiences by using novel stimuli (e.g., unfamiliar languages, shapes or sounds), or by integrating those experiences into their experimental designs (e.g., monolingual vs. bilingual infants; see Sebastian-Galles & Santolin, 2020 for a recent review). But there may also be forms of experience that go unidentified by researchers. One such factor is that many infants participate in multiple (putatively unrelated) experiments over the course of weeks or months. This common practice in infant research reflects the challenges of advancing a field of investigation that is based on a limited and hard-to-recruit population. Researchers are typically very careful to avoid stimulus contagion across unrelated studies, but it is

possible that prior lab experience impacts infants' performance. The purpose of this article is to explore the effect of experience with experimental paradigms on direction of preference in learning tasks.

In an influential model of preferential behavior in infants, Hunter and Ames (1988) hypothesized three central factors to affect the strength and direction of preference: age, familiarization duration, and task complexity. In a given task, younger infants tend to prefer familiar stimuli whereas older infants are more likely to prefer novel stimuli (e.g., Colombo & Bundy, 1983; though see Bergmann & Cristia, 2016, for a meta-analysis suggesting that age does not predict shifts in preference). A shorter exposure to familiar stimuli prior to testing also leads infants to subsequently prefer the familiar items (for reviews, see Rose, Feldman, & Jankowski, 2004). Task complexity refers to the stage of stimulus processing. For example, in a visual recognition task, 4-month-old infants preferred familiar objects before subsequently showing a strong preference for the novel object (Roder, Bushneil, & Sasseville, 2000). Task complexity can also refer to the complexity of the stimuli. For example, sequential stimuli put greater strain on memory resources than materials in which all components are simultaneously available (e.g., Ferguson, Franconeri, & Waxman, 2018). A related dimension is the similarity between stimuli used during familiarization and test: when there is a close perceptual match, infants are more likely to show a novelty preference (e.g., Hunter & Ames, 1988; Thiessen & Saffran, 2003). The combination of these factors informs predictions concerning direction of preference in systematic ways. For example, Thiessen, Hill, and Saffran (2005) manipulated length of exposure and observed a flip from familiarity to novelty preference after doubling the amount of familiarization received by infants. Similarly, Ferguson et al. (2018) manipulated sequential vs. spatial presentation of visual patterns, and observed stronger novelty effects with (a) increasing age and (b) spatial presentation.

The idea behind the current paper emerged from a puzzling pattern of results in a replication of a published study focused on non-linguistic artificial grammar learning in

12-month-olds (Santolin & Saffran, 2019). We observed a flip in preference from novelty to familiarity between the original study and its replication (Santolin & Saffran, 2019), despite the use of identical stimuli and procedures. While there were some differences between the studies (most notably, in the location in which the studies were run), one main factor stood out to us: many of the infants in the study that elicited a novelty preference had participated in prior studies using the Head-turn Preference Procedure (HPP), whereas most of the infants in the study that elicited a familiarity preference were first-time HPP participants. We reasoned that the more familiarity infants had with the lab apparatus and task demands, the more likely they would be to learn rapidly, leading to a novelty preference. To explore this question, we combined the data from these two experiments with the data from two other published artificial grammar learning tasks with similar designs that included 12-month-olds who ranged in the number of lab visits (Saffran et al., 2008, Exp. 1 Language P, and @saffran2003, Exp. 2). Our hypothesis was that the amount of infants' experience with HPP would affect direction of preference.

Methods

A brief description of the four experiments included in this analysis, and our rationale for selecting them, is provided in the Supplementary Information (SI), Section 1 (see Fig. 1 for a summary of the results). Infants were aged between 11-13 months in all studies. A fully reproducible repository hosting data and analyses is available at <https://osf.io/g95ub/>.

We modeled results of all infants ($N = 102$) who completed the four studies. Number of HPP visits varied from one to six (including the current visit). We fit a linear mixed-effects model including *Looking Time* as the response variable, and *Test Item* (Familiar vs. Novel), *HPP* (number of experiments completed by infants) and their interaction as fixed effects. We also included by-participant and by-study random intercepts (4 levels: Santolin & Saffran, 2019, 2019; Saffran et al., 2008; Saffran & Wilson,

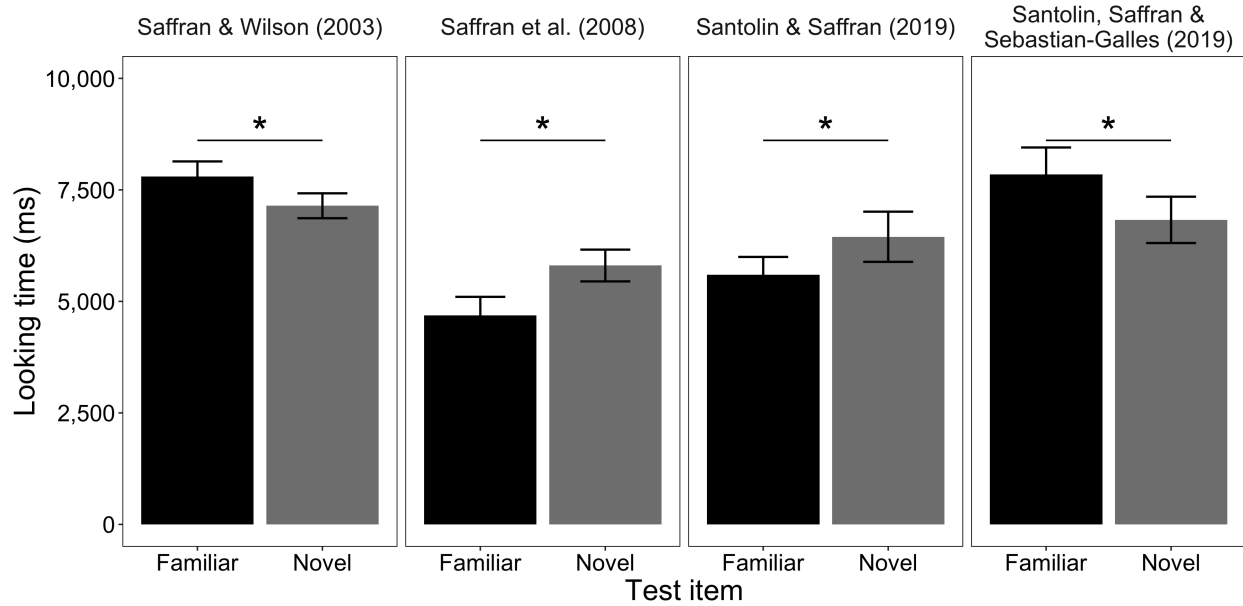


Figure 1. Looking time for familiar and novel test stimuli of the original studies. Stimuli vary based on the experiment. Error bars indicate the standard error of the mean.

2003). The *HPP* predictor was coded as a continuous variable indicating each infant's total number of HPP experiments. *Test Item* was centered on familiar test items (Familiar = 0; Novel = 1). Since the experiments differ at distinct levels (e.g., different stimuli, lab location), the model accounted for cross-participant and cross-study differences in looking time. Degrees of freedom were approximated using the Kenward-Rogers approach (e.g., Judd, Westfall, & Kenny, 2012), which can result in non-integer values. See SI, Section 3, for additional details.

We predicted a *Test Item* (familiar vs. novel) by number of *HPP* studies interaction, indicating that the duration of infants' looking towards familiar versus novel items would depend on infants' HPP experience. An interaction could result from at least three different patterns of results: an increase in looking time for novel items, a decrease in looking time for familiar items, or both, as a result of additional HPP experience.

Results

The interaction was statistically significant, $F(1,100.00) = 11.99$, $p = .001$, suggesting that the effect of Test Items on looking time differences was affected by the number of HPP experiments infants had participated in (Table 1, Fig. 2). In line with our predictions, the size of the difference between looking times on familiar and novel test items changed as a function of number of HPP visits.

The main effect of the HPP predictor was also significant, $F(1,133.12) = 4.80$, $p = .030$, indicating that the Test Item by HPP interaction is mainly driven by a significant decrease in looking time to familiar items as the number of HPP visits increases. There was no evidence that a greater number of HPP visits was accompanied by longer looking to novel items, $F(1,133.12) = 0.27$, $p = .606$.

Results also hold when reducing the data to infants with less than six HPP visits, indicating that the interaction effect was not driven exclusively by participants with an unusually high number of visits [HPP 1-5: $F(1,98.00) = 10.42$, $p = .002$; HPP 1-4: $F(1,98.00) = 10.42$, $p = .002$; HPP 1-3: $F(1,92.00) = 4.56$, $p = .035$]. Notably, the interaction is significant even with the subset of infants who participated in 1-2 HPP experiments only, HPP 1-2: $F(1,78.00) = 4.05$, $p = .048$.

Table 1

Summary of the results of the linear mixed-effects model.

	Coefficient	SEM	95% CI	F	Den. df	p
<i>Intercept</i>	7,679.0	673.287	[6389.7, 9294.6]	124.638	9.060	< .001
<i>Test Item</i>	-1,398.8	411.324	[-2204.9, -589.1]	11.565	100.000	.001
<i>HPP</i>	-539.7	238.688	[-999.9, -74.7]	4.800	133.117	.030
<i>Test Item</i> \times <i>HPP</i>	667.1	192.645	[247.2, 1028.5]	11.992	100.000	.001

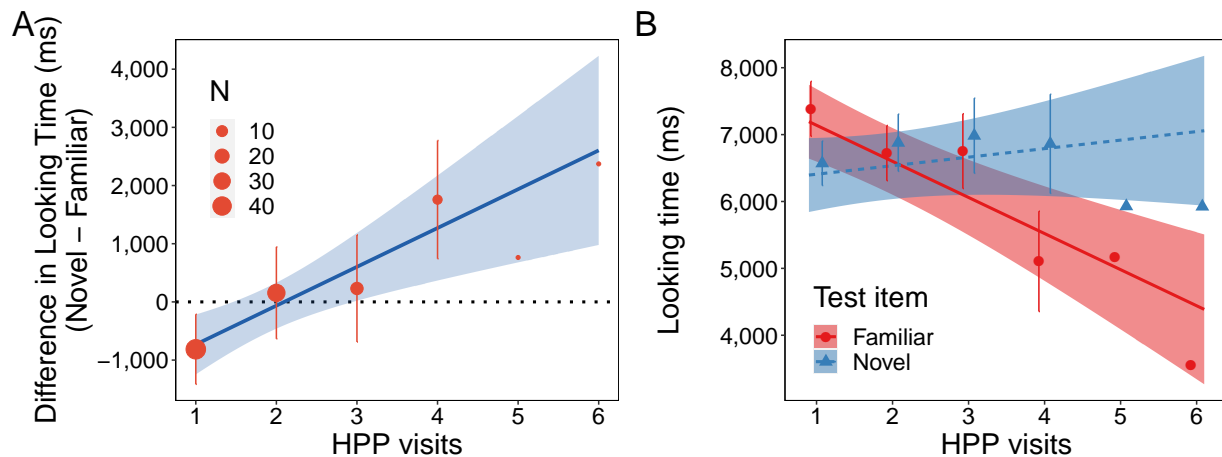


Figure 2. A: Difference in looking time between novel and familiar trials, as a function of HPP visits. shaded bands indicate 95% CIs. Points represent group means, with error bars representing 95% CIs. B: Predicted looking time (in ms) for familiar and novel test items plotted against number of HPP visits. Shaded bands represent ± 1 SEs. Points represent group means with ± 1 SEs as error bars.

Discussion

Experience with the Head-turn Preference Procedure affects direction of preference, at least for the subset of studies examined in this article. The model combined four experiments with 12-month-old infants performing artificial grammar learning tasks. Infants who had *not* previously experienced the HPP setting were more likely to show familiarity preferences than infants who had prior experience. One possible explanation for this finding relates to the structure of the HPP task. There are at least two types of information that must be simultaneously encoded during an infant's first HPP experiment: 1) visual-auditory contingency (i.e., sounds appear contingently on the infant looking at the screen), and 2) the experiment stimuli (e.g., word sequences, sound streams). When experiencing HPP for the first time, infants must both learn the structure of the HPP method and solve the learning problem itself (e.g., grammatical pattern learning). Such double-processing of information likely increases the task complexity, biasing results

149 towards familiarity preferences. Infants who return to the lab for subsequent HPP
150 experiments may be more able to focus on the learning problem, resulting in better
151 learning as evidenced by novelty preferences.

152 It is important to notice that this effect may not just be limited to experiencing the
153 HPP setting *per se*, but may also be influenced by the laboratory visit itself. When infants
154 visit the lab for the first time, they face an unusual situation: a new environment with
155 unfamiliar people, testing rooms with a peculiar design (e.g., monochrome walls with big
156 screens), and novel sounds and images (e.g., blinking lights). This is a significant amount
157 of information for a young infant to process at once. In contrast, as infants come back to
158 the lab for subsequent studies, the location, testing room and research staff may become
159 more familiar, reducing the information load (see Rovee-Collier, 1997, for effects of
160 consistent training and testing contexts on reminding infants of details of prior
161 experiences). In the current study, the number of laboratory visits was significantly
162 correlated with the number of HPP visits, $r(100) = .92$, $p < .001$, 95% CI = [.88, .94],
163 therefore the current analyses cannot discern which type of previous experience (HPP
164 procedure and/or lab setting) is responsible for the observed results.

165 Our findings have important implications for the interpretation of directions of
166 preference in future studies. Prior experience with a lab or research paradigm could
167 account for distinct, and sometimes counterintuitive, patterns of preference. We encourage
168 researchers to track number of visits as part of their lab's workflow, and to consider this
169 form of prior experience when preregistering analytic plans and interpreting results. Doing
170 so may be particularly informative when unpredicted directions of preferences emerge, as in
171 the replication that spawned the current set of analyses. It is also possible that apparent
172 null effects may be driven by variability in the number of lab visits; infants with more lab
173 experience may show novelty preferences while infants with less lab experience may exhibit
174 familiarity preferences, leading to an overall lack of preference across the sample. Effects of
175 prior research experience are less likely to be evident in studies with large effect sizes,

where there is less intra-infant variability. In addition, apparent age differences may conceivably be the result not of age per se, but of the number of prior studies, since older infants are likely to have participated in more experiments than younger infants, on average. By tracking infants' study participation, it becomes possible to examine these potential effects, which may be especially apparent in tasks that yield relatively small effects (as most infant studies do).

A related hypothesis suggests that less-common directions of preference for studies addressing a given topic (e.g., rule learning) likely represent sign errors (a sampling error in which the estimated effect has the wrong sign, e.g. a novelty preference is incorrectly estimated to be a familiarity preference; see also Gelman & Carlin, 2014) as opposed to true infant preferences (Bergmann, Rabagliati, & Tsuji, 2019; Rabagliati, Ferguson, & Lew-Williams, 2019). While this may be the case, it is also possible that some discrepancies in preferential looking are related to factors like those investigated in the current study: prior experience with the testing environment. For this reason, unexpected directions of preference may actually be meaningful and informative about the state of infant learners in specific studies.

These results also suggest extensions of models of the factors inducing different patterns of preference (e.g., Hunter & Ames, 1988). The current results suggest that the dimension of task complexity could be expanded beyond the specific task content (e.g., how complex are the stimuli presented) to include *infants' familiarity with the paradigm*. Our findings, in fact, suggest that the learning outcome of a given task is constrained by how much task experience infants have accumulated through prior lab visits. Therefore, the amount of novel information infants must process in parallel during a study increases the task demands, and the likelihood of showing a familiarity preference. This may well include the novelty of the experimental paradigm. Ongoing efforts in the infant research community to facilitate large-scale replications of studies (e.g., Consortium, 2020) provide a unique opportunity to determine whether experience with different paradigms influences

203 preferential behavior. Expanding our findings to other paradigms (e.g., infant-controlled
204 preferential looking procedures, visual-world paradigms) would continue to advance our
205 understanding of how task/laboratory experience modulates infants' performance. These
206 efforts, in turn, will bring us closer to connecting our research paradigms with the pressing
207 questions about infant behavior that we hope to answer.

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Appendix A

S1: Experiments included in the linear mixed-effects model.

The selected experiments consist of an artificial grammar learning task with 12-month-old infants. These experiments are characterized by variability in the number of infants' prior HPP visits¹, the first author noted that there appeared to be an association between the number of prior studies completed by the infants and the direction of preference. The analysis was included in the original manuscript submission but was removed from later revisions based on reviewer suggestions.]. They include all studies run in the two senior authors' labs that included (a) 11- to 13-month-old participants; (b) HPP; (c) artificial grammar learning (linguistic or non-linguistic); (d) 2 to 5 minutes of exposure; (e) an *a priori* hypothesis that infants would show learning; (f) visit numbers recorded at the time of testing. The studies are thus as well matched as is possible given the retrospective nature of this analysis.

Saffran & Wilson (2003) demonstrated that 12-month-old infants can compute multiple regularities from a finite-state grammar. Infants were able to first segment words from running speech based on transitional probabilities, then detect permissible orderings of the segmented words. Test items consisted of grammatical and ungrammatical sentences that could only be discriminated based on word-level information (transitional probabilities between syllables were not informative about the “grammaticality” of test items). Infants showed a significant familiarity preference: $F(1, 38) = 5.37, p < .05$.

Saffran, Hauser, Seibel, Kapfhammer, Tsao, & Cushman (2008) demonstrated that infants could detect simple phrases (i.e., clusters of nonsense words grouped together based on statistical regularities) from artificial grammars. In Exp. 1, infants in the Predictive Language condition were familiarized with a grammar including predictive (statistical)

¹ At the time of publication of Saffran & Wilson (2003)

dependencies between words. The test items consisted of familiar sentences vs. novel sentences violating the grammar. Infants showed a significant novelty preference: $t(11) = 2.52, p < .05$.

Santolin & Saffran (2019) is a conceptual replication of Saffran et al. (2008) using non-linguistic sounds (e.g., computer alert sounds) to implement the grammars. Infants exposed to the Predictive language showed a significant novelty preference: $t(26) = 2.45, p = .021, d = 0.47$.

Santolin & Saffran (2019) is a conceptual replication of Saffran et al. (2008) using non-linguistic sounds (e.g., computer alert sounds) to implement the grammars. Infants exposed to the Predictive language showed a significant novelty preference: $t(26)=2.45, p=.021, d=0.47$.

We replicated the Predictive Language condition of Santolin & Saffran (2019) at the University Pompeu Fabra, Barcelona (*Santolin, Saffran & Sebastian-Galles, 2019*)(2019), using identical stimuli and procedures. We found significant discrimination of the test stimuli but observed the opposite direction of preference: infants listened longer to familiar than novel strings: $t(23) = 2.30, p = .030, d = 0.47$. All results are shown in Figure 1 of the main manuscript.

S2: Participants information

We retrieved data from 102 infants who had participated in a range of 1-6 HPP visits. Three of the experiments were run in Madison, WI (University of Wisconsin-Madison): Saffran & Wilson, 2003 (Exp. 2; $N=40$, mean age: 11.5 months); Saffran et al., 2008 (Exp. 1, Condition P-Language: $N=12$, mean age: 12.8 months); Santolin & Saffran, 2019 (Condition 1; $N=26$, mean age: 12.9 months). One study was run in Barcelona, Spain (Universitat Pompeu Fabra): Santolin, Saffran & Sebastian-Galles, 2019 ($N=24$, mean age: 13 months).

S3: Linear mixed-effects model - additional information

We fit a model predicting looking time (*LT*) including *Item* (Familiar vs. Novel), number of Head-turn Preference Procedure experiments completed by infants (*HPP*), and their interaction ($Item \times HPP$) as fixed effects. Participant and study [4 levels: Santolin & Saffran (2019), Santolin, Saffran & Sebastian-Galles (2019), Saffran et al. (2008), Saffran & Wilson (2003)] were included as random effects. Following Barr, Levy, Scheepers, & Tily (2013), we fit a model with the maximal random effects structure including random intercepts by-participant and by-study, and random slopes of *HPP* by-participant and by-study. However, due to lack of convergence, we pruned the random effects structure until convergence was achieved (e.g., Brauer & Curtin, 2018). The final model included by-participant and by-study random intercepts only. This model accounts for cross-participant variability in overall looking time (as some infants look longer than others), and for cross-study differences in overall looking time. The model was fit using the `lme4` R package (Bates, Kliegl, Vasishth, & Baayen, 2015). We used the `Anova` function from the `car` R package (Fox & Weisberg, 2019) to perform *F*-tests on fixed effects using Kenward-Roger's approximation of the degrees of freedom (e.g., Judd, Westfall, & Kenny, 2012).

S4: Results sub-setting data to participants with less than 6, 5, 4, and 3 HPP studies

Consistent with the results of the entire dataset, we found a statistically significant interaction of *Test Item* with the number of *HPP* visits when reducing the sample to the infants who participated in less than 6, 5, 4, and 3 HPP experiments. Below, a table reporting the output of the linear mixed-effects model fitted on the original and reduced samples (Table S4.1).

Subset	Term	Coefficient	<i>SEM</i>	95% CI	F	Den. <i>df</i>	<i>p</i>
Original	<i>Intercept</i>	7,679.0	673.3	[6389.7, 9294.6]	124.6	9.1	< .001
	<i>Test Item</i>	-1,398.8	411.3	[-2204.9, -589.1]	11.6	100.0	.001
	<i>HPP</i>	-539.7	238.7	[-999.9, -74.7]	4.8	133.1	.030
	<i>Test Item</i> \times <i>HPP</i>	667.1	192.6	[247.2, 1028.5]	12.0	100.0	.001
HPP 1-5	<i>Intercept</i>	7,611.1	719.3	[6188.6, 9275.3]	107.4	10.5	< .001
	<i>Test Item</i>	-1,491.1	452.1	[-2348.4, -578.5]	10.9	98.0	.001
	<i>HPP</i>	-500.8	278.7	[-1070.2, 98.2]	3.0	131.9	.083
	<i>Test Item</i> \times <i>HPP</i>	726.2	224.9	[294.2, 1145]	10.4	98.0	.002
HPP 1-4	<i>Intercept</i>	7,611.1	719.3	[6188.6, 9275.3]	107.4	10.5	< .001
	<i>Test Item</i>	-1,491.1	452.1	[-2348.4, -578.5]	10.9	98.0	.001
	<i>HPP</i>	-500.8	278.7	[-1070.2, 98.2]	3.0	131.9	.083
	<i>Test Item</i> \times <i>HPP</i>	726.2	224.9	[294.2, 1145]	10.4	98.0	.002
HPP1-3	<i>Intercept</i>	7,470.0	794.6	[6007.1, 9172.6]	83.8	14.3	< .001
	<i>Test Item</i>	-1,349.9	532.3	[-2426.9, -267.6]	6.4	92.0	.013
	<i>HPP</i>	-395.8	366.6	[-1182.6, 316.1]	1.1	122.3	.299
	<i>Test Item</i> \times <i>HPP</i>	627.9	294.1	[3, 1252.6]	4.6	92.0	.035
HPP 1-2	<i>Intercept</i>	7,301.9	1009.9	[5253.3, 9362.1]	48.9	23.9	< .001
	<i>Test Item</i>	-1,783.7	726.7	[-3199.6, -360.7]	6.0	78.0	.016
	<i>HPP</i>	-261.3	586.8	[-1449.8, 991.7]	0.2	107.0	.667
	<i>Test Item</i> \times <i>HPP</i>	969.5	481.8	[38.3, 2010.4]	4.0	78.0	.048

In all models, the *Item* \times *HPP* interaction term was statistically significant [HPP 1-5: $F(1, 98) = 10.42, p = .002$; HPP 1-4: $F(1, 98) = 10.42, p = .002$; HPP 1-3: $F(1, 92) = 4.56, p = .035$; HPP 1-2: $F(1, 78) = 4.05, p = .048$]. These results provide evidence that the effect of HPP on the preference pattern we observed in our main analysis is not entirely dependent on any subsample of the HPP variable.

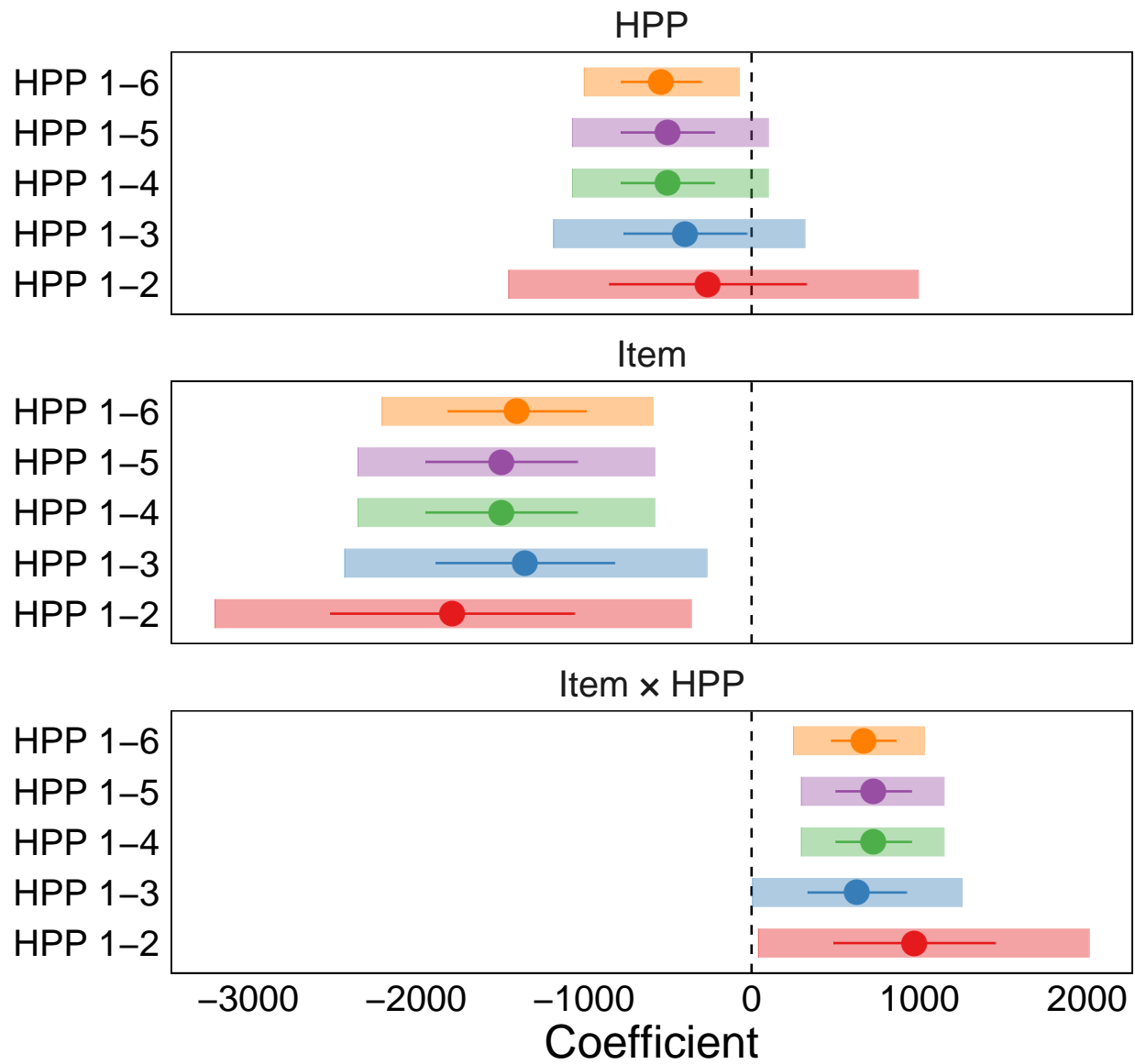


Figure A1. Graphical representation of the coefficients of the Test Item by HPP visits interaction term of the model fitted on the complete data-set (reported in the main manuscript), and of the models fitted on the reduced data-sets. Black dots represent the point estimate of the coefficient, black whiskers represent the standard error of the mean, and grey boxes represent the bootstrapped 95% confidence interval around the point estimate.

Appendix B

Session info

```
369 R version 3.6.3 (2020-02-29) Platform: x86_64-apple-darwin15.6.0 (64-bit) Running under:
370 macOS Catalina 10.15.4

371 Matrix products: default BLAS:
372 /Library/Frameworks/R.framework/Versions/3.6/Resources/lib/libRblas.0.dylib
373 LAPACK:
374 /Library/Frameworks/R.framework/Versions/3.6/Resources/lib/libRlapack.dylib

375 locale: [1]
376 en_US.UTF-8/en_US.UTF-8/en_US.UTF-8/C/en_US.UTF-8/en_US.UTF-8

377 attached base packages: [1] stats graphics grDevices utils datasets methods base

378 other attached packages: [1] purrr_0.3.4 kableExtra_1.1.0 ggplot2_3.3.1 here_0.1
379 [5] tibble_3.0.1 dplyr_1.0.0 magrittr_1.5 knitr_1.28
380 [9] papaja_0.1.0.9942

381 loaded via a namespace (and not attached): [1] Rcpp_1.0.4.6 pillar_1.4.4
382 compiler_3.6.3 highr_0.8
383 [5] base64enc_0.1-3 tools_3.6.3 digest_0.6.25 viridisLite_0.3.0 [9] evaluate_0.14
384 lifecycle_0.2.0 gtable_0.3.0 pkgconfig_2.0.3
385 [13] rlang_0.4.6 rstudioapi_0.11 yaml_2.2.1 xfun_0.14
386 [17] xml2_1.3.1 httr_1.4.1 withr_2.2.0 stringr_1.4.0
387 [21] hms_0.5.3 generics_0.0.2 vctrs_0.3.0 webshot_0.5.2
388 [25] rprojroot_1.3-2 grid_3.6.3 tidyselect_1.1.0 glue_1.4.1
389 [29] R6_2.4.1 rmarkdown_2.1 bookdown_0.18 readr_1.3.1
390 [33] backports_1.1.7 scales_1.1.1 ellipsis_0.3.1 htmltools_0.4.0
391 [37] rvest_0.3.5 colorspace_1.4-1 stringi_1.4.6 munsell_0.5.0
392 [41] crayon_1.3.4
```

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