Global-Aware Registration of Less-Overlap RGB-D Scans Supplementary Material

Anonymous CVPR submission

Paper ID 3168

1. Scene Inference Network

We construct the panorama \mathbf{I}_{p} via a scene inference network that includes two scan completion sub-networks and a panorama inference sub-network.

1.1. Network Structure

Scan Completion Sub-Network. The architecture of the scan completion sub-network is illustrated in Fig. 1. We follow the work of Yang $et\ al.$ [4] to construct the sub-network and pre-process the scans to obtain their feature representations. The inputs are feature representations of two RGB-D scans \mathbf{I}_1 and \mathbf{I}_2 , including specifying color, depth, and normal. Three independent encoders are first used to encode them into corresponding feature maps. The feature maps are then concatenated and fed into several convolutional and de-convolutional layers for encoding and decoding. Finally, five independent decoders are used to generate five feature representations of extrapolated scans.

Panorama Inference Sub-Network. The panorama inference sub-network has a similar encoder-decoder structure. The architecture of the encoder is the same as that of the scan completion sub-network (i.e., from $C1_-1$, $C1_-2$, $C1_-3$ to C9 in Fig. 1). Before decoding, two feature transformation modules are used to transform the extrapolated scans to the panorama at feature levels with extra inputs of transformation matrices \mathcal{T}_1 , $\mathcal{T}_2 \in SE(3)$, which is shown in Fig. 2. The transformed features of two scans are concatenated at the channel dimension for decoding, obtaining the representation of the panorama in a decoder. The structure of the decoder is the same as that of the scan completion subnetwork (i.e., from D9 to $D1_-1$, $D1_-2$, $D1_-3$, $D1_-4$, $D1_-5$ in Fig. 1).

1.2. Training

The scene inference network is trained via a supervised regression loss function. To this end, we need two input scans $\mathbf{I}_1, \mathbf{I}_2$, the ground truths of both the extrapolation scans $\mathbf{I}_1^{\mathrm{gt}}, \mathbf{I}_2^{\mathrm{gt}}$ and the panorama $\mathbf{I}_p^{\mathrm{gt}}$, as well as the transformation matrices $\mathcal{T}_1, \mathcal{T}_2$ for training.

The representations of $\mathbf{I}_1, \mathbf{I}_2, \mathbf{I}_1^{\mathrm{gt}}$ and $\mathbf{I}_2^{\mathrm{gt}}$ are directly obtained from the datasets pre-processed by Yang *et al.* [4]. $\mathbf{I}_p^{\mathrm{gt}}$ is rendered from the 3D scenes, where its pose (i.e., camera external matrix) is determined according to the poses of \mathbf{I}_1 and \mathbf{I}_2 . We expect to align \mathbf{I}_1 and \mathbf{I}_2 with \mathbf{I}_p through symmetrical transformations (i.e., $\mathcal{T}_1^{-1} = \mathcal{T}_2$) for sufficient coverage. Specifically, we assume that the pose matrices of \mathbf{I}_1 and \mathbf{I}_2 are $\mathbf{P}_1^{\mathrm{W}}$ and $\mathbf{P}_2^{\mathrm{W}}$, respectively, at the world coordinate system. The matrices are

$$\mathbf{P}_{1}^{\mathrm{W}} = \begin{bmatrix} \mathbf{R}_{1}^{\mathrm{W}} & \mathbf{t}_{1}^{\mathrm{W}} \\ \mathbf{0}^{\top} & 1 \end{bmatrix}, \ \mathbf{P}_{2}^{\mathrm{W}} = \begin{bmatrix} \mathbf{R}_{2}^{\mathrm{W}} & \mathbf{t}_{2}^{\mathrm{W}} \\ \mathbf{0}^{\top} & 1 \end{bmatrix}, \quad (1)$$

where \mathbf{R}_1^W , \mathbf{R}_2^W are rotation matrices and \mathbf{t}_1^W , \mathbf{t}_2^W are translation vectors at the world coordinate system. The pose matrix \mathbf{P}_p^W of the panorama is computed by

$$\mathbf{r}_{1}^{W}, \mathbf{r}_{2}^{W} = f_{\text{m2q}}(\mathbf{R}_{1}^{W}), f_{\text{m2q}}(\mathbf{R}_{2}^{W}),$$

$$\mathbf{r}_{p}^{W} = (\mathbf{r}_{1}^{W} + \mathbf{r}_{2}^{W})/2,$$

$$\mathbf{R}_{p}^{W} = f_{\text{q2m}}(\mathbf{r}_{p}^{W}),$$

$$\mathbf{t}_{p}^{W} = (\mathbf{R}_{p}^{W} + \mathbf{R}_{1}^{W})((\mathbf{R}_{p}^{W})^{-1}\mathbf{t}_{2}^{W} + (\mathbf{R}_{1}^{W})^{-1}\mathbf{t}_{1}^{W}),$$

$$\mathbf{P}_{p}^{W} = \begin{bmatrix} \mathbf{R}_{p}^{W} & \mathbf{t}_{p}^{W} \\ \mathbf{0}^{T} & 1 \end{bmatrix},$$
(2)

where $f_{m2q}(\cdot)$ denote converting rotation matrices \mathbf{R}_1^W and \mathbf{R}_2^W into rotation quaternion vectors \mathbf{r}_1^W and \mathbf{r}_2^W , and $f_{q2m}(\cdot)$ denote converting the vector \mathbf{r}_p^W into the matrice \mathbf{R}_p^W . The ground-truth transformations matrices $\mathcal{T}_1, \mathcal{T}_2$ are computed by

$$\mathcal{T}_1 = \mathbf{P}_{\rm p} \mathbf{P}_1^{-1}, \ \mathcal{T}_2 = \mathbf{P}_{\rm p} \mathbf{P}_2^{-1}.$$
 (3)

We train the scene inference network in an end-to-end manner, and the training batch is set to 4. We use the ADAM optimizer [1] with a 0.001 learning rate for 200k iterations. During training, we add some noise to the transformation matrices $\mathcal{T}_1, \mathcal{T}_2$, and use them to transform the scans at feature levels.

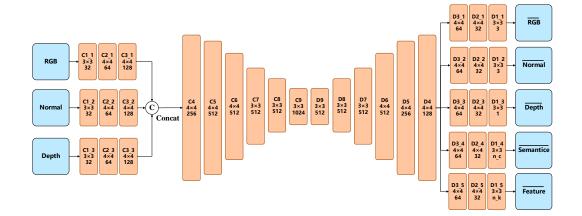


Figure 1. The architecture of the scan completion sub-network. In each block, the first line denotes the layer's name (e.g., C1-1, D1-1, etc.), where C and D indicate the convolutional layer and de-convolutional layer, respectively. The second line denotes the size of the convolutional kernels (e.g., 3×3 and 4×4), and the third line represents the number of kernels (e.g., 32, 64, etc.).

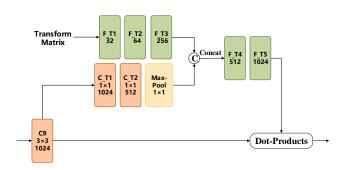


Figure 2. Illustration of the feature transformation. The transform matrix is converted into a vector (i.e., the concatenation of the rotation quaternion and translation vector), and is encoded by three fully-connected layers $(F_{\cdot}T1, F_{\cdot}T2, F_{\cdot}T3)$. The feature maps of the C9 layer are also encoded by two convolutional layers $(C_{\cdot}T1, C_{\cdot}T2)$ and one max-pooling layer (MaxPool). The two encoded features are concatenated to calculate the feature transformation via another two fully-connected layers $(F_{\cdot}T4, F_{\cdot}T5)$. We perform dot-products between the feature transformation and all channel vectors of the feature maps of the C9 layer, generating transformed feature maps.

2. Reinforcement Learning

2.1. Theoretical Analysis

The original objective function in Eq. (2) in the main submission is

$$\begin{split} \min_{\mathcal{T}_{1},\mathcal{T}_{2}} & \sum_{\mathbf{m}_{1} \in \mathcal{C}_{1}} \| \mathbf{I}_{1}(\mathbf{m}_{1}) - \mathbf{I}_{p}^{(0)}(\mathbf{m}_{p}) \|_{2}^{2} \\ & + \sum_{\mathbf{m}_{2} \in \mathcal{C}_{2}} \| \mathbf{I}_{2}(\mathbf{m}_{2}) - \mathbf{I}_{p}^{(0)}(\mathbf{m}_{p}) \|_{2}^{2}, \\ s.t., & [\mathbf{M}_{p}; 1] = \mathcal{T}_{1}[\mathbf{M}_{1}; 1], [\mathbf{M}_{p}; 1] = \mathcal{T}_{2}[\mathbf{M}_{2}; 1]. \end{split} \tag{4}$$

Without considering uncertainty maps U, the reward function in Eq. (5) in the main submission is

$$r_{n} = \frac{\lambda_{1}}{\lambda_{1} + d_{n}},$$

$$d_{n} = \sum_{\mathbf{m}_{1}^{(n)} \in \mathcal{C}_{1}} \|\mathbf{F}_{1}^{(n)}(\mathbf{m}_{1}^{(n)}) - \mathbf{F}_{p}^{(n)}(\mathbf{m}_{1}^{(n)})\|_{2}^{2}$$

$$+ \sum_{\mathbf{m}_{2}^{(n)} \in \mathcal{C}_{2}} \|\mathbf{F}_{2}^{(n)}(\mathbf{m}_{2}^{(n)}) - \mathbf{F}_{p}^{(n)}(\mathbf{m}_{2}^{(n)})\|_{2}^{2},$$
(5)

where the RGB values $\mathbf{I}_1(\cdot), \mathbf{I}_2(\cdot)$ and $\mathbf{I}_p^{(0)}(\cdot)$ are replaced with the robust feature representations $\mathbf{F}_1^{(n)}(\cdot), \mathbf{F}_2^{(n)}(\cdot)$ and $\mathbf{F}_p^{(n)}(\cdot)$, respectively.

The supervised constraint in Eq. (8) and Eq. (9) in the main submission are

$$\mathcal{L}^{s} = \|(\mathbf{R}_{1}^{(n)})^{-1}(\mathbf{R}_{1}^{(n)})^{\text{gt}} - \mathbf{1}\|_{F}^{2} + \|\mathbf{t}_{1}^{(n)} - (\mathbf{t}_{1}^{(n)})^{\text{gt}}\|_{2}^{2} + \|(\mathbf{R}_{2}^{(n)})^{-1}(\mathbf{R}_{2}^{(n)})^{\text{gt}} - \mathbf{1}\|_{F}^{2} + \|\mathbf{t}_{2}^{(n)} - (\mathbf{t}_{2}^{(n)})^{\text{gt}}\|_{2}^{2},$$
(6)

where

$$\begin{bmatrix} (\mathbf{R}_{1}^{(n)})^{\mathrm{gt}} & (\mathbf{t}_{1}^{(n)})^{\mathrm{gt}} \\ \mathbf{0}^{\top} & 1 \end{bmatrix} = (\mathcal{T}_{1})^{\mathrm{gt}} \left(\prod_{i=1}^{n-1} \mathcal{T}_{1}^{(n-i)} \right)^{-1},$$

$$\begin{bmatrix} (\mathbf{R}_{2}^{(n)})^{\mathrm{gt}} & (\mathbf{t}_{2}^{(n)})^{\mathrm{gt}} \\ \mathbf{0}^{\top} & 1 \end{bmatrix} = (\mathcal{T}_{2})^{\mathrm{gt}} \left(\prod_{i=1}^{n-1} \mathcal{T}_{2}^{(n-i)} \right)^{-1}.$$

$$(7)$$

Theorem 1 When the optimal outputs \mathcal{T}_1^* , \mathcal{T}_2^* of the reinforcement learning satisfy both the supervised constraint in Eq. (6) and the maximum expected rewards in Eq. (5), they are exactly the solutions in Eq. (4)¹.

Both the uncertainty maps \mathbf{U} and the panorama refinement are not considered in the theorem. $\mathbf{I}_{\mathrm{p}}^{(0)}$ and $\mathbf{I}_{\mathrm{p}}^{(n)}$ are treated equally.

Proof All the sequential actions are used to construct the optimal transformation matrices

$$\mathcal{T}_1^* = \prod_{i=1}^{n-1} \mathcal{T}_1^{(n-i)}, \ \mathcal{T}_2^* = \prod_{i=1}^{n-1} \mathcal{T}_2^{(n-i)}. \tag{8}$$

We use the actions to transform scans I_1 and I_2 into $I_1^{(n)}$ and $I_2^{(n)}$ at the *n*-th step, respectively, and the 3D coordinates are obtained by

$$[M_1^{(n)}; 1] = \mathcal{T}_1^*[M_1; 1], [M_2^{(n)}; 1] = \mathcal{T}_1^*[M_2; 1].$$
 (9)

The transformed scans $\mathbf{I}_1^{(n)}$ and $\mathbf{I}_2^{(n)}$ satisfy

$$\mathbf{F}_{1}^{(n)}(\mathbf{m}_{1}^{(n)}) = \mathbf{F}_{1}(\mathbf{m}_{1}), \ \mathbf{F}_{2}^{(n)}(\mathbf{m}_{2}^{(n)}) = \mathbf{F}_{2}(\mathbf{m}_{2}).$$
 (10)

The supervised constraint in Eq. (6) enforces the scans I_1 and I_2 to align with the panorama I_p at the *n*-th step. The optimal outputs mean the complete alignments, so the 3D/2D coordinates at the *n*-th step satisfy

$$M_1^{(n)} = M_2^{(n)} = M_p^{(n)}, \mathbf{m}_1^{(n)} = \mathbf{m}_2^{(n)} = \mathbf{m}_p^{(n)}.$$
 (11)

We assume that the position of the panorama is fixed during the reinforcement learning, so the coordinates are also fixed:

$$M_1^{(n)} = M_2^{(n)} = M_p^{(n)} = M_p,
\mathbf{m}_1^{(n)} = \mathbf{m}_2^{(n)} = \mathbf{m}_p^{(n)} = \mathbf{m}_p.$$
(12)

Therefore, the following feature representations are the same:

$$\mathbf{F}_{p}^{(n)}(\mathbf{m}_{1}^{(n)}) = \mathbf{F}_{p}^{(n)}(\mathbf{m}_{2}^{(n)}) = \mathbf{F}_{p}^{(n)}(\mathbf{m}_{p}^{(n)}) = \mathbf{F}_{p}^{(n)}(\mathbf{m}_{p}).$$
(13)

Based on Eq. (9) and Eq. (12), we obtain the same constraint in the original objective function in Eq. (4)

$$[M_p; 1] = \mathcal{T}_1^*[M_1; 1], [M_p; 1] = \mathcal{T}_2^*[M_2; 1].$$
 (14)

We substitute Eq. (13) and Eq. (10) into the reward function in Eq. (5):

$$d_{n} = \sum_{\mathbf{m}_{1} \in \mathcal{C}_{1}} \|\mathbf{F}_{1}(\mathbf{m}_{1}) - \mathbf{F}_{p}^{(n)}(\mathbf{m}_{p})\|_{2}^{2} + \sum_{\mathbf{m}_{2} \in \mathcal{C}_{2}} \|\mathbf{F}_{2}(\mathbf{m}_{2}) - \mathbf{F}_{p}^{(n)}(\mathbf{m}_{p})\|_{2}^{2}.$$
(15)

Maximizing the reward means minimizing the distance d_N in Eq. (15). This is equivalent to minimizing the error in the original objective function in Eq. (4) without considering the refinement of the panorama (i.e., $\mathbf{I}_{\mathrm{p}}^{(0)} = \mathbf{I}_{\mathrm{p}}^{(n)}$). Therefore, the sequential actions $\mathcal{T}_1^*, \mathcal{T}_2^*$ are also the optimal transformation matrices in Eq. (4).

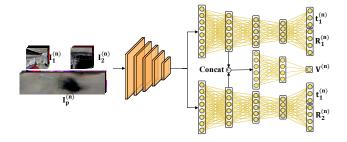


Figure 3. Illustration of the policy network f_{π} .

2.2. Policy Network

We use a policy network f_{π} with a pre-trained embedding network e_{ψ} as the backbone to predict the action. We follow the work of Wang et al. [3] to construct the embedding network e_{ψ} by using a Siamese DGCNN to generate point embeddings. The embeddings are fed into a cascaded two-branch sub-network to predict distributions of the disentangled rotation $p(\mathbf{R}_1^{(n)}|s_n)$ and $p(\mathbf{R}_2^{(n)}|s_n)$ as well as the translation $p(\mathbf{t}_1^{(n)}|s_n)$ and $p(\mathbf{t}_2^{(n)}|s_n)$, as is shown in Fig. 3. A value approximator for optimization is also produced from the two concatenated branch representations, which shares the same parameters. The architecture of the sub-network for computing $p(\mathbf{R}_{1}^{(n)}|s_{n})$ and $p(\mathbf{R}_{2}^{(n)}|s_{n})$ is FC(1024,256,ReLU)-FC(256,128,ReLU)-FC(128,128,ReLU)-FC(128,4,None). The architecture of the sub-network for computing $p(\mathbf{t}_1^{(n)}|s_n)$ and $p(\mathbf{t}_2^{(n)}|s_n)$ is FC(1024,256,ReLU)-FC(256,128,ReLU)-FC(128,128,ReLU)-FC(128,3,None). The architecture of the sub-network for computing the value is FC(512,128,ReLU)-FC(128,1,None). FC(a, b, f) means a fully-connected layer with a trainable weight matrix $\mathbf{W} \in \mathbb{R}^{a \times b}$ and an activation function f. Each layer is followed by a layer normalization except for the last layer.

2.3. Proximal Policy Optimization

The policy network f_{π} is pre-trained and fine-tuned in a supervised manner by using the loss functions in Eq. (7) and Eq.(8), respectively, in the main submission. Besides, we also employ the proximal policy optimization algorithm [2] to optimize f_{π} to acquire the maximum reward.

We compute the action probabilities $p_{\pi}(a_n|s_n) = \{p(\mathbf{R}_1^{(n)}|s_n), p(\mathbf{R}_2^{(n)}|s_n), p(\mathbf{t}_1^{(n)}|s_n), p(\mathbf{t}_2^{(n)}|s_n)\}$ and estimate the value $V_{\pi}(s_n)$ from the policy network f_{π} at each time step n. The self-transformation matrices are samples from $p_{\pi}(a_n|s_n)$. We use $p_{\pi}(a_n|s_n)$ to represent the current output distributions, and use $p_{\pi_{\text{old}}}(a_n|s_n)$ to represent the output distributions when a_n was sampled. The expected cumulative reward can be used to construct the value func-

tion $V(s_n)=\mathbb{E}_{a_n,s_{n+1}}...[\sum_{j=1}^{\infty}\gamma_jr_j]$, and we use $V_{\pi}(s_n)$ to approximate the value. The clipped surrogate objective is

$$\mathcal{L}^{c} = \mathbb{\hat{E}}\left[\min\left(\xi_{n}\hat{A}_{n}, \operatorname{clip}(\xi_{n}, 1 - \epsilon, 1 + \epsilon)\hat{A}_{n}\right)\right], \quad (16)$$

where

$$\xi_n = \frac{p_{\pi}(a_n|s_n)}{p_{\pi_{\text{old}}}(a_n|s_n)}.$$
(17)

 \hat{A}_n is the advantage estimator:

$$\hat{A}_n = -V(s_n) + r_n + \gamma r_{n+1} + \cdots + \gamma^{N-n+1} r_{N-1} + \gamma^{N-n} V(s_n).$$
(18)

The value loss function is

$$\mathcal{L}^{\mathbf{v}} = \left(V_{\pi}(s_n) - V_{\text{targ}}\right)^2,\tag{19}$$

where

$$V_{\text{targ}} = r_n + \gamma r_{n+1} + \dots + \gamma^{N-n} V_{\pi_{\text{old}}}(s_n).$$
 (20)

The entropy regularization term for exploration is

$$\mathcal{L}^{e} = p_{\pi} \log p_{\pi}. \tag{21}$$

The on-policy update loss of the PPO algorithm is

$$\mathcal{L}^{r} = \mathcal{L}^{c} + \lambda^{v} \mathcal{L}^{v} + \lambda^{e} \mathcal{L}^{e}. \tag{22}$$

3. Additional Evaluations

3.1. Transformation Matrices

Besides the evaluation of transformation errors, we also calculate the success rate to evaluate our method on estimating the transformation matrices between less-overlap (≤ 10) RGB-D scans. If the rotation error or translation error of a transformed scan is below a certain threshold, we treat the transformation as a success. Tab. 1 shows the success rates under the thresholds of 3°, 10°, 45° rotation errors and 0.1m, 0.25m, 0.5m translation errors, respectively. The success rates demonstrate although our method performs better than the state-of-the-art methods on aligning less-overlap (≤ 10) RGB-D scans, this task still needs to be studied for further improvements.

3.2. Point Correspondences

The true-positive rate and recall of point correspondences on the ScanNet dataset are shown in Tab. 2, where two scans have less than 10% overlap regions. We further compare the precision rate by using different thresholds instead of using the fixed threshold proposed in the main submission. The top-50 and top-100 precision rates are shown in Tab. 3. The threshold is set to 5%, 10%, and 15% of the scene size that is the maximum distance among all 3D points in the scene.

	Rotation (%)			Trans. (%)		
			1		0.25m	0.5m
ScanComp. [4]					2.3	18.7
HybridRep. [5]	1.1	9.5	50.4	0.9	3.0	22.6
Ours	1.2	13.2	77.1	0.8	4.3	29.5

Table 1. The success rates with different rotation and translation thresholds on the ScanNet dataset.

	True-Positve Rate (%)			Recall (%)		
	top-30	top-50	top-100	top-30	top-50	top-100
ScanComp. [4]	42.6	42.2	41.8	18.4	33.8	57.0
HybridRep. [5]	47.9	47.8	46.9	16.7	35.0	60.9
Ours	65.9	65.6	65.0	24.5	40.6	80.8

Table 2. Comparisons of the true-positive rate and recall of correspondences on the ScanNet dataset.

	Top-50 Precision (%)			Top-100 Precision (%)		
	5%	10%	15%	5%	10%	15%
ScanComp. [4]	6.6	15.3	25.8	7.7	17.2	31.3
HybridRep. [5]	7.0	18.2	24.5	8.5	20.6	33.4
Ours	14.3	30.5	47.4	14.1	30.0	45.5

Table 3. The top-50 and top-100 precision rates on the ScanNet dataset. The threshold is set to 5%, 10%, 15% of the scene size that is defined as the maximum distance between 3D positions.

3.3. Visualization Results

Fig. 5 exhibits several examples of our method and existing methods for estimating transformation matrices, where the point clouds of the first scans (green) are transformed into the second scans (red) by using the transformation matrices \mathcal{T} .

We also visualize correspondences in Fig. 6. The threshold is set to 0.5m, and green lines indicate correct correspondences and red lines denote incorrect ones. The correspondences are established on the noisy extrapolated scans, but here we show them on the ground-truth scans for better visualization.

3.4. Analysis of Markov Reward Process

As is shown in Tab. 4, we compare the inference speed of our method with existing state-of-the-art methods [4, 5]. The inference speed is measured using a single NVIDIA RTX2080Ti GPU and an Intel i7-7800X CPU. We find that the speed of our method (1.32pps) is slightly slower than that (2.44pps) of [5], and faster than that (0.56pps) of [4]. Our iterative matching method takes more time, but is more efficient for alignments. A remained problem is that none of the existing methods (including ours) can achieve the real-time matching, which directs our future work towards real-time performances.

Method	Speed (pps)	Rotation(°)	Trans.(m)
ScanComp. [4]	0.56	78.95	1.60
HybridRep. [5]	2.44	44.91	1.00
Ours	1.32	33.73	0.61

Table 4. Comparisons of the speed and relative errors on the Scan-Net dataset. "pps" means pairs per second.

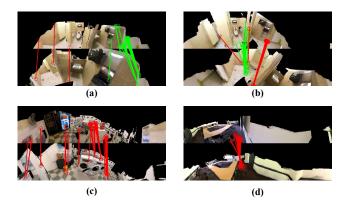


Figure 4. Several failure cases of our method.

3.5. Limitations and Disscussion

Registration of less-overlap ($\leq 10\%$) RGB-D scans has not been satisfactorily performed very well. As shown in Tabs. 1 to 4, the existing methods (including our method) obtain very initial registration results, and the accuracy, robustness and efficiency all need to be improved. Our method exploits global information for registration, achieving more approximate alignment results on the global scene structure, as exhibited in Fig. 5. However, compared with ground-truth alignments, our method still falls short of aligning the RGB-D scans perfectly. The possible reasoning is that the alignments are essentially ambiguous and uncertain due to the lack of overlap regions. In future work, we will explore more geometry priors to reduce the ambiguity and uncertainty, further improving the registration of less-overlap RGB-D scans.

We show several failure registration cases of our method in Fig. 4. (1) In an indoor scene, the occlusions are likely to cause the registration errors, as shown in Fig. 4 (a) and Fig. 4 (b). (2) When the views of two RGB-D scans change significantly, our method may be failed for registration, as represented in Fig. 4 (c). (3) The symmetrical scanning scene may mislead the registration, and a typical example is exhibited in Fig. 4 (d). In future work, we will focus on dealing with these failure cases to advance our method.

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Figure 5. Qualitative results of ScanComp., HybridRep. and our method.

Ours

Figure 6. Visualization results of our method and baseline methods. From left to right, we show the input RGB-D scans, the extrapolated scans, as well as the correspondence results of ScanComp., HybridRep. and ours.