

**XXXXXXXXX XXXXXXXXXXXXX**

26/F Entertainment Building, 30 Queen's Road Central, Hong Kong Telex  
Phone XXXXXXXXX  
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XXXXXXXXX

**To:** XXXXXXXXX  
Societe Generate Securities (North Pacific) Ltd.

OFF-AUCTION TRADE \* \*TRADE EXECUTED ON SGX  
EXCHANGE\*\*

**30-Oct-2015**

We wish to confirm the following transaction which was arranged for your account and risk

**Buyer** XXXXXXXXX  
Participant code: S389

**Seller** XXXXXXXXX XXXXXXXXX  
XXXXXXXXX

**Trade Date** 30-Oct-15

**Underlying** Nikkei 225 Index

**Product** European Style March 2016 SQ 19000 Straddle

**Execution time** 10:45 JST

**Expiration** 11-Mar-16

**Size / Premium** 100 lots at 1965 JPY

**Breakdown**

|      |          |    |                                  |
|------|----------|----|----------------------------------|
| sell | 100 lots | at | 965 JPY March 2016 SQ 19000 Call |
| sell | 100 lots | at | 1000 JPY March 2016 SQ 19000 Put |

|                                              |                                                                                                    |                                                        |
|----------------------------------------------|----------------------------------------------------------------------------------------------------|--------------------------------------------------------|
| <b>Future Setting</b>                        | 18,900                                                                                             | December 2015 SGX Nikkei 225 Index Futures             |
| <b>Reference</b>                             | 18,900                                                                                             |                                                        |
| <b>Delta / Hedge</b>                         | 1.00%                                                                                              |                                                        |
|                                              | buy                                                                                                | 1 lots      December 2015 SGX Nikkei 225 Index Futures |
| <b>Settlement</b>                            | Both counterparties shall apply the conditions of trading on SGX.<br>Automatic exercise at expiry. |                                                        |
| <b>Brokerage</b><br>XXXXXXXXXX<br>XXXXXXXXXX | 42,525 yen                                                                                         |                                                        |