

# A Simple Framework for Contrastive Learning of Visual Representations

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# Contribution

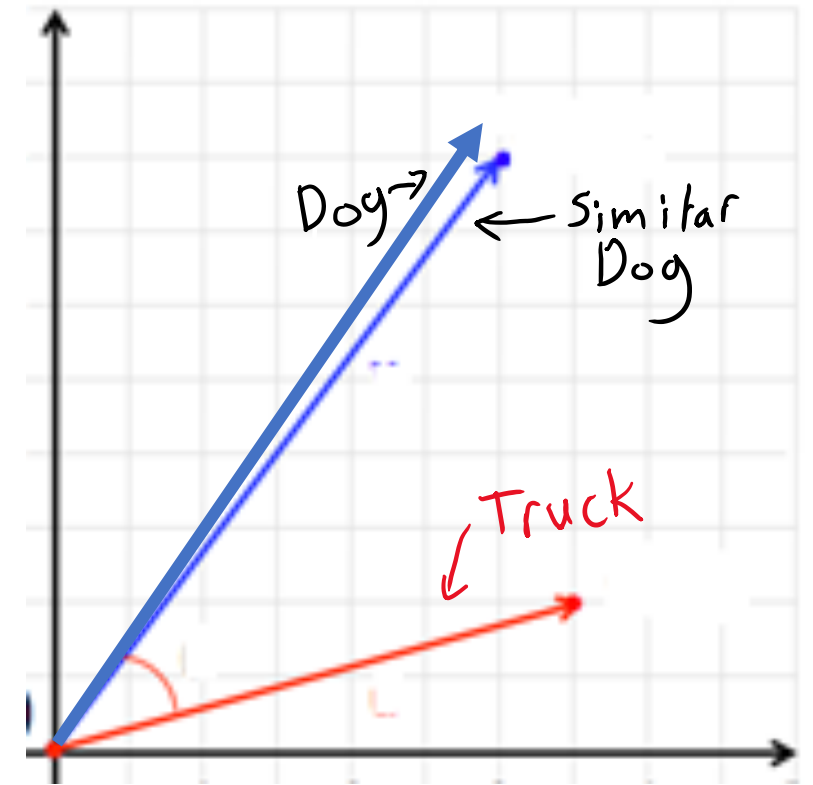
- Propose a technique for simple contrastive learning (SimCLR).
  - Framework is easily generalizable; does not require specific architecture.
- Demonstrate promising image classification performance with the use of SimCLR

# Motivation

- Deep Neural Networks are commonly used for image-based tasks (e.g. classification).
- Generating adequate labeled data for training is often impractical, especially for highly specific tasks.
- Better performance from unsupervised training methods is desired.

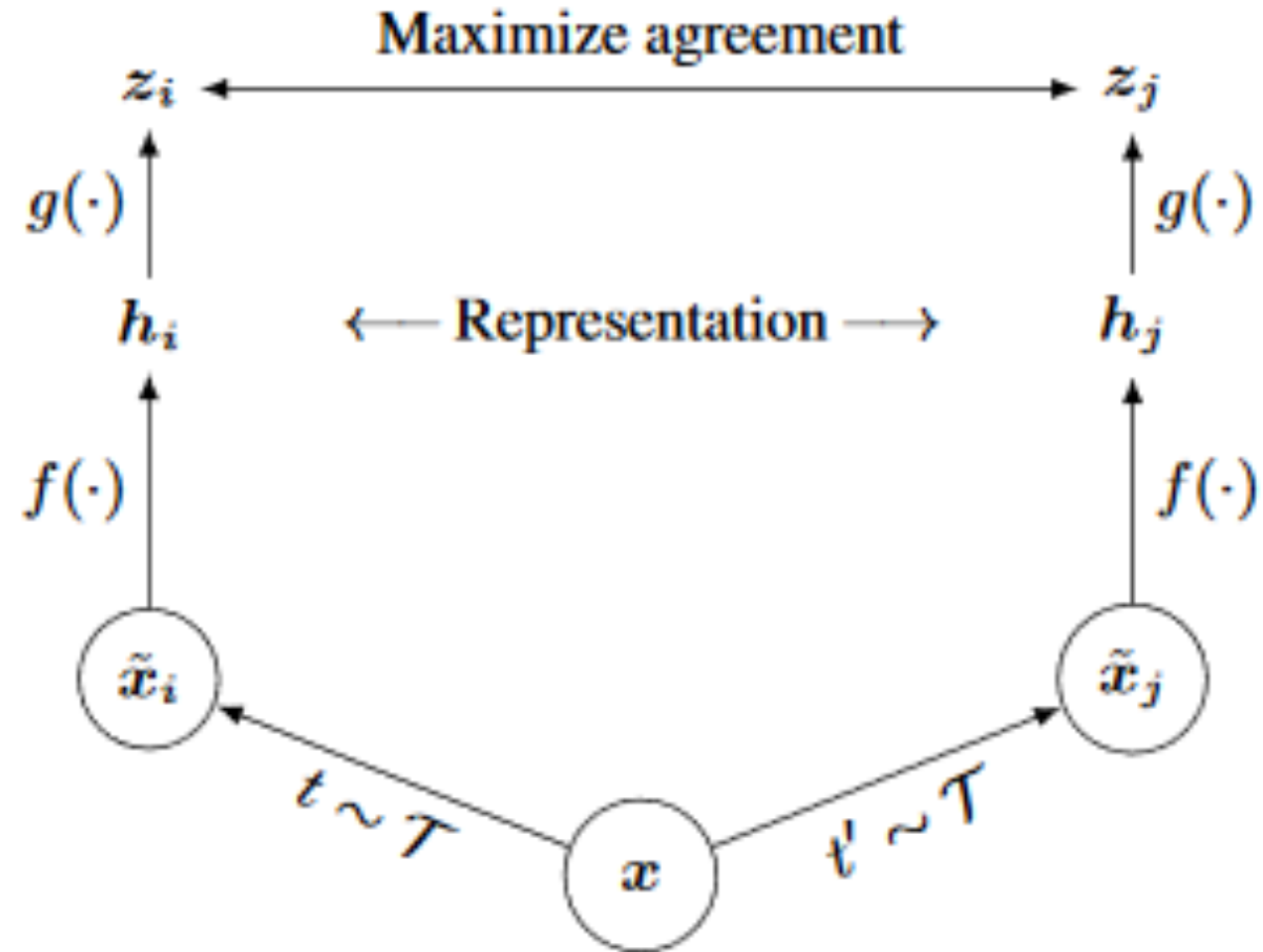
# What is Contrastive Learning?

- Essentially a pretext task for teaching useful representations by *contrasting* different images.
- Network is incentivized to find feature representation where latent vector is *similar* when images are contextually similar.
  - Current contrastive learning methods sometimes require specific network architectures



# Idea behind SimCLR

- Use data augmentation to create similar images on unlabeled data
- Pass paired images through encoder  $f$
- Change Encoding into feature vector with projection head  $g$
- Train network to maximize:
  - Agreement between 'positive examples'
  - Disagreement between 'negatives' (mismatches)



# Experimental Summary

- Contrastive Learning was evaluated by training the SimCLR, then attaching it to a linear classifier (small amount of supervised learning) and testing for accuracy.
- Data augmentation used was ultimately random crop&resize with color distortions and Gaussian Blur.
- Base encoder architecture: ResNet-50
- Projection Head: 2-layer MLP
- Loss function: NT-Xent optimized with LARS.

# Tested Data Augmentations



(a) Original



(b) Crop and resize



(c) Crop, resize (and flip)



(d) Color distort. (drop)



(e) Color distort. (jitter)



f) Rotate  $\{90^\circ, 180^\circ, 270^\circ\}$



(g) Cutout



(h) Gaussian noise

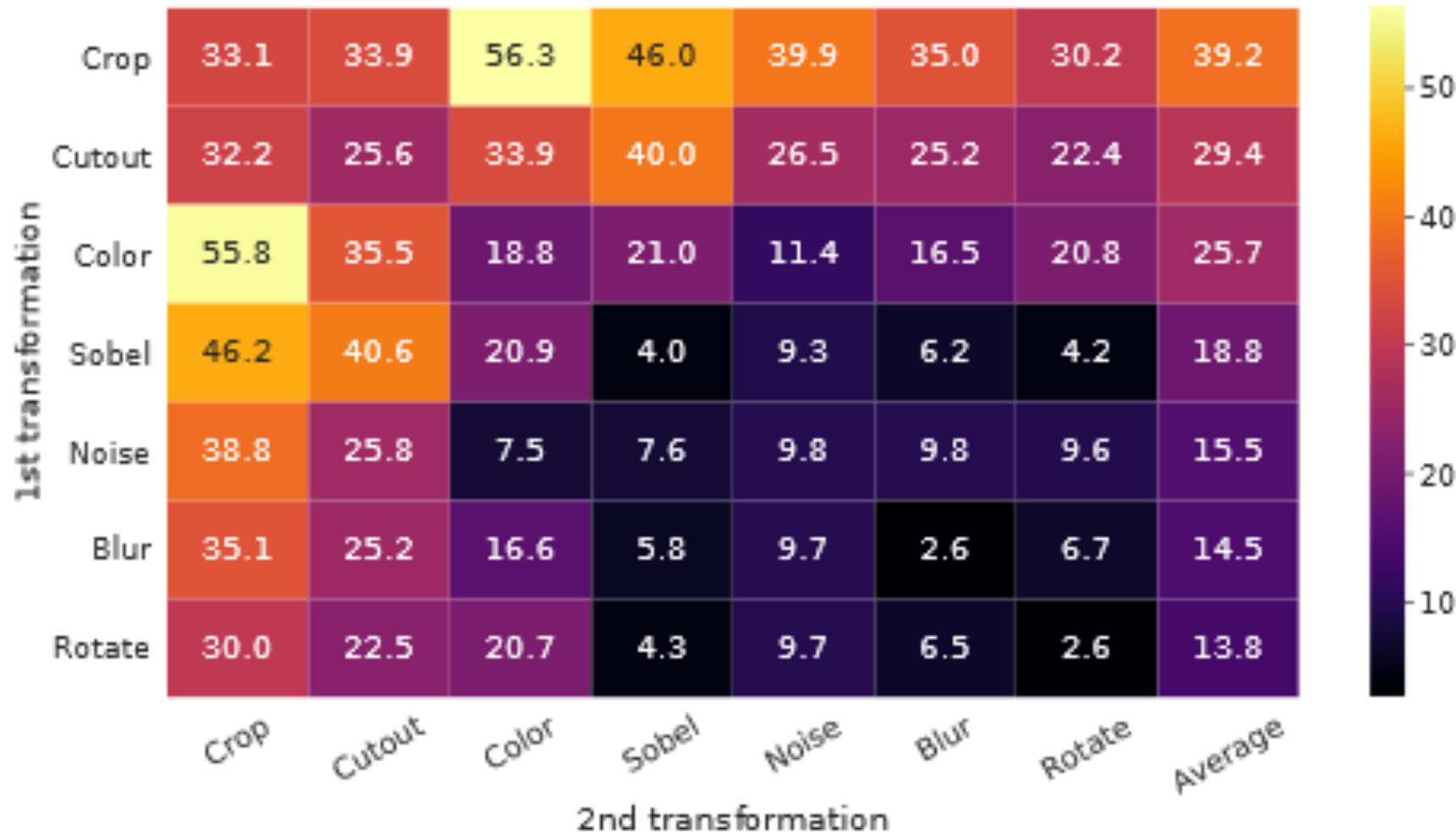


(i) Gaussian blur



(j) Sobel filtering

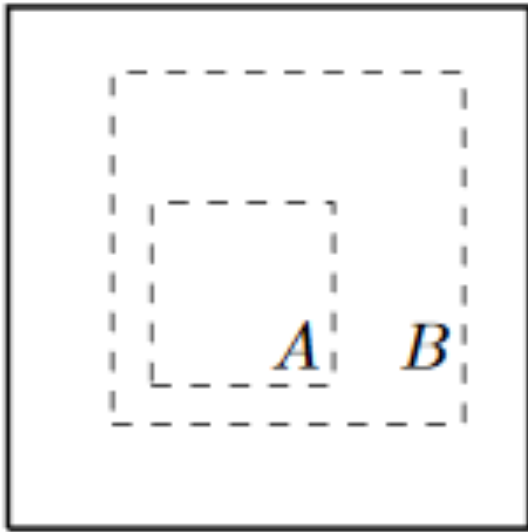
# Performance Results of Different Transforms



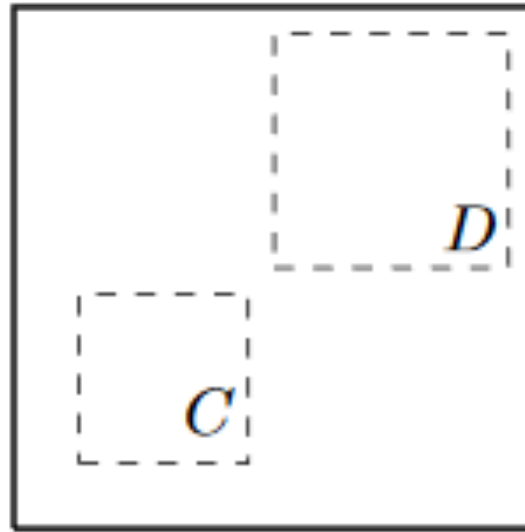
**Figure 5.** Linear evaluation (ImageNet top-1 accuracy) under individual or composition of data augmentations, applied only to one branch. For all columns but the last, diagonal entries correspond to single transformation, and off-diagonals correspond to composition of two transformations (applied sequentially). The last column reflects the average over the row.



# Conclusion: Crop+Color is Superior Augmentation



(a) Global and local views.



(b) Adjacent views.

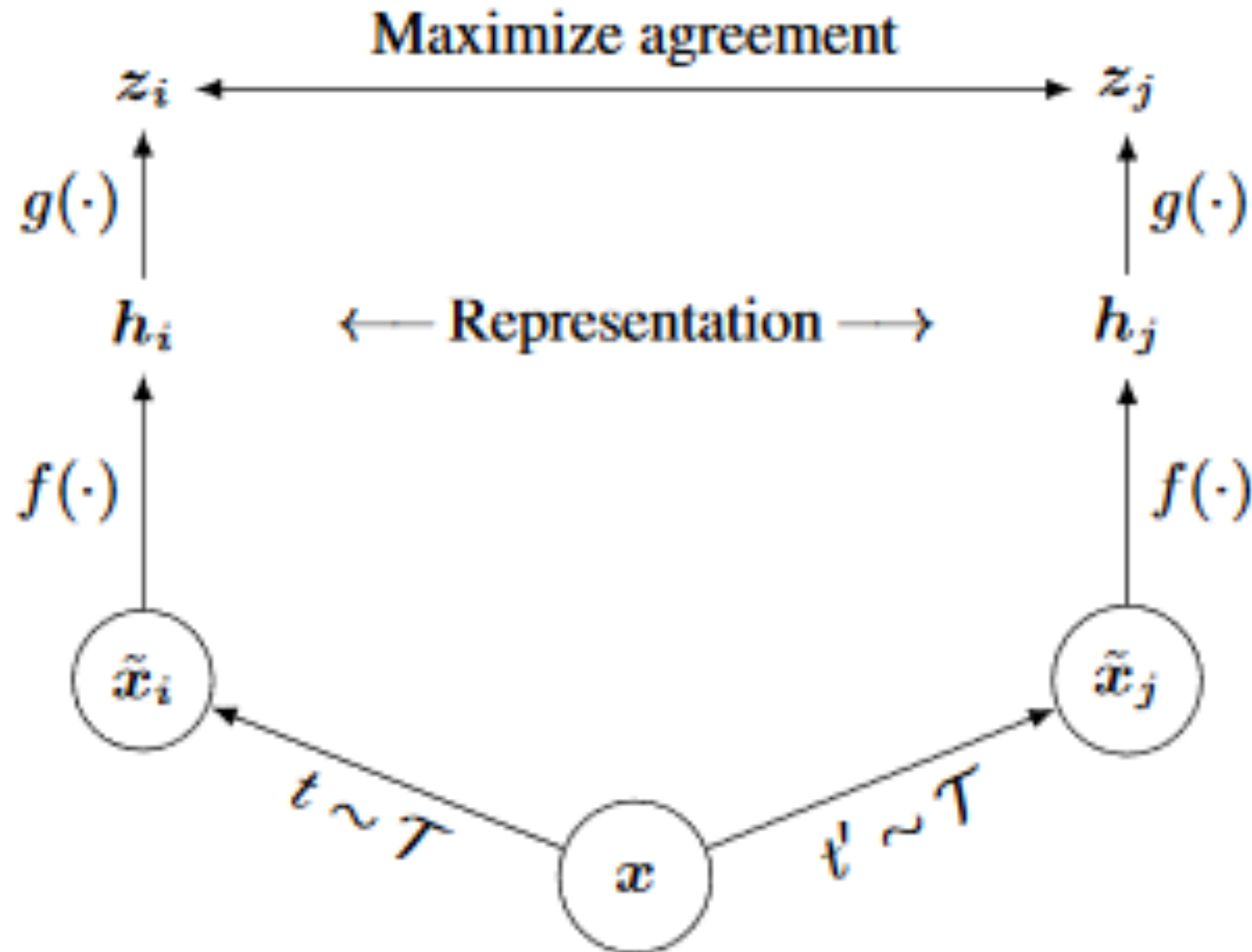
**Figure 3.** Solid rectangles are images, dashed rectangles are random crops. By randomly cropping images, we sample contrastive prediction tasks that include global to local view ( $B \rightarrow A$ ) or adjacent view ( $D \rightarrow C$ ) prediction

# Contrastive Learning calls for *Stronger* Data Augmentation

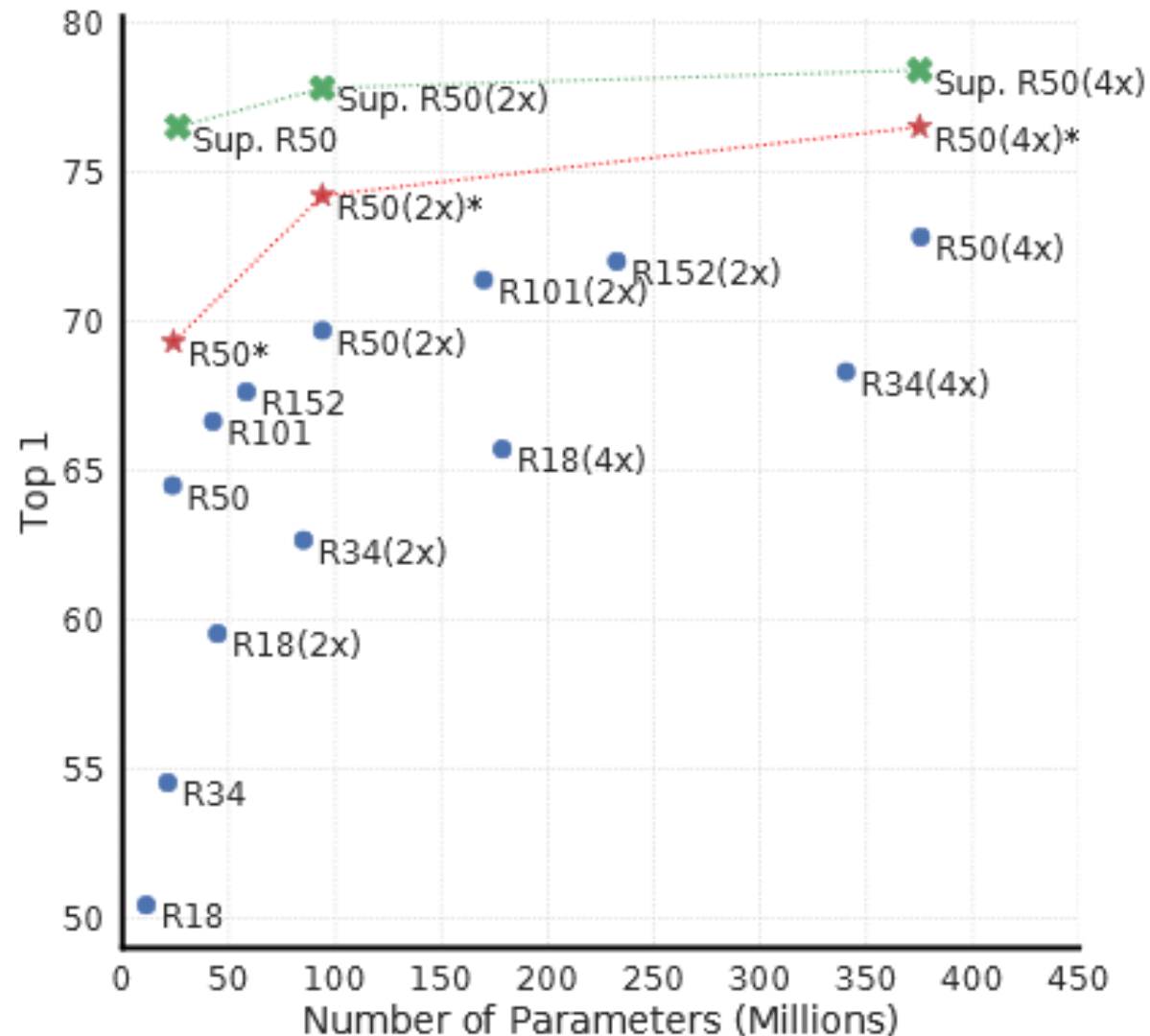
| Methods    | Color distortion strength |      |      |      |           | AutoAug |
|------------|---------------------------|------|------|------|-----------|---------|
|            | 1/8                       | 1/4  | 1/2  | 1    | 1 (+Blur) |         |
| SimCLR     | 59.6                      | 61.0 | 62.6 | 63.2 | 64.5      | 61.1    |
| Supervised | 77.0                      | 76.7 | 76.5 | 75.7 | 75.4      | 77.1    |

*Table 1.* Top-1 accuracy of unsupervised ResNet-50 using linear evaluation and supervised ResNet-50<sup>5</sup>, under varied color distortion strength (see Appendix A) and other data transformations. Strength 1 (+Blur) is our default data augmentation policy.

# Encoder and Projection Head

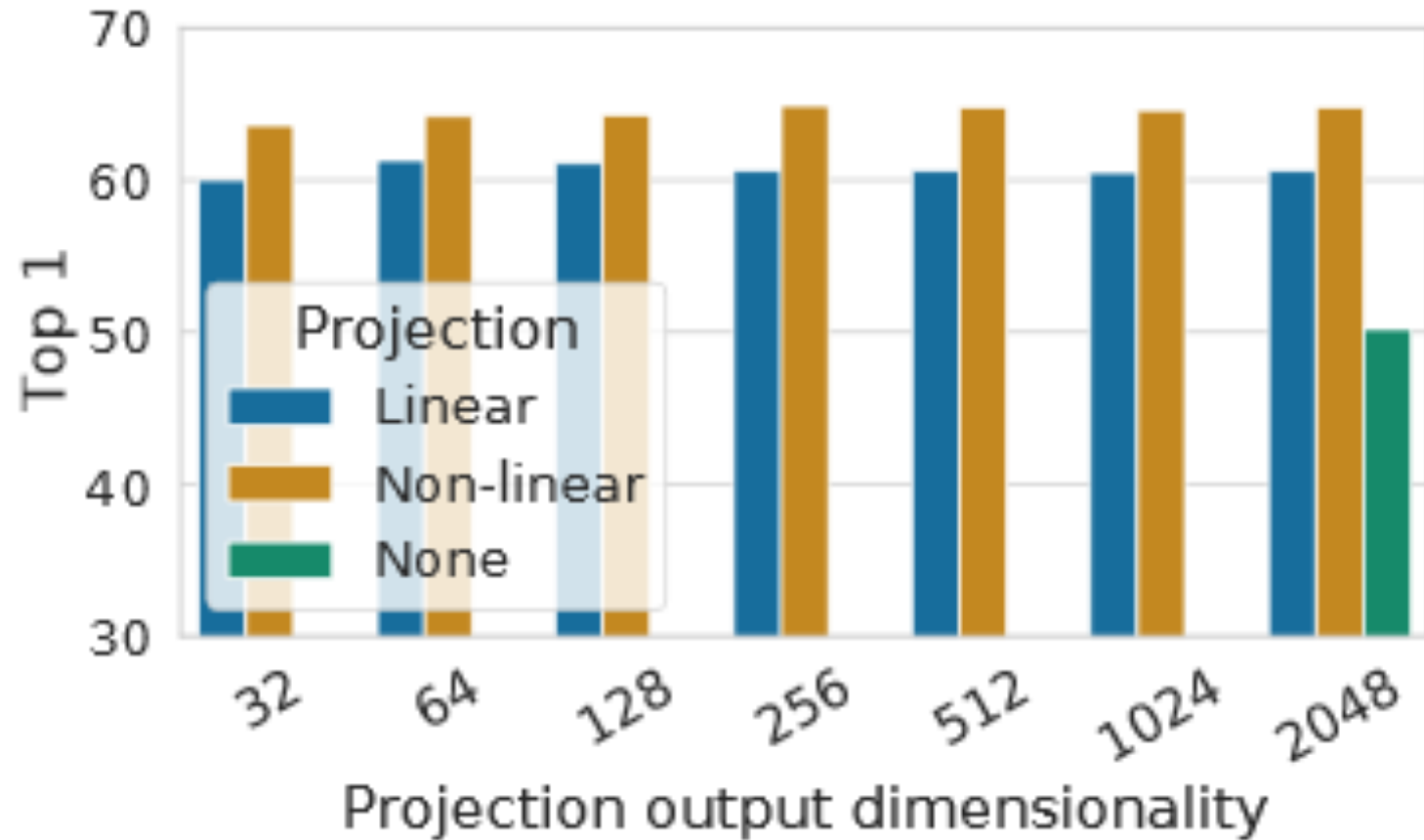


# Larger Encoder Networks = Better Performance



**Figure 7.** Linear evaluation of models with varied depth and width. Models in blue dots are ours trained for 100 epochs, models in red stars are ours trained for 1000 epochs, and models in green crosses are supervised ResNets trained for 90 epochs (He et al., 2016)

# Non-linear Projection Head gave best results



- **Figure 8.** Linear evaluation of representations with different projection heads  $g(\cdot)$  and various dimensions of  $z=g(h)$ . The representation  $h$  (before projection) is 2048-dimensional here.

# Projection Head testing of other Transforms

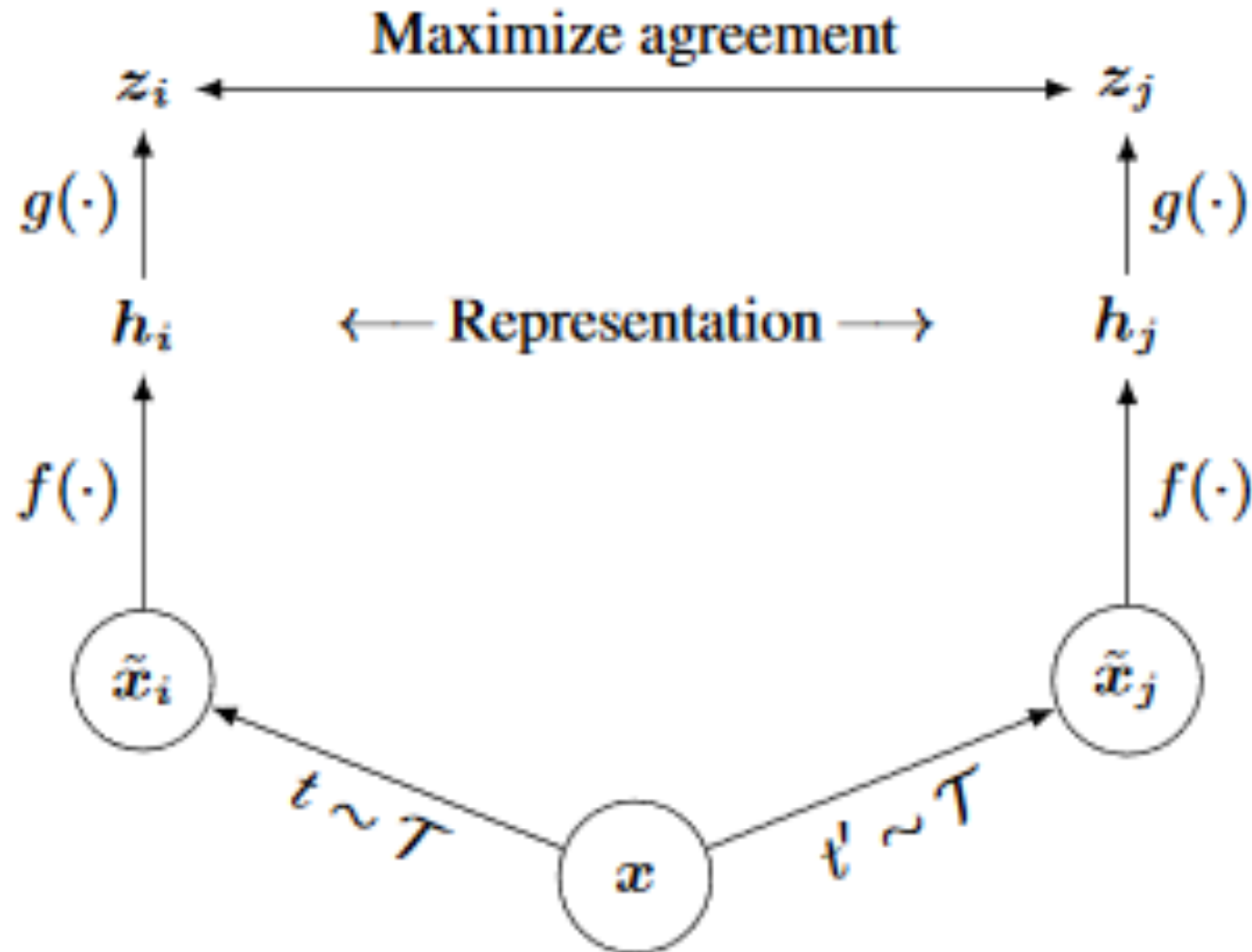
| What to predict?        | Random guess | Representation<br>$h$ | $g(h)$ |
|-------------------------|--------------|-----------------------|--------|
| Color vs grayscale      | 80           | 99.3                  | 97.4   |
| Rotation                | 25           | 67.6                  | 25.6   |
| Orig. vs corrupted      | 50           | 99.5                  | 59.6   |
| Orig. vs Sobel filtered | 50           | 96.6                  | 56.3   |

**Table 3.** Accuracy of training additional MLPs on different representations to predict the transformation applied. Other than crop and color augmentation, we additionally and independently add rotation (one of  $\{0^\circ, 90^\circ, 180^\circ, 270^\circ\}$ ), Gaussian noise, and Sobel filtering transformation during the pretraining for the last three rows. Both  $h$  and  $g(h)$  are of the same dimensionality, i.e. 2048.

# Projection head not used in final classification

- Use of projection head is only used for training encoder via contrastive loss
- Layer before projection head  $g$  is a better feature representation for the image.
- “ $z=g(h)$  is trained to be invariant to data transformation. Thus,  $g$  can remove information that may be useful for the downstream task, such as the color or orientation of objects”

# Contrastive Loss





# NT-Xent Shows best performance of loss functions

| Name           | Negative loss function                                               |
|----------------|----------------------------------------------------------------------|
| NT-Xent        | $u^T v^+ / \tau - \log \sum_{v \in \{v^+, v^-\}} \exp(u^T v / \tau)$ |
| NT-Logistic    | $\log \sigma(u^T v^+ / \tau) + \log \sigma(-u^T v^- / \tau)$         |
| Margin Triplet | $-\max(u^T v^- - u^T v^+ + m, 0)$                                    |

**Table 2.** Negative loss functions. All input vectors, i.e.  $u$ ,  $v^+$ ,  $v^-$ , are  $\ell_2$  normalized. NT-Xent is an abbreviation for “Normalized Temperature-scaled Cross Entropy”. Different loss functions impose different weightings of positive and negative examples

| Margin | NT-Logi. | Margin (sh) | NT-Logi.(sh) | NT-Xent |
|--------|----------|-------------|--------------|---------|
| 50.9   | 51.6     | 57.5        | 57.9         | 63.9    |

**Table 4.** Linear evaluation (top-1) for models trained with different loss functions. “sh” means using semi-hard negative mining.

# NT-Xent

$$\ell_{i,j} = -\log \frac{\exp(\text{sim}(\mathbf{z}_i, \mathbf{z}_j)/\tau)}{\sum_{k=1}^{2N} \mathbb{1}_{[k \neq i]} \exp(\text{sim}(\mathbf{z}_i, \mathbf{z}_k)/\tau)}, \quad (1)$$

$\tau$  is temperature constant.

Where  $\text{sim}()$  is the normalized dot product between two vectors

$$\text{sim}(\mathbf{u}, \mathbf{v}) = \mathbf{u}^\top \mathbf{v} / \|\mathbf{u}\| \|\mathbf{v}\|$$

# Experimentation with Temperature and Norm

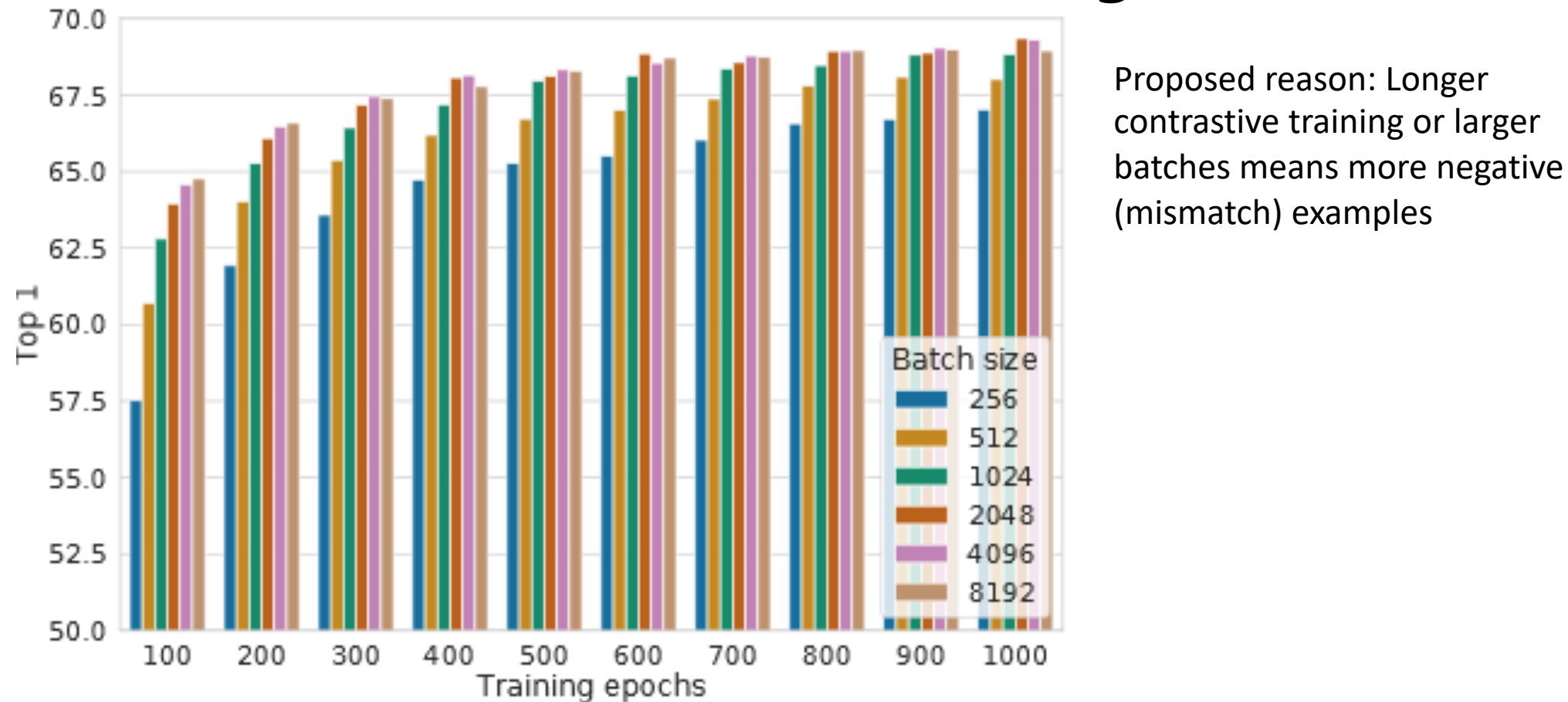
| $\ell_2$ norm? | $\tau$ | Entropy | Contrastive acc. | Top 1 |
|----------------|--------|---------|------------------|-------|
| Yes            | 0.05   | 1.0     | 90.5             | 59.7  |
|                | 0.1    | 4.5     | 87.8             | 64.4  |
|                | 0.5    | 8.2     | 68.2             | 60.7  |
|                | 1      | 8.3     | 59.1             | 58.0  |
| No             | 10     | 0.5     | 91.7             | 57.2  |
|                | 100    | 0.5     | 92.1             | 57.0  |

*Table 5.* Linear evaluation for models trained with different choices of  $\ell_2$  norm and temperature  $\tau$  for NT-Xent loss. The contrastive distribution is over 4096 examples.

# Final Testing on Classification Task

- ImageNet (among other databases) used
- Contrastive learning applied. Learned encoder is then attached to linear classifier (also ResNet-50)

# Contrastive Learning Benefits From Larger batch sizes and longer training



*Figure 9. Linear evaluation models (ResNet-50) trained with different batch size and epochs. Each bar is a single run from scratch.*

# Comparison to State-of-the-Art

| Method                                    | Architecture            | Param. | Top 1       | Top 5       |
|-------------------------------------------|-------------------------|--------|-------------|-------------|
| <i>Methods using ResNet-50:</i>           |                         |        |             |             |
| Local Agg.                                | ResNet-50               | 24     | 60.2        | -           |
| MoCo                                      | ResNet-50               | 24     | 60.6        | -           |
| PIRL                                      | ResNet-50               | 24     | 63.6        | -           |
| CPC v2                                    | ResNet-50               | 24     | 63.8        | 85.3        |
| SimCLR (ours)                             | ResNet-50               | 24     | <b>69.3</b> | <b>89.0</b> |
| <i>Methods using other architectures:</i> |                         |        |             |             |
| Rotation                                  | RevNet-50 (4 $\times$ ) | 86     | 55.4        | -           |
| BigBiGAN                                  | RevNet-50 (4 $\times$ ) | 86     | 61.3        | 81.9        |
| AMDIM                                     | Custom-ResNet           | 626    | 68.1        | -           |
| CMC                                       | ResNet-50 (2 $\times$ ) | 188    | 68.4        | 88.2        |
| MoCo                                      | ResNet-50 (4 $\times$ ) | 375    | 68.6        | -           |
| CPC v2                                    | ResNet-161 (*)          | 305    | 71.5        | 90.1        |
| SimCLR (ours)                             | ResNet-50 (2 $\times$ ) | 94     | 74.2        | 92.0        |
| SimCLR (ours)                             | ResNet-50 (4 $\times$ ) | 375    | <b>76.5</b> | <b>93.2</b> |

*Table 6.* ImageNet accuracies of linear classifiers trained on representations learned with different self-supervised methods.

| Method                                             | Architecture            | Label fraction |             |
|----------------------------------------------------|-------------------------|----------------|-------------|
|                                                    |                         | 1%             | 10%         |
|                                                    |                         | Top 5          |             |
| Supervised baseline                                | ResNet-50               | 48.4           | 80.4        |
| <i>Methods using other label-propagation:</i>      |                         |                |             |
| Pseudo-label                                       | ResNet-50               | 51.6           | 82.4        |
| VAT+Entropy Min.                                   | ResNet-50               | 47.0           | 83.4        |
| UDA (w. RandAug)                                   | ResNet-50               | -              | 88.5        |
| FixMatch (w. RandAug)                              | ResNet-50               | -              | 89.1        |
| S4L (Rot+VAT+En. M.)                               | ResNet-50 (4 $\times$ ) | -              | 91.2        |
| <i>Methods using representation learning only:</i> |                         |                |             |
| InstDisc                                           | ResNet-50               | 39.2           | 77.4        |
| BigBiGAN                                           | RevNet-50 (4 $\times$ ) | 55.2           | 78.8        |
| PIRL                                               | ResNet-50               | 57.2           | 83.8        |
| CPC v2                                             | ResNet-161(*)           | 77.9           | 91.2        |
| SimCLR (ours)                                      | ResNet-50               | 75.5           | 87.8        |
| SimCLR (ours)                                      | ResNet-50 (2 $\times$ ) | 83.0           | 91.2        |
| SimCLR (ours)                                      | ResNet-50 (4 $\times$ ) | <b>85.8</b>    | <b>92.6</b> |

Table 7. ImageNet accuracy of models trained with few labels.



# Evaluation on other Classification Tasks

|                           | Food        | CIFAR10     | CIFAR100    | Birdsnap    | SUN397      | Cars        | Aircraft    | VOC2007     | DTD         | Pets        | Caltech-101 | Flowers     |
|---------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| <i>Linear evaluation:</i> |             |             |             |             |             |             |             |             |             |             |             |             |
| SimCLR (ours)             | <b>76.9</b> | <b>95.3</b> | 80.2        | 48.4        | <b>65.9</b> | 60.0        | 61.2        | <b>84.2</b> | <b>78.9</b> | 89.2        | <b>93.9</b> | <b>95.0</b> |
| Supervised                | 75.2        | <b>95.7</b> | <b>81.2</b> | <b>56.4</b> | 64.9        | <b>68.8</b> | <b>63.8</b> | 83.8        | <b>78.7</b> | <b>92.3</b> | <b>94.1</b> | 94.2        |
| <i>Fine-tuned:</i>        |             |             |             |             |             |             |             |             |             |             |             |             |
| SimCLR (ours)             | <b>89.4</b> | <b>98.6</b> | <b>89.0</b> | <b>78.2</b> | <b>68.1</b> | <b>92.1</b> | <b>87.0</b> | <b>86.6</b> | <b>77.8</b> | 92.1        | <b>94.1</b> | 97.6        |
| Supervised                | 88.7        | 98.3        | <b>88.7</b> | <b>77.8</b> | 67.0        | 91.4        | <b>88.0</b> | 86.5        | <b>78.8</b> | <b>93.2</b> | <b>94.2</b> | <b>98.0</b> |
| Random init               | 88.3        | 96.0        | 81.9        | <b>77.0</b> | 53.7        | 91.3        | 84.8        | 69.4        | 64.1        | 82.7        | 72.5        | 92.5        |

Table 8. Comparison of transfer learning performance of our self-supervised approach with supervised baselines across 12 natural image classification datasets, for ResNet-50 (4×) models pretrained on ImageNet. Results not significantly worse than the best ( $p > 0.05$ , permutation test) are shown in bold. See Appendix B.8 for experimental details and results with standard ResNet-50.



# Conclusion

- Contrastive Learning with data augmentation is a viable method for teaching feature representations.
- Data augmentation strength, training batch size, etc. are critical parameters for success with contrastive learning
- “We note that the superiority of our framework relative to previous work is not explained by any single design choice, but by their composition.”