GWASTools: an R/Bioconductor package for quality control and analysis of genome-wide association studies

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ABSTRACT

Summary: GWASTools is an R/Bioconductor package for quality control and analysis of genome-wide association studies (GWAS). GWASTools brings the interactive capability and extensive statistical libraries of R to GWAS. Data are stored in NetCDF format to accommodate extremely large datasets that cannot fit within R's memory limits. The documentation includes instructions for converting data from multiple formats, including variants called from sequencing. GWASTools provides a convenient interface for linking genotypes and intensity data with sample and single nucleotide polymorphism annotation.

Availability and implementation: GWASTools is implemented in R and is available from Bioconductor (http://www.bioconductor.org). An extensive vignette detailing a recommended work flow is included.

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1 INTRODUCTION

Despite the increasing popularity of next-generation sequencing, the relatively low cost of chip arrays and the recent introduction of exome chips continue to make genome-wide association studies (GWAS) an attractive option for many investigators. The success of GWAS depends in large part on rigorous quality control (QC) and quality assurance (QA) to ensure that false-positive signals are removed and that real signals are not masked by low-quality data (Laurie *et al.*, 2010). We present GWASTools, an R/Bioconductor package to facilitate QC/QA of large single nucleotide polymorphism (SNP) datasets.

As SNP arrays have increased in size (commonly >1 million SNPs), it is often impossible to store an entire dataset in R's virtual memory, even at maximum compression of one byte per genotype. Analysing floating-point values, such as allelic probe intensity, requires even more storage space. Existing R packages for GWAS, such as snpStats (Clayton and Leung, 2007), store genotype matrices in memory and do not include

intensity data. We use the network common data form (NetCDF) format to store large genotype and intensity datasets on disk with efficient access to subsets of the data. For an example dataset of 5000 samples and 1 000 000 SNPs (which exceeds R's limit of 2^{31} elements in a single array), iterative read time for a NetCDF file is up to 22 times faster than a PED file.

The benefits of GWASTools include the interactive analysis provided by R's interface and the ability to include intensity data. Intensity data can be used to detect sex chromosome aneuploidies (which can be confused with sex mis-annotation), autosomal anomalies (which generate genotyping errors) and evaluation of clustering by genotype call. GWASTools supports the following format conversions: input—plain text, PLINK, Variant Call Format, imputed genotypes (IMPUTE2, BEAGLE, MaCH); output—PLINK, snpStats objects.

2 DATA STRUCTURES

GWASTools uses R's S4 object system to provide formally defined methods and classes for GWAS data and annotation.

Genotype and intensity data are stored in NetCDF files with dimensions (SNP, sample) and are accessed through the NcdfGenotypeReader and NcdfIntensityReader classes. The ncdf package is used by these classes as the base-level interface to the NetCDF files.

Both the SNP and sample dimensions in a NetCDF file have associated annotation. For SNPs, this usually includes chromosome, position, reference SNP ID (rsID) and alleles. For samples, annotation can include sex, phenotype, pedigree and genotyping batch. Often the same sample is genotyped more than once to use the concordance between the two genotyping instances (or 'scans') as a QC measure. Each SNP and scan is given a unique integer ID that serves as the primary key between the NetCDF files and the annotation. SNP and scan annotations are stored in instances of SnpAnnotationDataFrame and ScanAnnotationDataFrame, respectively, which extend the AnnotatedDataFrame class in the Biobase package. These classes store both annotation values and metadata (a description of each annotation variable). Some variables are required (snpID, chromosome and position in an

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SnpAnnotationDataFrame and scanID in a ScanAnnotation
DataFrame), but any number of other variables of different
types may also be included.

An important component of GWAS analysis is ensuring that
annotation is mapped correctly to its corresponding genotype
data. The classes GenotypeData and IntensityData each contain
a NetCDF reader object, a SNP annotation object and a scan
annotation object. On object creation, validity methods ensure
that the primary keys of the NetCDF and annotation objects
match. Most functions in GWASTools take GenotypeData
and IntensityData objects as arguments, such that all necessary
information (e.g. genotype, chromosome and sex) is contained
in a single object. Common methods are provided to access
data across multiple classes, including getSnpID, getScanID,
getChromosome, getPosition, getSex and getGenotype.

In designing GWASTools, we took care to separate the appli-
cation programming interface of the GenotypeData and
IntensityData classes from the format in which the data are
stored. This design allows for extension to other data formats
without changing any of the functions that use GenotypeData
and IntensityData objects. For example, GWASTools contains
classes for storing SNP and scan annotation in SQLite format,
and for storing genotype data in-memory as a matrix or on disk
in the highly efficient genomic data structure (GDS) format used
by SNPRelate (Zheng *et al*., 2012). A GenotypeData object can
be constructed with these objects as well. In addition to the func-
tionality already included in GWASTools, one could make use
of the GenotypeData and IntensityData classes to apply the
many statistical functions available in R to GWAS data.

3 AVAILABLE FUNCTIONALITY

In this section, we present some common tasks utilizing the
GWASTools package.

3.1 Creating NetCDF files
NetCDF files can be created from data in plain text format, such
as files for Illumina and Affymetrix arrays released by a geno-
typing centre or from PLINK PED/MAP files.

3.2 Statistics by SNP or scan
Allele frequency, missing call rate or heterozygosity can all be
calculated by SNP and by scan, with the option to exclude cer-
tain SNPs or scans. For sex chromosomes, male and female sub-
jects are considered separately. Mean intensity can be calculated
by scan.

3.3 Batch quality
Missing call rate by scan can be compared with genotyping batch
to look for outliers. The allele frequency of each batch compared
with all other batches can also be an indicator of problematic
batches.

3.4 Chromosome anomalies
The B allele frequency (BAF) and log R ratio (LRR) are useful
metrics for detecting chromosome anomalies (Conlin *et al*., 2010;
Peiffer *et al*., 2006). BAF and LRR values may be calculated

from the genotype and intensity data if they are not included
in the raw data files. GWASTools uses circular binary segmen-
tation (Venkatraman and Olshen, 2007) to find change points
in BAF and detects loss of heterozygosity by identifying homo-
zygous runs with change in LRR. See Laurie *et al*. (2012) for an
application of this method.

3.5 Relatedness
GWASTools contains functions for checking pedigrees for ac-
curacy, as well as inferring pairwise relationships from and plot-
ting kinship coefficients. The companion package SNPRelate
is recommended for relatedness and population structure, and
its native data format may be used in GWASTools.

3.6 SNP quality
SNP quality can be addressed by (i) checking the genotyping con-
cordance between duplicate scans of the same sample; (ii) looking
for Mendelian errors in families; and (iii) finding deviations from
Hardy–Weinberg equilibrium in control subjects. Other useful fil-
ters involve removing SNPs with poor genotyping quality scores,
high missing call rate, low minor allele frequency and sex differ-
ences in allele frequency and heterozygosity.

3.7 Association tests
Regression tests (on genotype calls and imputed dosages) and
survival analysis can be performed.

3.8 Plotting
GWASTools contains many plotting functions, including geno-
type cluster plots, BAF/LRR plots with chromosome ideograms,
quantile–quantile plots and Manhattan plots.

3.9 Summary and Performance
For a full description of recommended QC methods and
GWASTools functionality, see Laurie *et al*. (2010) and the vign-
ette 'GWAS Data Cleaning' supplied with GWASTools.

We compared the performance of GWASTools against PLINK
on a system with a 2.66 Ghz Intel Core i5 processor with 16 GB
RAM and running Mac OS X 10.6.8. The test dataset had 269
samples and 1 134 514 SNPs. (i) Missing call rate by SNP:
GWASTools 72s, PLINK with PED format 352s, PLINK with
binary format 153s. (ii) Mendelian errors: GWASTools 199s,
PLINK with PED format 382s, PLINK with binary format 181s.

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