

# BioQ: tracing experimental origins in public genomic databases using a novel data provenance model

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## ABSTRACT

**Motivation:** Public genomic databases, which are often used to guide genetic studies of human disease, are now being applied to genomic medicine through *in silico* integrative genomics. These databases, however, often lack tools for systematically determining the experimental origins of the data.

**Results:** We introduce a new data provenance model that we have implemented in a public web application, BioQ, for assessing the reliability of the data by systematically tracing its experimental origins to the original subjects and biologies. BioQ allows investigators to both visualize data provenance as well as explore individual elements of experimental process flow using precise tools for detailed data exploration and documentation. It includes a number of human genetic variation databases such as the HapMap and 1000 Genomes projects.

**Availability and implementation:** BioQ is freely available to the public at <http://bioq.saclab.net>

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**Supplementary information:** Supplementary data are available at *Bioinformatics* online.

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## 1 INTRODUCTION

The rapid expansion of biotechnology continues to drive the public availability of massive, complex genomic databases. These databases are often used in applications of *in silico* integrative genomics to genetic studies of human disease (Hawkins *et al.*, 2010; Hirschhorn, 2009) as well as genomic medicine (Green *et al.*, 2011). It is therefore critical for investigators to have tools for systematically assessing the credibility of the data (Baggerly, 2010).

We have developed a straightforward model for tracing experimental process flow in genomic databases. The goal is to isolate the key entities in the database—the biologies, the experiments and the experimental results—and to express their relationships in terms of experimental process flow. We call this the Biologic-Experiment-Result (BERT) model and have implemented this model in our BioQ web application (<http://bioq.saclab.net>). BioQ builds on our dbSNP-Q application (Saccone *et al.*, 2011) to allow investigators to visualize experimental process flow and retrieve process-related data with powerful query tools.

## 2 TRACING EXPERIMENTAL PROCESS FLOW

Figure 1 shows an application of the BERT model, as implemented in BioQ, to allele frequency data from the 1000 Genomes Project (Durbin *et al.*, 2010) (see Supplementary Figs S1 and S2 for additional examples). These estimates, which are a key experimental result in the 1000 Genomes database, can be clearly traced back through specific processes, such as the methods and software applied to the original 1000 Genomes data files, to detailed information on the subjects and their biologies, including data on pedigree structure and DNA extraction from the Coriell Institute (<http://ccr.coriell.org>). In BioQ these process flow diagrams are fully interactive. Each node in Figure 1, which is taken directly from BioQ (<http://tinyurl.com/75rlujj>), is linked to detailed documentation and powerful data query tools (see Supplementary Figs S3 and S4).

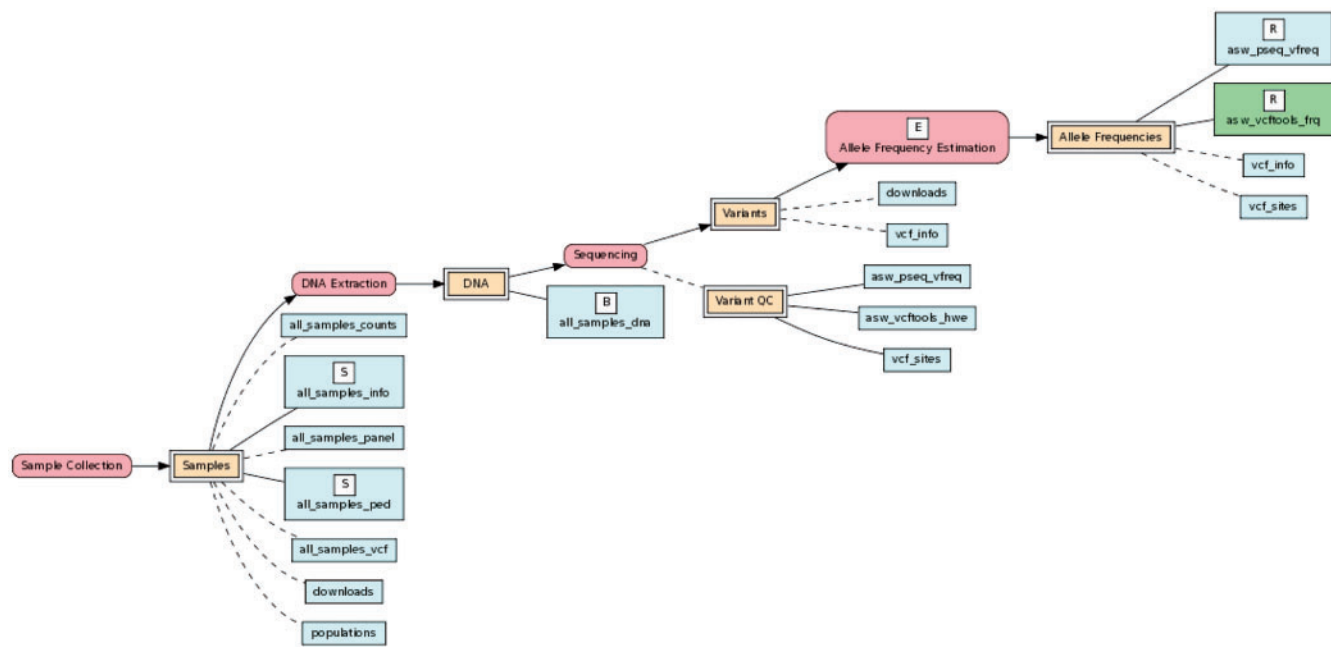
Process flow diagrams should ideally include information on diagnostics and quality control (QC). Our application to the HapMap and 1000 Genomes projects, for example, includes detailed results from our own QC analyses including sample- and marker-specific call rates, tests of Mendelian errors and Hardy–Weinberg equilibrium (see the Supplementary Materials for details). In particular, when developing tables related to Mendelian errors in the HapMap (Altshuler *et al.*, 2010) Phase III Release III database we discovered a familial misspecification in the HapMap data files. The details are described in the BioQ documentation for the table *summary\_samples* (<http://tinyurl.com/7q5of5e>) (see the Supplementary Materials for details).

Clearly there will be some genomic databases of interest to geneticists that lack data on experimental origins. BioQ, for example, includes the NHGRI genome-wide association study (GWAS) database (Hindorf *et al.*, 2009). The NHGRI database contains the top association results from published GWAS. It does not, however, include detailed data on QC measures for individual association results, although links to publications are provided as indicated by the BioQ documentation for this database (<http://tinyurl.com/7ztufdg>). BioQ uses the BERT model to clearly and systematically show when experimental results are not traceable to subjects and biologies and when QC data is lacking (see Supplementary Figs S5 and S6).

## 3 DISCUSSION

The goal of the BERT data provenance model is to provide investigators with a practical means of systematically establishing a reasonable level of credibility when using genomic databases. Our BioQ web application implements this model in a highly transparent

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**Fig. 1.** How the BERT model is used to trace experimental process flow back to the original samples and biologics for allele frequency estimates in the ASW population (African Americans in the Southwestern USA) from the October 2011 Release of the 1000 Genomes Project. The double rectangles represent groups of tables that may be input or output for the various processes and experiments (represented by ovals) in the database; these are referred to as flow groups. Processes that yield key experimental results in the database are designated as Experiments and labeled ‘E’. Tables in the database are represented by simple rectangles. Tables containing data on subjects, biologics and key experimental results are indicated by the labels ‘S’, ‘B’ and ‘R’, respectively. The goal is to trace the results back to subjects and biologics. Some groups and individual tables contain auxiliary reference data for processes and flow groups, respectively; reference nodes are indicated by dashed lines. See the Supplementary Materials for addition information on the BERT model.

way with numerous tools for data retrieval. Other models, such as FuGE (Jones *et al.*, 2007), are more appropriate for capturing the full spectrum of experimental detail. The relative simplicity of the BERT model will allow applications such as BioQ to adapt as the requirements of investigators evolve.

Very few tools allow investigators to trace the experimental source of genomic data and to assess QC in detail as in BioQ. Without tools such as BioQ these undertakings can take days, even weeks given the enormity of tests required to thoroughly assess QC, the sheer size of the datasets involved and the difficulty in locating provenance data. It is therefore likely that in many cases these assessments will not be done, and this may lead to the use of faulty data. A recent incident at Duke University (Samuel Reich, 2011), for example, involving the use of flawed data in a translational genomic study has led to the creation of a new framework at Duke on the quality of translational genomic medicine in which data provenance plays a minor role (<http://tinyurl.com/6pkfdgd>, accessed March 19, 2012).

BioQ is a direct extension of our previous web application dbSNP-Q (Saccone *et al.*, 2011). While dbSNP-Q provides powerful query and documentation tools for the dbSNP database, it does not implement the BERT model. These methods and tools for systematically tracing experimental process flow are unique to BioQ. Resources such as the UCSC database (Fujita *et al.*, 2011) focus on graphical representations of genomic data. These resources do not provide tools for systematically tracing experimental process flow and for querying the underlying relational databases in a web

browser as in BioQ. The BERT model and the BioQ application are designed to complement these resources by providing tools for systematically determining the origins of and assessing the quality of the data used in other tools such as the UCSC genome browser.

The ability to establish the experimental origins of genomic data and to systematically assess QC at all stages of the experimental process is a systemic issue relevant to all genomic applications. It is therefore useful to have a separate resource dedicated to resolving this issue that can be used in conjunction with other applications. As new bioinformatics applications emerge that further bridge the gap between genomics and medicine, the availability of tools for systematically determining experimental origins will be crucial for maintaining reasonable levels of credibility.

The BioQ project has taken a number of measures to ensure that updates to external genomic databases are incorporated punctually and accurately. To each database there corresponds a custom command-line driven program, written in Perl, that downloads the data, processes it, checks for errors, performs QC analyses and creates the MySQL databases used in BioQ. Barring any major changes to the formats released by these databases, these programs allow the BioQ update process to be completely automated. All code is publicly available from our Subversion server (<http://svn.saclab.net>) and our code review resource (<http://fisheye.saclab.net>). These programs can be easily modified to accommodate any changes encountered in updates to external genomic databases and will be used to incorporate these updates as they become available.

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