# **Deep Learning and Practice** Lab 4: VA + BN + WI on Cifar-10 0556157

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### Introduction

In Lab 3, we learned how to build an NIN (Network in Network), and how to use Tensorflow (Keras or tflearn) to implement the model. In this Lab, we will be asked to use various activation functions, batch normalization, an weight initialization in NIN, and train it on Cifar-10 dataset.

I used Keras to implement these models and test the following **requirements**:

- Use ReLU, Leaky Rectifier Linear Unit(LReLU) [1], Exponential Linear Unit(ELU) [2] and **Maxout** activation function
- implement weight initialization method of He's paper [3]
- use batch normalization [4] in NIN model.
- Train NIN using three different activation functions and compare
  - 2(ReLU, ELU,) X 2(w/wo BN) X 2(w/wo weight initial)
  - 2(LReLU, Maxout) X 1(with BN) X 1(with weight initial)

### **Lab Description:**

Dying ReLUs

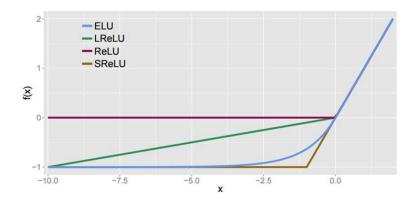


Figure 1: Dying ReLU activations

Leaky Rectifier Linear Unit:

$$f(y_i) = \begin{cases} y_i & \text{if } y_i > 0\\ 0.01y_i & \text{if } y_i \le 0 \end{cases}$$
$$f'(y_i) = \begin{cases} 1 & \text{if } y_i > 0\\ 0.01 & \text{if } y_i \le 0 \end{cases}$$

$$f'(y_i) = \begin{cases} 1 & \text{if } y_i > 0 \\ 0.01 & \text{if } y_i \le 0 \end{cases}$$

■ Exponential Linear Unit:

$$f(y_i) = \begin{cases} y_i & \text{if } y_i > 0 \\ \alpha(\exp(y_i) - 1) & \text{if } y_i \le 0 \end{cases}$$
$$f'(y_i) = \begin{cases} 1 & \text{if } y_i > 0 \\ f(y_i) + \alpha & \text{if } y_i \le 0 \end{cases}$$

 $\alpha = 1$  in the experiments of the original paper

■ He's Weight initialization:

**WI** =  $\operatorname{normal}(\sqrt{2/n_l})$ , where  $n_l$  is  $n_l = k^2 c$ , input size  $k \times k$  with c filters at l-th layer

■ Batch Normalization:

Input: Values of 
$$x$$
 over a mini-batch:  $\mathcal{B} = \{x_{1...m}\}$ ;

Parameters to be learned:  $\gamma$ ,  $\beta$ 

Output:  $\{y_i = \mathrm{BN}_{\gamma,\beta}(x_i)\}$ 

$$\mu_{\mathcal{B}} \leftarrow \frac{1}{m} \sum_{i=1}^m x_i \qquad // \text{mini-batch mean}$$

$$\sigma_{\mathcal{B}}^2 \leftarrow \frac{1}{m} \sum_{i=1}^m (x_i - \mu_{\mathcal{B}})^2 \qquad // \text{mini-batch variance}$$

$$\widehat{x}_i \leftarrow \frac{x_i - \mu_{\mathcal{B}}}{\sqrt{\sigma_{\mathcal{B}}^2 + \epsilon}} \qquad // \text{normalize}$$

$$y_i \leftarrow \gamma \widehat{x}_i + \beta \equiv \mathrm{BN}_{\gamma,\beta}(x_i) \qquad // \text{scale and shift}$$

Figure 2: Batch Normalization algorithms

In CNN:

Original CNN: z = f(Wx + b)

CNN with BN: z = f(BN(Wx + b))

Benefit:

Accelerating training

Regularization

# **Experiment setup**

#### **Architecture Details:**

Table 1: ConvPool-CNN Architecture

Conv 1	5x5 conv. 192 pad = 2 stride = 1	w/wo BN	ReLU/ELU/LReLU
Mlp 1	1x1 conv. 160 pad = 0 stride = 1	w/wo BN	ReLU/ELU/LReLU
Mlp 2	1x1  conv.  96  pad = 0  stride = 1	w/wo BN	ReLU/ELU/LReLU
Pool 1	3x3 max pooling, stride = 2		
	Dropout 0.5		
Conv 2	Conv 2 5x5 conv. 192 pad = 2 stride = 1		ReLU/ELU/LReLU
Mlp 2	1x1 conv. 192 pad = 0 stride = 1	w/wo BN	ReLU/ELU/LReLU
Mlp 2	1x1 conv. 192 pad = 0 stride = 1	w/wo BN	ReLU/ELU/LReLU
Pool 2	3x3 max pooling, stride = 2		
	Dropout 0.5		
Conv 3	3x3 conv. 192 pad = 1 stride = 1	w/wo BN	ReLU/ELU/LReLU
Mlp 3	Mlp 3		ReLU/ELU/LReLU
Mlp 3	Alp 3		ReLU/ELU/LReLU
Global Pool	Global Pool 8x8 average pooling, stride =1		
	Softmax		

### **■** Training hyperparameters:

■ Method: SGD with Nesterov momentum momentum=0.9, nesterov=True

■ Data preprocessing: Color normalization

■ Weight initialization: **He's WI** 

■ batch size: 128 (391 iterations for each epoch)

■ Total epochs: 164

■ Loss function: cross-entropy

■ Initial learning rate: 0.1, divide by 10 at 81, 122 epoch

■ Weight Decay: kernel\_regularizer=keras.regularizers.12(0.0001)

■ Dropout: 0.5

### ■ Data augmentation:

Translation: Pad 4 zeros in each side and random cropping back to 32x32 size

■ Horizontal flipping with probability 0.5

# Result

#### **■** Final Accuracy (test error)

I just test all of the 8 models, it cost about 3 hours(2h59m) to train a model (ELU+BN+WI), (ELU+BN), (BN) and (BN+WI), 1h35min for the other models.

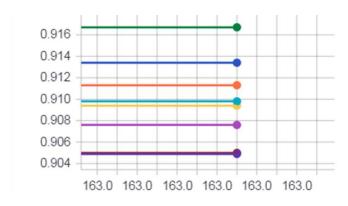
All of the 8 CNN models' final test accuracy are more than 90%.

The ELU+BN+WI model gets 91.67%, and the ELU+BN model gets 91.34%, it seems nice. We can learn that as the data flows through a deep network, the weights and parameters adjust those values, sometimes making the data too big or too small again. By normalizing the data in each mini-batch, this problem is largely avoided.

See the table and figures below for detailed results

Table 2: Test accuracy for all 8 models

ELU+BN+WI	91.67%
ELU+BN	91.34%
BN	91.13%
BN+WI	90.98%
ELU+WI	90.94%
ELU	90.76%
None	90.50%
WI	90.49%



Name	Smoothed	Value	Step	Time	Relative
Ds €BN	0.9113	0.9113	163.0	Fri Mar 24, 20:28:59	2h 58m 58s
BN+WI	0.9098	0.9098	163.0	Fri Mar 24, 23:28:58	2h 58m 48s
loELU	0.9076	0.9076	163.0	Thu Mar 23, 18:45:32	1h 34m 49s
ELU+BN	0.9134	0.9134	163.0	Sat Mar 25, 13:35:27	2h 59m 45s
ELU+BN+WI	0.9167	0.9167	163.0	Sat Mar 25, 16:36:32	2h 59m 54s
ELU+WI	0.9094	0.9094	163.0	Thu Mar 23, 20:20:56	1h 34m 47s
)6WI	0.9049	0.9049	163.0	Thu Mar 23, 12:52:13	1h 33m 51s
<sup>2</sup> none	0.9050	0.9050	163.0	Thu Mar 23, 11:17:46	1h 33m 54s

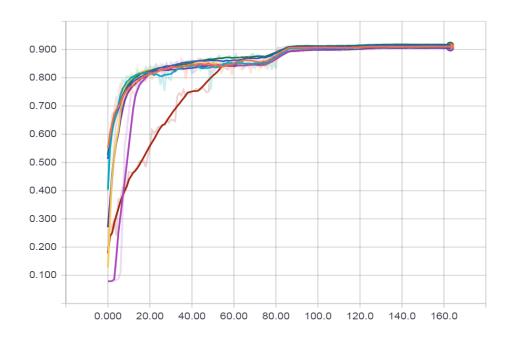
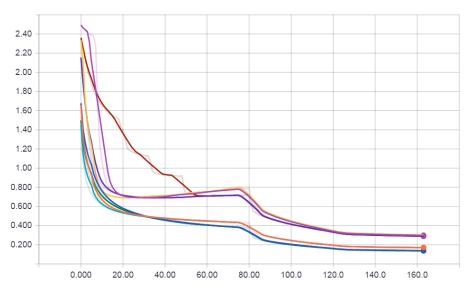


Figure 3: Test accuracy curve for all 8 models

### **■** Training loss curve:



Name	Smoothed	Value	Step	Time	Relative
alcBN	0.1722	0.1722	163.0	Fri Mar 24, 20:28:59	2h 58m 58s
BN+WI	0.1706	0.1706	163.0	Fri Mar 24, 23:28:58	2h 58m 48s
v acELU	0.2973	0.2973	163.0	Thu Mar 23, 18:45:32	1h 34m 49s
ELU+BN	0.1383	0.1383	163.0	Sat Mar 25, 13:35:27	2h 59m 45s
ELU+BN+WI	0.1365	0.1365	163.0	Sat Mar 25, 16:36:32	2h 59m 54s
ELU+WI	0.3027	0.3027	163.0	Thu Mar 23, 20:20:56	1h 34m 47s
i wi	0.2901	0.2901	163.0	Thu Mar 23, 12:52:13	1h 33m 51s
none	0.2920	0.2920	163.0	Thu Mar 23, 11:17:46	1h 33m 54s

Figure 4: Training loss curve for all 8 models

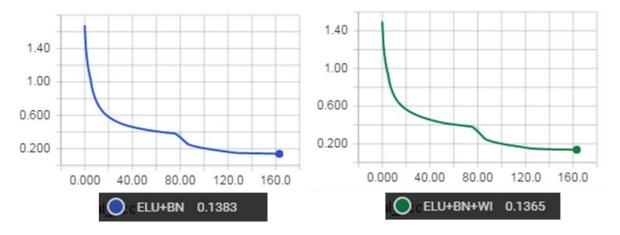
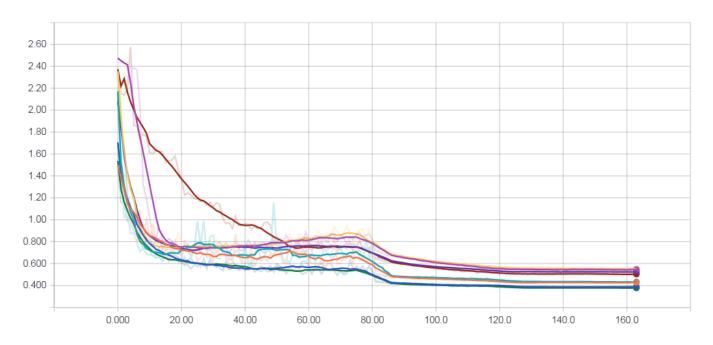


Figure 5: The smallest training loss error

#### **■** Test loss curve



	Name	Smoothed	Value	Step	Time	Relative
	BN	0.4280	0.4280	163.0	Fri Mar 24, 20:28:59	2h 58m 58s
	BN+WI	0.4328	0.4328	163.0	Fri Mar 24, 23:28:58	2h 58m 48s
	ELU	0.5448	0.5448	163.0	Thu Mar 23, 18:45:32	1h 34m 49s
	ELU+BN	0.3898	0.3898	163.0	Sat Mar 25, 13:35:27	2h 59m 45s
0	ELU+BN+WI	0.3765	0.3765	163.0	Sat Mar 25, 16:36:32	2h 59m 54s
•	ELU+WI	0.5519	0.5519	163.0	Thu Mar 23, 20:20:56	1h 34m 47s
	WI	0.5227	0.5227	163.0	Thu Mar 23, 12:52:13	1h 33m 51s
	none	0.5027	0.5027	163.0	Thu Mar 23, 11:17:46	1h 33m 54s

Figure 6: Test loss curve for all 8 models

# Other experiments

#### ■ LeakyReLU

I change the activation function to LeakyReLU and set the alpha=**0.01**, after training (about 3h50min), its test accuracy is **90.91%** 

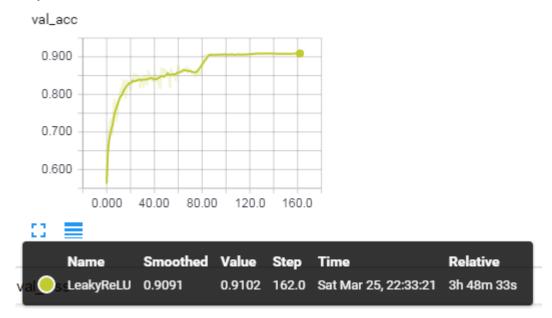


Figure 7: The accuracy for LeakyReLU

### **Discussion**

In lab4, we used lots of tricks and method to improve our model's accuracy.

**BN** (Batch normalization) faster learning and higher overall accuracy. The improved method also allows us to use a higher learning rate, potentially providing another boost in speed. (Initial learning rate 0.1 is too large to train if we did not use special tricks in Lab3, but BN can avoid this problem, it makes loss drops very fast.)

**WI** (ReLU + He's weight initial + without BN) got the worst accuracy compared with other models. And sometimes WI may not converge.

Different activation function will have different effect, **ELU** and **LeakyReLU** have better performance than **ReLU**. As for maxout, I tried to use it, but it seems that my code doesn't work.

# **References:**

- [1] Maas, A. L., Hannun, A. Y., & Ng, A. Y. (2013, June). Rectifier nonlinearities improve neural network acoustic models. In Proc. ICML (Vol. 30, No. 1).
- [2] Clevert, D. A., Unterthiner, T., & Hochreiter, S. (2015). Fast and accurate deep network learning by exponential linear units (elus). arXiv preprint arXiv:1511.07289.
- [3] He, K., Zhang, X., Ren, S., & Sun, J. (2015). Delving deep into rectifiers: Surpassing human-level performance on imagenet classification. In Proceedings of the IEEE International Conference on Computer Vision (pp. 1026-1034).
- [4] Ioffe, S., & Szegedy, C. (2015). Batch normalization: Accelerating deep network training by reducing internal covariate shift. arXiv preprint arXiv:1502.03167.
- [5] Goodfellow, I. J., Warde-Farley, D., Mirza, M., Courville, A. C., & Bengio, Y. (2013). Maxout networks. ICML (3), 28, 1319-1327.