

Guidelines for Computational Reproducibility in Economics

ACRE Team

2020-06-07

Contents

	5
Introduction	7
Beyond binary judgments	8
Stages of the exercise	9
Recording the results of the exercise	10
1 Scoping	11
1.1 From candidate to declared paper	12
1.2 Scoping your declared paper	16
Bonus: Identify your relevant timeline.	18
2 Assessment	21
2.1 Describe the inputs.	21
2.2 Connect each output to all its inputs	24
2.3 Assign a reproducibility score.	28
3 Improvements	33
3.1 Types of output-level improvements	33
3.2 Types of paper-level improvements	36
4 Checking for Robustness	39
4.1 Identifying Analytical Choices	39
4.2 Identifying Choice Type	41

4.3	Identifying Analytical Choices	41
4.4	Identifying Analytical Value	41
4.5	Choose and justify alternative values for analytical choices	41
4.6	Test the robustness of results	41
5	Concluding the reproduction	43
5.1	Final products	43
6	Guidance for a Constructive Exchange Between Reproducers and Original Authors	45
6.1	For Reproducers Contacting the Authors of the Original Study .	46
6.2	For Original Authors Responding to Requests from Reproducers	55
7	Reproduction Diagrams	57
7.1	Different Scenarios	57
8	Additional resources	59
8.1	Some summaries	59
8.2	Links	59
9	Contributions	61
9.1	Ask for feedback on guidelines	61
9.2	List of Contributors	61
10	Definitions	63
10.1	Basic concepts.	63



(1) Scoping

(2) Assessment

(3) Improvement

(4) Robustness

(5) Extensions

Outcome-level

Paper-level

Select paper

Describe inputs

+ Raw data

+ Version control

Analytical choices

New method

Check ACRE

Reproduction diagrams

+ Analysis data

+ Documentation

Type of choice

New data

Check Rep. pkg exists

Reproduction score

- + Analysis code
- + Dynamic document
- Choice value
- Read paper
- + Cleaning code
- + File structure
- Justify and test alternatives
- Declare estimates
- Debug analysis code
- Debug cleaning code
- Record results in Survey 1
- Record results in Survey 2
- Record results in Survey 3

Introduction

In 2019, the American Economic Association updated its Data and Code Availability Policy, which now requires that the AEA Data Editor verify the reproducibility of all papers before they are accepted by an AEA journal. In addition to the requirements laid out in the policy, several specific recommendations were produced to facilitate compliance. This change in policy is expected to improve the computational reproducibility of all published research going forward, after several studies showed that rates of *computational reproducibility* in economics at large range from somewhat low to alarmingly low (Galiani et al., 2018; Chang and Li, 2015; King et al., 2018).

Replication, or the process by which a study’s hypotheses and findings are re-examined using different data or different methods (or both) (King, 1995) is an essential part of the scientific process that allows science to be “self-correcting.” *Computational reproducibility*, or the ability to reproduce the results, tables, and other figures using the available data, code, and materials, is a precondition for replication. Computational reproducibility is assessed through the process of *reproduction*. At the center of this process is the *reproducer* (you!), a party not involved in the production of the original paper. Reproductions sometimes involve the *original author* (whom we refer to as “the author”) in cases where additional guidance and materials are needed to execute the process.

This exercise is designed for reproductions performed in economics graduate courses or undergraduate theses, with the goal of providing a common approach, terminology, and standards for conducting reproductions. The goal of reproduction, in general, is to assess and improve the computational reproducibility of published research in a way that facilitates further robustness checks, extensions, collaborations, and replication.

This exercise is part of the Accelerating Computational Reproducibility in Economics (ACRE) project led by the Berkeley Initiative for Transparency in the Social Sciences (BITSS) and Prof. Lars Vilhuber, Data Editor for the journals of the American Economic Association (AEA). ACRE looks to assess, enable, and improve the computational reproducibility of published economics research.

Beyond binary judgments

Assessments of reproducibility can easily gravitate towards binary assessments that declare an entire paper “reproducible” or “non-reproducible.” These guidelines suggest a more nuanced approach by highlighting two reasons that make binary judgments less relevant.

First, a paper may contain several scientific claims (or major hypothesis) that may vary in computational reproducibility. Each claim is tested using different methodologies, where results are presented in one or more display items (outputs like table and figures). Each display item will itself contain several specifications. Figure 1 illustrates this idea.

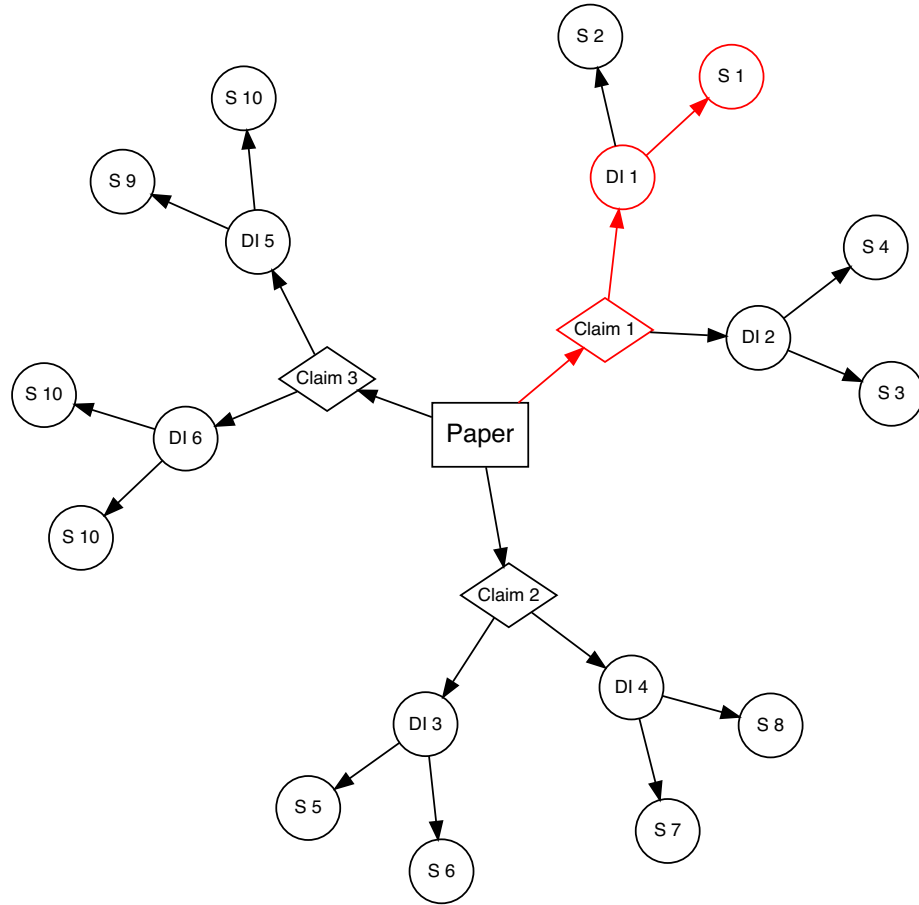


Figure 1: One paper has multiple components to reproduce. DI: Display Item, S: Specification

Second, for a given specification there are several levels of reproducibility,

ranging from the absence of any materials to complete reproducibility starting from raw data. And even for a specific claim-specification, distinguishing the appropriate level can be far more constructive than simply labeling it as (ir)reproducible.

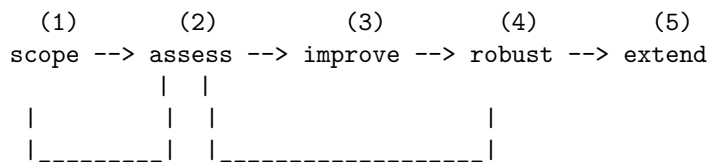
Note that the highest level of reproducibility, which requires complete reproducibility starting from raw data, is very demanding to achieve and should not be expected of all published research – especially before 2019. Instead, this level can serve as an aspiration as the field of economics at large seeks to improve the reproducibility of research and facilitate the transmission of knowledge throughout the scientific community.

Stages of the exercise

This reproduction exercise is divided into four stages, corresponding to the first four chapters of these guidelines, with a fifth optional stage:

1. **Scoping**, where you (the reproducer) will define the scope of the exercise by declaring a paper and the specific output(s) on which you will focus in the remainder of the exercise;
2. **Assessment**, where you will review and describe in detail the available reproduction package, and assess the current level of computational reproducibility of the selected outputs;
3. **Improvement**, where you will modify the content and/or the organization of the reproduction package to improve its reproducibility;
4. **Robustness checks**, where you will assess the quality of selected analytical choices; and
5. **Extension** (if applicable), where you may extend the current paper by including new methodologies or data. This step brings the reproduction exercise a step closer to *replication*.

Figure 2: Steps for reproduction



Suggested level of effort:					
- Graduate					
research:	5%	10%	5%	10%	70%
- Graduate					
course:	10%	25%	20%	40%	5%
- Undergrad.					
thesis:	10%	30%	40%	20%	0%

Figure 2 depicts suggested levels of effort for each stage of the exercise depending on the context in which you are performing a reproduction. This process need not be chronologically linear. For example, you may realize that the scope of a reproduction is too ambitious and switch to a less intensive one. Later in the exercise, you can also begin testing different specifications for robustness while also assessing a paper’s level of reproducibility.

Recording the results of the exercise

You will be asked to record the results of their reproduction progress through each stage.

In *Stage 1: Scoping*, complete **Survey 1**, where you will declare your paper of choice and the specific display item(s) and specifications on which you will focus for the remainder of the exercise. This step may also involve writing a brief 1-2 page summary of the paper (confirm this with your instructor).

In *Stage 2: Assessment*, you will inspect the paper’s reproduction package (raw data, analysis data, and code), connect the display item to be reproduced with its inputs, and assign a reproducibility score to each output.

In *Stage 3: Improvement*, you will try to improve the reproducibility of the selected outputs by adding missing files, documentation, and report any potential changes in the level of reproducibility. Use **Survey 2** to record your work at Stages 2 and 3 (you will receive access instructions for Survey 2 when you submit Survey 1).

In *Stage 4: Robustness Checks*, you will assess different analytical choices and test possible variations. Use **Survey 3** to record your work at this stage.

Chapter 1

Scoping

In this stage, you will choose or be assigned a candidate paper to reproduce. You will verify whether or not a reproduction package for your candidate paper exists. We define a **reproduction package** (sometimes referred to as a “replication package”) as the collection of all materials that make it possible for a reproducer to reproduce the paper. This package may contain data, code, and/or documentation.

If your candidate paper has a reproduction package, only then it will become your declared paper. If your candidate paper does not have a reproduction package you will be asked to leave a brief record in the ACRE database (under current development), and choose another candidate paper. If you still want to explore the reproducibility of a paper with no reproduction package, these guidelines will provide instructions on how to contact the authors with a specific request for materials to create a public reproduction package, or if this route proves unsuccessful, on how to build your reproduction package from scratch.

In this stage, *you are not expected to review the reproduction materials in detail*, as you will dedicate most of your time to this in later stages of the exercise. If materials are available, you will read the paper and declare the scope of the reproduction exercise. You can expect to spend between 1-3 days in the Scoping stage.

Use Survey 1 to record your work for this stage.

1.1 From candidate to declared paper

1.1.1 Select a candidate paper or be assigned one (several weeks before starting the exercise).

The first step is to identify a candidate paper. You might be able to choose among a pool of paper assigned by your instructor, or might be assigned a specific paper. In any case, it is important to highlight that this might not be your final paper. It can be the case that you will not find any reproduction package and switch to another paper. The distinction between candidate and declared paper is meant to track precisely this circumstances.

Verify the existence of a reproduction package by going through these steps (stop whenever you find the reproduction package):

1. Verify the existence of a previous entry on ACRE (under construction) on your candidate paper.
2. Check the paper's webpage in the official journal or publishers website. Look for links similar to "Data and Materials", "Supplemental Materials", "Reproduction/Replication Package/Materials".
3. Look for links in the paper (review footnotes and appendices).
4. Review the personal websites of all the authors in the paper.

Once you have cover steps 1-4 and have not find any reproduction materials, then we suggest to select another candidate paper or to contact the authors.

We strongly encourage you to contact authors several weeks before officially starting the main parts of Scoping stage of this exercise. Instructors should also plan to use the ACRE exercise accordingly (eg. if the reproduction is expected to take place in the middle of the semester, students should plan on contacting the authors of a missing reproduction package on the first few weeks of the semester.

1.1.2 Verify existence of reproduction package for candidate paper

At this point you are *only validating the existence* of (at least) one reproduction package and not assessing the quality of its content.

Check the ACRE database (under development) for previous assessments of your candidate paper. If there are previous entries, you will see a brief report card with the following information:

Box 1: Summary Report Card for ACRE Paper Entry

Title: Sample Title

Authors: Jane Doe & John Doe

Original Reproduction Package Available: URL/No

[If “No”] **Contacted Authors?:** Yes/No

[If “Yes(contacted)”] **Type of Response:** Categories (6).

Additional Reproduction Packages: Number (eg., 2)

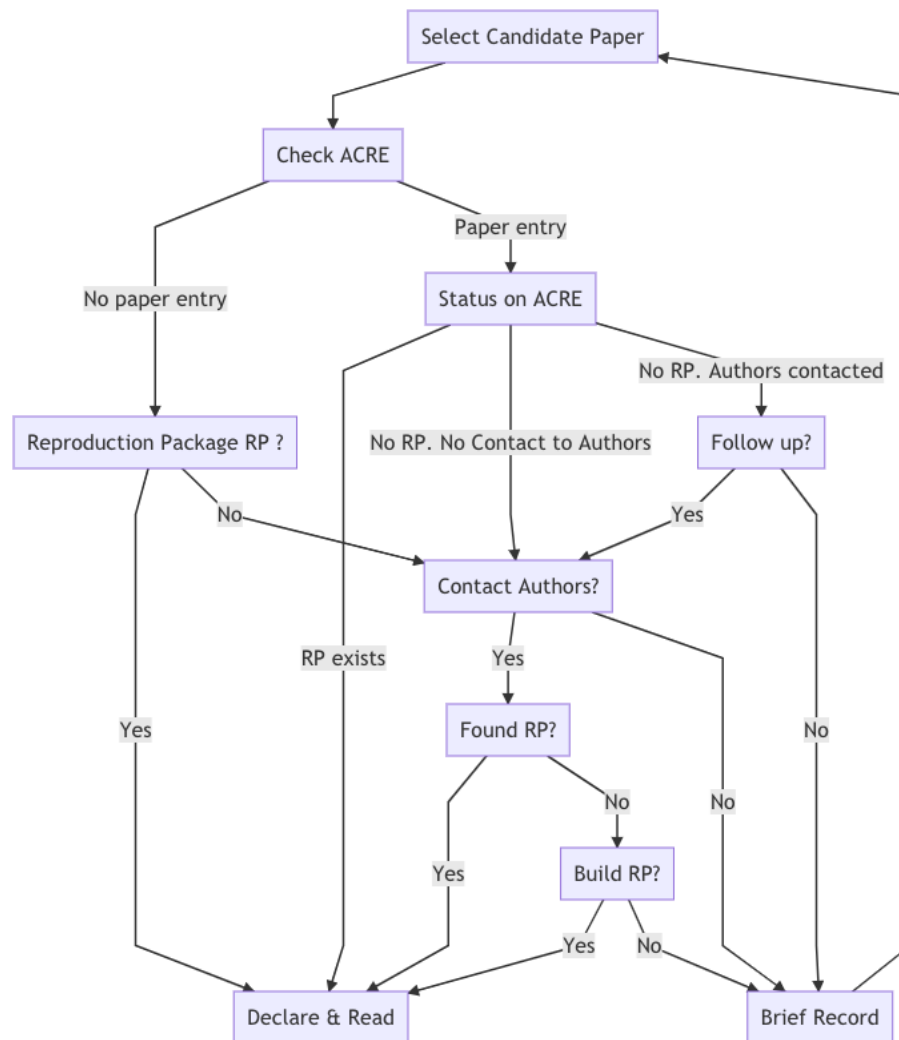
Authors Available for Further Questions for ACRE Reproductions: Yes/No/Unknown

Open for reproductions: Yes/No

1.1.3 Decision Tree to Move From Candidate to Declared Paper

To move from candidate to declared paper, you will basically need to assess if a reproduction package exists. Following the steps describe above, and leaving a record for each reproduction package that did not had a reproduction package will lead to a a decision tree (collection of sequential decisions) like the one outlined next.

View Decision Tree To Select Paper (Emma: add title)



When you follow step 1, and check ACRE for a previous entry on the paper you will either find and entry, or that no entry exists yet. Then:

If there is no paper entry, create one. For this you will need to verify if a reproduction package exist in any of the steps outlined above (2-4). Here there will be two possible scenarios:

- A reproduction package exists. In this case, the candidate paper becomes the declare paper and you can move onto the next section (read the paper).
- If no reproduction package exists, then you have the option to contact the original authors following the ACRE recommendations.

- If authors are not contacted, you are asked to leave a record in the ACRE platform (as “original reproduction package is not available, and authors were not contacted”), and select a new candidate paper.
- If authors are contacted, there are two possible results:
 - * A reproduction package was provided. In this case, the candidate paper becomes the declared paper and you can move onto the next section (read the paper).
 - * A reproduction package was not provided. You will have the final option of independently building a reproduction package (finding data and writing code independently).
 - If you decide not to build a reproduction package independently, please leave a record in the ACRE platform (as “original reproduction package is not available, and authors were contacted”), and select a new candidate paper.
 - If you decide to build a reproduction package independently, the candidate paper becomes the declare paper and you can move onto next section.

If there is at least one ACRE entry on the candidate paper, you will be able to see the its information as presented in Box 1.

- If a reproduction package exists, the candidate paper becomes the declare paper and you can move onto the next section (read the paper).
- If there is no reproduction package, and authors have not been contacted, you should will have the same alternatives for contacting them as with no previous entry
- If there is no reproduction package, and authors have been contacted, you should observe the authors availability to be contacted for follow-ups.
 - If authors asked to not been contacted any further, you will have the options of building the reproduction package independently or choosing a new candidate paper (after leaving a brief record).
 - If authors allowed future follow-ups, you could choose to follow up, build their own reproduction package independently or switch to a new candidate paper (after leaving a brief record).

Go through this decision trees until you have found a paper with a reproduction package – this will be your ***declared paper*** and you will try to reproduce it for the remainder of the ACRE exercise. Do not invest time in doing a detailed read of any paper until you are sure that it is your declared paper.

1.1.3.1 A final note on contacting the authors

Before contacting the authors, we recommend that you review and use language from Chapter 6: Communications guidance below, in this section we have drafted a template email for this specific scenario [ALEKS inciter link to email here] (contacting the authors for obtain access to gain access to a reproduction package for the first time). If the authors provide any materials, deposit them in a trusted repository (for example Open Science Framework, Open ICPSR, or Dataverse) and deposit all these materials under the name **Original reproduction package for - Title of the paper**. Provide the URL of the repository in Survey 1. If you fail to obtain the reproduction package and would like to choose a different paper, please record this in the “candidate paper” section of Survey 1.

Now that you have verified the existence of a reproduction package, your *candidate paper* is now your *declared paper*. You can now move forward with the exercise!

1.2 Scoping your declared paper

1.2.1 Read and summarize the paper.

Depending on how much time you have, we recommend that you write a short summary of the paper. This will help remind you of the key elements to focus on for the reproduction, and to demonstrate your understanding of the paper (for yourself and others like your instructor/advisor).

When reading/summarizing the paper, you should try to answer the following main questions:

- Would you classify the paper’s scientific claims as mainly focused on estimating a causal relationship, estimating/predicting a descriptive statistic of a population, or something else?
- How many scientific claims (descriptive or causal) are investigated in the paper?
- What is the population for which the estimates apply?
- What is the main population that is the focus of the paper as a whole?
- What are the main data sources used in the paper?
- How many display items are in the paper (tables, figures and inline results)?
- What is the main statistical or econometric method used to examine each claim?
- What is the author’s preferred specification (or yours if authors are not clear)?

- What are some robustness checks to the preferred specification?

1.2.2 Record scope of the exercise.

By now you should have selected a paper that contains a reproduction package, and have a fairly good understanding of the content of the paper. You do not, however, need to have spent any time reviewing the reproduction package in detail.

At this point, you should clearly specify which part of the paper will be the main focus of your reproduction exercise. You are asked to focus on specific estimates, represented by a unique combination of claim-display item-specification as represented in 1. If you plan to scope more than one claim, we strongly recommend starting with one and recording your results. You can initiate another record in ACRE later for the second (or third, etc.) claim to reproduce, using the materials and knowledge you developed in the first exercise.

In the Assessment stage, the reproduction will be centered around the display item(s) that contain the specification you indicate at this point.

Declare specific main estimates to reproduce.

Identify one of the scientific claims, and its corresponding preferred specification, and record its magnitude, standard error, and location in the paper (page, table #, and row and column in the table). If the authors did not explicitly chose a particular estimate, you will be asked to select one. In addition to the preferred estimate, you will be asked to reproduce up to five estimates that correspond to alternative specifications of the preferred estimate.

Declare possible robustness checks to main estimates (optional).

After reading the paper, you might wonder why the authors did not conduct a specific robustness test. If you think that such analysis could have been done *within the same methodology*, and *using the same data* (eg., including/excluding a subset of the data like “high-school dropouts” or “women”), please specify a robustness test that you would like to test before starting the assessment stage.

These are the elements you will need to conduct the scoping stage. **You now have all the elements necessary to complete Survey 1.**

Bonus: Identify your relevant timeline.

Before you begin working on the three main stages of the reproduction exercise (assessment, improvement, and robustness), it is important to manage expectations (yours and those of your instructor/advisor). Be mindful of your time limitations when defining the scope of your reproduction activity. These will depend on the type of exercise chosen by your instructor/advisor, and may vary from a homework assignment (e.g., over a couple of weeks), to a longer class project that may take a month to complete or a semester-long project (for example as an undergraduate thesis).

Table 1 shows a tentative distribution of time across three different reproduction formats. The scoping and assessment stages are expected to last roughly the same amount of time across all formats (lasting longer for the semester-long activities, expecting less experience with research if the reproducer is an undergraduate student). Differences emerge in the distribution of time for the last two main stages: Improvements and Robustness. For shorter exercises, we recommend staying away from any possible improvements to the raw data (or cleaning code). This will limit how many robustness checks are possible (for example, by limiting your ability to reconstruct variables according to slightly different definitions), but it should leave plenty of time for testing different specifications at the analysis level.

Emma: please write this table using R and KableExtra

2 weeks (~10 days)

1 month (~20 days)

1 semester (~100 days)

analysis data

raw data

analysis data

raw data

analysis data

raw data

Scoping

10% (1 day)

5% (1 day)

5% (5 days)

Assessment

35%

25%

15%

Improvement

25%

0%

40%

20%

30%

Robustness

25%

5%

25%

25%

Extension

0%

0%

5%

5%

Paper on PBR. Repro package

Chapter 2

Assessment

In this stage, you will review and describe in detail the available reproduction materials, and assess levels of computational reproducibility for the selected outputs, as well as for the overall paper. This stage is designed to record as much of the learning process behind a reproduction as possible to facilitate incremental improvements, and allow future reproducers to pick up easily where others have left off.

First, you will provide a detailed description of the reproduction package. Second, you will connect the outputs you’ve chosen to reproduce with their corresponding inputs. With these elements in place, you can score the level of reproducibility of each output, and report on paper-level dimensions of reproducibility.

In the *Scoping* stage, you declared a paper, identified the specific claims you will reproduce, and recorded the main estimates that support the claims. In this stage, you will identify all outputs that contain those estimates. You will also decide if you are interested in assessing the reproducibility of that entire output (e.g., “Table 1”), or will assess only a pre-specified estimates (e.g., “rows 3 and 4 of Table 1”). Additionally, you can include other outputs of interest.

Use *Survey 2* to record your work as part of this step.

Tip: We recommend that you first focus on one specific output (e.g., “Table 1”). After completing the assessment for this output, you will have a much easier time translating improvements to other outputs.

2.1 Describe the inputs.

This section explains how to list *all* input materials found or referred to in the reproduction package. First, you will identify data sources and connect them

with their raw data files (when available). Second, you will locate and provide a brief description of the analytic data files. Finally, you will locate, inspect, and describe the analytic code used in the paper.

The following terms will be used in this section:

- **Cleaning code:** A script associated primarily with data cleaning. Most of its content is dedicated to actions like deleting variables or observations, merging data sets, removing outliers, or reshaping the structure of the data (from long to wide, or vice versa).
- **Analysis code:** A script associated primarily with analysis. Most of its content is dedicated to actions like running regressions, running hypothesis tests, computing standard errors, and imputing missing values.

2.1.1 Describe the data sources and raw data.

In the paper you chose, find references to all *data sources* used in the analysis. A data source is usually described in narrative form. For example, if in the body of the paper you see text like “...for earnings in 2018 we use the Current Population Survey...”, the data source is “Current Population Survey 2018”. If it is mentioned for the first time on page 1 of the Appendix, its location should be recorded as “A1”. Do this for all the data sources mentioned in the paper.

Data sources also vary by unit of analysis, with some sources matching the same unit of analysis used in the paper (as in previous examples), while others are less clear (e.g., “our information on regional minimum wages comes from the Bureau of Labor Statistics.” This should be recorded as “regional minimum wages from the Bureau of Labor Statistics”).

Next, look at the reproduction package and map the *data sources* mentioned in the paper to the *data files* in the available materials. Record their folder locations relative to the main reproduction folder¹. In addition to looking at the existing data files, we recommend that you review the first lines of all code files (especially cleaning code), looking for lines that call the datasets. Inspecting these scripts may help you understand how different data sources are used, and possibly identify any files that are missing from the reproduction package.

Record this information in this standardized spreadsheet (download it or make a copy for yourself), using the following structure:

Raw data information:

----- ----- ----- -----		
data_source	page	data_files

¹a relative location takes the form of /folder_in_rep_materials/sub_folder/file.txt, in contrast to an absolute location that takes the form of username/documents/projects/repros/folder_in_rep_materials/sub_folder/file.txt

"Current Population Survey 2018"	A1	cepr_march_2018.dta	
"DHS 2010 - 2013"	4	nicaraguaDHS_2010.csv; boliviaDHS_2010.csv; nicaraguaDHS_2011.csv; nicaraguaDHS_2012.csv; boliviaDHS_2012.csv; nicaraguaDHS_2013.csv; boliviaDHS_2013.csv	boliviaDHS_
"2017 SAT scores"	4	Not available	
...

2.1.2 Describe the analytic data sets.

List all the analytic files you can find in the reproduction package, and identify their locations relative to the main reproduction folder. Record this information in the standardized spreadsheet.

As you progress through the exercise, add to the spreadsheet a one-line description of each file's main content (for example: `all_waves.csv` has the simple description `data for region-level analysis`). This may be difficult in an initial review, but will become easier as you go along.

The resulting report will have the following structure:

Analysis data information:

analysis_data	location	description
final_data.csv	/analysis/fig1/	data for figure1
all_waves.csv	/final_data/v1_april/	data for region-level analysis
...

2.1.3 Describe the code scripts.

List all code files that you found in the reproduction package and identify their locations relative to the master reproduction folder. Review the beginning and end of each code file and identify the inputs required to successfully run the file. Inputs may include data sets or other code scripts that are typically found at the beginning of the script (e.g., `load`, `read`, `source`, `run`, `do`). For each code file,

record all inputs together and separate each item with “;”. Outputs may include other datasets, figures, or plain text files that are typically at the end of a script (e.g., `save`, `write`, `export`). For each code file, record all outputs together and separate each item with “;”. Provide a one-line description of what each code file does. Record all of this information in the standardized spreadsheet, using the following structure:

Code files information:

file_name	location	inputs	outputs
output_table1.do	/code/analysis/	analysis_data01.csv	output1_part1.txt
data_cleaning02.R	/code/cleaninig/	admin_01raw.csv	analysis_data02.c
...

As you gain an understanding of each code script, you will likely find more inputs and outputs – we encourage you to update the standardized spreadsheet. Once finished with the reproduction exercise, classify each code file as *analysis* or *cleaning*. We recognize that this may involve subjective judgment, so we suggest that you conduct this classification based on each script’s main role.

2.2 Connect each output to all its inputs

Using the information collected above, you can trace your output-to-be-reproduced to its primary sources. Email the standardized spreadsheets from above (sections 2.1.1, 2.1.2 and 2.1.3) to the ACRE Diagram Builder at acre@berkeley.edu. You should receive an email within 24 hours with a reproduction diagram tree that represents the information available on the workflow behind a specific output.

2.2.1 Complete workflow information

If you were able to identify all the relevant components in the previous section, the ACRE Diagram Builder will produce a tree diagram that looks similar to the one below.


```

table1.tex
  |___[code] analysis.R
    |___analysis_data.dta
      |___[code] final_merge.do
        |___cleaned_1_2.dta
          |___[code] clean_merged_1_2.do
            |___merged_1_2.dta
              |___[code] merge_1_2.do
                |___cleaned_1.dta
                  |___[code] clean_raw_1.py
                    |___raw_1.dta
                      |___cleaned_2.dta
                        |___[code] clean_raw_2.py
                          |___raw_2.dta
                            |___cleaned_3_4.dta
                              |___[code] clean_merged_3_4.do
                                |___merged_3_4.dta
                                  |___[code] merge_3_4.do
                                    |___cleaned_3.dta
                                      |___[code] clean_raw_3.py
                                        |___raw_3.dta
                                          |___cleaned_4.dta
                                            |___[code] clean_raw_4.py
                                              |___raw_4.dta

```

This diagram, built with the information you provided, is already an important contribution to understanding the necessary components required to reproduce a specific output. It summarizes key information to allow for more constructive exchanges with original authors or other reproducers. For example, when contacting the authors for guidance, you can use the diagram to point out specific files you need. Formulating your request this way makes it easier for authors to respond and demonstrates that you have a good understanding of the reproduction package.

2.2.2 Incomplete workflow information

In many cases, some of the components of the workflow will not be easily identifiable (or missing) in the reproduction package. Here the Diagram Builder will return a partial reproduction tree diagram. For example, if the files `merge_1_2.do`, `merge_3_4.do`, and `final_merge.do` are missing from the previous diagram, the ACRE Diagram Builder will produce the following diagram:

```
cleaned_3.dta
```

```

|___[code] clean_raw_3.py
|___raw_3.dta

table1.tex
|___[code] analysis.R
|___analysis_data.dta

cleaned_3_4.dta
|___[code] clean_merged_3_4.do
|___merged_3_4.dta

cleaned_1.dta
|___[code] clean_raw_1.py
|___raw_1.dta

cleaned_2.dta
|___[code] clean_raw_2.py
|___raw_2.dta

cleaned_4.dta
|___[code] clean_raw_4.py
|___raw_4.dta

cleaned_1_2.dta
|___[code] clean_merged_1_2.do
|___merged_1_2.dta
Unused data sources: None.

```

In this case, you can still manually combine this partial information with your knowledge from the paper and own judgement to produce a “candidate” tree diagram (which might lead to different reproducers recreating different diagrams). This may look like the following:

```

table1.tex
|___[code] analysis.R
|___analysis_data.dta
|___MISSING CODE FILE(S) #3
|___cleaned_3_4.dta
|       |___[code] clean_merged_3_4.do
|       |___merged_3_4.dta
|       |___MISSING CODE FILE(S) #2
|       |___cleaned_3.dta
|       |       |___[code] clean_raw_3.py
|       |       |___raw_3.dta
|       |___cleaned_4.dta

```

```

|                                     |___[code] clean_raw_4.py
|                                     |___raw_4.dta
|___cleaned_1_2.dta
|   |___[code] clean_merged_1_2.do
|   |___merged_1_2.dta
|   |___MISSING CODE FILE(S) #1
|   |___cleaned_1.dta
|   |   |___[code] clean_raw_1.py
|   |   |___raw_1.dta
|   |
|   |___cleaned_2.dta
|   |   |___[code] clean_raw_2.py
|   |   |___raw_2.dta

```

To leave a record of the reconstructed diagrams, you will have to amend the input spreadsheets using placeholders for the missing components. In the example above, you should add the following entries to the code description spreadsheet:

Adding rows to code spreadsheet:

file_name	location	inputs	outputs	description
...
missing_file1	unknown	cleaned_1.dta, cleaned_2.dta	merged_1_2.dta	missing code
missing_file2	unknown	cleaned_3.dta, cleaned_4.dta	merged_3_4.dta	missing code
missing_file3	unknown	merged_3_4.dta, merged_1_2.dta	analysis_data.dta	missing code

As in the cases with complete workflows, these diagrams (fragmented or reconstructed trees) provide important information for assessing and improving the reproducibility of specific outputs. Reproducers can compare reconstructed trees and/or contact original authors with highly specific inquiries.

For more examples of diagrams connecting final outputs to initial raw data, see [here](#).

2.3 Assign a reproducibility score.

Once you have identified all possible inputs and have a clear understanding of the connection between the outputs and inputs, you can start to assess the output-specific level of reproducibility.

Take note of the following concepts in this section:

- **Computationally Reproducible from Analytic data (CRA):** The output can be reproduced with minimal effort starting from the *analytic* datasets.
- **Computationally Reproducible from Raw data (CRR):** The output can be reproduced with minimal effort from the *raw* datasets.
- **Minimal effort:** One hour or less is required to run the code, not including computing time.

2.3.1 Levels of Computational Reproducibility for a Specific Output

Each level of computational reproducibility is defined by the availability of data and materials, and whether or not the available materials faithfully reproduce the output of interest. The description of each level also includes possible improvements that can help advance the reproducibility of the output to a higher level. You will learn in more detail about the possible improvements.

Note that the assessment is made *at the output level* – a paper can be highly reproducible for its main results, but suffer from low reproducibility for other outputs. The assessment includes a 10-point scale, where 1 represents that, under current circumstances, reproducers cannot access any reproduction package, while 10 represents access to all the materials and being able to reproduce the target outcome from the raw data.

- **Level 1 (L1):** No data or code are available. Possible improvements include adding: raw data (+AD), analysis data (+RD), cleaning code (+CC), and analysis code (+AC).

You will have detected papers that are reproducible at Level 1 as part of the Scoping stage (unsuccessful candidate papers). Make sure to take record them in Survey 1.

- **Level 2 (L2):** Code scripts are available (partial or complete), but no data are available. Possible improvements include adding: raw data (+AD) and analysis data (+RD).

- **Level 3 (L3):** Analytic data and code are partially available, but raw data and cleaning code are not. Possible improvements include: completing analysis data and/or code, adding raw data (+RD), and adding analysis code (+AC).
- **Level 4 (L4):** All analytic data sets and analysis code are available, but code does not run or produces results different than those in the paper (not CRA). Possible improvements include: debugging the analysis code (DAC) or obtaining raw data (+RD).
- **Level 5 (L5):** Analytic data sets and analysis code are available. They produce the same results as presented in the paper (CRA). The reproducibility package may be improved by obtaining the original raw data sets.

This is the highest level that most published research papers can attain currently. Computational reproducibility *from raw data* is required for papers that are reproducible at Level 6 and above.

- **Level 6 (L6):** Cleaning code is partially available, but raw data is not. Possible improvements include: completing cleaning code (+CC) and/or raw data (+RD).
- **Level 7 (L7):** Cleaning code is available and complete, but raw data is not. Possible improvements include: adding raw data (+RD).
- **Level 8 (L8):** Cleaning code is available and complete, and raw data is partially available. Possible improvements include: adding raw data (+RD).
- **Level 9 (L9):** All the materials (raw data, analytic data, cleaning code, and analysis code) are available. The analysis code produces the same output as presented in the paper (CRA). However, the cleaning code does not run or produces different results than those presented in the paper (not CRR). Possible improvements include: debugging the cleaning code (DCC).
- **Level 10 (L10):** All the materials are available and produce the same results as presented in the paper with minimal effort, starting from the analytic data (yes CRA) or the raw data (yes CRR). Note that Level 10 is aspirational and may be very difficult to attain for most research published today.

The following figure summarizes the different levels of computational reproducibility (for any given output). For each level, there will be improvements that have been made () or can be made to move up one level of reproducibility (-).

Levels of Computational Reproducibility
(P denotes "partial", C denotes "complete")

	Availability of materials, and reproducibility									
	Analysis Code		Analysis Data		CRA	Cleaning Code		Raw Data		CRR
	P	C	P	C		P	C	P	C	
L1: No materials.....	-	-	-	-	-	-	-	-	-	-
L2: Only code			-	-	-	-	-	-	-	-
L3: Partial analysis data & code.			-	-	-	-	-	-	-	-
L4: All analysis data & code.....					-	-	-	-	-	-
L5: Reproducible from analysis...					-	-	-	-	-	-
L6: Some cleaning code.....						-	-	-	-	-
L7: All cleaning code.....							-	-	-	-
L8: Some raw data.....								-	-	-
L9: All raw data.....									-	-
L10: Reproducible from raw data...										

You may disagree with some of the levels outlined above, particularly wherever subjective judgment may be required. If so, you are welcome to interpret the levels as unordered categories (independent from their sequence) and suggest improvements using the “Edit” button above (top left corner if you are reading this document in your browser).

Adjusting Levels To Account for Confidential/Proprietary Data

A large portion of published research in economics uses confidential or proprietary data, most often government data from tax records or service provision and what is generally referred to as *administrative data*. Since administrative and proprietary data are rarely publicly accessible, some of the reproducibility levels presented above only apply once modified. The underlying theme of these modifications is that when data cannot be provided, you can assign a reproducibility score based on the level of detail in the instructions for accessing the data. Similarly, when reproducibility cannot be verified based on publicly available materials, the reproduction materials should demonstrate that a competent and unbiased third party (not involved in the original research team) has been able to reproduce the results.

- **Levels 1 and 2** can be applied as described above.
- **Adjusted Level 3 (L3*)**: All analysis code is provided, but only partial instructions on how to access the *analysis data* are available. This

means that the authors have provided some, but not all, of the following information:

- a. *Contact information*, including name of the organization(s) that provides access to the data and contact information of at least one individual.
 - b. *Terms of use*, including licenses and eligibility criteria for accessing the data, if any.
 - c. *Information on data files (meta-data)*, including the name(s) and number of files, file size(s), relevant file version(s), and number of variables and observations in each file. Though not required, other relevant information may be included, including a description dataset dictionary, summary statistics, and synthetic data (fake data with the same statistical properties as the original data)
 - d. *Estimated costs for access*, including monetary costs such as fees and licences required to access the data, and non-monetary costs such as wait times and specific geographical locations from where researchers need to access the data.
- **Adjusted Level 4 (L4*)**: All analysis code is provided, and complete and detailed instructions on how to access the *analysis data* are available.
 - **Adjusted Level 5 (L5*)**: All requirements for Level 4* are met, and the authors provide a certification that the output can be reproduced from the analysis data (CRA) by a third party. Examples include a signed letter by a disinterested reproducer or an official reproducibility certificate from a certification agency for data and code (e.g., see *cascad*).
 - **Levels 6 and 7** can be applied as described above.
 - **Adjusted Level 8 (L8*)**: All requirements for Level 7* are met, but instructions for accessing the *raw data* are incomplete. Use the instructions described in Level 3 above to assess the instructions' completeness.
 - **Adjusted Level 9 (L9*)**: All requirements for Level 8* are met, and instructions for accessing the *raw data* are complete.
 - **Adjusted Level 10 (L10*)**: All requirements for Level 9* are met, and a certification that the output can be reproduced from the raw data is provided.

Levels of Computational Reproducibility with Proprietary/Confidential Data
(P denotes "partial", C denotes "complete")

Availability of materials, and reproducibility					

	Instr.			Instr.	
Analysis	Analysis		Cleaning	Raw	

	Code		Data		CRA	Code		Data		CRR
	P	C	P	C		P	C	P	C	
L1: No materials.....	-	-	-	-	-	-	-	-	-	-
L2: Only code			-	-	-	-	-	-	-	-
L3: Partial analysis data & code.			-	-	-	-	-	-	-	-
L4*: All analysis data & code....					-	-	-	-	-	-
L5*: Proof of third party CRA....					-	-	-	-	-	-
L6: Some cleaning code.....						-	-	-	-	-
L7: All cleaning code.....							-	-	-	-
L8*: Some instr. for raw data....								-	-	-
L9*: All instr. for raw data....									-	-
L10*:Proof of third party CRR....										-

2.3.2 Reproducibility dimensions at the paper level

In addition to the output-specific assessment and improvement of computational reproducibility, several practices can facilitate reproducibility at the level of the overall paper. You can read about such practices in greater detail in the next chapter, dedicated to Stage 3: *Improvements*. In this Assessment section, you should only verify whether the original reproduction package made use of any of the following:

- Master script that runs all steps
- Readme file
- Standardized file organization
- Version control
- Open source (statistical) software
- Dynamic document
- Computing capsule (e.g. CodeOcean, Binder, etc.)

Congratulations! You have now completed the *Assessment* stage of this exercise. You have provided a concrete building block of knowledge to improve understanding of the state of reproducibility in Economics.

Please continue to the next section where you can help improve it!

Chapter 3

Improvements

After assessing the paper’s reproducibility package, you can start proposing ways to improve its reproducibility. Making improvements provides an opportunity to gain a deeper understanding of the paper’s methods, findings, and overall contribution. Each contribution can also be assessed and used by the wider ACRE community, including other students and researchers using the ACRE platform.

As with the *Assessment* section, we recommend that you first focus on one specific output (e.g., “Table 1”). After making improvements to this first output, you will have a much easier time translating those improvements to other outputs.

Use Survey 2 to record your work as part of this step.

3.1 Types of output-level improvements

3.1.1 Adding missing raw data files or meta-data

Reproduction packages often do not include all original raw datasets. To obtain any missing raw data, or information about them, follow these steps:

1. Identify the missing file. During Assessment, you identified all data sources from the paper’s body and appendices (column `data_source` in this standardized spreadsheet). However, some data sources (as collected by the original investigators) might be missing one or more data files. You can sometimes find the specific name of those files by looking at the beginning of the cleaning code scripts. If you find the name of the file, record it in the `data_file` field of the same spreadsheet as above. If not,

record it as “Some (or all) of the files used in the paper corresponding to data source X”.

2. Verify whether this file (or files) can be easily obtained from the web.
 - 2.1 - If yes: obtain the missing files and add them to the reproduction package. Make sure to obtain permission from the original author to publicly share this data. See tips for communication for relevant guidance.
 - 2.2 - If no: proceed to step 3.
3. Use the ACRE database to verify whether there have been previous attempts to contact the authors regarding this paper.
4. Contact the original authors and politely request the original materials. Be mindful of the authors’ time, and remember that the paper you are trying to reproduce was possibly published at a time when standards for computational reproducibility were different. See tips for communication for sample language on how to approach the authors.
5. If the datasets are not available due to legal or ethical restrictions, you can still improve the reproduction package by providing detailed instructions for future researchers to follow, including contact information and possible costs.

In addition to trying to obtain the raw data, you can also contribute by obtaining missing analytic data.

3.1.2 Adding missing analytic data files

Analytic data can be missing for two reasons: (i) raw data exists, but the procedures to transform it into analytic data are not fully reproducible, or (ii) some or all raw data is missing, and some or all analytic data is not included in the original reproduction package. To obtain any missing analytic data, follow these steps:

1. Identify the specific name of the missing data set. Typically this information can be found in some of the analysis code that calls the data to perform an analysis (eg `analysis_data_03.csv`).
2. Verify that the data cannot be obtained by running the data cleaning code over the raw data.

3. Use the ACRE database to verify if previous attempts have been made to contact the authors about this data.
4. Contact the authors and request the specific data set.

3.1.3 Adding missing analysis code

Analysis code can be added when analytic data files are available, but some or all methodological steps are missing from the code. In this case, follow these steps:

1. Identify the specific line or paragraph in the paper that describes the analytic step that is missing from the code (e.g., “We impute missing values to...” or “We estimate this regression using a bandwidth of...”).
2. Identify the code file and the approximate line in the script where the analysis can be carried out. If you cannot find the relevant code file, identify its location relative to the main folder using the steps in the reproduction diagram.
3. Use the ACRE database to verify if previous attempts have been made to contact the authors about this issue.
4. Contact the authors and request the specific code files.
5. If step #4 does not work, we encourage you to attempt to recreate the analysis using your own interpretation of the paper, and making explicit your assumptions when filling in any gaps.

3.1.4 Adding missing data cleaning code

Data cleaning (processing) code might be added when steps are missing in the creation or re-coding of variables, merging, subsetting of the data sets, or other steps related to data cleaning and processing. You should follow the same steps you used when adding missing analysis code (1-5).

3.1.5 Debugging analysis code

Whenever code is available in the reproduction package, you should be able to debug those scripts. There are four types of debugging that can improve the reproduction package:

- *Code cleaning*: Simplify the instructions (e.g., by wrapping repetitive steps in a function or a loop) or remove redundant code (i.e., old code that was commented out) while keeping the original output intact.

- *Performance improvement*: Replace the original instructions with new ones that perform the same tasks but take less time (e.g., choose one numerical optimization algorithm over another, but obtain the same results).
- *Environment set up*: Modify the code to include correct paths to files, specific versions of software, and instructions to install missing packages or libraries.
- *Correcting errors*: A coding error will occur when a section of the code in the reproduction package executes a procedure that is in direct contradiction with the intended procedure expressed in the documentation (i.e., paper or code comments). For example, an error will happen if the paper specifies that the analysis is performed on a population of males, but the code restricts the analysis to females only. Please follow the ACRE procedure to report coding errors.

3.1.6 Debugging cleaning code

Follow the same steps that you did to debug the analysis code, but report them separately.

3.1.7 Reporting results

Track all the different types of improvements you make and record in this standardized spreadsheet with the following structure:

Level-specific quality improvements: add data/code, debug code.

output_name	imprv	description_of_added_files	lvl
table 1	+AD	ADD EXAMPLES	5
table 1	+RD	ADD EXAMPLES	5
table 1	DCC	ADD EXAMPLES	5
figure 1	+CC		6
figure 1	DAC		6
inline 1	DAC		8
...

3.2 Types of paper-level improvements

There are at least six additional improvements you can make to improve a paper's overall reproducibility. These additional improvements can be applied

across all reproducibility levels (including level 10).

1. Set up the reproduction package using version control software, such as Git.
2. Improve documentation by adding extensive comments to the code.
3. Integrate the documentation with code by adapting the paper into a literate programming environment (e.g., using Jupyter notebooks, RMarkdown, or a Stata Dynamic Doc).
4. If the code was written using a proprietary statistical software (e.g., Stata or Matlab), re-write it using an open source statistical software (e.g., R, Python, or Julia).
5. Re-organize the reproduction package into a set of folders and subfolders that follow standardized best practices, and add a master script that executes all the code in order, with no further modifications. See AEA's reproduction template.
6. Set up a computing capsule that executes the entire reproduction in a web browser without needing to install any software. For examples, see Binder and Code Ocean.

3.2.1 Reporting improvements

You will be asked to provide this information in the Assessment and Improvement Survey.

Chapter 4

Checking for Robustness

[UNDER CONSTRUCTION]

- Identify all possible analytical choices: original and repeated ones.
- Identify type of choice.
- Identify choice value.
- Suggest choice alternative and justify (one line)

4.1 Identifying Analytical Choices

As part of the requirements to demonstrate comprehension of the paper and the code researchers conducting the reproduction will be asked to record all the analytical choices identified during the code review process. This is done in two steps: first adding comment lines into the code files where an analytic choice are found, and second, compiling those analytic choices into a standardized data set.

In your copy of the replication code, add the comment `"# ANALYTICAL CHOICE OF TYPE ____ . RECORDED FOR THE FIRST TIME [HERE or IN "FILE_NAME-LINE_NUMBER"]"` above each analytical choice detected in the code. Possible types of analytical choices include (but are not limited to):

- Analytical choices in data cleaning code:
 - Variable definition
 - Data sub-setting

- Data reshaping (merge, append, long/gather, wide/spread)
- Others (specify as “processing - other”)
- Analytical choices in analysis code:
 - Regression function (link function)
 - Key parameters (tuning, tolerance parameters, etc.)
 - Controls
 - Adjustment of standard errors
 - Choice of weights
 - Treatment of missing values
 - Imputations
 - Other (specify as “methods - other”)

Once finished, transcribe all the information on analytical choices into a data set. For the `source` field type “original” whenever the analytical choice is identified for the first time, and `file_name-line number` every time that the same analytical choice is applied subsequently (for example if a analytic choice is identified for the first time in line 103 and for a second in line 122 their respective values for the `source` field should be `original` and `code_01.do-L103` respectively).

The resulting data base should have the following structure:

file_name	line_number	choice_type	choice_value	Source
code_01.do	73	data	males	original
		subsetting		
code_01.do	122	variable	income = wages +	“code_01.do-
		definition	capital gains	L103”
code_05.R	143	controls	age, income,	original
			education	
...

4.2 Identifying Choice Type

4.3 Identifying Analytical Choices

4.4 Identifying Analytical Value

4.5 Choose and justify alternative values for analytical choices

4.6 Test the robustness of results

Test the robustness of results to alternative (sensible) specifications

- Identify sensible alternatives to analytical choices.
 - Sample from sensible analytical choices and re-run: report how much do results change as fraction of standard deviations.
 - Jackknife the preferred estimate.
 - Use ML to select among covariates...

Chapter 5

Concluding the reproduction

[UNDER CONSTRUCTION] but testing now

Walk the students on checking that they have completed all the steps and where can they see their output.

5.1 Final products

- One-page introduction describing why you chose this paper
- Two-page summary of paper
- 2 Completed surveys:
 - i - General information about the paper and specific information about output to reproduce.
 - ii - Assessment of how (computationally) reproducible is the paper; description of improvements to its reproducibility; record of all the analytical choices identified in the exercise.
- ACRE report card with all the improvements that were created by the researcher reproducing the paper. The list of improvements will be made public and original authors will receive a copy of the report card. The option of anonymity will be provided to the researchers reproducing the paper.
- New Readme file (autogenerated).

- Data with all analytical choices identified.
- ?? Narrated description of improvements to original CR of the paper, assessment of robustness of results. Lessons from the exercise and possible future extensions.

Chapter 6

Guidance for a Constructive Exchange Between Reproducers and Original Authors

The purpose of this chapter is to facilitate constructive and respectful communication between reproducers (you) and original authors. Exchanges that contain charged or adversarial language can damage professional relationships and hamper scientific progress. Janz and Freese (2019) articulate two important steps reproducers can take to ensure their interactions with original authors are constructive. We provide a summary below and encourage you to follow this guidance. Remember the golden rule of reproductions (and replications): *treat others and their work, as you would like others to treat you and your work!*

1. Carefully and transparently plan your study.

- a. Clearly state that you are conducting a reproduction of the original work.
- b. Explain why you have chosen this study. Try to proactively address potential concerns for selection bias.
- c. Explain how “far” your results must deviate from the original work before claiming that the study could not be reproduced. Engage deeply with the substantive literature to ensure that your interpretation of differences between the original and reproduction is thorough and acceptable to other authors in the field.

2. Use professional and sensitive language. Discuss potential

discrepancies between your work and the original paper just like you would have done for your own work.

- a. Avoid binary judgments and statements like “failed to reproduce.” Clearly state which results reproduced and which did not (e.g., “we successfully reproduced X, but failed to reproduce Y”) unless you uncover apparent scientific misconduct (e.g. see Broockman, Kalla and Aronow, 2015).
- b. Talk about *the study*, not *the author*, to avoid making it personal. Make clear what the positive contribution of the original article is. Consider sending a copy of your reproduction report to the original authors.
- c. Discuss what your reproduction contributes to the literature, and refrain from claiming to give the final answer to the question.
- d. For papers published five or more years ago, be mindful that norms for reproducibility have evolved since then.
- e. Remember, *the goal is not to criticize previous work or hunt for errors, but to move the literature forward!*

To help put these recommendations into practice, we provide template language for common scenarios that reproducers and authors may encounter in their interactions.

While we hope that you find these useful, note that they are *only recommendations*, and you are welcome to modify them based on the context and needs of your specific project. Feel free to contact us if you need more guidance or would like to provide feedback on these materials.

6.1 For Reproducers Contacting the Authors of the Original Study

Consider the following *before* you contact the original author:

1. Carefully read all footnotes, appendices, tables, captions, etc. to learn if, how, and where reproduction materials are provided. Follow this Data and Code Guidance to determine whether you have everything before you start. A few things to consider:
 - A *Readme* file, if available, would be a good place to start. All papers published in AEA journals after July 2019 should have such documentation.

6.1. FOR REPRODUCERS CONTACTING THE AUTHORS OF THE ORIGINAL STUDY⁴⁷

- Check whether there are any restrictions to accessing the data or code, and whether there are instructions on how to access these files for the purpose of reproduction.
2. If reproduction materials are not readily available in the location where the article is published (e.g., the journal website), check the authors' websites, Dataverse profiles, the ICPSR Publications Related Archive, and other relevant archives and/or data repositories.
3. If steps 1 and 2 don't work, contact the corresponding author (copying the co-authors, if any), consolidating your requests into as few emails as possible. In your email, make sure to include the following details:
 - Basic information about the paper being reproduced (include title, version, date, and a DOI link (or just a URL));
 - Context for the reproduction (as part of a class exercise, thesis, etc.) and a notice that the outcome will be recorded in the ACRE reproducibility database;
 - Items from the reproduction package that are missing, as well as locations where you had (unsuccessfully) searched for them;
 - Use plan: Will the materials be used exclusively for this project? Ask for permission to share the data publicly.
 - Right to consultation and results: Will you share the outcome of the reproduction exercise with the original authors?
 - A deadline to respond (we suggest at least two weeks).
4. Follow up if you don't get a response within two weeks (or whatever deadline you set), and include any details or clarifications that were left out in your first email.

6.1.1 Contacting the original author(s) when there is no reproduction package

[Aleks Please complete]

This is the only email that you will be suggested to send almost verbatim.

- Ask for materials.
- Remark that standards have change and you (the author) are not being forced to comply with this request.

- Point out that ACRE will track responses to avoid duplication of questions in the future.
- Specifically ask to answer the two following questions:
 1. Please classify your response into one of the 5 following categories:
 - I am providing the complete reproduction package
 - I am providing partial content of the reproduction package
 - I cannot provide the reproduction package because is sensitive/proprietary data, but providing the code and clear documentation on how to obtain access.
 - I cannot provide the reproduction package because is sensitive/proprietary data.
 - Negative response.
 2. In addition to your response above, would you be available to respond future (non-repetitive) inquiries from me or other reproducers conducting an ACRE exercise?

6.1.2 Contacting the original author(s) to request a missing reproduction package or missing items

Template email:

Subject: Reproduction materials for ["Title of the paper"]

Dear Dr. [Lastname of Corresponding Author],

I am contacting you about reproduction materials for your paper titled [Title] which was published in [Journal] in [year] (vol [volume], no. [no.]), [link]. I am a [graduate student/postdoc/other position] at [Institution], and I'm working to reproduce this paper as part of a class exercise. [Add context for why you want to reproduce this particular paper using neutral language (e.g., "This is a seminal paper in my field"), avoiding any statements that would put the respondent on the defensive].

To be able to reproduce the paper in full, I hope that you can share the following items: [list items missing from reproduction package, preferably bulleted if more than one (raw/analytic data, code, protocols for conducting the experiment, etc.)]. I have already searched [locations where you searched for items, with links provided], however I was not able to locate the items there. You can be assured

6.1. FOR REPRODUCERS CONTACTING THE AUTHORS OF THE ORIGINAL STUDY49

that I will not share any of the materials without your permission, and I will use them exclusively for the purpose of this exercise. Let me know if there are any legal or ethical restrictions that apply to all or parts of the reproduction materials so that I can take that into consideration during this exercise.

Note that I will record the outcome of my reproduction on the Advancing Computational Reproducibility in Economics (ACRE) platform, an online catalog of reproduction projects in economics. ACRE is hosted by the Berkeley Initiative for Transparency in the Social Sciences (BITSS). Let me know if you would like me to share the outcome of my exercise with you and whether you are interested in providing a response.

Since I am required to complete this project by [date], I would appreciate your response by [deadline].

Let me know if you have any questions. Please also feel free to contact my supervisor/instructor [Name (email)] for further details on this exercise. Thank you in advance for your help!

Best regards,
[Reproducer]

6.1.3 Asking for additional guidance when some materials have been shared

Note: Even when a corresponding author has shared a reproduction package, you may still run into challenges in interpreting or executing the materials. That shouldn't discourage you from asking the corresponding author to provide clarifications or share missing materials. As in the first scenario described above, demonstrate that you made an honest effort to reproduce the work using the available resources and try to consolidate your requests into as few emails as possible.

Template email:

Subject: Clarification for reproduction materials for ["Title of the paper"]

Dear Dr. [Lastname of Corresponding Author],

Thank you for sharing the materials. They have been immensely helpful for my work.

Unfortunately, I ran into a few issues as I delved into the reproduction exercise, and I think your guidance would be helpful in resolving them. [Describe the issues and how you have tried to resolve them. Describe whatever files or parts

of the data or code are missing. Refer to examples 1 and 2 below for more details].

Thank you in advance for your help.

Best regards,
[Reproducer]

1: An example of well described issues:

Specifically, I am attempting to reproduce OUTPUT X (e.g., table 1, figure 3). I found that the following components are required to reproduce to reproduce OUTPUT X:

OUTPUT X

```
[code] formatting_table1.R
      output1_part1.txt
|      [code] output_table1.do
|      [data] analysis_data01.csv
|      [code] data_cleaning01.R*
|      [data] UNKNOWN
      output1_part2.txt
      [code] output_table2.do
      [data] analysis_data02.csv
      [code] data_cleaning02.R
      [data] admin_01raw.csv*
```

I have marked with an asterix (*) the items that I could not find in the reproduction materials: **data_cleaning01.R** and **admin_01raw.csv**. After accessing these files, I will also be able to identify the name of the raw data set required to obtain output1_part1.txt. This is to let you know that and that I may need to contact you again if I cannot find this file (labeled as UNKNOWN above) in the reproduction materials.

I understand that this request will require some work for you or somebody in your research group, but I want to assure you that I will add these missing files to the reproducibility package for your paper on the ACRE platform. **Doing this will ensure that you will not be asked twice for the same missing file.**

2. An example of poorly described issues:

Your paper does not reproduce. I have tried for several hours now, and can't get the DO files to run. Could you please share all the missing reproduction materials? Data and code sharing are a basic principle of open science, so I am confident that you will do the right thing.

6.1.4 Response when the original author has refused to share due to *undisclosed reasons*

Note: You can also use this template if a corresponding author has not submitted a response after two or more follow-up emails.

Template email:

Subject: Re: Reproduction materials for “[Title of the paper”]

Dear Dr. [Last Name of Corresponding Author],

Thank you for considering my request. I will try to reproduce the paper using the available materials, and will record the missing items accordingly on the ACRE platform. I will also post my assessment of the reproducibility of the paper in its current form based on the ACRE reproducibility scale.

Let me know if you have any questions.

Best regards,
[Reproducer]

6.1.5 Response when the original author has refused to share due to legal or ethical restrictions of the data

Template email:

Subject: Re: Reproduction materials for “[Title of the paper”]

Dear Dr. [Last Name of Corresponding Author],

Thank you for your response and for clarifying the terms of use of the reproduction materials.

Though I understand that you are unable to share the raw data, there may be alternative steps you can take that would help me improve the reproducibility of your paper. These include:

1. Sharing the analytic version of the data (the version of the dataset that was used in the final version of your paper);
2. Providing a public description of the steps other researchers can follow to request access to the raw data or materials, including an estimate of the costs and the duration of the process. Find examples of data availability statements for proprietary or restricted-access data [here](#); and

3. Providing access to all data and materials for which the constraints do not apply.

Based on my assessment, your paper would currently rank at [level X] on the ACRE reproducibility scale, however *this score can be easily improved*. Being able to provide analytic data would elevate the reproducibility of your paper to [level Y]. Providing public instructions on how other parties can access the data would further elevate its reproducibility to [level Z].

I would be happy to help if you are interested in taking any of the steps I outlined above. Let me know if that would be helpful.

Thank you for your help!

Best regards,
[Reproducer]

6.1.6 Contacting the original author to share the results of your reproduction exercise

Note: Reporting the results of reproductions is probably the most contentious part of the process, particularly in instances where the reproducer is not able to fully reproduce the paper or finds significant deviations from the original work. However, if the reproduction can correctly identify the sources of such deviations, it may be viewed as an improved version of the original work.

Regardless of the outcome of the reproduction exercise, the guidance from the introduction of this chapter still stands here: *reproduce the work of others as you would like for others to reproduce yours*, and make sure that is reflected in how you discuss any discrepancies between your and the original work.

Template email: >*Subject:* Reproducibility Assessment of

Dear Dr. [Last Name of Corresponding Author],

Thank you for your support throughout my project as I worked to verify and advance the reproducibility of [Paper]. I'm writing now to share the results of my project with you and invite your feedback.

The results of each step of my exercise, include i) Assessment, ii) Improvements, iii) Robustness Checks, (and iv) Extensions, if applicable). [Include the following items in the body of your email: - Briefly describe which parts of the paper you tried to reproduce (e.g. a specific estimate, a table, etc.). - Within the scope of your reproduction, describe exactly which items you managed to reproduce. - Discuss the differences you observed between the results of your reproduction and the original work,

6.1. FOR REPRODUCERS CONTACTING THE AUTHORS OF THE ORIGINAL STUDY⁵³

and demonstrate that you did your due diligence in trying to reproduce the item. Remember that it is more constructive to discuss discrepancies, differences or deviations, rather than errors, mistakes or failures, and *always talk about the work -- not the author!* - Use sensitive language when presenting discrepancies, e.g. "Unfortunately, I found X, which differs from the Y result in the original paper...". Be cognizant of any potential limitations of your work, and explain how you have tried to address them -- that way you will proactively address potential criticism! - Describe how you tried to improve, the reproducibility of the paper. If some of the improvements are based on discretionary judgment (e.g. file organization or code commenting), try to explain why you think they are an upgrade over the original work. If you didn't make improvements, point out some concrete steps that the author(s) can take to improve the reproducibility of the section you reproduced.]

I look forward to your questions, comments, and suggestions on what I laid out above. As discussed previously, I will record the outcomes of my exercise, along with the improvements, on the ACRE platform.

Best regards, [Reproducer]

6.1.7 Responding to hostile responses from original authors

Note: Planning your study carefully and transparently, and using professional and sensitive language are the best ways to ensure that the interaction will be beneficial to both you and the original author. However, unpleasant interactions may happen despite your best efforts, and can range anywhere from dismissive comments to bullying, discrimination, and harassment.

6.1.7.1 Dismissive comments

In cases of dismissive comments, the best course of action may be to simply thank the author for their response and continue with the exercise.

Template email:

Subject: Re: Reproduction materials for "[Title of the paper]"

Dear Dr. [Last Name of Corresponding Author],

Thank you for your response. I will work to reproduce using the available materials, and will record my results accordingly on the ACRE platform. I will also post my assessment of the reproducibility of the paper in its current form based on the ACRE reproducibility scale.

Let me know if you have any questions.

Best regards,
[Reproducer]

6.1.7.2 Harassment and/or discrimination

The AEA and other economic societies have strict policies against harassment and discrimination. Here are some of the behaviors that the AEA Policy on Harassment and Discrimination has listed as unacceptable, and could emerge in a hostile exchange regarding a reproduction:

- Intentionally intimidating, threatening, harassing, or abusive actions or remarks (both spoken and in other media)
 - Prejudicial actions or comments that undermine the principles of equal opportunity, fair treatment, or free academic exchange
 - Deliberate intimidation, stalking, or following
 - Real or implied threat of physical harm.

Here are a some steps you can take if you believe you have experienced bullying, discrimination or harassment:

- **File a complaint with the AEA Ombudsperson.** Any AEA member can file a complaint (you can also join the AEA solely for the purpose of filing a report). The person about whom you are making the complaint need not be an AEA member. A non-AEA member can also file a report if the act of harassment or discrimination was committed by an AEA member or in the context of an AEA-sponsored activity. Learn more about the process [here](#).
 - **File a report with your institution’s office for the prevention of harassment & discrimination.** US-based institutions have internal mechanisms that allow students and faculty to seek support in cases of discrimination and harassment on the basis of race, color, national origin, gender, age, or sexual orientation/identity, including allegations of sexual harassment and sexual violence. Formal titles of this office vary across institutions, but common names include “Office for the Prevention of Harassment and Discrimination” (in institutions that are part of the University of California system), “Office of Equity and Title IX”, etc.

- **Contact your institution’s Ombudsperson/Ombuds Office.** If you believe that you have experienced academic bullying or other forms of disrespectful behavior that fall outside the scope of harassment and/or discrimination as described above, you should know that university ombuds officers are a confidential, impartial resource to discuss your concerns and learn about potential next steps available in your case.
- **Access mental health services at your institution.** Many universities offer short-term Counseling & Psychological Services (CAPS) for academic, career, and personal issues.
- **Ask for support from your academic supervisor.** If you are unsure on how to proceed, consult your academic supervisor on whether continuing the exercise would be appropriate.

6.2 For Original Authors Responding to Requests from Reproducers

[Aleks: would it be possible for you to work on this next week (3/30)?]

6.2.1 Responding to a repeated request

[TO DO] ### Acknowledging that some information is missing

[TO DO]

6.2.2 Acknowledging that some material is still embargoed for future research

[TO DO]

6.2.3 Responding to incomplete/aggressive requests from reproducer

Chapter 7

Reproduction Diagrams

7.1 Different Scenarios

7.1.1 Complete

```
table 1
  [code] formatting_table1.R
  output1_part1.txt
  |   [code] output_table1.do
  |   [data] analysis_data01.csv
  |   [code] data_cleaning01.R
  |   [data] survey_01raw.csv
  output1_part2.txt
  [code] output_table2.do
  [data] analysis_data02.csv
  [code] data_cleaning02.R
  [data] admin_01raw.csv
```

7.1.2 Raw data and analytic data, but cleaning code is missing.

```
table 1
  [code] formatting_table1.R
  output1_part1.txt
  |   [code] output_table1.do
  |   [data] analysis_data01.csv
  |   [code] MISSING FILE(S)
  |   [data] survey_01raw.csv
```

```
output1_part2.txt
[code] output_table2.do
[data] analysis_data02.csv
[code] MISSIN FILE(S)
[data] admin_01raw.csv
```

Chapter 8

Additional resources

- **Coding errors:** A coding error will occur when a section of the code, of the reproduction package, executes a procedure that is in direct contradiction with the intended procedure expressed in the documentation (paper or comments of the code). For example an error happens if the paper specify that the analysis is perform on the population of males, but the code restricts the analysis to females only. Please follow the ACRE procedure to report coding errors.

Create a section with short summaries of great resources for comp. repro and invite reader to contribute.

8.1 Some summaries

8.1.1 Summary on reproducible workflow (Chapter 11) from Christensen et al. (2019):

- TODO

8.2 Links

TODO: Add and classify

- Project TIER
- IDB's cheatsheet for transparency, reproducibility and ethics

- Lars Vilhuber LDI's Wiki for Reproducibility. Particularly this section.
- World Bank DIME's Wiki for transparent and reproducible research.
- Dynamic documents in R, Python and Stata
- Git resources:
 - Jenny Bryan's book and video
 - Github learning lab
 - Udacity's intro
 - Git for poets
 - Combining GitHub and Dropbox
 - Atlassian intro to Git
 - Software Carpentry tutorial from the command line
- Open Science Framework (OSF)
- R for Stata users

Chapter 9

Contributions

TO DO

9.1 Ask for feedback on guidelines

9.2 List of Contributors

Chapter 10

Definitions

10.1 Basic concepts.

- **Raw data** sets are unmodified files obtained by the authors from the sources cited in the paper. Raw data from which personally identifiable information (PII) has been removed is still considered raw. All other modifications to raw data make it *processed*. A data set may be classified as **raw** if it fits any of the following criteria:
 - The data is stored in a folder or file labeled as “raw”.
 - The data set is not the output of any code script in the reproduction package.
 - The same data file can be independently obtained from the data source cited in the paper.
- **Processed data** are raw data sets that have gone through any transformation other than the removal of PII. There are two kinds of processed data:
 - **Intermediate data** are not directly used as final input for analyses presented in the final paper (including appendices). Intermediate data should not contain direct identifiers.
 - **Analytic data** is used as the final input in a workflow in order to produce a statistic displayed in the paper (including appendices). A data set may be classified as **analytic** if it fits any of the following criteria:
 - * The data is stored in a folder or file labeled as “analytic” or “analysis.”

* The data set is the last input required to produce some of the output (formatted or unformatted) of the paper.

- **Causal claim:** This paper estimates the effect of X on Y for population P, using method F. Example: “This paper investigates the impact of bicycle provision on secondary school enrollment among young women in Bihar/India, using a Difference in Difference approach.”
- **Descriptive/predictive claim:** This paper estimates the value of Y (estimated or predicted) for population P under dimensions X using method M. Example: “Drawing on a unique Swiss data set (population P) and exploiting systematic anomalies in countries’ portfolio investment positions (method M), I find that around 8% of the global financial wealth of households is held in tax havens (value of Y)”
- **Reproduction package:** Collection of all the materials associated with the reproduction of a paper. A reproduction package may contain data, code and documentation. When the materials are provided in the original publication they will be labeled as ‘original reproduction package’, when they provided by a previous reproducer they will be referred as ‘reproducer X’s reproduction package’. At this point you are only assessing the existence of one (or more) reproduction packages, you are will not be assessing the quality of its content at this stage.

Bibliography

- Chang, A. and Li, P. (2015). Is economics research replicable? sixty published papers from thirteen journals say 'usually not'. *Available at SSRN 2669564*.
- Christensen, G., Freese, J., and Miguel, E. (2019). *Transparent and reproducible social science research: How to do open science*. University of California Press.
- Galiani, S., Gertler, P., and Romero, M. (2018). How to make replication the norm. *Nature*, 554(7693):417–419.
- King, G. (1995). Replication, replication. *PS: Political Science and Politics*, 28:444–452. See updates to this paper for how I use this paper as a class assignment now.
- Kingi, H., Vilhuber, L., Herbert, S., and Stanchi, F. (2018). The reproducibility of economics research: A case study. Presented at the BITSS Annual Meeting 2018 and available at the Open Science