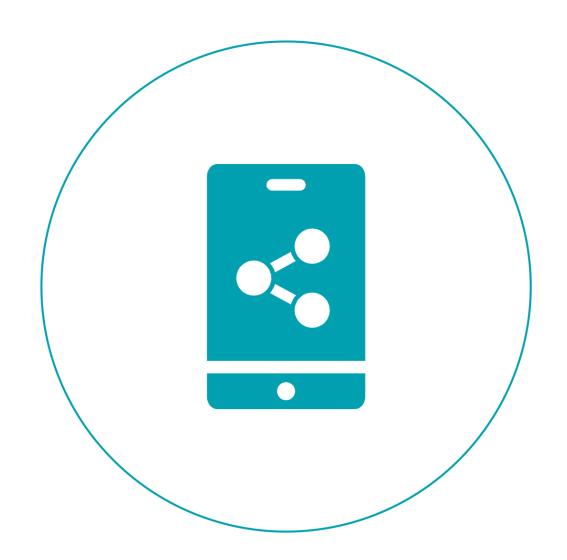
# "Why Should I Trust You?" Explaining the Predictions of Any Classifier

Marco Tulio Ribeiro, Sameer Singh, Carlos Guestrin

Fengmin Wu 2019.10.16

... since AI and machine learning is not really new ...



# AI and machine learning algorithms become omnipresent.

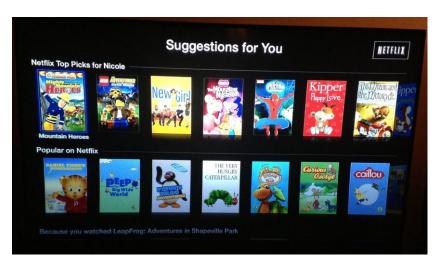
- In (almost) every area of our lives
- Because algorithms tend to be more efficient and faster than humans

# Machine Learning applications becoming pervasive...













We've automatically grouped together similar pictures and suggested the names of friends who might

We've Suggested Tags for Your Photos

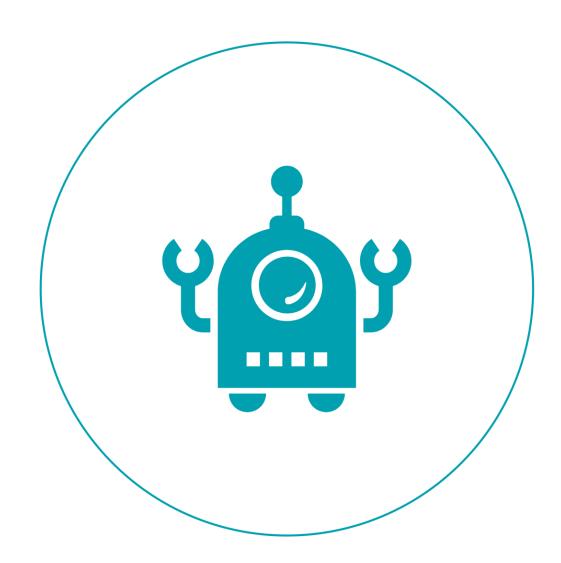
... since AI and machine learning is not really new ...



# Data privacy and security become more important.

- Majority of our society is touched by it
- Talked about in the media
- Thus became an important political topic

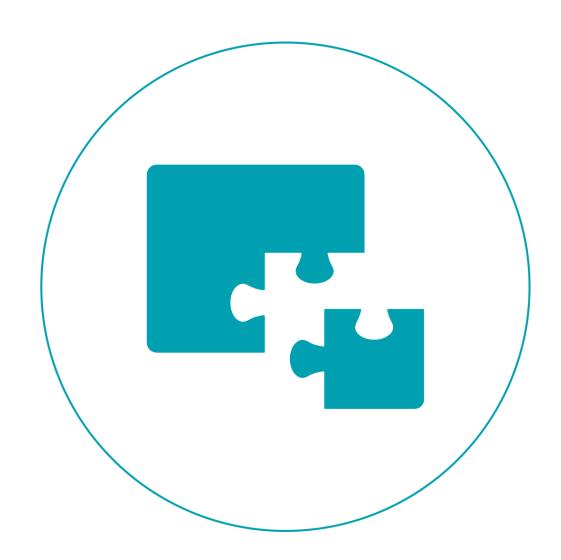
... since AI and machine learning is not really new ...



# Al is hyped.

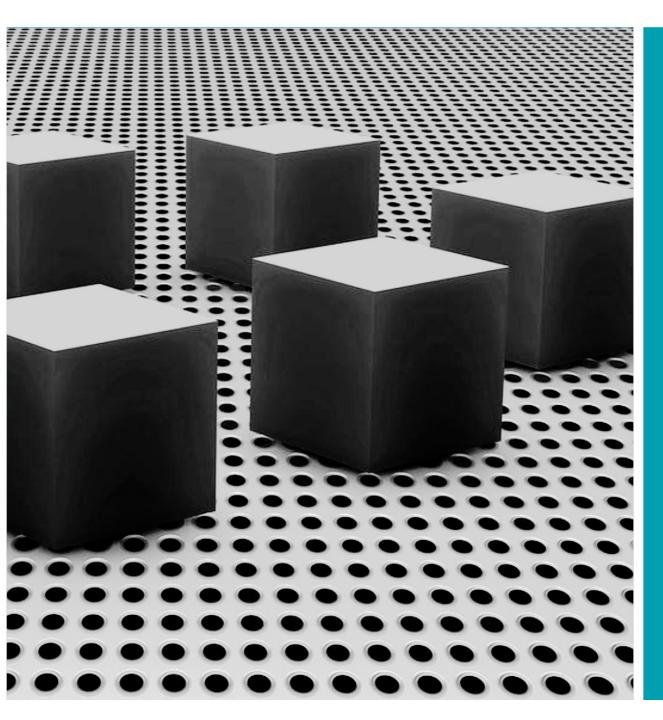
- The media reports about it a lot
- And tends to be critical about it

... since AI and machine learning is not really new ...



### AI is complex.

- Possibilities and dangers are hard to differentiate by most people
- "Brave New World"?

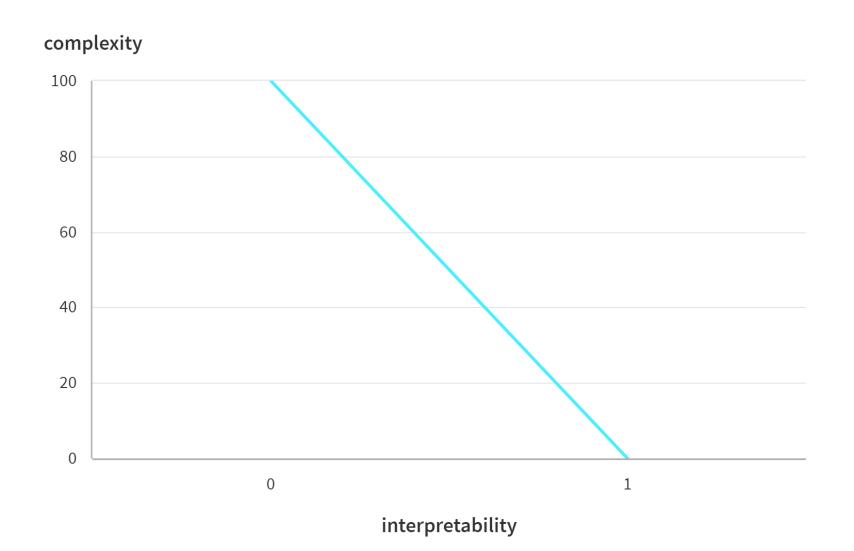


Why are ML models

# "Black-Boxes"?

# Trade-off between interpretability & complexity

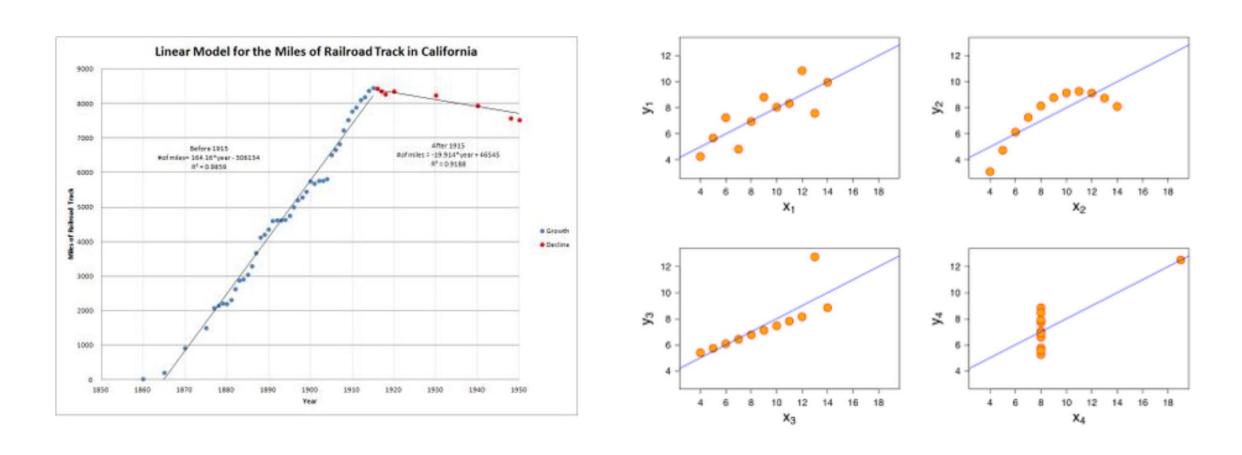
The more complex a model, the harder it tends to be to understand.





## Trade-off between interpretability & complexity

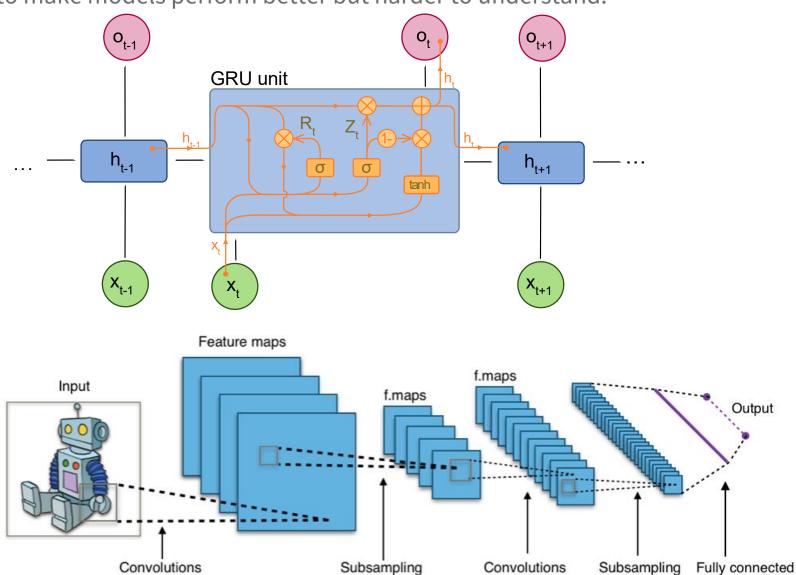
Linear regression models are easy to understand: If ..., then ...



Source: Wikipedia

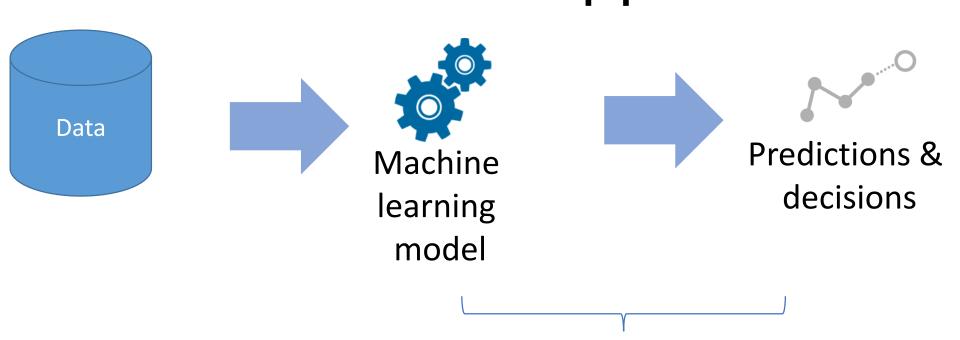
### Trade-off between interpretability & complexity

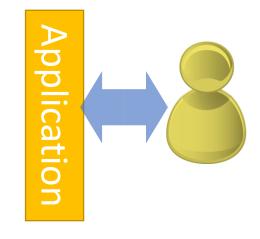
Complexity tends to make models perform better but harder to understand.



Can we **trust** our models? Are they fit for the wild?

# How to build an application with ML





#### Trust Challenge

- Is model really working?
- Convince myself and others?

# Why should we improve our understanding of ML models?

... if technically it isn't necessary ...



Generalisability

"Sanity Check"

Prevent wrong conclusions & potentially adversarial attacks

### Improving our models

When we understand our models better, we are better at detecting wrong conclusions.



Example 1: Image classification of wolves & Huskies

The model based its predictions on the snow in the background.



Example 2: Text classification of Christian & Atheist posts

Not all words that predictions were based on made sense.



Example 3: Image classification with Google's Inception Net

Knowing which areas of an image contributed to a decision helps us trust it.

# Why should we improve our understanding of ML models?

... if technically it isn't necessary ...



Improving our models

Generalisability

"Sanity Check"

Prevent wrong conclusions & potentially adversarial attacks



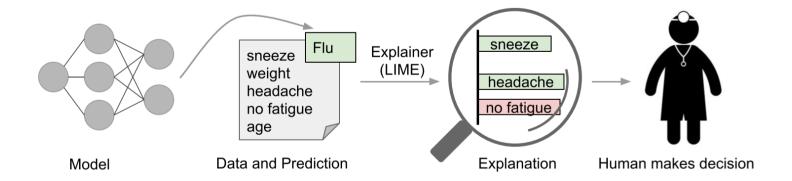
Trust and transparency

Can I trust my model's decisions?
Why does my model make the predictions it makes?

### Trust and transparency

But trust is also important in others areas!

- Decision can be a question of life and death.
- Medical intervention needs to be based on a diagnosis.



#### Trust and transparency

But trust is also important in others areas!

- Decision can be a question of life and death.
- Medical intervention needs to be based on a diagnosis.
- In business, we can save time and money by improving our understanding of machine learning.



# Why should we improve our understanding of ML models?

... if technically it isn't necessary ...



Improving our models

Generalisability

"Sanity Check"

Prevent wrong conclusions & potentially adversarial attacks



**Trust and transparency** 

Can I trust my model's decisions?

Why does my model make the predictions it makes?



**Prevent Bias** 

Fairness
Identify and prevent bias

# Explaining individual predictions: Making any model interpretable

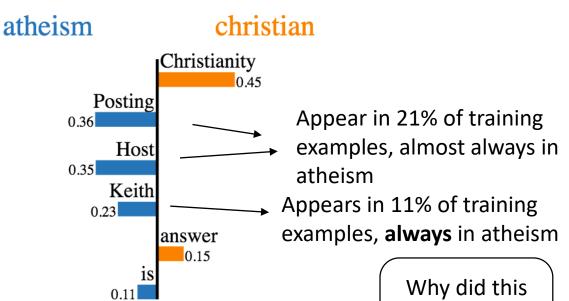
# What an explanation looks like

From: Keith Richards

Subject: Christianity is the answer

NTTP-Posting-Host: x.x.com

I think Christianity is the one true religion. If you'd like to know more, send me a note



→ Will not generalize→ Don't trust this model!

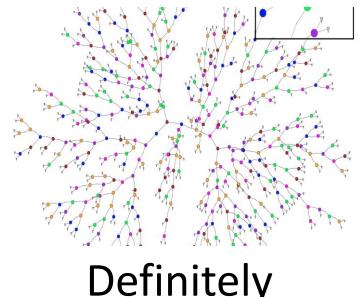
happen? How

do I fix it?

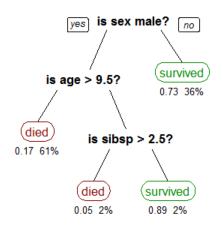
# Three must-haves for a good explanation

#### Interpretable

Humans can easily interpret reasoning



Definitely not interpretable



Potentially interpretable

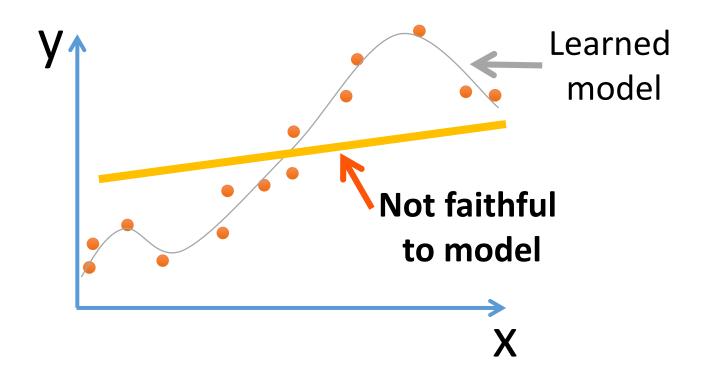
# Three must-haves for a good explanation

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#### Faithful

Describes how this model actually behaves



# Three must-haves for a good explanation

#### Interpretable

Humans can easily interpret reasoning

#### Faithful

Describes how this model actually behaves

#### Model agnostic

• Can be used for any ML model



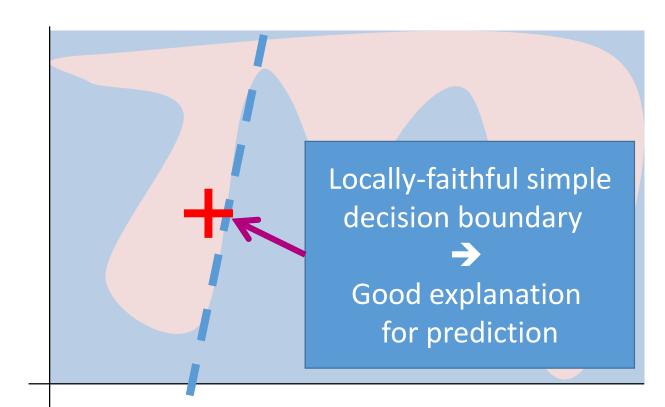
LIME: Local Interpretable Model-Agnostic Explanations

# LIME – Key Ideas

- Pick a model class interpretable by humans
  - Not globally faithful... ⊗

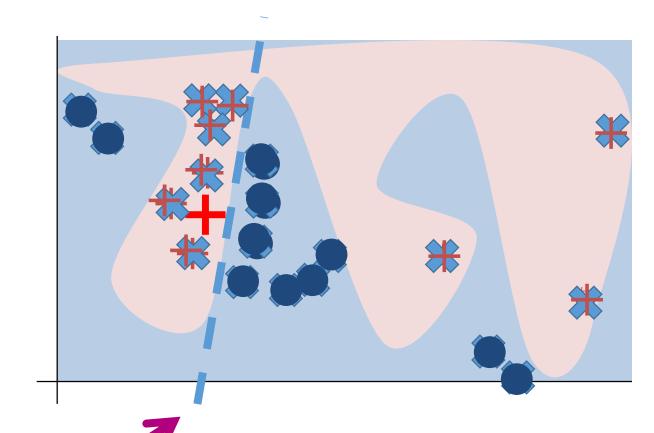
- Locally approximate global (blackbox) model
  - Simple model globally bad,
     but locally good

Line, shallow decision tree, sparse features, ...



# Using LIME to explain a complex model's prediction for input x<sub>i</sub>

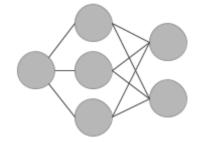
- 1. Sample points around x<sub>i</sub>
- 2. Use complex model to predict labels for each sample
- 3. Weigh samples according to distance to x<sub>i</sub>
- 4. Learn new simple model on weighted samples
- 5. Use simple model to explain



# Interpretable representations

#### x (embeddings)

0.5 0.3 1.3 4.4 1.1 ...



Model

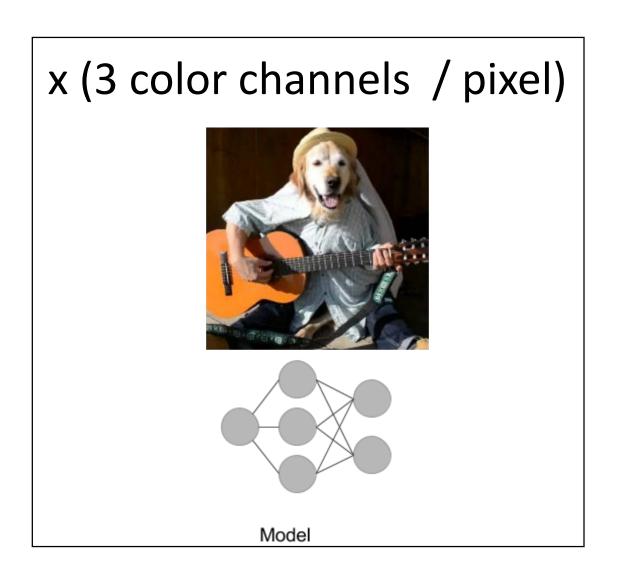
x' (words)

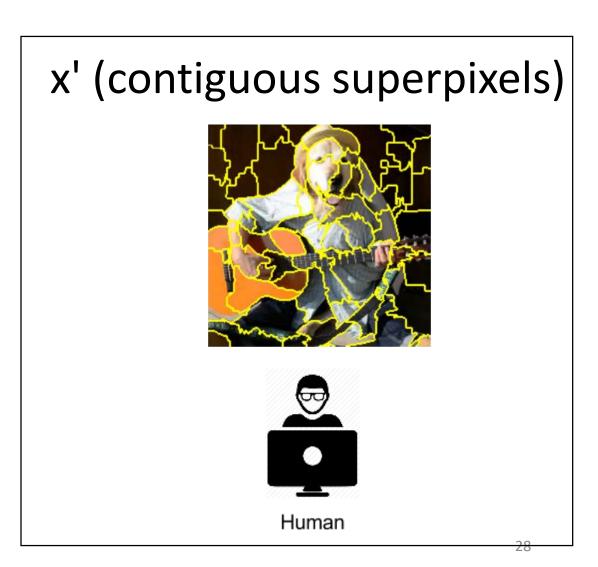
This is a horrible movie.



This is what we perturb, and this is what we use in the interpretable approximation

# Interpretable representation: images





#### LIME



Explain model :  $g \in G$ 

Model being explained :  $f: \mathbb{R}^d \to \mathbb{R}$ 

A measure of complexity :  $\Omega(g)$  - depth of the tree, number of non-zero weights

Capturing the locality :  $\pi_x$  - far away from x, low weight, or vice versa

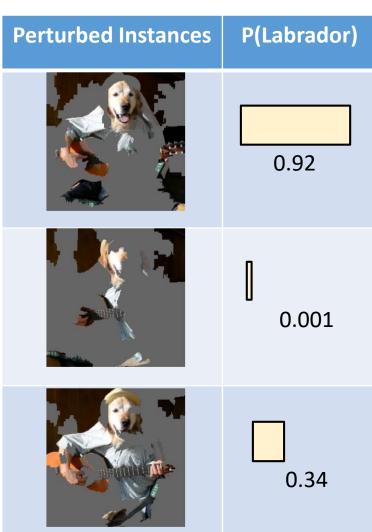
$$\xi(x) = \underset{g \in G}{\operatorname{argmin}} \mathcal{L}(f, g, \pi_x) + \Omega(g)$$

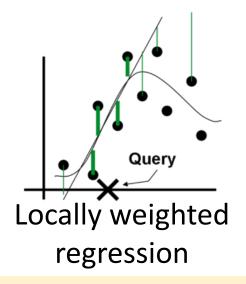
- A measure of how unfaithful  $m{g}$  is in approximating  $m{f}$  in the locality defined by  $m{\pi}_{m{x}}$
- Get an explanation model  $\xi(x)$  by optimizing it.

# Sampling example - images

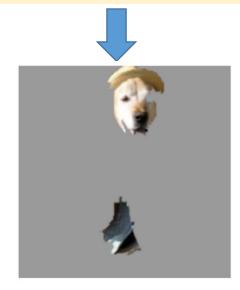


Original Image P(labrador) = 0.21





$$\mathcal{L}(f,g,\pi_{\chi}) = \sum_{z,z'\in Z} \pi_{\chi}(z) \big(f(z) - g(z')\big)^2$$



**Explanation** 

Gaining insights from explanations

# Explaining Google's Inception NN

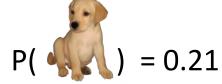






$$P( ) = 0.24$$







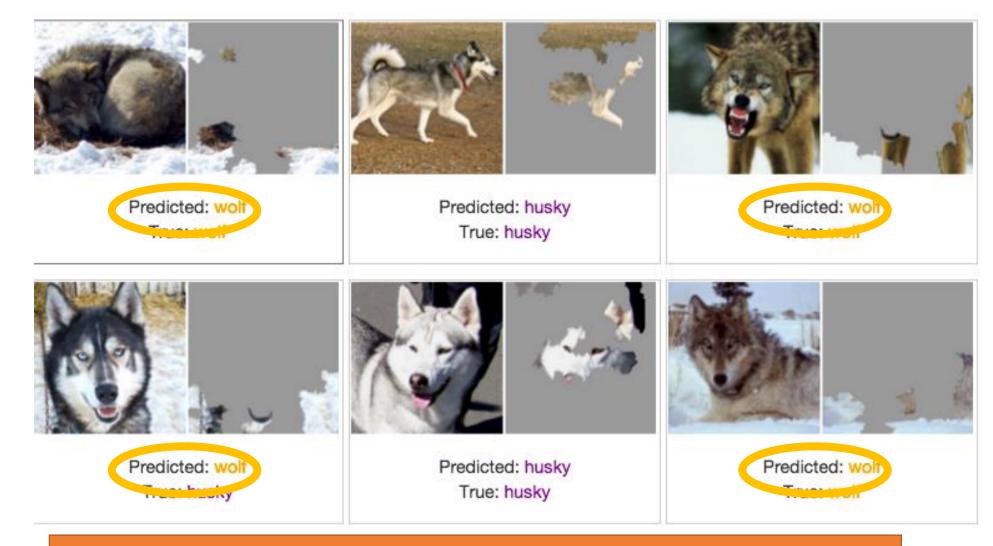
# Train a neural network to predict wolf v. husky



### Only 1 mistake!!!

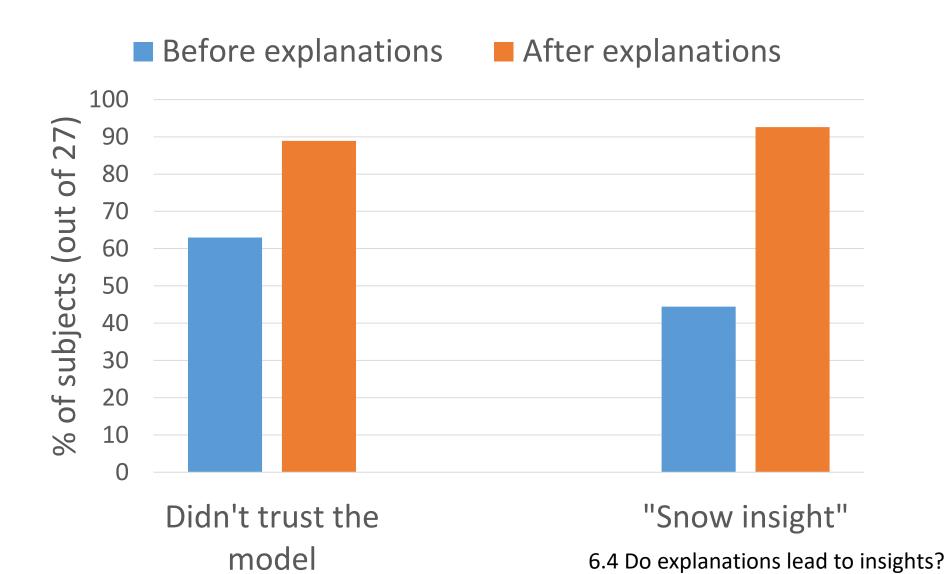
Do you trust this model?
How does it distinguish between huskies and wolves?

# Explanations for neural network prediction



We've built a great snow detector... 😊

# Did machine learning people notice it?



## Beyond explaining predictions: Explaining whole models

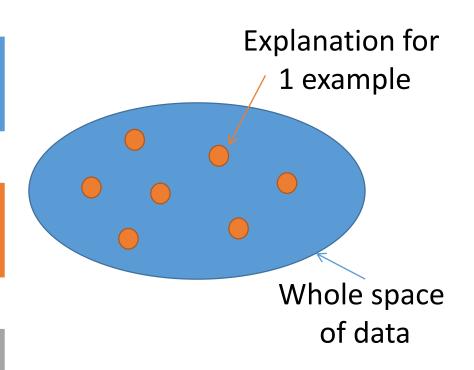
# Explaining model behavior for whole space

Explaining 1 prediction describes local behavior of model

Pick k predictions to describe overall behavior

Indispensable to avoid redundancy in explanations

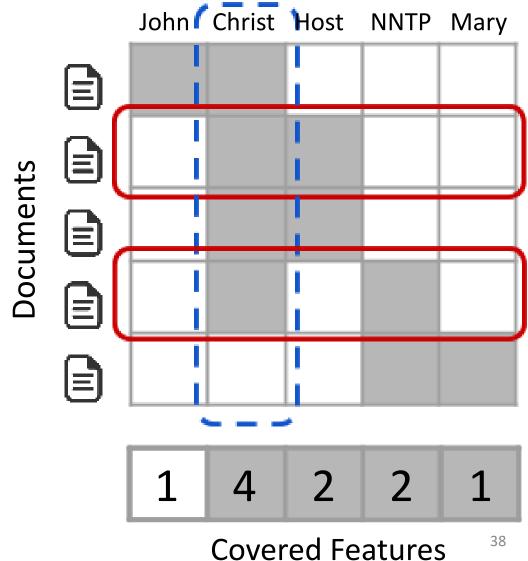
Submodular function optimization provides diverse set of explanations



#### Submodular selection- intuition

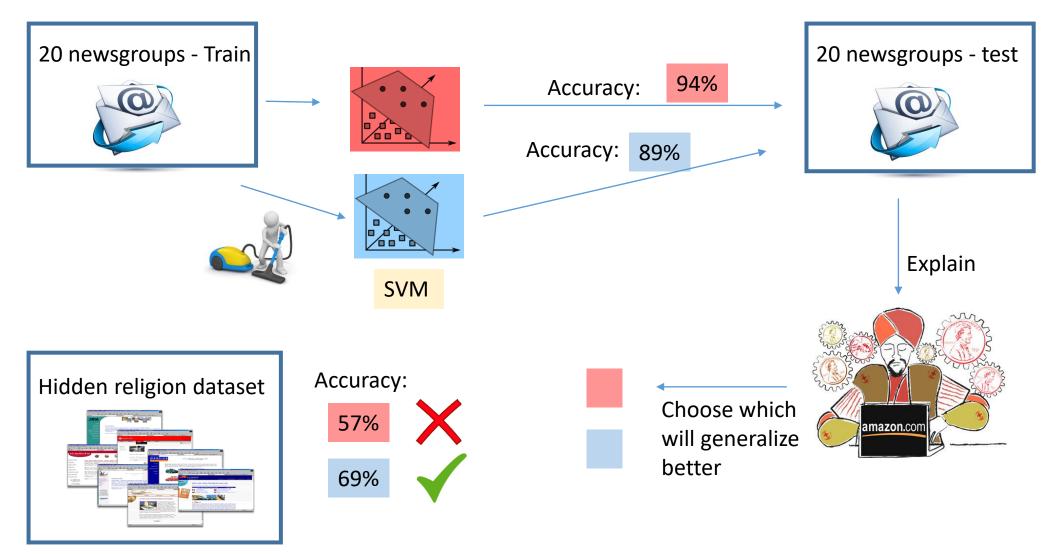
**Features** 

- Representative explanations
- 2. Avoid redundancy



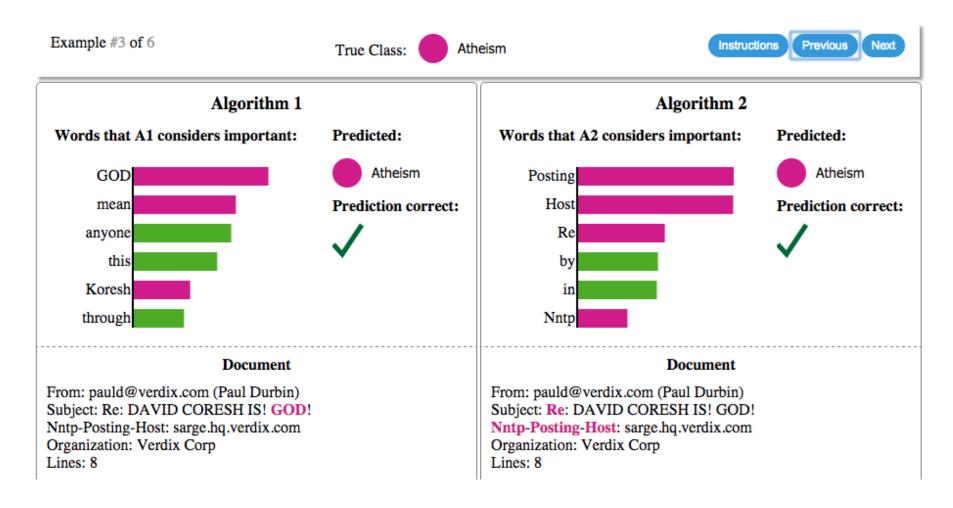
Evaluating whole-model explanations

# Choosing between competing models



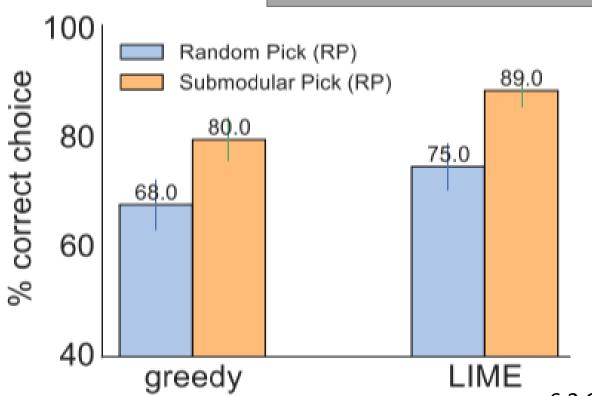
# Choosing between competing models

Ask people on Mechanical Turk which model generalizes better



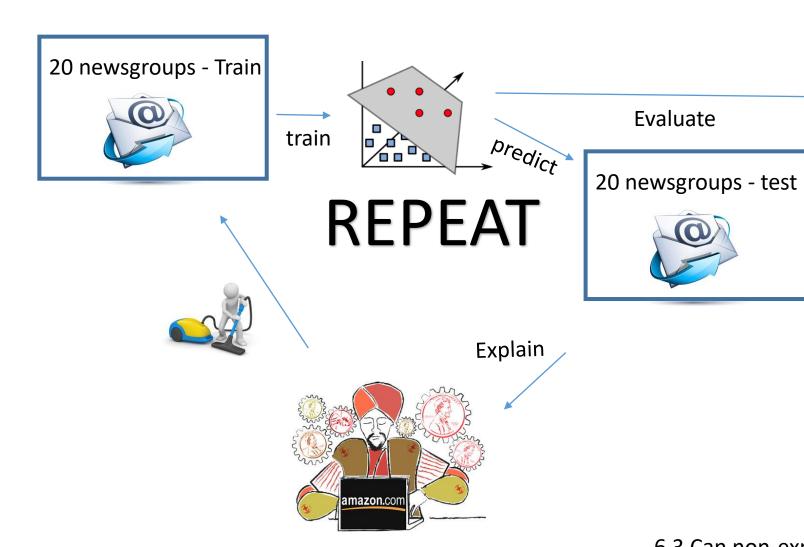
# Choosing between competing models

# 89% of Mechanical Turkers identify more trustworthy model



If we picked based on accuracy, we would get it wrong.

# Fixing bad classifiers



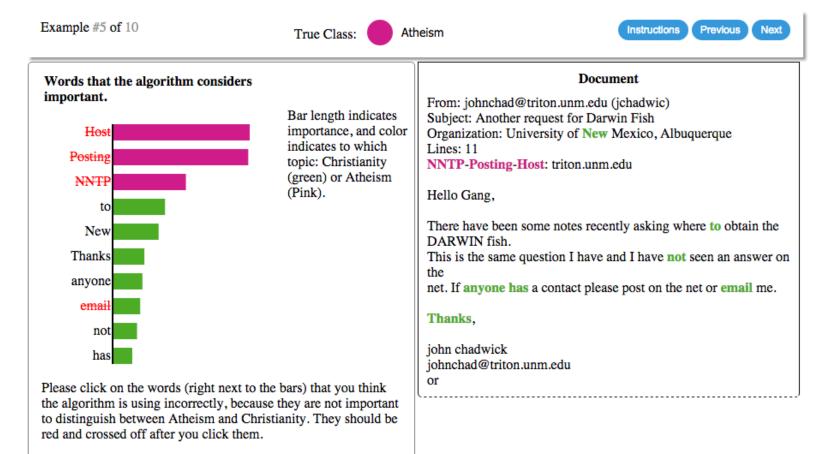


Turkers don't know about this dataset

**Evaluate** 

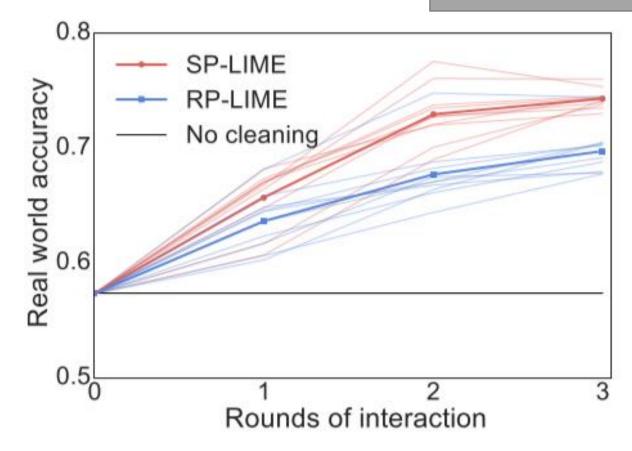
# Fixing bad classifiers

Turkers click on 'useless' words for the task in each round

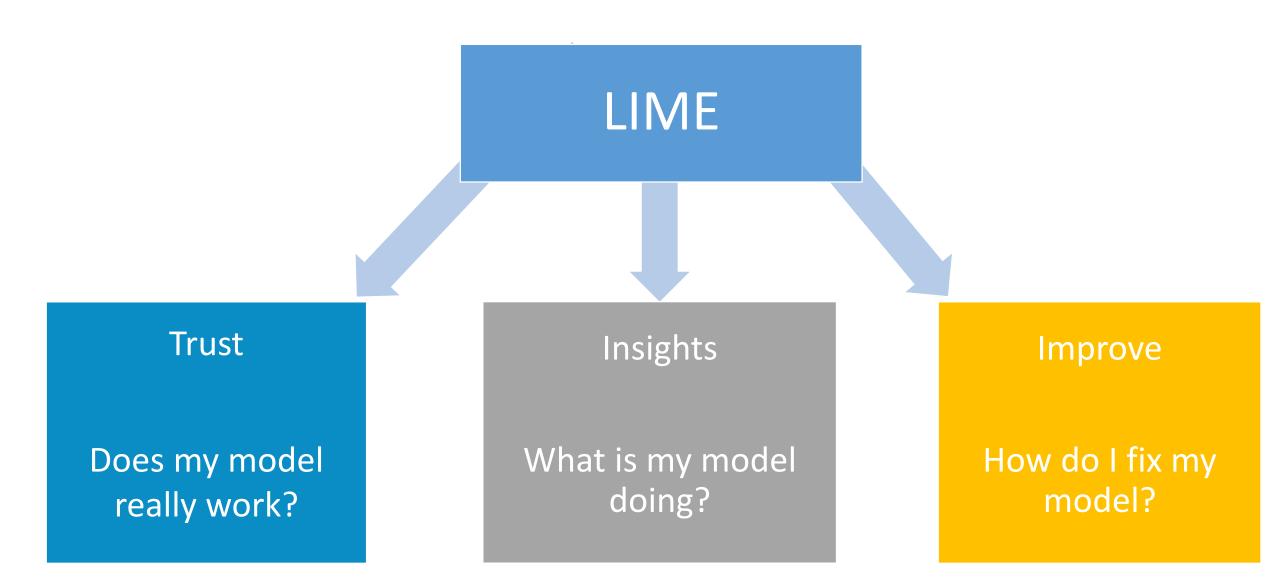


# Fixing bad classifiers

Mechanical Turkers can do 'feature engineering' really well!



### Conclusion



Open source project: https://github.com/marcotcr/lime