

Reader-Writer Problem

For Writer

For Reader

Wait(wrt)

Wait(mutex)

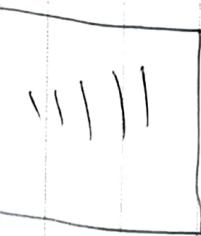
mutex = 1
readcount = 0

write operation

readcount ++
if (readcount == 1)

wait(wrt)

signal(wrt)



(initial state)
wrt = 1

signal(mutex)

read operation.
readcount --

if (readcount == 0)

signal(wrt)

signal(mutex).

Semaphore - wrt, mutex,
variable: readcount

a = 10 Case 1: Case 2:

① R(a)	$\begin{cases} P_1: a = 11 \\ a = 11 \end{cases}$	$P_1: a = 10$
② a++	$\begin{cases} P_2: a = 12 \\ a = 12 \end{cases}$	$P_2: a = 10$ $a = 11$
③ W(a)	$\begin{cases} P_3: a = 11 \\ a = 11 \end{cases}$	$P_3: a = 10$ $a = 11$

$\Delta: 3 = a = 11$

Process synchronization

Race condition: - When order of execution can change the result in unusual race condition.

Critical Section: In process execution, the ~~current~~ ~~area~~ where program control is transferred becomes ~~critical~~ critical section.

$$\begin{array}{ll}
 P_1() & P_2() \\
 \left\{ \begin{array}{l} C = B - 1; \\ B = D - 1 \end{array} \right. & \left\{ \begin{array}{l} D = 2 * B \\ B = D - 1 \end{array} \right. \\
 \textcircled{1} & \textcircled{2} \\
 \textcircled{3} & \textcircled{4}
 \end{array}$$

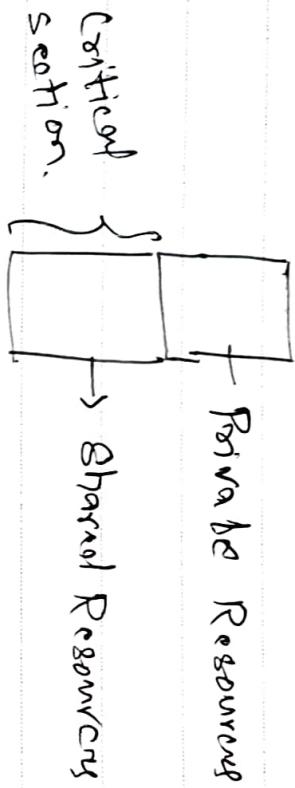
B is shared variable with initial value 2. How many different value possible after all the execution of pr.

<u>Case: 1</u>	<u>Case: 2</u>	<u>Case: 3</u>	<u>Case: 4</u>	<u>Case: 5</u>	<u>Case: 6</u>
$C = 1, 2, 3, 4$	$3, 4, 1, 2$	$1, 3, 4, 2$	$3, 1, 2, 4$	$1, 3, 2, 4$	$3, 1, 4, 2$
$B = 2 \times 1 = 2$	$D = 2 \times 2 = 4$	$C = 2 \times 1 = 2$	$D = 2 \times 2 = 4$	$C = 2 \times 1 = 2$	$D = 2 \times 2 = 4$
$B = 2 \times 2 = 4$	$C = 3 - 1 = 2$	$D = 2 \times 2 = 4$	$C = 2 \times 2 = 4$	$D = 2 \times 2 = 4$	$C = 2 \times 1 = 2$
$B = 2 \times 2 = 4$	$B = 2 \times 1 = 2$				

Critical Section Problem :-

- * The shared resources should be used in mutual exclusion fashion by processes otherwise it leads to inconsistency.

P1
P2



Criteria for critical section problem solution :-

(1) Mutual Exclusion: If process P_i is executing (Mandatory)

in its critical section, then

no other process can be

executing in their critical sections

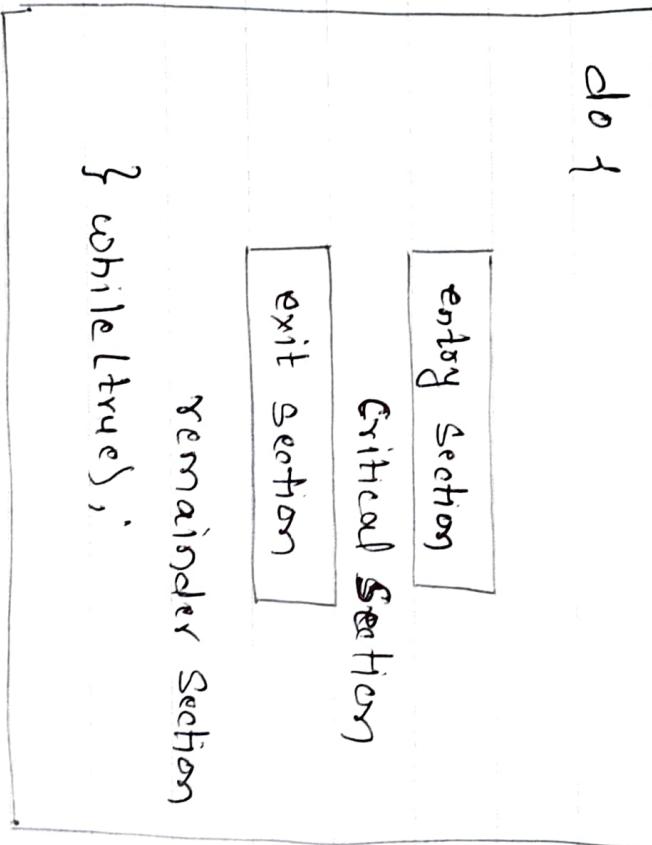
(2) Progress:- If no process is executing in (Mandatory) its critical in its critical section

and some processes wish to enter their

Critical sections, then only those processes that are not executing in their remainder Section can participate in the decision dynamic, which will enter its critical section next.

and this selection cannot be postponed indefinitely.

3. Bounded Waiting:- There exist a bound on the (optional) number of times that other processes are allowed to enter their critical sections after a process has made a request to enter its critical section and before that request is granted.



Two Process Solution : Using variable turn = 0/1

Process P1

```
while(1){  
    while(turn != 0);  
    critical section  
    turn = 1;  
    remainder section  
}
```

Process P2

```
while(1){  
    while(turn != 1);  
    critical section  
    turn = 0  
    remainder section  
}
```

→ This soln satisfy mutual exclusion criteria

→ But it is not satisfy the progress because, after exit from P1 critical section by P_0 , P_0 cannot go in critical section again. For that P_1 has to execute its critical section then only P_0 can go in its critical section. So there is a loop formation $P_0 \rightarrow P_1 \rightarrow P_0 \rightarrow P_1 \dots$

So this is not satisfying the progress criterion, ~~but~~ it forcing strict alternations.

Using Flag Variable



```
P0
while(1) {
    while(1) {
        flag0 = T
        while(flagT);
        while(flagT);
    }
    critical section
    flagT0 = F
}
P1
while(1) {
    flagT = T
    while(flagT);
    critical section
    flagT = F
}
```

→ Its satisfy mutual exclusion criteria
→ Its not satisfying progress criterion, but in some scenario it cant which is explained below:—

Problem with this soln is occurs when suppose P_0 done the context switch after the execution of $\text{flag0} = T$ and P_1 start to execute then both flag value become true and neither process can enter into critical section. ~~long progress~~ so progress criteria not satisfied.

Peterson Solution :-

```
P0
while(1)
{
    flag0 = T
    turn = 1
    while(turn == 1 && flag1 == T)
        turn = 0
    critical section
    flag0 = F
    flag1 = T
}

P1
while(1)
{
    flag1 = T
    turn = 0
    while(turn == 0 && flag0 == T)
        turn = 1
    critical section
    flag1 = F
}
```

→ It satisfies mutual exclusion.

→ It satisfies progress.

→ Bounded wait

→ It good for two process solution.
→ But difficult to write for n process.

SEMAPHORES

A semaphore is an integer variable that apart from initialization, is accessed only through two standard atomic operations, wait [PV], signal [VU, UPV].

~~U.S. gauge open Sintered Metal~~

~~int S=1; [S initialized with 1 for c's prob. 80%]~~

PL Wait() | (re) signal()

entry section

Wait (s)

Signal (s)

while ($s \leq 0$);
 $s = s + 1$

2

```
// remainderSection  
} while (true);  
}
```

Usage:

- (ii) Used for providing the soln of n-process critical section problem.
- (iii) Can be used to decide the order of execution among the processes.
- (iv) Can be used for resource management.

(ii) Critical section problem soln using semaphore.

P.

do

wait(s)

// critical section

signal(s)

// remainder section

{ while(true) ; }

* Here semaphore satisfy mutual exclusion, progress for critical section problem satisⁿ criteria but it not satisfying Bound of wait criteria.

(iii) Deciding the Order of execution using Semaphore:

$$S_1 = 0, S_2 = 0$$

wait(S₁) | wait(S₂)
P₁ P₂
signal(S₂) | signal(S₁)

Suppose we want the execution in following order
 $P_2 \rightarrow P_1 \rightarrow P_3$
Suppose (Radius mapping) (Area calculation) (last of the area)

→ Take two semaphore variable $S_1 = 0, S_2 = 0$

				(8)														
(2ii) Resource Management using Semaphore:	if you have more than one replicas of some resource, you need to do only one change, initialize the semaphore variable to no. of resources and keep the other thing same. For eg: if there're 5 printer available then five processes can use that and so we can initialize $S=5$.	Q: Suppose we want to synchronize two processes P and Q, using binary Semaphore S, T	<table border="1"> <thead> <tr> <th>Process P</th> <th>Process Q</th> </tr> </thead> <tbody> <tr> <td>while(1)</td> <td>while(1)</td> </tr> <tr> <td>{</td> <td>{</td> </tr> <tr> <td> W</td> <td> Point '1'</td> </tr> <tr> <td> Point '0'</td> <td> Point '2'</td> </tr> <tr> <td> Point '0'</td> <td> }</td> </tr> <tr> <td>}</td> <td>}</td> </tr> </tbody> </table> <p>Then what should be value of S, T?</p>	Process P	Process Q	while(1)	while(1)	{	{	W	Point '1'	Point '0'	Point '2'	Point '0'	}	}	}	<p>value of S, T = 2, 1</p> <p>D</p>
Process P	Process Q																	
while(1)	while(1)																	
{	{																	
W	Point '1'																	
Point '0'	Point '2'																	
Point '0'	}																	
}	}																	
a	P(S)	V(S)	P(T)	V(T)														
b	P(S)	V(T)	P(T)	V(S)														
c	P(S)	V(T)	P(T)	V(S)														
d	P(S)	V(S)	P(T)	V(T)														

(a) if O/P string should not be like

01^n0 or 10^n1 , $n=odd$

	W	X	Y	Z	
a	P(S)	V(S)	P(T)	V(T)	S, T = 1
b	P(S)	V(T)	P(T)	V(S)	S, T = 1
c	P(S)	V(S)	P(S)	V(S)	S = 1
d	P(S)	V(T)	P(S)	V(T)	S, T = 1

Bounded Buffer Producer - Consumer Problem

Problem statement:-

- Producer must check empty space first, before producing (Overflow).
- Consumer must check ~~availability~~ ^{existence} of data first, before consuming (Underflow).
- While producer producing, consumer should not consume.
- While consumer consuming, producer should not produce.

Solⁿ :-

Semaphor S = 1

" E = n

" F = 0

/* n is size of buffer */



```
void producer() {  
    while(true) {  
        produce()  
        wait(E)  
        wait(S)  
        append()  
        signal(S)  
        signal(F)  
    }  
}
```

```
void consumer() {  
    while(true) {  
        wait(F)  
        wait(S)  
        take()  
        signal(S)  
        signal(E)  
        use()  
    }  
}
```

Reader-Writer Problem

Problem statement:

There is some piece of text.
On this we can perform two operations
Read and write.

- On text, more than two reader can enter.
- While writer writing, another writer or reader cannot enter.
i.e. Read-write or Write-write operation can create a clash.

Solⁿ: → Take two semaphore wrt, mutex and one variable readcount.

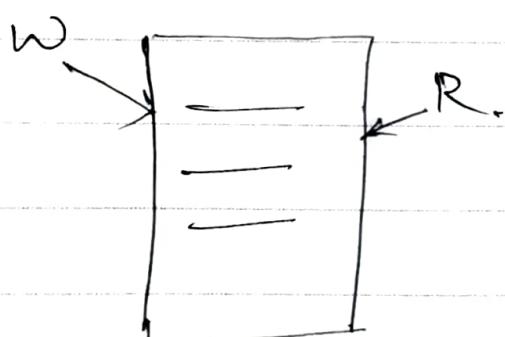
→ Initialize wrt = 1, mutex = 1 and readcount = 0

For writer

wait(wrt)

write operation

signal(wrt)



For Reader

wait(mutex)

readcount ++

if(readcount == 1)] performed by first reader
wait(wrt)

signal(mutex)

read operation.

wait(mutex)

~~read operation~~

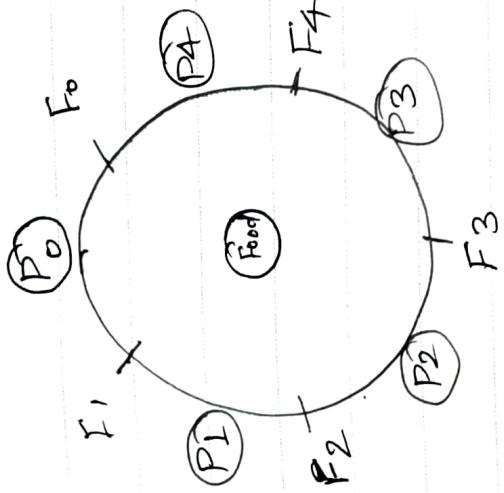
readcount --

if(readcount == 0)

signal(wrt)

signal(mutex)

DINING PHILOSOPHER PROBLEM



$P_0 \rightarrow F_0$ → We are having dining bounded

$P_1 \rightarrow$ On the dining table.

Some philosopher sitting

sitting for dinner.
 \rightarrow There are some ~~fork~~ first
 by using philosopher can
 take food.

\rightarrow Every philosopher have two state: thinking
 and eating.

\rightarrow Philosopher can take left fork first and
 then right fork. ~~then~~ after that can
 start to eat.

No of
 chopstick [5]

semaphore chopstick [5]

S_0	S_1	S_2	S_3	S_4
"	"	"	"	"
1	2	1	2	1

P_0

S_1

S_2

S_3

S_4

P_1

S_1

S_2

S_0

S_1

S_2

S_3

S_4

P_2

S_2

S_3

S_4

P_3

S_3

S_4

P_4

S_4

S_0

S_1

S_2

S_3

$S_$

Hardware Synchronization

- This is used in multiprocessor environment.
- Many machines therefore provide special hardware instructions that allow us either to test and modify the content of a word, or to swap the contents of two words, automatically, that is, as one uninterruptible unit.

```
boolean testAndSet(boolean *target)
```

```
{
```

```
    boolean rv = *target
```

```
    *target = True;
```

```
    return rv;
```

```
}
```

```
do {
```

```
    while (testAndSet(lock));
```

```
    // critical section
```

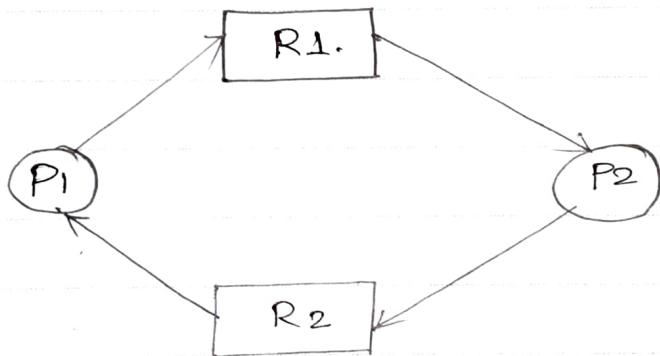
```
    lock = false;
```

```
    // remainder section
```

```
} while(true);
```

DEADLOCK

- In a multiprogramming system, a number of processes compete for limited number of resources and if a resource is not available at that instance then process enters into waiting state.
- If a process unable to change its waiting state indefinitely because the resources requested by it are held by another waiting process then system is said to be in deadlock.



System Model:-

- Every process will request for the resources.
- If entertained them, process will use the resources.
- Process must release the resource after use.

Necessary Condition of Deadlock :-

(i) Mutual Exclusion :- At least one resource type in the system which can be used in non-shareable mode i.e. mutual exclusion (one at a time / one by one). e.g. Printer.

(ii) Hold and Wait \rightarrow A process is currently holding at least one resource and requesting additional resource which are being held by other process.

(iii) No-preemption \rightarrow A resource can not be preempted from a process by any other process, resource can be released only voluntarily by the process holding it.

(iv) Circular Wait \rightarrow Each process must be waiting for a resource which is being held by another process, which in turn is waiting for the first process to release the resource.

$$S = Q = 1$$

PROCESS(P)

wait(s);
wait(Q);
:
signal(s)
signal(Q),

PROCESS(Q)

wait(Q);
wait(s);
:
signal(Q);
signal(s);

Deadlock Handling Methods:

(i) Prevention :- It means design such a system which violates at least one of four necessary conditions of deadlock and ensures independence from deadlock.

(ii) Avoidance :- System maintains a set of data using which it takes a decision whether to entertain a new request or not, to be in safe state.

(iii) Detection and Recovery :- Here we wait until deadlock occurs and once we detect it, we recover from it.

(iv) Ignorance / Ostrich algo :- We ignore the problem as if it does not exist.

~~* Violation of Mutual exclusion condition under deadlock Prevention Approach~~

we cannot violate mutual exclusion because a resource can be utilized by how many processes at a time, depend on the ~~a~~ resource hardware property.

Violation of Hold and Wait Under deadlock Prevention

* Conservative approach :- Process is allowed to start execution if only if it has acquired all the resources (less efficient, not implementable).

* Do not hold :- Process will acquire only desired resources but before making any fresh request it must release all the resources that it currently hold (efficient, implementable)

* Wait timeout :- We place a maximum time upto which a process can wait, after which process must release all the holding resources and exit.

Violation of No-Premption under Deadlock Prevention Approach

- * Force-full Preemption :- We allow a process to forcefully preempt the resources holding by other processes.
 - This method may be used by high priority process or system process.
 - The process which are in waiting state must be selected as a victim instead of process in the running state.

Violation of Circular Wait Under Deadlock Prevention Approach

- Circular wait can be eliminated by first giving a natural number of every resource.
 $f: N \rightarrow R$
- allow every process to either only in the increasing or decreasing order of the resource number
- If a process require a lesser number (in case of increasing order) then it must first release the resources larger than required number.

Deadlock Avoidance

Safe State:- A state is safe if the system can allocate resources to each process (upto its maximum) in some order and still avoid a deadlock. A system is in a safe state only if there exist a safe sequence.

- A safe state is not a deadlock state.
- A deadlock state is an unsafe state.
- Not all unsafe states are deadlock, but an unsafe state may lead to a deadlock.

(1) BANKER'S Algorithm :-

Let n be the number of processes in the system and m be the number of resource type

* Available:- A vector of length m indicates the number of available resources of each type. If $\text{available}[j] = k$, there are k instances of resources type j available.

* Max: An $n \times m$ matrix defines the maximum demand of each process. If $\text{Max}[i, j] = K$, then process P_i may request at most K instances of resource type R_j .

* Allocation: An $n \times m$ matrix defines the number of resources of each type currently allocated to each process. If ~~alloc~~ $\text{allocation}[i, j] = k$, then process P_i 's currently allocated k instances of resource type R_j .

* Need: An $n \times m$ matrix indicates the remaining resources need of each process. If $\text{need}[i, j] = K$, then process P_i may need K more instances of resource type R_j to complete its task.

$$\boxed{\text{Need}[i, j] = \text{Max}[i, j] - \text{Allocation}[i, j]}$$

Safety algorithm :-

The algorithm for finding out whether or not a system is in a safe state can be described as follows:-

- (a) Let Work and Finish be vectors of length m and n , respectively.

Initialize Work := available and Finish[i] = false
for $i = 1, 2, \dots, n$,

- (b) Find an i such that both
 - (i) $\text{Finish}[i] = \text{false}$
 - (ii) $\text{Need}_i < \text{Work}$
- if no such i exist, go to step (d)

(c) $\text{Work} = \text{Work} + \text{Allocation}_i$

$\text{Finish}[i] = \text{true}$

goto step (b)

(d) if $\text{Finish}[i] = \text{true}$ for all i then system is
in a safe state.

Complexity: $m \times n^2$

Resource - Request Algorithm

Let Requesting be π_i 's Request
be the request vector for process π_i , of
 $\text{Request}_i[i][j] = k$ then process π_i wants k instances
of resource type R_j . When a request for
resource is made by process π_i , the

following actions are taken:

[1]. If $\text{Request}_i \leq \text{Need}_i$, go to step 2. Otherwise raise an error condition, since the process has exceeded its maximum claim.

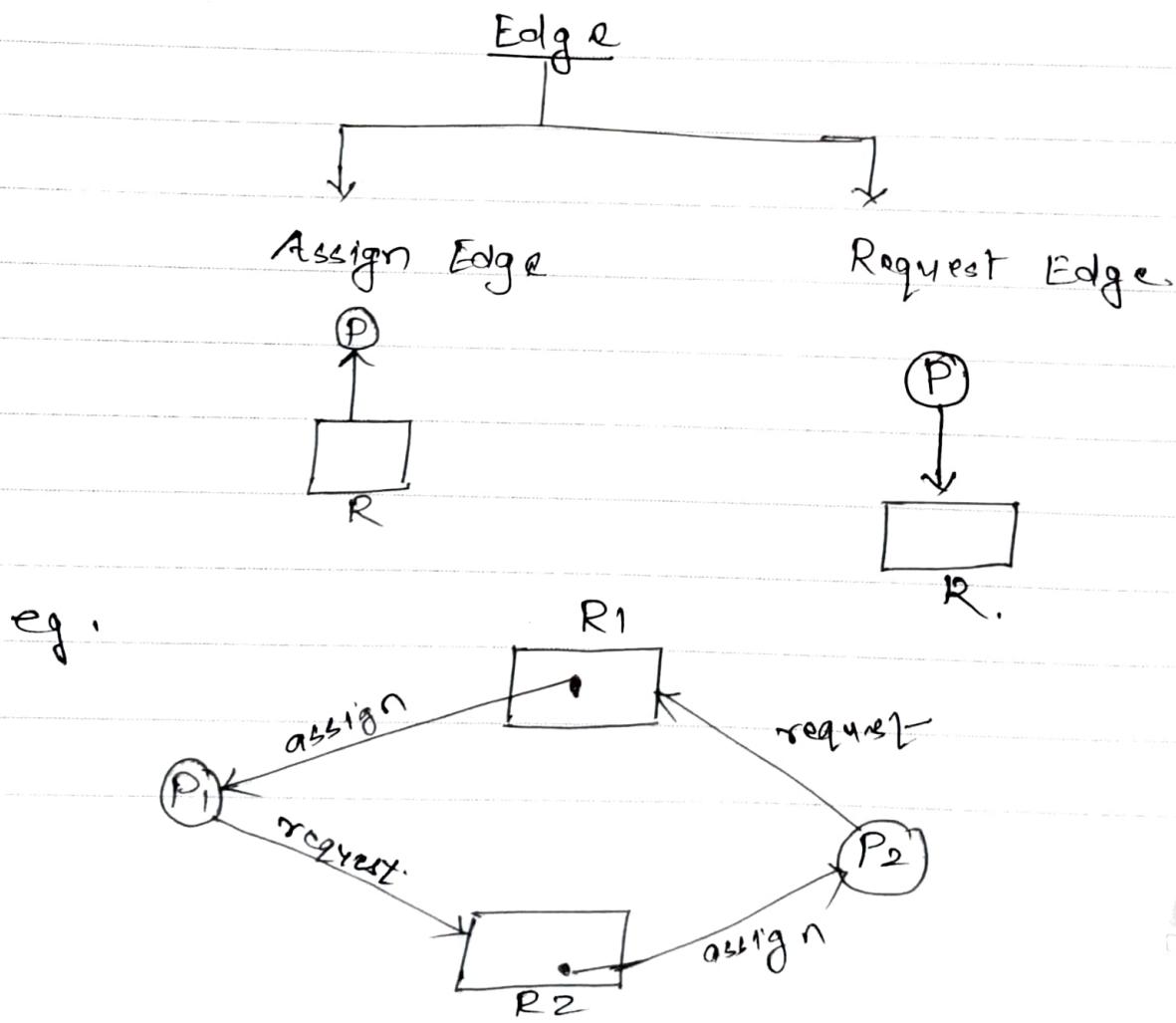
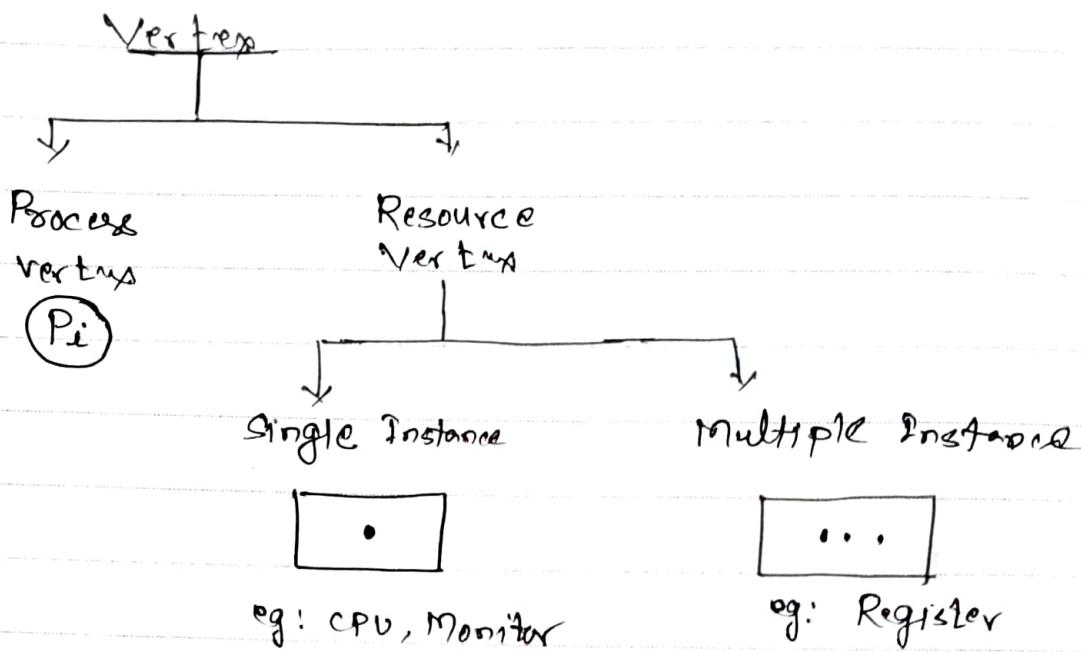
[2]. If $\text{Request}_i \leq \text{Available}$ go to step 3. Otherwise P_i must wait, since the resources are not available.

[3]. Have the system pretended to have allocated the request resources to process P_i by modifying the state as follow:

$$\begin{aligned}\text{Available} &= \text{Available} - \text{Request} \\ \text{Allocation}_i &= \text{Allocation}_i + \text{Request}_i \\ \text{Need}_i &= \text{Need}_i - \text{Request}_i\end{aligned}$$

If the resulting resource allocation state is safe, the transaction is completed and process P_i is allocated its resources. However, if the new state is unsafe, then P_i must wait for Request_i and the old resources allocation state is stored.

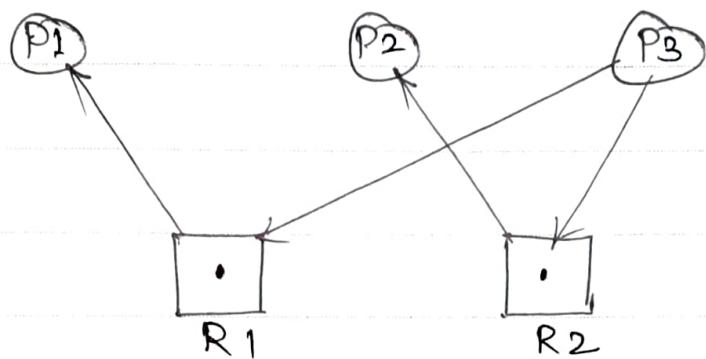
(2) Resource Allocation Graph (RAG)



	Allocate R1 R2		Request R1 R2	
P1	1	0	0	1
P2	0	1	1	0

Availability: $(R_1, R_2) = (0, 0)$
 so Deadlock.

Eg: 2



	Allocate R1 R2		Request R1 R2	
P1	1	0	0	0
P2	0	1	0	0
P3	0	0	1	1

Available $(R_1, R_2) = (0, 0)$

$$\begin{array}{r} 1 \ 0 \\ + \ 1 \ 1 \\ \hline 1 \ 1 \end{array}$$

No deadlock.