

#	Section/Attachment	Question	CDT Response
1		The PQVP DS-AD Vendor Profile asks for number of employees in the company overview. Is there any restriction against a company with a sole employee that is staffed via independent contractors?	There are no restrictions preventing companies with a sole employee from participating in the RFI. However, Commercial Useful Function (CUF) requirements may apply to subsequent PQVP DS-AD RFO awards.
2		We did not receive any notification about the PQVP refresh until today [2/9/17]. The RFI submission response package deadline is 3/3/17, which is 3 weeks from now (1 and a half standard sprints). Is there any consideration of extending the submission deadline by 1 or 2 more weeks? (i.e. there are only 3 remaining weeks to do the substantive work of the prototype and documentation).	The release of the formal RFI was posted to the official websites, Cal eProcure and CDT's GitHub, on 2/6/17. An email was sent as a courtesy notification only. The State has determined that an extension to the due date/time for the RFI Response Submission Package will not be granted. Please ensure to check the official websites for any updates.
3		The Vendor Profile asks for number of employees in the Brief Company Overview section. Is there any restriction preventing companies with a sole employee who provide staffing to projects via independent contractors? The company maintains a specialized network of industry experts with experience from organizations such as the UK Government Digital Service, US Digital Service, Code for America and recognized private industry (e.g. former CTOs and directors of engineering, directors of user research) as independent contractors and consultants for short, medium and long-term projects. This approach allows the company to bring together specific combinations of highly qualified people cast for the best result for individual project needs.	There are no restrictions preventing companies with a sole employee from participating in the RFI. However, Commercial Useful Function (CUF) requirements may apply to subsequent PQVP DS-AD RFO awards.
4		The key action date for Complete RFI Submission Response Package is 3/3/17 by 2pm. Given that the RFI was released on 2/6, a deadline of 3/3 is 4 weeks from the RFI release. Is there any consideration of extending the RFI Submission Response Package by 2 weeks because: a) In best-case, vendors would decide to participate within 2 days of RFI release b) Vendors then have to assemble and source at least 5 multi-disciplinary team members with available workload c) Given best case of sourcing 5 available team members of 2 working days, the team is assembled by Friday 2/10 d) If best case team is assembled by Monday 2/13 then that leaves 3 working weeks (1 and a half industry standard sprints of 2 weeks) to deliver the entire prototype While we believe 4 weeks (i.e. 2 sprints) is adequate to build a prototype, the Key Action Dates and therefore Complete RFI Submission Response do not take into account any overhead and presume a fully staffed, minimum of 5-multidisciplinary role team is fully available to start full-time on the date the RFI was issued without advance notice.	The release of the formal RFI was posted to the official websites, Cal eProcure and CDT's GitHub, on 2/6/17. An email was sent as a courtesy notification only. The State has determined that an extension to the due date/time for the RFI Response Submission Package will not be granted. Please ensure to check the official websites for any updates.
5	A.1 PQVP DS-AD Key Action Dates	In the original RFI #75001, vendors were given 32 calendar days to build the prototype and respond to the RFI. In the pre-release version of this RFI CDT-ADPQ-0117, the schedule showed 28 calendar days. With the delayed official release of RFI on 02/09/2017 at 12:05 PM, as per email notification from ADPQ@state.ca.gov received on 02/09/2017, the schedule now only provides for 22 calendar days (02/09 – 03/03) Will the state consider extending the due date to provide vendors with the originally planned 28 days to respond, if not more just as was allowed to the vendors who responded to the original RFI?	The release of the formal RFI was posted to the official websites, Cal eProcure and CDT's GitHub, on 2/6/17. An email was sent as a courtesy notification only. The State has determined that an extension to the due date/time for the RFI Response Submission Package will not be granted. Please ensure to check the official websites for any updates.
6		Are there any restrictions regarding team formation or where they are physically located?	There are no restrictions on team member locations for purposes of prototype development for this RFI. However, requirements regarding the use of international employees and/or sub-contractors will be identified in subsequent PQVP DS-AD RFO solicitations.
7		The bidder declaration in Attachment E (GSPD 05-105) requires listing subcontractors to be used "for the contract". a) Is the GSPD 05-105 asking for the subcontractors used (if any) in the production of the prototype and complete RFI submission package; or b) All the subcontractors who will or may be used for any subsequent pool RFO if the vendor is selected for future work c) GSPD 05-105 requires % of corresponding bid price, but no bid is required for the PQVP process	a. The GSPD 05-105 is required to be completed for this RFI. b. For this RFI, please identify all subcontractors used to develop the Prototype. If selected, a Bidder's Declaration will also be required to be submitted for each subsequent PQVP DS-AD RFO response. C. In this case (response to the RFI), please indicate the percentage of work the subcontractor contributed to developing the prototype.
8		What is the Federal ID number required in Attachment I, Federal Debarment, Suspension, Ineligibility, and Voluntary Exclusion Certification	The Federal Identification Number is the number assigned to your company for federal and state tax purposes.
9		Does Attachment Q: PQVP DS-AD/CMAS Classification Mapping mean that selected vendors will have the CMAS Classifications added to their CMAS contract in addition to any existing CMAS Classifications in their CMAS contract?	No, it means that the selected vendors must map the classifications included in their response to the RFI to those classifications that are, or will be, on their CMAS contract.
10		We have a CMAS application in progress that also requires Attachment F: California Secretary of State Certification. Our Foreign Entity Registration was submitted to the Secretary of State on January 20 and we are worried about the time the registration is taking. If we are selected, do we have until the ARSOW period closes (6/22) to submit the Certification?	Yes.
11		For clarity, selected vendors have until the close of the ARSOW period (6/22) to receive CMAS contracts?	Yes.
12		Is an acceptable method of submission of the complete RFI Response Submission Package due on 3/3/17 2pm an email with a URL to the appropriate GitHub repository, that repository containing a link to the Technical Approach and Working Prototype?	The GitHub repository must contain the Technical Approach narrative. However, the GitHub repository can include a link to the Working Prototype.
13		Will CDT consider using GitHub issues for questions in the next refresh to reduce the overhead of communication for the state and vendors?	Yes, the State will consider this as an option for communications for the next refresh.
14	Attachment 1 - Section 2, Technical Approach	Are we limited to delivering a mobile responsive website or can we also deliver native iOS and Android applications?	There is no limitation. Requirements provided beyond what has been identified in the RFI are at the discretion of the vendor.
15	Attachment 1 - Section 2, Technical Approach	Can we use the following AWS Services: -EC2 -EC2 Container Service -S3 -SNS -CodePipeline -CodeBuild -CodeDeploy	Yes.
16	Section A.1 PQVP DS-AD Key Action Dates	The table lists the Administrative Requirements Submission Open Window as 2/6/17 - 6/22/17. However, below the table it states, "Administrative Requirements can be submitted during the Administrative Requirements Submission Open Window (ARSOW) period up to the due date for the RFI Response Submission Package." Since the RFI Response Submission Package is due 3/3/17, these two statements seem to be in conflict. Can you please clarify?	The ARSOW extends through 6/22/17.
17	Attachment 1 - Section 1, Working Prototype	Will the State be providing the SMS gateway required for Prototype B?	The State will not be providing the SMS gateway for Prototype B. However, the State's testing of Prototype B SMS functionality will be limited to sending less than 250 SMS messages.
18	Attachment 1 - Section 1, Working Prototype	Who will be the employees who will use prototype B to send out alerts and monitor results? Can you give us a profile of their position(s)?	Employees authorized to send out alerts and monitor results would be disaster response and business continuity managers and supervisors. However, Vendors can make assumptions of their roles and/or responsibilities and document those assumptions.
19	Attachment 1 - Section 1, Working Prototype	Would sending notifications be purely at the authorized user's discretion or would it be part of an existing disaster response system?	For purposes of the prototype, sending notifications will be at the discretion of authorized users.
20	Attachment 1 - Section 1, Working Prototype	Will the State's mobile, tablet and PC devices used for testing the Working Prototype have all current vendor OS, browser and security patches installed?	The mobile, tablet and PC devices used for testing the working prototypes will run vendor-supported OS(s) and browsers installed and will have security patches installed.
21	Attachment 1 - Section 1, Working Prototype	Will the State ensure the mobile, tablet and PC devices used for testing the prototype will allow on-screen popups to be presented?	On-screen popups will be allowed on PC devices, but will not be allowed on mobile devices and tablets.

22	General Question	Please confirm the GitHub URL only needs to be made public by the time of the RFI response package submission?	It is acceptable for a Vendor's GitHub site to be marked "private" while developing the Prototype and Technical Approach narrative. However, the GitHub URL must be marked "public" by the date and time identified for the RFI Response Submission Package.
23	Attachment 1 - Section 2, Technical Approach	Could you please confirm that bullet points "A – T" are to be covered in the README file, outside of the 2,000 word Technical Approach.	Bullet points A - T are to be addressed in the README.md file, outside of the 2,000 word Technical Approach narrative.
24	Attachment 1 - Section 2, Technical Approach	Could you please let us know if there is any words limits restriction on Readme.md file?	There are no restrictions for the README.md file.
25	Attachment 1 - Section 1, Working Prototype	As part of Prototype A, are we required to define a full-fledged workflow for publishing product and service information that undergoes a series of approval/rejection stages?	A simple publishing workflow is sufficient for Prototype A.
26	Attachment 1 - Section 1, Working Prototype	Please elaborate on requirement of authorized administrative users (employees of lead purchase org) to "Visualize Order data"?	Examples of visualizations include, but are not limited to, the following: trends in orders by contract, trends in orders by department, trends in orders by product number, gross expenditures by contract, etc.
27	Attachment 1 - Section 1, Working Prototype	Please confirm if use of Swagger is limited to documentation of API only and not as underlying framework for design and maintenance of API's for this prototype?	Swagger must be used to document the API and a link to the Swagger API for the final solution must be provided.
28	Attachment 1 - Section 1, Working Prototype	Is it mandatory to use Docker containers for deployments?	No.
29	Attachment 1 - Section 1, Working Prototype	As part of review of Prototype, CDT will review artifacts in local or dev branch also or just master branch that contains final version of every artifact?	CDT may review the dev branch and the master branch.
30	Attachment 1 - Section 1, Working Prototype	As part of Prototype submission, is demonstration of practice of continuous monitoring in local dev/staging environments suffice or this must be demonstrated for production environment too?	Continuous monitoring must be demonstrated in the production environment.
31	Attachment 1 - Section 1, Working Prototype	As part of ReadMe.md file, can we reference links to project documentation on tools e.g. Confluence that are not openly licensed and free of charge?	No.
32	Attachment 1 - Section 1, Working Prototype	There is no additional weightage in assessment for choosing a given prototype?	No.
33	Section A.1 PQVP DS-AD Key Action Dates	Since the Prototype A Resources document was provided on Thursday, February 9, 2017, will you please consider a two-week extension?	The release of the formal RFI was posted to the official websites, Cal eProcure and CDT's GitHub, on 2/6/17. An email was sent as a courtesy notification only. The State has determined that an extension to the due date/time for the RFI Response Submission Package will not be granted. Please ensure to check the official websites for any updates.
34	Attachment 1 - Section 2, Technical Approach (I)	Please confirm .NET Core is considered an open source solution that will be allowed in creating the prototype for the CA ADPQ RFI.	.Net Core is considered an open source solution.
35	Attachment 5, Section 4 and Question #30 of the Pre-Release Questions& Feedback	Can the State confirm that the highest scoring Vendor will always be contacted first by approved State Agencies/Departments for any and all RFOs under this ADPQ?	This RFI will not result in a ranked list of vendors. Rather, the purpose of this RFI is to increase the number of vendors in the Prequalified Vendor Pool. All vendors added to the Prequalified Vendor Pool may respond to subsequent RFOs.
36	Attachment 5, Section 4 and Question #30 of the Pre-Release Questions& Feedback	Can the State confirm that only if the highest scoring Vendor cannot provide resources, then the 2nd highest scoring Vendor is contacted, so that it is conceivable that Vendors ranked beyond the first two highest ranked Vendors may never be contacted and given the opportunity to be awarded?	This RFI will not result in a ranked list of vendors. Rather, the purpose of this RFI is to increase the number of vendors in the Prequalified Vendor Pool. All vendors added to the Prequalified Vendor Pool may respond to subsequent RFOs.
37	Attachment 5, Section 4	Can the State clarify Attachment 5, Section 4, if all Vendors that are selected for the Vendor Pool will be equally eligible to respond to subsequent RFOs?	All Vendors that are selected for the Vendor Pool will be equally eligible to respond to subsequent RFOs.
38	Attachment 1 - Section 1, Working Prototype	Could the prototype be a native application instead of a web application to accommodate push notifications? Safari on iOS does not support push notifications.	Yes.
39	Attachment 1 - Section 1, Working Prototype	Can we use additional datasets outside of the list of resources provided?	Yes.
40	Attachment M: Substitution of Key Staff Acknowledgement and G.11 Substitution of Key Staff Acknowledgement	<p>With respect to the language for Attachment M and Section G.11, we request that the language indicate the Contractor may be in material breach of the contract if a replacement staff is not provided rather than the Contractor shall be in material breach. The severity or impact of the lack of replacement should have a bearing as to the impact on a project. We believe it is important the State and Contractor evaluate the project status in conjunction with the lack of suitable replacement in making a decision as to whether the Contractor is in material breach.</p> <p>Attachment M: "In the event replacement of Key Staff is required, the Vendor shall provide a replacement candidate that meets or exceeds the requirements of the PQVP DS-AD RFO and allow the State the opportunity to interview and approve the candidate. If the State rejects a proposed replacement staff member and a qualified replacement is not provided to the State for approval, the Vendor shall be in material breach of the contract, unless the State provides an extension in writing."</p> <p>G.11: "In the event replacement of Key Staff is required, the Vendor shall provide a replacement candidate that meets or exceeds the requirements of the PQVP DS-AD RFO and allow the State the opportunity to interview and approve the candidate. If the State rejects a proposed replacement staff member and a qualified replacement is not provided to the State for approval, the Vendor shall have a period of five (5) days to provide another replacement. Unless the State provides an extension in writing, the Vendor shall be in material breach of contract if an adequate replacement is not provided within the timeline required, and the Vendor and State cannot agree on the replacement within five (5) additional days. The State will not unreasonably delay or deny acceptance of Vendor's</p>	The State has elected to keep the current language at this time.
41	Attachment 1 - Section 1, Working Prototype A	After a state agency has submitted a request, who is responsible for managing the relationship with the vendor? (example: if a delay occurs, who knows about it and how do they get in touch w/ the vendor?)	For hardware and on-premise software purchases, a State agency is responsible for managing the relationship with the vendor for the respective purchase.
42	Attachment 1 - Section 1, Working Prototype A	We see hardware and service examples in the "Prototype A Resources" provided, could you please share some software examples?	Software examples can be obtained via the following: http://www.dgs.ca.gov/pd/Programs/Leveraged/SLP/SLPPublishers.aspx
43	Attachment 1 - Section 1, Working Prototype A	Can a CSV version of "RFI_CDT-ADPQ-0117_-_Prototype_A_Resources.pdf" be shared? (on page 2 and beyond of the PDF the table is broken and cannot be copied & pasted without formatting adjustments)	An MS Excel spreadsheet has been attached to Cal eProcure and CDT's GitHub site.
44	Attachment 1 - Section 1, Working Prototype A	What is an example of a state agency desiring to "analyze" their orders?	Examples include, but are not limited to, the following: total expenditures by quarter and fiscal year, expenditures by category over a period of time, expenditures by vendor, license/product counts by vendor, etc.
45	Attachment 1 - Section 1, Working Prototype A	How does the lead purchasing organization accept payment for state agency orders?	Payment is accepted via direct transfer of funds from the state agency's account.
46	Attachment 1 - Section 1, Working Prototype B	Are the "state admin" users employees of CDT or an employee from a state agency?	State agency employees.
47	Attachment 1 - Section 1, Working Prototype B	Will state admins be able to draft the notification they would like to send or do they simply choose information from a pre-existing source?	State admins will be able to draft the notification they would like to send.
48	Attachment 1 - Section 1, Working Prototype B	What is the level of fidelity offered regarding a pre-defined (non-geolocated) location? (Street? City? County?)	Street.
49	Attachment 1 - Section 1, Working Prototype A	Given the limited time for development, would state accept submission based on open source eCommerce platforms for prototype A?	This is at the discretion of the vendor.
50	Attachment 1 - Section 1, Working Prototype A	Would state provide the references for prototype A, like list of approved vendors and the list of products/services they sell?	Prototype A references are posted to Cal eProcure and CDT's GitHub site. Vendors are listed at the top of the page with the Category and Contract Number. The references are from California mandatory contracts in which only one vendor exists for each category.
51	Prototype B Resources (pdf)	RFP states the resources in "RFI_CDT-ADPQ-0117_-_Prototype_B_Resources.pdf" should be used for prototype B. What is the state's expectation for incorporating these resources in the prototype B?	These resources are available to utilize at the vendor's descretion. If the vendor uses other resources, the vendor must document those resources.
52	Attachment 1 - Section 1, Working Prototype B	We would like to request access to domain experts for interpreting emergencies/hazard data. How would we get such access?	Access to domain experts is not possible for prototype development.

53	Attachment 1 - Section 1, Working Prototype B	Given the diverse nature of messaging formats across telephone carriers, we generally accomplish SMS messaging across different carriers by using third party messaging services. Would state accept submissions that use external services for accomplishing messaging down-stream?	Yes.
54	Attachment 1 - Section 1, Working Prototype B	Push notifications requires using external services such as Apple Push Notification Service for iPhone or Firebase Cloud Messaging for Android. This often requires setting up contracts with the providers. Would state accept prototypes that use such third party services for messaging?	Yes.
55	Attachment 1 - Section 2, Technical Approach	Technical requirements state that the application should be architected as a back end service and a JavaScript based front end (Page 16-Techical Approach). Is this architectural pattern a necessary requirement?	Yes.
56	General Question	Are there any restrictions regarding team member locations? In other words, would an international distributed team be able to participate as long as the company submitting the prototype is US based?	There are no restrictions on team member locations for purposes of prototype development. However, the use of international employees and/o sub-contractors will be determined on a bid-by-bid basis.
57	General Question	Is it allowable to use international sub-contractors?	There are no restrictions on team member locations for purposes of prototype development for this RFI. However, requirements regarding the use of international employees and/or sub-contractors will be identified in subsequent PQVP DS-AD RFO solicitations.
58	General Question	Do you have a minimum team size? I'm a consultant with no team attached. Would I qualify for the vendor pool, or do you only want teams to apply?	There are no restrictions preventing companies with a sole employee from participating in the RFI. However, a State agency may require multiple resources to meet the State's needs, which would be identified in subsequent PQVP DS-AD RFO solicitations. Additionally, CUF requirements may apply to subsequent PQVP DS-AD RFO awards.
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