

# **TEAMS AND PROCES**

Rohan Padhye and Michael Hilton

# **RETROSPECTIVE RESULTS**

# HW3 TIMING

We are willing to extend the due date till Friday 10/29.

There will be overlap with HW4

We will try to release HW4 sooner (but there will be two HWs out at a time)

# RETROSPECTIVE RESULTS

Keep candy



In-class activities on canvas

We will try this out

More explicit HW writeups

Interpreting requirements is a learning goal of the course

More office hours

Current office hours are not well attended, more feedback needed...

Penalize slackers in group project

We have already, please let us know if you have concerns

# RETROSPECTIVE RESULTS

More guests, more a

We have plan

More chocolate cand

↖\_(ツ)\_↗

Nice to have a bootca

I would love f

"humor hits like 85%

**85% OF THE TIME**

**IT WORKS EVERY TIME**

# **TEAMS**

# LEARNING GOALS

Understand the differences among developers and implications for hiring and teamwork.

Describe various models of motivation and their relationship to productive work environments.

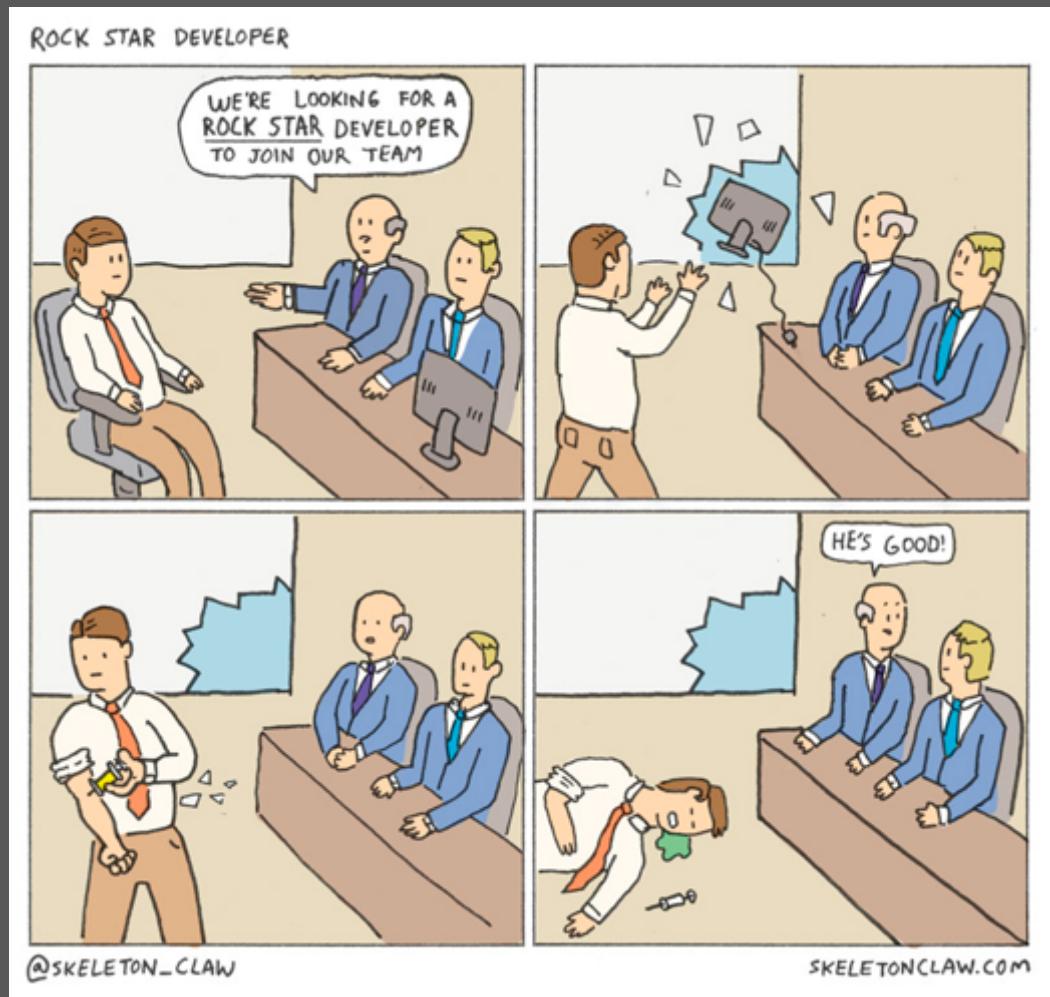
Design conditions that motivate developers.

Understand team development and progression.

# 10X ENGINEERS

# 10X ENGINEERS

Aka “rock-star”, “ninja”



# 1966 STUDY ON ONLINE/OFFLINE PROGRAMMING

Productivity

10x  
9x



Performance variables. To paraphrase a nursery rhyme:

When a programmer is good,  
He is very, very good,  
But when he is bad,  
He is horrid.



Individual  
Variation

Methodology  
Variation

# 10X

Reported as early as 1968 (Sackman, Erickson, and Grant)

Coding time 20:1

Debugging time 25:1

Program size 5:1

Execution speed 10:1

No correlation to amount of experience

"order-of-magnitude differences among programmers" repeatedly reported

Differences not explained by

programming language

years of experience

# TEAMS

# NECESSITY OF GROUPS

Division of labor

Division of expertise (e.g., security expert, database expert)

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# TEAM ISSUES

Social loafing

Groupthink

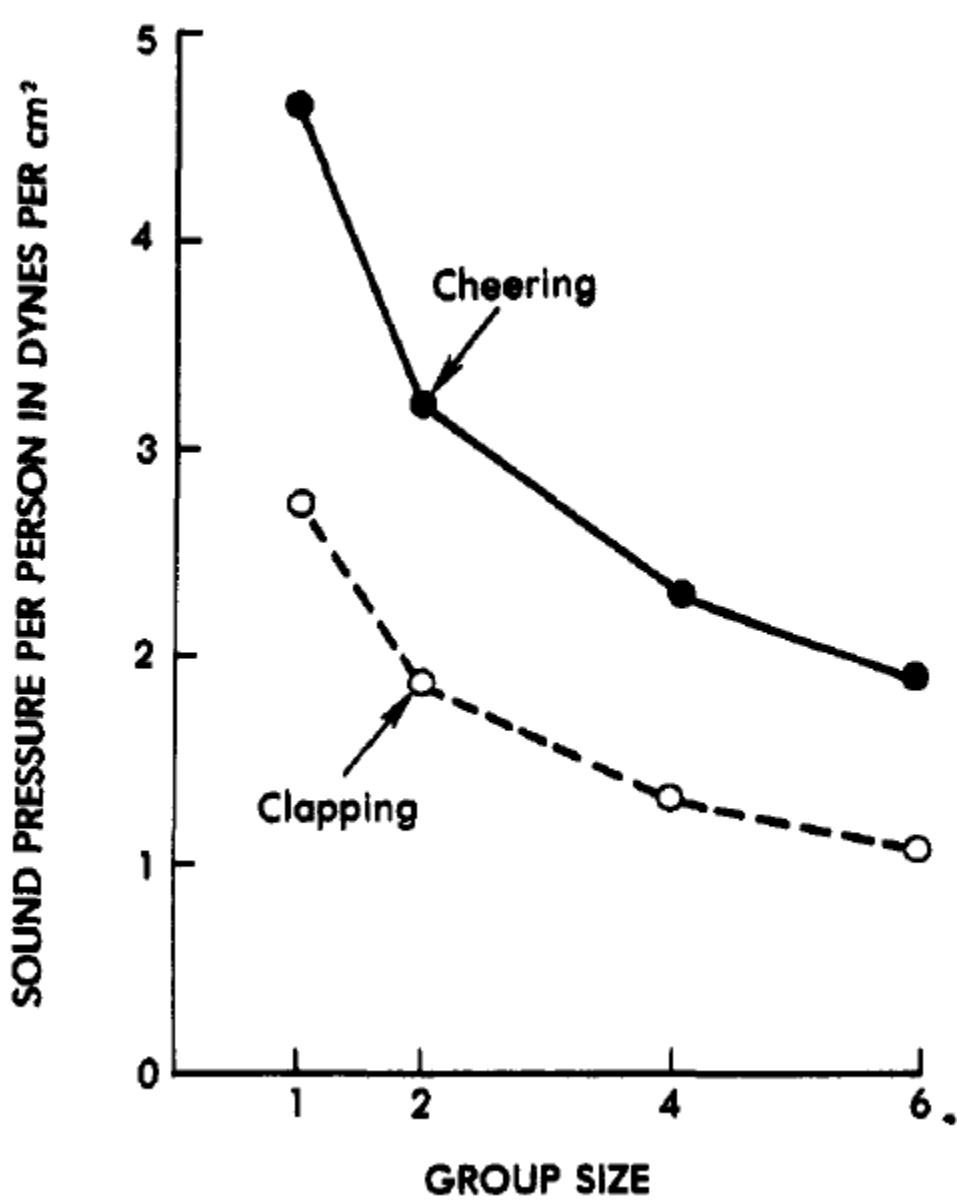
Multiple/conflicting goals

Process costs

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# TEAM ISSUES: SOCIAL LOAFING





Latane, Bibb, Kipling Williams, and Stephen Harkins. "Many hands make light the work: The causes and consequences of social loafing." *Journal of personality and social psychology* 37.6 (1979): 822.

# SOCIAL LOAFING

People exerting less effort within a group

Reasons

Diffusion of responsibility

Motivation

Dispensability of effort / missing recognition

Avoid pulling everybody / "sucker effect"

Submaximal goal setting

"Evaluation potential, expectations of co-worker performance, task meaningfulness, and culture had especially strong influence"

Karau, Steven J., and Kipling D. Williams. "Social loafing: A meta-analytic review and theoretical integration." *Journal of personality and social psychology* 65.4 (1993): 681.

# MITIGATION STRATEGIES

Involve all team members, co-location

Assign specific tasks with individual responsibility

Increase identifiability

Team contracts, measurement

Provide choices in selecting tasks

Promote involvement, challenge developers

Reviews and feedback

Team cohesion, team forming exercises

Small teams

# IN OUR CLASS:

The image displays three panels from a digital workspace, likely a GitHub repository or a tool like Trello, showing various tracked items:

- Upstream issues to track (4)**
  - <https://github.com/git-lfs/git-lfs/issues/2627>
  - Git LFS 2.3.1 seems to break Windows** #2627 opened by larsxschneider
    - area/builder kind/feature**
    - Reference to moby/moby
  - docker build limit io disk** #35012 opened by sztwiorok
    - area/builder kind/feature**
    - Reference to moby/moby
  - repl: allow `await` in REPL** #13209 opened by benjamingr
    - cli feature request promises**
    - repl**
    - Reference to nodejs/node
- New things to check out (4)**
  - Implement split diffs** 1 of 6 #866 opened by BinaryMuse
    - work-in-progress**
    - Reference to atom/github
  - Change license and remove references to PATENTS** #10804 opened by sophiebits
    - CLA Signed**
    - Reference to facebook/react
  - "Clone in Desktop" flow now recognizes gists** #2939 opened by shiftkey
    - ready-for-review**
    - Reference to desktop/desktop
- Fixes to upgrade for (4)**
  - #3311 opened by kdzwinel
    - audit**
    - Reference to GoogleChrome/lighthouse
  - Error: Undefined variable: "\$h-size-mobile"** #229 opened by kaelig
    - Reference to primer/primer-css
  - util: use faster -0 check** 3 of 3 #15726 opened by mscdex
    - performance util**
    - Reference to nodejs/node
  - Git LFS 2.3.1 seems to break Windows** #2627 opened by larsxschneider
    - Reference to nodejs/node

# IN OUR CLASS:

- First, open an issue...
- Tag the issue with one or more of the existing labels as appropriate, add it to milestone "HW1", and assign yourself.
- Once you are satisfied, open a pull request in the parent repository. The PR should [link the issue](#) that you previously opened (e.g. using the "resolve(s)" keyword), summarize the changes, and describe how much the Lighthouse score improved by due to your change.

# RESPONSIBILITIES & BUY-IN

Involve team members in decision making

Assign responsibilities (ideally goals not tasks)

Record decisions and commitments; make record available

# TEAM ISSUES: GROUPTHINK



# GROUPTHINK

Group minimizing conflict

Avoid exploring alternatives

Suppressing dissenting views

Isolating from outside influences

-> Irrational/dysfunctional decision making

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### Star Wars: Episode I - The Phantom Menace (1999)

55% 59%

Critics Consensus: Burdened by exposition and populated with stock characters, *The Phantom Menace* gets the *Star Wars* prequels off to a bumpy – albeit visually dazzling – start.

Starring: Liam Neeson, Ewan McGregor, Natalie Portman

Director: George Lucas



### Star Wars: Episode VI - Return of the Jedi (1983)

80% 94%

Critics Consensus: Though failing to reach the cinematic heights of its predecessors, *Return of the Jedi* remains an entertaining sci-fi adventure and a fitting end to the classic trilogy.

Starring: Mark Hamill, Carrie Fisher, Harrison Ford

Director: Richard Marquand



### Star Wars: Episode V - The Empire Strikes Back (1980)

95% 97%

Critics Consensus: Dark, sinister, but ultimately even more involving than *A New Hope*, *The Empire Strikes Back* defies viewer expectations and takes the series to heightened emotional levels.

Starring: Mark Hamill, Harrison Ford, Carrie Fisher

Director: Irvin Kershner



### Star Wars: Episode IV - A New Hope (1977)

93% 96%

Critics Consensus: A legendarily expansive and ambitious start to the sci-fi saga, George Lucas opened our eyes to the possibilities of blockbuster filmmaking and things have never been the same.

Starring: Mark Hamill, Harrison Ford, Carrie Fisher

Director: George Lucas

# TIME AND COST ESTIMATION



# CAUSES OF GROUPTHINK

High group cohesiveness, homogeneity

Structural faults (insulation, biased leadership, lack of methodological exploration)

Situational context (stressful external threats, recent failures, moral dilemmas)

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# SYMPTOMS

Overestimation of ability

invulnerability, unquestioned belief in morality

Closed-mindedness

ignore warnings, stereotyping

innovation averse

Pressure toward uniformity

self-censorship, illusion of unanimity, ...

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# STUDIES SHOW

Gender-diverse management teams showed superior return on equity, debt/equity ratios, price/equity ratios, and average growth.  
-Rohner, U. and B. Dougan (2012)

Gender-balanced teams were the most likely to experiment, be creative, share knowledge, and fulfill tasks.

-Lehman Brothers Center for Women in Business. (2008)

Gender diversity on technical work teams was associated with superior adherence to project schedules, lower project costs, higher employee performance ratings, and higher employee pay bonuses.

-Turner, L. (2009)

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# MITIGATION STRATEGIES

Several agile techniques

Planning poker

Tests, continuous integration

On-site customers

Diverse teams

Management style

Avoid HR evaluation by metrics

Separate QA from development

Outside experts

Process reflection

...

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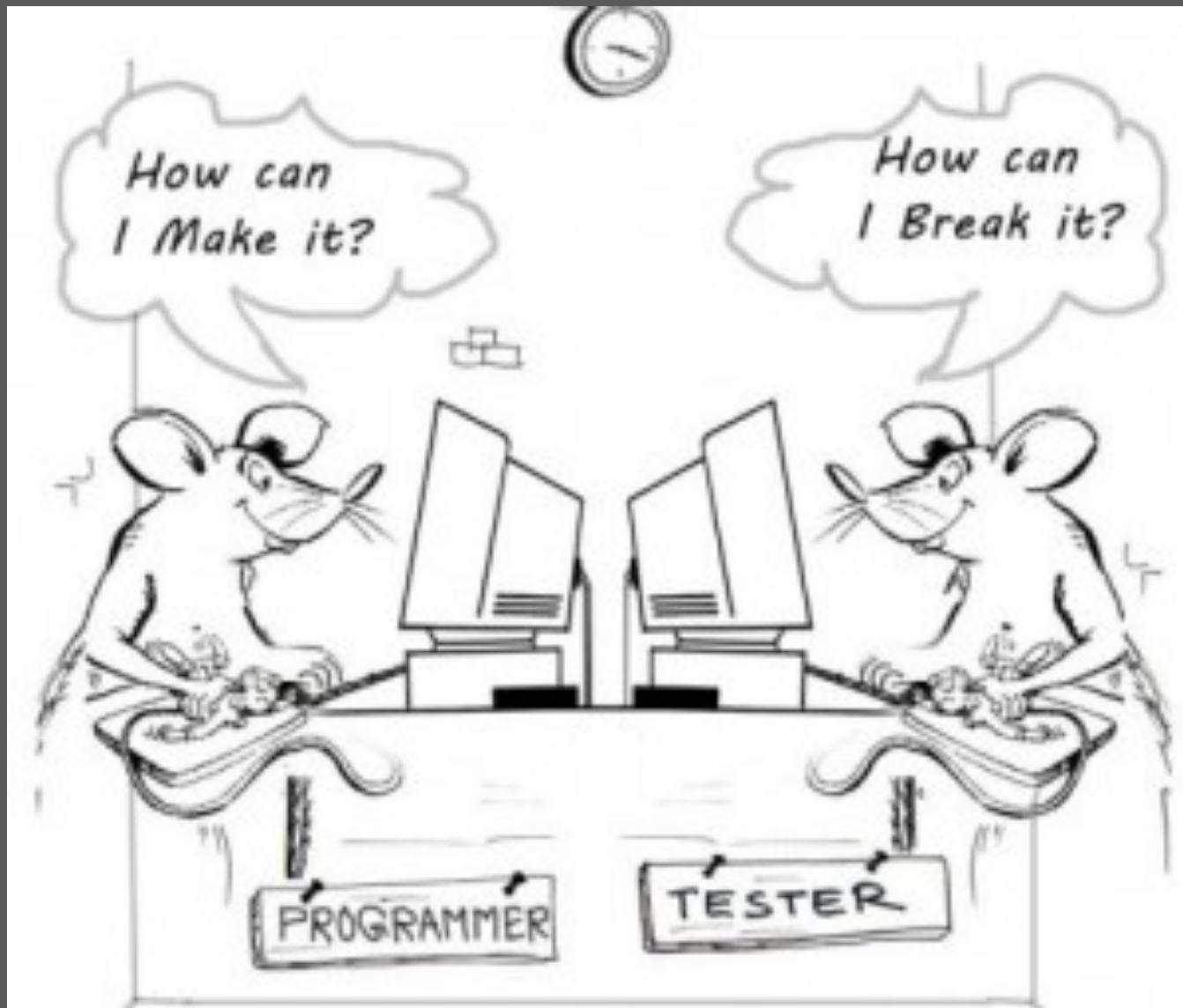
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# PRACTICAL HELP

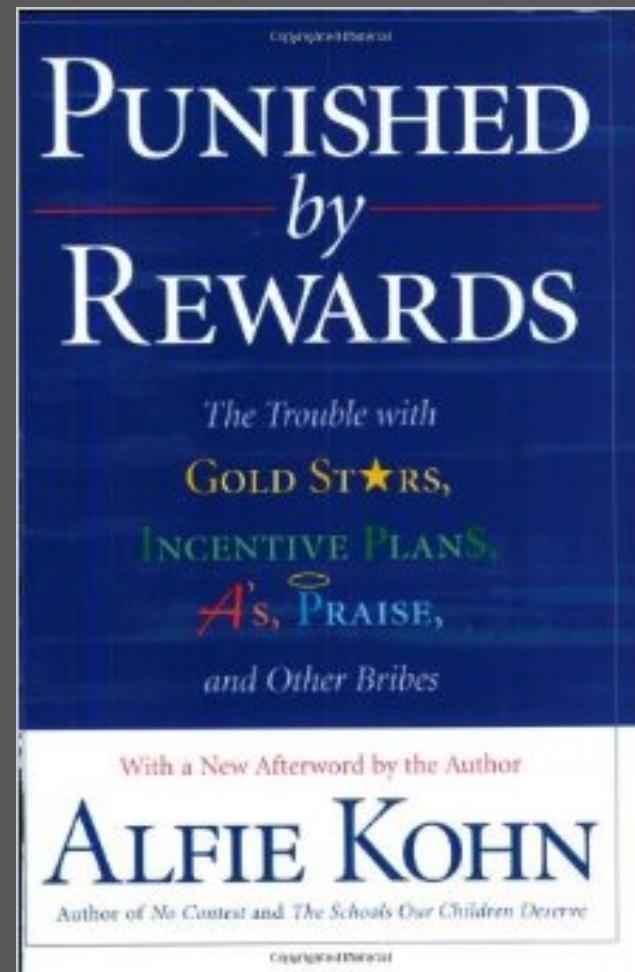


# **TEAM ISSUES: MULTIPLE/CONFLICTING GOALS**



# INCENTIVES?

Team incentives  
vs individual incentives?



institute for  
SOFTWARE  
RESEARCH

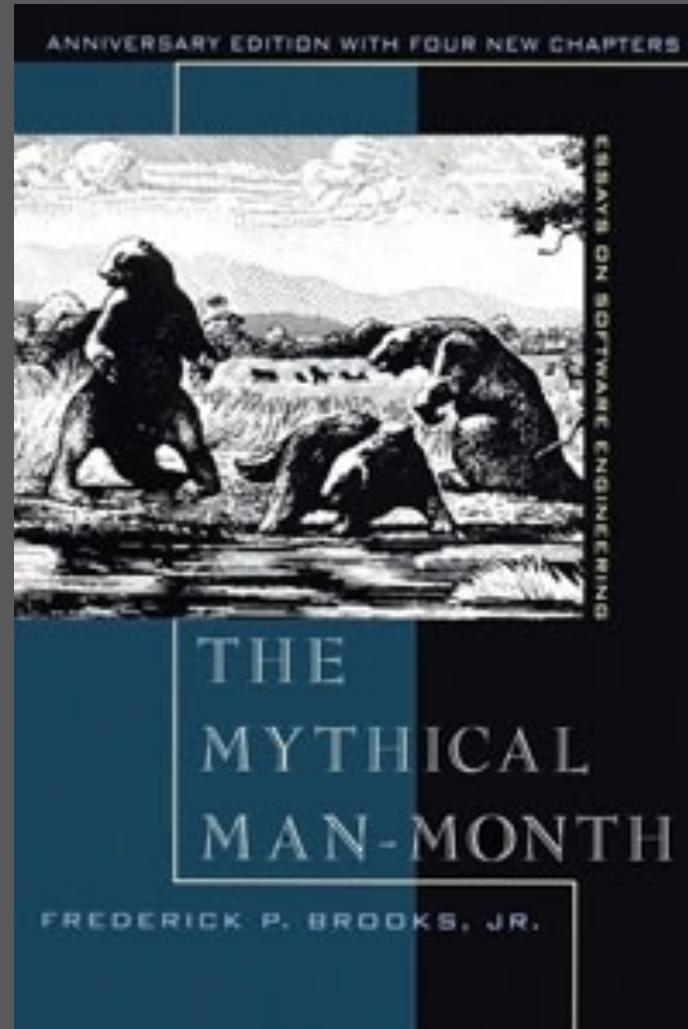
Carnegie Mellon University  
School of Computer Science

# TEAM ISSUES: PROCESS COSTS

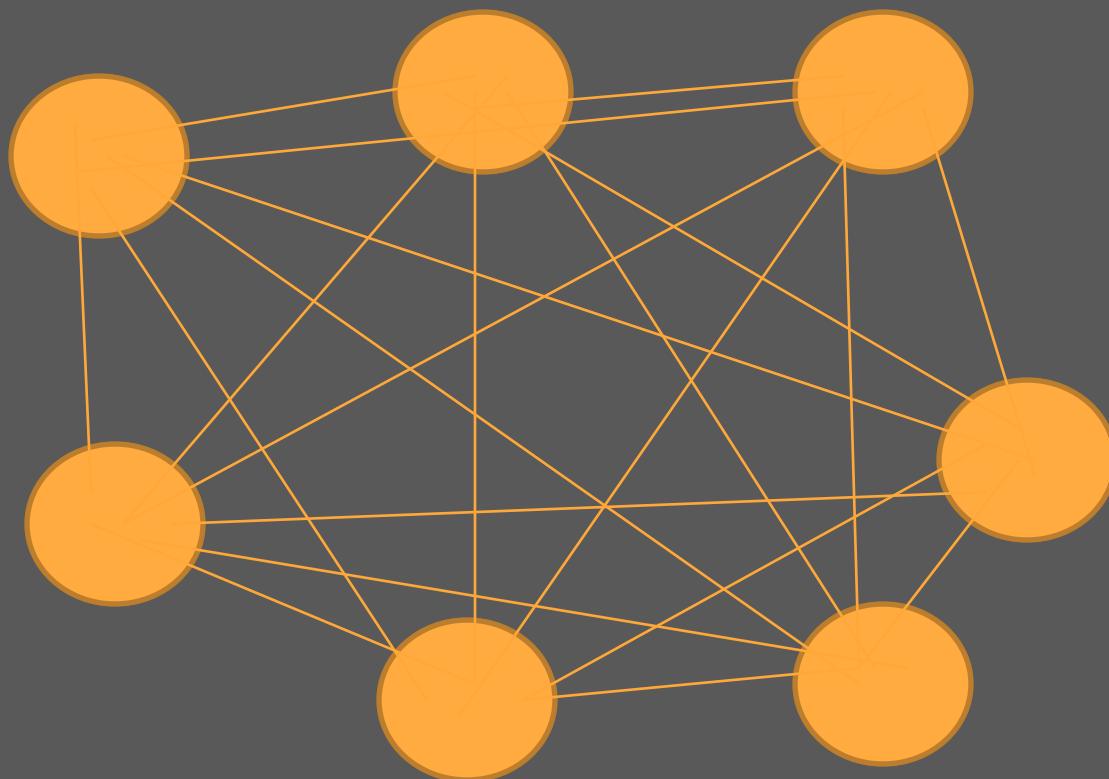
# MYTHICAL MAN MONTH

Brooks's  
*law: Adding  
manpower to a  
late software  
project makes it  
later*

1975, describing experience at  
IBM developing OS/360

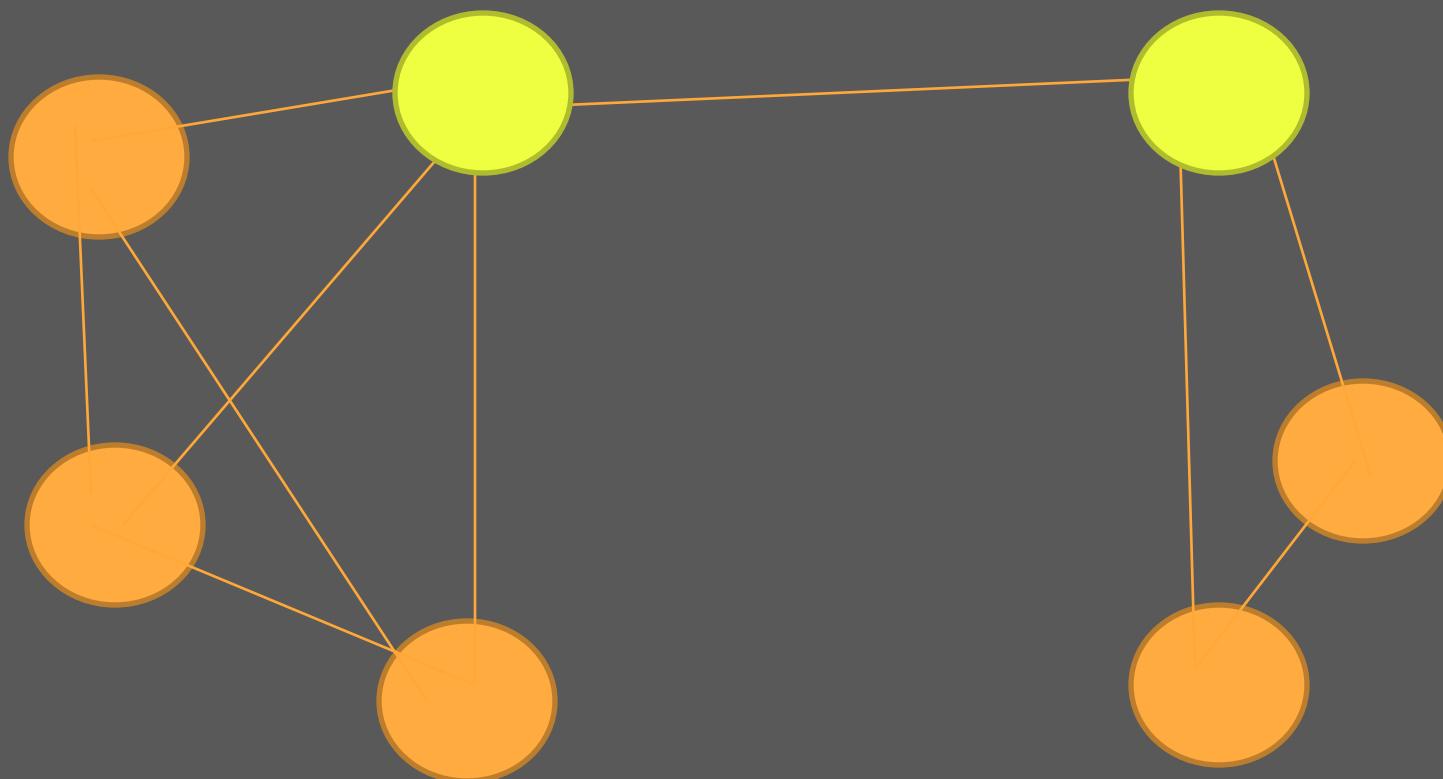


# PROCESS COSTS



$n(n - 1) / 2$   
communication links

# PROCESS COSTS



# BROOK'S SURGICAL TEAMS

Chief programmer – most programming and initial documentation

Support staff

Copilot: supports chief programmer in development tasks, represents meetings

Administrator: manages people, hardware and other resources

Editor: editing documentation

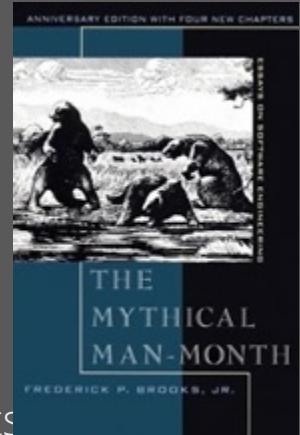
Two secretaries: one each for the administrator and editor

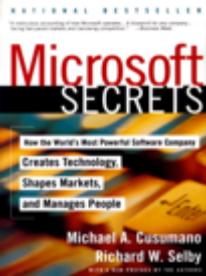
Program clerk: keeps records of source code and documentation

Toolsmith: builds specialized programming tools

Tester: develops and runs tests

Language lawyer: expert in programming languages, provides advice on producing optimal code.





# MICROSOFT'S SMALL TEAM PRACTICES

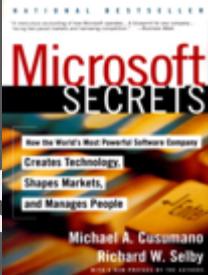
Vision statement and milestones (2-4 month), no formal spec

Feature selection, prioritized by market, assigned to milestones

Modular architecture

Allows small federated teams (Conway's law)

Small teams of overlapping functional specialists



# MICROSOFT'S SMALL TEAM PRACTICES

## Feature Team

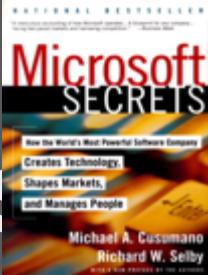
3-8 developers (design, develop)

3-8 testers (validation, verification, usability, market analysis)

1 program manager (vision, schedule communication; leader, facilitator) – working on several features

1 product manager (marketing research, plan, betas)

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# MICROSOFT'S SMALL TEAM PRACTICES

"Synchronize and stabilize"

For each milestone

6-10 weeks feature development and continuous testing

frequent merges, daily builds

2-5 weeks integration and testing ("zero-bug release", external betas )

2-5 weeks buffer

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institute for  
SOFTWARE  
RESEARCH

Carnegie Mellon University  
School of Computer Science

# AMAZON TEAMS



# AGILE PRACTICES E.G., SCRUM

7+/-2 team members, collocated

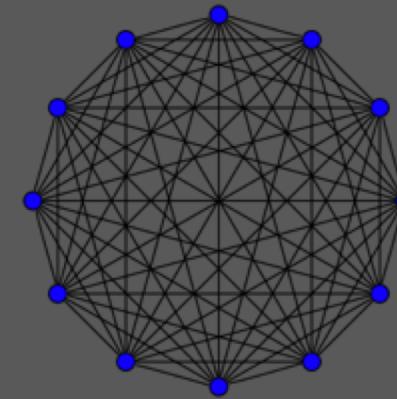
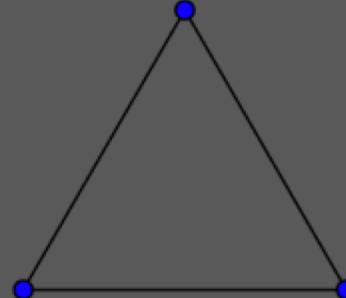
Self managing

Scrum master (rotating role)

Product owner / customer representative

Large teams (29 people) create around six times as many defects as small teams (3 people) and obviously burn through a lot more money. Yet, the large team appears to produce about the same amount of output in only an average of 12 days' less time. This is a truly astonishing finding, though it fits with my personal experience on projects over 35 years.

- Phillip Amour, 2006, CACM 49:9



# ESTABLISH COMMUNICATION PATTERNS

Avoid overhead

Ensure reliability

Constraint latency

e.g. Issue tracker vs email; online vs face to face



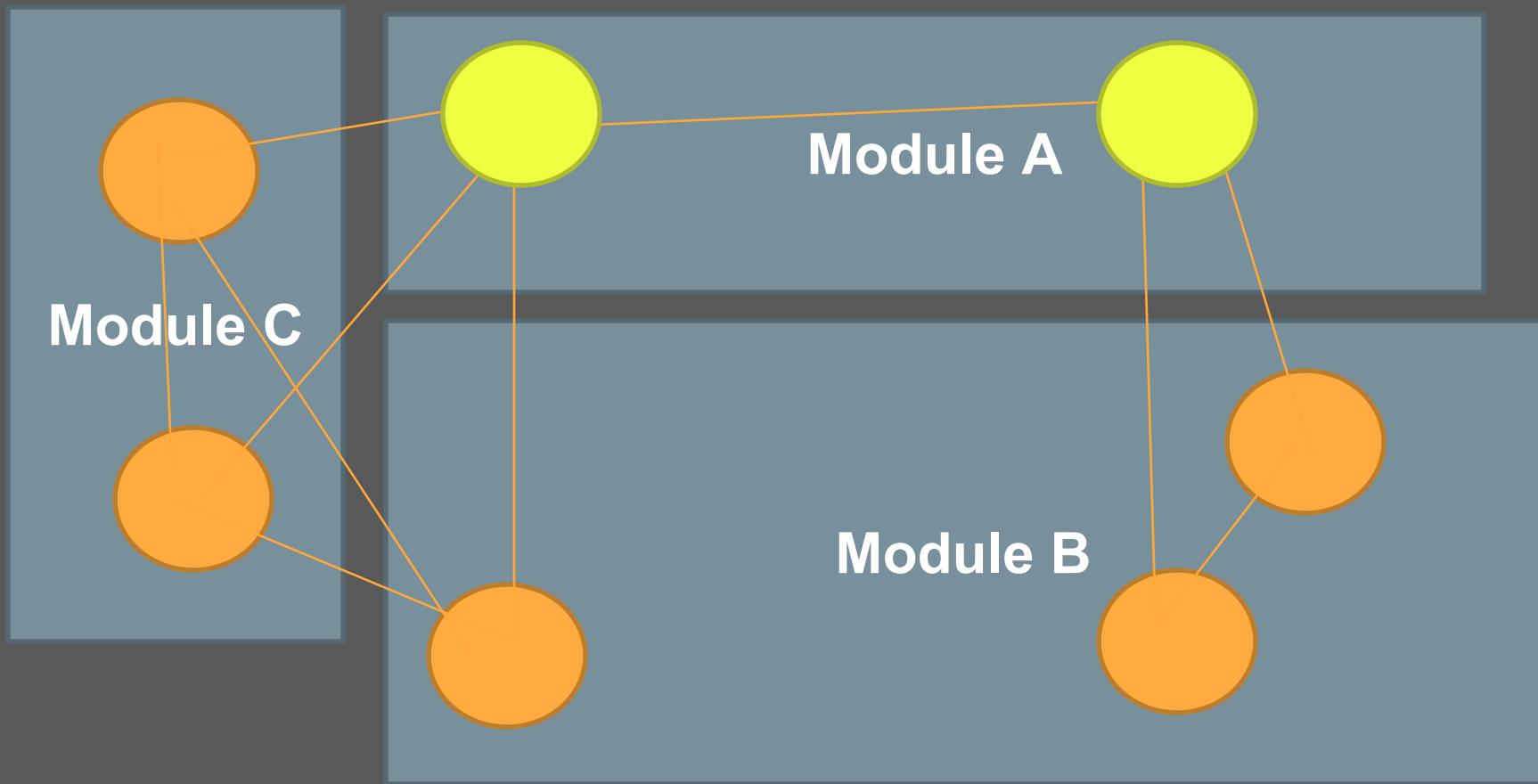
# CONWAY'S LAW

“Any organization that designs a system (defined broadly) will produce a design whose structure is a copy of the organization's communication structure.”

— Mel Conway, 1967

“If you have four groups working on a compiler, you'll get a 4-pass compiler.”

# CONGRUENCE



# SOCIO-TECHNICAL CONGRUENCE

Structural congruence

Geographical congruence

Task congruence

IRC communication congruence

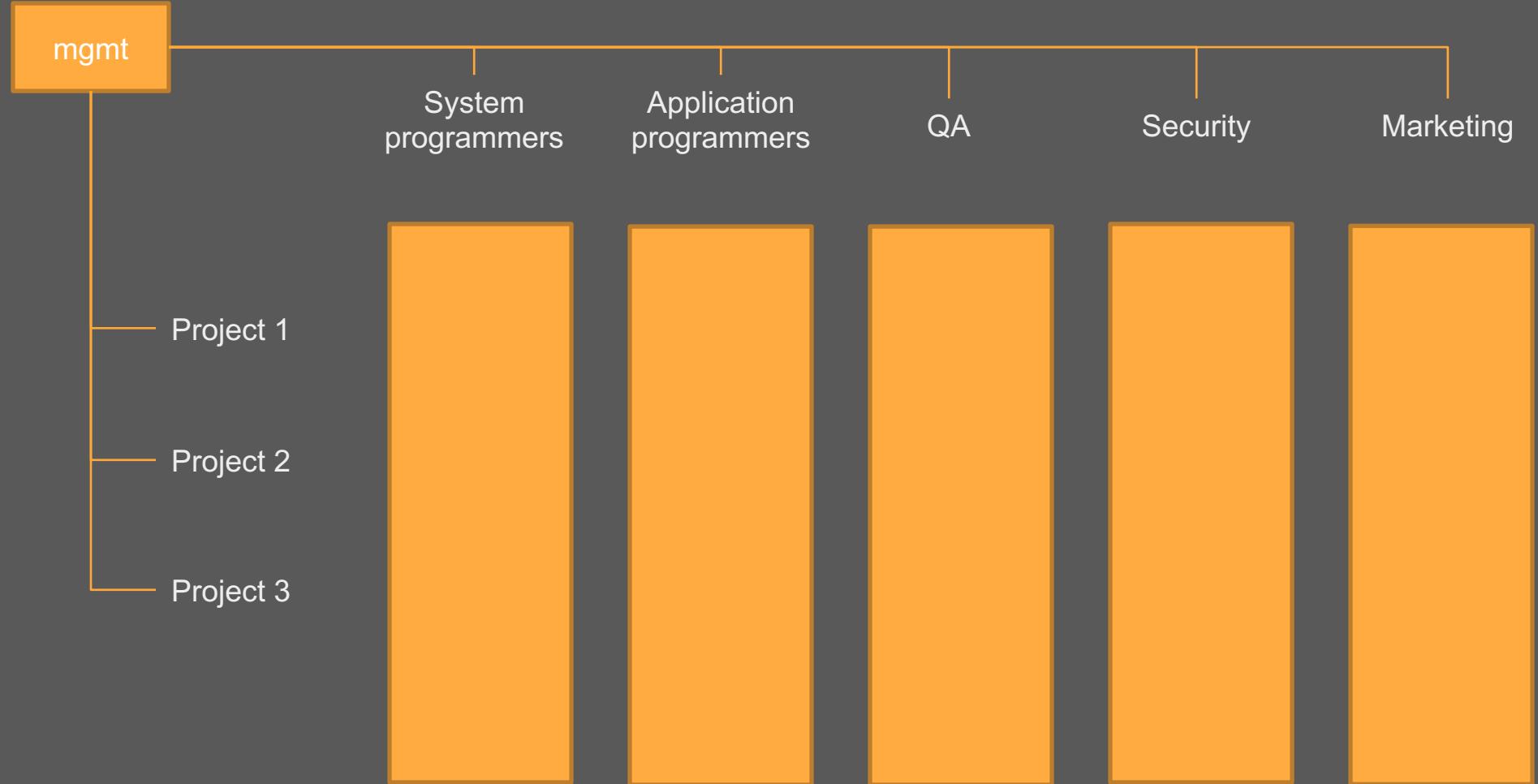
# TEAMWORK GUIDELINES

Respect Conway's Law

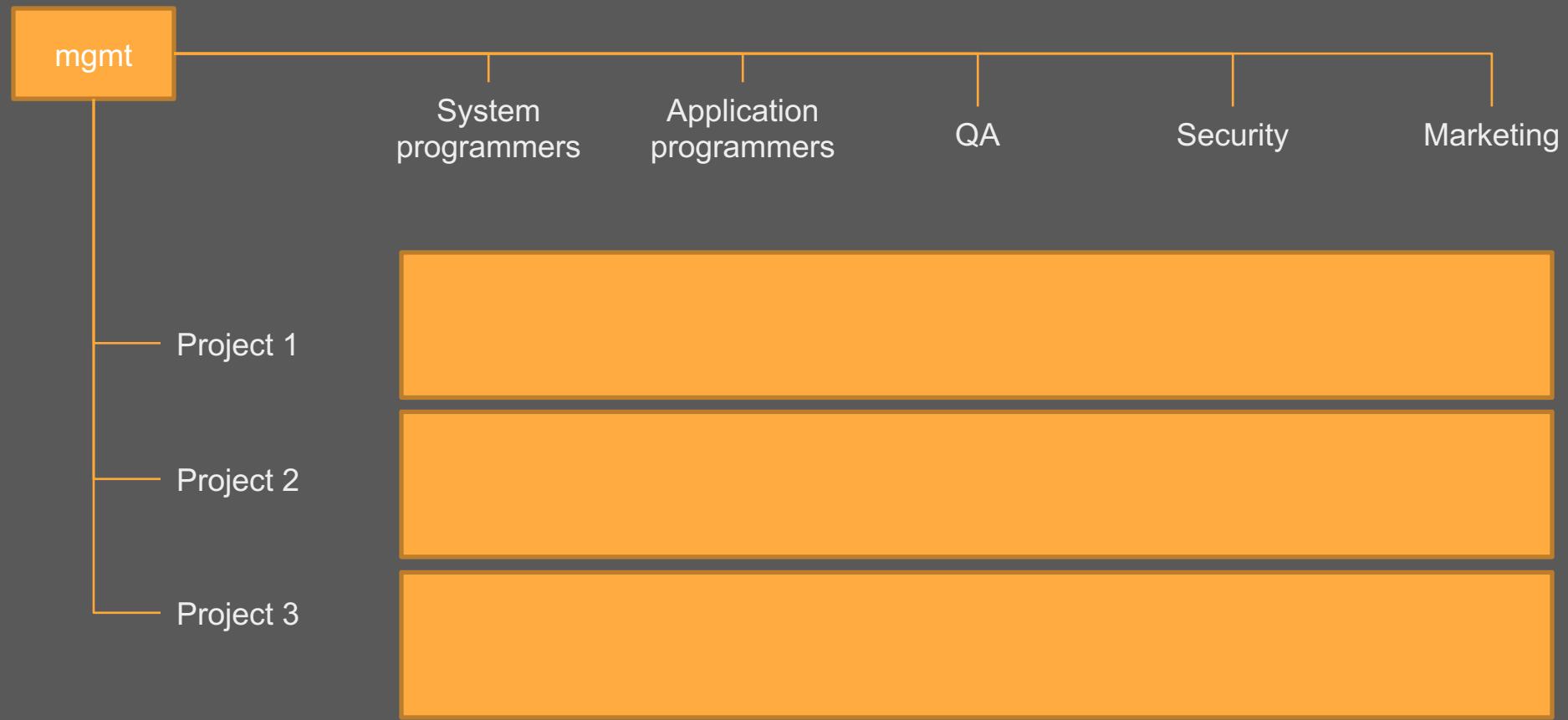
Code structure and team structure should align

Seek well-defined, stable interfaces

# MATRIX ORGANIZATION



# PROJECT ORGANIZATION

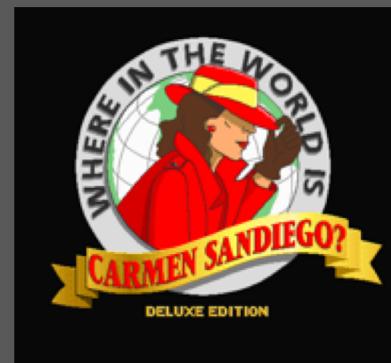


# CASE STUDY: BRØDERBUND

As the functional departments grew, staffing the heavily matrixed projects became more and more of a nightmare. To address this, the company reorganized itself into “Studios”, each with dedicated resources for each of the major functional areas reporting up to a Studio manager. Given direct responsibility for performance and compensation, Studio managers could allocate resources freely.

The Studios were able to exert more direct control on the projects and team members, but not without a cost. The major problem that emerged from Brøderbund’s Studio reorganization was that members of the various functional disciplines began to lose touch with their functional counterparts. Experience wasn’t shared as easily. Over time, duplicate effort began to appear.

# CASE STUDY



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# COMMITMENT & ACCOUNTABILITY

Conflict is useful, expose all views

Come to decision, commit to it

Assign responsibilities

Record decisions and commitments; make record available

# VIRTUAL TEAMS



## SPOTIFY SQUADS

# PRINCIPLES

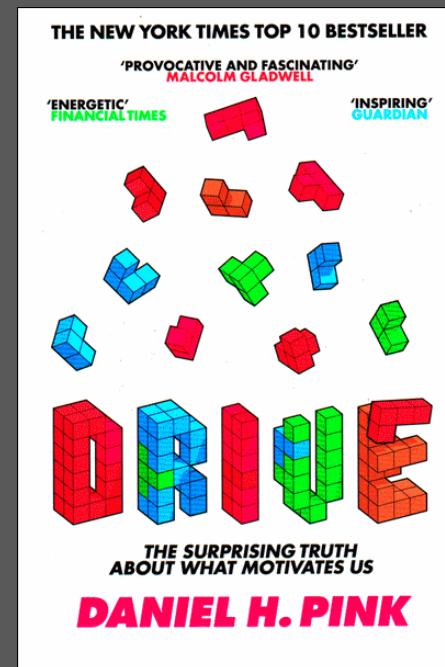
Rules are a good start, then break them when needed

Agile > Scrum

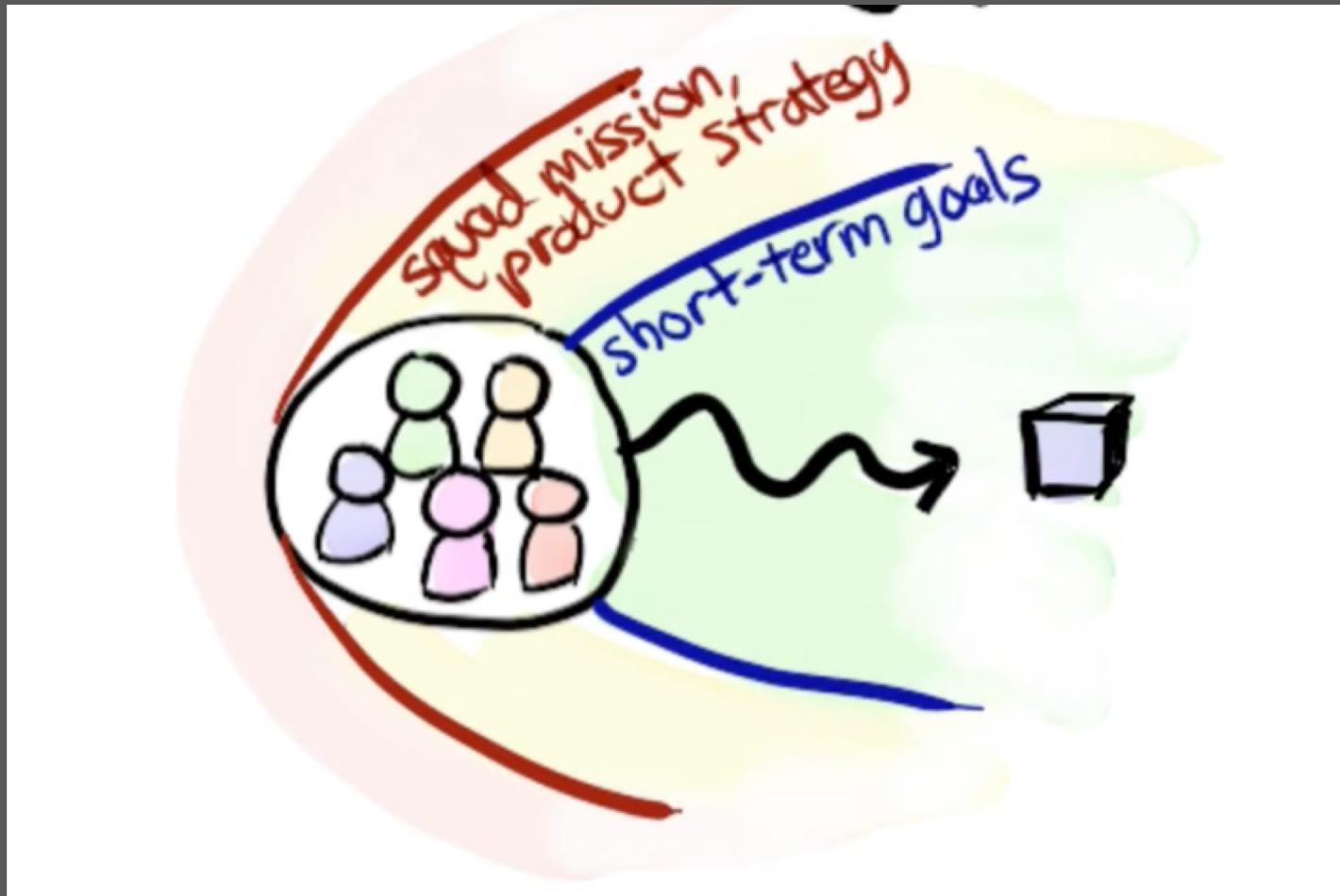
Principles > Practices

Autonomy, Mastery, Purpose

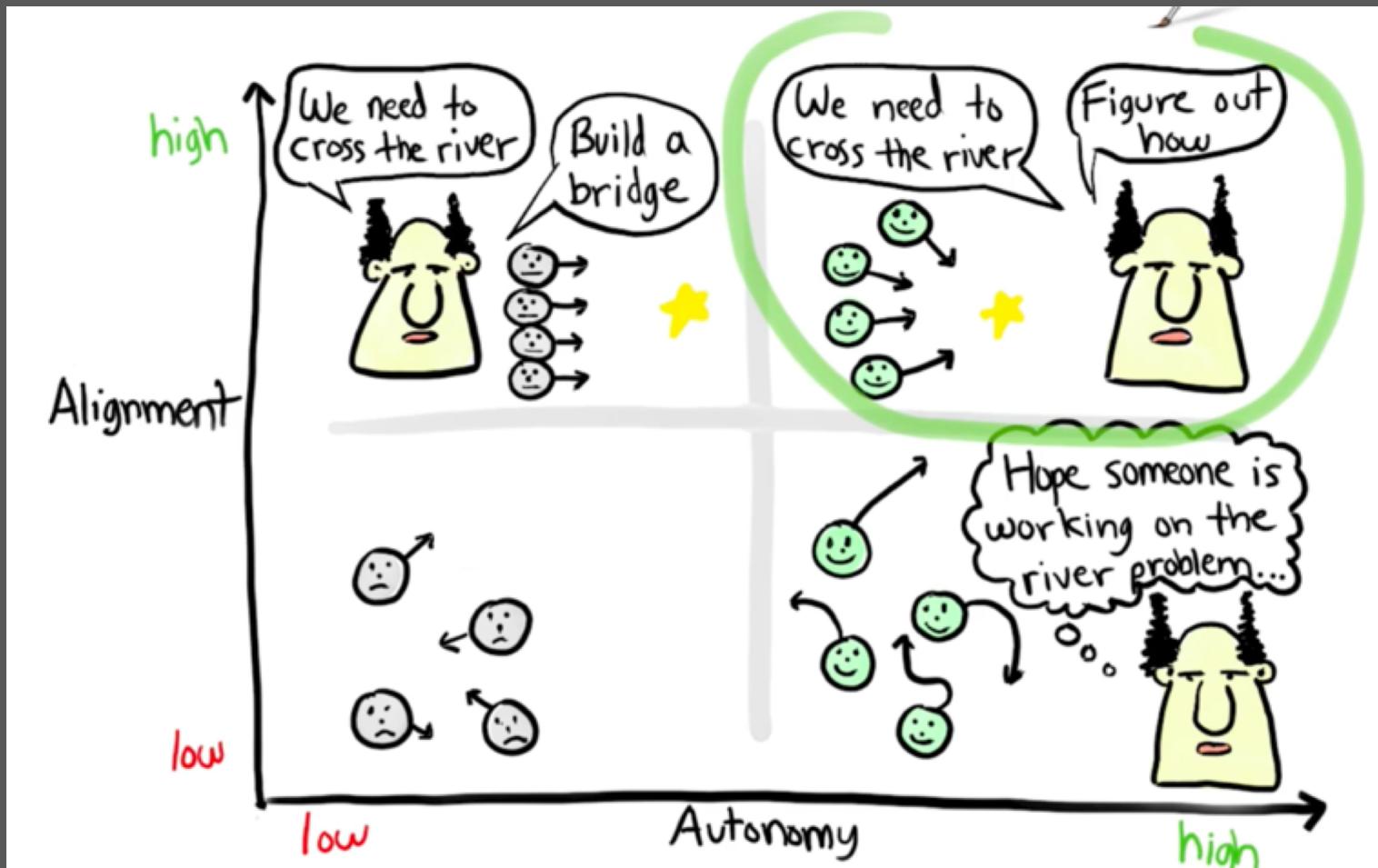
*Be autonomous, but don't sub-optimize!*



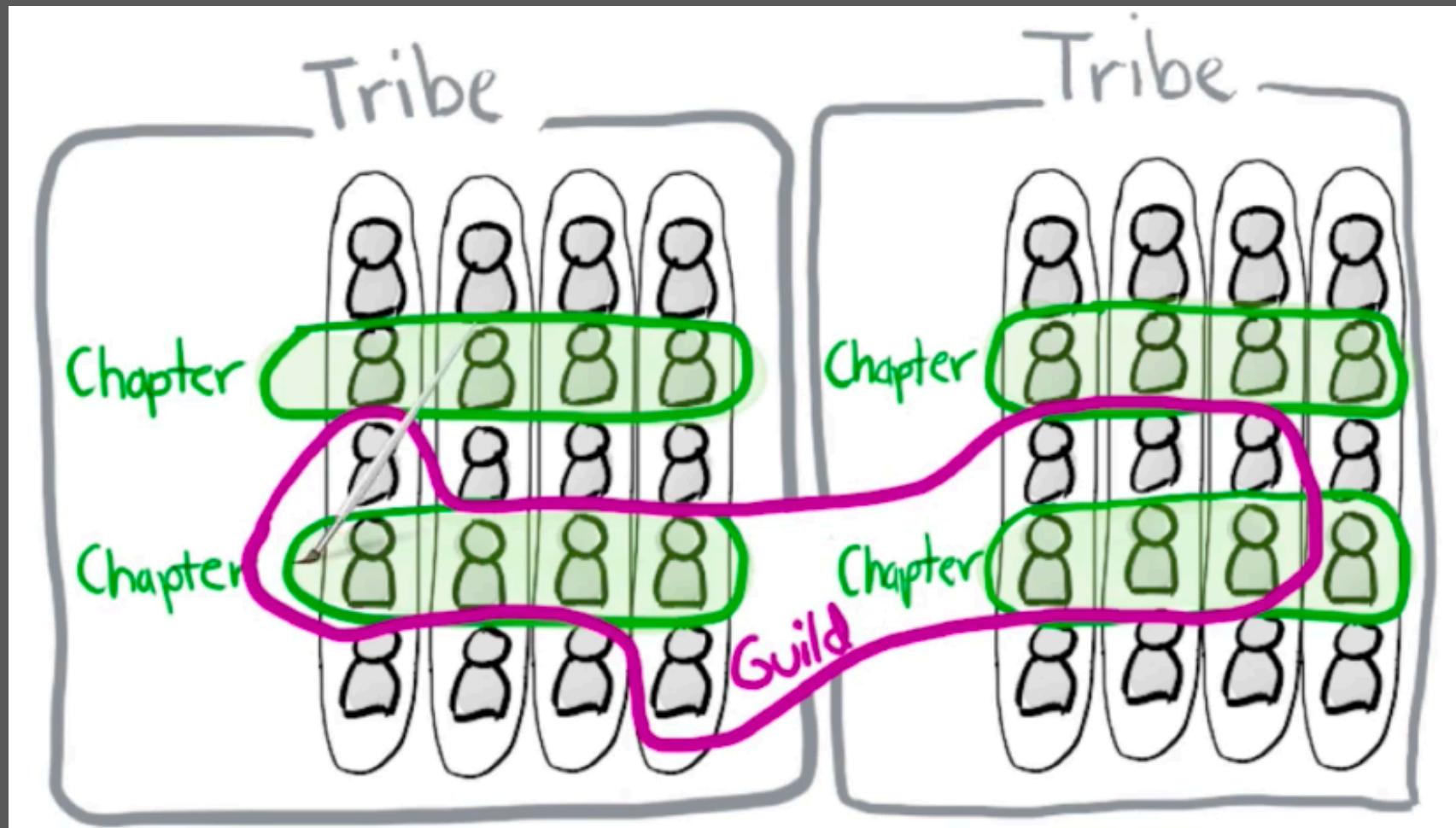
# AUTONOMOUS SQUADS



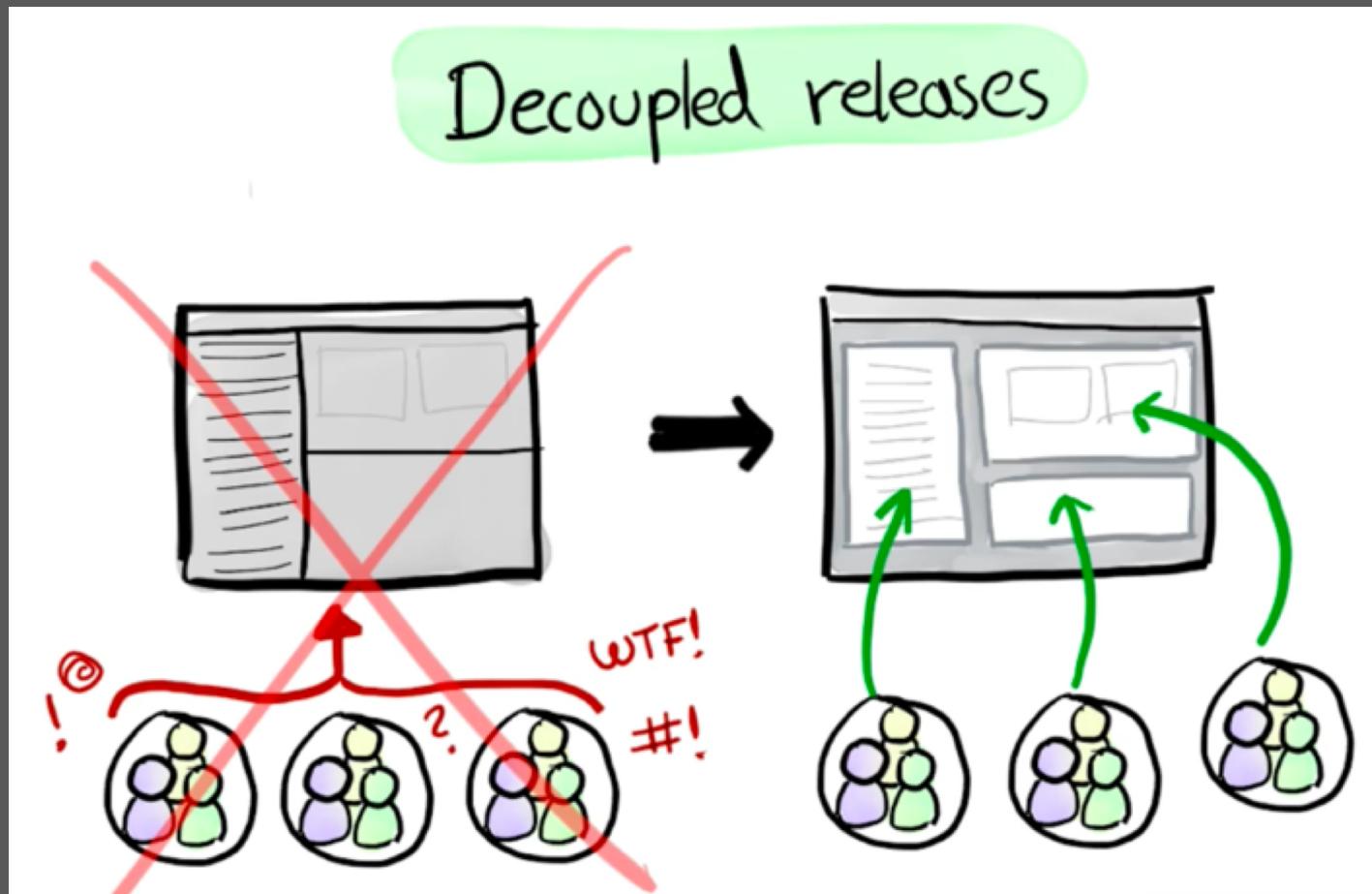
# ALIGNED AUTONOMOUS SQUADS



# SQUADS, TRIBES, CHAPTERS, GUILDS

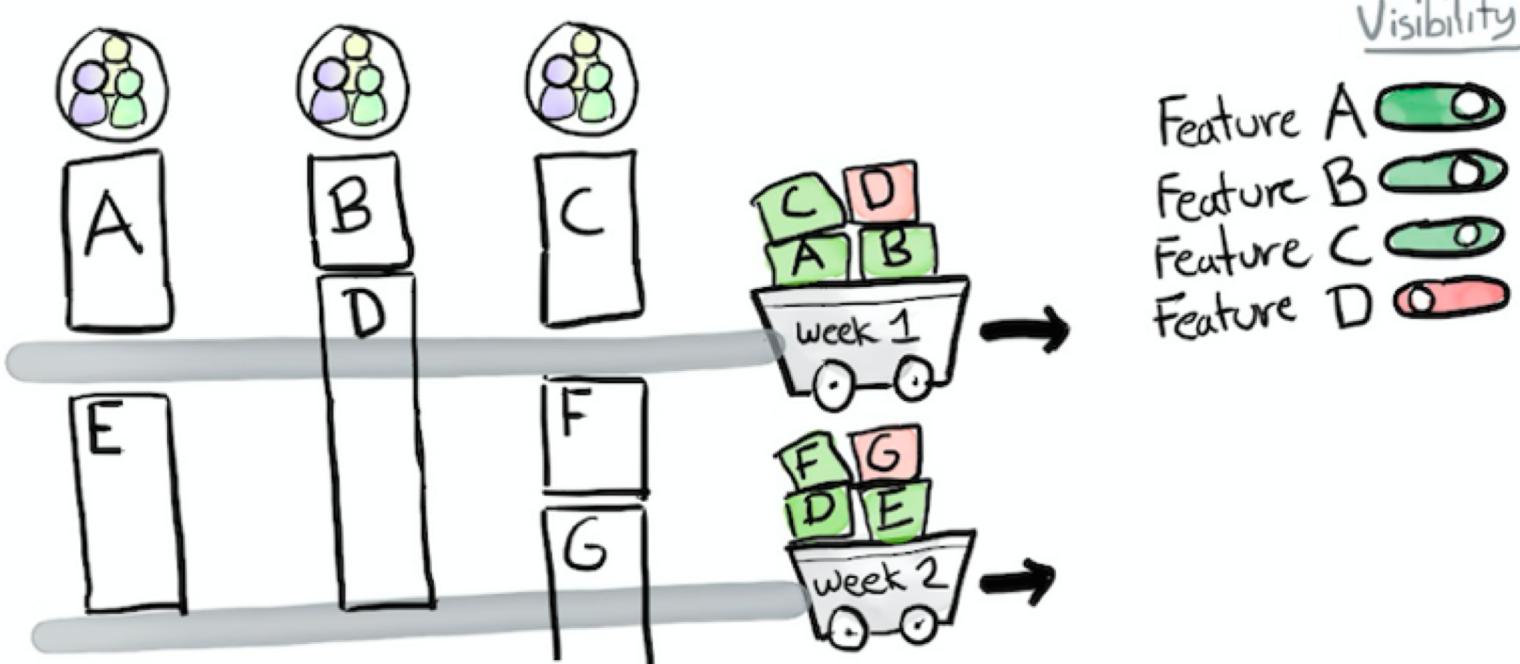


# GETTING INTO PRODUCTION

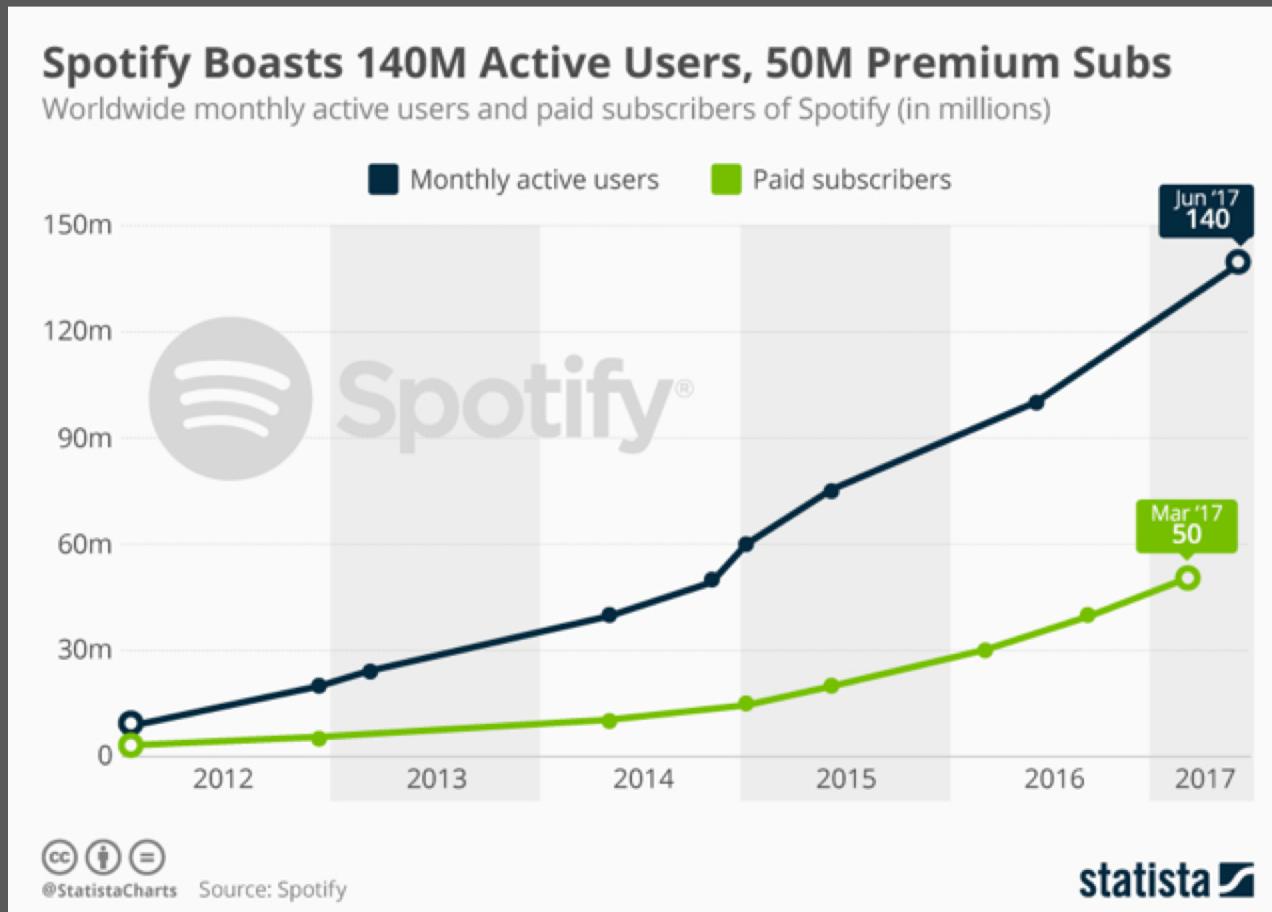


# DECOPLE TEAMS AND RELEASES

## Release Trains + Feature Toggles



# CONTEXT



# DISCUSSION

Benefits?

Challenges?

Implementation pitfalls?

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# GENERAL GUIDELINES

# HINTS FOR TEAM FUNCTIONING

Trust them; strategic not tactical direction

Reduce bureaucracy, protect team

Physical colocation, time for interaction

Avoid in-team competition (bonuses etc)

Time for quality assurance, cult of quality

Realistic deadlines

Peer coaching

Sense of elitism

Allow and encourage heterogeneity

# TEAM FUSION

Forming, Storming, Norming, Performing

Preserve existing teams, resist project mobility

# ELITISM CASE STUDY: THE BLACK TEAM

Legendary team at IBM in the 1960s

Group of talented ("slightly better") testers

Goal: Final testing of critical software before delivery

Improvement over first year

Formed team personality and energy

"adversary philosophy of testing"

Cultivated image of destroyers

Started to dress in black, crackled laughs, grew mustaches

Team survived loss of original members

DeMarco and Lister. Peopleware. Chapter 22

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# TROUBLESHOOTING TEAMS

Cynicism as warning sign

Training to improve practices

Getting to know each other; celebrate success; bonding over meals

“A meeting without notes is a meeting that never happened”

# HOW DO TEAMS WORK TOGETHER?

# DEVELOPER TURNOVER

# **LOCAL AND REMOTE TEAMS? POST-COVID TEAMS?**

Rank	Employer Name	Median Age of Employees	Median Employee Tenure	Median Pay
1	Massachusetts Mutual Life Insurance Company	38	0.8	\$60,000
2 - tie	Amazon.com Inc	32	1.0	\$93,200
2 - tie	American Family Life Assurance Company of Columbus (AFLAC)	38	1.0	\$38,000
4 - tie	Google, Inc.	29	1.1	\$107,000
4 - tie	Mosaic	37	1.1	\$69,900
6 - tie	Chesapeake Energy Corporation	31	1.2	\$60,500
6 - tie	Group 1 Automotive, Inc.	32	1.2	\$33,200
6 - tie	Ross Stores, Inc	29	1.2	\$23,800
6 - tie	Wellcare Health Plans, Inc.	38	1.2	\$49,900
*				
11 - tie	Amerigroup Corporation	39	1.3	\$54,800
11 - tie	Brightpoint North America, Inc.	45	1.3	\$42,100
11 - tie	Devon Energy Corporation	31	1.3	\$63,200
11 - tie	Family Dollar Stores Inc	38	1.3	\$23,400
11 - tie	Freeport-McMoRan Copper & Gold Inc	36	1.3	\$62,900
11 - tie	Paccar Corporation	33	1.3	\$62,200

Source: <http://www.techrepublic.com/blog/career-management/tech-companies-have-highest-turnover-rate/>; payscale.com data

18 - tie	Sandisk Corp	34	1.5	\$110,000
18 - tie	Tenneco Inc	40	1.5	\$69,900

# TURNOVER

> 20% turnover per year typical

average employment 15-36 month

Costs?

Reasons?

Mitigations?

# UNFOLDING MODEL OF EMPLOYEE TURNOVER

Organizational Science has studied employee turnover for over 100 years!

## One Hundred Years of Employee Turnover Theory and Research

Peter W. Hom  
Arizona State University

Thomas W. Lee  
University of Washington

Jason D. Shaw  
Hong Kong Polytechnic University

John P. Hausknecht  
Cornell University

We review seminal publications on employee turnover during the 100-year existence of the *Journal of Applied Psychology*. Along with classic articles from this journal, we expand our review to include other publications that yielded key theoretical and methodological contributions to the turnover literature. We first describe how the earliest papers examined practical methods for turnover reduction or control and then explain how theory development and testing began in the mid-20th century and dominated the academic literature until the turn of the century. We then track 21st century interest in the psychology of staying (rather than leaving) and attitudinal trajectories in predicting turnover. Finally, we discuss the rising scholarship on collective turnover given the centrality of human capital flight to practitioners and to the field of human resource management strategy.

# HIGH TURNOVER IS EXPENSIVE

Hiring overhead

Costs (1.5 month salary to agency)

Lost productivity (interviews)

Getting new developers up to speed

Unproductive time (~6 month ramp up; 2 years in some estimates)

Training overhead

Overhead for maintaining abandoned code

Tendency to short-term viewpoints

Premature promotions

Young inexperienced staff

# CAUSES OF, MITIGATIONS FOR TURNOVER

Causes (from literature, caveats for tech companies):

Just-passing-through mentality

Feeling of disposability

"Loyalty would be ludicrous"

High turnover encourages turnover

Mitigations:

Environment and culture

striving to be "the best"

teams

Investment in personal growth, via retraining, no dead-end jobs

*Advice: enable appropriate processes to maintain productivity despite turnover.*

# MOTIVATING PROGRAMMERS

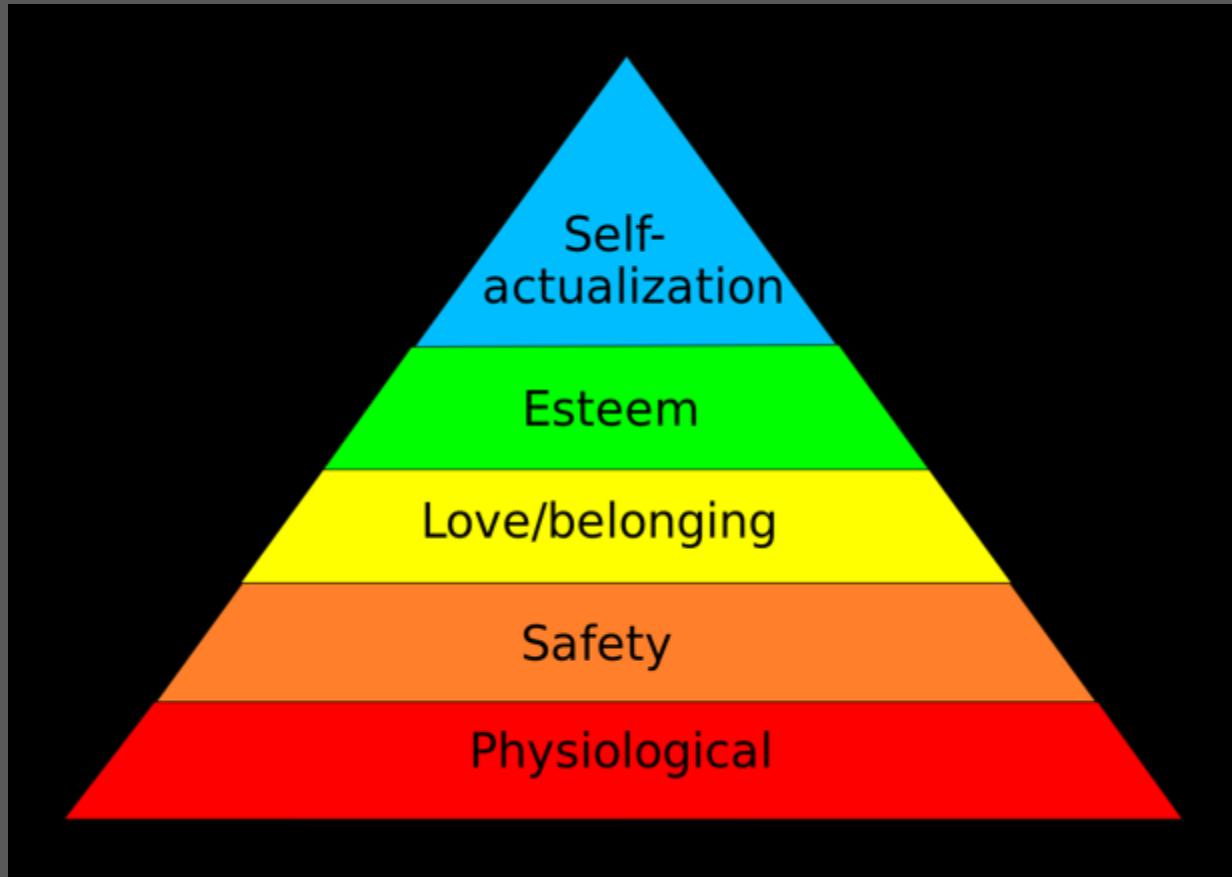
# THEORIES

Maslow's Hierarchy of Needs

Herzberg's Motivation and Hygiene Factors

Daniel Pink, Drive: The Surprising Truth About What Motivates Us.

# MASLOW'S HIERARCHY OF NEEDS 1943



# HERZBERG'S MOTIVATION AND HYGIENE FACTORS 1960s

(aka two-factor theory)

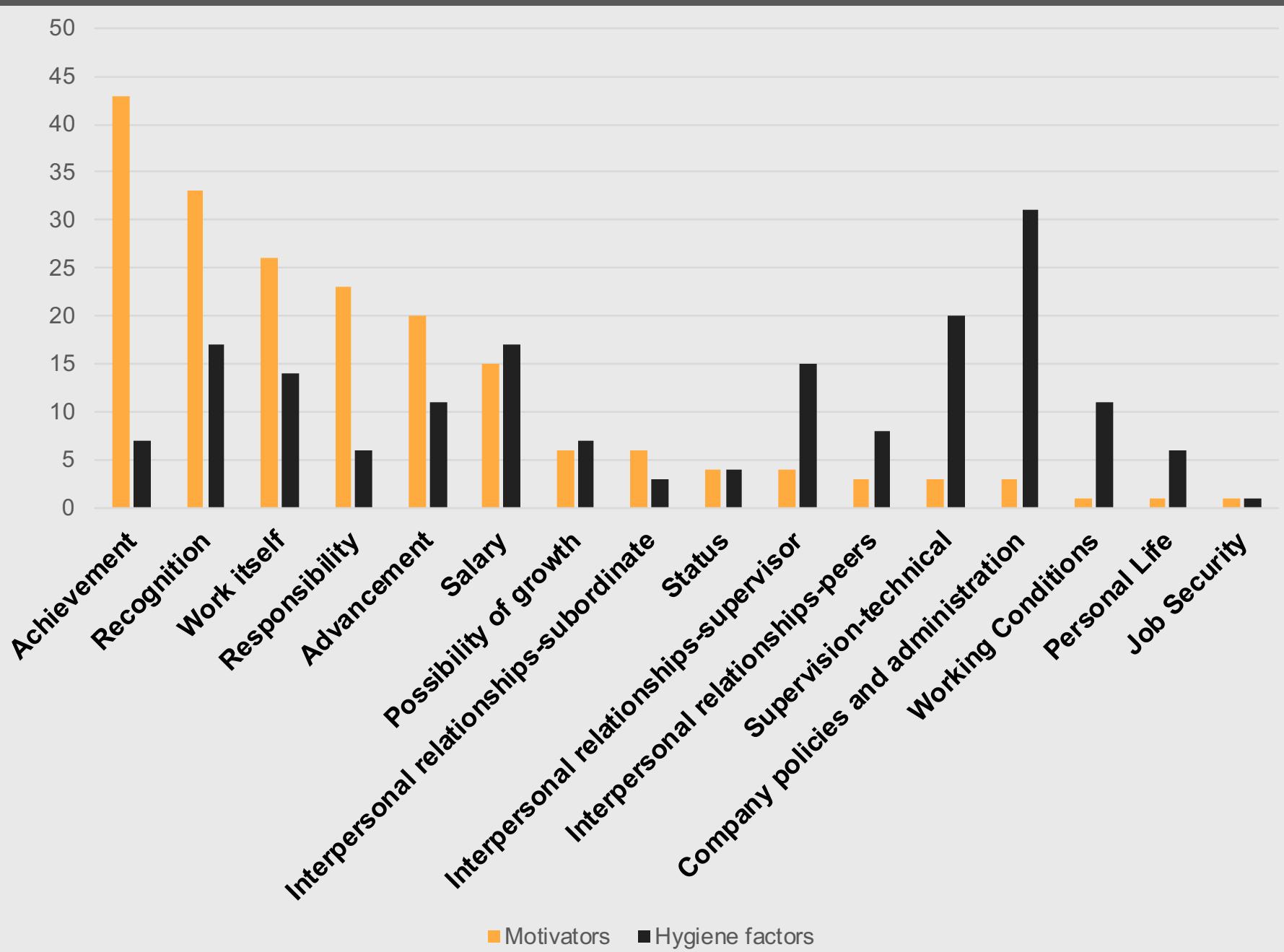
Different factors for satisfaction and dissatisfaction

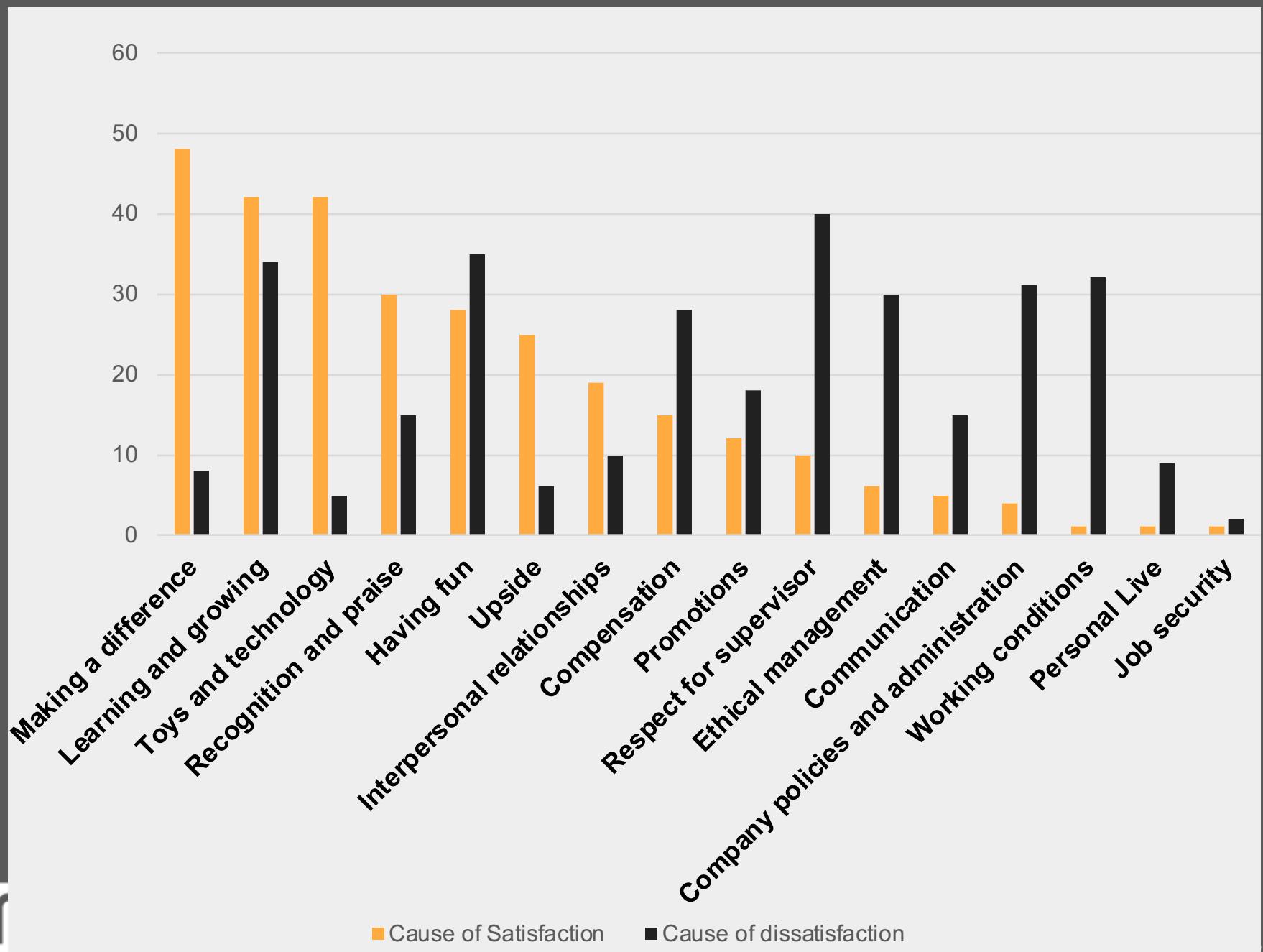
Addressing dissatisfaction does not lead to satisfaction

Step 1: Eliminate dissatisfaction

Step 2: Create condition for satisfaction

(Observation by Mantle and Lichy, not empirical data)





# ADDRESSING CAUSES OF DISSATISFACTION

Respect for supervisor

Having fun

Learning and growing

Good working conditions

Sane company policies and administration

Ethical management

Fair compensation

(often within control)

# ADDRESSING CAUSES OF DISSATISFACTION SELECTIVE

Respect as supervisor

gain technical credit

respect others

lead by example

help solve technical problems

manage and coach

Having fun

out of office play

celebrations of accomplishments and occasions

# ADDRESSING CAUSES OF DISSATISFACTION SELECTIVE

Learning and growing

- protect time for learning

- explore new technologies; prototype

- budget for attending conferences, seminars, inhouse training

- invite guest speakers

Good working conditions

- plenty of whiteboards

- room for discussions

- Quiet space, Limit interruptions, avoid meeting culture

- cubicles vs separate offices

- fire “jerks”

- free food

- flexible hours, flexible dress, flexible space

# ADDRESSING CAUSES OF DISSATISFACTION SELECTIVE

Sane company policies and administration

communicate frequently (vision, intentions, requirements, schedules, ...)

protect staff from organizational distractions

protect staff from bad communication practices (establish culture)

# ADDRESSING MOTIVATING FACTORS SELECTIVE

Making a difference

worthy goals, longterm vision

Steve Jobs when recruiting John Scully from Pepsi: “Do you want to sell sugar water or change to world”

Toys and technology

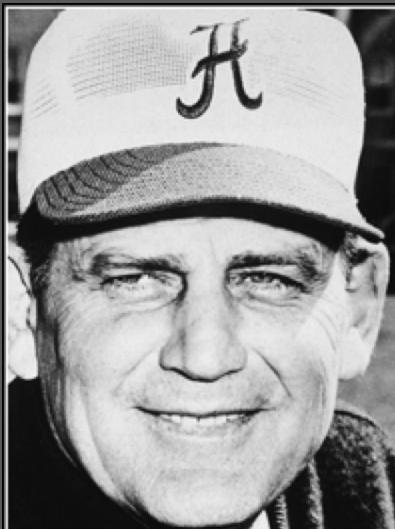
modern hardware, large screens, phones, ...

# ADDRESSING MOTIVATING FACTORS SELECTIVE

Recognition and praise

praise loudly and specifically, blame softly/privately

celebrate success



If anything goes bad, I did it. If anything goes semi-good, we did it. If anything goes real good, then you did it. That's all it takes to get people to win football games for you.

— Bear Bryant —

AZ QUOTES

# WHY DO ENGINEERS CHOOSE TO JOIN PARTICULAR TEAMS?

Reasons grouped by clustering analysis	Percent
Liked new team and/or technology (exciting, manager)	85.8%
Coworker asked me to join (new team, old team)	37.8%
Joined for better opportunities (location, domain, lack of other options)	24.5%
Followed my manager (former or current)	14.6%

# WHY DO ENGINEERS WANT TO LEAVE THEIR TEAMS?

Reasons grouped by clustering analysis	Percent
<b>Change is coming</b> (technology, charter, re-org, turnover)	<b>52.6%</b>
<b>Seeking new challenges or location</b> (role, location, challenges)	<b>39.0%</b>
<b>Dissatisfaction with manager</b> (priorities, goals, person, actions)	<b>31.6%</b>
<b>The grass is always greener on the other side</b> (novelty, escape)	<b>12.3%</b>
<b>Not a good fit</b> (bored, no need for my skills)	<b>5.3%</b>
<b>Poor team dynamics</b> (dysfunctional, no career growth)	<b>4.4%</b>

# PUNISHED by REWARDS

*The Trouble with*

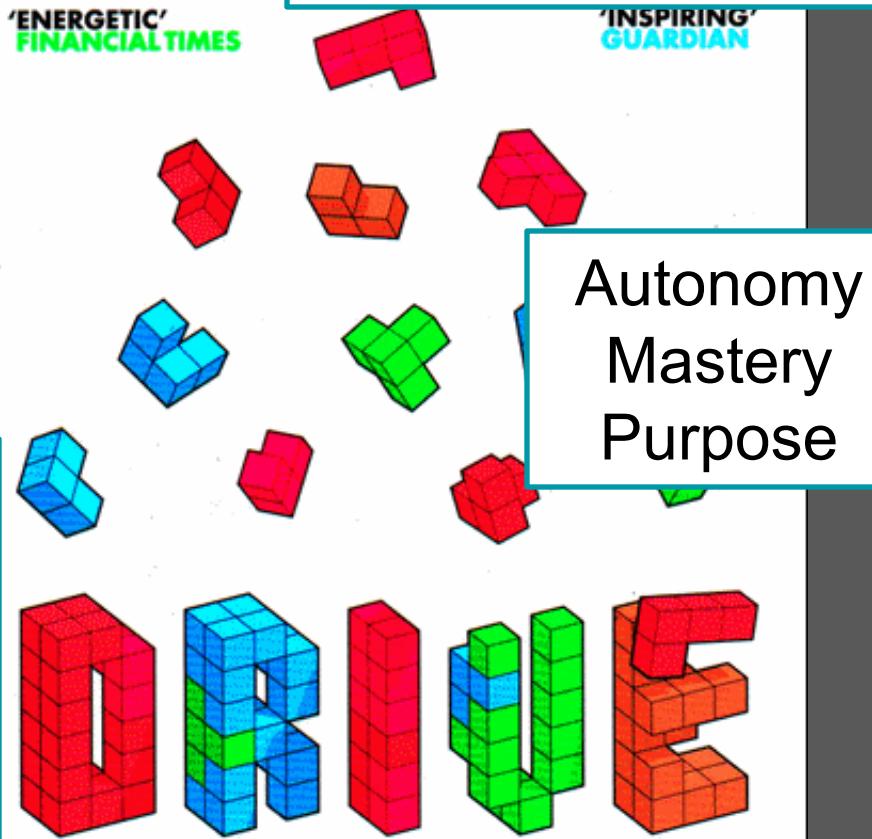
- Can extinguish intrinsic motivation
- Can diminish performance
- Can crush creativity
- Can crowd out good behavior
- Can encourage cheating, shortcuts, and unethical behavior
- Can become addictive
- Can foster short-term thinking

Rewards turn play into work and drain motivation

THE NEW YORK  
*'PROVOCATIVE'*

*'ENERGETIC'*  
FINANCIAL TIMES

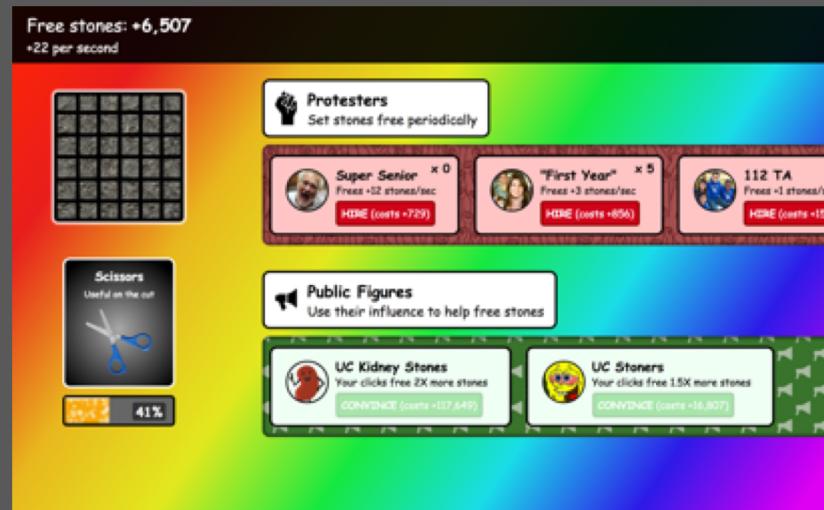
*'INSPIRING'*  
GUARDIAN



THE SURPRISING TRUTH  
ABOUT WHAT MOTIVATES US

DANIEL H. PINK

# REWARDS AKA GRINDING



# TUCKMAN, 1965: FORMING, STORMING, NORMING, PERFORMING

Forming: team meets and learns about challenges, agrees on goals, begins to work.

Team members: (1) Behave independently. (2) May be motivated, but relatively uninformed about goals, (3) usually on their best behavior (albeit self-involved)

Storming: participants form opinions about one another, possibly leading to conflict.

May voice opinions or question leader, especially if someone shirking responsibility or attempting to dominate.

Disagreements and conflicts must be resolved before team can progress; may regress if new challenges arise.

Stage can be destructive, but can lead to a better team in the long run if effective resolution tactics established.

Norming: Resolved conflicts leads to a spirit of co-operation.

Team shares a common goal for which everyone takes responsibility.

Tolerate one another, move on from individual challenges.

Danger: too much avoidance of conflict can lead to avoidance of controversial ideas.

Performing: group members focus on achieving common goals.

Everyone is now competent and can make decisions without supervision. Dissent is allowed if it's through acceptable channels.

Supervisors are almost always participating.

Upshot: Preserve existing teams, resist project mobility.

Tradeoffs? Compared to practices you've seen in companies?

Carnegie Mellon University  
School of Computer Science

# FURTHER READING

Mantle and Lichy. Managing the Unmanageable. Addison-Wesley, 2013

Very accessible and practical tips at recruiting and management

DeMarco and Lister. Peopleware. 3<sup>rd</sup> Edition. Addison Wesley, 2013

Anecdotes, stories, and tips on facilitating teams, projects, and environments

Pink. Drive: The Surprising Truth About What Motivates Us. Riverhead 2011

Detailed discussion of motivating factors for creative people

Sommerville. Software Engineering. 8<sup>th</sup> Edition. Chapter 25