

Static Analysis – Part 1

Claire Le Goues

Learning goals

- Give a one sentence definition of static analysis. Explain what types of bugs static analysis targets.
- Give an example of syntactic or structural static analysis.
- Construct basic control flow graphs for small examples by hand.
- Distinguish between control- and data-flow analyses; define and then step through on code examples simple control and data-flow analyses.
- Implement a dataflow analysis.
- Explain at a high level why static analyses cannot be sound, complete, and terminating; assess tradeoffs in analysis design.
- Characterize and choose between tools that perform static analyses.

Two fundamental concepts

- **Abstraction.**
 - Elide details of a specific implementation.
 - Capture semantically relevant details; ignore the rest.
- **Programs as data.**
 - Programs are just trees/graphs!
 - ...and we know lots of ways to analyze trees/graphs, right?

goto fail;

```
1. static OSStatus
2. SSLVerifySignedServerKeyExchange(SSLContext *ctx, bool isRsa,
3.                                     SSLBuffer signedParams,
4.                                     uint8_t *signature,
5.                                     UInt16 signatureLen) {
6.     OSStatus err;
7.     ...
8.     if ((err = SSLHashSHA1.update(&hashCtx, &serverRandom)) != 0)
9.         goto fail;
10.    if ((err = SSLHashSHA1.update(&hashCtx, &signedParams)) != 0)
11.        goto fail;
12.        goto fail;
13.    if ((err = SSLHashSHA1.final(&hashCtx, &hashOut)) != 0)
14.        goto fail;
15. ...
16. fail:
17.     SSLFreeBuffer(&signedHashes);
18.     SSLFreeBuffer(&hashCtx);
19.     return err;
20. }
```

```
1./* from Linux 2.3.99 drivers/block/raid5.c */
2.static struct buffer_head *
3.get_free_buffer(struct stripe_head * sh,
4.                  int b_size) {
5.    struct buffer_head *bh;
6.    unsigned long flags;
7.    save_flags(flags);
8.    cli(); // disables interrupts
9.    if ((bh = sh->buffer_pool) == NULL)
10.       return NULL;
11.    sh->buffer_pool = bh -> b_next;
12.    bh->b_size = b_size;
13.    restore_flags(flags); // re-enables interrupts
14.    return bh;
15.}
```

ERROR: function returns with
interrupts disabled!

With thanks to Jonathan Aldrich; example from Engler et al., *Checking system rules Using System-Specific, Programmer-Written Compiler Extensions*, OSDI '000

Could you have found them?

- How often would those bugs trigger?
- Driver bug:
 - What happens if you return from a driver with interrupts disabled?
 - Consider: that's one function
 - ...in a 2000 LOC file
 - ...in a module with 60,000 LOC
 - ...IN THE LINUX KERNEL
- **Moral:** *Some defects are very difficult to find via testing, inspection.*

Klocwork: Our source code analyzer caught Apple's 'gotofail' bug

If Apple had used a third-party source code analyzer on its encryption library, it could have avoided the "gotofail" bug.



by Declan McCullagh | February 28, 2014 1:13 PM PST

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The screenshot shows a static code analysis tool interface. At the top, there are social sharing icons for Facebook (57), Twitter (223), LinkedIn (23), Google+ (8+), and a link to 'More'. Below this is a navigation bar with tabs for 'Comments' (25) and 'Analyze'. The main area displays a portion of the 'SecureTransport.h' header file and a C source code file. A specific line of code is highlighted with a red arrow pointing to it, and another arrow points from the left towards the same line. The code line is annotated with the text 'Apple, we need to talk'. The code snippet is as follows:

```
622     if ((err = ReadyHash(&SSLHashSHA1, &hashCtx)) != 0)
623         goto fail;
624     if ((err = SSLHashSHA1.update(&hashCtx, &clientRandom)) != 0)
625         goto fail;
626     if ((err = SSLHashSHA1.update(&hashCtx, &serverRandom)) != 0)
627         goto fail;
628     if ((err = SSLHashSHA1.update(&hashCtx, &signedParams)) != 0)
629         goto fail;
630     if ((err = SSLHashSHA1.final(&hashCtx, &hashOut)) != 0)
631         goto fail;
632     if ((err = sslRawVerify(ctx,
633                           ctx->peerPubKey,
634                           &hashOut)) != 0)
635         goto fail;
```

Below the code editor is a 'Klocwork Issues' window showing a single issue: 'UNREACH.GEN: Code is unreachable' in 'sslKeyExchange.c' at line 632, with a severity of 'Warning'. The 'Log Console' tab is also visible.

Klocwork's Larry Edelstein sent us this screen snapshot, complete with the arrows, showing how the company's product would have nabbed the "goto fail" bug.

(Credit: Klocwork)

It was a single repeated line of code -- "goto fail" -- that left millions of Apple users vulnerable to Internet attacks until the company finally [fixed it Tuesday](#).

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Defects of interest...

- Are on uncommon or difficult-to-force execution paths. (vs testing)
- Executing (or interpreting/otherwise analyzing) all paths concretely to find such defects is infeasible.
- **What we really want to do is check the entire possible state space of the program for particular properties.**

Defects Static Analysis can Catch

- Defects that result from inconsistently following simple, mechanical design rules.
 - **Security:** Buffer overruns, improperly validated input.
 - **Memory safety:** Null dereference, uninitialized data.
 - **Resource leaks:** Memory, OS resources.
 - **API Protocols:** Device drivers; real time libraries; GUI frameworks.
 - **Exceptions:** Arithmetic/library/user-defined
 - **Encapsulation:** Accessing internal data, calling private functions.
 - **Data races:** Two threads access the same data without synchronization

Key: check compliance to simple, mechanical design rules

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 Sider ✓ Automatically analyze pull request against custom per-project rulesets and best practices	 Imgbot ✓ A GitHub app that optimizes your images
 codelingo Your Code, Your Rules - Automate code reviews with your own best practices	 Check TODO Checks for any added or modified TODO items in a Pull Request

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```
package com.google.devtools.staticanalysis;

public class Test {

    ▾ Lint           Missing a Javadoc comment.
        Java
        1:02 AM, Aug 21
    Please fix Not useful
```

```
public boolean foo() {
    return getString() == "foo".toString();

    ▾ ErrorProne   String comparison using reference equality instead of value equality
        StringEquality (see http://code.google.com/p/error-prone/wiki/StringEquality)
        1:03 AM, Aug 21
```

[Please fix](#)

Suggested fix attached: [show](#)

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```
}
```

```
public String getString() {
    return new String("foo");
}
```

//depot/google3/java/com/google/devtools/staticanalysis/Test.java

```
package com.google.devtools.staticanalysis;

public class Test {
    public boolean foo() {
        return getString() == "foo".toString();
    }

    public String getString() {
        return new String("foo");
    }
}
```

```
package com.google.devtools.staticanalysis;

import java.util.Objects;

public class Test {
    public boolean foo() {
        return Objects.equals(getString(), "foo".toString());
    }

    public String getString() {
        return new String("foo");
    }
}
```

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Sapienz: Intelligent automated software testing at scale



By Ke Mao



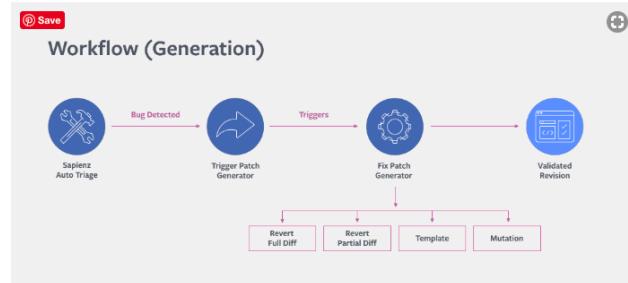
Sapienz technology leverages automated test design to make the testing process faster, more comprehensive, and more effective.

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POSTED ON SEP 13, 2018 TO [AI RESEARCH](#), [DEVELOPER TOOLS](#), [OPEN SOURCE](#), [PRODUCTION ENGINEERING](#)

Finding and fixing software bugs automatically with SapFix and Sapienz



By Yue Jia Ke Mao Mark Harman



Debugging code is drudgery. But SapFix, a new AI hybrid tool created by Facebook engineers, can significantly reduce the amount of time engineers spend on debugging, while also speeding up the process of rolling out new software. SapFix can automatically generate fixes for specific bugs, and then propose them to engineers for approval and deployment to production.

SapFix has been used to accelerate the process of shipping robust, stable code updates to millions of devices using the Facebook Android app — the first such use of AI-powered testing and debugging tools in production at this scale. We intend to share SapFix with the engineering community, as it is the next step in the evolution of automating debugging, with the potential to boost the production and stability of new code for a wide range of companies and research organizations.

SapFix is designed to operate as an independent tool, able to run either with or without Sapienz, Facebook's intelligent automated software testing tool, which was announced at F8 and has already been deployed to production. In its current, proof-of-concept state, SapFix is focused on fixing bugs found by Sapienz before they reach production. The

DEFINING STATIC ANALYSIS

What is Static Analysis?

- **Systematic** examination of an **abstraction** of program state space.
 - Does not execute code! (like code review)
- **Abstraction:** produce a representation of a program that is simpler to analyze.
 - Results in fewer states to explore; makes difficult problems tractable.
- Check if a **particular property** holds over the entire state space:
 - Liveness: “something good eventually happens.”
 - Safety: “this bad thing can’t ever happen.”
 - Compliance with mechanical design rules.

The Bad News: Rice's Theorem

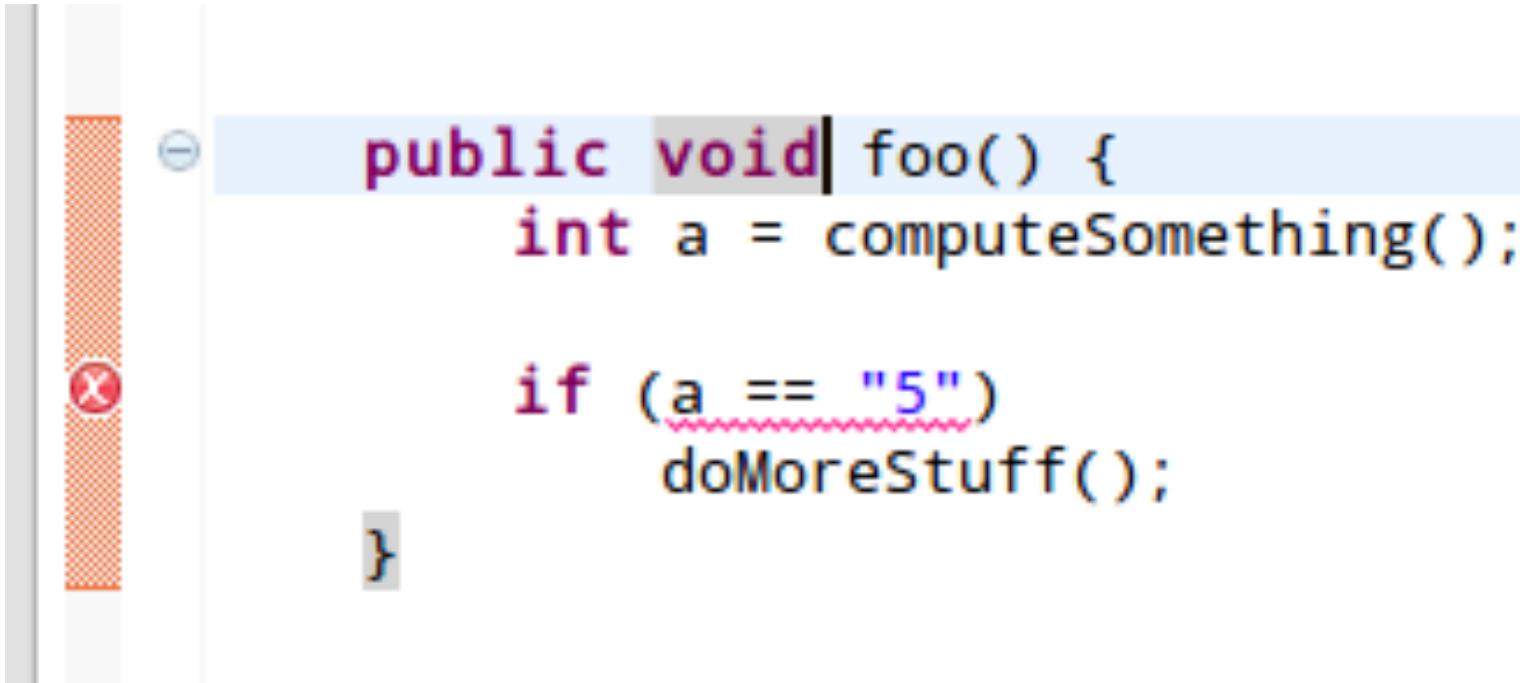
"Any nontrivial property about the language recognized by a Turing machine is undecidable."

Henry Gordon Rice, 1953

Every static analysis is necessarily incomplete or unsound or undecidable (or multiple of these)

SIMPLE SYNTACTIC AND STRUCTURAL ANALYSES

Type Analysis



The screenshot shows a Java code editor with the following code:

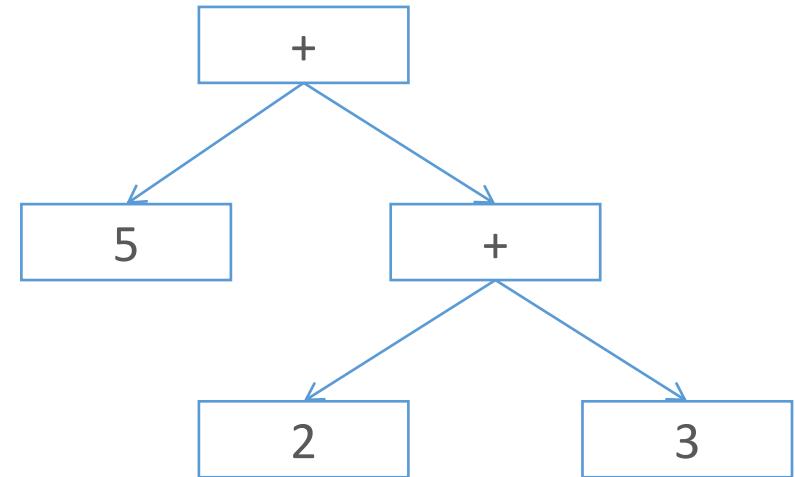
```
public void| foo() {  
    int a = computeSomething();  
  
    if (a == "5")  
        doMoreStuff();  
}
```

The code editor has several features visible:

- A vertical orange bar on the left with a red 'X' icon at the bottom.
- A blue status bar at the top with a minus sign icon.
- Intellisense-style suggestions appearing above the cursor position.

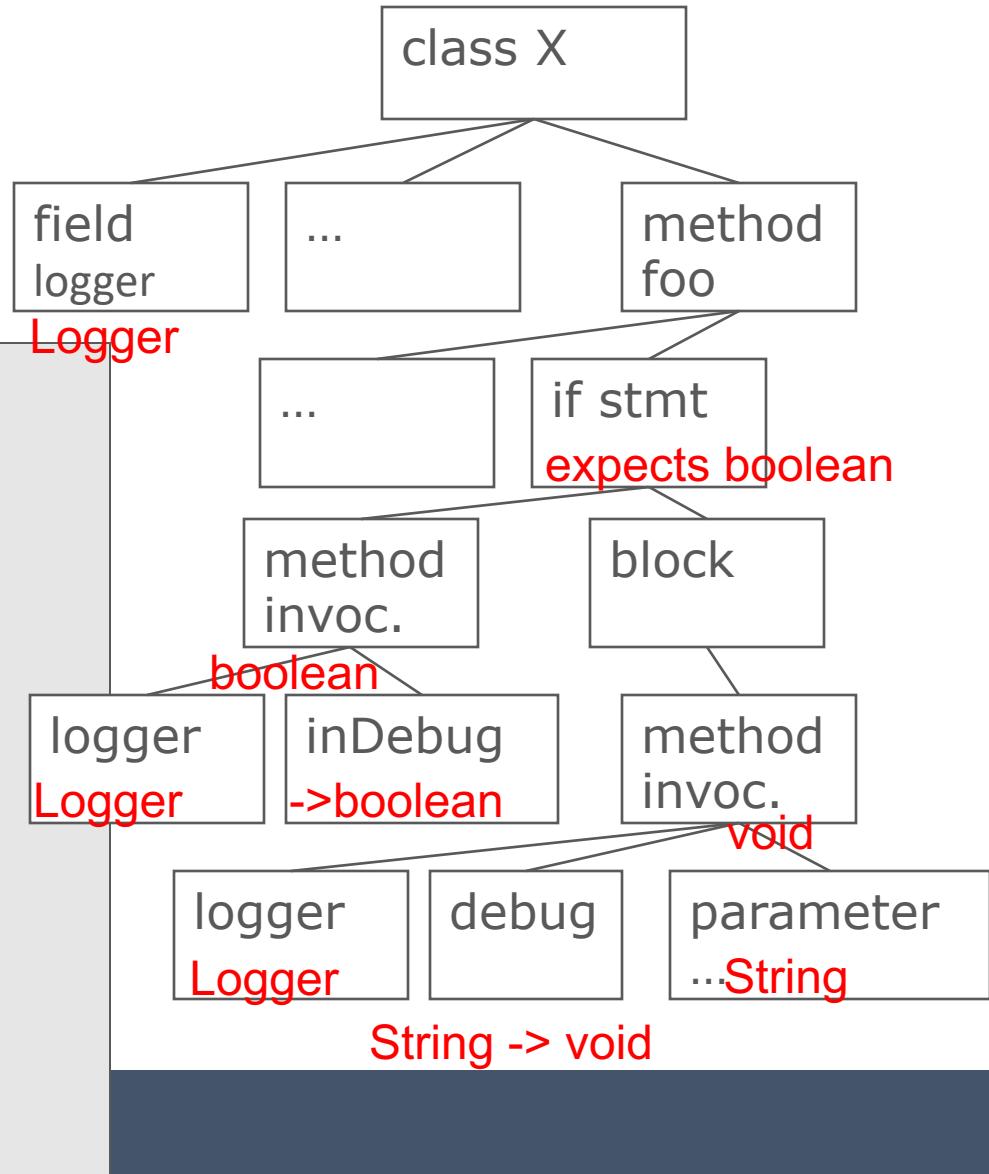
Abstraction: abstract syntax tree

- Tree representation of the syntactic structure of source code.
 - Parsers convert concrete syntax into abstract syntax, and deal with resulting ambiguities.
 - Records only the semantically relevant information.
 - Abstract: doesn't represent every detail (like parentheses); these can be inferred from the structure.
 - (How to build one? Take compilers!)
- Example: $5 + (2 + 3)$



Type checking

```
class X {  
    Logger logger;  
    public void foo() {  
        ...  
        if (logger.isDebugEnabled()) {  
            logger.debug("We have " +  
conn + "connections.");  
        }  
    }  
    class Logger {  
        boolean isDebugEnabled() {...}  
        void debug(String msg) {...}  
    }  
}
```



Syntactic Analysis

Find every occurrence of this pattern:

```
public foo() {  
    ...  
    logger.debug("We have " + conn + "connections.");  
}
```

```
public foo() {  
    ...  
    if (logger.isDebugEnabled()) {  
        logger.debug("We have " + conn + "connections.");  
    }  
}
```

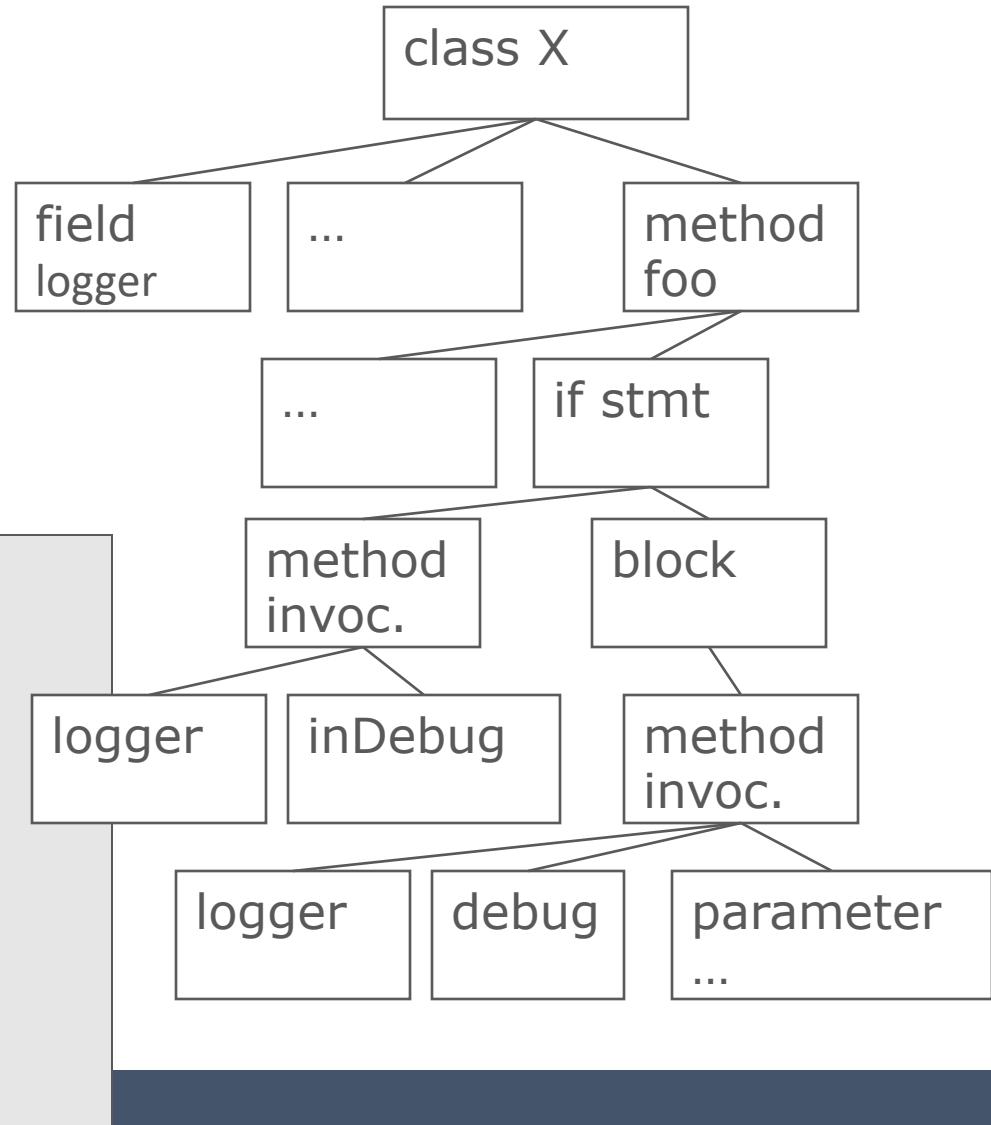
```
grep "if \\\(logger\\\\.isDebugEnabled\\)" . -r
```

Abstract syntax tree walker

- Check that we don't create strings outside of a `Logger.inDebug` check
- Abstraction:
 - Look only for calls to `Logger.debug()`
 - Make sure they're all surrounded by `if (Logger.inDebug())`
- Systematic: Checks all the code
- Known as an Abstract Syntax Tree (AST) walker
 - Treats the code as a structured tree
 - Ignores control flow, variable values, and the heap
 - Code style checkers work the same way

Structural Analysis

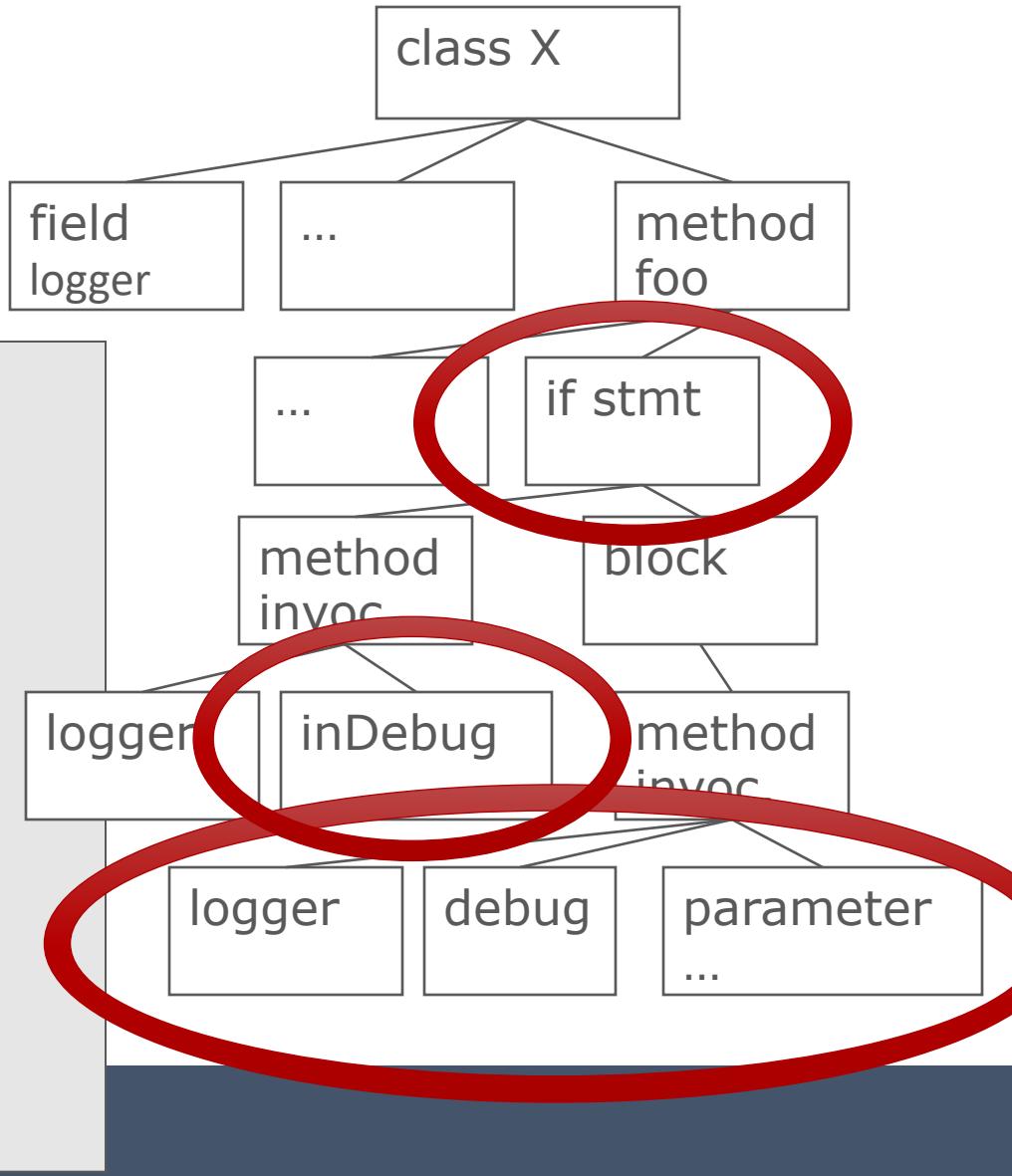
```
class X {  
    Logger logger;  
    public void foo() {  
        ...  
        if (logger.isDebugEnabled()) {  
            logger.debug("We have " +  
conn + "connections.");  
        }  
    }  
}
```



```

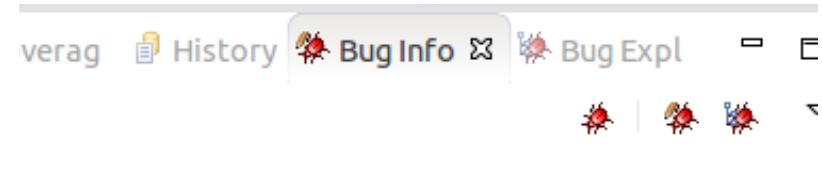
class X {
    Logger logger;
    public void foo() {
        ...
        if (logger.isDebugEnabled()) {
            logger.debug("We have " +
conn + "connections.");
        }
    }
}
class Logger {
    boolean.isDebugEnabled() {...}
    void debug(String msg) {...}
}

```



Bug finding

```
public Boolean decide() {  
    if (computeSomething() == 3)  
        return Boolean.TRUE;  
    if (computeSomething() == 4)  
        return false;  
    return null;  
}
```



Bug: FBTest.decide() has Boolean return type and returns explicit null

A method that returns either Boolean.TRUE, Boolean.FALSE or null is an accident waiting to happen. This method can be invoked as though it returned a value of type boolean, and the compiler will insert automatic unboxing of the Boolean value. If a null value is returned, this will result in a NullPointerException.

Confidence: Normal, **Rank:** Troubling (14)

Pattern: NP_BOOLEAN_RETURN_NULL

Type: NP, **Category:** BAD_PRACTICE (Bad practice)

Structural Analysis to Detect Goto Fail?

```
1. static OSStatus
2. SSLVerifySignedServerKeyExchange(SSLContext *ctx, bool isRsa,
3.                                     SSLBuffer signedParams,
4.                                     uint8_t *signature,
5.                                     UInt16 signatureLen) {
6.     OSStatus err;
7.     ...
8.     if ((err = SSLHashSHA1.update(&hashCtx, &serverRandom)) != 0)
9.         goto fail;
10.    if ((err = SSLHashSHA1.update(&hashCtx, &signedParams)) != 0)
11.        goto fail;
12.        goto fail;
13.    if ((err = SSLHashSHA1.final(&hashCtx, &hashOut)) != 0)
14.        goto fail;
15.    ...
16.fail:
    FreeBuffer(&signedParams);
    FreeMemory(&hashOut);
```

Summary: Syntactic/Structural Analyses

- Analyzing token streams or code structures (ASTs)
- Useful to find patterns
- Local/structural properties, independent of execution paths

Summary: Syntactic/Structural Analyses

- Tools include Checkstyle, many linters (C, JS, Python, ...), Findbugs, others

The screenshot shows a Java IDE interface with the title bar "Java - Checker.java -". The menu bar includes File, Edit, Source, Refactor, Navigate, Search, Project, Run, Window, and Help. The toolbar contains various icons for file operations like Open, Save, and Build. The left sidebar has "Java" selected under "Resource". The main editor window displays the following Java code:

```
/**  
 * This class provides the functionality to check a set of files.  
 * @author Oliver Burn  
 * @author <a href="mailto:stephane.bailliez@wanadoo.fr">Stephane Bailliez  
 * @author lkuehne  
 */  
public class Checker extends AutomaticBean  
    implements MessageDispatcher  
{  
    /** maintains error count */  
    private final Set<String> mErrors =  
        new HashSet<String>(100, 0.75f, true);  
    // A tooltip is displayed over the 'mErrors' field:  
    // First sentence should end with a period.  
    // Press 'F2' for focus.  
    // typeLevel.ERROR);  
  
    /** vector of listeners */  
    private final ArrayList<MessageDispatcher> mListeners = new ArrayList<>();  
  
    /** vector of fileset checks */  
    private final ArrayList<FileSetCheck> mFileSetChecks = new ArrayList<>();  
  
    /** class loader to resolve classes with */  
    private final ClassLoader mClassLoader = null;
```

The code uses color-coded syntax highlighting for keywords, comments, and strings. A tooltip is visible over the `mErrors` field, providing information about its type and usage.

Tools: Compilers

- Type checking, proper initialization API, correct API usage

Program	Compiler output
<pre>int add(int x,int y) { return x+y; } void main() { add(2); }</pre>	\$> error: too few arguments to function 'int add(int, int)'

CONTROL-FLOW ANALYSIS

Control/Dataflow analysis

- Reason about all possible executions, via paths through a *control flow graph*.
 - Track information relevant to a property of interest at every *program point*.
 - Including exception handling, function calls, etc
- Define an **abstract domain** that captures only the values/states relevant to the property of interest.
- Track the abstract state, rather than all possible concrete values, for all possible executions (paths!) through the graph.

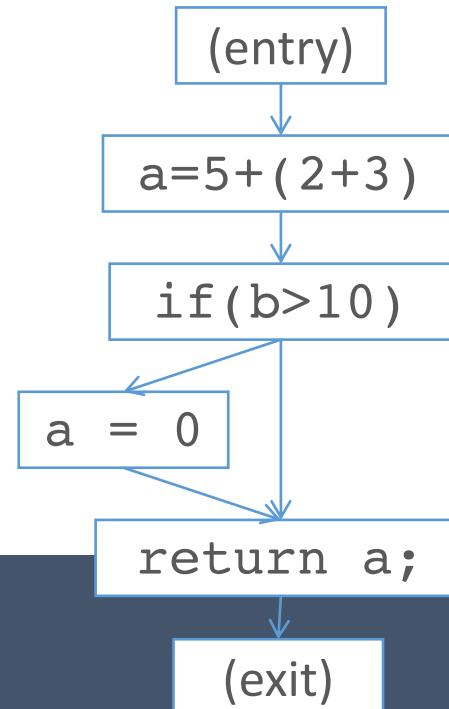
Control/Dataflow analysis

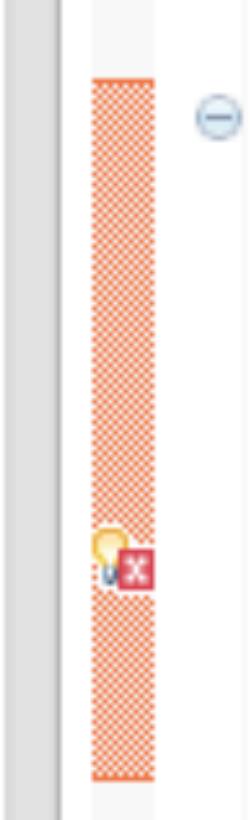
- **Reason** about all possible executions, via paths through a *control flow graph*.
 - Track information relevant to a property of interest at every *program point*.
- Define an **abstract domain** that captures only the values/states relevant to the property of interest.
- **Track** the abstract state, rather than all possible concrete values, for all possible executions (paths!) through the graph.

Control flow graphs

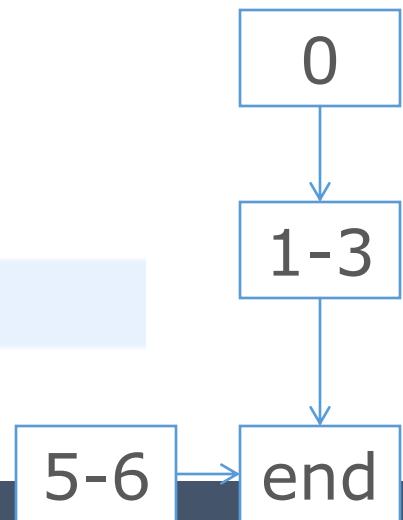
- A tree/graph-based representation of the flow of control through the program.
 - Captures all possible execution paths.
- Each node is a basic block: no jumps in or out.
- Edges represent control flow options between nodes.
- Intra-procedural: within one function.
 - cf. inter-procedural

```
1. a = 5 + (2 + 3)
2. if (b > 10) {
3.   a = 0;
4. }
5. return a;
```





```
public int foo() {  
    doStuff();  
  
    return 3;  
  
    doMoreStuff();  
    return 4;  
}
```



```
1./* from Linux 2.3.99 drivers/block/raid5.c */
2.static struct buffer_head *
3.get_free_buffer(struct stripe_head * sh,
4.                  int b_size) {
5.    struct buffer_head *bh;
6.    unsigned long flags;
7.    save_flags(flags);
8.    cli(); // disables interrupts
9.    if ((bh = sh->buffer_pool) == NULL)
10.       return NULL;
11.    sh->buffer_pool = bh -> b_next;
12.    bh->b_size = b_size;
13.    restore_flags(flags); // re-enables interrupts
14.    return bh;
15.}
```

Draw control-flow graph
for this function

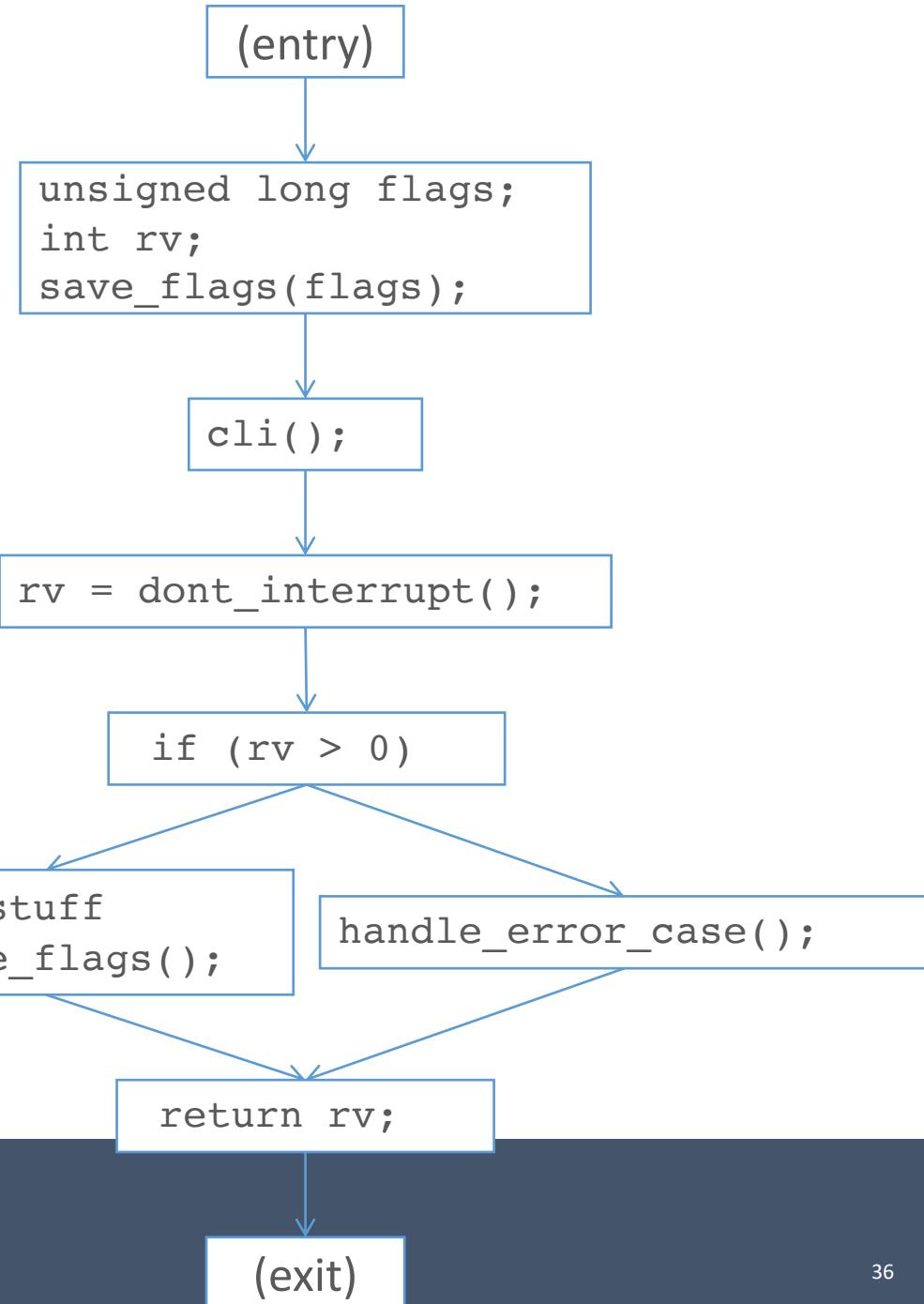
gler et

oo

```

1. int foo() {
2.     unsigned long flags;
3.     int rv;
4.     save_flags(flags);
5.     cli();
6.     rv = dont_interrupt();
7.     if (rv > 0) {
8.         // do_stuff
9.         restore_flags();
10.    } else {
11.        handle_error_case();
12.    }
13.    return rv;
14. }

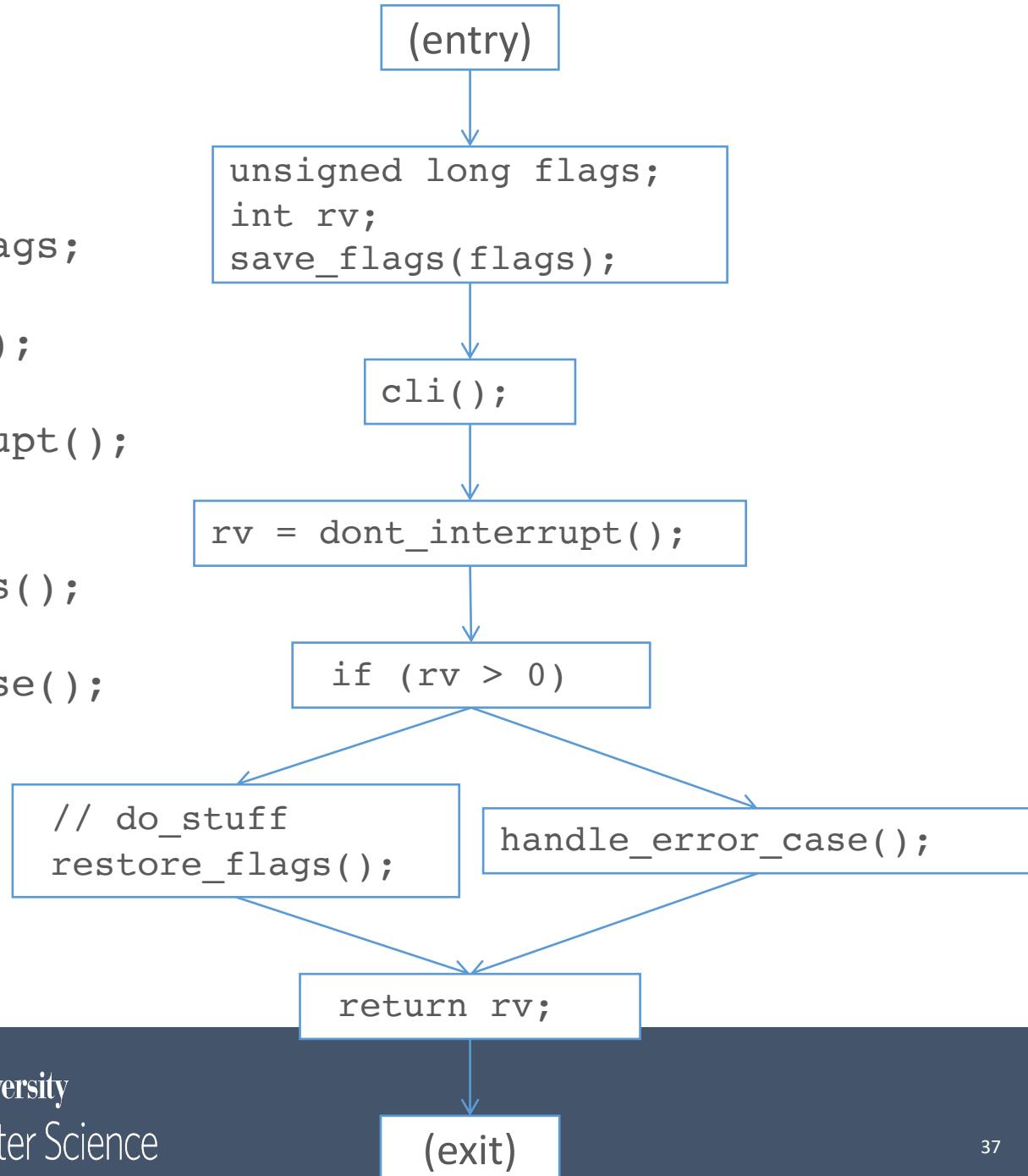
```



```

1. int foo() {
2.     unsigned long flags;
3.     int rv;
4.     save_flags(flags);
5.     cli();
6.     rv = dont_interrupt();
7.     if (rv > 0) {
8.         // do_stuff
9.         restore_flags();
10.    } else {
11.        handle_error_case();
12.    }
13.    return rv;
14. }

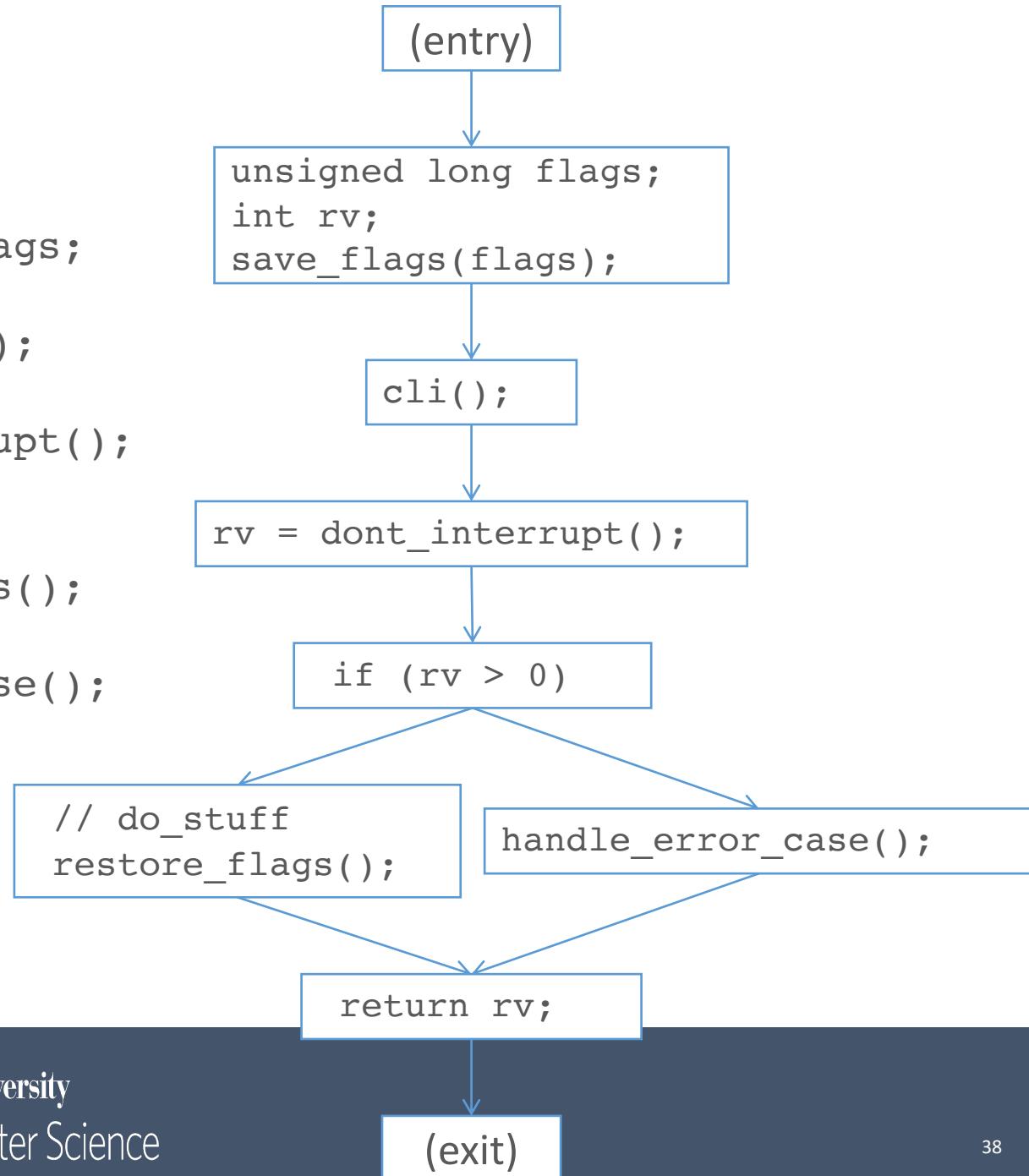
```



```

1. int foo() {
2.     unsigned long flags;
3.     int rv;
4.     save_flags(flags);
5.     cli();
6.     rv = dont_interrupt();
7.     while (rv > 0) {
8.         // do_stuff
9.         restore_flags();
10.    } else {
11.        handle_error_case();
12.    }
13.    return rv;
14. }

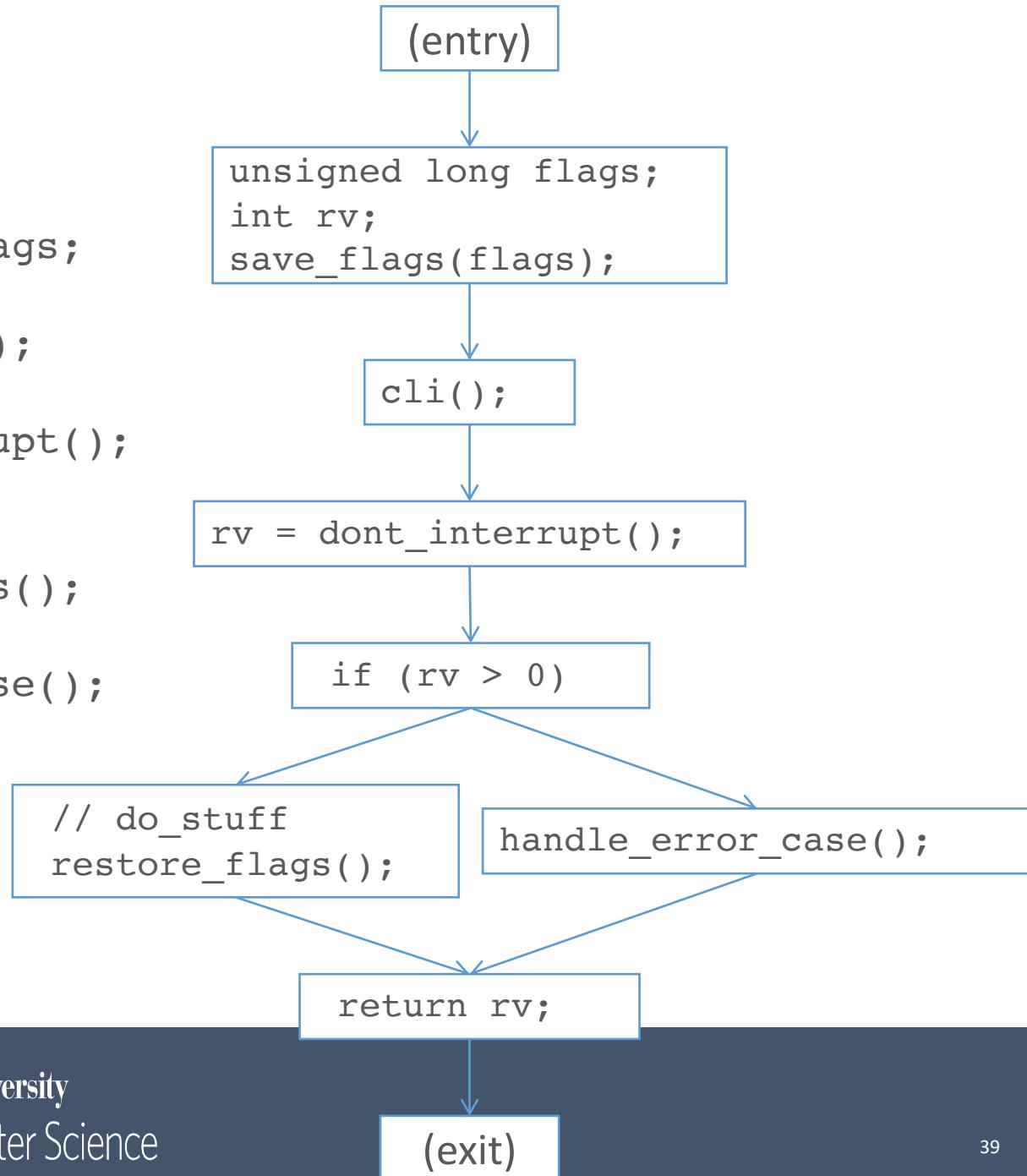
```



```

1. int foo() {
2.     unsigned long flags;
3.     int rv;
4.     save_flags(flags);
5.     cli();
6.     rv = dont_interrupt();
7.     while (rv > 0) {
8.         // do_stuff
9.         restore_flags();
10.    } else {
11.        handle_error_case();
12.    }
13.    return rv;
14. }

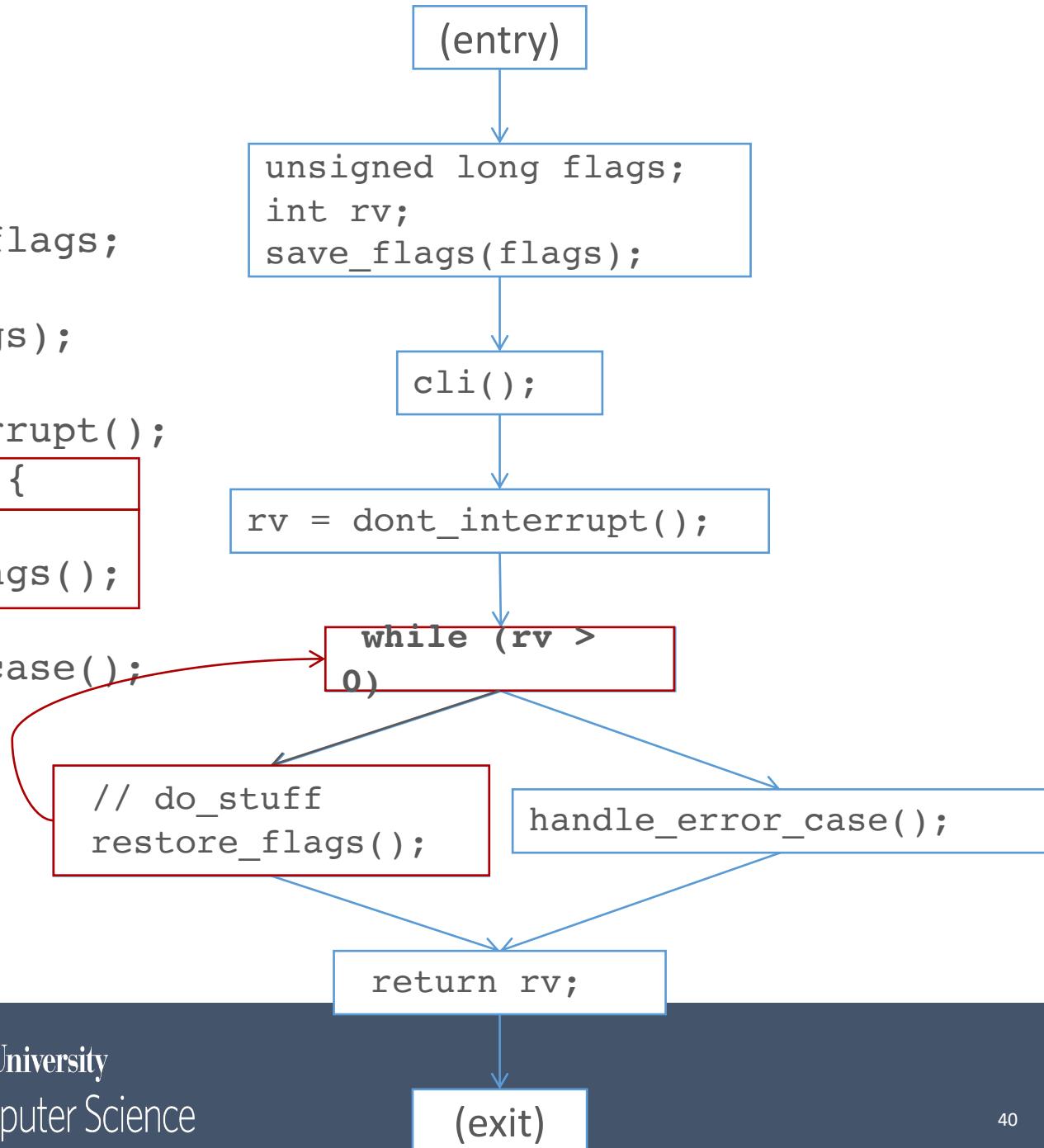
```



```

1. int foo() {
2.     unsigned long flags;
3.     int rv;
4.     save_flags(flags);
5.     cli();
6.     rv = dont_interrupt();
7.     while (rv > 0) {
8.         // do_stuff
9.         restore_flags();
10.    }
11.    handle_error_case();
12.
13.    return rv;
14. }

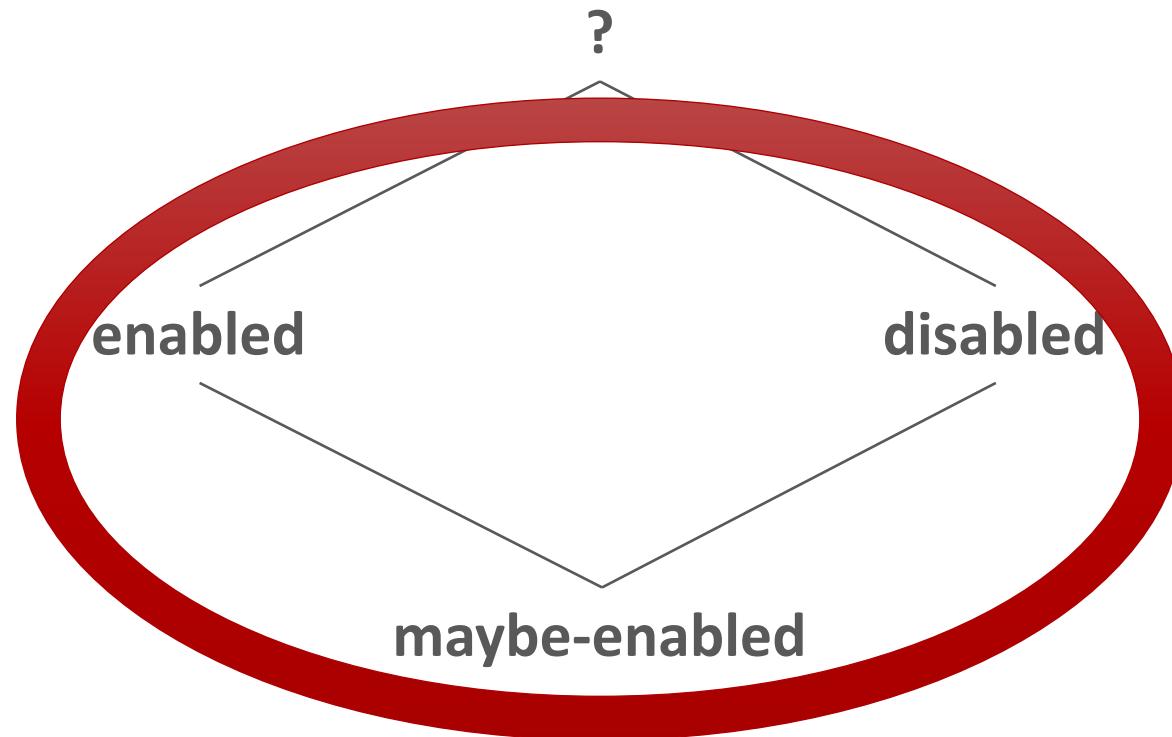
```



Control/Dataflow analysis

- Reason about all possible executions, via paths through a *control flow graph*.
 - Track information relevant to a property of interest at every program point.
- Define an **abstract domain** that captures only the values/states relevant to the property of interest.
- **Track** the abstract state, rather than all possible concrete values, for all possible executions (paths!) through the graph.

Abstract Domain: interrupt checker

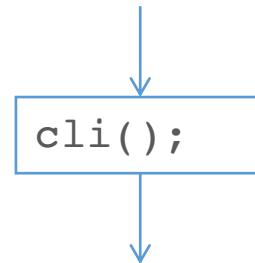


Reasoning about a CFG

- Analysis updates state at *program points*: points between nodes.
- For each node:
 - determine state on entry by examining/combining state from predecessors.
 - evaluate state on exit of node based on effect of the operations (*transfer*).
- *Iterate through successors and over entire graph until the state at each program point stops changing.*
- **Output: state at each program point**

Transfer function

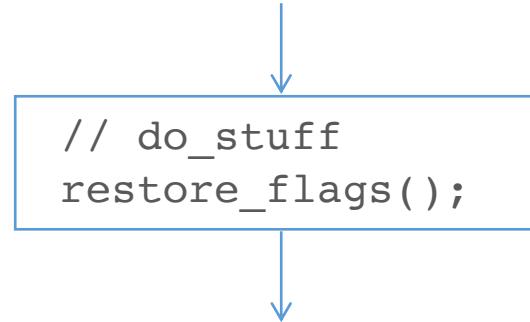
assume: pre-block program point: interrupts enabled



post-block program point: interrupts disabled

Transfer function

assume: pre-block program point: interrupts disabled

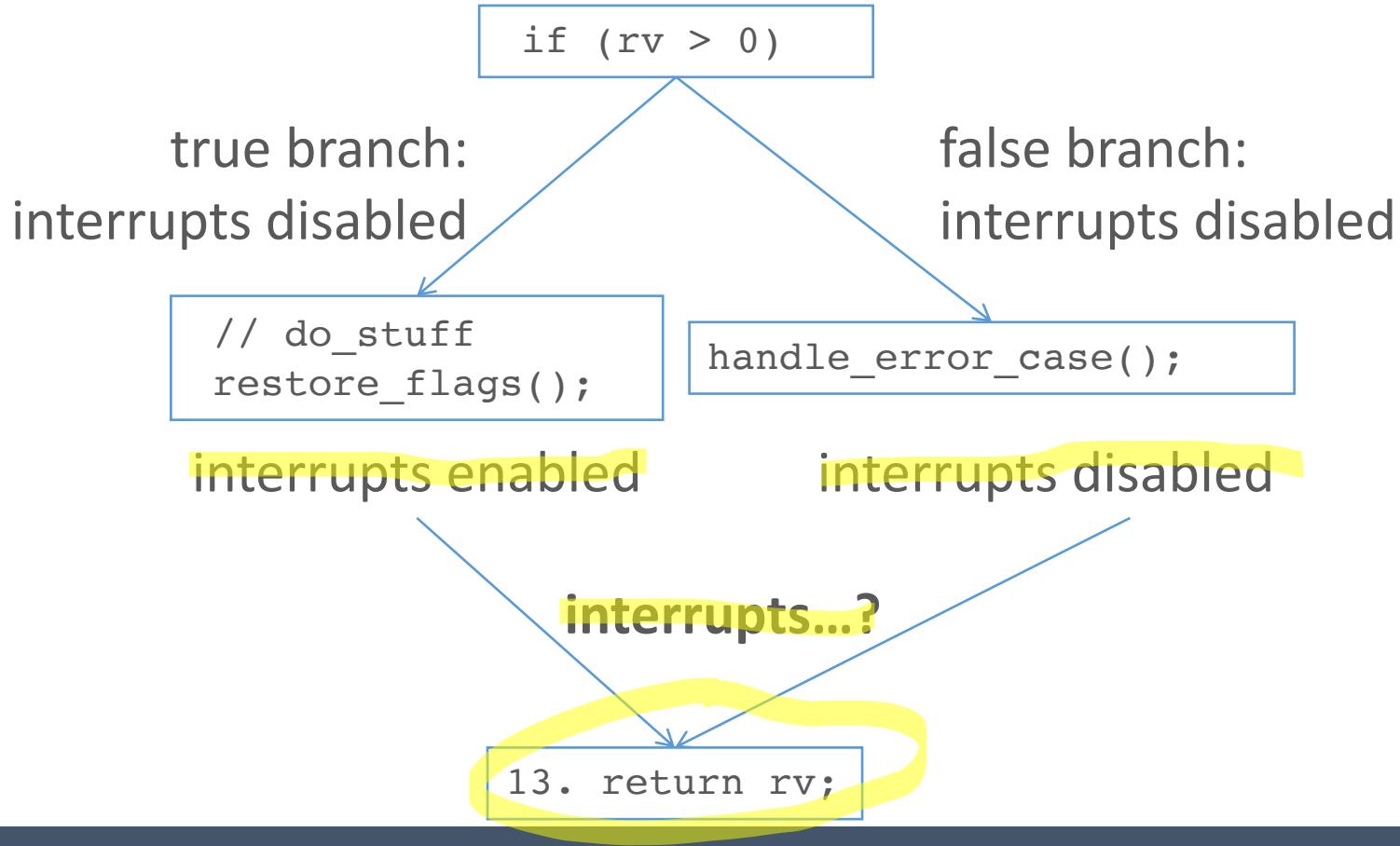


post-block program point: interrupts enabled

Join



assume: pre-block program point: interrupts disabled



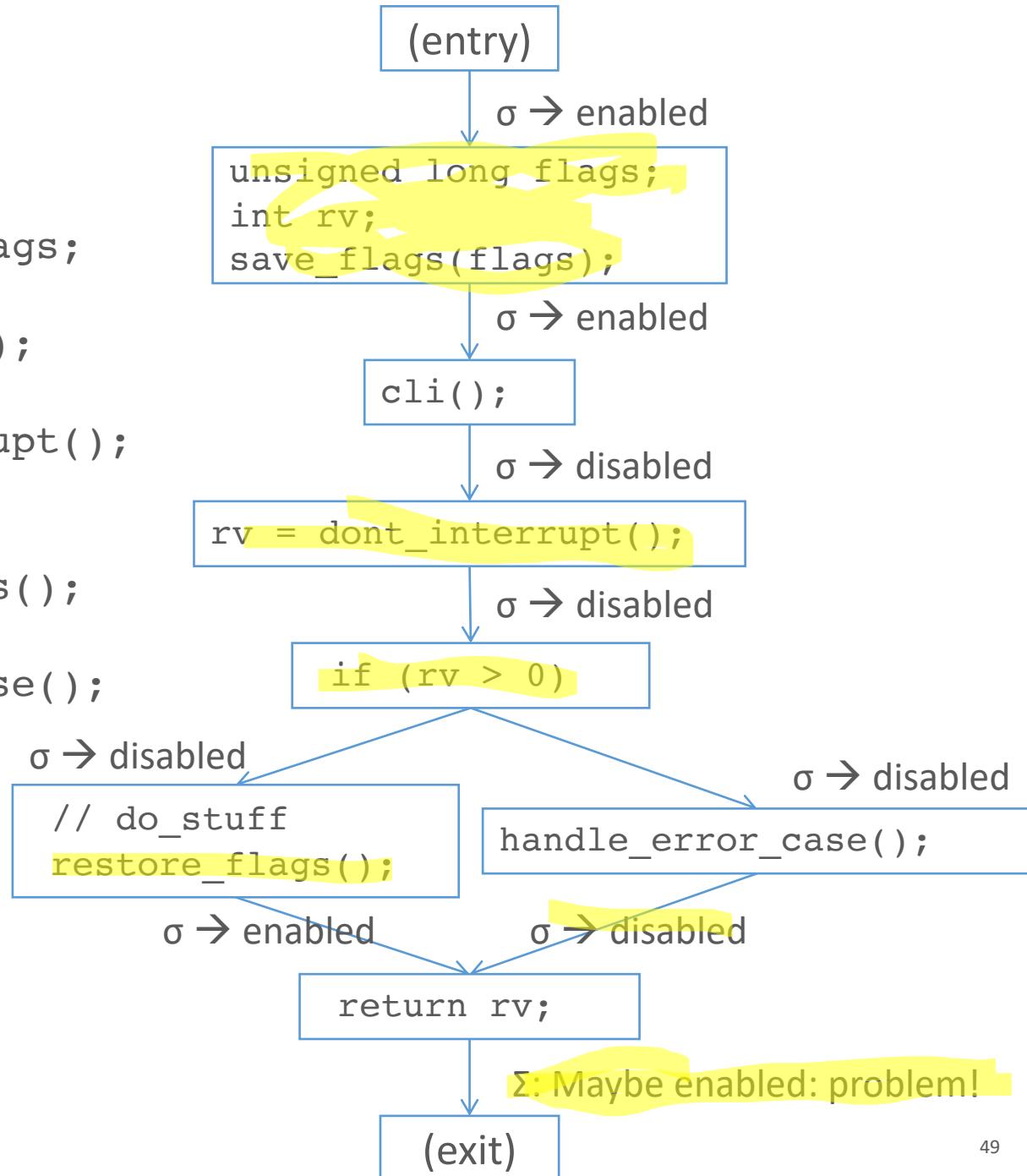
Interrupt analysis: join function

- Abstraction
 - 3 states: enabled, disabled, maybe-enabled
 - Program counter
- **Join:** If at least one predecessor to a basic block has interrupts enabled and at least one has them disabled...
 - $\text{Join}(\text{enabled}, \text{enabled}) \rightarrow \text{enabled}$
 - $\text{Join}(\text{disabled}, \text{disabled}) \rightarrow \text{disabled}$
 - $\text{Join}(\text{disabled}, \text{enabled}) \rightarrow \text{maybe-enabled}$
 - $\text{Join}(\text{maybe-enabled}, *) \rightarrow \text{maybe-enabled}$

```

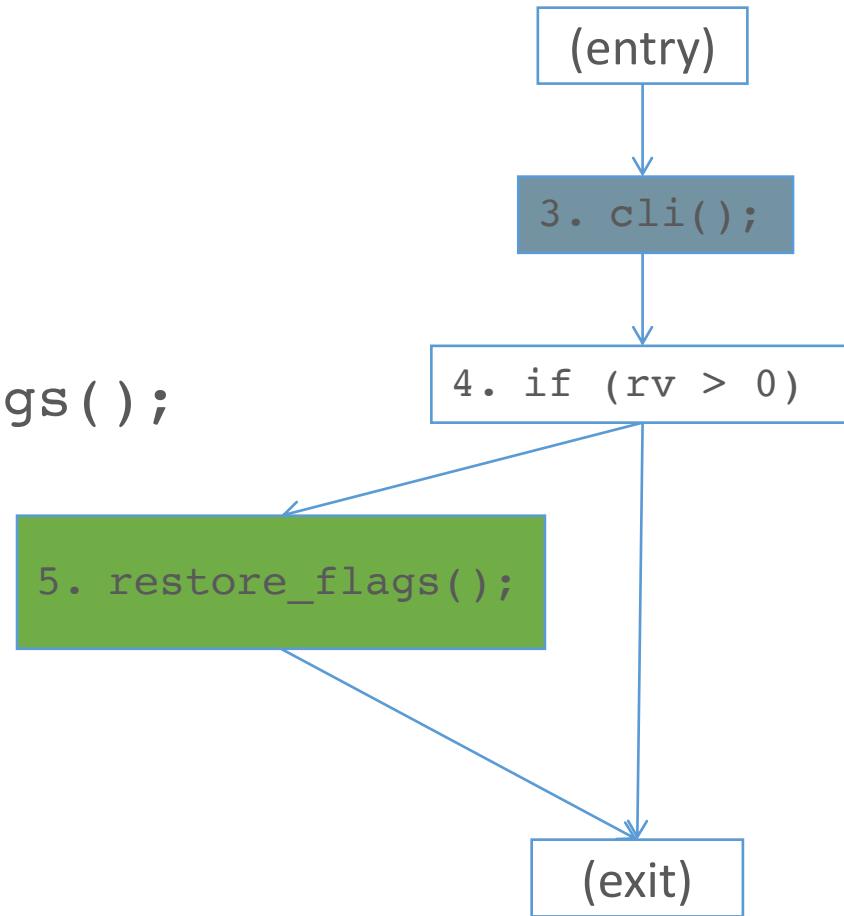
1. int foo() {
2.     unsigned long flags;
3.     int rv;
4.     save_flags(flags);
5.     cli();
6.     rv = dont_interrupt();
7.     if (rv > 0) {
8.         // do_stuff
9.         restore_flags();
10.    } else {
11.        handle_error_case();
12.    }
13.    return rv;
14. }

```



Abstraction

```
1. void foo() {  
2.     ...  
3.     cli();  
4.     if (a) {  
5.         restore_flags();  
6.     }  
7. }
```



Too simple?

- Even just tracking a global state like this per function (*control flow analysis*) is useful, e.g.:
 - Dead-code detection in many compilers (e.g. Java)
 - Instrumentation for dynamic analysis before and after decision points; loop detection
 - Actual interrupt analysis in the linux kernel!
- One immediate step up in complexity is to track some state *per variable* (*dataflow analysis*).
- For example: could a variable ever be 0? (*what kinds of errors could this check for?*)
 - Original domain: N maps every variable to an integer. Number of possible concrete states gigantic
 - n 32 bit variables results in $2^{32 \cdot n}$ states
 - With loops, states can change indefinitely
 - Abstract state space is much smaller: a variable is zero, not zero, or maybe-zero: $2^{(n \cdot 3)}$