

The Dimension of a Vector Space

Linear Algebra

These materials were created by Adam Spiegler, Stephen Hartke, and others, and are licensed under a Creative Commons Attribution-NonCommercial-ShareAlike 4.0 International License.

This work was initially funded by an Institutional OER Grant from the Colorado Department of Higher Education (CDHE). For similar OER materials in other courses funded by this project in the Department of Mathematical and Statistical Sciences at the University of Colorado Denver, visit <https://github.com/CU-Denver-MathStats-OER>

Considering Bases for \mathbb{P}_2

Given a vector space V , then $\mathcal{B} = \{\mathbf{b}_1, \mathbf{b}_2, \dots, \mathbf{b}_n\}$ is a **basis for V** if

1. \mathcal{B} is a linearly independent set, and
2. $\text{Span}\{\mathbf{b}_1, \mathbf{b}_2, \dots, \mathbf{b}_n\} = V$.

Example

Let $V = \mathbb{P}_2$, the vector space of degree two (or less) polynomials with usual polynomial addition and scalar multiplication.

- ▶ $\mathcal{B} = \{1, t, t^2\}$ is the standard basis.
- ▶ $\mathcal{B}_1 = \{1 - 2t, 5 + t, -8 + 3t^2\}$ is also a basis.
- ▶ Is $\mathcal{B}_2 = \{1 - 2t + t^2, 5 + t - t^2\}$ a basis?
- ▶ Is $\mathcal{B}_3 = \{1 - 2t + t^2, 5 + t - t^2, 2 + 7t, 6t + 2t^2\}$ a basis?

How Many Vectors Are Needed to Form a Basis for V ?

Theorem

If a vector space V has a basis $\mathcal{B} = \{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n\}$, then any set containing **more than n** vectors of V must be **linearly dependent**.

How Many Vectors Are Needed to Form a Basis for V ?

Theorem

If a vector space V has a basis $\mathcal{B} = \{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n\}$, then any set containing **more than n** vectors of V must be **linearly dependent**.

Proof. Let $\mathcal{U} = \{\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p\}$ be a set with p vectors from V , where $p > n$. Suppose that $c_1\mathbf{u}_1 + \dots + c_p\mathbf{u}_p = \mathbf{0}$. Since \mathcal{B} is a basis for V , each \mathbf{u}_j can be written as a linear combination of the elements of \mathcal{B} : $\mathbf{u}_j = a_{1j}\mathbf{v}_1 + a_{2j}\mathbf{v}_2 + \dots + a_{nj}\mathbf{v}_n$. Substituting and grouping the \mathbf{v}_i , we have

$$\left(\sum_{j=1}^p a_{1j}c_j\right)\mathbf{v}_1 + \left(\sum_{j=1}^p a_{2j}c_j\right)\mathbf{v}_2 + \dots + \left(\sum_{j=1}^p a_{ij}c_j\right)\mathbf{v}_i + \dots + \left(\sum_{j=1}^p a_{nj}c_j\right)\mathbf{v}_n = \mathbf{0}.$$

How Many Vectors Are Needed to Form a Basis for V ?

Theorem

If a vector space V has a basis $\mathcal{B} = \{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n\}$, then any set containing **more than n** vectors of V must be **linearly dependent**.

Proof. Let $\mathcal{U} = \{\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p\}$ be a set with p vectors from V , where $p > n$. Suppose that $c_1\mathbf{u}_1 + \dots + c_p\mathbf{u}_p = \mathbf{0}$. Since \mathcal{B} is a basis for V , each \mathbf{u}_j can be written as a linear combination of the elements of \mathcal{B} : $\mathbf{u}_j = a_{1j}\mathbf{v}_1 + a_{2j}\mathbf{v}_2 + \dots + a_{nj}\mathbf{v}_n$. Substituting and grouping the \mathbf{v}_i , we have

$$\left(\sum_{j=1}^p a_{1j}c_j\right)\mathbf{v}_1 + \left(\sum_{j=1}^p a_{2j}c_j\right)\mathbf{v}_2 + \dots + \left(\sum_{j=1}^p a_{ij}c_j\right)\mathbf{v}_i + \dots + \left(\sum_{j=1}^p a_{nj}c_j\right)\mathbf{v}_n = \mathbf{0}.$$

Since the \mathcal{B} is a basis and thus linearly indep, the only linear combination of the \mathbf{v}_i s equal to $\mathbf{0}$ is where all the scalar weights are 0.

How Many Vectors Are Needed to Form a Basis for V ?

Theorem

If a vector space V has a basis $\mathcal{B} = \{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n\}$, then any set containing **more than n** vectors of V must be **linearly dependent**.

Proof. Let $\mathcal{U} = \{\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p\}$ be a set with p vectors from V , where $p > n$. Suppose that $c_1\mathbf{u}_1 + \dots + c_p\mathbf{u}_p = \mathbf{0}$.

Thus we wish to solve the homogeneous linear system

$$\begin{bmatrix} a_{11} & a_{12} & \dots & a_{1j} & \dots & a_{1p} \\ a_{21} & a_{22} & \dots & a_{2j} & \dots & a_{2p} \\ \vdots & \vdots & & \vdots & & \vdots \\ a_{n1} & a_{n2} & \dots & a_{nj} & \dots & a_{np} \end{bmatrix} \begin{bmatrix} c_1 \\ c_2 \\ \vdots \\ c_p \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ \vdots \\ 0 \end{bmatrix}.$$

How Many Vectors Are Needed to Form a Basis for V ?

Theorem

If a vector space V has a basis $\mathcal{B} = \{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n\}$, then any set containing **more than n** vectors of V must be **linearly dependent**.

Proof. Let $\mathcal{U} = \{\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_p\}$ be a set with p vectors from V , where $p > n$. Suppose that $c_1\mathbf{u}_1 + \dots + c_p\mathbf{u}_p = \mathbf{0}$.

Thus we wish to solve the homogeneous linear system

$$\begin{bmatrix} a_{11} & a_{12} & \dots & a_{1j} & \dots & a_{1p} \\ a_{21} & a_{22} & \dots & a_{2j} & \dots & a_{2p} \\ \vdots & \vdots & & \vdots & & \vdots \\ a_{n1} & a_{n2} & \dots & a_{nj} & \dots & a_{np} \end{bmatrix} \begin{bmatrix} c_1 \\ c_2 \\ \vdots \\ c_p \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ \vdots \\ 0 \end{bmatrix}.$$

Since $p > n$, there are more columns than rows and so there is a nontrivial solution for the c_j s. Thus \mathcal{U} is a **linearly dependent** set. \square

How Many Vectors Are Needed to Form a Basis for V ?

Theorem

If a vector space V has a basis of n vectors, then **every** basis of V must consist of **exactly** n vectors.

How Many Vectors Are Needed to Form a Basis for V ?

Theorem

If a vector space V has a basis of n vectors, then every basis of V must consist of exactly n vectors.

Proof. Let \mathcal{B}_1 be a basis for V consisting of n vectors and let \mathcal{B}_2 denote another basis for V that consists of m vectors. By the previous theorem, we know \mathcal{B}_2 cannot have more than n vectors, so $m \leq n$.

How Many Vectors Are Needed to Form a Basis for V ?

Theorem

If a vector space V has a basis of n vectors, then **every** basis of V must consist of **exactly** n vectors.

Proof. Let \mathcal{B}_1 be a basis for V consisting of n vectors and let \mathcal{B}_2 denote another basis for V that consists of m vectors. By the previous theorem, we know \mathcal{B}_2 cannot have more than n vectors, so $m \leq n$.

Similarly, since \mathcal{B}_2 is a basis with m vectors, then we know (also by the previous theorem) that \mathcal{B}_1 cannot contain more than m vectors, so we have $n \leq m$. Since $m \leq n$ and $n \leq m$, we have $m = n$.



The Dimension of a Vector Space

If V is a vector space spanned by a finite set, then V is said to be **finite dimensional**, and the **dimension** of V , written as **$\dim V$** , is the number of vectors in a basis for V .

The Dimension of a Vector Space

If V is a vector space spanned by a finite set, then V is said to be **finite dimensional**, and the **dimension** of V , written as **$\dim V$** , is the number of vectors in a basis for V .

- ▶ The zero vector space $V = \{\mathbf{0}\}$ has dimension 0.
- ▶ If V is not spanned by a finite set, then V is said to be **infinite dimensional**.

The Dimension of a Vector Space

If V is a vector space spanned by a finite set, then V is said to be **finite dimensional**, and the **dimension** of V , written as **$\dim V$** , is the number of vectors in a basis for V .

- ▶ The zero vector space $V = \{\mathbf{0}\}$ has dimension 0.
- ▶ If V is not spanned by a finite set, then V is said to be **infinite dimensional**.

Example

- ▶ \mathbb{P}_2 has dimension 3.
- ▶ $\text{Mat}_{2 \times 2}$ has dimension 4.
- ▶ The vector space of polynomials (of any degree) is infinite dimensional.

Example

Find a basis and state the dimension of the subspace:

$$H = \left\{ \begin{bmatrix} 3a - b \\ 6a \\ 2a + b \end{bmatrix} : a, b \text{ in } \mathbb{R} \right\}$$

Example

Find a basis and state the dimension of the subspace:

$$H = \left\{ \begin{bmatrix} 3a - b \\ 6a \\ 2a + b \end{bmatrix} : a, b \text{ in } \mathbb{R} \right\} = \left\{ a \begin{bmatrix} 3 \\ 6 \\ 2 \end{bmatrix} + b \begin{bmatrix} -1 \\ 0 \\ 1 \end{bmatrix} : a, b \text{ in } \mathbb{R} \right\} = \text{Span} \left\{ \begin{bmatrix} 3 \\ 6 \\ 2 \end{bmatrix}, \begin{bmatrix} -1 \\ 0 \\ 1 \end{bmatrix} \right\}.$$

Subspaces of a Vector Space

All subspaces of \mathbb{R}^3 can be classified by their dimension:

- ▶ **0-dimensional subspaces:** There is only one, the zero subspace $H_0 = \{\mathbf{0}\}$.
- ▶ **1-dimensional subspaces:** Lines through the origin. Any subspace spanned by a single, nonzero-vector $H_1 = \text{Span}\{\mathbf{v}_1\}$.
- ▶ **2-dimensional subspaces:** Planes that contain the origin. Any subspace spanned by two linearly independent vectors $H_2 = \text{Span}\{\mathbf{v}_1, \mathbf{v}_2\}$.
- ▶ **3-dimensional subspaces:** The entire vector space \mathbb{R}^3 is the only subspace with three dimensions. Any subspace spanned by three linearly independent vectors $H_3 = \text{Span}\{\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3\}$.

The Basis Theorem

Example

Determine whether the following set $S = \{1 - t, 1 + t, 2t^2\}$ is a basis for \mathbb{P}_2 .

The Basis Theorem

Example

Determine whether the following set $S = \{1 - t, 1 + t, 2t^2\}$ is a basis for \mathbb{P}_2 .

Theorem (The Basis Theorem)

Let V be a p -dimensional vector space. Any linearly independent set of p vectors in V is automatically a basis for V . Any set of exactly p vectors that span V is automatically a basis for V .

Dimension of Matrix Subspaces

Find the dimensions of $\text{Null } A$ and $\text{Col } A$ for $A = \begin{bmatrix} 1 & 4 & 0 & 2 & -1 \\ 3 & 12 & 1 & 5 & 5 \\ 2 & 8 & 1 & 3 & 2 \\ 5 & 20 & 2 & 8 & 8 \end{bmatrix}$

Dimension of Matrix Subspaces

Find the dimensions of Null A and Col A for $A = \begin{bmatrix} 1 & 4 & 0 & 2 & -1 \\ 3 & 12 & 1 & 5 & 5 \\ 2 & 8 & 1 & 3 & 2 \\ 5 & 20 & 2 & 8 & 8 \end{bmatrix} \sim \begin{bmatrix} 1 & 4 & 0 & 2 & 0 \\ 0 & 0 & 1 & -1 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}.$

Find RREF of A .

► We see Null A has dimension 2 since we have a basis $\mathcal{B}_{\text{null}} = \left\{ \begin{bmatrix} -4 \\ 1 \\ 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} -2 \\ 0 \\ 1 \\ 1 \\ 0 \end{bmatrix} \right\}.$

► We see Col A has dimension 3 since we have a basis $\mathcal{B}_{\text{col}} = \left\{ \begin{bmatrix} 1 \\ 3 \\ 2 \\ 5 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 1 \\ 2 \end{bmatrix}, \begin{bmatrix} -1 \\ 5 \\ 2 \\ 8 \end{bmatrix} \right\}.$

Rank and Nullity of a Matrix

- ▶ The **rank** of an $m \times n$ matrix A is the **dimension of the column space**.
- ▶ The **nullity** of an $m \times n$ matrix A is the **dimension of the null space**.

Rank and Nullity of a Matrix

- ▶ The **rank** of an $m \times n$ matrix A is the **dimension of the column space**.
- ▶ The **nullity** of an $m \times n$ matrix A is the **dimension of the null space**.

Note that **rank** $A = \#$ of pivots,

Rank and Nullity of a Matrix

- ▶ The **rank** of an $m \times n$ matrix A is the **dimension of the column space**.
- ▶ The **nullity** of an $m \times n$ matrix A is the **dimension of the null space**.

Note that **rank** $A = \#$ of pivots, and $\dim \text{Row } A = \#$ of pivots = **rank** A !

Rank and Nullity of a Matrix

- ▶ The **rank** of an $m \times n$ matrix A is the **dimension of the column space**.
- ▶ The **nullity** of an $m \times n$ matrix A is the **dimension of the null space**.

Note that **rank** $A = \#$ of pivots, and $\dim \text{Row } A = \#$ of pivots = **rank** A !

Note that **nullity** $A = \#$ of free variables.

Rank and Nullity of a Matrix

- ▶ The **rank** of an $m \times n$ matrix A is the **dimension of the column space**.
- ▶ The **nullity** of an $m \times n$ matrix A is the **dimension of the null space**.

Note that **rank** $A = \#$ of pivots, and $\dim \text{Row } A = \#$ of pivots = **rank** A !

Note that **nullity** $A = \#$ of free variables.

Theorem (The Rank Theorem)

The dimensions of the column space and the null space of an $m \times n$ matrix A satisfy the equation

$$\text{rank } A + \text{nullity } A = n = \text{number of columns of } A.$$

Rank and Nullity of a Matrix

- ▶ The **rank** of an $m \times n$ matrix A is the **dimension of the column space**.
- ▶ The **nullity** of an $m \times n$ matrix A is the **dimension of the null space**.

Note that **rank** $A = \#$ of pivots, and $\dim \text{Row } A = \#$ of pivots = **rank** A !

Note that **nullity** $A = \#$ of free variables.

Theorem (The Rank Theorem)

The dimensions of the column space and the null space of an $m \times n$ matrix A satisfy the equation

$$\text{rank } A + \text{nullity } A = n = \text{number of columns of } A.$$

1. If A is a 9×12 matrix with rank 3, what is the nullity of A ?
2. If A is a 10×6 matrix with nullity 4, what is the rank of A ?

Rank and The Invertible Matrix Theorem

Theorem (The Invertible Matrix Theorem (continued))

Let A be an $n \times n$ matrix. Then the following are equivalent statements:

- (a) A is an invertible matrix.
- (d) The equation $A\mathbf{x} = \mathbf{0}$ has only the trivial solution.
- (e) The columns of A form a linearly independent set.
- (h) The columns of A span \mathbb{R}^n .
- (n) The columns of A form a **basis** for \mathbb{R}^n .
- (o) $\text{Col } A = \mathbb{R}^n$.
- (p) $\text{rank } A = n$. (A has “full rank”)
- (q) $\text{nullity } A = 0$.
- (r) $\text{Null } A = \{\mathbf{0}\}$.