

# CS3241 Cheatsheet

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### Overview

#### Graphics Basics

**Additive Colour** - Form a colour by adding amounts of three primaries (RGB).

**Subtractive Colour** - Form a colour by filtering white with Cyan (C), Magenta (M), and Yellow (Y). Note: For subtractive colours, M absorbs G and allows R & B to pass through.

#### OpenGL Basics

Polygons in OpenGL need to be **Simple** (edges cannot cross), **Convex**, and **Flat** (all vertices in the same plane), or they will not be displayed correctly.

Colour info is stored in each vertex of a polygon, and the shading mode determines how the polygon is coloured:

**Smooth** ~ - Interpolation of vertex colours across polygon. The default setting, `glShadeModel(GL_SMOOTH)`.

**Flat** ~ - Fill colour is colour of first vertex. `glShadeModel(GL_FLAT)`.

**Hidden Surface Removal** - It deals with 3D objects overlapping over one another from our perspective, by using an extra **z-buffer** that saves depth information.

### Interaction

#### Overview

Input devices generate **Triggers**, namely signals, and return **Measures**, which are trigger with other meta-info from the input devices, to the OS. Each trigger generates an **Event** whose measure is put in an **Event Queue** to be examined by the user program.

The user program defines a **Callback** for each type of event to GLUT and it is executed when the event occurs.

#### Positioning in Callbacks

Window systems (such as mouse & motion callbacks) measure positions with origin at **top-left corner**. OpenGL measures positions with origin at **bottom-left corner**. Conversion:

$$x_{\text{opengl}} = x_{\text{win}}, y_{\text{opengl}} = h - 1 - y_{\text{win}}$$

### Animation

**Double Buffering** - The usage of two **colour buffers** for display where the **Front Buffer** displays and the **Back Buffer** is written to. It minimises flickering in animation.

Note: Two identical buffers, switched once writing to back is done.

### Geometry

#### Representation

**Affine Space** - A frame formed by an origin point and basis vectors.

**Homogeneous Coordinates** ~ A 4D system for 3D points & vectors.

- Vector  $v = [\alpha_1, \alpha_2, \alpha_3, 0]^T = (\alpha_1, \alpha_2, \alpha_3)$
- Point  $p = [\beta_1, \beta_2, \beta_3, w]^T = (\frac{\beta_1}{w}, \frac{\beta_2}{w}, \frac{\beta_3}{w})$

Note: [1] All Homogeneous Coordinate elements are denoted as **Column Vectors**. [2] **Point + Vector = Point**. [3] Representation for each point is non-unique. We typically use  $w = 1$ . **Perspective Division** is the process of dividing  $x, y, z$  by  $w$ .

#### Transformation

All transformations can be expressed as a  $4 \times 4$  matrix, **pre-multiplied** to the Homogeneous Coordinates.

Note:  $p' = ABp$  is to apply transformation  $B$  then  $A$  to element  $p$ .

##### Translation

$$T(d_x, d_y, d_z) = \begin{bmatrix} 1 & 0 & 0 & d_x \\ 0 & 1 & 0 & d_y \\ 0 & 0 & 1 & d_z \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

##### Rotation

$$R_x(\theta) = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$R_y(\theta) = \begin{bmatrix} \cos \theta & 0 & \sin \theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin \theta & 0 & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$R_z(\theta) = \begin{bmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

##### Scaling

$$S(s_x, s_y, s_z) = \begin{bmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} = \text{diag}(s_x, s_y, s_z, 1)$$

##### Shear

Shearing along  $x$ -axis maps  $(x, y, z)$  to  $(x + y \cot \theta, y, z)$ :

$$H(\theta) = \begin{bmatrix} 1 & \cot \theta & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Note: There is no direct matrix for shearing in OpenGL.

#### Inverse of Transformation Matrices

- $T^{-1}(d_x, d_y, d_z) = T(-d_x, -d_y, -d_z)$
- $R^{-1} = R^T$ , as all  $R$  orthogonal
- $S^{-1}(s_x, s_y, s_z) = S(1/s_x, 1/s_y, 1/s_z)$

#### Combinations of Transformation

**General Rotation about Origin**:  $R(\theta) = R_z(\theta_z)R_y(\theta_y)R_x(\theta_x)$ , where  $\theta_x, \theta_y, \theta_z$  are the Euler angles.

**Rotation about Arbitrary Point**:  $M = T(p_f)R(\theta)T(-p_f)$ , where  $p_f$  is the point and  $\theta$  rotational angle.

Note: Matrix ordering is the reverse of the actual transformation's.

#### OpenGL Transformation

For each matrix mode, **Current Transformation Matrix** (CTM) stores the  $4 \times 4$  homogeneous coordinate matrix, as part of the state and it is applied to all vertices in the pipeline. Some matrix modes include Model-View (`GL_MODELVIEW`) and Projection (`GL_PROJECTION`). OpenGL also maintains a stack for each matrix mode.

### Camera & Viewing

OpenGL Spaces: **Object Space**, for modelling each object where the object is centred in the frame. **World Space**, a common frame for all objects, and for defining lightning and camera pose. **Camera Space**, the local frame for camera where it is at the origin and looking into the **Negative z-direction**, initially the same as the world frame.

An OpenGL **Viewport** defines a rectangular region of the window in which OpenGL can draw.

Note: [1] A window can have multiple viewports. [2] A viewport can be larger than the window, and whatever inside the viewport but outside the window will not be displayed.

#### Transformations

**View Transformation** - Transform points from World Frame to Camera Frame. The transformation matrix

$$M_{\text{view}} = RT = \begin{bmatrix} u_x & u_y & u_z & 0 \\ v_x & v_y & v_z & 0 \\ n_x & n_y & n_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 & -e_x \\ 0 & 1 & 0 & -e_y \\ 0 & 0 & 1 & -e_z \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

where  $T$  moves camera position back to world origin, and  $R$  rotates axes of camera to coincide with world frame's.

View transformation for normal vectors:

$$M_n = (M_t^{-1})^T$$

, where  $M_t$  is the upper left  $3 \times 3$  sub-matrix of  $M_{\text{view}}$ .

**Projection Transformation** - Specify a **View / Clipping Volume** in the camera frame, and project the volume to a  $2 \times 2 \times 2$  cube centred at origin, known as the **Normalised Device Coordinate** (NDC) / **Canonical View Volume**.

Note: It preserves depth order and lines.

**Viewport transformation** The canonical view volume is then mapped to the viewport (from NDC to window coordinates)

$$\frac{x_{NDC} - (-1)}{2} = \frac{x_{win} - x_{vp}}{w} \Rightarrow x_{win} = x_{vp} + \frac{w(x_{NDC} + 1)}{2}$$

$$\frac{y_{NDC} - (-1)}{2} = \frac{y_{win} - y_{vp}}{h} \Rightarrow y_{win} = y_{vp} + \frac{h(y_{NDC} + 1)}{2}$$

$$z_{win} = \frac{z_{NDC} + 1}{2}$$

## Projections

Types of projection

- **Perspective projection** - Projectors converge at centre of projection. Objects further from viewer are projected smaller.
- **Parallel Projection** - Projectors are parallel. One special case **Orthographic Projection** is where projectors are orthogonal to projection surface.

Perspective Transformation for Homogeneous Coordinates Any point  $q = [x, y, z, 1]^T$  projected perspectively onto vertical plane at  $z = d < 0$  will be at  $(xd/z, yd/z, d)$ , equivalent to  $p = [x, y, z, z/d]^T = Mq$  for

$$M = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 1/d & 1 \end{bmatrix}$$

## OpenGL Projection Matrix Equivalences

`glOrtho(L, R, B, T, N, F):`

$$M_{ortho} = \begin{bmatrix} \frac{2}{R-L} & 0 & 0 & -\frac{R+L}{T-B} \\ 0 & \frac{2}{T-B} & 0 & -\frac{T+B}{F-N} \\ 0 & 0 & \frac{-2}{F-N} & -\frac{F+N}{1} \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$= S(\frac{2}{R-L}, \frac{2}{T-B}, \frac{2}{F-N})T(\frac{-(R+L)}{2}, \frac{-(T+B)}{2}, \frac{F+N}{2})$$

`glFrustum(L, R, B, T, N, F):`

$$M_{persp} = \begin{bmatrix} \frac{2N}{R-L} & 0 & \frac{R+L}{T-B} & 0 \\ 0 & \frac{2N}{T-B} & \frac{T+B}{F-N} & 0 \\ 0 & 0 & -\frac{F+N}{1} & -\frac{2FN}{F-N} \\ 0 & 0 & -1 & 0 \end{bmatrix}$$

**Note:** The default projection matrix in OpenGL is an identity and orthographic, equivalent to `glOrtho(-1, 1, -1, 1, -1, 1)`.

## Rendering

### Overview

#### Pipeline Stages

**Vertex Process** - The stage where Model-View and Projection transformations, and lighting and textures are applied. The result is in Clip Space.

**Primitive Assembly** - The stage where primitive types are recalled and their processed vertices are collected for polygons, so that we can redefine the primitive for clipping and rasterisation.

**Perspective Division** - The stage where points with  $w \neq 1$  are converted into  $w = 1$ , from Clip Space into NDC Space.

**Viewport Transformation** - Transformation into Window Space, with depth range linearly scaled from  $[-1, 1]$  in NDC to  $[0, 1]$  in Window Space.

**Back-Face Culling** - Eliminate polygon if it is **back-facing** and invisible, i.e. when  $N_p \cdot N < 0$ . **Note:** Need to specify polygon vertices in Anti-Clockwise order to ensure correct front-face (RHGR).

### Visibility & Occlusion Tests

**Visibility Tests:** Back-Face Culling, Screen Clipping.

**Occlusion Tests:** Painter's Algorithm, z-Buffer Algorithm.

**Note:** Perform Visibility before Occlusion Tests.

## Clipping

### Brute Force Approach

Compute intersections with all sides of the clipping window.

**Analysis:** Inefficient, require one division per intersection.

### 2D Cohen-Sutherland Algorithm

Define **Outcode**  $b_0b_1b_2b_3$  where bit is 1 iff it is outside  $y_{max}, y_{min}, x_{max}, x_{min}$  respectively (top, btn, right, left) for each endpoint.

For a line with 2 endpoints  $A$  and  $B$ , four cases to consider:

- **Out(A) == Out(B) == 0** - Both points inside all 4 boundaries. Accept the entire line.
- **Out(A) == 0 && Out(B) != 0** - One point inside all and one outside some. Num of intersections == num of 1 bit in B.
- **Out(A) & Out(B) != 0** - Both points outside the same boundary. Discard the entire line.
- **Out(A) & Out(B) == 0 && Out(A) | Out(B) != 0** - May or may not be intersection. Most complicated. Need to compute intersections for all 4 sides.

**Analysis:** [1] Efficient, as it filters out simple cases. [2] Yet, still need computation for complicated cases. [3] Can extend to 3D using 6-digit outcodes.

### Polygon Clipping

Clipping a polygon may yield multiple polygons, but clipping a **convex polygon** can yield at most one other.

**Tessellation** - replace concave polygons with a set of smaller simple polygons, typically triangles. Tessellation enables simpler clipping.

### AABB Clipping Algorithm

**Axis-Aligned Bounding Box (AABB)** - 1) Draw a bounding box for the polygon. 2) Consider whether and whereh we need to perform detailed clipping.

**Analysis:** Similar idea of "easy filtering first".

## Rasterisation

**Rasterisation** - The process of determining which pixels are inside primitive specified by a set of vertices. It produces a set of fragments.

**Fragments** ~"Potential pixels", with a pixel location and attributes such as colour and depth.

## DDA Algorithm

Simple iterative method that moves along one axis one pixel at a time. To draw a line  $y = mx + b$  from  $(x_0, y_0)$  to  $(x_e, y_e)$ :

```
for (x=x0, y=y0; x <= xe; x++) {
    write_pixel(x, round(y), color);
    y += m;
}
```

**Analysis:** [1] Simple, and applicable to curves as well. [2] Inefficient due to many floating point calculations. [3] Lines rasterised are discontinuous when  $|m| > 1$ . Solution is to flip roles of  $x$  and  $y$ .

## Bresenham's Algorithm

Use a **Decision Variable**,  $p_k$  to determine which  $y$ -value to rasterise for every  $x$ .

1. Input line and store left end in  $(x_0, y_0)$ . Assume  $|m| \leq 1$ .
2. Calculate constants  $\Delta x, \Delta y, 2\Delta y, 2\Delta y - 2\Delta x$ .
3. Fill  $(x_0, y_0)$ , and obtain  $p_0 = 2\Delta y - \Delta x$ .
4. At each  $x_k$  along the line, starting at  $k = 0$ , perform the following test: [1] If  $p_k < 0$ , then next point is  $(x_k + 1, y_k)$ , and  $p_{k+1} = p_k + 2\Delta y$ . [2] Otherwise, next point  $(x_k + 1, y_k + 1)$  and  $p_{k+1} = p_k + 2\Delta y - 2\Delta x$ .
5. Repeat Step 4 for  $\Delta x - 1$  more times.

**Analysis:** [1] Only applicable to line (and circle) rasterisation. [2] Efficient, due to no floating points.

## Polygon Rasterisation Algorithms

**Scan-Line Fill Algorithm** - [1] First tessellate if concave. [2] Compute colours & depths for vertices. [3] For each horizontal line, interpolate colours and z-values.

**Floor Fill Algorithm** - Start with one point inside the polygon, expand to 4 directions using BFS recursively.

## Hidden Surface Removal

**Painter's Algorithm** - Render polygons in back-to-front order so that polygons behind others are simply painted over.

**Analysis:** It involves **Depth Sorting**, with time complexity  $O(n \log n)$  for  $n$  polygons.

**Image-Space Approaches** - Look at each pixel / projector and find closest of all polygons along that pixel.

**Example:** Ray Tracing, z-Buffering (using a z-buffer / depth buffer).

**Analysis:** Complexity  $O(nmk)$  for  $k$  polygons and frame buffer sized  $n \times m$ .

## Others

### From Tutorials & Assignments

[\(Tut1 Qn2\)](#) Human eyes' sensitivity to change in colour:  $G > R > B$ .

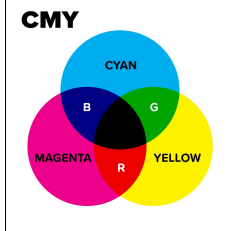
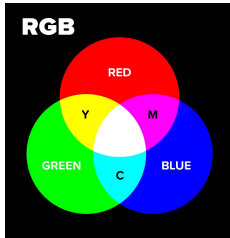
[\(Tut5 Qn4\)](#) **z-Fighting** ~when different fragments at the same pixel have the same or very similar z-buffer values. **Solution:** [1] Minimise distance **far-near**. [2] Use z-buffers with higher precision.

## From Past Year Papers

(AY19/20 Sem1 Midterm Qn7&8) Vertices for Primitive Assembly are in Clip Space. Vertices for Rasterisation are in Window Space.  
 (AY20/21 Sem1 Midterm Qn22) z-fighting is more serious for polygons further away in a **perspective** projection; z-fighting is unaffected by how far a polygon is in a **orthographic** projection.  
 (AY20/21 Sem1 Midterm Qn24) Back-Face Culling can be performed in Window Space or Camera Space, but cannot be performed in the Vertex Processing stage.

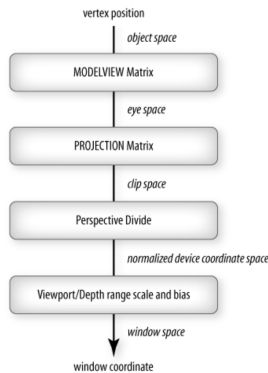
## Diagrams

Colour Theory, Transformation Pipeline

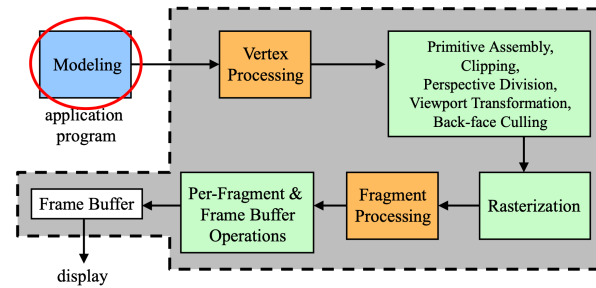


Outcode

1001	1000	1010	$y = y_{\max}$
0001	0000	0010	
0101	0100	0110	$y = y_{\min}$
$x = x_{\min} \quad x = x_{\max}$			

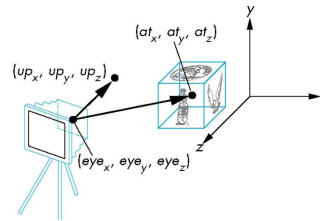


## Rendering Pipeline



`gluLookAt` Coordinate Specification  
 ( $u, v, n$  for  $x, y, z$  of the Camera)

- $n = \text{normalize}(\text{eye} - \text{at})$
- $u = \text{normalize}(\text{up}) \times n$
- $v = n \times u$



## OpenGL Reference

### Miscellaneous

#### Settings

`glutInitDisplayMode(... | GLUT_DOUBLE)` uses Double Buffering, as compared to `GLUT_SINGLE` for Single Buffering.

`glViewport(u, v, w, h)` - Define the rectangular viewport.

#### Callback-Related

`glutDisplayFunc(void (*func)(void))` - when GLUT determines the window to be refreshed (e.g. opened, reshaped, exposed etc.)  
`glutIdleFunc` - when there is no trigger (e.g. for animation).  
`glutMotionFunc` - when mouse on hold and moving.  
`glutPassiveMotionFunc` - when mouse moving but not on hold.  
`glutTimerFunc(unsigned int msec, void (*func)(int value), value)` - Set a timer and trigger the callback when timer elapses.

Other common trigger callbacks: `glutMouseFunc`, `glutReshapeFunc`, `glutKeyboardFunc`.

`glutPostRedisplay()` - Set a flag for posting redisplay, which avoids frequent repeated execution.

## OpenGL Matrices & Transformation

`glMatrixMode(GLenum mode)` - Specify the current matrix mode. Modes are `GL_MODELVIEW`, `GL_PROJECTION`, `GL_TEXTURE` or `GL_COLOR`.

### Transformations

`glLoadIdentity()` - Override CTM with  $I_4$ .

`glTranslatef(dx, dy, dz)` - Post-mul. translation matrix to CTM.

`glRotatef(theta, vx, vy, vz)` - Post-mul. rotation matrix to CTM.

`glScalef(sx, sy, sz)` - Post-mul. scaling matrix to CTM.

`glLoadMatrixf(m)` - Override CTM with matrix  $m_{4 \times 4}$ .

`glMultMatrixf(m)` - Post-mul.  $m_{4 \times 4}$  to CTM.

**Note:** [1] Each has a Double (`gl...d`) and a Float (`gl...f`) format.  
 [2]  $m$  is a 1D array of length 16, ordered by column.

### Matrix Stacks

`glPushMatrix()` - Push CTM to the stack, and duplicate the CTM.

`glPopMatrix()` - Pop top matrix from the stack and replace CTM.

**Note:** Typically an indentation is applied for every Push-Pop pair.

## Camera & Viewing

`gluLookAt(eyex, eyey, eyez, atx, aty, atz, upx, upy, upz)`

- Create a view transformation matrix for the camera specified. **eye\*** specify the camera location, **at\*** specify where to look at, and **up\*** is **Up-Vector** for orientation of camera.

**Note:** [1] The up vector does not need to be perpendicular to Eye-At.  
 [2] The up is usually  $(0, 1, 0)$ .

`glOrtho(left, right, bottom, top, near, far)` - Post-mul. to CTM the orthographic projection matrix.

**Note:** It will map  $(-near, -far)$  to  $(-1, 1)$ .

`glFrustum(left, right, bottom, top, near, far)` - Post-mul. to CTM the perspective projection matrix.

**Note:** [1] LRBT all refer to the locations on the near plane. [2] It will map  $(-near, -far)$  to  $(-1, 1)$ .

`gluPerspective(fovy, aspect, near, far)` - Post-mul. to CTM the "central & symmetric" perspective projection matrix.

**Note:** **fovy** vertical field of view angle in degrees. **aspect**  $w/h$  ratio.  
`glViewport(x, y, width, height)` - Specify transformation matrix for  $xy$  from NDC to window coordinates.