4. Generating Heuristic Functions

How to Relax: Formally, and Informally, and During Search

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## Agenda

- Motivation
- 2 How to Relax Informally
- 3 How to Relax Formally
- 4 How to Relax During Search
- 5 Conclusion

### Motivation

- → "Relax"ing is a methodology to construct heuristic functions.
  - You can use it when programming a solution to some problem you want/need to solve.
  - Planning systems can use it to derive a heuristic function automatically from the planning task description (the PDDL input).
    - Note 1: If the user had to supply the heuristic function by hand, then we would lose our two main selling points (generality & autonomy & flexibility & rapid prototyping, cf. → Lecture 1-2).
    - Note 2: It can of course be of advantage to give the user the *possibility* to (conveniently) supply additional heuristics. Not covered in this course.

Conclusion

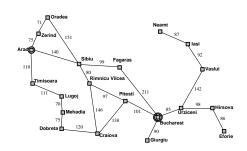
## How to Relax Informally

#### How To Relax:

- You have a problem,  $\mathcal{P}$ , whose perfect heuristic  $h^*$  you wish to estimate.
- You define a simpler problem,  $\mathcal{P}'$ , whose perfect heuristic  $h'^*$  can be used to estimate  $h^*$
- $\blacksquare$  You define a transformation, r, that simplifies instances from  $\mathcal{P}$  into instances  $\mathcal{P}'$ .
- Given  $\Pi \in \mathcal{P}$ , you estimate  $h^*(\Pi)$  by  $h'^*(r(\Pi))$ .
- → Relaxation means to simplify the problem, and take the solution to the simpler problem as the heuristic estimate for the solution to the actual problem.

## Relaxation in Route-Finding

Motivation

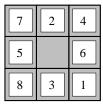


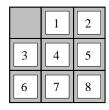
Arad	366
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Craiova	160
Drobeta	242
Eforie	161
Fagaras	176
Giurgiu	77
Hirsova	151
lasi	226
Lugoj	244
Mehadia	241
Neamt	234
Oradea	380
Pitesti	100
Rimnicu Vilcea	193
Sibiu	253
Timisoara	329
Urziceni	80
Vaslui	199
Zerind	374

### How to derive straight-line distance by relaxation?

- **Problem**  $\mathcal{P}$ : Route finding.
- Simpler problem  $\mathcal{P}'$ : Route finding for birds.
- Perfect heuristic  $h'^*$  for  $\mathcal{P}'$ : Straight-line distance.
- Transformation r: Pretend you're a bird.

### Relaxation in the 8-Puzzle





Start State

Goal State

Perfect heuristic  $h^*$  for  $\mathcal{P}$ : Actions = "A tile can move from square A to square B if A is adjacent to B and B is blank."

- How to derive the Manhattan distance heuristic?  $\mathcal{P}'$ : Actions = "A tile can move from square A to square B if A is adjacent to B."
- How to derive the misplaced tiles heuristic? P': Actions = "A tile can move from square A to square B."
- $h'^*$  (resp. r) in both: optimal cost in  $\mathcal{P}'$  (resp. use different actions).
- Here: Manhattan distance = 18, misplaced tiles = 8.

## "Goal-Counting" Relaxation in Australia



- Propositions P: at(x) for  $x \in \{Sy, Ad, Br, Pe, Ad\}$ ; v(x) for  $x \in \{Sy, Ad, Br, Pe, Ad\}$ .
- Actions  $a \in A$ : drive(x, y) where x, y have a road;  $pre_a = \{at(x)\}$ ,  $add_a = \{at(y), v(y)\}$ ,  $del_a = \{at(x)\}$ .
- Initial state I: at(Sy), v(Sy).
- Goal G: at(Sy), v(x) for all x.

#### Let's "act as if we could achieve each goal directly":

- Problem P: All STRIPS planning tasks.
- Simpler problem  $\mathcal{P}'$ : All STRIPS planning tasks with empty preconditions and deletes.
- Perfect heuristic  $h'^*$  for  $\mathcal{P}'$ : Optimal plan cost  $(=h^*)$ .
- Transformation r: Drop the preconditions and deletes.
- Heuristic value here? 4.
- $\rightarrow$  Optimal STRIPS planning with empty preconditions and deletes is still **NP**-hard! (Reduction from MINIMUM COVER, of goal set by add lists.)
- $\rightarrow$  Need to approximate the perfect heuristic  $h'^*$  for  $\mathcal{P}'$ . Hence goal counting: just approximate  $h'^*$  by number-of-false-goals.

## How to Relax Formally: Before We Begin

- The definition on the next slide is not to be found in any textbook, and not even in any paper.
- Methods generating heuristic functions differ widely, and it is quite difficult (impossible?) to make one definition capturing them all in a natural way.
- Nevertheless, a formal definition is useful to state precisely what are the relevant distinction lines in practice.
- The present definition does, I think, do a rather good job of this.
  - → It nicely fits what is currently used in planning.
  - $\rightarrow$  It is flexible in the distinction lines, and it captures the basic construction, as well as the essence of all relaxation ideas.

## Relaxations

Motivation

**Definition (Relaxation).** Let  $h^*: \mathcal{P} \mapsto \mathbb{R}_0^+ \cup \{\infty\}$  be a function. A relaxation of  $h^*$  is a triple  $\mathcal{R} = (\mathcal{P}', r, h'^*)$  where  $\mathcal{P}'$  is an arbitrary set, and  $r: \mathcal{P} \mapsto \mathcal{P}'$  and  $h'^*: \mathcal{P}' \mapsto \mathbb{R}_0^+ \cup \{\infty\}$  are functions so that, for all  $\Pi \in \mathcal{P}$ , the relaxation heuristic  $h^{\mathcal{R}}(\Pi) := h'^*(r(\Pi))$  satisfies  $h^{\mathcal{R}}(\Pi) \le h^*(\Pi)$ . The relaxation is:

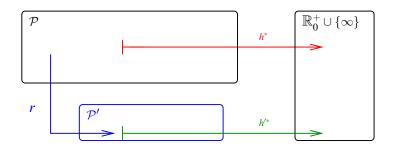
- efficiently constructible if there exists a polynomial-time algorithm that, given  $\Pi \in \mathcal{P}$ , computes  $r(\Pi)$ ;
- efficiently computable if there exists a polynomial-time algorithm that, given  $\Pi' \in \mathcal{P}'$ , computes  $h'^*(\Pi')$ .

#### Reminder:

- You have a problem,  $\mathcal{P}$ , whose perfect heuristic  $h^*$  you wish to estimate.
- You define a simpler problem,  $\mathcal{P}'$ , whose perfect heuristic  $h'^*$  can be used to (admissibly!) estimate  $h^*$
- You define a transformation, r, from  $\mathcal{P}$  into  $\mathcal{P}'$ .
- Given  $\Pi \in \mathcal{P}$ , you estimate  $h^*(\Pi)$  by  $h'^*(r(\Pi))$ .

## Relaxations: Illustration

Motivation

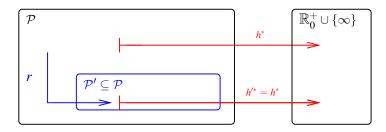


### **Example route-finding:**

- Problem P: Route finding.
- Simpler problem  $\mathcal{P}'$ : Route finding for birds.
- Perfect heuristic  $h'^*$  for  $\mathcal{P}'$ : Straight-line distance.
- Transformation *r*: Pretend you're a bird.

Conclusion

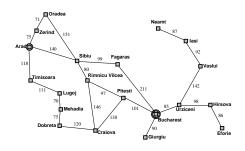
## Native Relaxations: Illustration



### Example "goal-counting":

- Problem P: All STRIPS planning tasks.
- Simpler problem  $\mathcal{P}'$ : All STRIPS planning tasks with empty preconditions and deletes.
- Perfect heuristic  $h'^*$  for  $\mathcal{P}'$ : Optimal plan cost =  $h^*$ .
- Transformation *r*: Drop the preconditions and deletes.

## Relaxation in Route-Finding: Properties



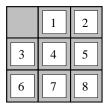
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**Relaxation**  $\mathcal{R} = (\mathcal{P}', r, h'^*)$ : Pretend you're a bird.

- Native? No: Birds don't do route-finding. (Well, it's equivalent to trivial maps with direct routes between everywhere.)
- Efficiently constructible? Yes (pretend you're a bird).
- Efficiently computable? Yes (measure straight-line distance).

## Relaxation in the 8-Puzzle: Properties





Start State

Goal State

**Relaxation**  $\mathcal{R} = (\mathcal{P}', r, h'^*)$ : Use more generous actions rule to obtain Manhattan distance.

- Native? No: With the modified rules, it's not the "same puzzle" anymore. (Well, one could be generous in defining what the "same puzzle" is.)
- Efficiently constructible? Yes (exchange action set).
- Efficiently computable? Yes (count misplaced tiles/sum up Manhattan distances).

## What shall we do with the relaxation?

#### What if R is not efficiently constructible?

- Either (a) approximate *r*, or (b) design *r* in a way so that it will typically be feasible, or (c) just live with it and hope for the best.
- Vast majority of known relaxations (in planning) are efficiently constructible.

### What if R is not efficiently computable?

- Either (a) approximate  $h'^*$ , or (b) design  $h'^*$  in a way so that it will typically be feasible, or (c) just live with it and hope for the best.
- Many known relaxations (in planning) are efficiently computable, some aren't. The latter use (a); (b) and (c) are not used anywhere right now.

## "Goal-Counting" Relaxation in Australia: Properties



- Propositions P: at(x) for  $x \in \{Sy, Ad, Br, Pe, Da\}$ ; v(x) for  $x \in \{Sy, Ad, Br, Pe, Da\}$ .
- Actions  $a \in A$ : drive(x, y) where x, y have a road;  $pre_a = \{at(x)\}$ ,  $add_a = \{at(y), v(y)\}$ ,  $del_a = \{at(x)\}$ .
- Initial state I: at(Sy), v(Sy).
- Goal G: at(Sy), v(x) for all x.

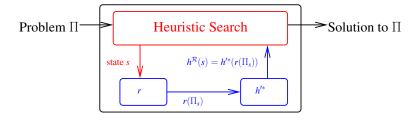
**Relaxation**  $\mathcal{R} = (\mathcal{P}', r, h'^*)$ : Remove preconditions and deletes, then use  $h^*$ .

- **Native?** Yes: Planning with empty preconditions and deletes is a special case of planning (i.e., a sub-class of  $\mathcal{P}$ ).
- Efficiently constructible? Yes (drop preconditions and deletes).
- Efficiently computable? No! Optimal planning is still NP-hard in this case (MINIMUM COVER of goal set by add lists).

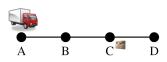
What shall we do with the relaxation?  $\to$  Use method (a): Approximate  $h^*$  in  $\mathcal{P}'$  by counting the number of goals not currently true.

# How to Relax During Search: Diagram

## Using a relaxation $\mathcal{R} = (\mathcal{P}', r, h'^*)$ during search:



- $\rightarrow \Pi_s$ :  $\Pi$  with initial state replaced by s, i.e.,  $\Pi = (F, A, c, I, G)$  changed to (F,A,c,s,G).
- $\rightarrow$  The task of finding a plan for search state s.
- → We will be using this notation in the course!



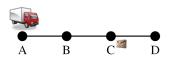
#### Real problem:

- Initial state *I*: *AC*; goal *G*: *AD*.
- Actions *A*: *pre*, *add*, *del*.
- $\blacksquare$  drXY, loX, ulX.

### Greedy best-first search:

(tie-breaking: alphabetic)





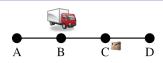
#### Relaxed problem:

- State s: AC; goal G: AD.
- Actions A: add.
- $h^{\mathcal{R}}(s) = 1.$

#### Greedy best-first search:

(tie-breaking: alphabetic)





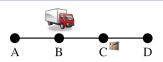
#### Real problem:

- State s: BC; goal G: AD.
  - Actions A: pre, add, del.
  - $\blacksquare AC \xrightarrow{drAB} BC.$

#### Greedy best-first search:

(tie-breaking: alphabetic)





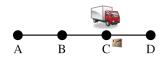
#### Relaxed problem:

- State s: BC; goal G: AD.
- Actions A: add.
- $h^{\mathcal{R}}(s) = 2.$

#### Greedy best-first search:

(tie-breaking: alphabetic)

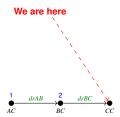


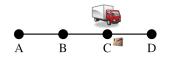


#### Real problem:

- State *s*: *CC*; goal *G*: *AD*.
- Actions A: pre, add, del.
- $\blacksquare BC \xrightarrow{drBC} CC.$

Greedy best-first search: (tie-breaking: alphabetic)



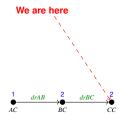


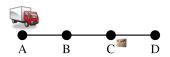
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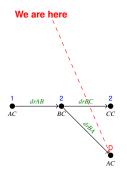


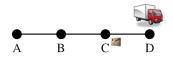


#### Real problem:

- State s: AC; goal G: AD.
- Actions A: pre, add, del.
- Duplicate state, prune.

# Greedy best-first search: (tie-breaking: alphabetic)



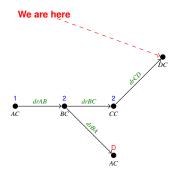


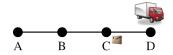
#### Real problem:

- State s: DC; goal G: AD.
- Actions *A*: *pre*, *add*, *del*.
- $lacksquare CC \xrightarrow{drCD} DC.$

### Greedy best-first search:

(tie-breaking: alphabetic)



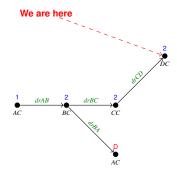


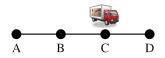
### Relaxed problem:

- State s: DC; goal G: AD.
- Actions A: add.
- $h^{\mathcal{R}}(s) = 2.$

### Greedy best-first search:

(tie-breaking: alphabetic)



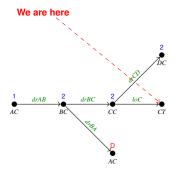


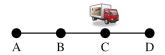
#### Real problem:

- State s: CT; goal G: AD.
- Actions *A*: *pre*, *add*, *del*.
- $CC \xrightarrow{loC} CT.$

## Greedy best-first search:

(tie-breaking: alphabetic)



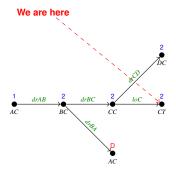


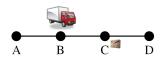
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### Greedy best-first search:

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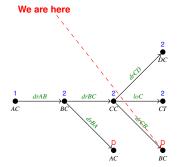
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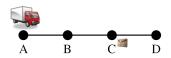
- State s: BC; goal G: AD.
- Actions A: pre, add, del.
- Duplicate state, prune.

## Greedy best-first search:

Motivation

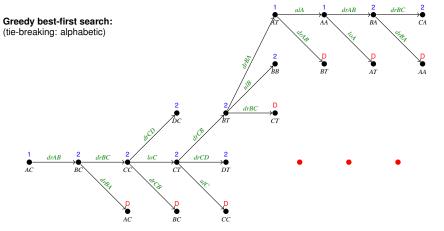
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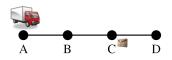




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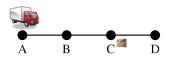
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(tie-breaking: alphabetic)





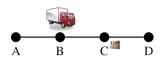
#### Relaxed problem:

- State s: AC; goal G: AD.
- Actions A: pre, add.  $h^{\mathcal{R}}(s) = h^+(s) = 5$ .

### Greedy best-first search:

(tie-breaking: alphabetic)





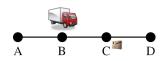
#### Real problem:

- State s: BC; goal G: AD.
- Actions A: pre, add, del.
- $AC \xrightarrow{drAB} BC$ .

#### Greedy best-first search:

(tie-breaking: alphabetic)





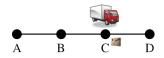
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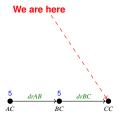


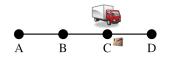
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- Actions A: pre, add, del.
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## Greedy best-first search:

(tie-breaking: alphabetic)



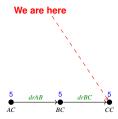


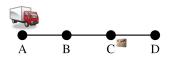
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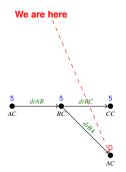
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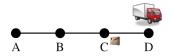
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- Actions A: pre, add, del.
- Duplicate state, prune.

### Greedy best-first search:

(tie-breaking: alphabetic)



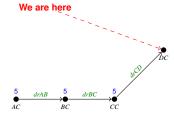


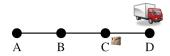
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- Actions A: pre, add, del.
- $CC \xrightarrow{drCD} DC.$

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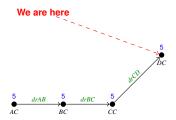


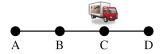
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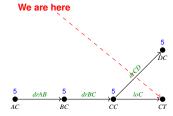


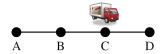


#### Real problem:

- State s: CT; goal G: AD.
- Actions A: pre, add, del.

# Greedy best-first search: (tie-breaking: alphabetic)



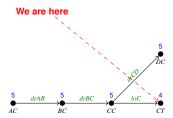


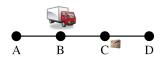
#### Relaxed problem:

- State s: CT; goal G: AD.
- Actions A: pre, add.  $h^{\mathcal{R}}(s) = h^+(s) = 4$ .

### Greedy best-first search:

(tie-breaking: alphabetic)



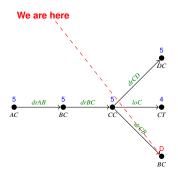


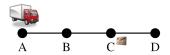
### Real problem:

- State s: BC; goal G: AD.
- Actions A: pre, add, del.
- Duplicate state, prune.

### Greedy best-first search:

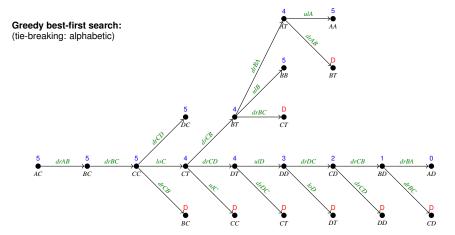
(tie-breaking: alphabetic)





#### Real problem:

- Initial state *I*: *AC*; goal *G*: *AD*.
- Actions A: pre, add, del.
- drXY, loX, ulX.



Motivation

Conclusion

### Questionnaire

#### Question!

Motivation

Say we have a robot with one gripper, two rooms A and B, and B balls we must transport; say A = number of balls not yet in room B. Can A be derived as A for a relaxation B?

(A): No.

(B): Yes, Just drop the deletes.

(C): Sure, *every* admissible *h* can be derived via a relaxation.

(D): I'd rather relax at the beach.

 $\rightarrow$  We can define  $\mathcal{P}'$  as the problem of computing the cardinality of a finite set, and define r as the function that maps a state to the set of balls not yet in room B. So: (A) is incorrect, (B) is incorrect.

o (C): Yes. Admissibility of  $h^{\mathcal{R}}$  is the only strict requirement made by the definition. Given admissible  $h: \mathcal{P} \mapsto \mathbb{R}_0^+ \cup \{\infty\}$ , we can simply define  $\mathcal{P}' := \mathcal{P}$  and take r to be the identity function  $id_{\mathcal{P}}$ . In other words,  $\mathcal{R} := (\mathcal{P}, id_{\mathcal{P}}, h)$  is a relaxation with  $h^{\mathcal{R}} = h$ . (And. yes, h here is admissible.)

## Summary

- Relaxation is a method to compute heuristic functions.
- $\blacksquare$  Given a problem  $\mathcal{P}$  we want to solve, we define a relaxed problem  $\mathcal{P}'$ . We derive the heuristic by mapping into  $\mathcal{P}'$  and taking the solution to this simpler problem as the heuristic estimate.
- Relaxations can be native, efficiently constructible, and/or efficiently computable. None of this is a strict requirement to be useful.
- During search, the relaxation is used *only inside* the computation of the heuristic function on each state; the relaxation does not affect anything else. (This can be a bit confusing especially for native relaxations like ignoring deletes.)

### Remarks

Motivation

The goal-counting approximation h= "count the number of goals currently not true" is a very uninformative heuristic function:

- Range of heuristic values is small (0 ... |G|).
- We can transform any planning task into an equivalent one where h(s) = 1 for all non-goal states s. How? Replace goal by new fact g and add a new action achieving g with precondition G.
- Ignores almost all structure: Heuristic value does not depend on the actions at all!
- ightarrow By the way, is h safe/goal-aware/admissible/consistent? Only safe and goal-aware.
- ightarrow We will see in ightarrow the next lecture how to compute **much** better heuristic functions.