

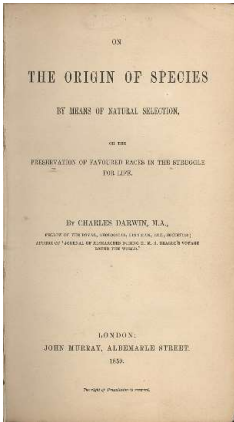
# NATURAL SELECTION AND ADAPTATION

Tábita Hünemeier

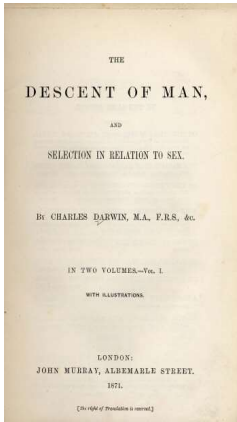
[tabita.hunemeier@ibe.upf-csic.es](mailto:tabita.hunemeier@ibe.upf-csic.es)

[hunemeier@usp.br](mailto:hunemeier@usp.br)

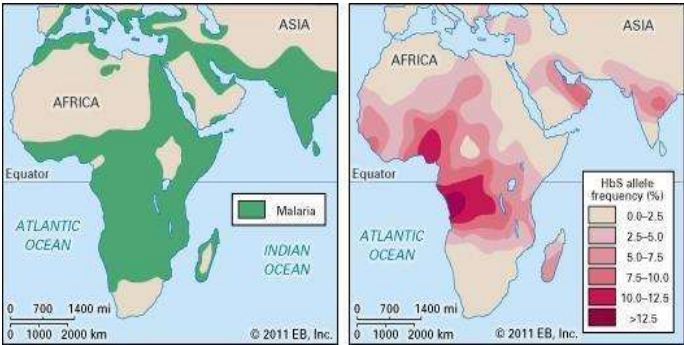
# Natural Selection



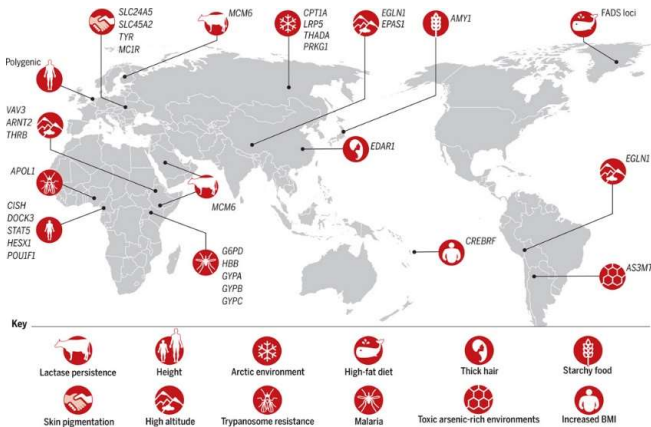
1859



1871



1949



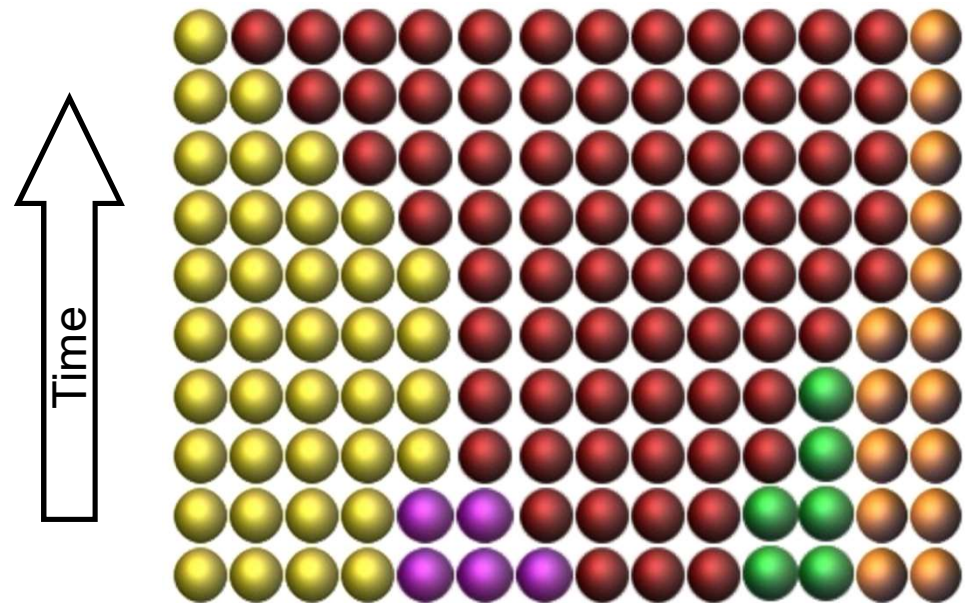
2000

## Charles Darwin

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- i) populations have a phenotypic variation.
- ii) the environment presents challenges.
- iii) those better able to cope tend to leave more offspring.
- iv) individuals tend to produce more offspring than the environment can support.

- i) Variation.
- ii) Variation must contribute to survival and differential reproduction.
- iii) Variation must be inherited.

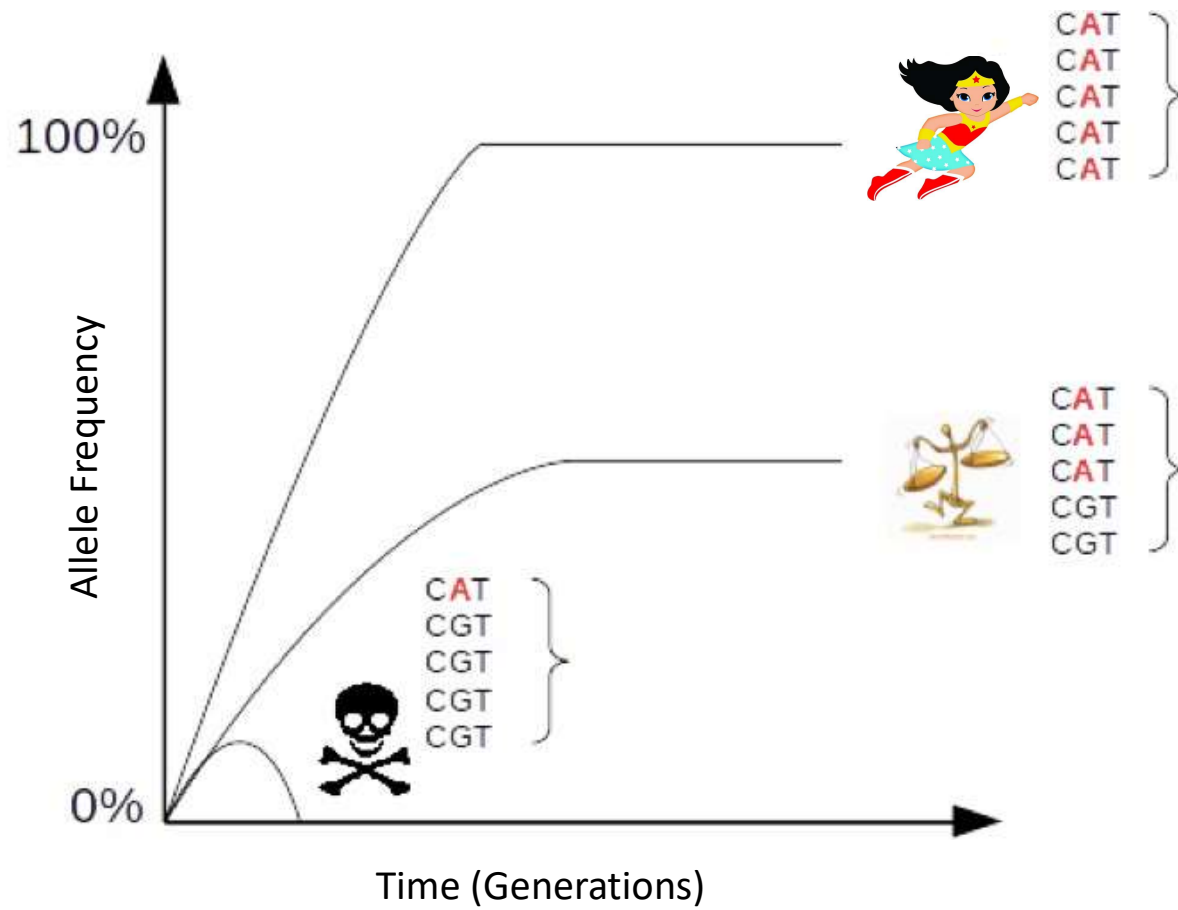


## Natural Selection

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- Heritable traits that increase fitness become more common.
- Sites targeted by natural selection are likely to harbor functionality.
- Mutations arise (almost) randomly and evolve according to their effect on the carrier's fitness.

# Natural Selection



## Allele Frequency Trajectories

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### Effect of Selection on Alleles:

- Neutral/Weak: removed, polymorphic or fixed;
- Strong Negative: Removed or polymorphic;
- Strong Positive: removed, polymorphic or fixed.
- Balancing: removed, polymorphic or fixed.

## Allele Frequency Trajectories

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### Effect of Selection on Alleles:

- Neutral/Weak: removed, polymorphic or fixed;
- Strong Negative: Removed or polymorphic;
- Strong Positive: removed, polymorphic or fixed.
- Balancing: removed, polymorphic or fixed.

What is strong? It depends on the effective population size.

Allele Frequency is (frequently) not enough to determine selection.



## Allele Frequency Trajectories

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If the simple observation of allele frequencies is not enough, what else can we do to detect signals of natural selection?

## Allele Frequency Trajectories

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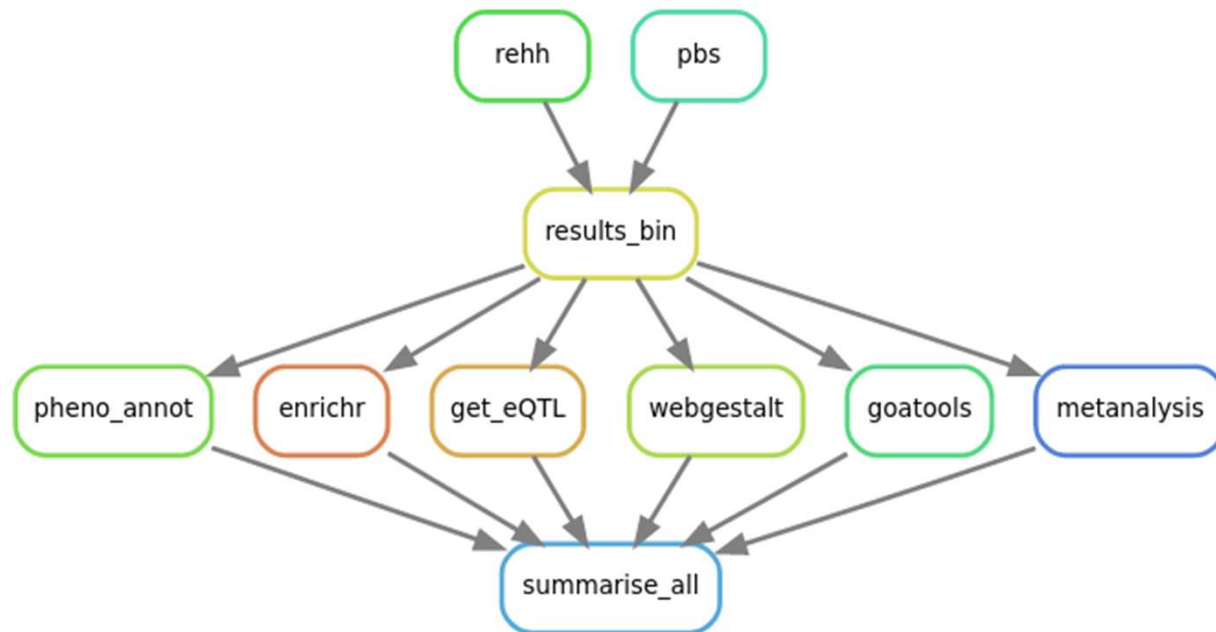
If the simple observation of allele frequencies is not enough, what else can we do to detect signals of natural selection?

- perform selection experiments;
- use external information: candidate genes/biological knowledge, functional categories, association to phenotypes;
- use information from the surrounding genomic region;
- use information from multiple species/populations;

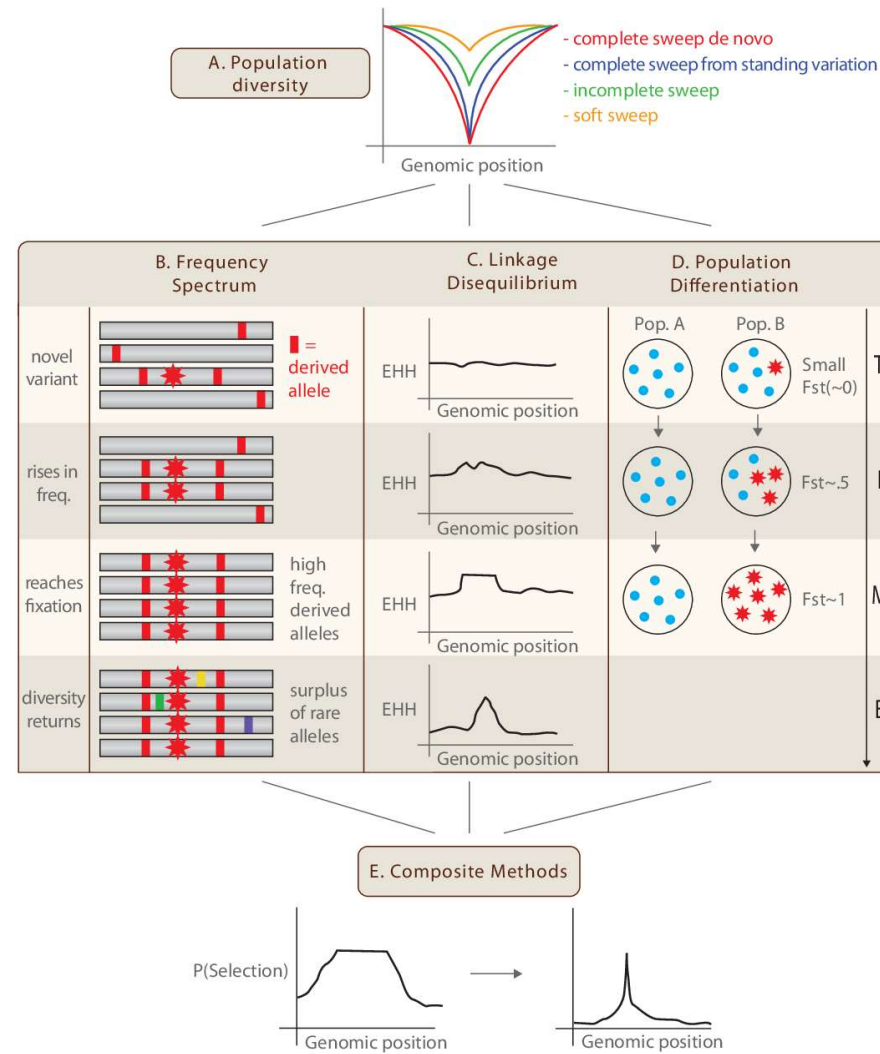
## Allele Frequency Trajectories

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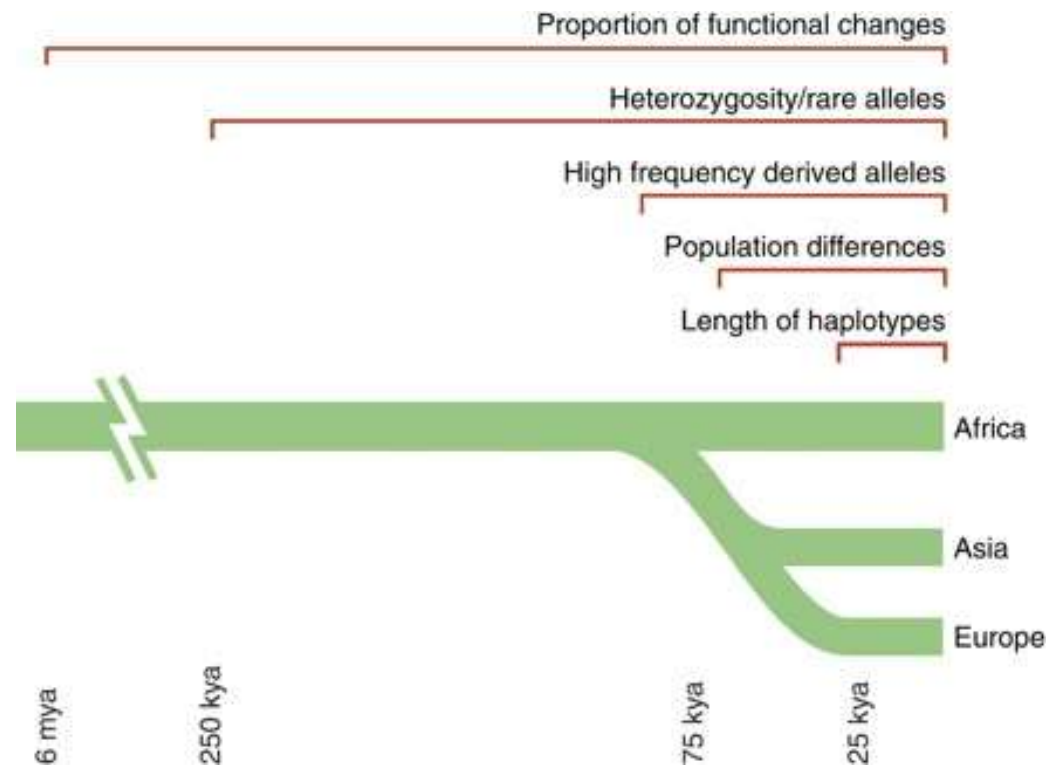


# Common Methods to Detect Selection



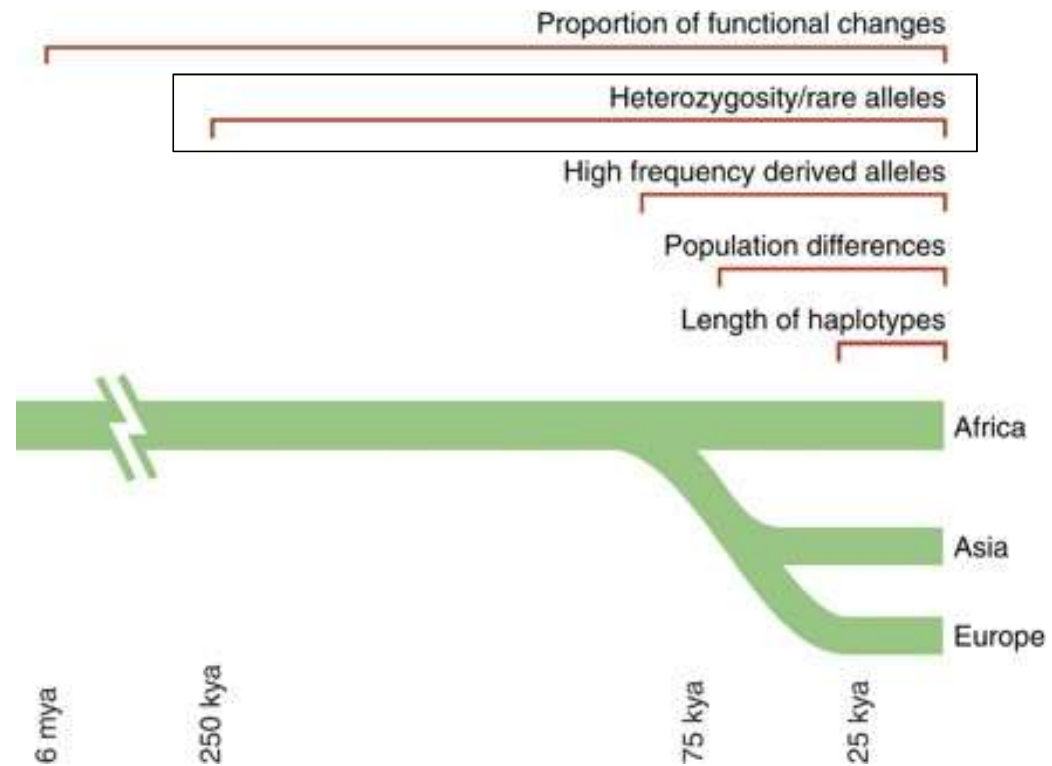
## Common Methods to Detect Selection

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## Common Methods to Detect Selection

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## Tajima's D

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ACTAGAGGAT  
ACTTGAGGAT  
ACTTGACGAT  
ACTTGACGAT  
ACTTGAGGTT

$$\hat{\theta}_T = \frac{\sum_{i < j} d_{ij}}{n(n-1)/2}$$

$$\hat{\theta}_W = \frac{S}{\sum_{i=1}^{n-1} 1/i}$$

$$D = \frac{\hat{\theta}_T - \hat{\theta}_W}{\sqrt{\hat{V}(\hat{\theta}_T - \hat{\theta}_W)}}$$

## Tajima's D

---

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$\Theta_T = \pi$  = average number of differences between pairs of sequences

$$\Theta_T = 1+2+2+2+1+1+1+0+2/10 = 1.2$$

$\Theta_W = \pi$  expected number under neutrality

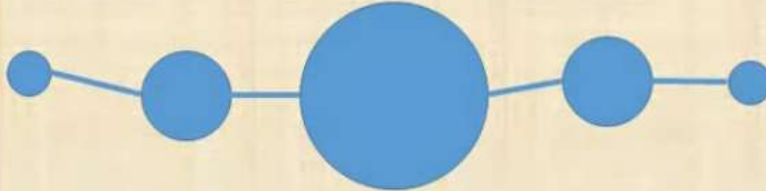
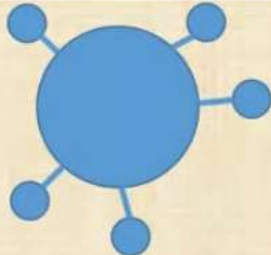

$$\Theta_W = 4/(1/1+1/2+1/3+1/4)=1.92$$



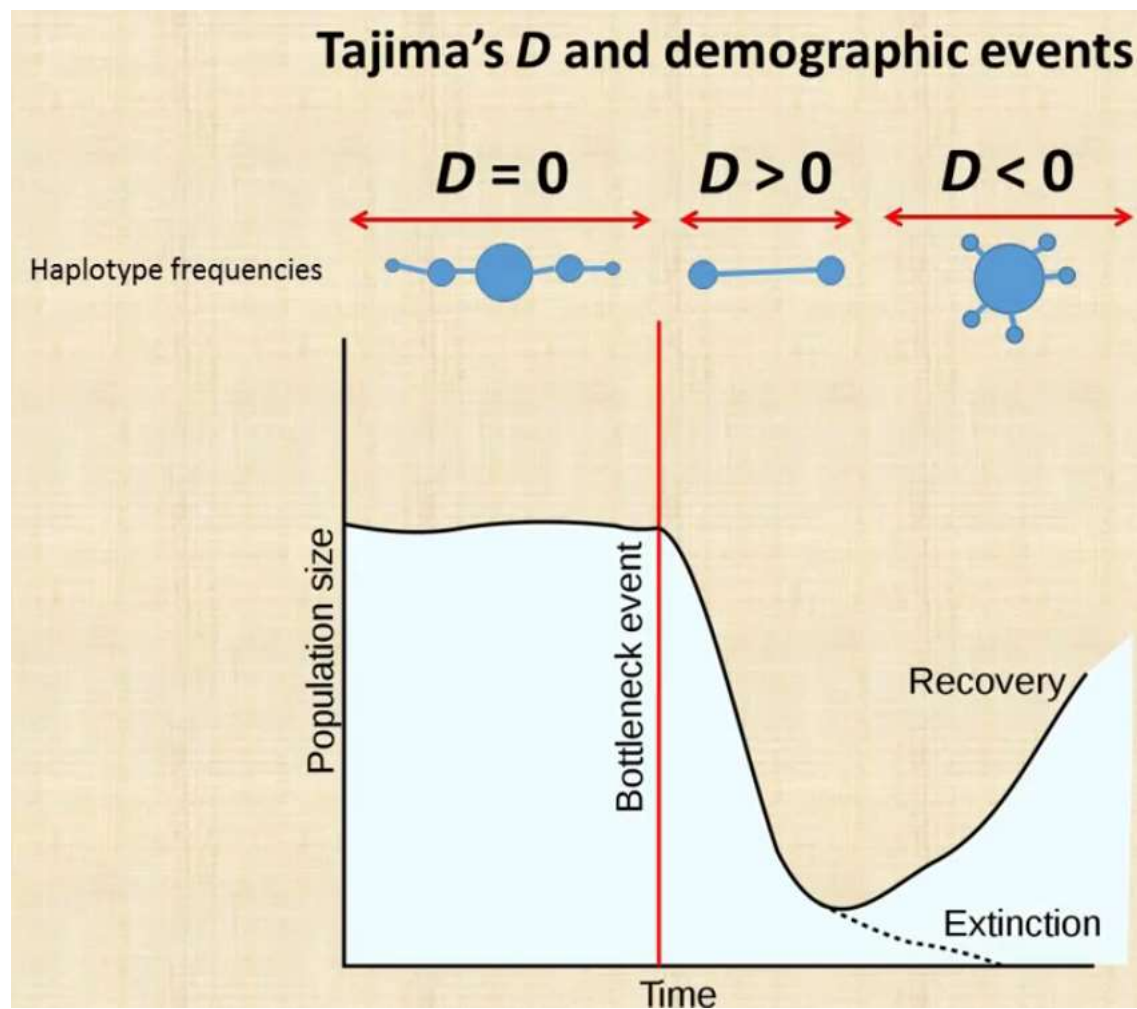
## Tajima's D

### Tajima's D

$D \approx \text{observed genetic variation} - \text{expected genetic variation for a given number of individuals}$

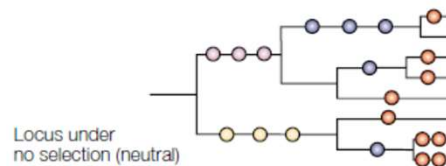
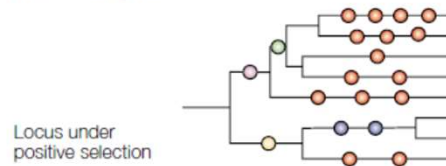
<p><b>Tajima's D = 0</b></p> <p>Observed and expected genetic variation <b>given the pop size</b> are the same</p> <p>Population at equilibrium</p> <p>A main haplotype and several derived ones (normal distribution)</p>	
<p><b>Tajima's D &lt; 0</b></p> <p>Lower genetic variation than expected given the population size</p> <p>One haplotype dominates with rare nearly identical ones (rare alleles overrepresented)</p>	
<p><b>Tajima's D &gt; 0</b></p> <p>Higher genetic variation than expected given the population size</p> <p>No main haplotypes, rather several unrelated haplotypes with similar frequency coexists (no rare alleles)</p>	

## Tajima's D

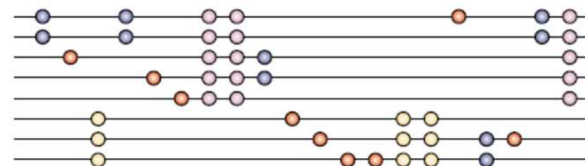
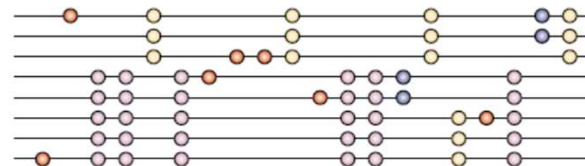
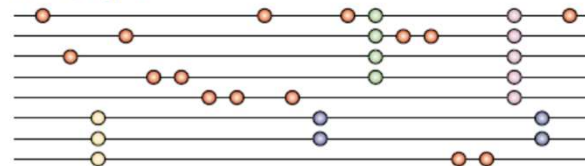


# SFS

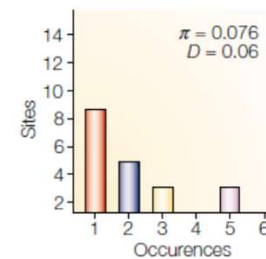
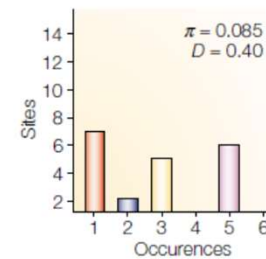
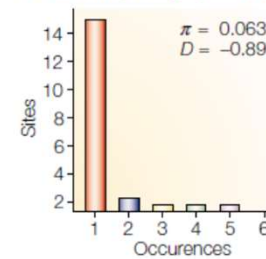
**a** Genealogies



**b** Haplotypes



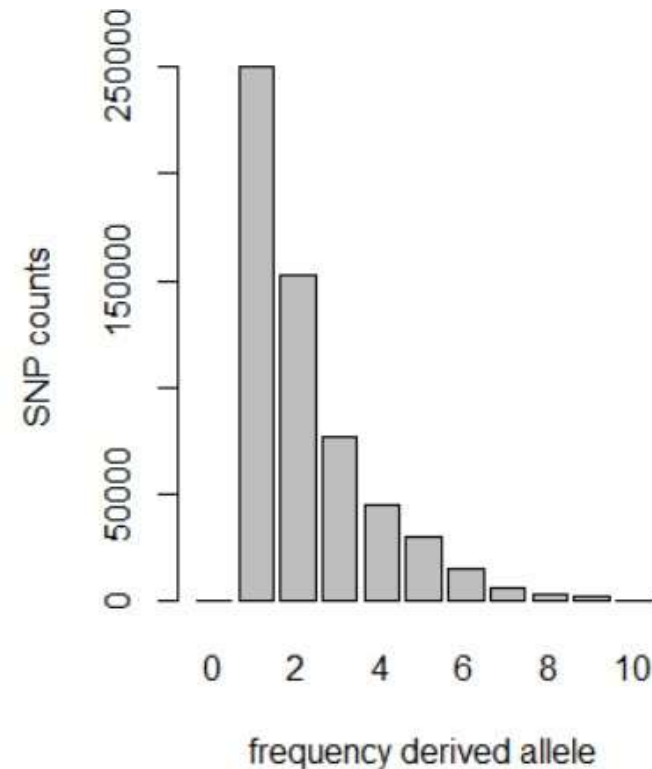
**c** Site frequency spectra



## Site Frequency Spectrum (SFS)

Even if we have millions of SNPs we can summarize the genomic data to 10 numbers with the SFS!

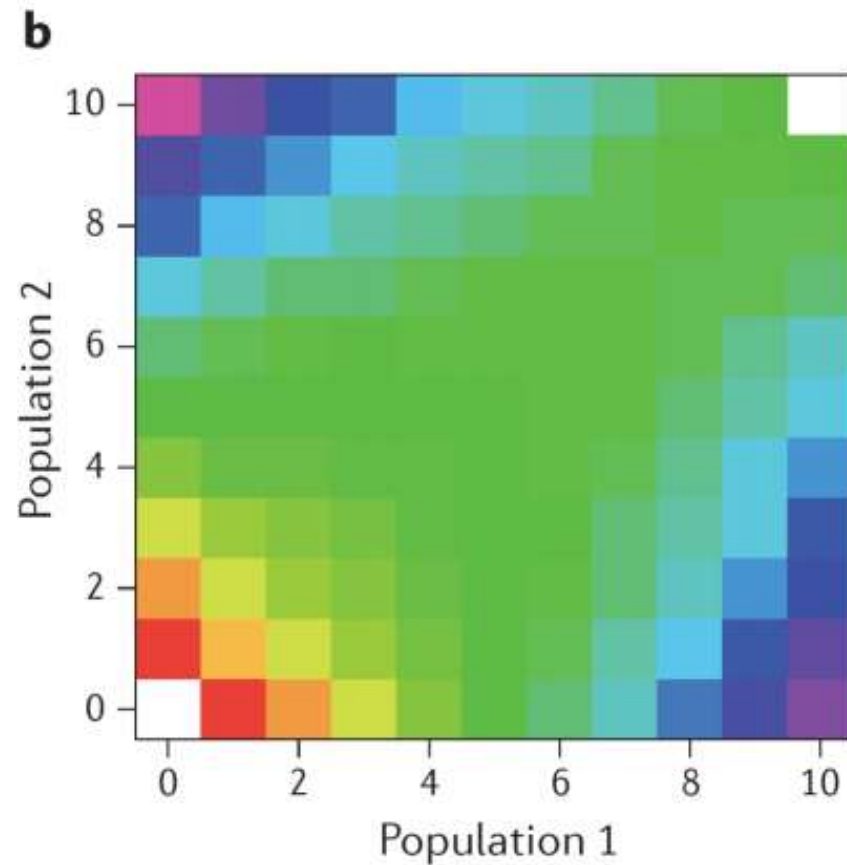
The size of the SFS depends on the number of sampled individuals.



**Observed SFS is a vector (1 dimensional SFS):**

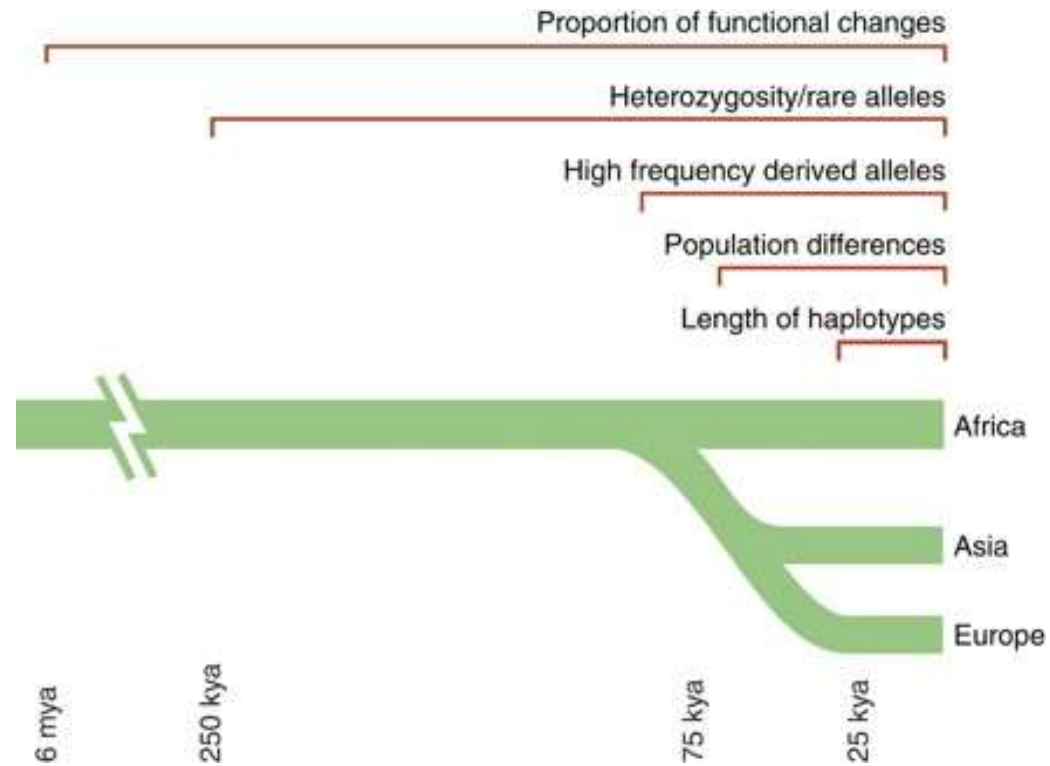
Frequency	0	1	2	3	4	5	6	7	8	9	10
SNP count	0	250,032	152,300	76,504	45,362	30,210	15,329	5,642	3,524	2,123	0

- For a pair of populations – 2D SFS
  - Count the SNPs have a frequency of the derived allele of  $i$  in population 1, and of  $j$  in population 2
- We can extend this to 3D SFS, 4D SFS, etc.



## Common Methods to Detect Selection

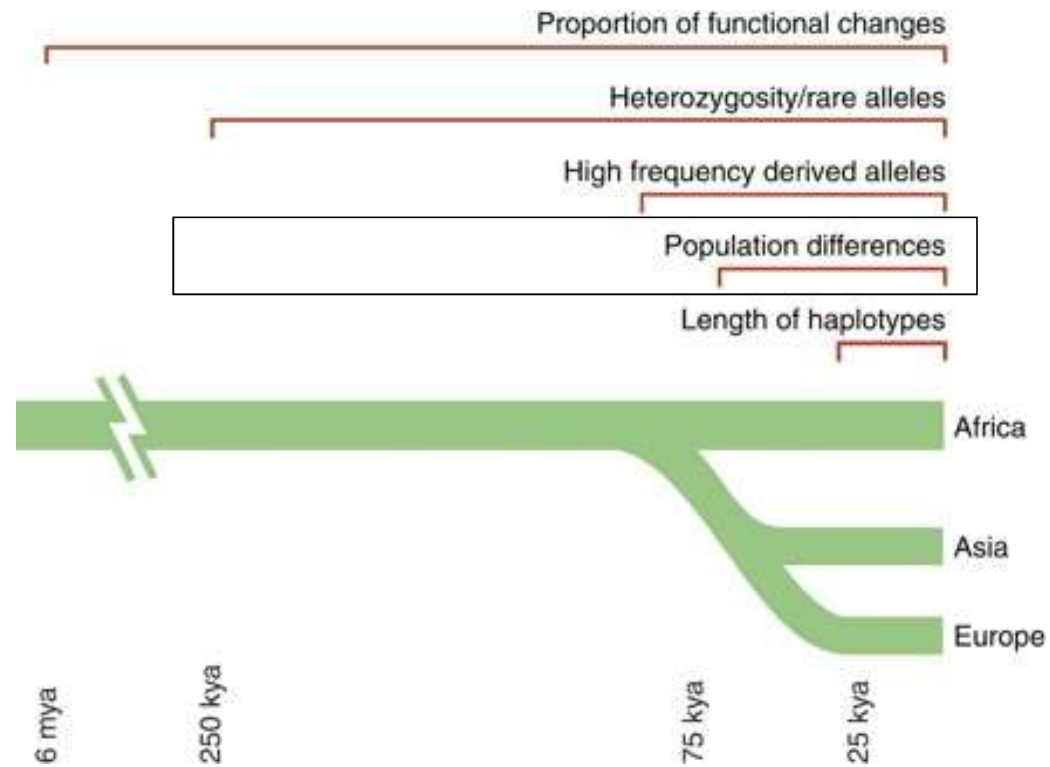
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FONTE: Sabeti PC, et al. Positive natural selection in the human lineage. Science. 2006 Jun 16;312(5780):1614-20. Review.

## Common Methods to Detect Selection

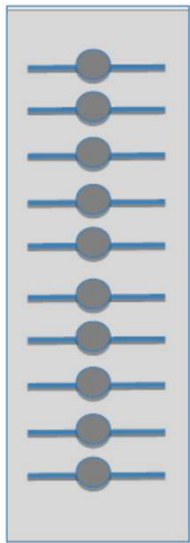
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FONTE: Sabeti PC, et al. Positive natural selection in the human lineage. Science. 2006 Jun 16;312(5780):1614-20. Review.

## Allele Frequency Differentiation

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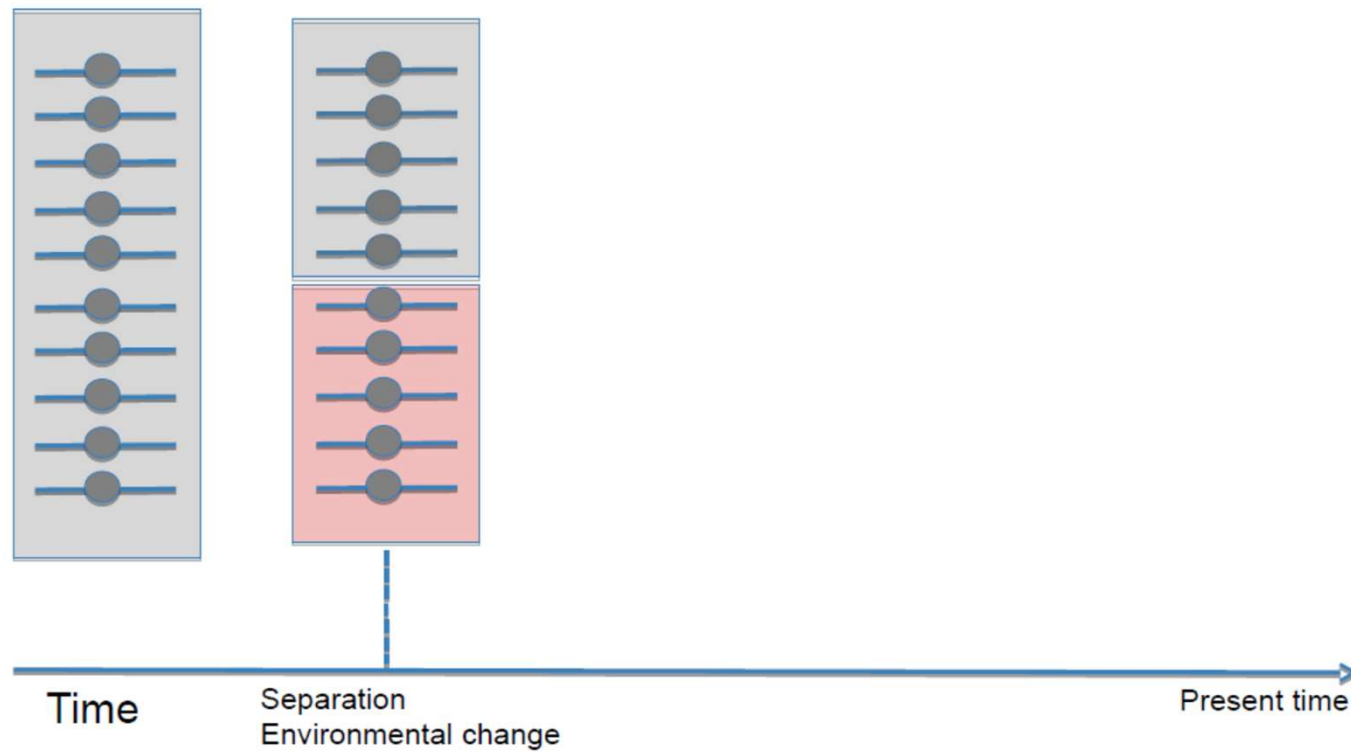


Time

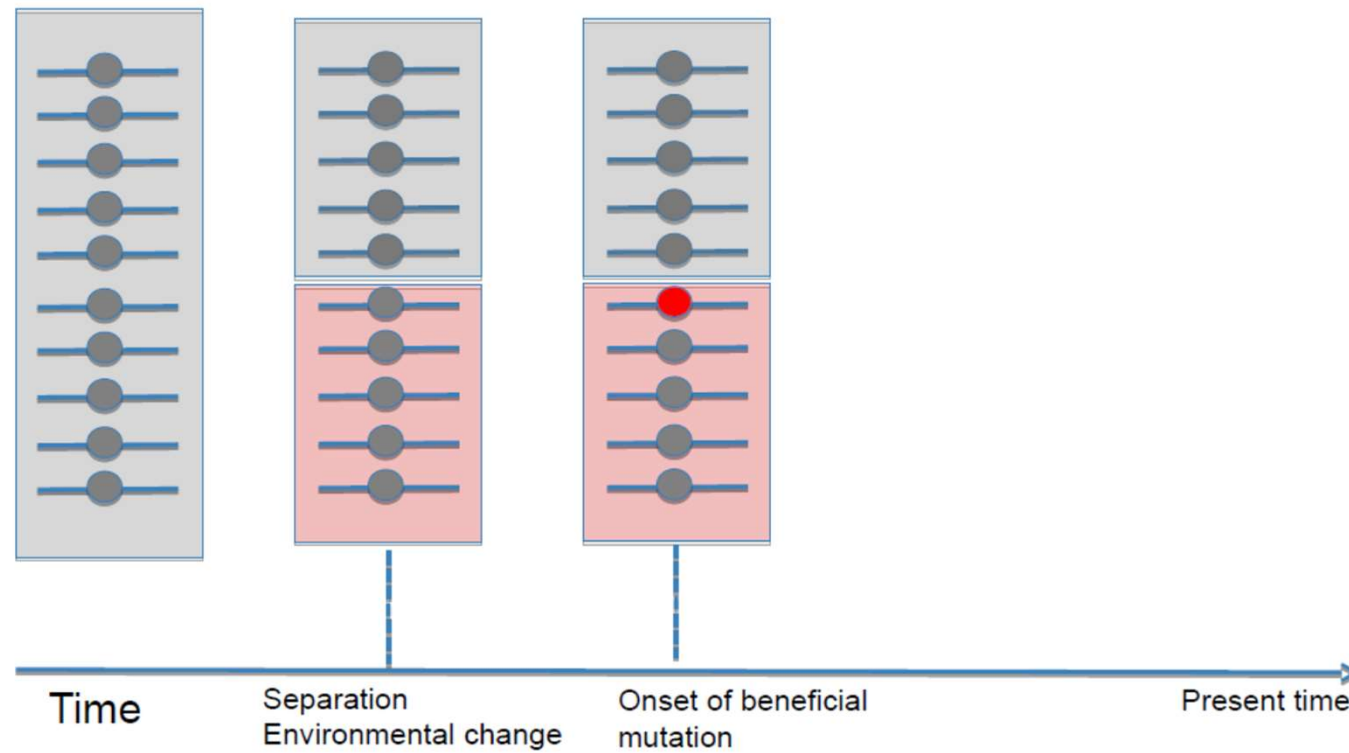
Present time



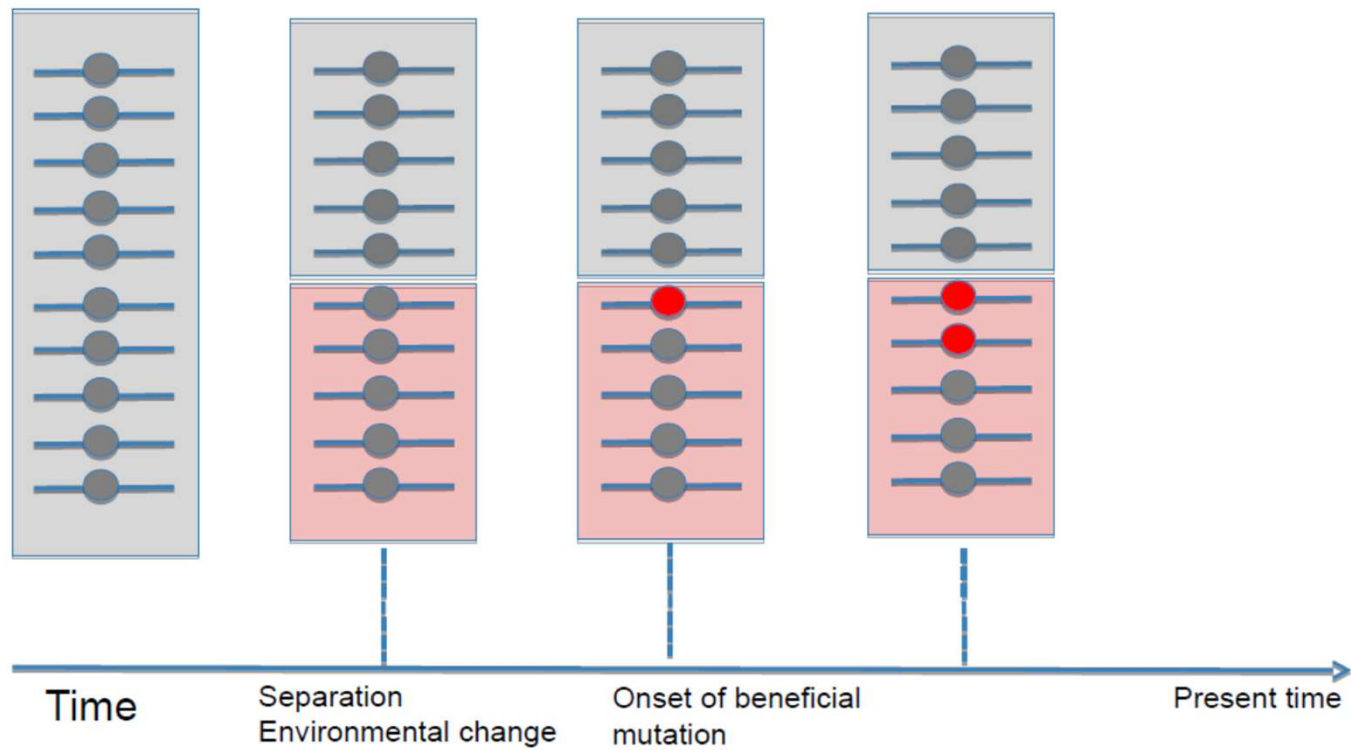
## Allele Frequency Differentiation



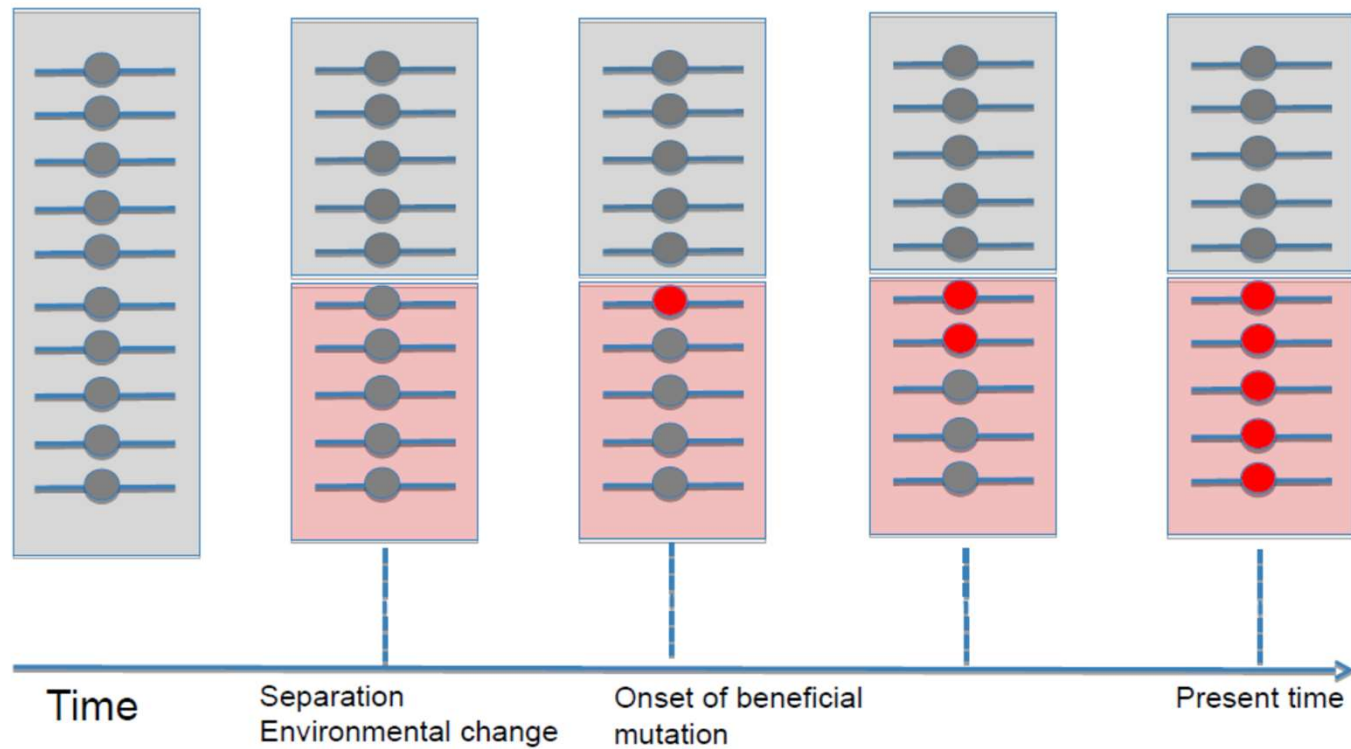
## Allele Frequency Differentiation



## Allele Frequency Differentiation

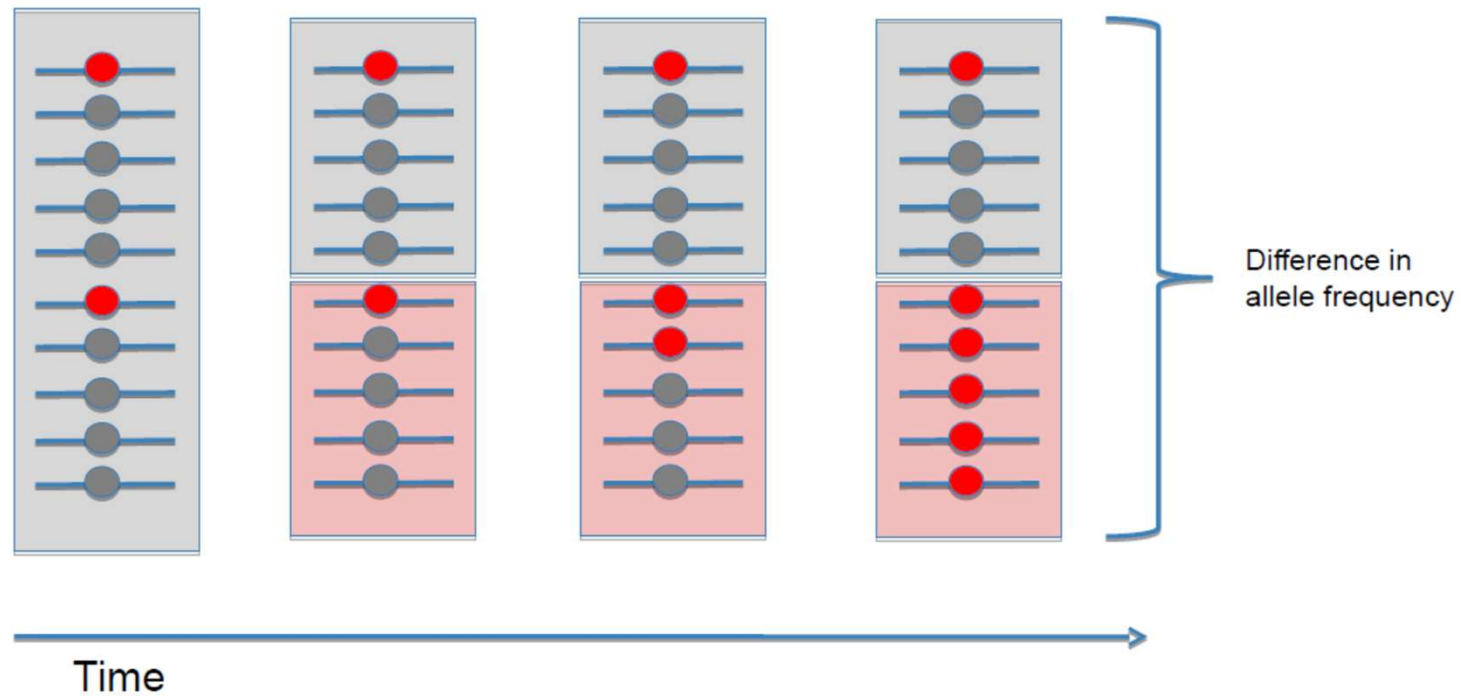


## Allele Frequency Differentiation

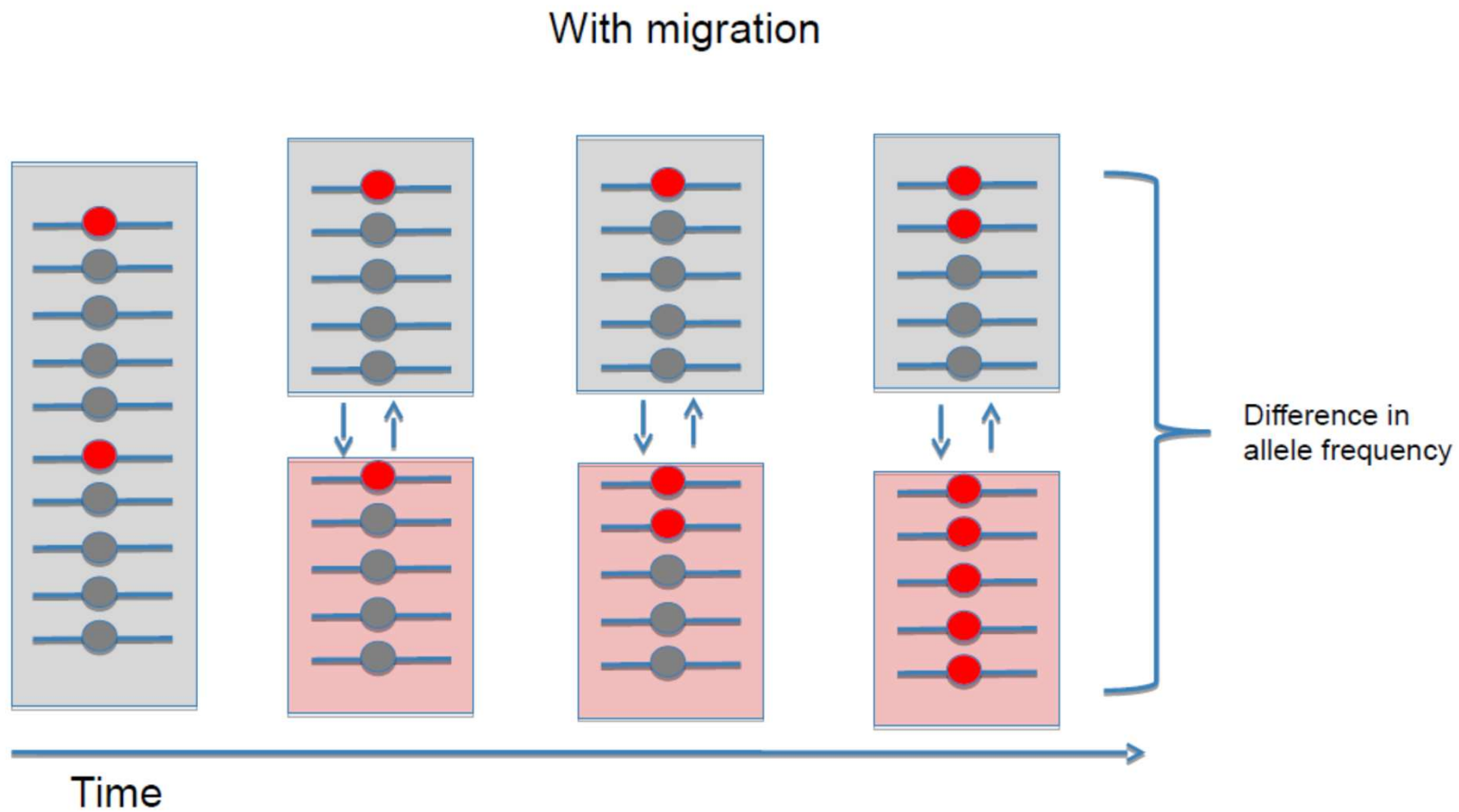


## Allele Frequency Differentiation

From standing variation

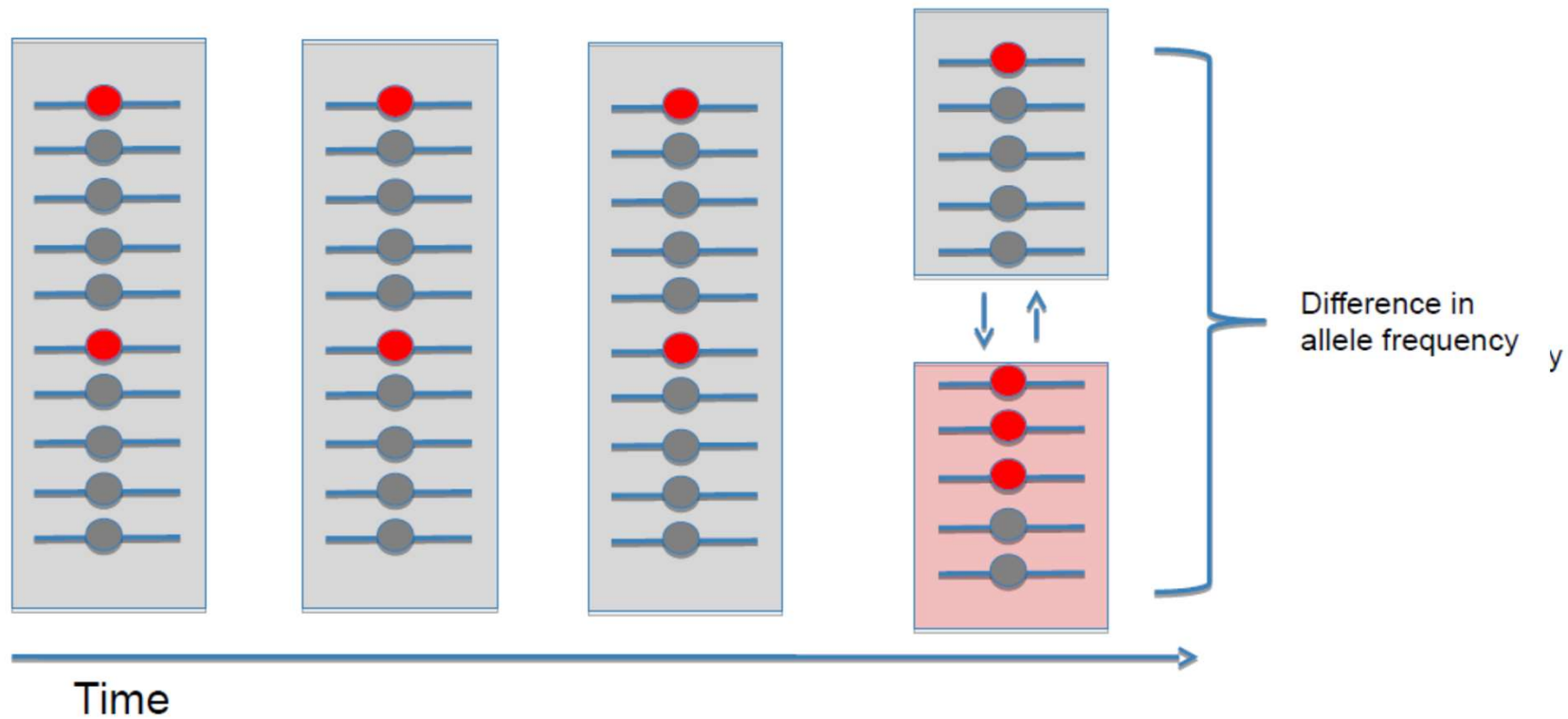


## Allele Frequency Differentiation



## Allele Frequency Differentiation

With recent divergence



## FST

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Heterozygosity:

$$H_I = \frac{1}{n} \sum_{i=1}^n \hat{H}_i$$

$$H_S = \frac{1}{n} \sum_{i=1}^n 2p_i q_i$$

$$H_T = 2\bar{p}\bar{q}$$

( $\hat{H}_i$ : observed heterozygosity in  $i$ th subpopulation,  $2p_i q_i$ : average heterozygosity in  $i$ th subpopulation,  $2\bar{p}\bar{q}$ : average heterozygosity of total population)



## FST

---

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Estatística F (Wright)

$$F_{IS} = \frac{H_S - H_I}{H_S}$$

$$F_{ST} = \frac{H_T - H_S}{H_T}$$

$$F_{IT} = \frac{H_T - H_I}{H_T}$$

## FST

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## FST

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$$F_{ST}$$

Common measure for quantifying population subdivision.

$$F_{ST} = H_B / (H_W + H_B)$$

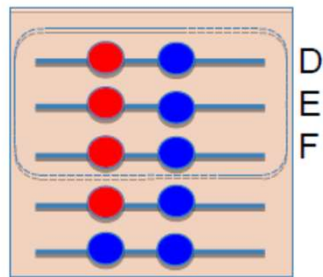
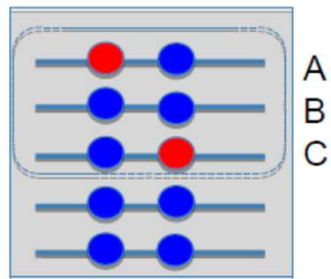
$H_B$ : between populations

$H_W$ : average within populations

- if  $H_W \ll H_B$  then  $F_{ST} \sim 1$
- if  $H_B = 0$  then  $F_{ST} = 0$

## F<sub>ST</sub>

$F_{ST}$  based on haplotype differentiation between populations



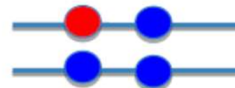
$$F_{ST} = 1 - (H_W / H_B)$$

Within populations

Between populations

What is the variation within populations?

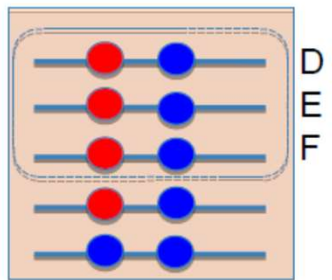
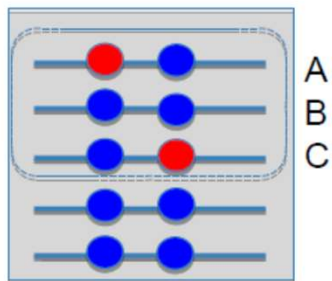
e.g. A vs B



The differ by 1 site

Hudson et al. 1992.

# FST



$$F_{ST} = 1 - (H_W / H_B)$$

Within populations

Between populations

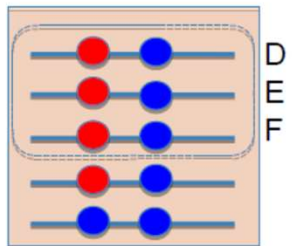
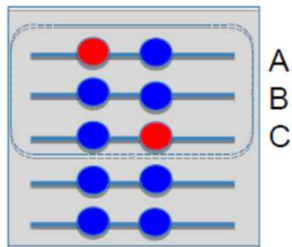
What is the variation within populations?

A	B	Mean=?
A	C	
B	C	

D	E	Mean=?
D	F	
E	F	

$H_W$  is the average within-populations: ?

# FST



$$F_{ST} = 1 - (H_W / H_B)$$

Within populations

Between populations

What is the variation within populations?

A	B	1
A	C	2
B	C	1

Mean=4/3

D	E	0
D	F	0
E	F	0

Mean=0/3

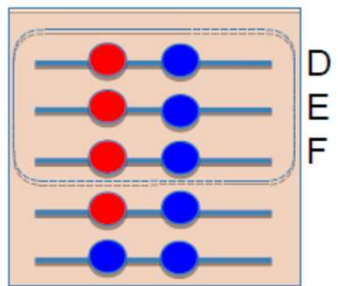
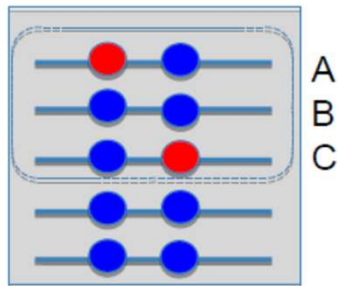
$H_W$  is the average within-populations:  $(4/3 + 0/3)/2 = 2/3$

# FST

$$F_{ST} = 1 - (H_W / H_B)$$

Within populations

Between populations



What is the variation between populations?

A	D	0
A	E	0
A	F	0
B	D	1
B	E	1
B	F	1
C	D	2
C	E	2
C	F	2

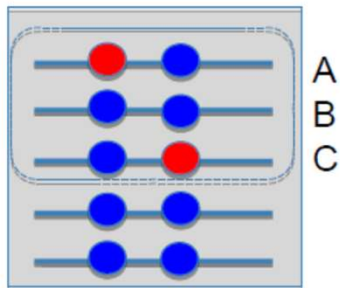
Mean=9/9

$H_B$  is the average between-populations:  $9/9=1$

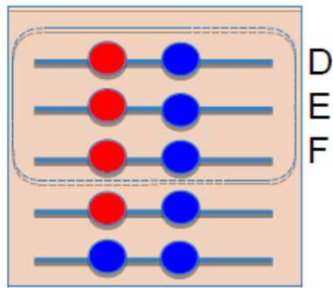
## F<sub>ST</sub>

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$F_{ST}$  based on haplotype differentiation between populations



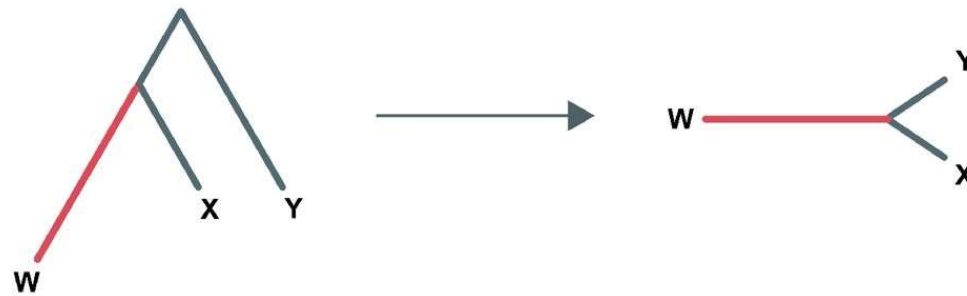
$$F_{ST} = 1 - (H_W / H_B) = 1 - ((2/3)/1) = 1/3 \sim 0.33$$





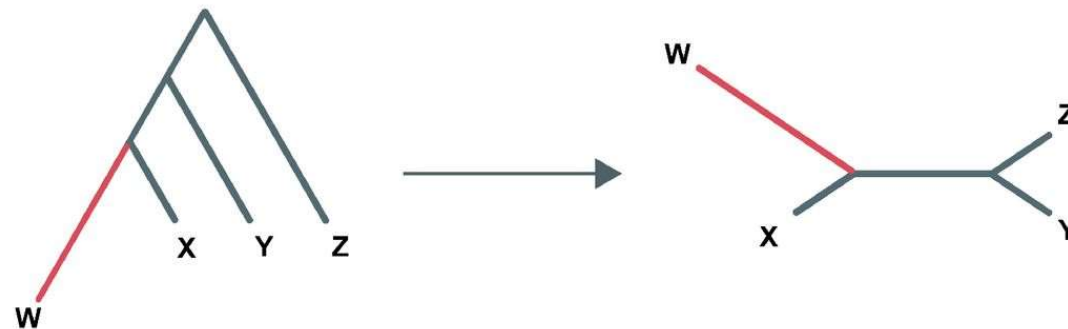
## Population Branch Statistic (PBS)

**A**



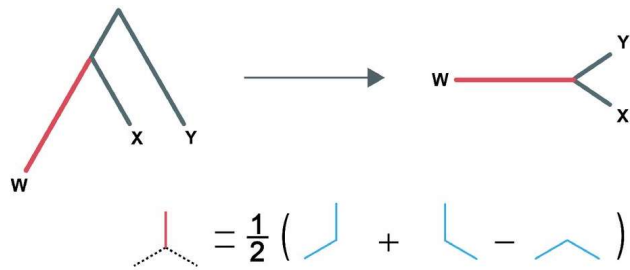
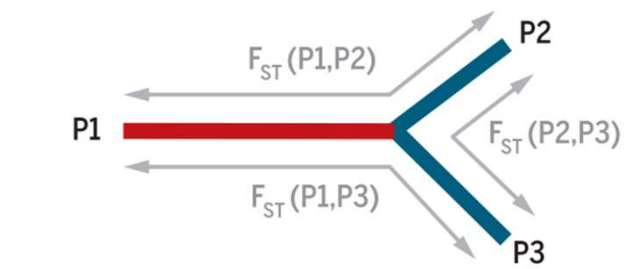
$$\text{[Red line to node]} = \frac{1}{2} \left( \text{[Blue line 1]} + \text{[Blue line 2]} - \text{[Blue line 3]} \right)$$

**B**



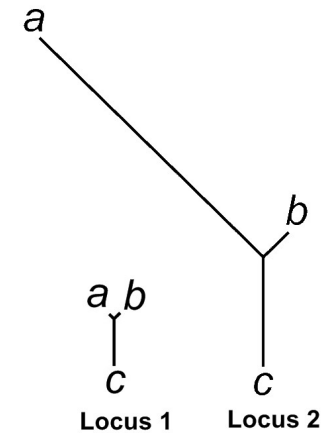
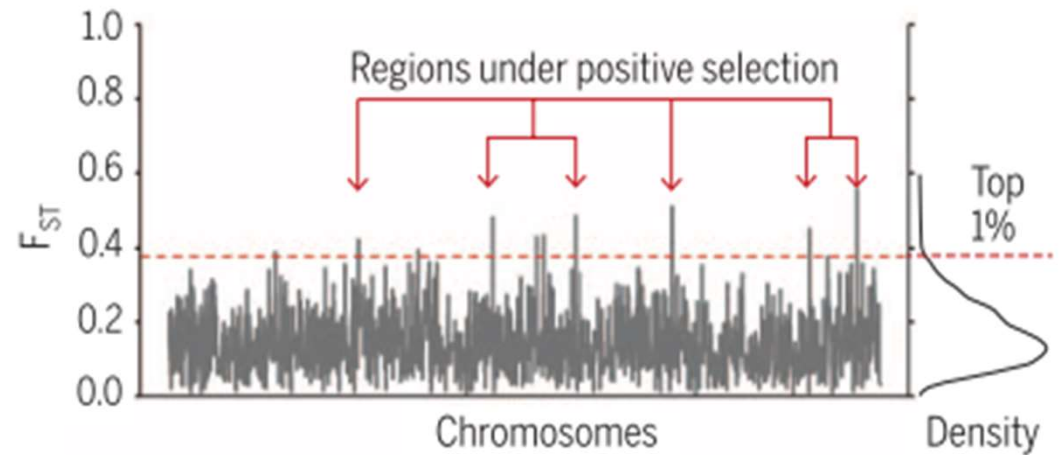
$$\text{[Red line to node]} = \frac{1}{4} \left( 2 \text{[Blue line 1]} + \text{[Blue line 2]} + \text{[Blue line 3]} - \text{[Blue line 4]} - \text{[Blue line 5]} \right)$$

## Population Branch Statistic (PBS)



$$PBS = \frac{F_{ST}(P1;P2) + F_{ST}(P1;P3) - F_{ST}(P2;P3)}{2}$$

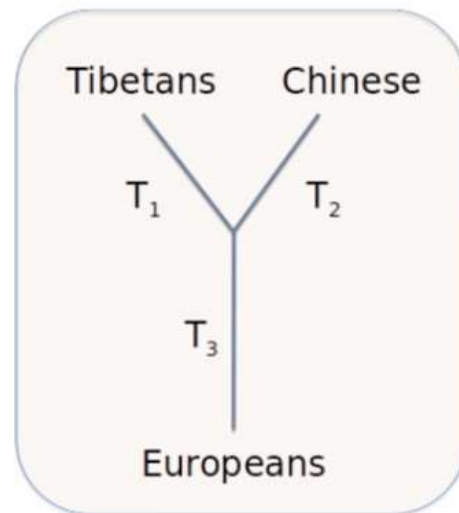
ii) Change in  $F_{ST}$  along genome



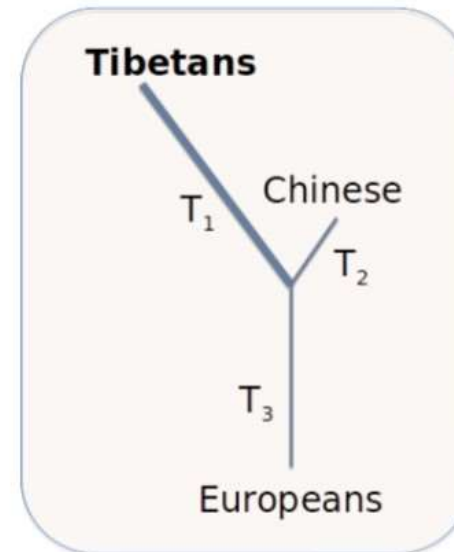
## Population Branch Statistic (PBS)

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Neutral evolution

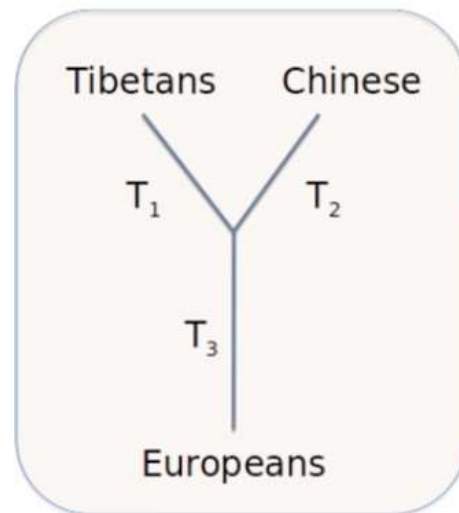


Positive selection

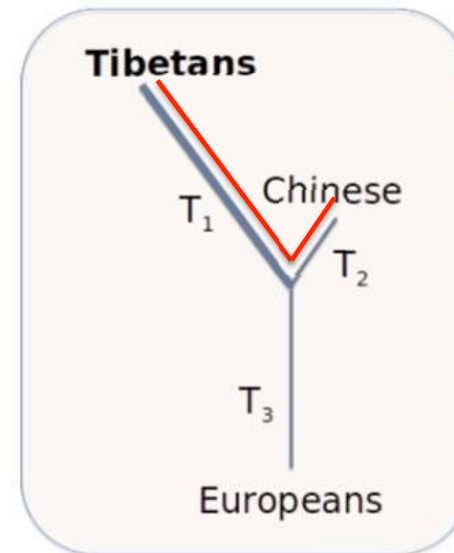


## Population Branch Statistic (PBS)

Neutral evolution



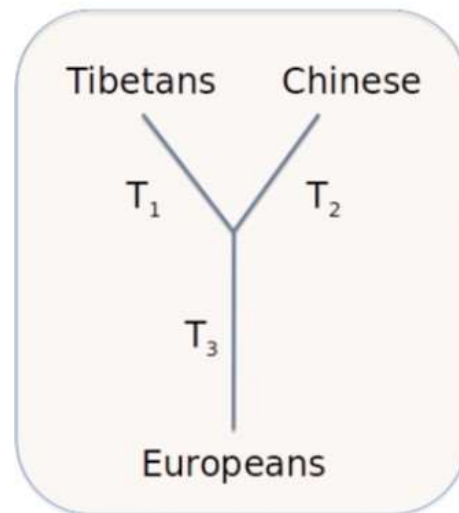
Positive selection



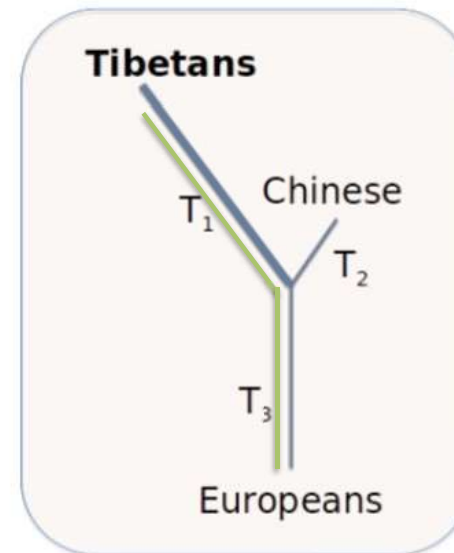
$$\text{PBS} = T_1T_2 + T_1T_3 - T_2T_3$$

## Population Branch Statistic (PBS)

Neutral evolution



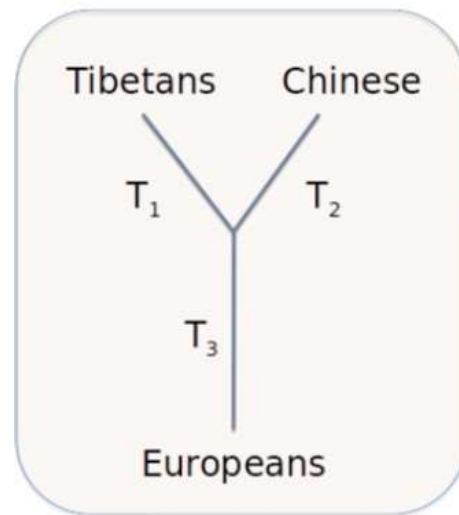
Positive selection



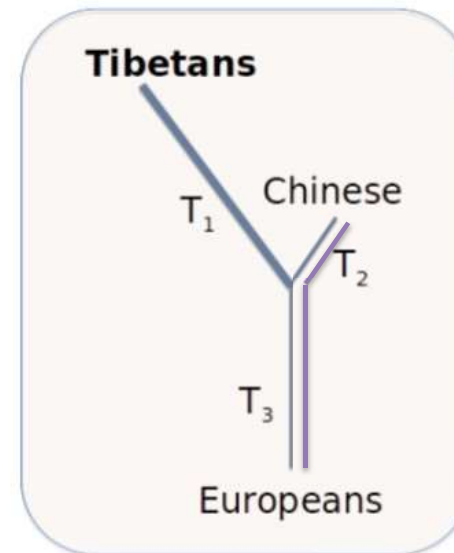
$$\text{PBS} = T_1T_2 + T_1T_3 - T_2T_3$$

## Population Branch Statistic (PBS)

Neutral evolution



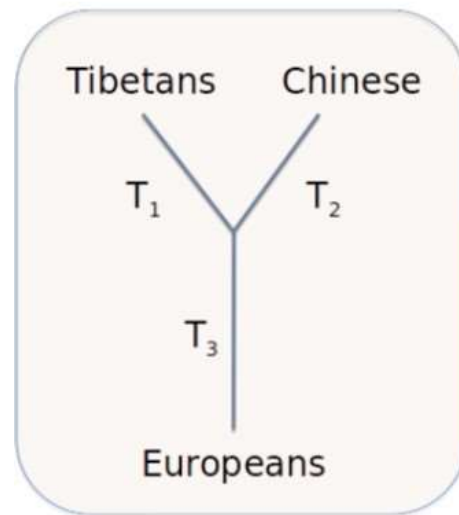
Positive selection



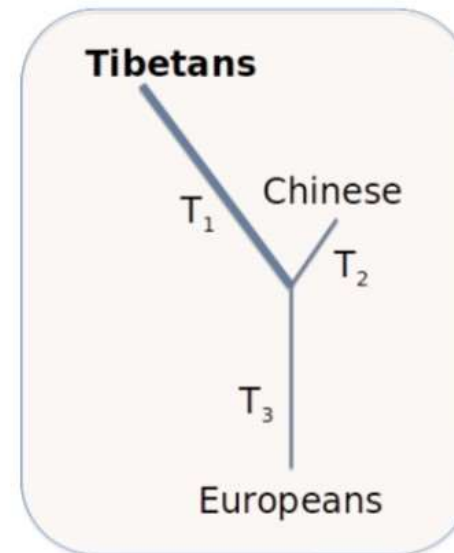
$$\text{PBS} = T_1T_2 + T_1T_3 - T_2T_3$$

## Population Branch Statistic (PBS)

Neutral evolution

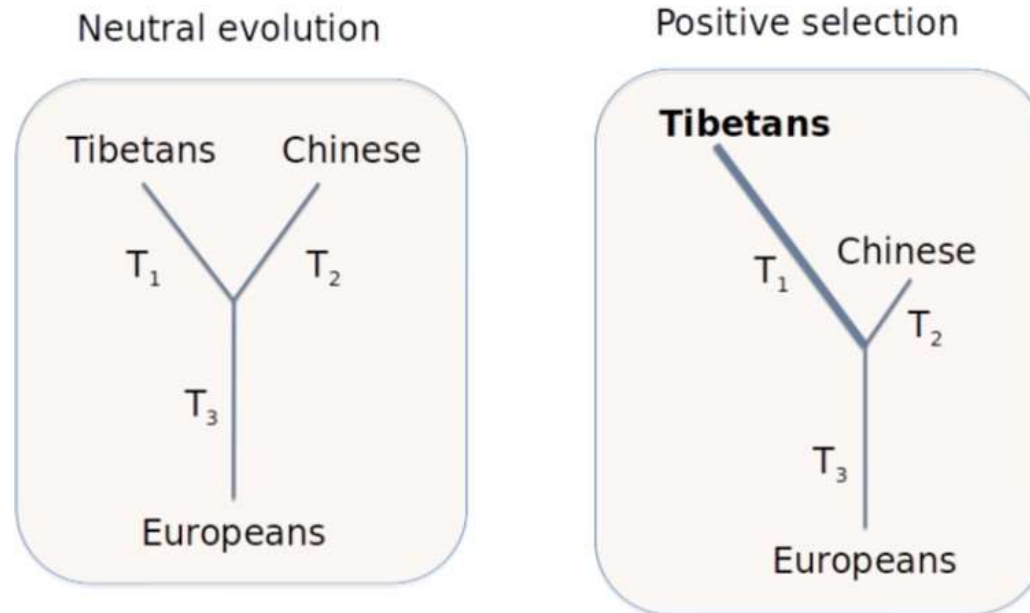


Positive selection



$$\text{PBS} = \frac{T_1T_2 + T_1T_3 - T_2T_3}{2}$$

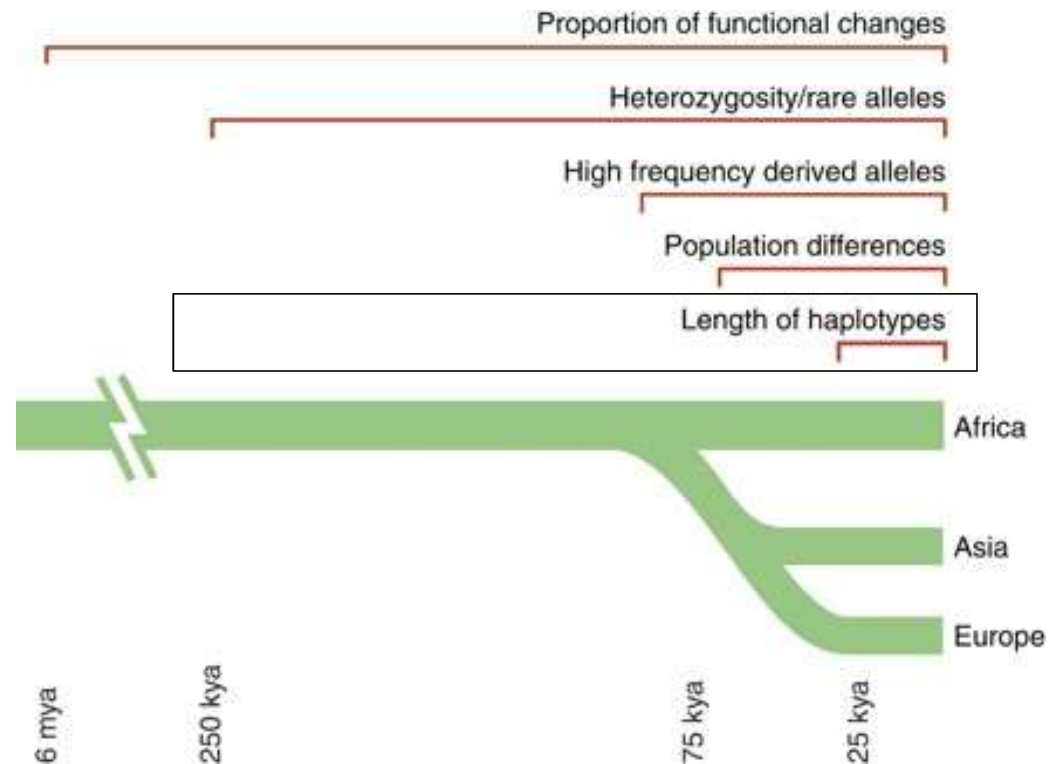
## Population Branch Statistic (PBS)



$$PBS = F_{ST_{TIB\_CHB}} + F_{ST_{TIB\_EUR}} - F_{ST_{CHB\_EUR}} / 2$$



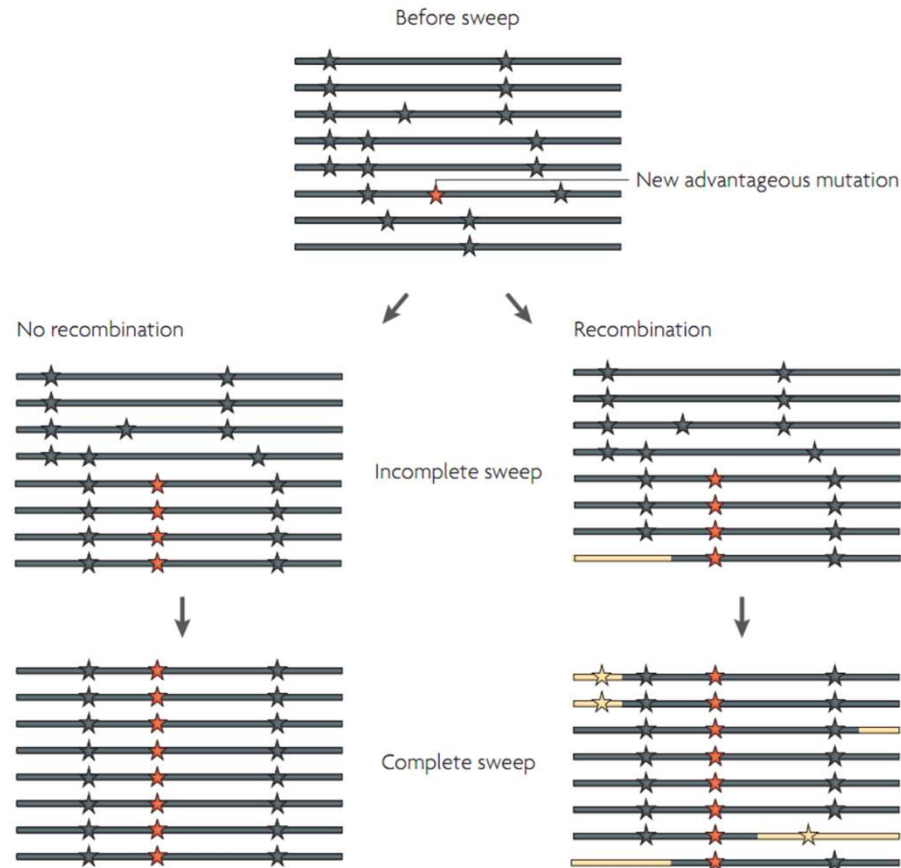
## Testes de Seleção intra-específicos



FONTE: Sabeti PC, et al. Positive natural selection in the human lineage. Science. 2006 Jun 16;312(5780):1614-20. Review.

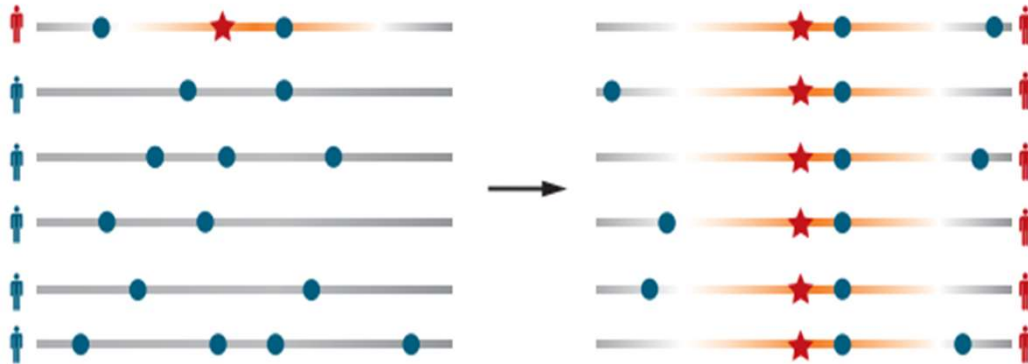
## Extended Haplotype Homozygosity (EHH)

Extended haplotype homozygosity (EHH): EHH at distance  $x$  from the core region is the probability that two randomly chosen chromosomes carry a tested core haplotype and are homozygous at all SNPs for the entire interval from the core region to the distance  $x$ .

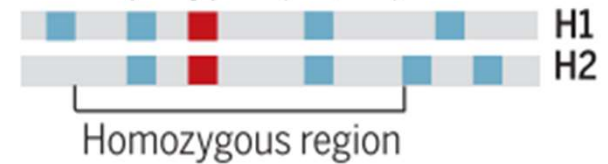


# Extended Haplotype Homozygosity (EHH)

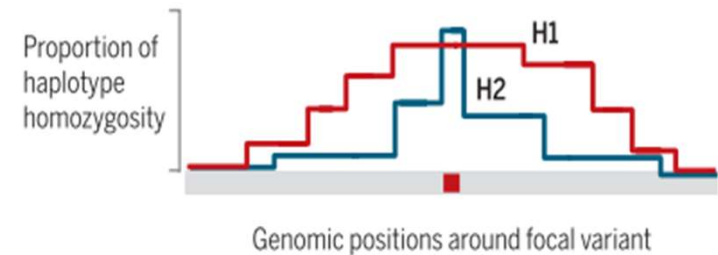
## Hard sweep



iv) Haplotype homozygosity between two haplotypes (H1, H2)



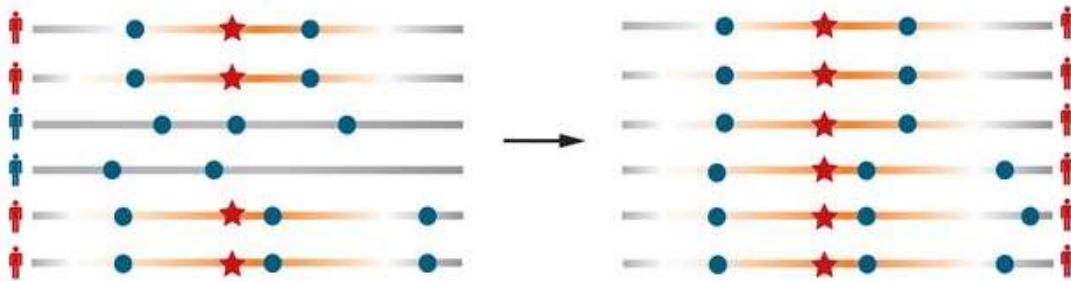
iv) Extended haplotype homozygosity (EHH)



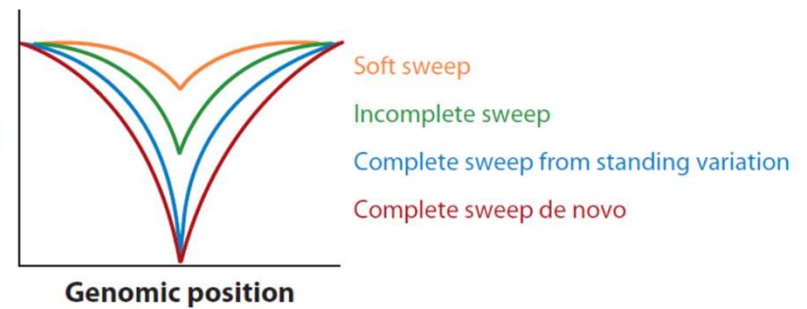
# Extended Haplotype Homozygosity (EHH)

## Soft sweep

ii) Selection on standing variations



**a** Population diversity



## Extended Haplotype Homozygosity (EHH)

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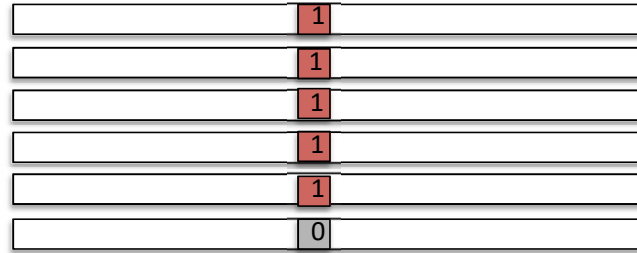
	1
	1
	1
	1
	1
	0



Core allele 1 : biallelic loci; 0 is the ancestral allele and 1 is the derived allele.

## Extended Haplotype Homozygosity (EHH)

---

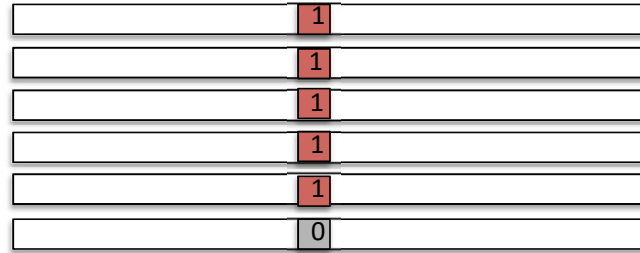


Core allele 1 : biallelic loci; 0 is the ancestral allele and 1 is the derived allele.

$$EHH_c(x_i) = \sum_{h \in H_c(x_i)} \frac{\binom{n_h}{2}}{\binom{n_c}{2}}$$

## Extended Haplotype Homozygosity (EHH)

---



Core allele 1 : biallelic loci; 0 is the ancestral allele and 1 is the derived allele.

$$EHH_c(x_i) = \sum_{h \in H_c(x_i)} \frac{\binom{n_h}{2}}{\binom{n_c}{2}}$$

From  $x_0$  to  $x_i$

## Extended Haplotype Homozygosity (EHH)

---

	1
	1
	1
	1
	1
	0

Core allele 1 : biallelic loci; 0 is the ancestral allele and 1 is the derived allele.

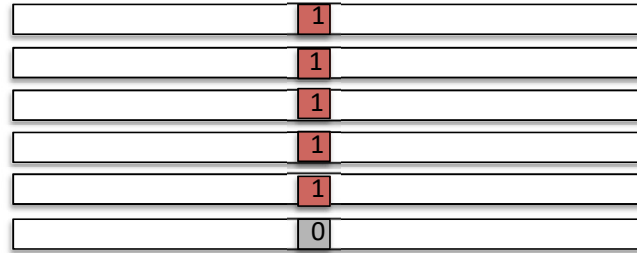
$$EHH_c(x_i) = \sum_{h \in H_c(x_i)} \frac{\binom{n_h}{2}}{\binom{n_c}{2}}$$

Sum of all haplotypes containing the allele of interest (core allele)



## Extended Haplotype Homozygosity (EHH)

---



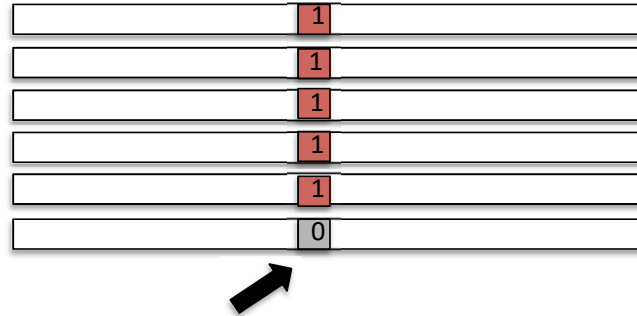
Core allele 1 : biallelic loci; 0 is the ancestral allele and 1 is the derived allele.

$$EHH_c(x_i) = \sum_{h \in H_c(x_i)} \frac{\binom{n_h}{2}}{\binom{n_c}{2}}$$

$n_h$  haplotype Frequency of  $h$

## Extended Haplotype Homozygosity (EHH)

---



Core allele 1 : biallelic loci; 0 is the ancestral allele and 1 is the derived allele.

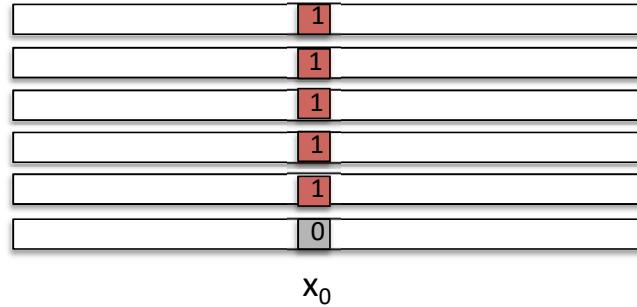
$$EHH_c(x_i) = \sum_{h \in H_c(x_i)} \frac{\binom{n_h}{2}}{\binom{n_c}{2}}$$

$n_h$  haplotype Frequency of  $h$

$N_c$  haplotype Frequency with core SNP

## Extended Haplotype Homozygosity (EHH)

---



What is the EHH to  $x_0$ ?

$$EHH_c(x_i) = \sum_{h \in H_c(x_i)} \frac{\binom{n_h}{2}}{\binom{n_c}{2}}$$
$$EHH_c(x_i = 0) = \frac{\binom{5}{2}}{\binom{5}{2}} = 1$$

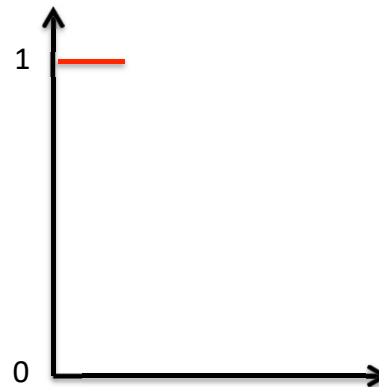
FONTE: Mateus Fumagalli slides modificado

## Extended Haplotype Homozygosity (EHH)

	1
	1
	1
	1
	1
	0

$x=0$

$$EHH_c(x_i) = \sum_{h \in H_c(x_i)} \frac{\binom{n_{h_i}}{2}}{\binom{n_c}{2}}$$

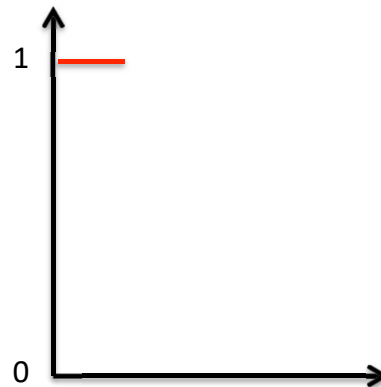


$$EHH_c(x_i = 0) = \frac{\binom{5}{2}}{\binom{5}{2}} = 1$$

## Extended Haplotype Homozygosity (EHH)

	1	
	1	
	1	
	1	
	1	
	0	

x=0   x=+1



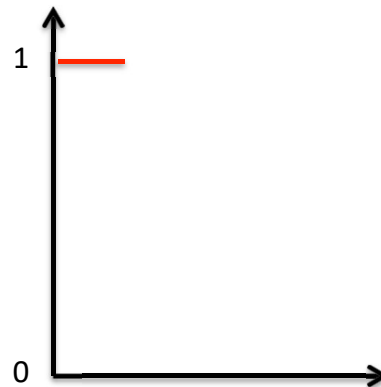
$$EHH_c(x_i) = \sum_{h \in H_c(x_i)} \frac{\binom{n_{h_i}}{2}}{\binom{n_c}{2}}$$

$$EHH_c(x_i = +1) = ?$$

## Extended Haplotype Homozygosity (EHH)

	1	
	1	
	1	
	1	
	1	
	0	

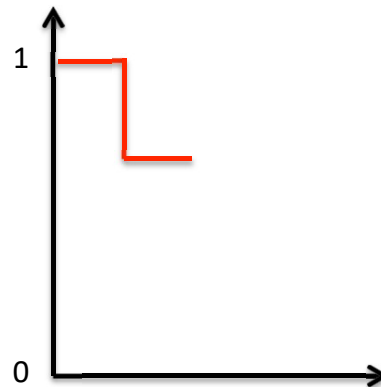
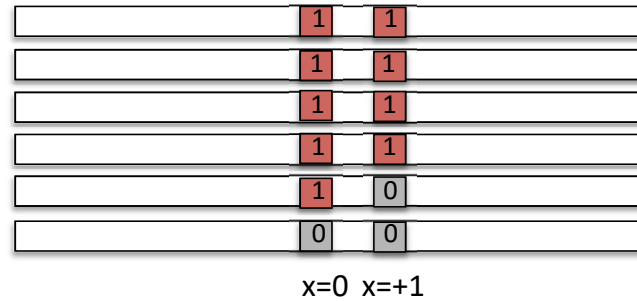
x=0   x=+1



$$EHH_c(x_i) = \sum_{h \in H_c(x_i)} \frac{\binom{n_{h_i}}{2}}{\binom{n_c}{2}}$$

$$EHH_c(x_i = +1) = \frac{\binom{4}{2} + \binom{1}{2}}{\binom{5}{2}} = \frac{6+0}{10} = 0.60$$

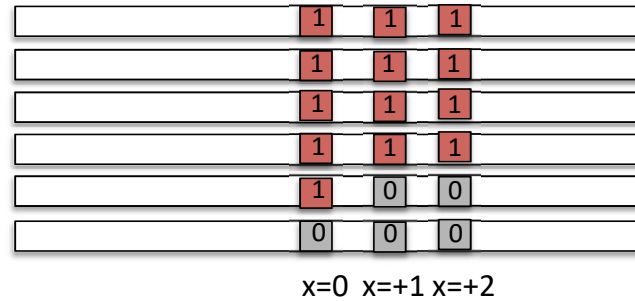
## Extended Haplotype Homozygosity (EHH)



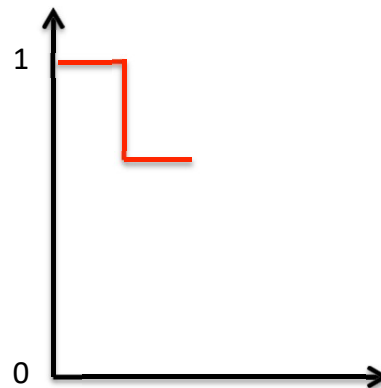
$$EHH_c(x_i) = \sum_{h \in H_c(x_i)} \frac{\binom{n_{\hat{h}}}{2}}{\binom{n_c}{2}}$$

$$EHH_c(x_i = +1) = \frac{\binom{4}{2} + \binom{1}{2}}{\binom{5}{2}} = \frac{6 + 0}{10} = 0.60$$

## Extended Haplotype Homozygosity (EHH)



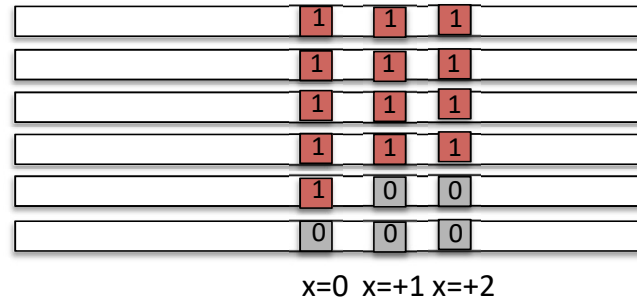
$$EHH_c(x_i) = \sum_{h \in H_c(x_i)} \frac{\binom{n_{h_i}}{2}}{\binom{n_c}{2}}$$



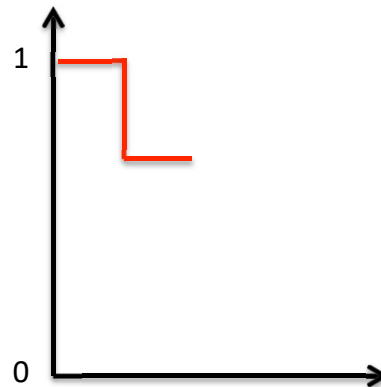
$$EHH_c(x_i = +2) = ?$$



## Extended Haplotype Homozygosity (EHH)



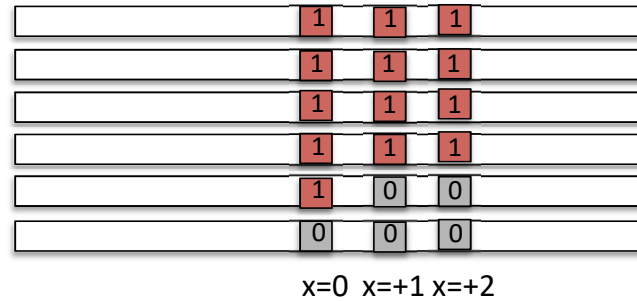
$$EHH_c(x_i) = \sum_{h \in H_c(x_i)} \frac{\binom{n_{h_i}}{2}}{\binom{n_c}{2}}$$



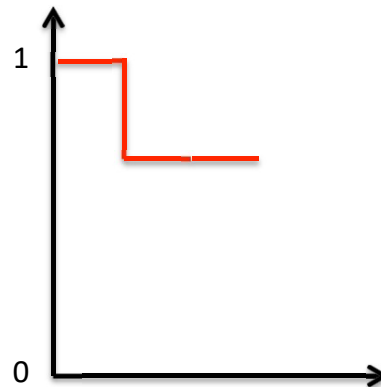
$$EHH_c(x_i = +2) = ?$$

How many unique haplotypes  
carrying the core SNP?  
What is their frequency?

## Extended Haplotype Homozygosity (EHH)

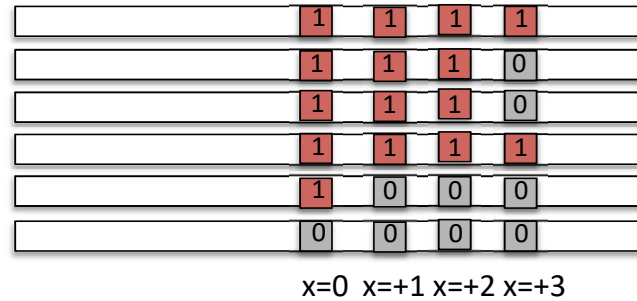


$$EHH_c(x_i) = \sum_{h \in H_c(x_i)} \frac{\binom{n_{h_i}}{2}}{\binom{n_c}{2}}$$

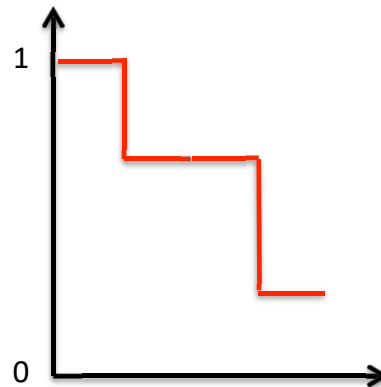


$$EHH_c(x_i = +2) = EHH_c(x_i = +1) = 0.60$$

## Extended Haplotype Homozygosity (EHH)

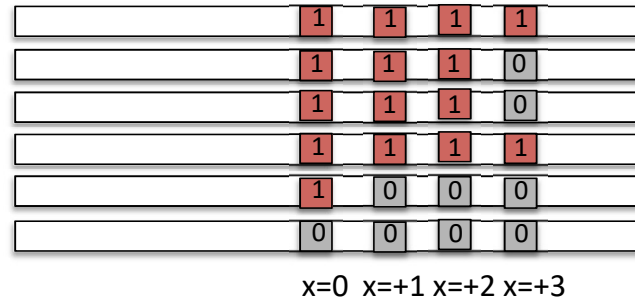


$$EHH_c(x_i) = \sum_{h \in H_c(x_i)} \frac{\binom{n_{h_i}}{2}}{\binom{n_c}{2}}$$

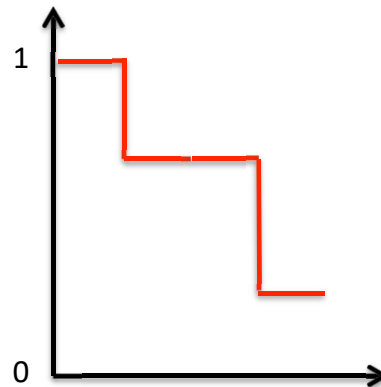


How many unique haplotypes  
carrying the core SNP?  
What is their frequency?

## Extended Haplotype Homozygosity (EHH)

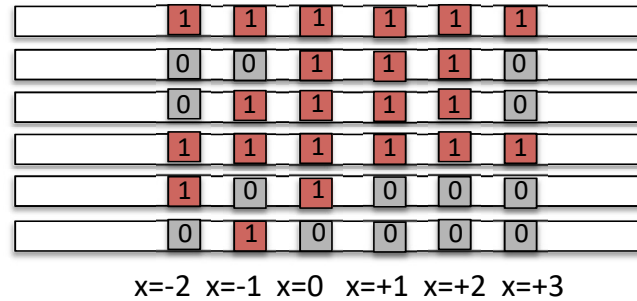


$$EHH_c(x_i) = \sum_{h \in H_c(x_i)} \frac{\binom{n_{h_i}}{2}}{\binom{n_c}{2}}$$

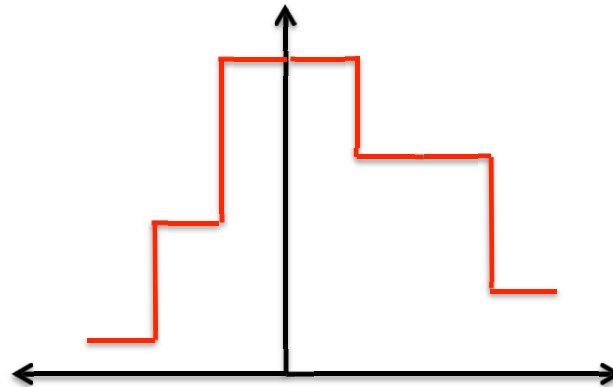


$$EHH_c(x_i = +3) = \frac{\binom{2}{2} + \binom{2}{2} + \binom{1}{2}}{\binom{5}{2}} = \frac{1+1+0}{10} = 0.20$$

## Extended Haplotype Homozygosity (EHH)

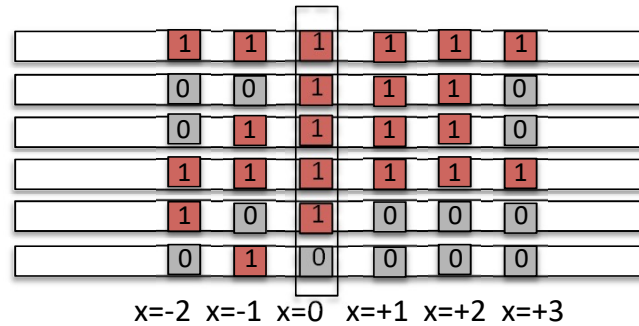


$$EHH_c(x_i) = \sum_{h \in H_c(x_i)} \frac{\binom{n_h}{2}}{\binom{n_c}{2}}$$

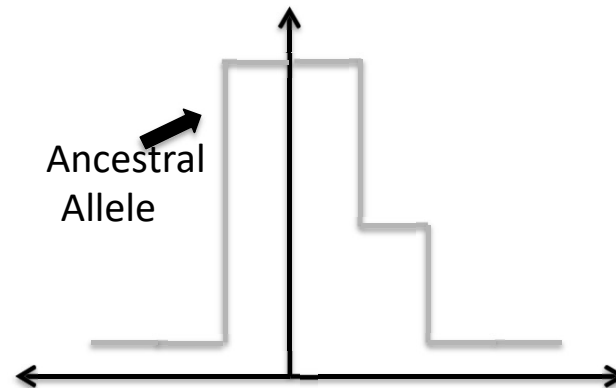
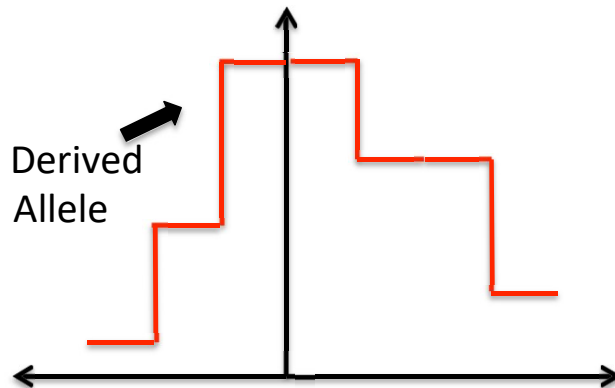


$$EHH_c(x_i = -1) = \frac{\binom{3}{2} + \binom{2}{2}}{\binom{5}{2}} = \frac{3+1}{10} = 0.4$$

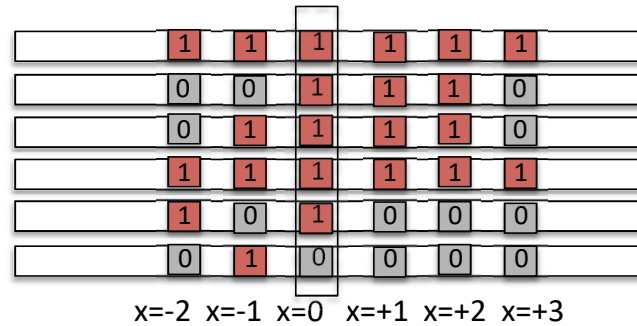
## Extended Haplotype Homozygosity (EHH)



$$EHH_c(x_i) = \sum_{h \in H_c(x_i)} \frac{\binom{n_h}{2}}{\binom{n_c}{2}}$$

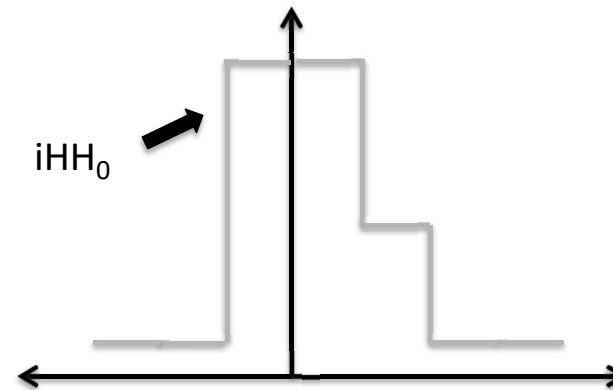
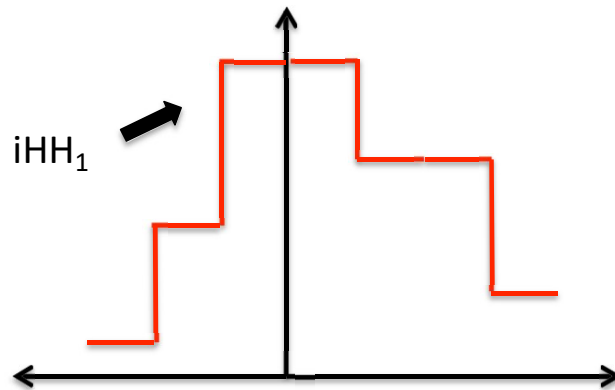


## Integrated Haplotype Score (iHS)



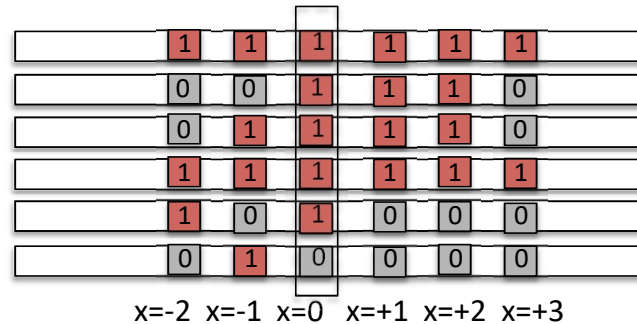
Integrated Haplotype Homozygosity (iHH)

$$iHS = \ln(iHH_1/iHH_0)$$



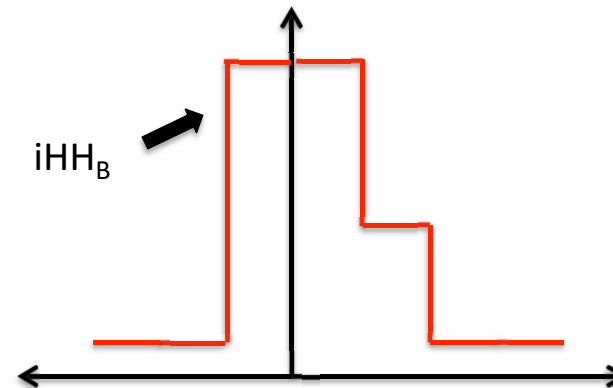
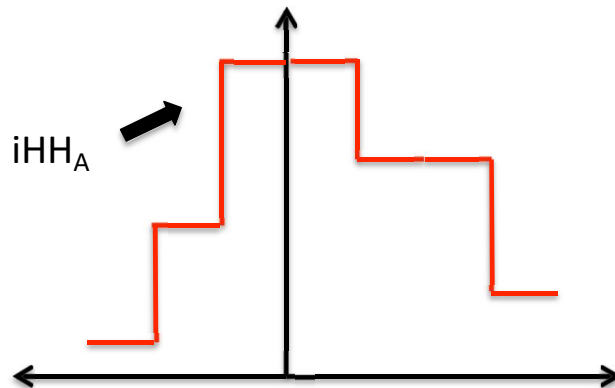
< -2 = derived allele  
> 2 = ancestral allele

## Cross Population Extended Homozygosity Haplotype (xpEHH)



Integrated Haplotype Homozygosity  
(iHH) for A and B

$$xpEHH = \ln(iHH_A / iHH_B)$$





# Recent Advances to Detect Selection

## 1. Composite scores (Grossman et al. 2013)

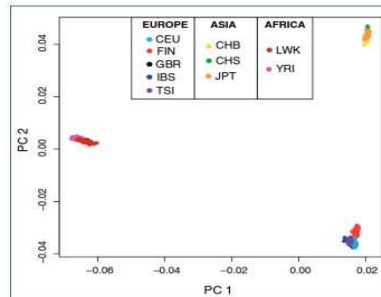
$$BF_i = \frac{P(v_i \in \text{bin}_{i,k} | \text{selected})}{P(v_i \in \text{bin}_{i,k} | \text{unselected})}$$

and defined the composite score as the product of the Bayes factor of each test:

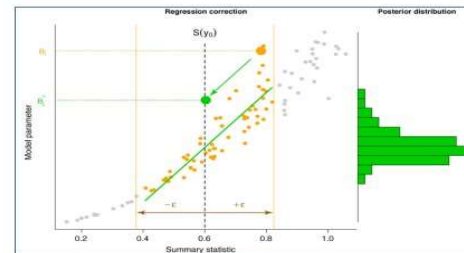
$$CMS_{GW} = \prod_{i \in \text{tests}} BF_i$$

## 3. Unsupervised machine learning

(PCA, Duforet-Frebourg et al. 2016)

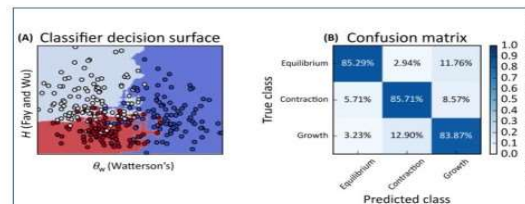


## 2. Simulations-based (rejection, ABC)



## 4. Supervised machine learning

(SVM, Schrider & Kern 2018)



**Thank you!**

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