

# Sequence-to-Sequence Architectures

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# Previously: processing text with RNNs

## Inputs

- One-hot vectors for words/characters/previous output
- Embeddings for words/sentences/context
- CNN over characters/words/sentences

⋮

## Recurrent layers

- Forward, backward, bidirectional, deep
- Activations:  $\sigma$ , tanh, gated (LSTM, GRU), ReLU initialized with identity

⋮

## Outputs

- Softmax over words/characters/labels
- Absent (i.e., pure encoders)

⋮

# Outline

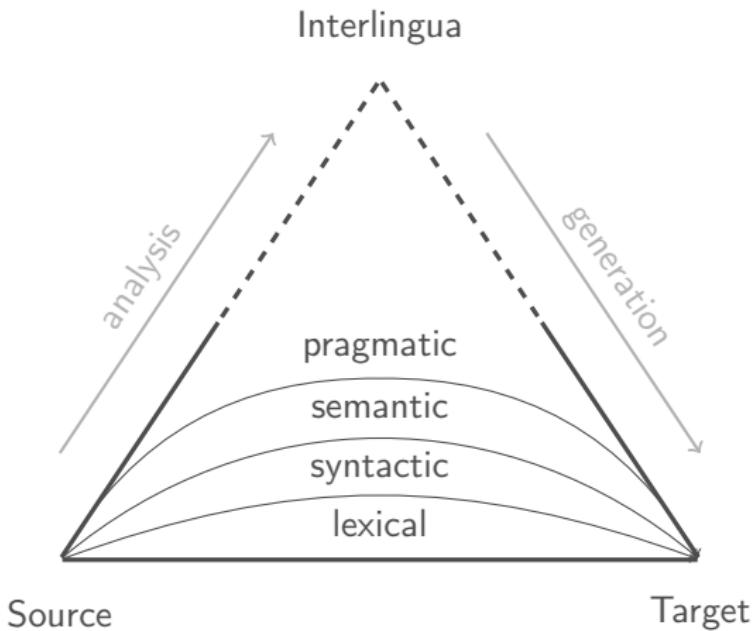
- Machine translation
  - Phrase-based MT
  - Encoder-decoder architecture
- Attention
  - Mechanism
  - Visualizations
  - Variants
  - Transformers
- Decoding large vocabularies
  - Alternatives
  - Copying
- Autoencoders
  - Denoising autoencoders
  - Variational autoencoders (VAEs)

# Machine Translation

*"One naturally wonders if the problem of translation could conceivably be treated as a problem in cryptography. When I look at an article in Russian, I say: 'This is really written in English, but it has been coded in some strange symbols. I will now proceed to decode.'*

— Warren Weaver  
*Translation* (1955)

# The MT Pyramid

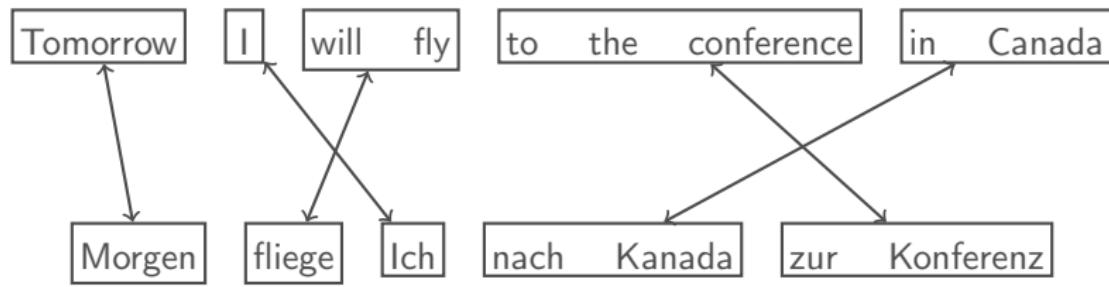


# Phrase-based MT

Tomorrow I will fly to the conference in Canada

Morgen fliege Ich nach Kanada zur Konferenz

# Phrase-based MT



# Phrase-based MT

1. Collect bilingual dataset  $\langle S_i, T_i \rangle \in \mathcal{D}$
  2. Unsupervised phrase-based alignment
    - ▶ phrase table  $\pi$
  3. Unsupervised n-gram language modeling
    - ▶ language model  $\psi$
  4. Supervised decoder
    - ▶ parameters  $\theta$
- $$\begin{aligned}\hat{T} &= \arg \max_T p(T|S) \\ &= \arg \max_T p(S|T, \pi, \theta) \cdot p(T|\psi)\end{aligned}$$

	kdybys	tam	byl	.	ted'	bys	to	věděl
if	█							
you			█					
were		█						
there								
you					█			
would						█		
know							█	
it					█			
now						█		

# Neural MT

1. Collect bilingual dataset  $\langle S_i, T_i \rangle \in \mathcal{D}$
2. Unsupervised phrase-based alignment
  - ▶ phrase tables
3. Unsupervised n-gram language modeling
  - ▶ language models
4. Supervised encoder-decoder framework
  - ▶ parameters  $\theta$

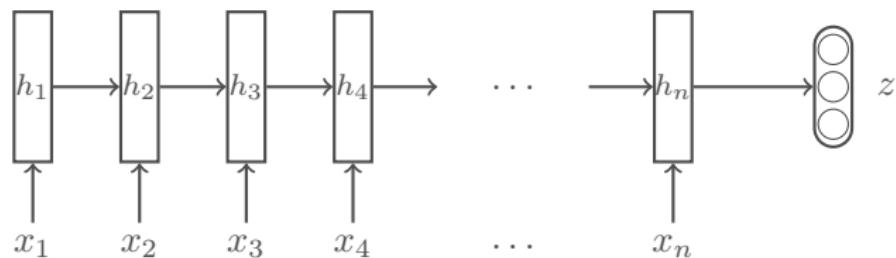
## RNN

**Input** words  $x_1, \dots, x_n$

**Output** label  $z$

gated activations

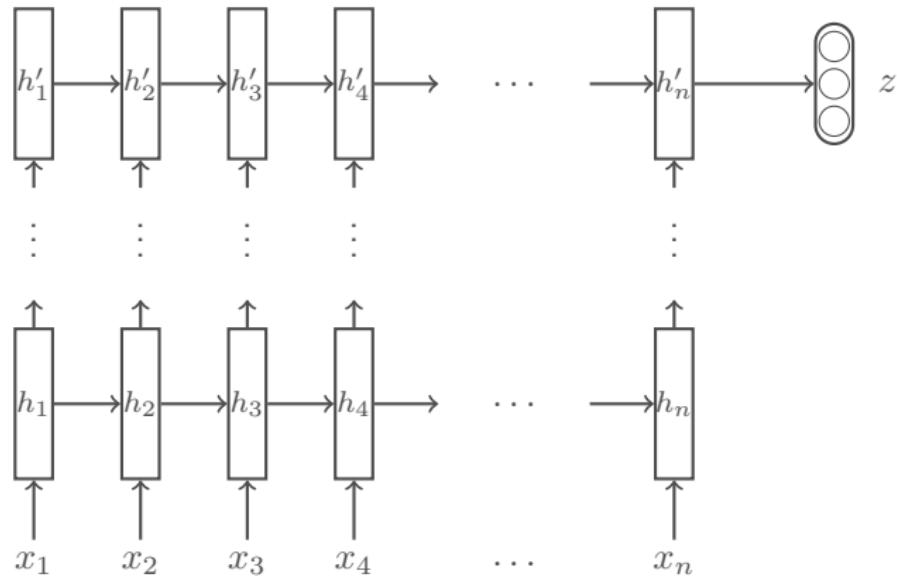
softmax



Deep RNN

**Input** words  $x_1, \dots, x_n$

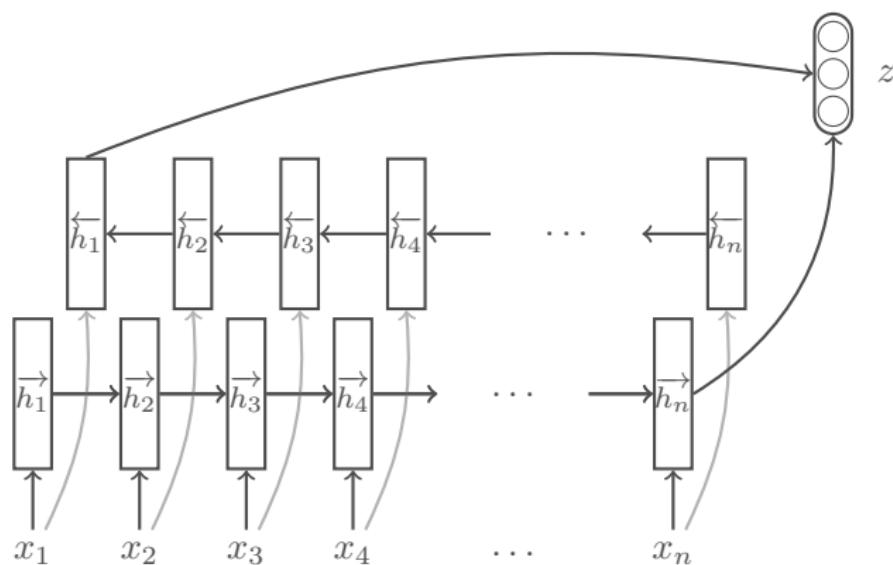
**Output** label  $z$



# Bidirectional RNN

**Input** words  $x_1, \dots, x_n$

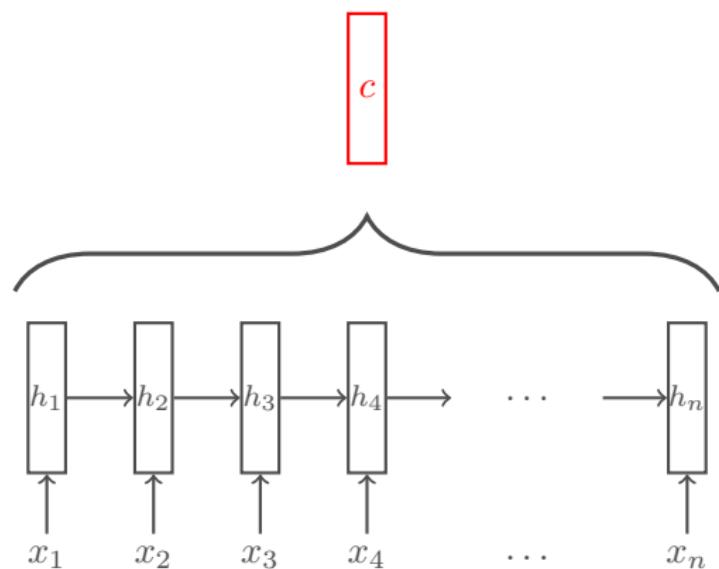
**Output** label  $z$



# RNN encoder

**Input** words  $x_1, \dots, x_n$

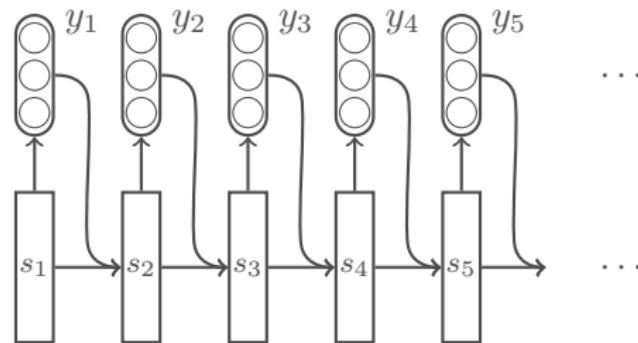
**Output** encoding  $c$



# RNN language model

**Input** words  $y_1, \dots, y_k$

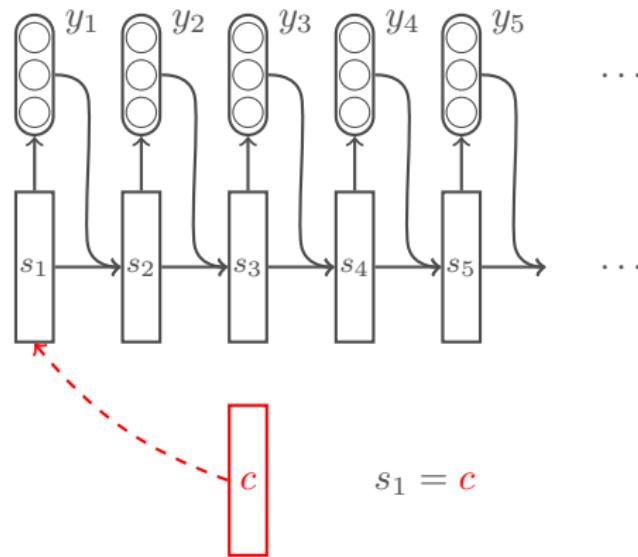
**Output** following words  $y_k, \dots, y_m$



# RNN decoder

**Input** context  $c$

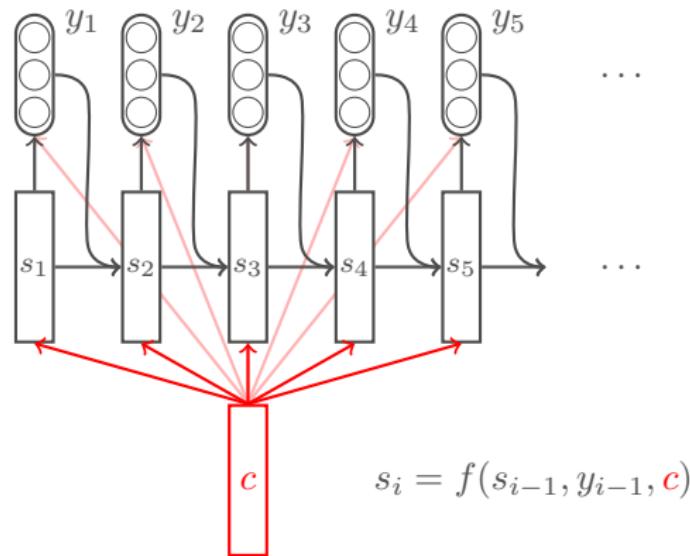
**Output** words  $y_1, \dots, y_m$



# RNN decoder

**Input** context  $c$

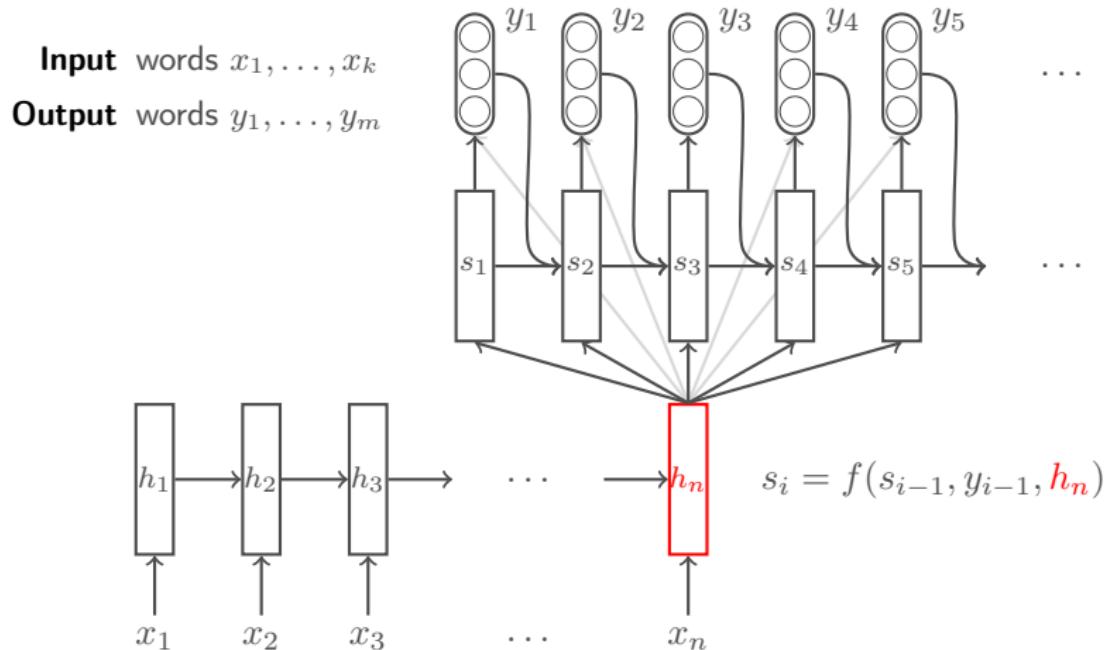
**Output** words  $y_1, \dots, y_m$



# Sequence-to-sequence learning

Sutskever, Vinyals & Le (2014)

*Sequence to Sequence Learning with Neural Networks*



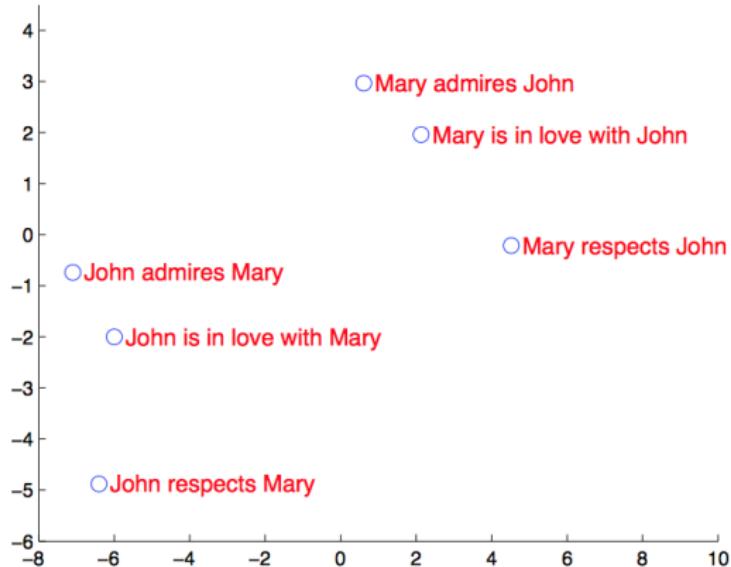
# Sequence-to-sequence learning

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*Sequence to Sequence Learning with Neural Networks*

Produces a fixed length representation of input

- “sentence embedding” or “thought vector”



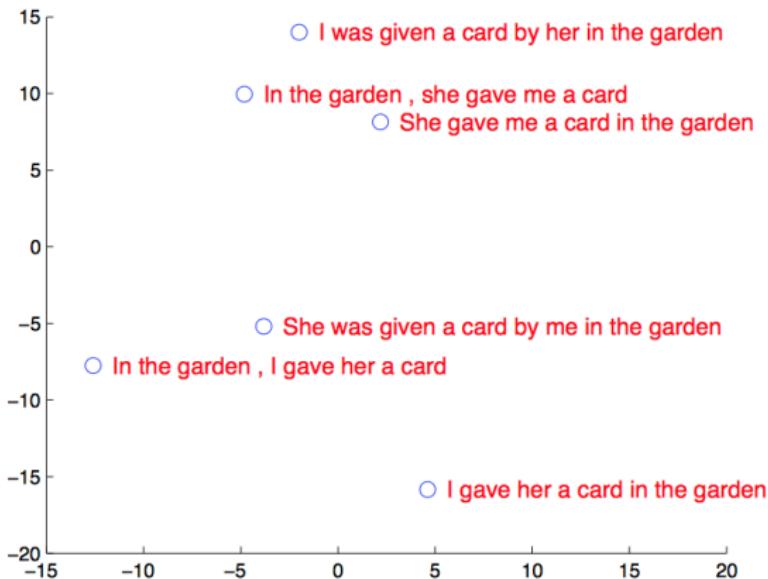
# Sequence-to-sequence learning

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Produces a fixed length representation of input

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# Sequence-to-sequence learning

Sutskever, Vinyals & Le (2014)

*Sequence to Sequence Learning with Neural Networks*

LSTM units do not solve vanishing gradients

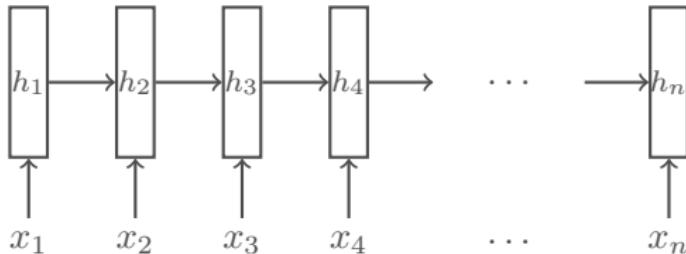
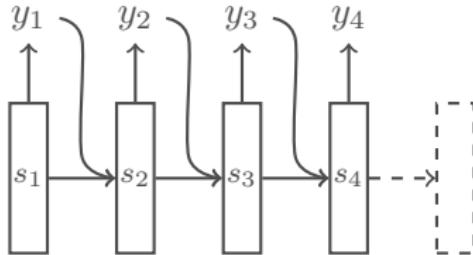
- Poor performance on long sentences
- Need to reverse the input

Method	test BLEU score (ntst14)
Bahdanau et al. [2]	28.45
Baseline System [29]	33.30
Single forward LSTM, beam size 12	26.17
Single reversed LSTM, beam size 12	30.59

## Attention-based translation

Bahdanau et al (2015)

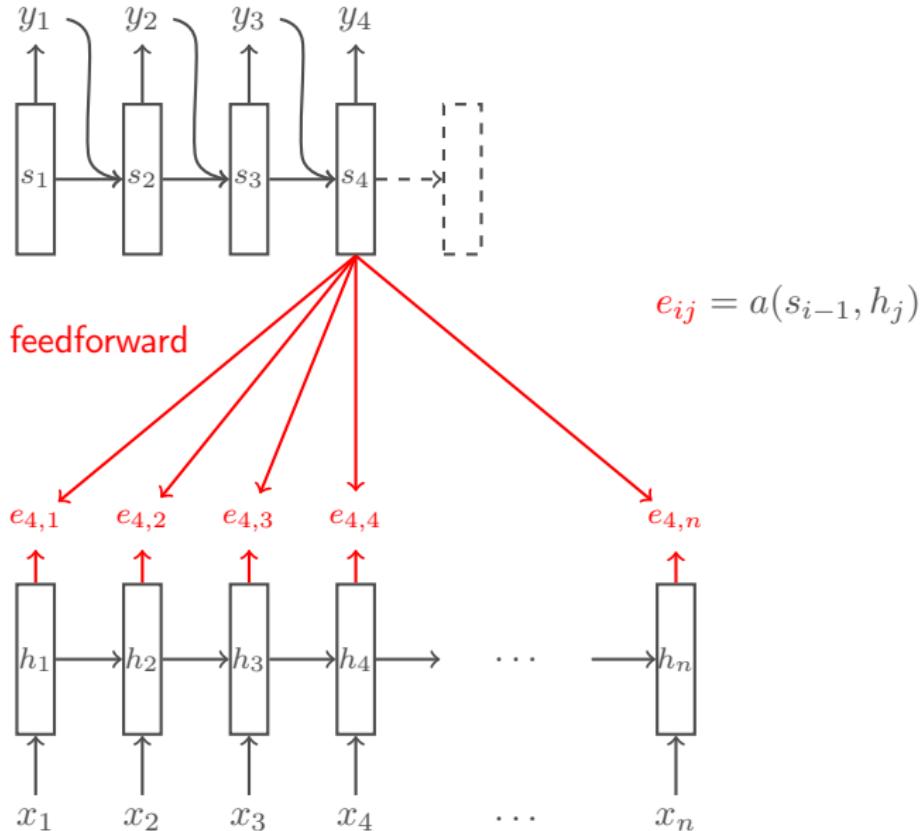
## *Neural Machine Translation by Jointly Learning to Align and Translate*



## Attention-based translation

Bahdanau et al (2015)

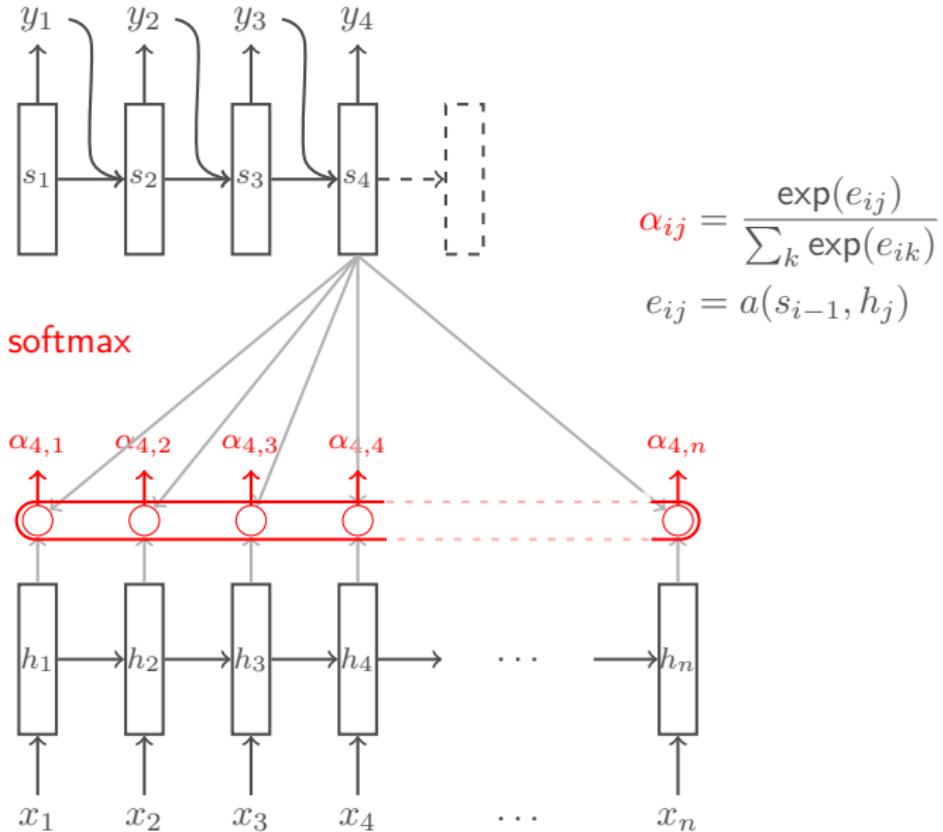
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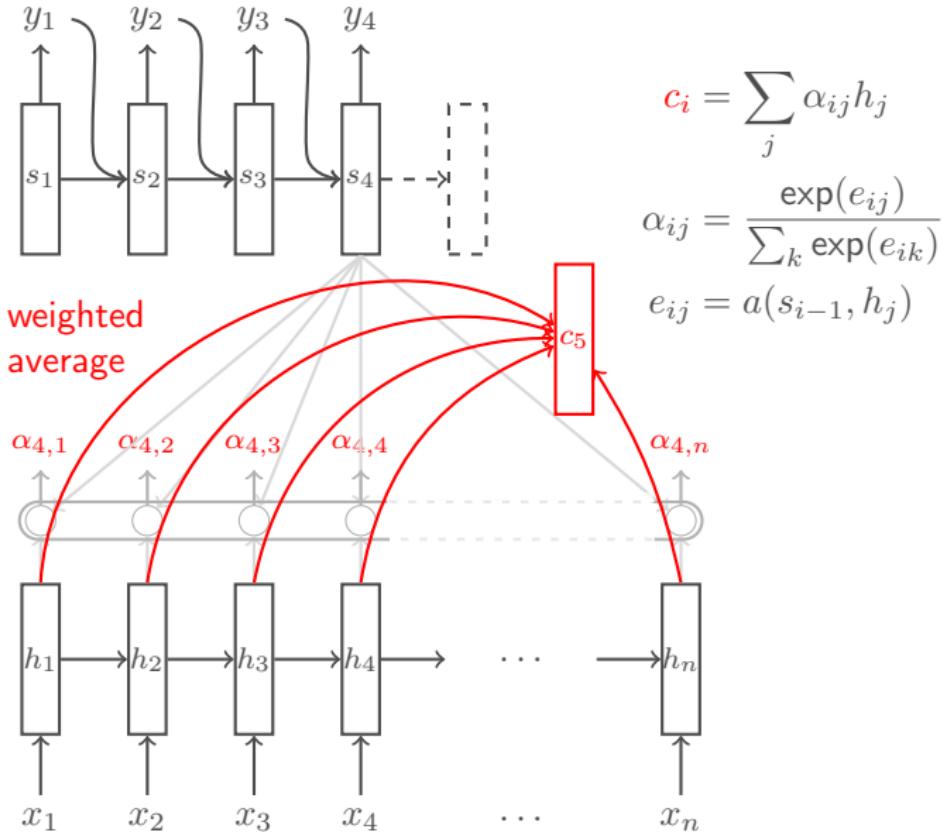
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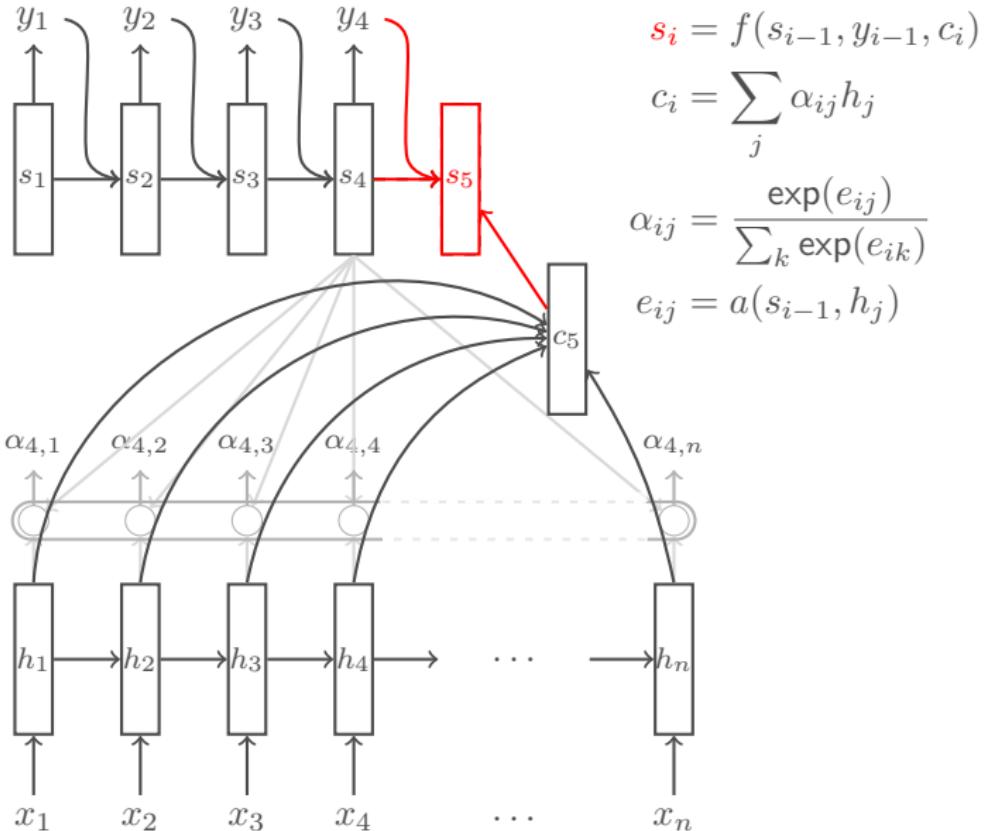
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# Attention-based translation

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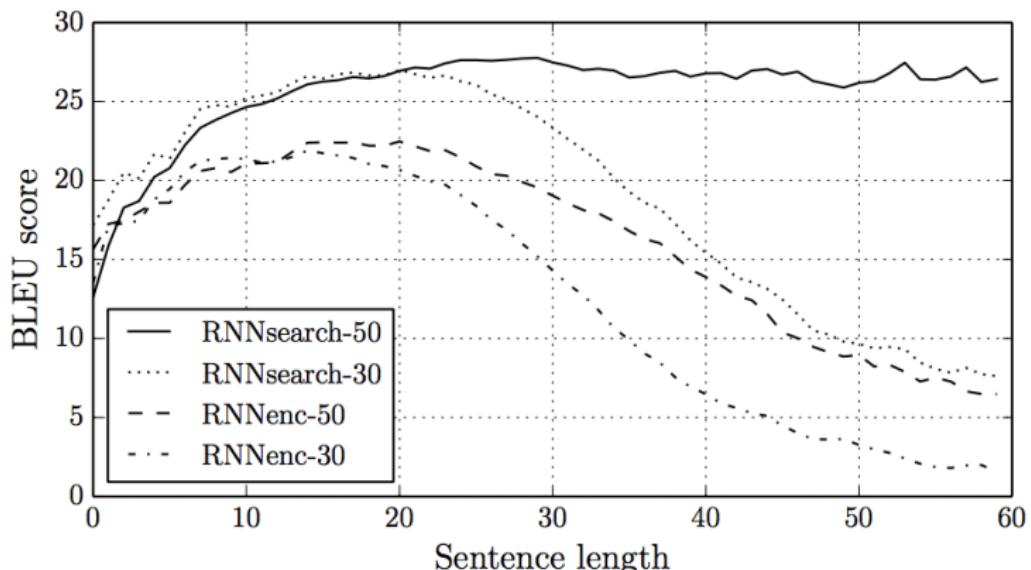
*Neural Machine Translation by Jointly Learning to Align and Translate*

- Bidirectional encoder, GRU activations
- Softmax for  $y_i$  depends on  $y_{i-1}$  and an additional hidden layer
  
- + Backprop directly to attended regions, avoiding vanishing gradients
- + Can visualize attention weights  $\alpha_{ij}$  to interpret prediction
- Inference is  $\mathcal{O}(mn)$  instead of  $\mathcal{O}(m)$  for seq-to-seq

# Attention-based translation

Bahdanau et al (2015)

*Neural Machine Translation by Jointly Learning to Align and Translate*

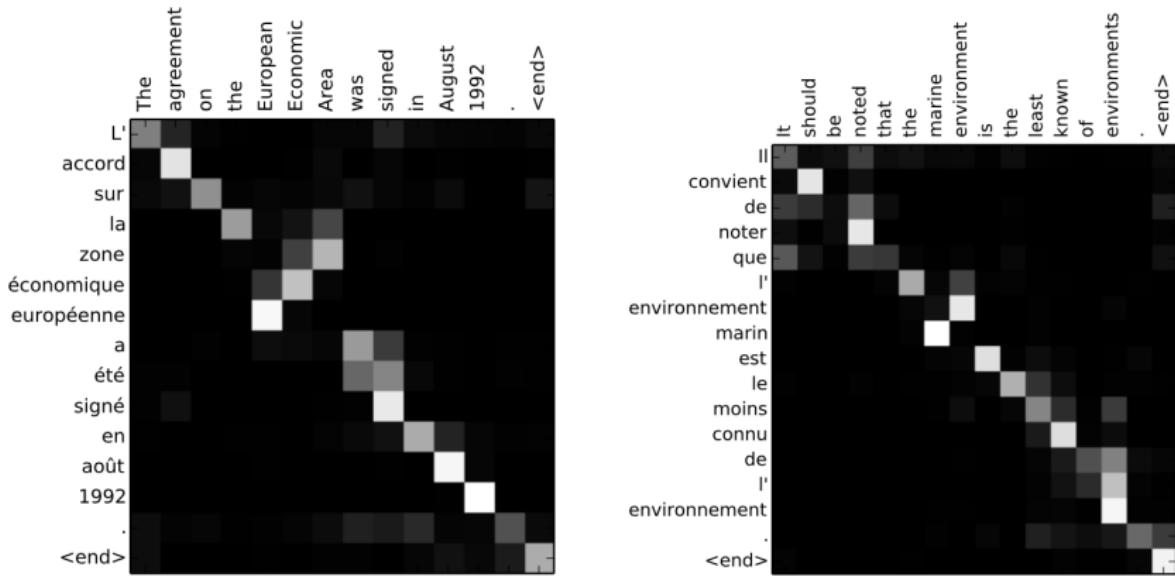


Improved results on long sentences

# Attention-based translation

Bahdanau et al (2015)

*Neural Machine Translation by Jointly Learning to Align and Translate*

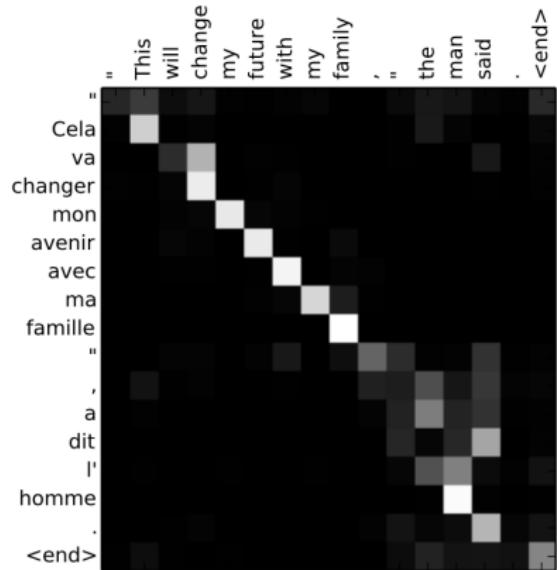
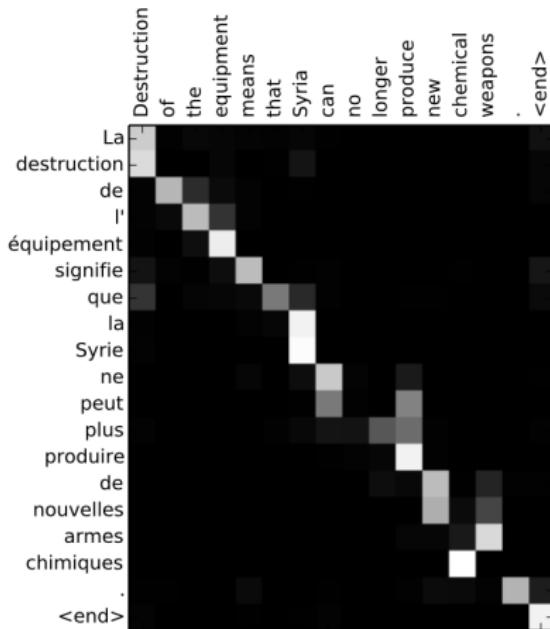


Sensible induced alignments

# Attention-based translation

Bahdanau et al (2015)

*Neural Machine Translation by Jointly Learning to Align and Translate*



Sensible induced alignments

# Natural language inference

Given a premise, e.g.,

*The purchase of Houston-based LexCorp by BMI for \$2Bn prompted widespread sell-offs by traders as they sought to minimize exposure. LexCorp had been an employee-owned concern since 2008.*

and a hypothesis, e.g.,

*BMI acquired an American company.* (1)

predict whether the premise

- o entails the hypothesis
- o contradicts the hypothesis
- o or remains neutral

# Natural language inference

Given a premise, e.g.,

*The purchase of Houston-based LexCorp by BMI for \$2Bn prompted widespread sell-offs by traders as they sought to minimize exposure. LexCorp had been an employee-owned concern since 2008.*

and a hypothesis, e.g.,

*BMI bought employee-owned LexCorp for \$3.4Bn.* (2)

predict whether the premise

- o entails the hypothesis
- o contradicts the hypothesis
- o or remains neutral

# Natural language inference

Given a premise, e.g.,

*The purchase of Houston-based LexCorp by BMI for \$2Bn prompted widespread sell-offs by traders as they sought to minimize exposure. LexCorp had been an employee-owned concern since 2008.*

and a hypothesis, e.g.,

*BMI is an employee-owned concern.* (3)

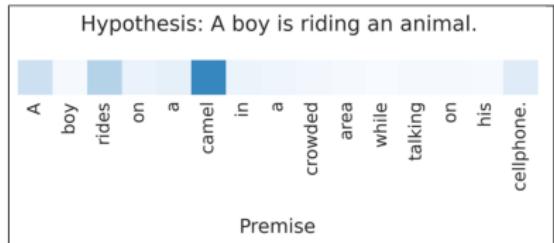
predict whether the premise

- o entails the hypothesis
- o contradicts the hypothesis
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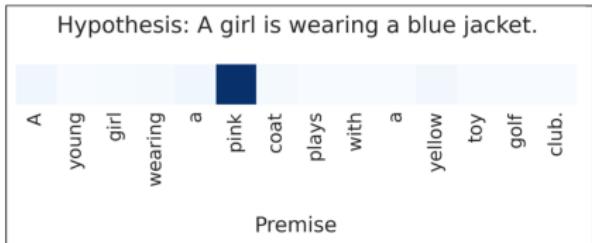
# Natural language inference

Rocktäschel et al (2016)

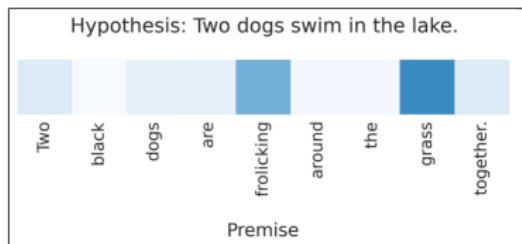
*Reasoning about Entailment with Neural Attention*



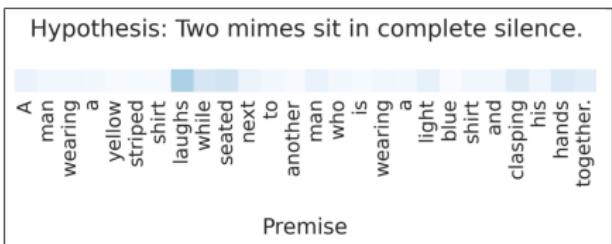
(a)



(b)



(c)



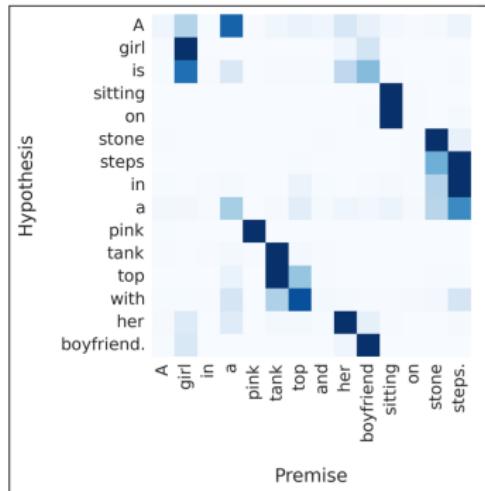
(d)

Attention conditioned on  $h_T$

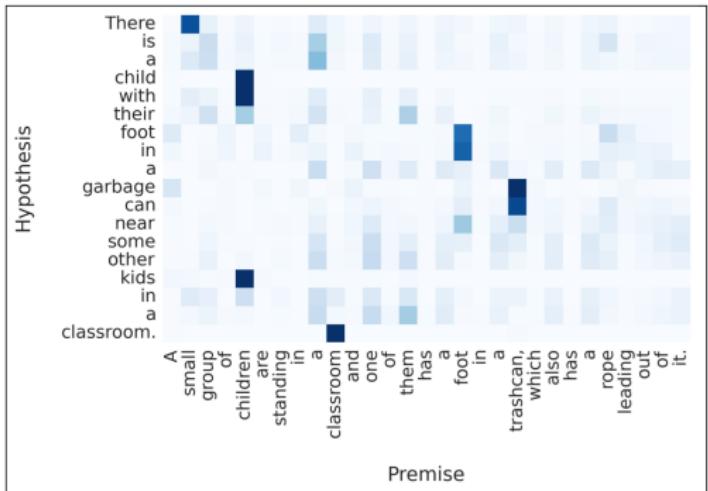
# Natural language inference

Rocktäschel et al (2016)

*Reasoning about Entailment with Neural Attention*



(a)



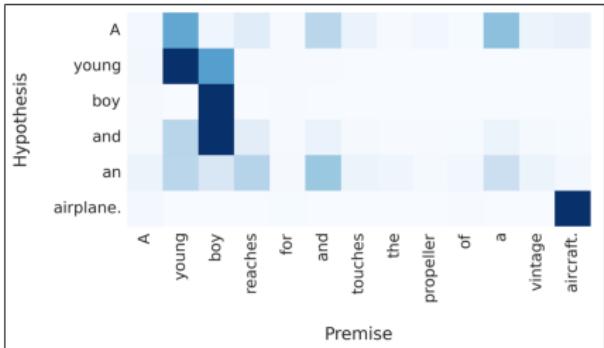
(b)

Attention conditioned on  $h_1, \dots, h_T$ : Synonymy, importance

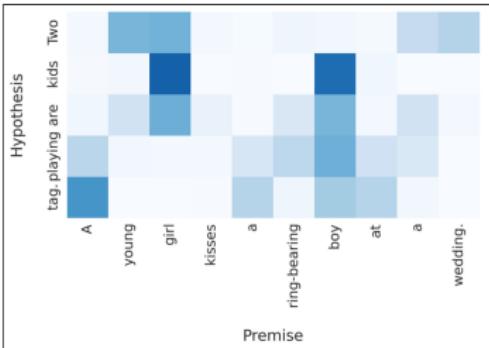
# Natural language inference

Rocktäschel et al (2016)

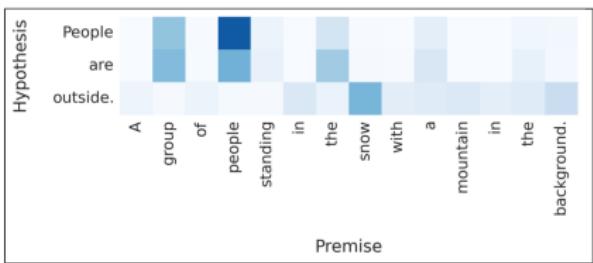
*Reasoning about Entailment with Neural Attention*



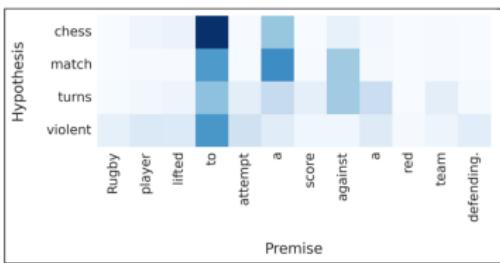
(c)



(d)



(e)



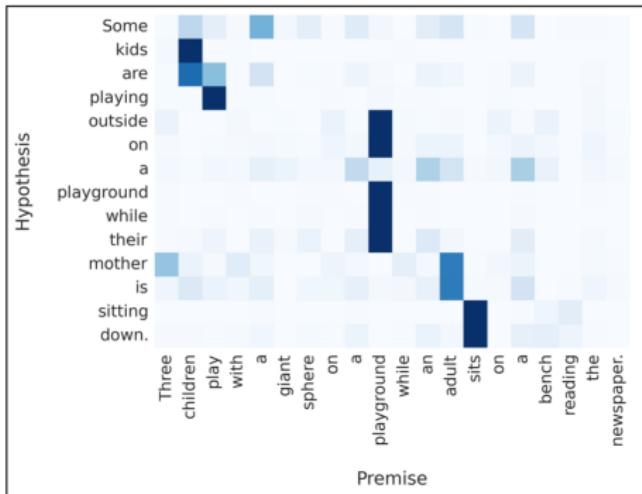
(f)

Attention conditioned on  $h_1, \dots, h_T$ : Relatedness

# Natural language inference

Rocktäschel et al (2016)

*Reasoning about Entailment with Neural Attention*



(g)

Attention conditioned on  $h_1, \dots, h_T$ : Many:one

The FBI is chasing a criminal on the run .

The FBI is chasing a criminal on the run .

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The FBI is chasing a criminal on the run .

The FBI is chasing a criminal on the run .

The FBI is chasing a criminal on the run .

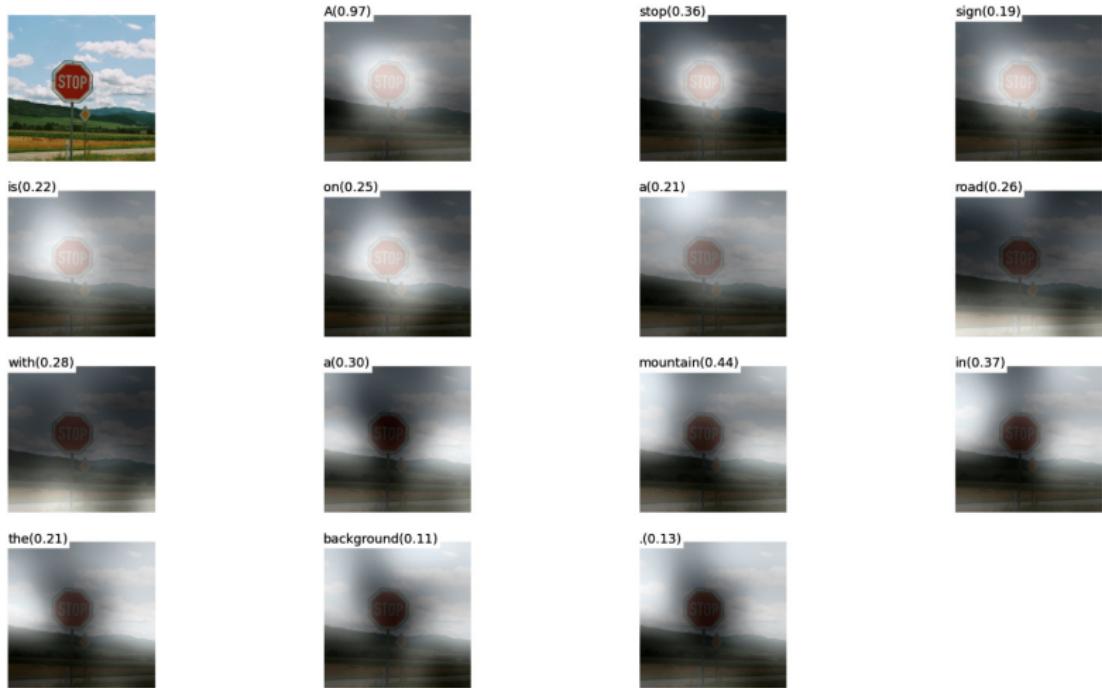
The FBI is chasing a criminal on the run .

The FBI is chasing a criminal on the run .

# Attention over images

Xu et al (2015)

Show, Attend & Tell: Neural Image Caption Generation with Visual Attention

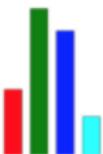


(b) A stop sign is on a road with a mountain in the background.

# Attention over videos

Yao et al (2015)

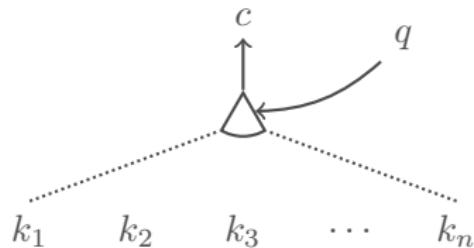
*Describing Videos by Exploiting Temporal Structure*



**+Local+Global:** Someone is frying a fish in a pot

# Attention variants

$c = \text{ATTENTION}(\text{query } q, \text{ keys } k_1 \dots k_n)$



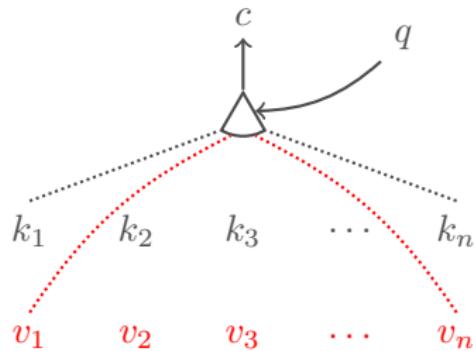
$$\alpha_i = \text{softmax}(\text{score}(q, k_i))$$

$$c = \sum_i \alpha_i, k_i$$

# Attention variants

$c = \text{ATTENTION}(\text{query } q, \text{ keys } k_1 \dots k_n, \text{ values } v_1 \dots v_n)$

e.g., memory networks (Weston et al, 2015; Sukhbataar et al, 2015)

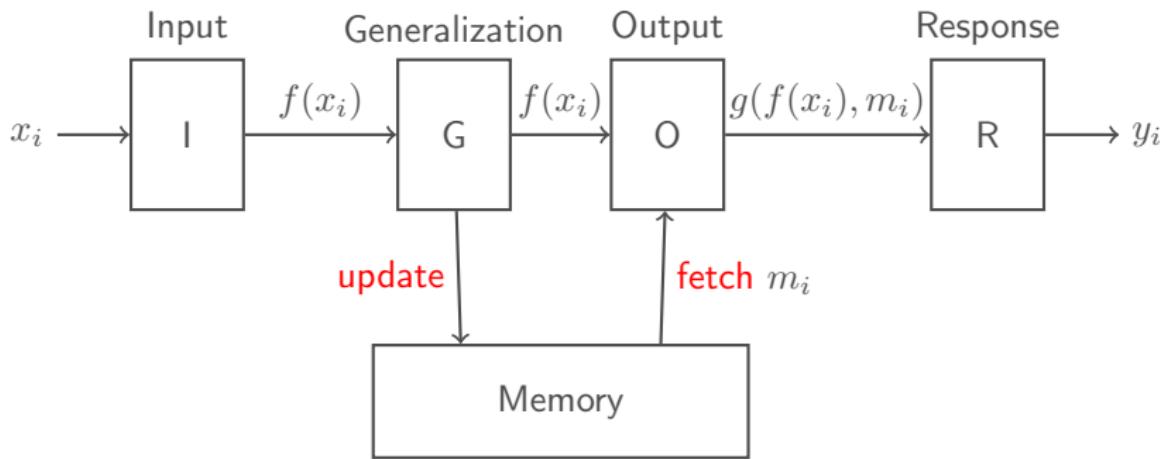


$$\alpha_i = \text{softmax}(\text{score}(q, k_i))$$

$$c = \sum_i \alpha_i, v_i$$

# Attention variants

Weston et al (2015)  
Memory Networks



MemN2N (Sukhbataar et al, 2015)

- + Soft attention over memories
- + Multiple memory lookups (hops)
- + End-to-end training

# Attention scoring functions

- Additive (Bahdanau et al, 2015)

$$\text{score}(q, k) = \mathbf{u}^\top \tanh(\mathbf{W}[q; k])$$

- Multiplicative (Luong et al, 2015)

$$\text{score}(q, k) = q^\top \mathbf{W}k$$

- Scaled dot-product (Vaswani et al, 2017)

$$\text{score}(q, k) = \frac{q^\top k}{\sqrt{d_k}}$$

## Attention variants

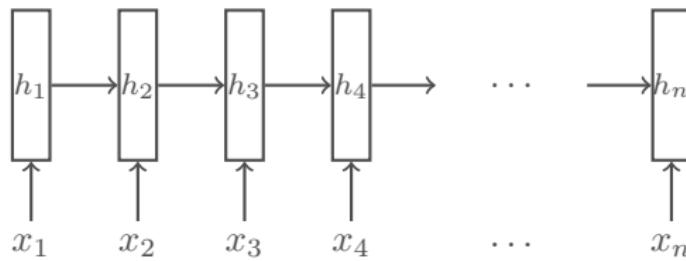
- Stochastic hard attention (Xu et al, 2015)
- Local attention (Luong et al, 2015)
- Monotonic attention (Yu et al, 2016; Raffel et al, 2017)
- Self attention (Cheng et al, 2016; Vaswani et al, 2017)
- Convolutional attention (Allamanis et al, 2016)
- Structured attention (Kim et al, 2017)
- Multi-headed attention (Vaswani et al, 2017)

# Transformer

Vaswani et al (2017)

*Attention is All You Need*

RNN encoder

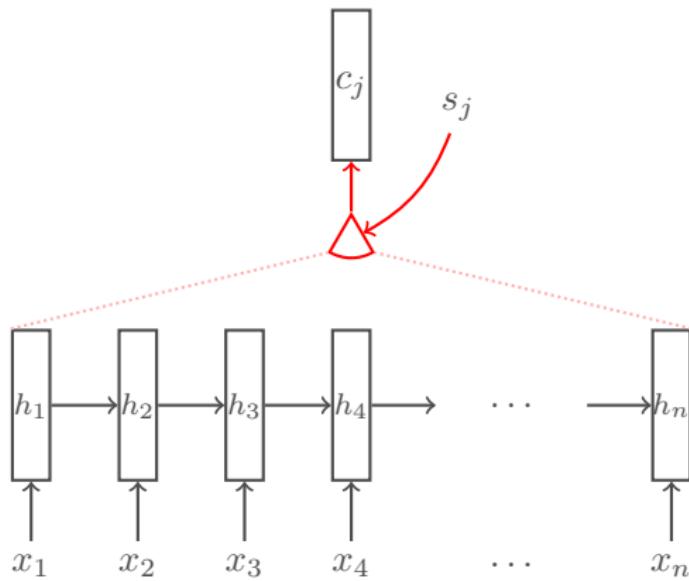


# Transformer

Vaswani et al (2017)

*Attention is All You Need*

RNN encoder with **attention**

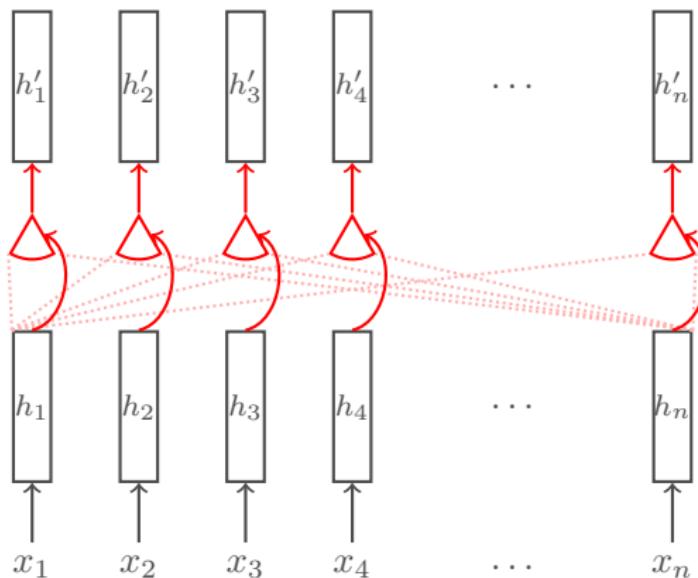


# Transformer

Vaswani et al (2017)

*Attention is All You Need*

Deep encoder with **self**-attention

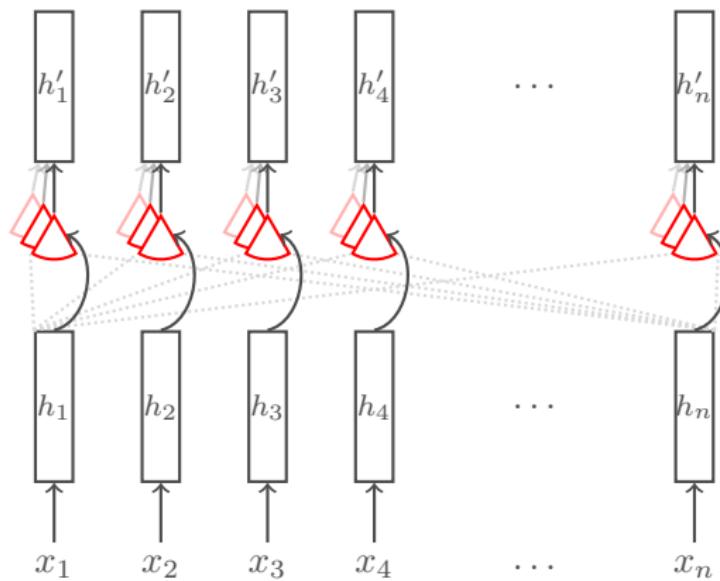


# Transformer

Vaswani et al (2017)

*Attention is All You Need*

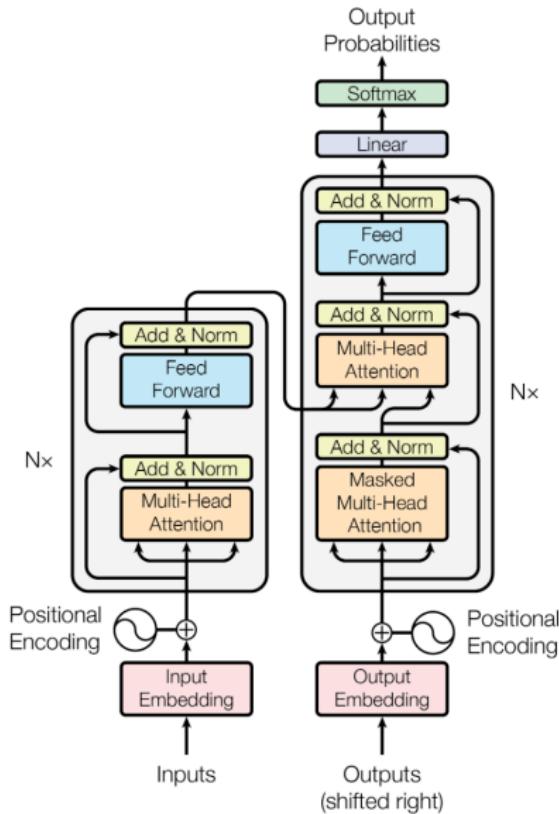
Deep encoder with **multi-head** self-attention



# Transformer

Vaswani et al (2017)

*Attention is All You Need*



# Transformer

Vaswani et al (2017)

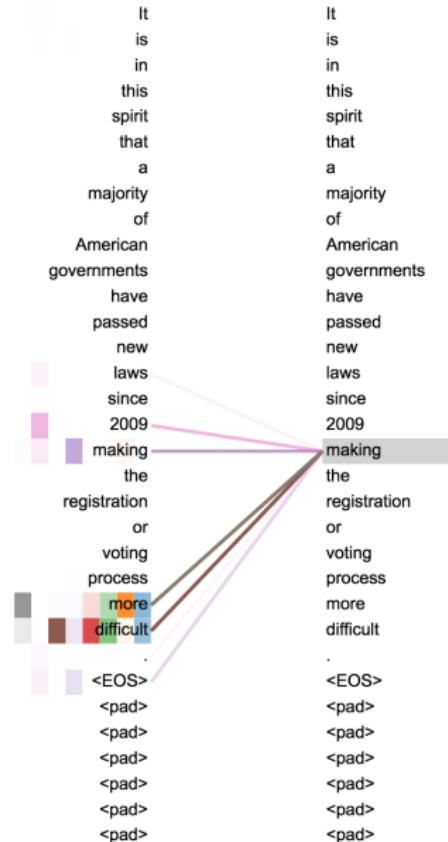
*Attention is All You Need*

- Self-attention at every layer instead of recurrence
  - Quadratic increase in computation for each hidden state
  - + Inference can be parallelized
- No sensitivity to input position
  - Positional embeddings required
  - + Can apply to sets
- Deep architecture (6 layers) with multi-head attention
  - + Higher layers appear to learn linguistic structure
- Scaled dot-product attention with masking
  - + Avoids bias in simple dot-product attention
  - + Fewer parameters needed for rich model
- Improved runtime and performance on translation, parsing, etc

# Transformer

Vaswani et al (2017)

*Attention is All You Need*



# Transformer

Vaswani et al (2017)

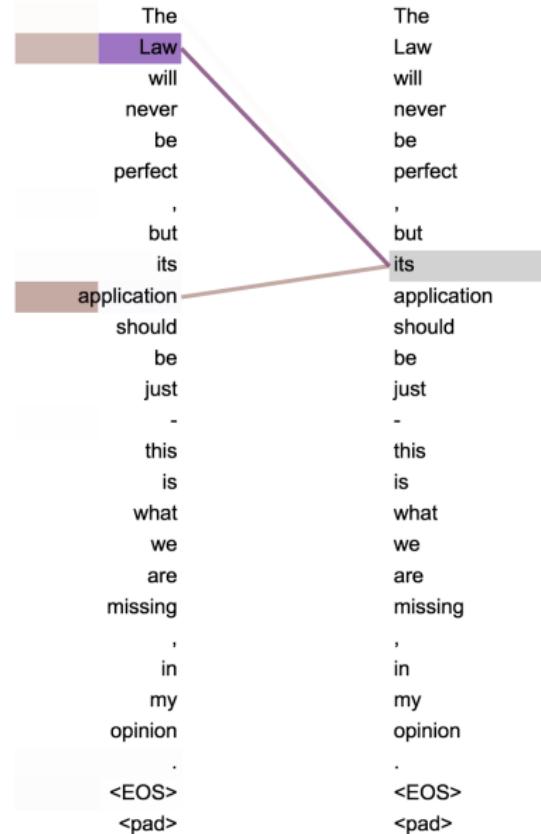
*Attention is All You Need*



# Transformer

Vaswani et al (2017)

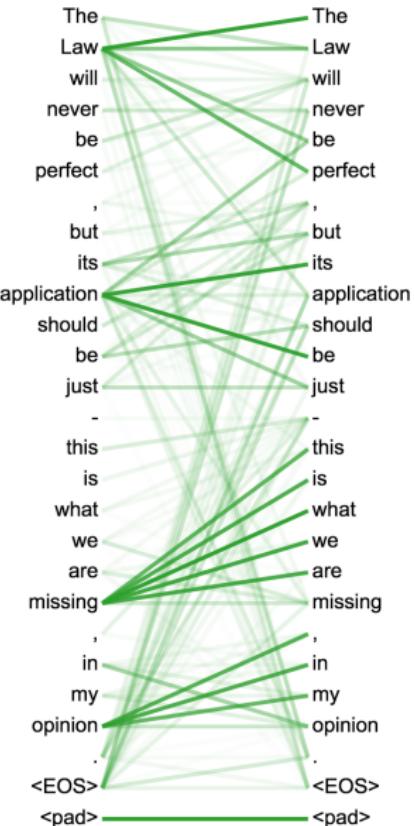
*Attention is All You Need*



# Transformer

Vaswani et al (2017)

*Attention is All You Need*



# Transformer

Vaswani et al (2017)

*Attention is All You Need*



# Large vocabularies

Sequence-to-sequence models can typically scale to 30K-50K words

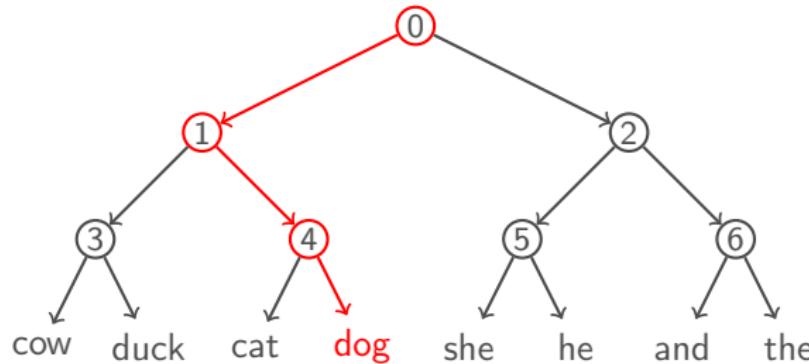
But real-world applications need at least 500K-1M words

# Large vocabularies

## Alternative 1: Hierarchical softmax

- Predict path in binary tree representation of output layer
- Reduces to  $\log_2(V)$  binary decisions

$$p(w_t = \text{"dog"} | \dots) = (1 - \sigma(U_0 h_t)) \times \sigma(U_1 h_t) \times \sigma(U_4 h_t)$$



# Large vocabularies

Jean et al (2015)

*On Using Very Large Target Vocabulary for Neural Machine Translation*

## Alternative 2: Importance sampling

- Expensive to compute the softmax normalization term over  $V$

$$p(y_i = w_j | y_{<i}, x) = \frac{\exp(W_j^\top f(s_i, y_{i-1}, c_i))}{\sum_{k=1}^{|V|} \exp(W_k^\top f(s_i, y_{i-1}, c_i))}$$

- Use a small subset of the target vocabulary for each update
- Approximate expectation over gradient of loss with fewer samples
- Partition the training corpus and maintain local vocabularies in each partition to use GPUs efficiently

# Large vocabularies

Sennrich et al (2016)

*Neural Machine Translation of Rare Words with Subword Units*

## Alternative 3: Subword units

- Reduce vocabulary by replacing infrequent words with sub-words

Jet makers feud over seat width with big orders at stake



\_ J et \_ makers \_ fe ud \_ over \_ seat \_ width \_ with \_ big \_ orders \_ at \_ stake

- Code for byte-pair encoding (BPE):

<https://github.com/rsennrich/subword-nmt>

# Copying

Gu et al (2016)

*Incorporating Copying Mechanism in Sequence-to-Sequence Learning*

In monolingual tasks, copy rare words directly from the input

- Generation via standard attention-based decoder

$$\psi_g(y_i = w_j) = W_j^\top f(s_i, y_{i-1}, c_i) \quad w_j \in V$$

- Copying via a non-linear projection of input hidden states

$$\psi_c(y_i = x_j) = \tanh(h_j^\top U) f(s_i, y_{i-1}, c_i) \quad x_j \in X$$

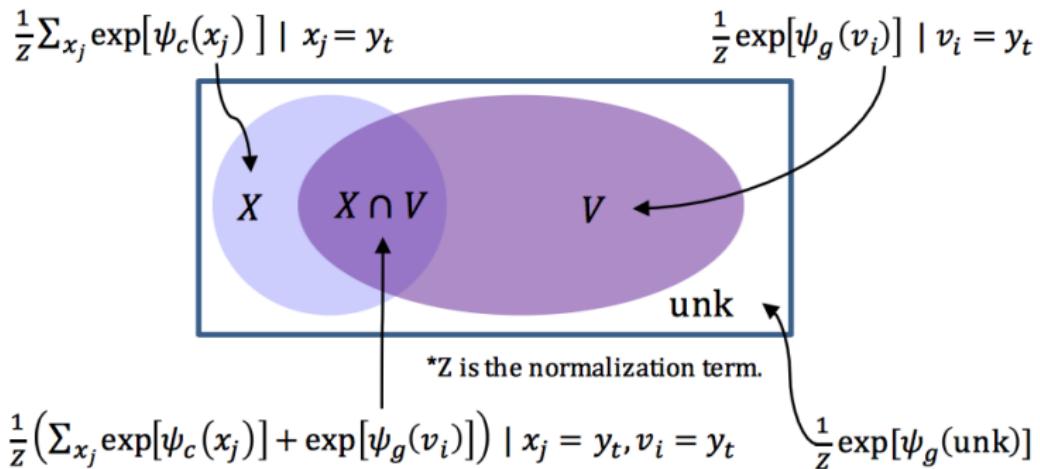
- Both modes compete via the softmax

$$p(y_i = w_j | y_{<i}, x) = \frac{1}{Z} \left( \exp(\psi_g(w_j)) + \sum_{k: x_k = w_j} \exp(\psi_c(x_k)) \right)$$

# Copying

Gu et al (2016)

*Incorporating Copying Mechanism in Sequence-to-Sequence Learning*

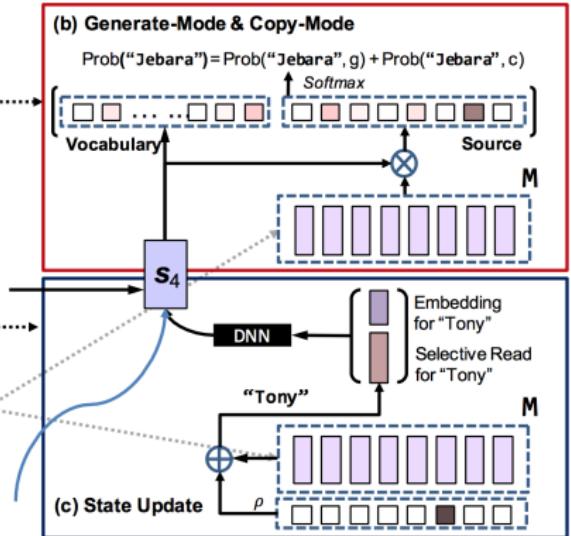
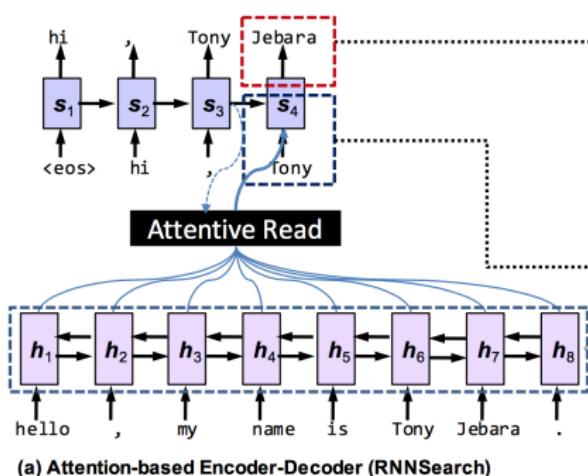


Decoding probability  $p(y_t | \dots)$

# Copying

Gu et al (2016)

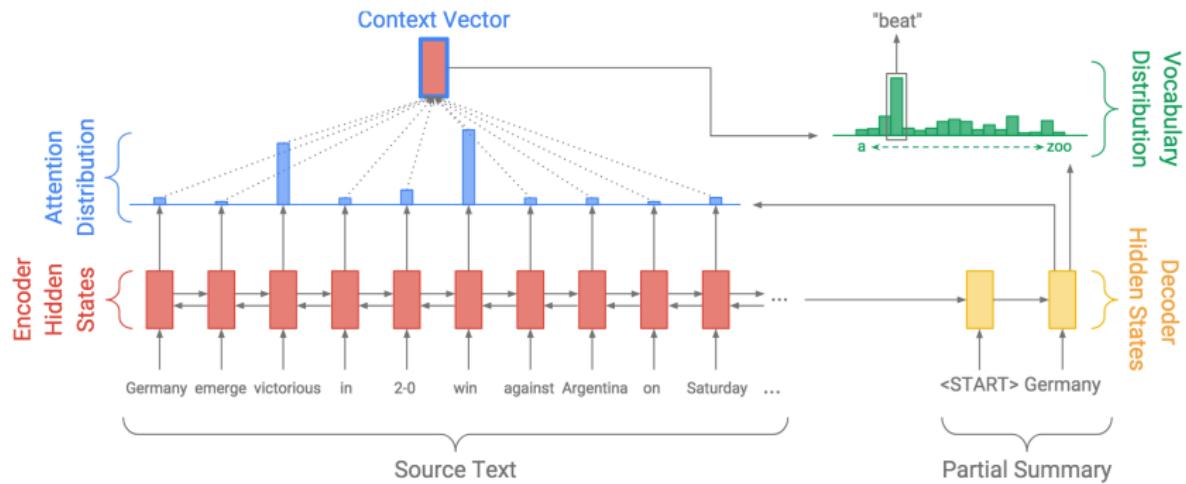
Incorporating Copying Mechanism in Sequence-to-Sequence Learning



# Copying

See et al (2017)

*Get to the Point: Summarization with Pointer Generator Networks*

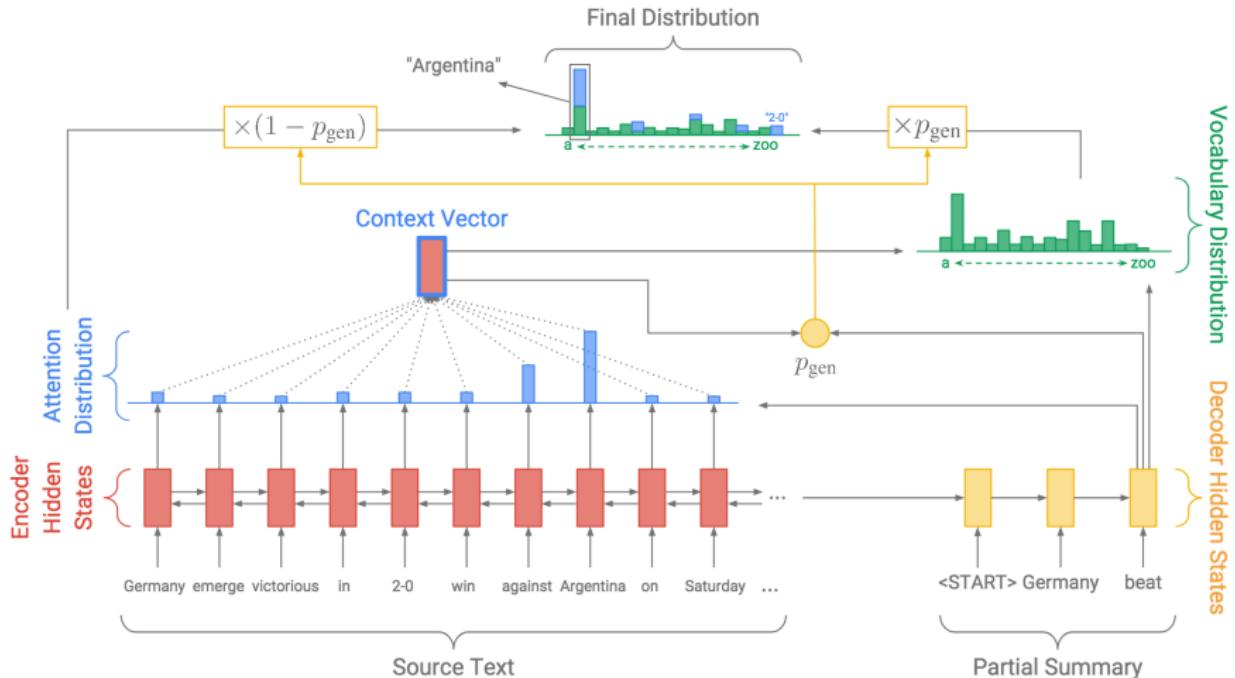


Attention for common words

## Copying

See et al (2017)

Get to the Point: Summarization with Pointer Generator Networks



## Copying from input for rarer words

# Autoencoders

Given input  $x$ , learn an encoding  $z$  that can be decoded to reconstruct  $x$

For sequence input  $x_1, \dots, x_n$ , can use standard MT models

- Is attention viable?
- + Useful for pre-training text classifiers (Dai et al, 2015)

# Denoising autoencoders

Hill et al (2016)

*Learning Distributed Representations of Sentences from Unlabeled Data*

Given **noisy** input  $\tilde{x}$ , learn an encoding  $z$  that can be decoded to reconstruct  $x$

Noise: drop words or swap two words with some probability

- + Helpful as features for a linear classifier
- + Can learn sentence representations without sentence order

Query	<i>If he had a weapon, he could maybe take out their last imp, and then beat up Errol and Vanessa.</i>	<i>An annoying buzz started to ring in my ears, becoming louder and louder as my vision began to swim.</i>
CBOW	<i>Then Rob and I would duke it out, and every once in a while, he would actually beat me.</i>	<i>Louder.</i>
Skip Thought	<i>If he could ram them from behind, send them sailing over the far side of the levee, he had a chance of stopping them.</i>	<i>A weighty pressure landed on my lungs and my vision blurred at the edges, threatening my consciousness altogether.</i>
FastSent	<i>Isak's close enough to pick off any one of them, maybe all of them, if he had his rifle and a mind to.</i>	<i>The noise grew louder, the quaking increased as the sidewalk beneath my feet began to tremble even more.</i>
SDAE	<i>He'd even killed some of the most dangerous criminals in the galaxy, but none of those men had gotten to him like Vitkis.</i>	<i>I smile because I'm familiar with the knock, pausing to take a deep breath before dashing down the stairs.</i>
DictRep (FF+emb.)	<i>Kevin put a gun to the man's head, but even though he cried, he couldn't tell Kevin anything more.</i>	<i>Then gradually I began to hear a ringing in my ears.</i>
Paragraph Vector (DM)	<i>I take a deep breath and open the doors.</i>	<i>They listened as the motorcycle-like roar of an engine got louder and louder then stopped.</i>

Table 5: Sample nearest neighbour queries selected from a randomly sampled 0.5m sentences of the Toronto Books Corpus.

# Variational autoencoders (VAEs)

Kingma & Welling (2014)

*Auto-encoding Variational Bayes*

Autoencoders often don't generalize well to new data, noisy representations

Approximate the posterior  $p(z|x)$  with variational inference

- Encoder: induce  $q(z|x)$  with parameters  $\theta$
- Decoder: sample  $z$  and reconstruct  $x$  with parameters  $\phi$
- Loss:

$$\ell_i = -\mathbb{E}_{z \sim q_\theta(z|x_i)} \log p_\phi(x_i|z) + \text{KL}(q_\theta(z|x_i)||p(z))$$

Estimate gradients using *reparameterization trick* for Gaussians

$$z \sim \mathcal{N}(\mu, \sigma^2) = \mu + \sigma \times [z' \sim \mathcal{N}(0, 1)]$$

# Variational autoencoders (VAEs)

Bowman et al (2016)

*Generating Sentences from a Continuous Space*

- + Better at word imputation than RNNs
- + Can interpolate smoothly between representations in the latent space

**" i want to talk to you . "**

*"i want to be with you . "*

*"i do n't want to be with you . "*

*i do n't want to be with you .*

**she did n't want to be with him .**

**he was silent for a long moment .**

*he was silent for a moment .*

*it was quiet for a moment .*

*it was dark and cold .*

*there was a pause .*

**it was my turn .**

**this was the only way .**

*it was the only way .*

*it was her turn to blink .*

*it was hard to tell .*

*it was time to move on .*

*he had to do it again .*

*they all looked at each other .*

*they all turned to look back .*

*they both turned to face him .*

**they both turned and walked away .**

**i dont like it , he said .**

*i waited for what had happened .*

*it was almost thirty years ago .*

*it was over thirty years ago .*

*that was six years ago .*

*he had died two years ago .*

*ten , thirty years ago .*

*" it 's all right here .*

*" everything is all right here .*

*" it 's all right here .*

*it 's all right here .*

*we are all right here .*

**come here in five minutes .**

**there is no one else in the world .**

*there is no one else in sight .*

*they were the only ones who mattered .*

*they were the only ones left .*

*he had to be with me .*

*she had to be with him .*

*i had to do this .*

*i wanted to kill him .*

*i started to cry .*

**i turned to him .**