



# Large-Scale Face Recognition

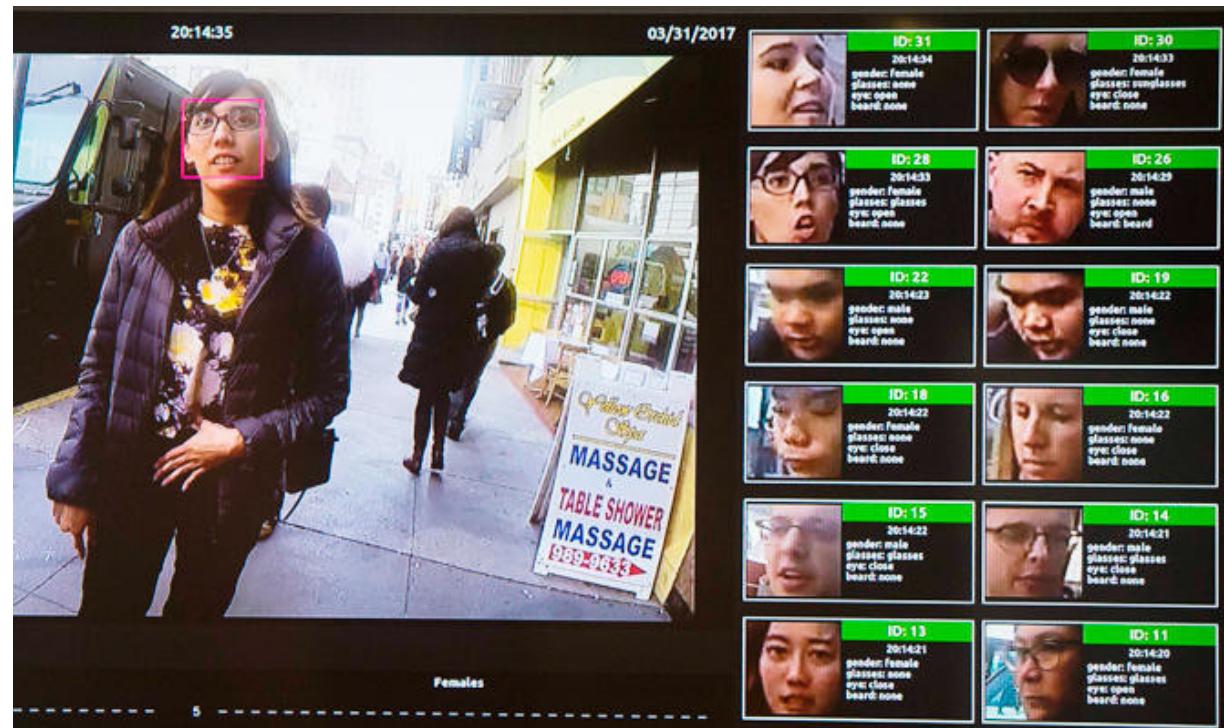
Lei Zhang

Microsoft

October 2, 2018

# Face Recognition

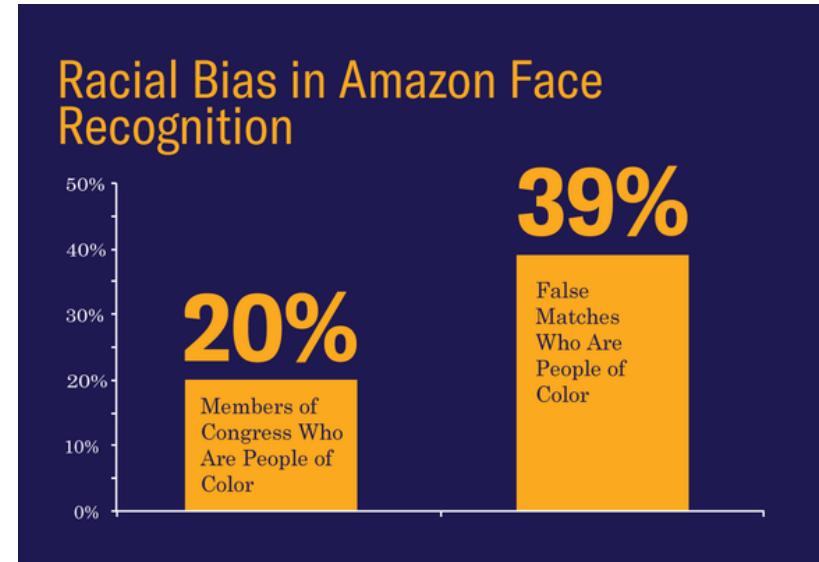
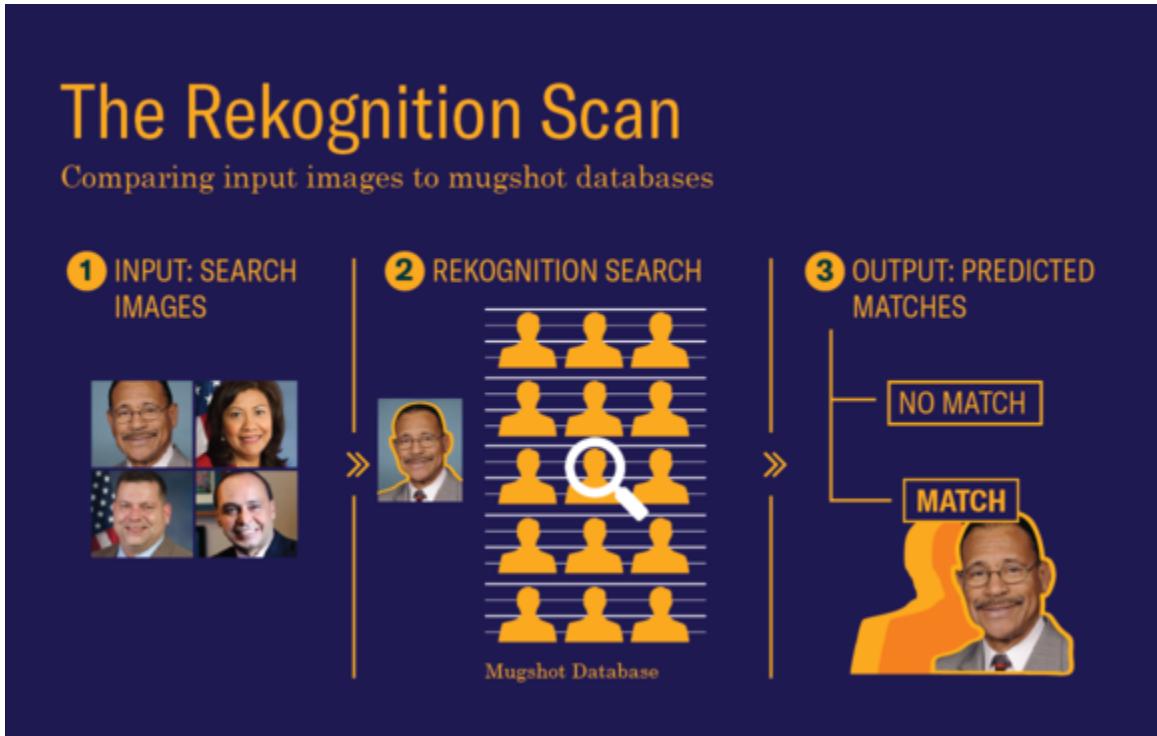
- Face recognition has been greatly advanced in recent years due to the breakthrough in deep learning
- Many real applications
  - Security & law enforcement
  - Financial authentication
  - Airports
  - Brands & PR agencies
  - Targeted advertising
  - ...



## Chinese park installs facial recognition software to stop toilet paper thieves, 03/2017

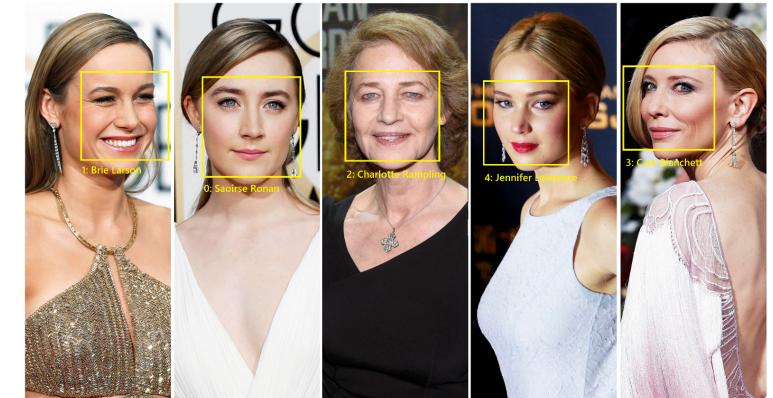


# Amazon's Face Recognition Falsely Matched 28 Members of Congress With Mugshots, 07/2018



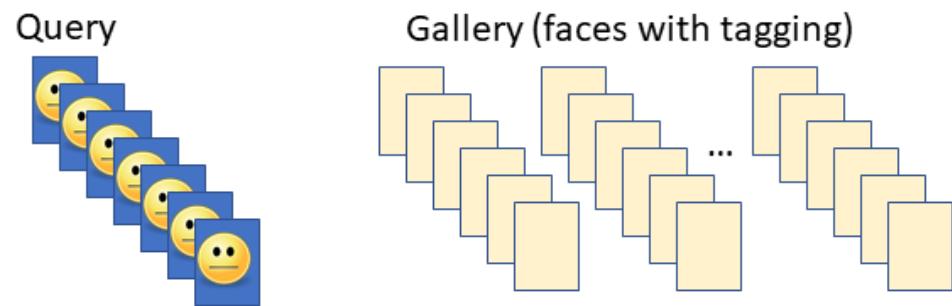
# Face Recognition – Problem Definition

- Face verification – 1 vs. 1
  - Given two faces, answer if they are the same person or not
  - Example application: Phone unlocking
- Face identification – 1 vs. N
  - Given one face, answer whom he/she is among N people, or reject
  - Example application: Celebrity recognition

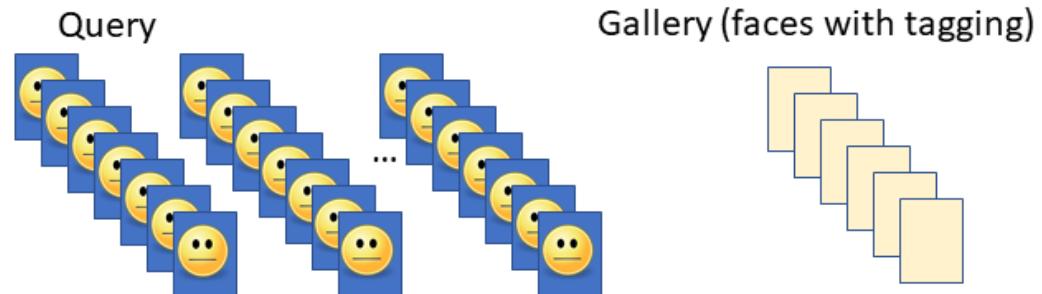


# Face Recognition – Problem Definition

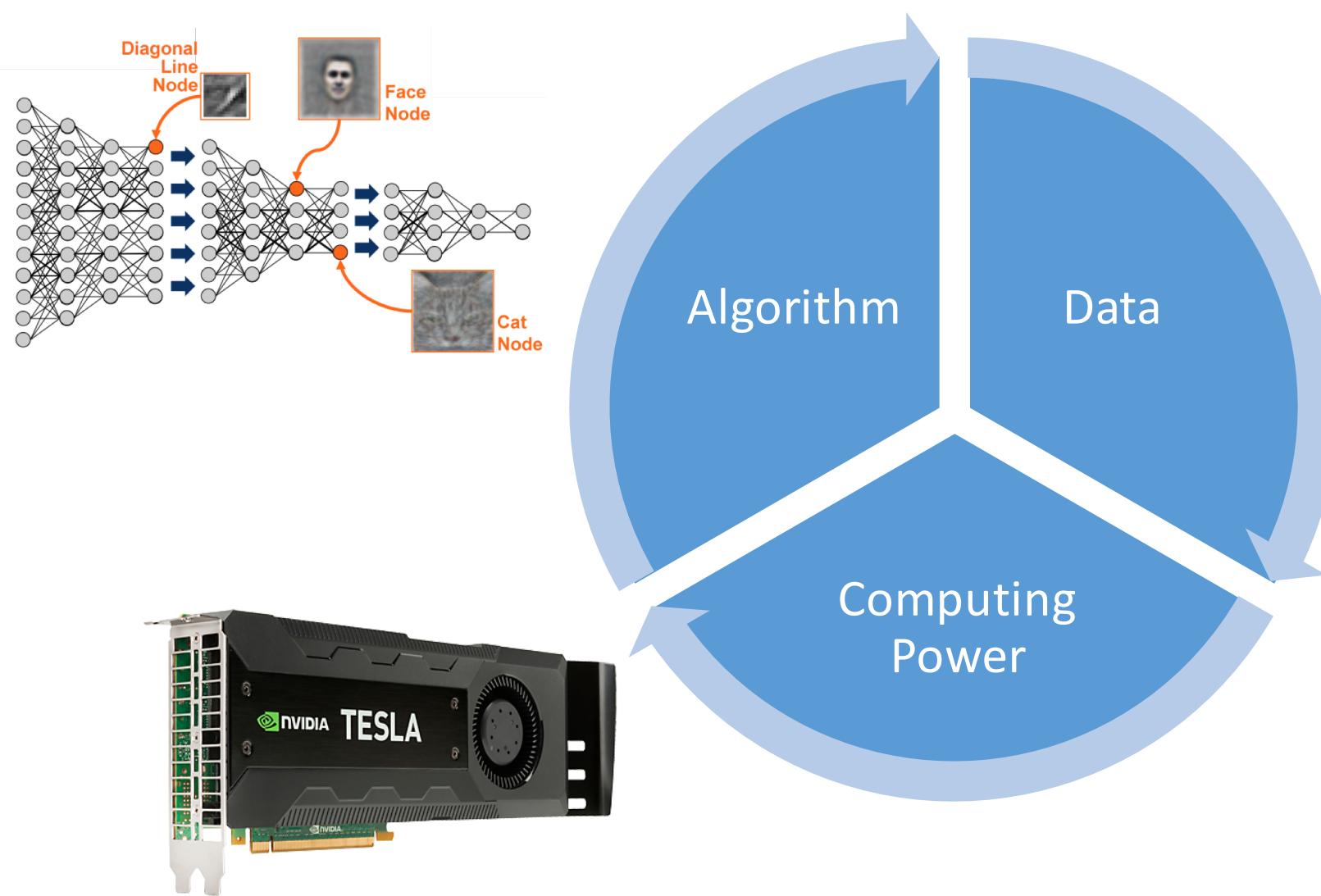
- Face Identification – M vs. N ( $M \ll N$ )



- Face Identification – M vs. N ( $M \gg N$ )



# Driving Forces Behind Face Recognition



# Public (and Private) Face Datasets

Dataset	Public?	# of People	# of Faces
LFW	public	5k	13k
YFD	public	1.5k	3.4 k videos
CelebFaces	public	10k	202k
CASIA-WebFace	public	10k	500k
MS-Celeb-1M	public	100k	About 8,456k
Facebook	private	4k	4,400k
Google	private	8000k	100-200m

TABLE IV  
 THE ACCURACY OF DIFFERENT VERIFICATION METHODS ON THE LFW DATASET.

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FaceNet [144]	2015	triplet loss	GoogleNet-24	1	Google (500M,10M)	99.63±0.09
Baidu [105]	2015	triplet loss	CNN-9	10	Baidu (1.2M,18K)	99.77
VGGface [123]	2015	triplet loss	VGGNet-16	1	VGGface (2.6M,2.6K)	98.95
light-CNN [188]	2015	softmax	light CNN	1	MS-Celeb-1M (8.4M,100K)	98.8
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L-softmax [107]	2016	L-softmax	VGGNet-18	1	CASIA-WebFace (0.49M,10K)	98.71
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L2-softmax [129]	2017	L2-softmax	ResNet-101	1	MS-Celeb-1M (3.7M,58K)	99.78
Normface [171]	2017	contrastive loss	ResNet-28	1	CASIA-WebFace (0.49M,10K)	99.19
CoCo loss [111]	2017	CoCo loss	-	1	MS-Celeb-1M (3M,80K)	99.86
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Arcface [38]	2018	arcface	ResNet-100	1	MS-Celeb-1M (3.8M,85K)	99.83
Ring loss [235]	2018	Ring loss	ResNet-64	1	MS-Celeb-1M (3.5M,31K)	99.50

# The Story Behind MS-Celeb-1M

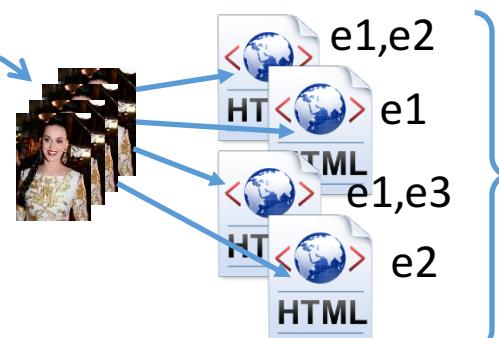
# A Grand Challenge in Search Engine

- Can We Recognize As Many As Possible Entities on the Web?
  - *How Many? And How Accurate?*



# Image Entity Linking Framework

## Entity Detection



entity score,  
page context

Ground Truth Data			Matching Features				
	Harry Shum	Yes	2	0	1	1	...
	Harry Shum Jr.	No	0	1	1	0	...
	Harry Shum Jr.	Yes	3	1	1	0	...
	2014 Ferrari 458	Yes	1	0	3	2	...

Ground Truth Data			Matching Features				
	Harry Shum	Yes	2	0	1	1	...
	Harry Shum Jr.	No	0	1	1	0	...
	Harry Shum Jr.	Yes	3	1	1	0	...
	2014 Ferrari 458	Yes	1	0	3	2	...

Text Consistency  
Model

	e1	✓
	e2	✗
	e3	✗

Visual Consistency  
Model

People							
Company							
Book							
University							

Propagation Image Index



# Overall Results

- People Segment

	Coverage (# Image)	# Entity	Precision*
V2 (Text + Visual)	93M (+70%)	300K	98.5%
V1 (Text)	54M	300K	98.6%

\* Measured on 2.5K name queries and their top 10 resulting images

- More segments (ongoing):

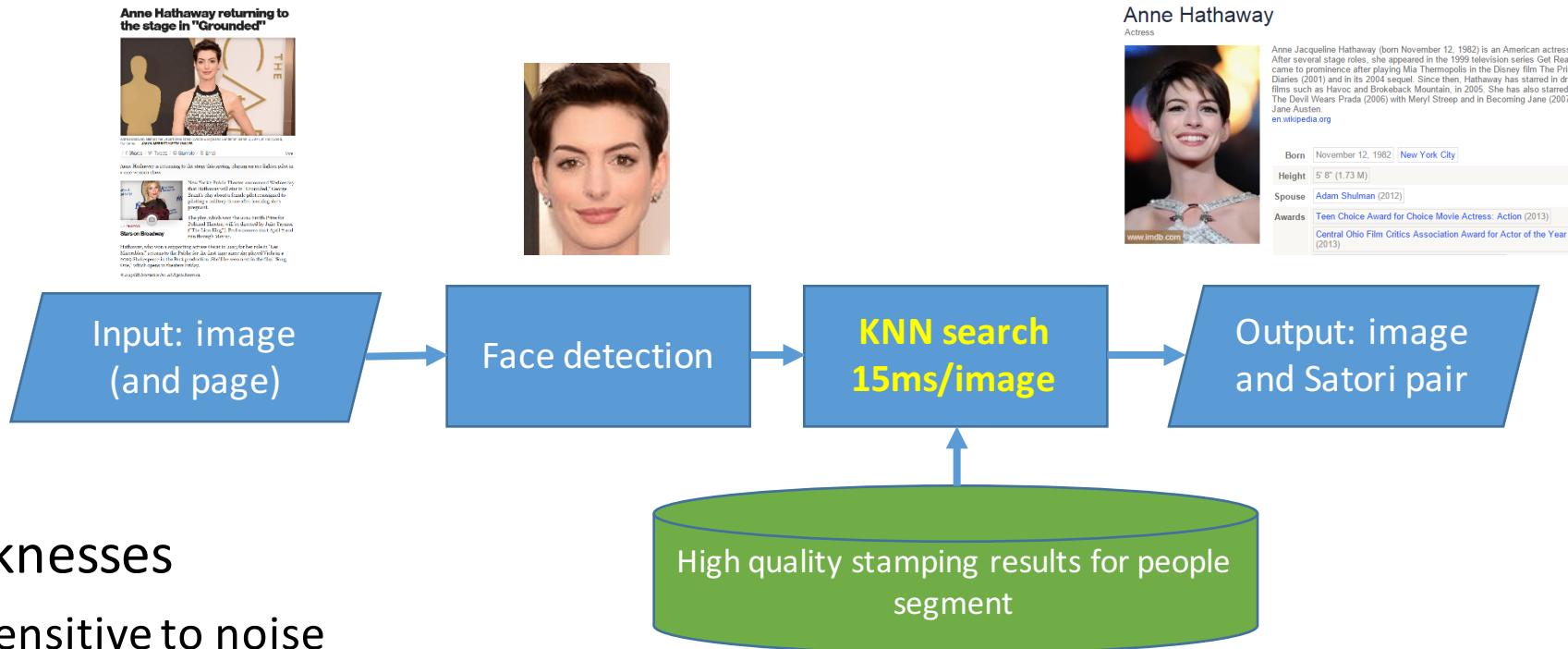
- Location/attraction entities
- Movie entities
- Animal/dog breed/cat breed
- Plant/flower
- ...



Justine Bieber (133K images)  
Selena Gomez (128K images)  
Miley Cyrus (111K images)  
...

# Instance-based KNN Search

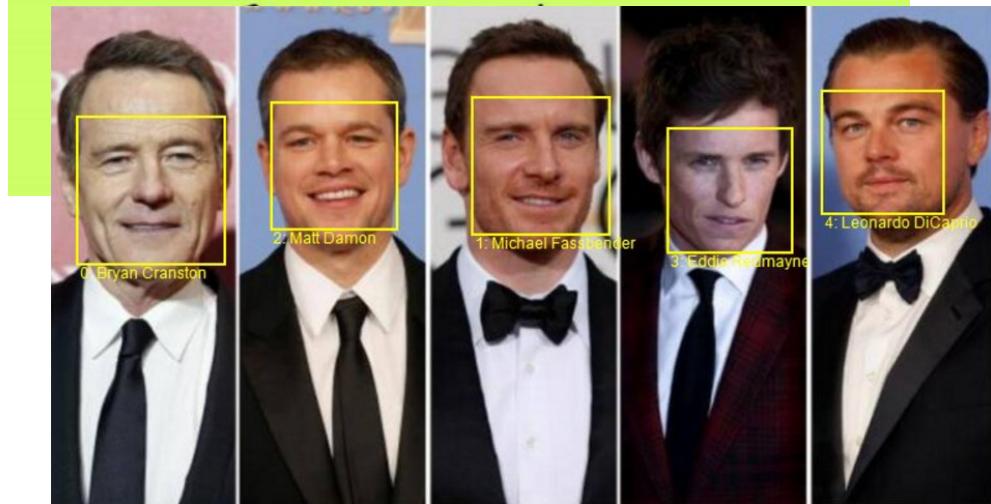
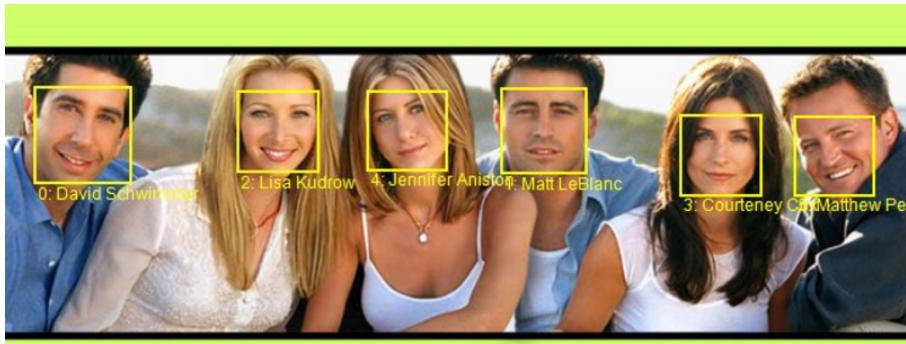
- Key Idea
  - Based on the high quality stamping results, build a high precision celebrity recognition engine



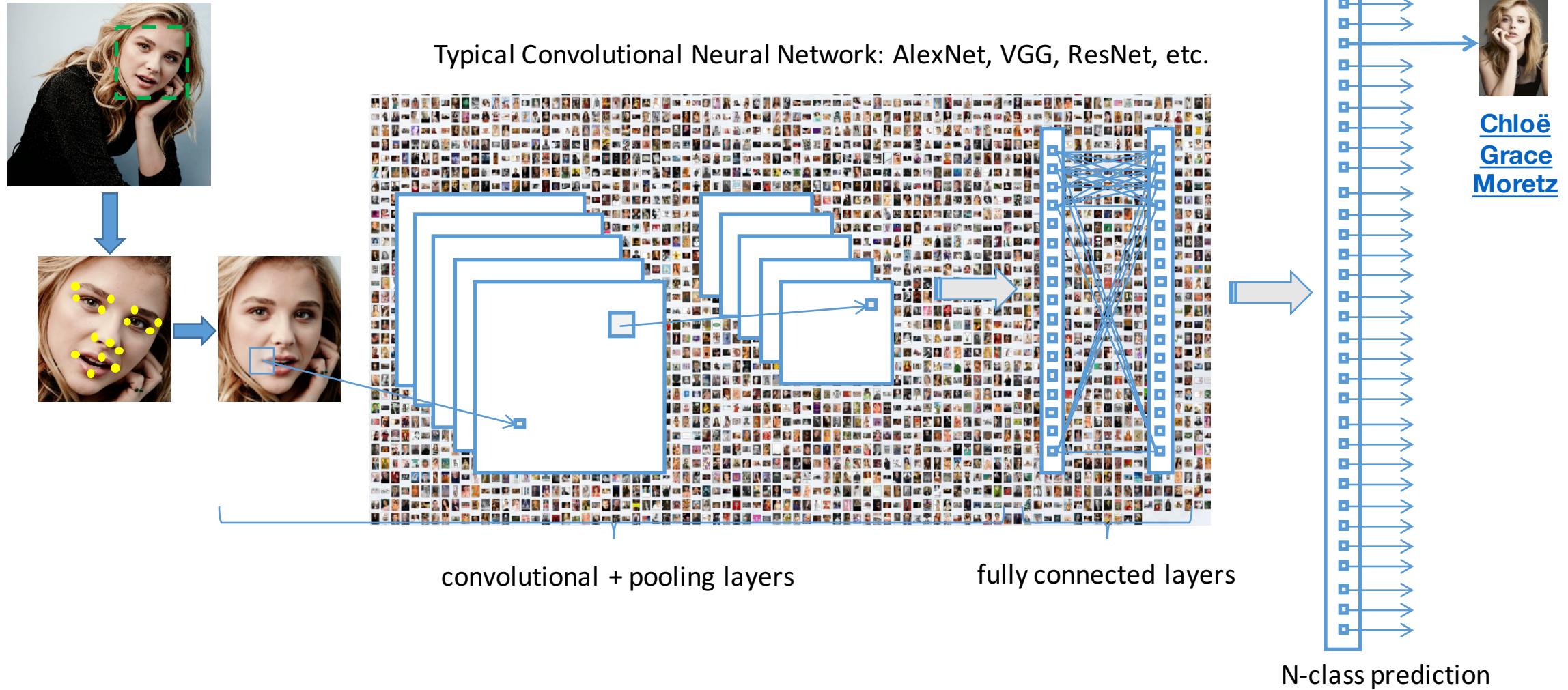
- Weaknesses
  - Sensitive to noise
  - Limited generalization ability

# One Step Further – Celebrity Recognition

- Can we recognize people purely based on image pixels?



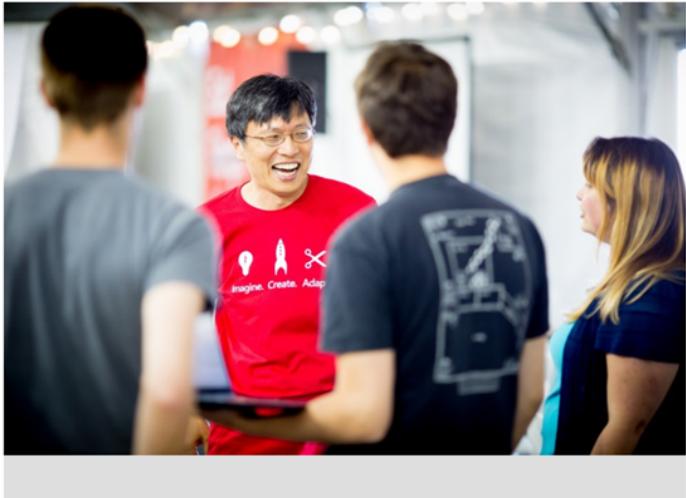
# Model-based People Identification



# In Microsoft Cognitive Service



## Cognitive Services



```
score": 0.0833333372,
"detail": {
  "celebrities": [
    {
      "name": "Harry Shum",
      "faceRectangle": {
        "left": 253,
        "top": 116,
        "width": 70,
        "height": 70
      },
      "confidence": 0.9997298
    }
  ]
},
"tags": [
  {
    "name": "person",
  }
]
```

### Recognize celebrities

The Celebrity Model is an example of Domain Specific Models. Our new celebrity recognition model recognizes 200K celebrities from business, politics, sports and entertainment around the World.

# In Image Caption ([captionbot.ai](https://captionbot.ai))

Kenneth Tran, et al, CVPRW 2016



Sasha Obama, Malia Obama, Michelle Obama, Peng Liyuan et al.  
posing for a picture with Forbidden City in the background.

# In Xiaoice (小冰)

财经达人李丹三 7月13日 10:31 来自微博 weibo.com

#她认识19万明星脸#你们都在玩女优，我来试试我家老公。小冰你明明说不跟我抢，还私藏这么多李易峰的照片。英国90后女生对李易峰只有5.8分，有没有审美啊！@李易峰 @小冰

这么有特点，妥妥是李易峰分分钟猜出来，没办法太机智了~

你发的照片没看够，给你看看我存的~

收藏 55 | 回复 60 | 赞 25

思意的笑呵呵 7月14日 19:00 来自微博 weibo.com

#她认识19万明星脸# 刚看完个小电影，女主真的是很漂亮，给小冰发个照片竟然就给我认出来了 @小冰

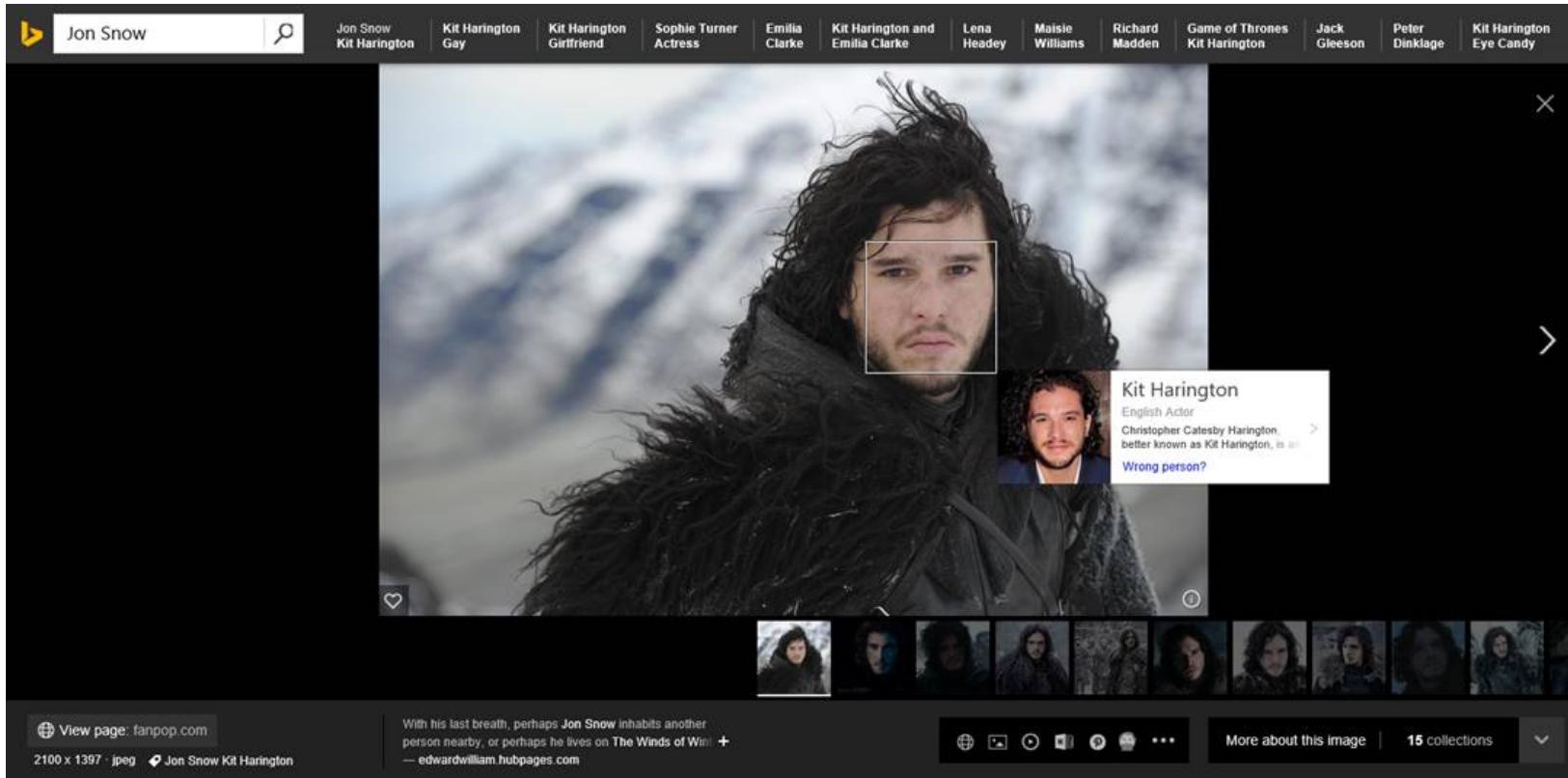
发型没变的话，目测是赵如晶，她比我女神还差一点~

我手机里一半都是这位照片 😊

上面那张

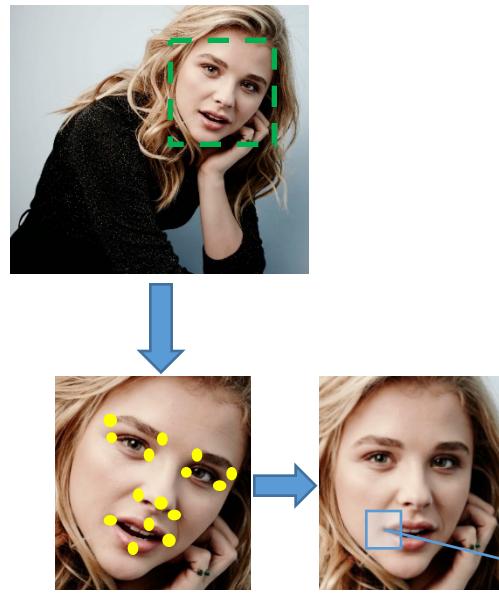
收藏 48 | 回复 44 | 赞 1

# In Bing Image Search

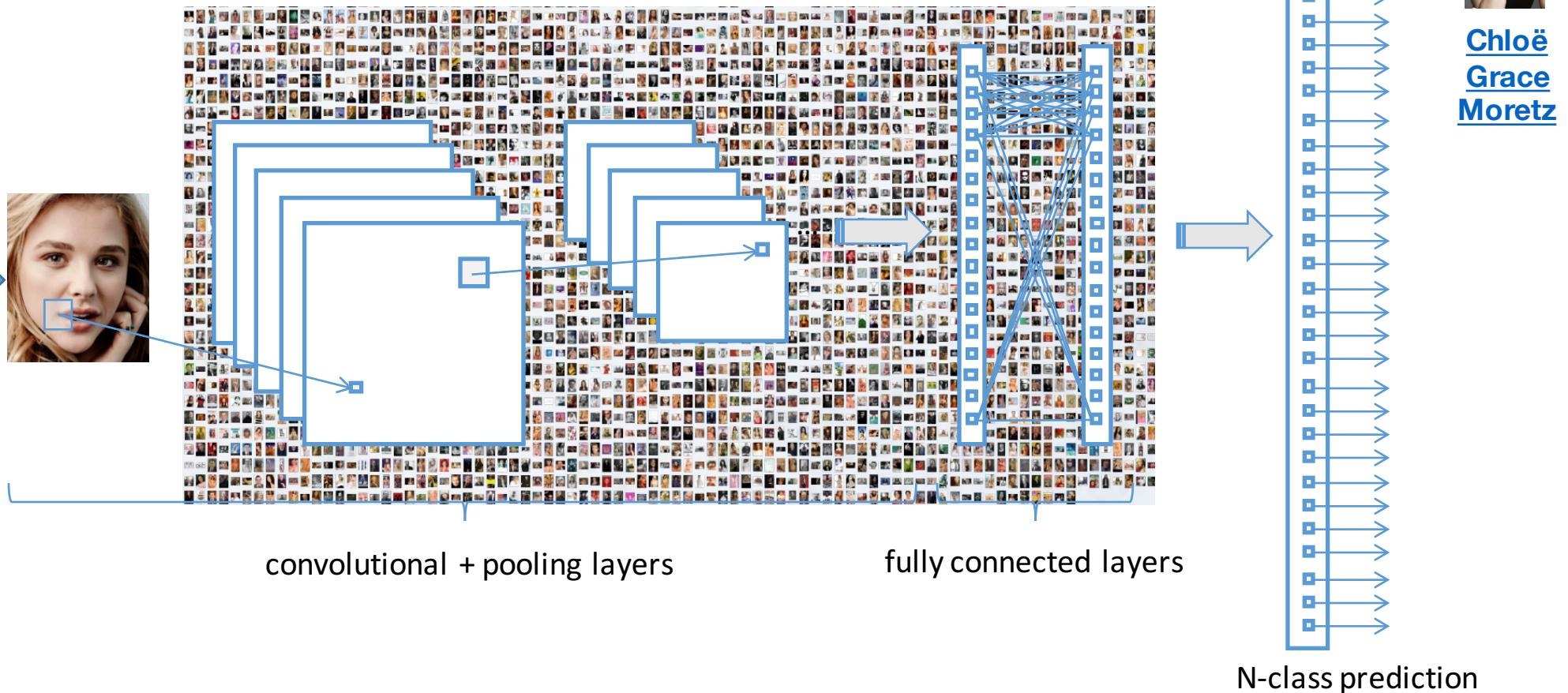


- More examples: [steve jobs actor](#), [friends](#)

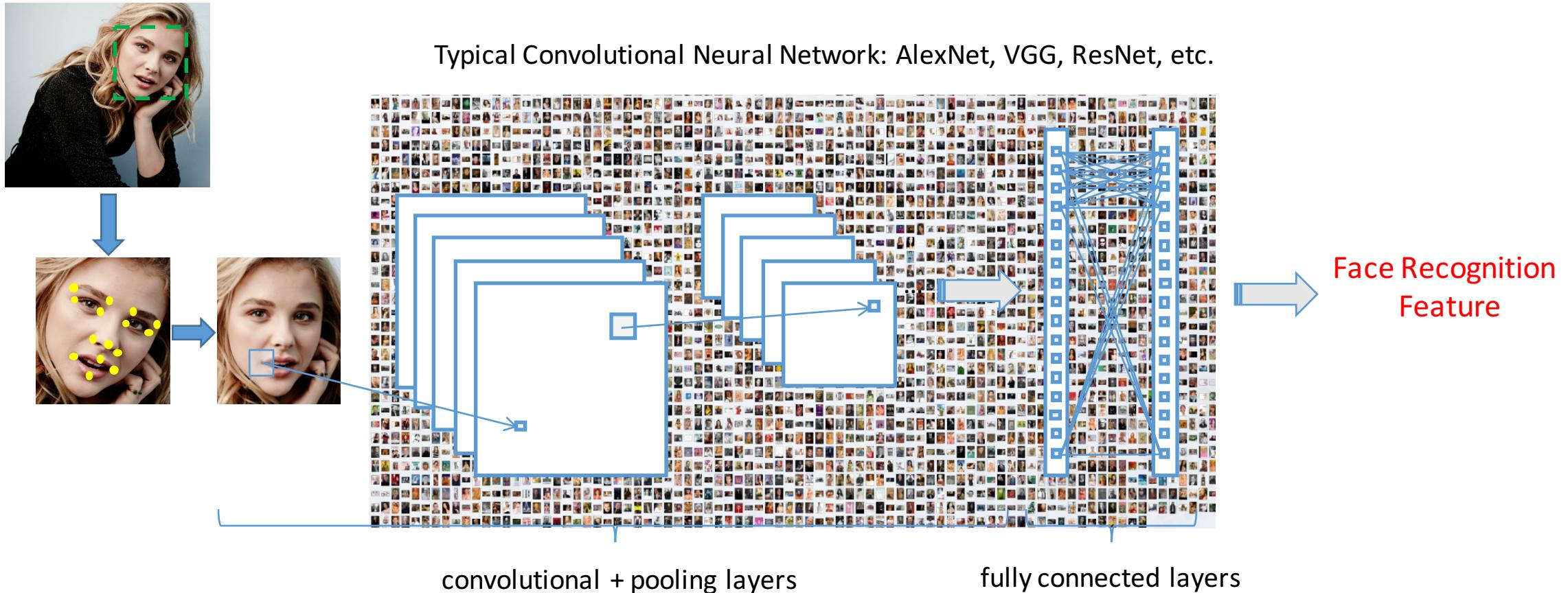
# Towards Best Face Recognition Feature



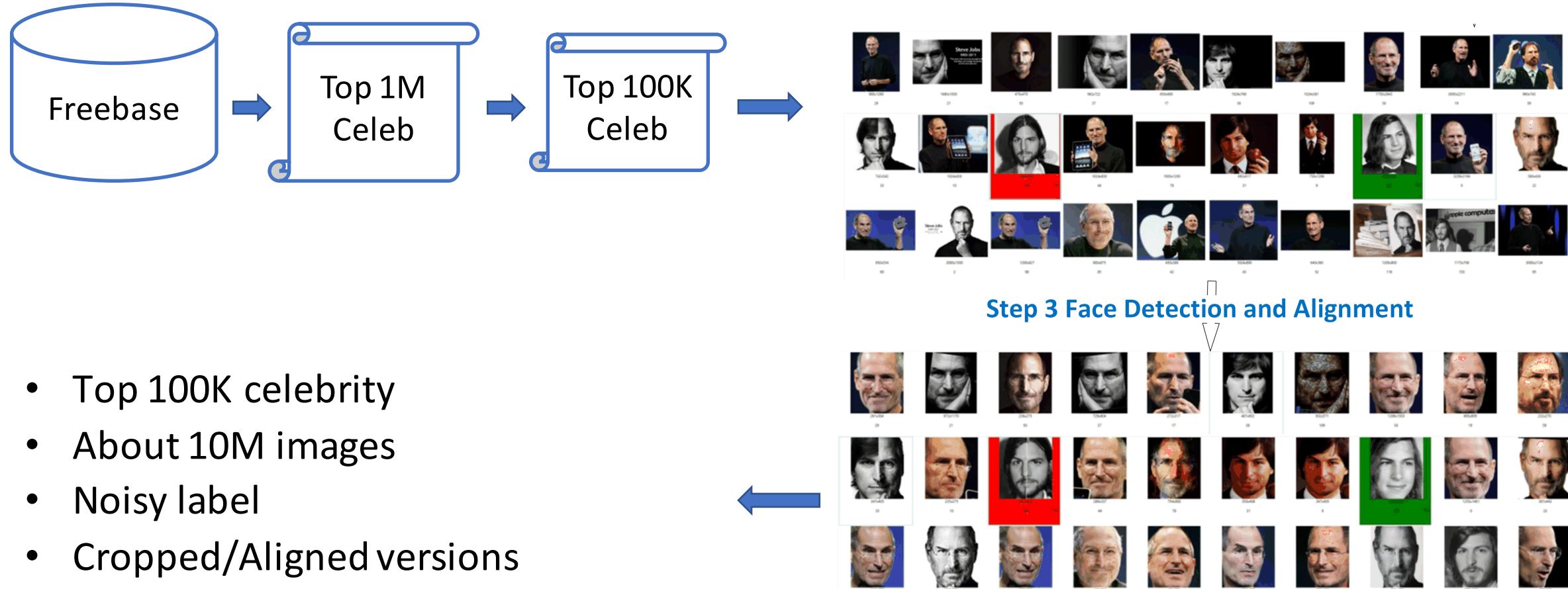
Typical Convolutional Neural Network: AlexNet, VGG, ResNet, etc.



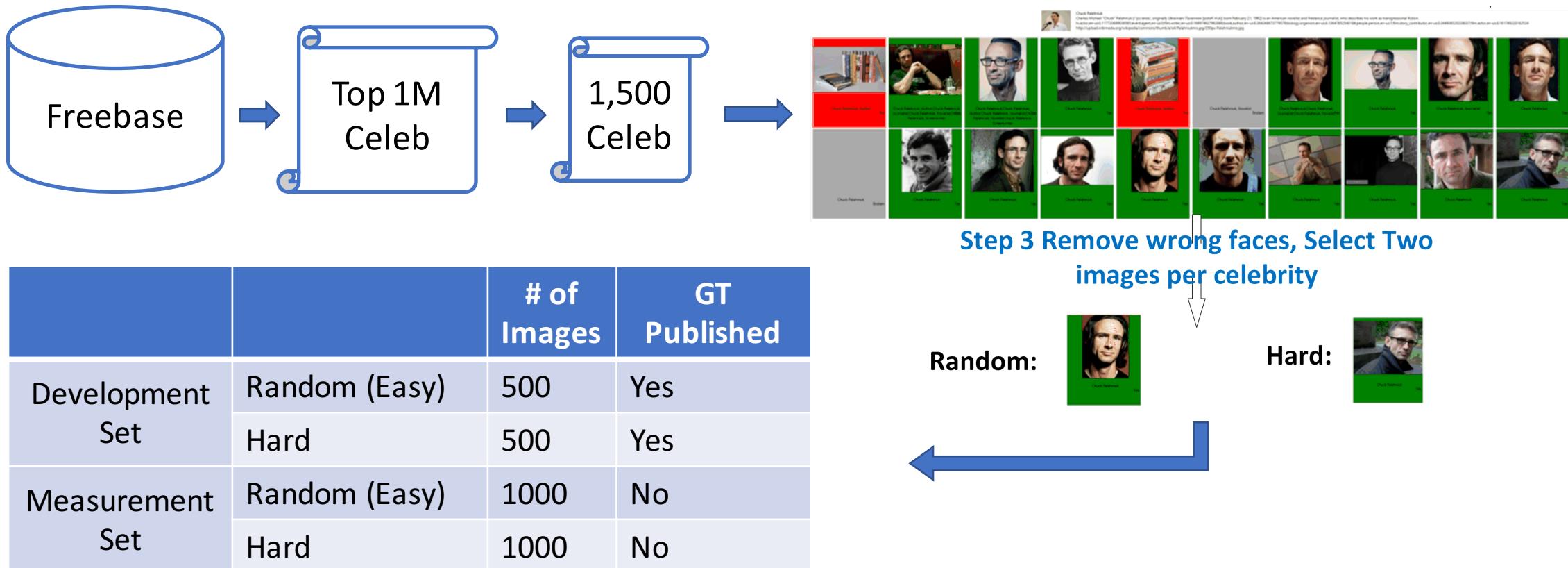
# Towards Best Face Recognition Feature



# Making Data Public – Training Data



# Making Data Public – Measurement Data



# Download links

- Training data

<https://www.msceleb.org/download/cropped>

<https://www.msceleb.org/download/aligned>

- Development data

<https://www.msceleb.org/download/devset>

Yandong Guo, Lei Zhang, Yuxiao Hu, Xiaodong Guo, Jianfeng Gao. MS-Celeb-1M: A dataset and benchmark for large-scale face recognition. ECCV 2016.

# One-Shot Face Recognition

- Learning best face representation
- Dealing with imbalanced data

# Know you at One Glance

- Problem to Solve
  - **Limited number of training images** for some persons, in the scenario of large-scale face recognition



**base set:** many persons, many images per person

...



**low-shot set :** only one image per person

As shown, the training image could be faces with occlusion, drawings, or low resolution images

- Great value to study one-shot visual recognition
  - Naturally happens when the number of persons to be recognized is very large

# Benchmark Task – MS-Celeb-1M Challenge #2

- To study this problem, we design and publish<sup>[1]</sup> the following task

	Training	Testing
<b>Base set:</b> 20K persons	50-100 images/person	5 images/person
<b>Low-shot set:</b> 1K persons	<b>one</b> image/person	20 images/person

- **Goal**

- Build a 21K-class classifier to recognize all the persons (in total 21K) in both the base and low-shot sets

- **Metric**

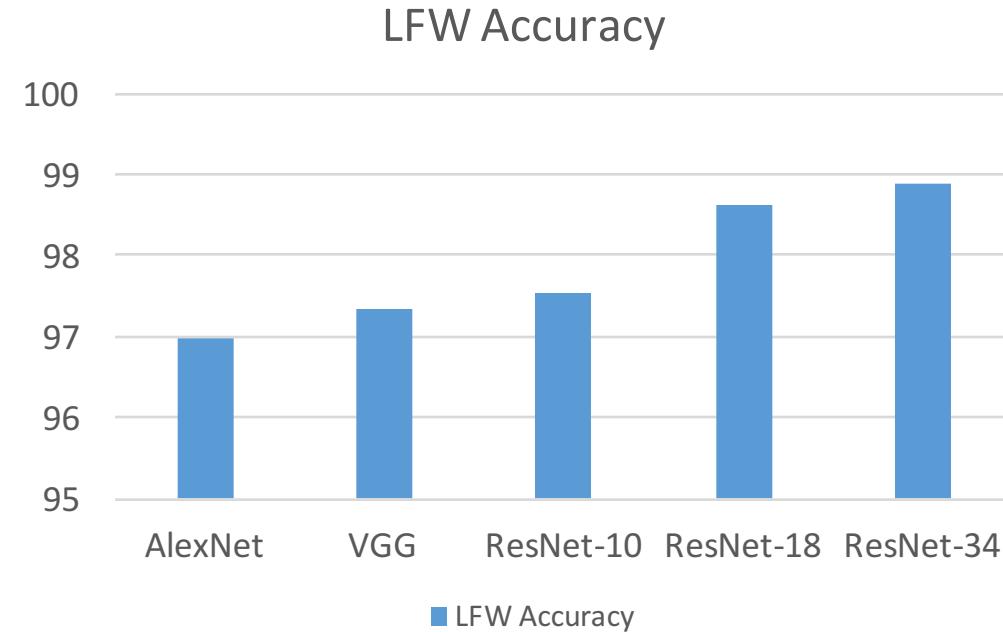
- Mainly focus on the performance for persons in the low-shot set (coverage@high precision)
- Keep good performance for persons in the base set

[1] <http://www.msceleb.org/>



# Challenge One: Face Representation Learning

- Objective: to find face representations for the low-shot classes
- Solution: using the base set to train face representation model with **good generalization capability**
  - Train **deep** CNN model with **large-scale** training data
  - Add **additional loss** for better feature
- Evaluation on the LFW verification task
  - Our base set excludes celebrities in LFW by design => good generalization capability (human 97%)



# Improve Face Feature with Additional Loss

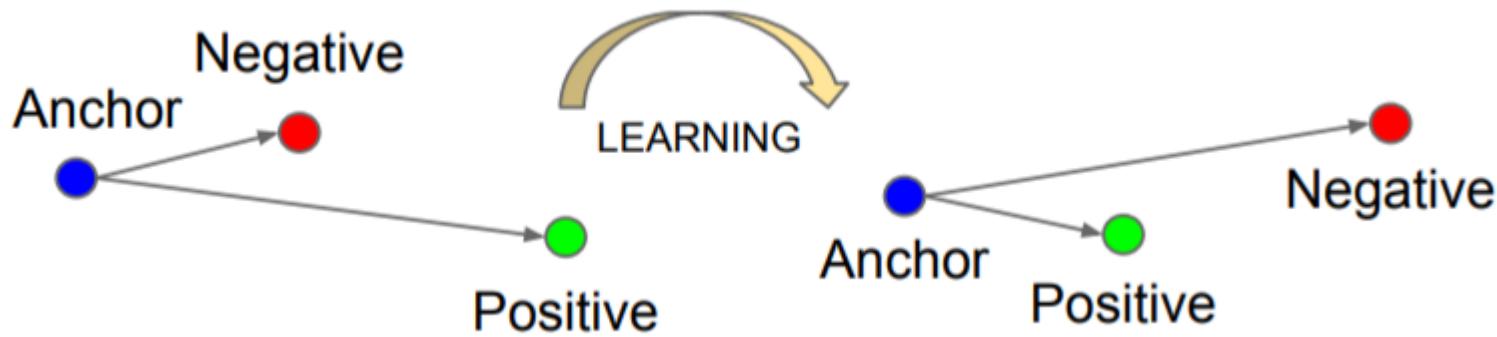
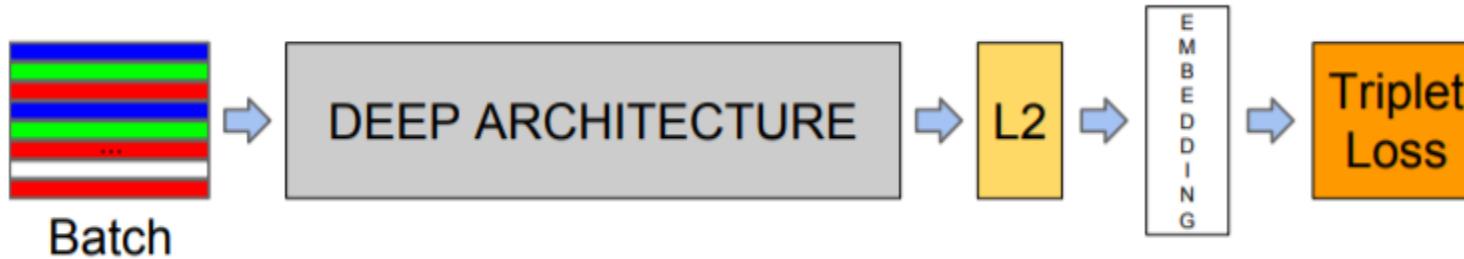
- Many loss terms developed
  - Triplet Loss, Center Loss, Marginal Loss, SphereFace, Range Loss, Ring Loss, Cosine Loss
- Key Ideas Behind
  - Reduce intra-class variance while increasing inter-class variance

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# Triplet Loss

Schroff, Florian, Dmitry Kalenichenko, and James Philbin.  
"Facenet: A unified embedding for face recognition and clustering." CVPR 2015



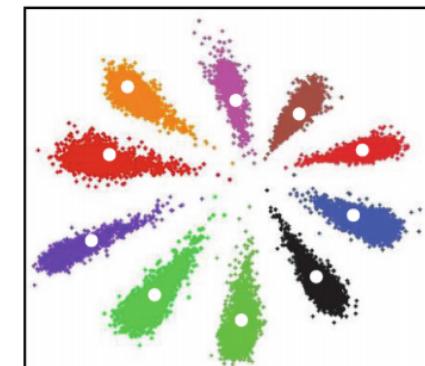
$$L = \sum_i^N \left[ \|f(x_i^a) - f(x_i^p)\|_2^2 - \|f(x_i^a) - f(x_i^n)\|_2^2 + \alpha \right]_+$$

# Center Loss

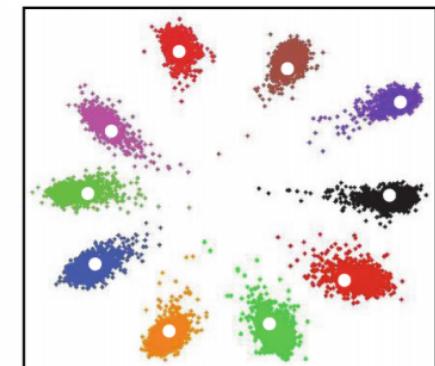
Wen, Yandong, Kaipeng Zhang, Zhifeng Li, and Yu Qiao.  
"A discriminative feature learning approach for deep face  
recognition." ECCV 2016.

$$\mathcal{L} = \mathcal{L}_S + \lambda \mathcal{L}_C$$

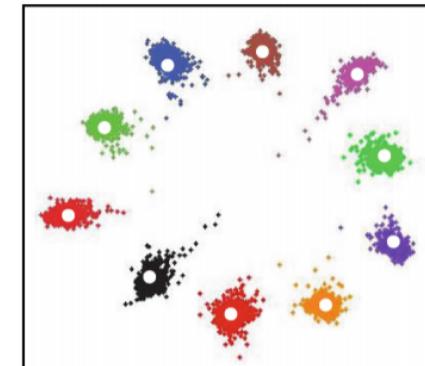
$$= - \sum_{i=1}^m \log \frac{e^{W_{y_i}^T \mathbf{x}_i + b_{y_i}}}{\sum_{j=1}^n e^{W_j^T \mathbf{x}_i + b_j}} + \frac{\lambda}{2} \sum_{i=1}^m \|\mathbf{x}_i - \mathbf{c}_{y_i}\|_2^2$$



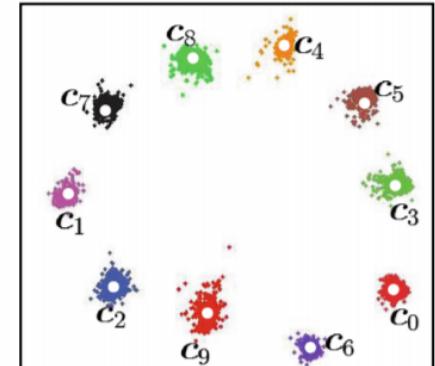
(a)  $\lambda = 0.001$



(b)  $\lambda = 0.01$



(c)  $\lambda = 0.1$



(d)  $\lambda = 1$



# Cosine Similarity Loss

Yandong Guo and Lei Zhang. "One-shot face recognition by promoting underrepresented classes." *arXiv preprint arXiv:1707.05574* (2017).

- Classification vector-centered Cosine Similarity (CCS)

$$\mathcal{L} = \mathcal{L}_s + \lambda \mathcal{L}_a$$

$$\mathcal{L}_s = - \sum_n \sum_k t_{k,n} \log p_k(x_n)$$

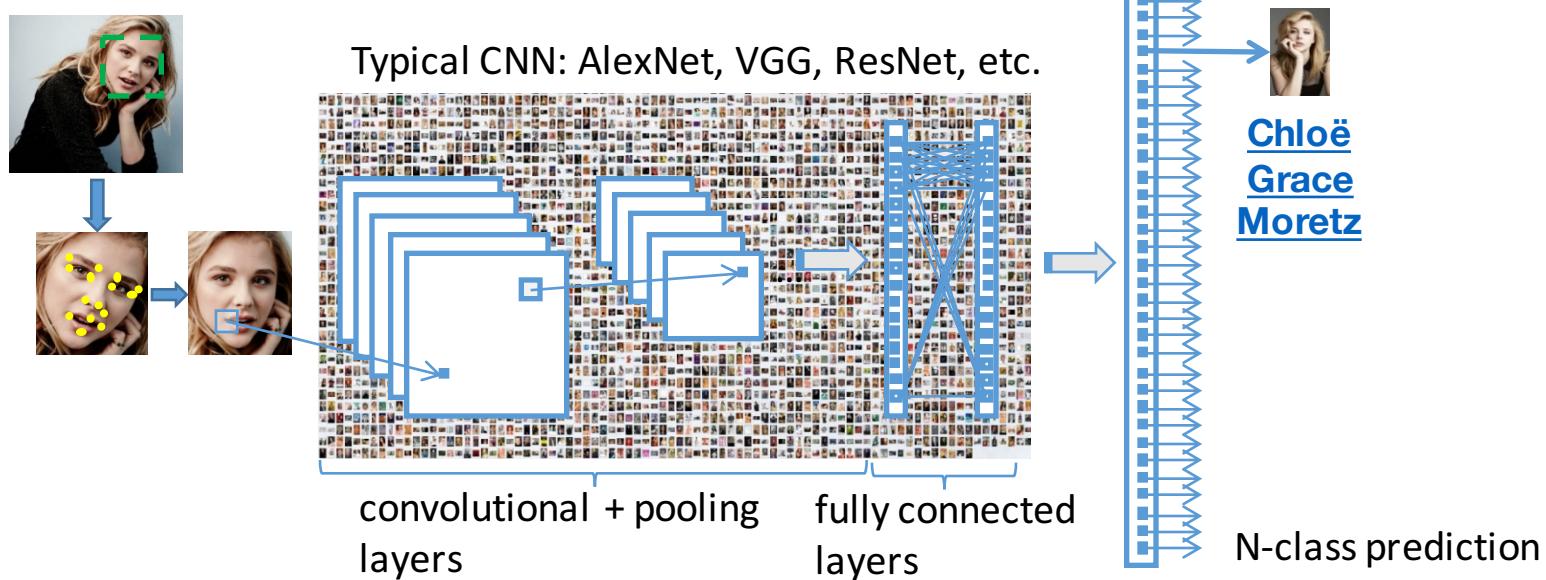
$$\mathbf{w}'_k \leftarrow \mathbf{w}_k$$

$$\mathcal{L}_a = - \sum_k \sum_{i \in C_k} \frac{\mathbf{w}'_k^T \phi(x_i)}{\|\mathbf{w}'\|_2 \|\phi(x_i)\|_2}$$

Methods	Dataset	Network	Accuracy
JB [2]	Public	–	96.33%
Human	–	–	97.53%
DeepFace[14]	Public	1	97.27%
DeepID2,3 [20, 22]	Public	200	99.53%
FaceNet [18]	Private	1	99.63%
Center face [24]	Private	1	99.28%
Center face [13]	Public	1	99.05%
Sphere face [13]	Public	1	99.42%
CCS face (ours)	Public	1	99.71%

# Challenge Two: Classifier with Imbalanced Data

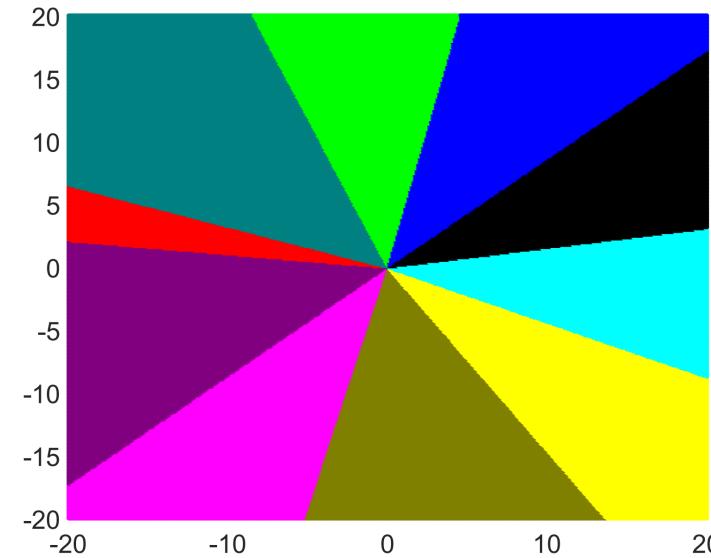
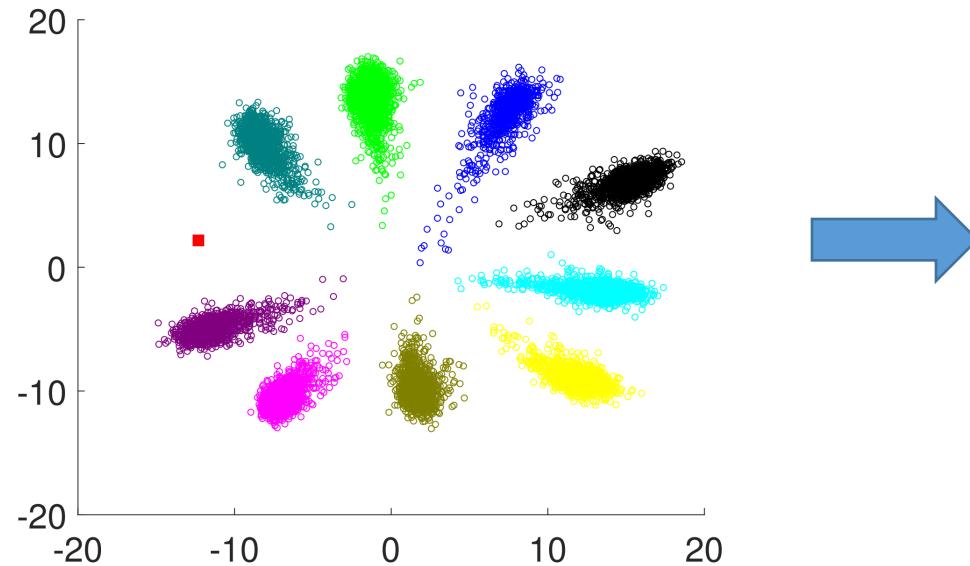
- Even with very good face representation model, classifier does not perform well
  - ResNet-34 trained on the base set
  - Final classifier trained on both the base set and the low-shot set
  - **99.8%** top-1 test accuracy on the base set
  - About **70%** top-1 test accuracy on the low-shot set, even when data boosting is applied
    - If we keep precision @ 99%, the recall is only about 15%



# Why One-Shot Classes Perform So Bad?

- Logistic regression loss is additive

$$L = \sum_{i=1}^N \text{cross\_entropy}(p(\phi(x_i)), t_i)$$

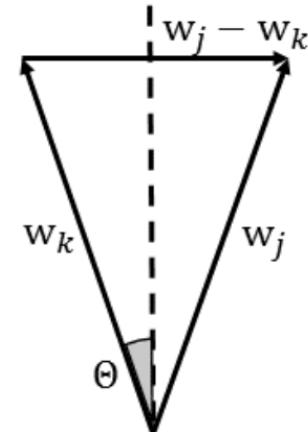


- You get what you provide

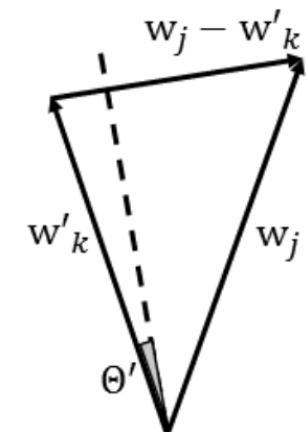
# What Leads to Smaller Classification Space?

$$p_k(x_n) = \frac{\exp(\mathbf{w}_k^T \phi(x_n))}{\sum_i \exp(\mathbf{w}_k^T \phi(x_n))}$$

$$\frac{p_j(x)}{p_k(x)} = \frac{\exp(\mathbf{w}_j^T \phi(x))}{\exp(\mathbf{w}_k^T \phi(x))} = \exp[(\mathbf{w}_j - \mathbf{w}_k)^T \phi(x)]$$



(a)  $\|\mathbf{w}_k\|_2 = \|\mathbf{w}_j\|_2$

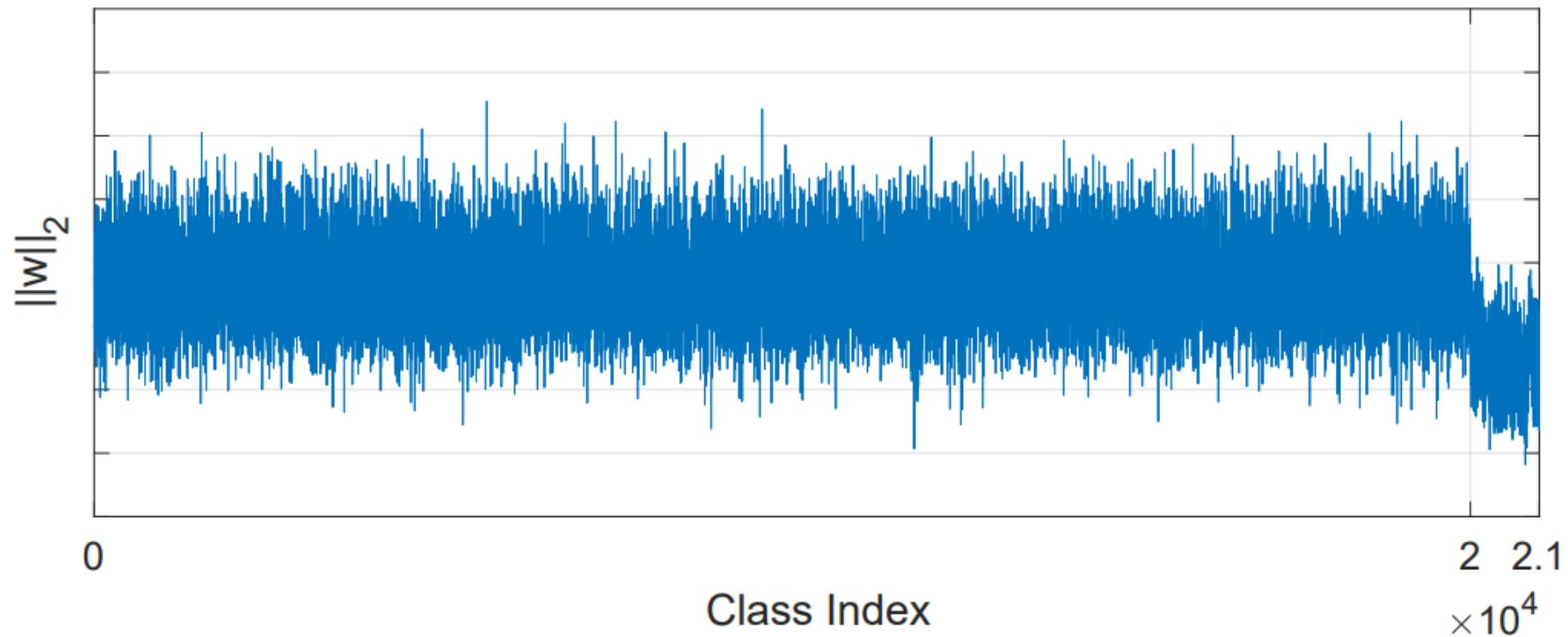


(b)  $\|\mathbf{w}_k\|_2 < \|\mathbf{w}_j\|_2$

- Lack of samples introduces smaller classification space
- Accordingly, smaller classification space means smaller weighting vector norm for low-shot classes

\* We removed the bias term to make the problem tractable.

# Weight Vector Norm Distribution



\*We remove the bias term

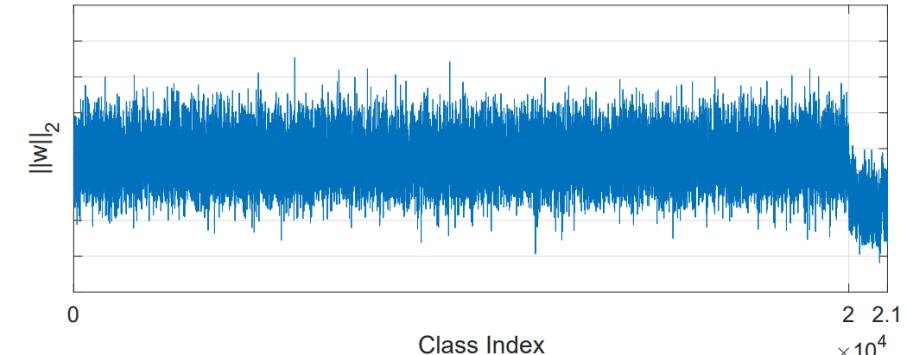
# Underrepresented Classes Promotion (UP)

- Underrepresented Classes Promotion

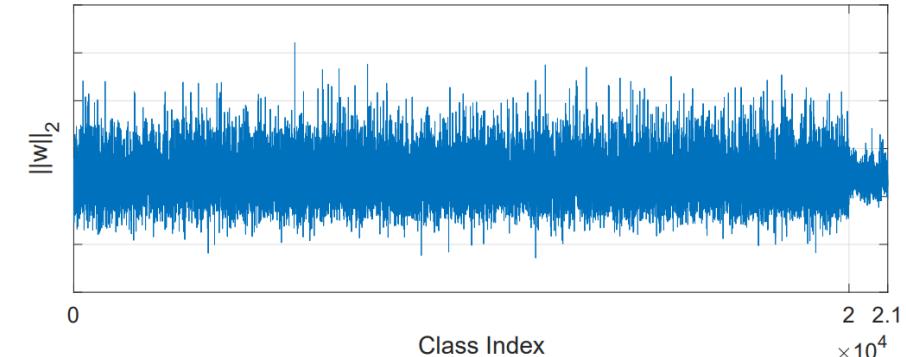
$$\mathcal{L}_{up} = \sum_n -t_{k,n} \log p_k(x_n) + \frac{1}{|C_n|} \sum_{k \in C_n} \| \|\mathbf{w}_k\|_2^2 - \alpha \|_2^2,$$

$$\alpha = \frac{1}{|C_b|} \sum_{k \in C_b} \| \mathbf{w}_k \|_2^2.$$

Where  $C_b$  is the class set for the base classes,  $C_n$  is the class set for the low-shot classes



(a) Without UP Term



(b) With UP Term

# Other Methods We Have Tried

- Shrink

$$\mathcal{L}_{l2} = \sum_n -t_{k,n} \log p_k(x_n) + \sum_k \|\mathbf{w}_k\|_2^2.$$

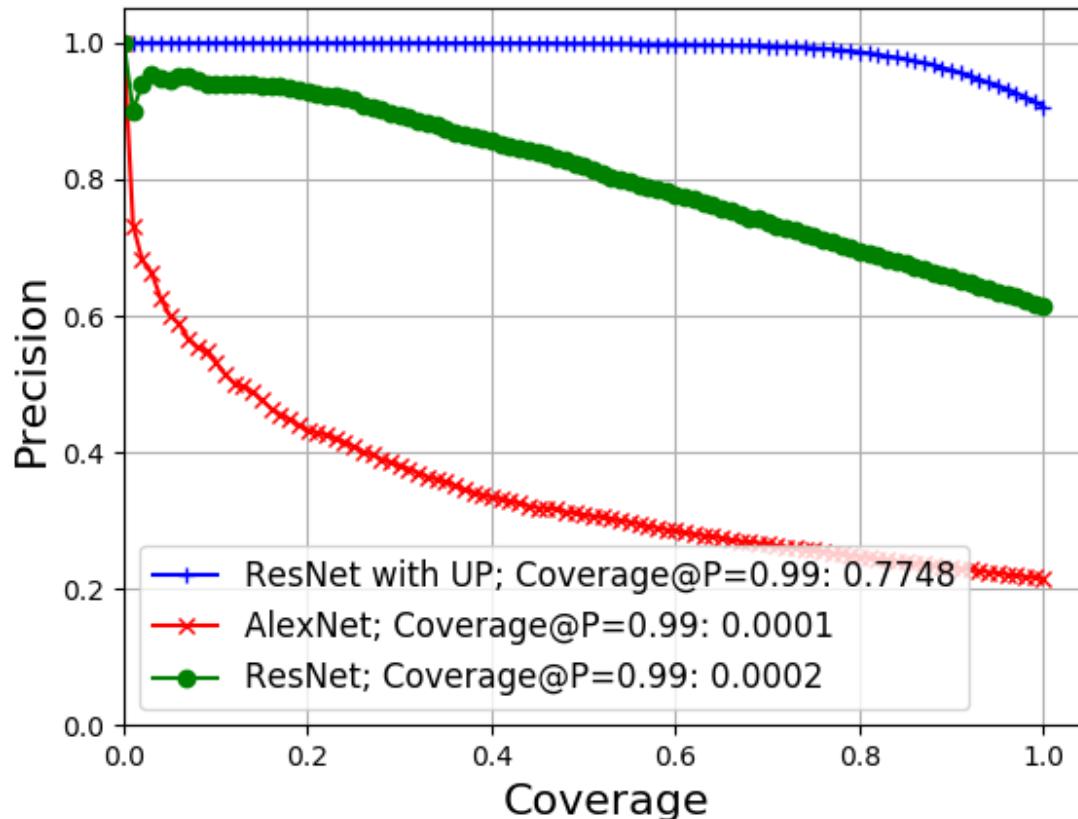
- Equal Norm

$$\mathcal{L}_{eq} = \sum_n -t_{k,n} \log p_k(x_n) + \sum_{k \in \{C_n \cup C_b\}} \| \|\mathbf{w}_k\|_2^2 - \beta \|_2^2,$$

$$\beta = \frac{1}{|\{C_n \cup C_b\}|} \sum_{k \in \{C_n \cup C_b\}} \|\mathbf{w}_k\|_2^2.$$

# Experimental Results on Our Benchmark Task

- Dataset Revisit
  - Base set: 20K celebrities, 50-100 images per celebrity
  - Low-shot set: 1K celebrities, **one image** per celebrity for training, 20 images per celebrity for testing
- Performance on **low-shot classes**



- Red->Green: improvement by better CNN model (AlexNet -> ResNet-34)
- Green->Blue: improvement by the new loss term and data boosting

# More Experimental Results

- Metric: Coverage at high precision, test on the low-shot classes, same data boosting applied (x100)

Method	C@99%	C@99.9%
Fixed Feature	25.65%	0.89%
SGM [8]	27.23%	4.24%
Update Feature	26.09%	0.97%
Direct Train	15.25%	0.84%
Shrink Norm (Eq.12)	32.58%	2.11%
Equal Norm (Eq.13)	32.56%	5.18%
UP Only (Eq.10)	77.48%	47.53%
CCS Only (Eq.4)	62.55%	11.13%
<b>Our: CCS (4) plus UP (10)</b>	<b>94.89%</b>	<b>83.60%</b>
Hybrid [28]	92.64%	N/A
Doppelganger [19]	73.86%	N/A
Generation-based [3]	61.21%	N/A

# Other Improvement – Generative Learning

- The UP prior acts as a regularizer and treats different classes indifferently
- How to take into account different intra person variance?
- Generate virtual samples to span the space for low shot classes
  - Key idea: *generate samples in feature space, rather than in image space*

Method	C@P=99%	C@P=99.9%
Fixed-Feature	25.65%	0.89%
SGM [8]	27.23%	4.24%
Update Feature	26.09%	0.97%
Direct Train	15.25%	0.84%
Shrink Norm[1]	32.58%	2.11%
Equal Norm[1]	32.56%	5.18%
Up Term [1]	77.48%	47.53%
Ours	94.84%	83.82%

Zhengming Ding, Yandong Guo, Lei Zhang, Yun Fu.  
One-Shot Face Recognition via Generative  
Learning, *IEEE Conference on Automatic Face and  
Gesture Recognition (FG)*, 2018

# Summary

- Face recognition – great progress made in the past five years
  - Large-scale datasets developed and made publicly available
  - Better algorithms led to better face representation
- In real applications, many challenges still remain and desire for more studies
  - Large pose, large age variation, low resolution, etc.
  - Person re-identification in videos
  - Bias caused by improperly constructed datasets
  - Privacy concerns
  - ...

Thanks!  
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MS-Celeb-1M (<http://msceleb.org>)

# Backup Slides

# Challenge Two: Classifier with Imbalanced Data

- Why a classifier is needed?

- KNN has been widely adopted
- If the feature extractor is PERFECT, KNN is the optimal solution, if not, **we need a classifier to describe the partition of the feature space**

	K-Nearest Neighborhood (KNN)	Multinomial Logistic Regression (MLR)
Advantages	No additional training needed to add/remove persons	Better performance in the large-scale scenario if there are many images for each class[1,2] <ol style="list-style-type: none"><li>1. Computing complexity is linear to the number of classes;</li><li>2. Weighting vectors in MLR is trained with global information;</li></ol>
Disadvantage	Not good for large scale <ol style="list-style-type: none"><li>1. Not practical to keep all the face images for every person in the gallery;</li><li>2. If select a subset, what and how many images to select is still an open challenge;</li><li>3. The accuracy relies on the annotation accuracy;</li></ol>	Additional training needed*

- We train multinomial logistic regression as our classifier.

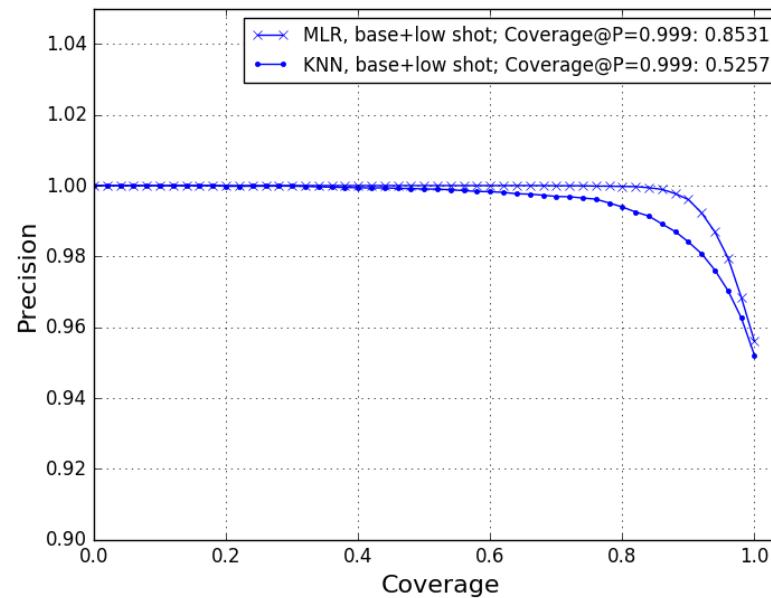
[1] Yue Wu, etc. "Low-shot Face Recognition with Hybrid Classifiers".

[2] Yan Xu, etc. "High Performance Large Scale Face Recognition with Multi-Cognition Softmax and Feature Retrieval".

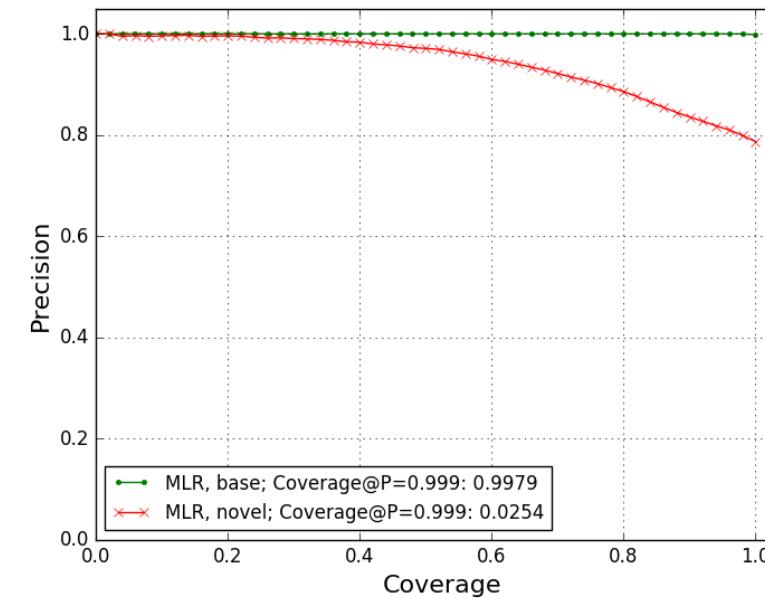
[\*] We patented technologies to train MLR very fast

# Closer Look on KNN vs. MLR

- Both the methods were tested on the development set of low-shot learning track of MSCeleb-1M
- ResNet-34 trained with the all the training set of low-shot learning track of MSCeleb-1M (pool5 as feature)
- Results shown in Figure-a



a



b

- In Figure-a, we observe **much higher coverage** at high precision for MLR compared with KNN
- In Figure-b, we observe that with MLR, the performance on the low-shot classes is **much worse** than that of the base classes
- How to improve? Option A: Hybrid; Option B: Direct boosting