

# Exercise 4 - Backpropagation and unsupervised Learning

Winter term 2019/2020

name1, name2, name3

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## Important

Please solve the assignments in groups of 3 to 4 students. The solutions are going to be presented and discussed after the submission deadline. Sample solutions will not be uploaded. However, you are free to share correct solutions with your colleagues **after they have been graded**. Please submit your solutions via Moodle **and** in printed form. Only one member of the group has to submit the solutions. Therefore, make sure to specify the names of all group members. Please do not submit hand-written solutions, rather use proper type-setting software like L<sup>A</sup>T<sub>E</sub>X or other comparable programs.

Your homework will be corrected and given back to you. Correct solutions are rewarded with a bonus for the exam (max. 10 percent, if all solutions submitted are correct). **Please note:** You have to pass the exam **without the bonus points!** (*i.e. it is not possible to turn 5.0 into 4.0*) The solutions have to be your own work. If you plagiarize, you will lose all bonus points!

## Further remarks:

- Code assignments have to be done in Python
- The following packages are allowed: `numpy`, `pandas`  
(please ask, if you want to use a specific package not mentioned here)
- **Do not use already implemented models** (e.g. from `scikit-learn`)

# 1 Backpropagation

## a) Backpropagation by Hand (5 points)

You are given the neural network depicted below. Compute one forward-pass and one backward-pass based on the labeled training example  $\langle \mathbf{x} = [0.05, 0.10], \mathbf{y} = [0, 1] \rangle$ . Employ the squared error loss function:

$$\mathcal{J}(\Theta) = (y_{pred} - y)^2$$

$$\mathcal{J}'(\Theta) = 2 \cdot (y_{pred} - y)$$

The weight matrices and bias weights are initialized as follows (where for instance weight  $\Theta_{12}^{(1)}$  connects the input  $x_2$  with the first neuron in the hidden layer):

$$\Theta^{(1)} = \begin{pmatrix} 0.15 & 0.20 \\ 0.25 & 0.30 \end{pmatrix}$$

$$\Theta^{(2)} = \begin{pmatrix} 0.40 & 0.45 \\ 0.50 & 0.55 \end{pmatrix}$$

$$\mathbf{b}^{(1)} = (0.35 \quad 0.35)$$

$$\mathbf{b}^{(2)} = (0.60 \quad 0.60)$$

Use the ReLU and sigmoid activation functions in the hidden layer and output layer, respectively. Write down all necessary computations. What are the gradients for the weights  $\Theta_{12}^{(1)}, \Theta_{21}^{(2)}$ ?

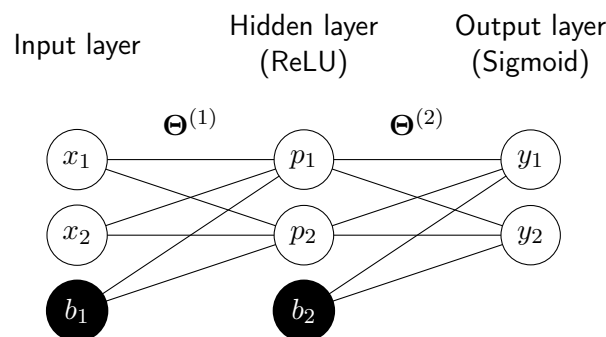


Figure 1: MLP architecture

**Solution:**

## 2 Unsupervised Learning

a)  $k$ -Means clustering (4 points)

Implement  $k$ -Means clustering for image compression. Compress the exemplary image file which can be found under the path `/data/dhbw.jpg`. You can find an explanation of how image compression with  $k$ -Means works on this web page.<sup>1</sup>

**Solution:**

b) PCA (1 point)

Explain how to choose the number of principal components for dimensionality reduction. Why does this work?

**Solution:**

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<sup>1</sup><https://medium.com/@agarwalvibhor84/image-compression-using-k-means-clustering-8c0ec055103f>

c) Bonus Question: Spectral clustering (1 point)

How can you automatically choose the number of clusters for spectral clustering?

**Solution:**