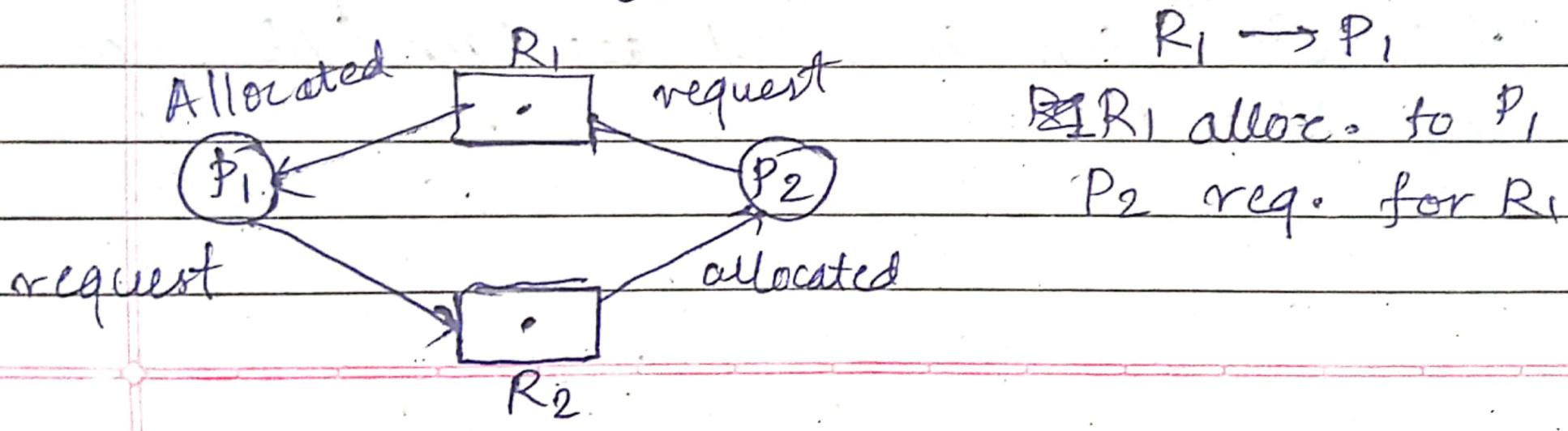
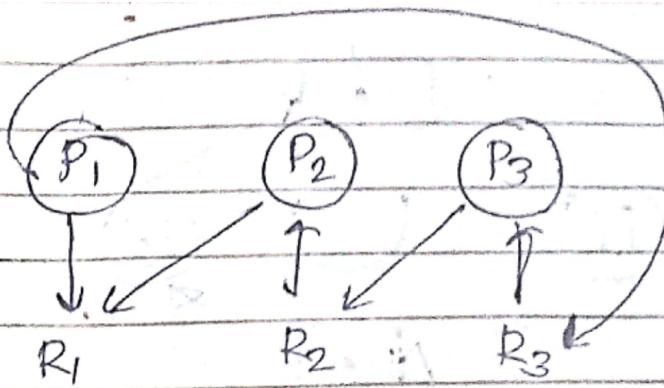


# DEADLOCK

If 2 or more processes are waiting on happening of some event which never happened we say that these processes are in a deadlock state.

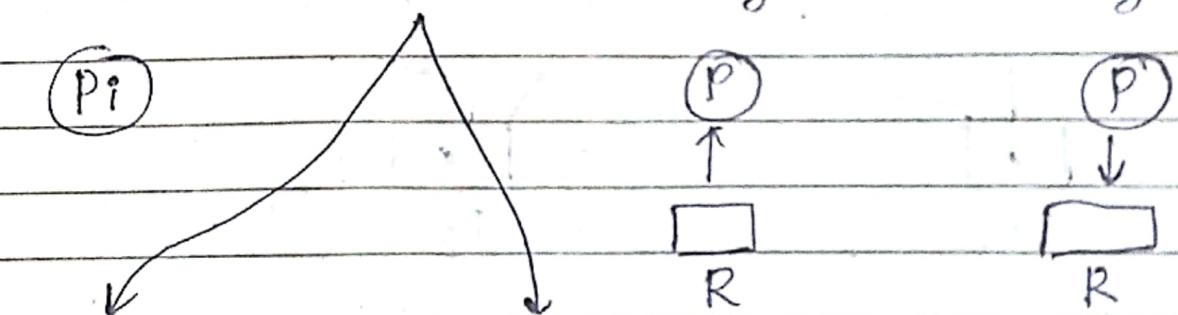
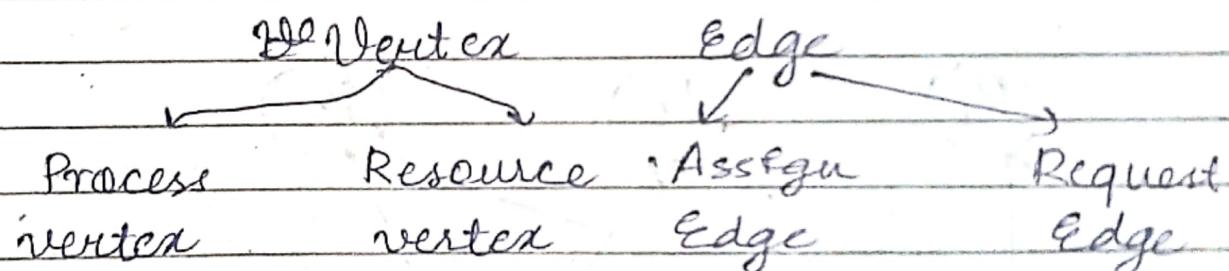




Necessary conditions for deadlock:

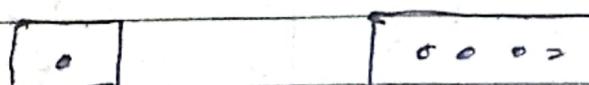
- 1) Mutual exclusion
- 2) No pre-emption
- 3) Hold & Wait
- 4) Circular Wait

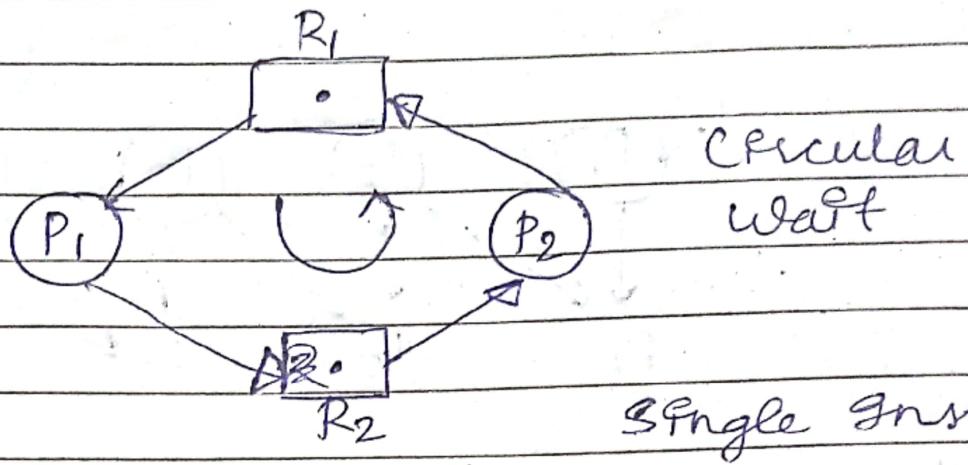
Resource Allocation graph for Deadlock (RAG)



Single instance

Multi instance



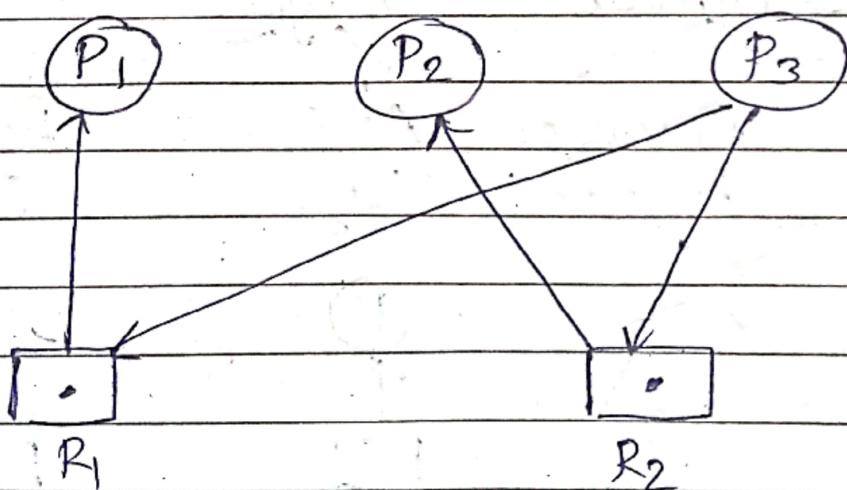


	Allocate		Request	
Pj	R1	R2	R1	R2
P1, P2	1	0	0	1
P2	0	1	1	0

Availability (Deadlock method)

(0, 0)

R1, R2



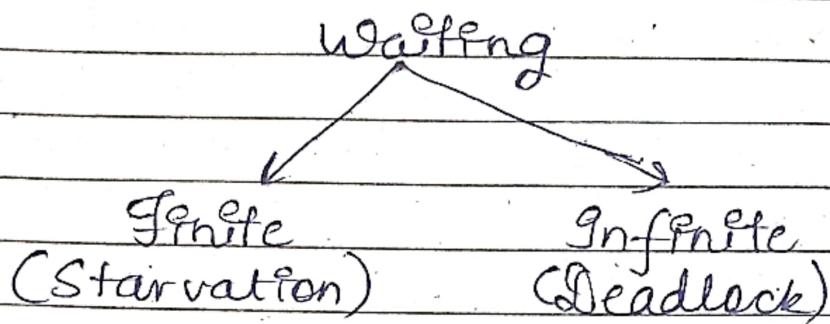
	Allocation		Request		
	R1	R2	R1	R2	
P1	1	0	0	0	✓ Germinate
P2	0	1	0	0	✓ —  —
P3	0	0	1	1	

Availability

(0, 0)

(1, 0)  $\rightarrow$  after P<sub>1</sub> terminates  
 (1, 1)  $\rightarrow$  — P<sub>2</sub> —

No deadlock occurs.



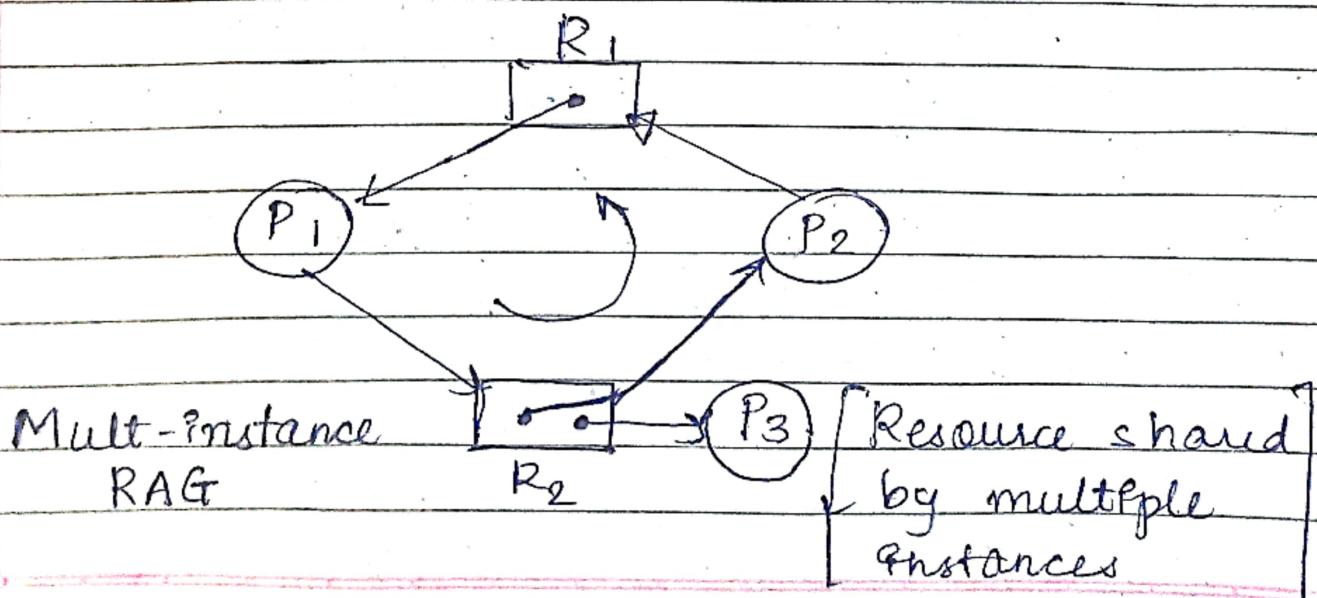
If RAG circular wait  $\rightarrow$  Always in Deadlock

Single instance

Single instance + Circular wait = Deadlock

Mult-instance + CW = Possible (May or may not be)

Multi-instance RAG

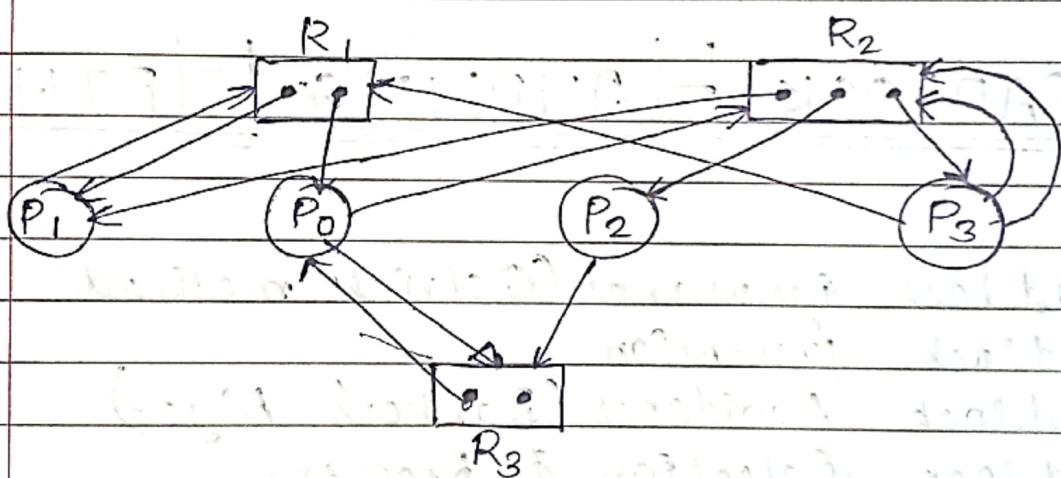




Process	Allocate		Request	
	R <sub>1</sub>	R <sub>2</sub>	R <sub>1</sub>	R <sub>2</sub>
P <sub>1</sub>	1	0	0	1
P <sub>2</sub>	0	1	1	0
P <sub>3</sub>	0	1	0	0

Current availability  $\rightarrow (0, 0)$   
 $(0, 1)$   
 $(1, 0)$

No deadlock



	Allocate			Request		
	R <sub>1</sub>	R <sub>2</sub>	R <sub>3</sub>	R <sub>1</sub>	R <sub>2</sub>	R <sub>3</sub>
P <sub>0</sub>	1	0	1	0	1	1
P <sub>1</sub>	1	1	0	1	0	0
P <sub>2</sub>	0	1	0	0	0	1
P <sub>3</sub>	0	1	0	1	2	0

curr. avail. = (R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>)  
 $(0, 0, 1)$

P<sub>2</sub>  $\rightarrow$  0 1 0

0 1 1

P<sub>0</sub>  $\rightarrow$  1 0 1

1 1 2

$R_1$	$R_2$	$R_3$	$R_4$	$R_5$	$R_6$	$R_7$	$R_8$
1	1	2					
$P_1 \rightarrow 1$	1	0					
2	2	2					
$P_3 \rightarrow 0$	1	0					
2	3	2	→ curr. availability				

No deadlock in the system.

$P_2 \rightarrow P_0 \rightarrow P_1 \rightarrow P_3$

## DEADLOCK HANDLING METHODS

- 1) Deadlock Ignorance (Ostrich method)
- 2) Deadlock prevention
- 3) Deadlock Avoidance (Banker's Algo.)
- 4) Deadlock Detection & Recovery

### Deadlock Ignorance:

(Just ignore the deadlock)

Deadlock occurs very rarely - in windows  
 Windows has lots of code in its OS.  
 We want more & more speed so we don't  
 write any code for deadlock, so it's easy  
 to avoid as it's rare.

Why is this method called Ostrich method?  
Whenever there's a sandstorm Ostrich puts his head in the sand assuming no sand. In the same way we ignore deadlock so that the performance & speed doesn't get degraded.

## Deadlock prevention

Before the deadlock occurs find the prevention & necessary conditions for deadlock

- 1) Mutual Exclusion
- 2) No pre-emption
- 3) Hold & Wait
- 4) Circular wait

Either remove all the conditions or try to false any one situation.

- 1) Mutual Exclusion (There should be no sharing bet<sup>n</sup> diff. processes at the same time)

So if we make all the resources shareable we can remove mutual exclusion but some resources like printer can't be made shareable

- 2) No pre-emption (There shouldn't be any pre-emption on bet<sup>n</sup> the resources)

So, if we make the process pre-empted using timestamp or TQ we can prevent deadlock.

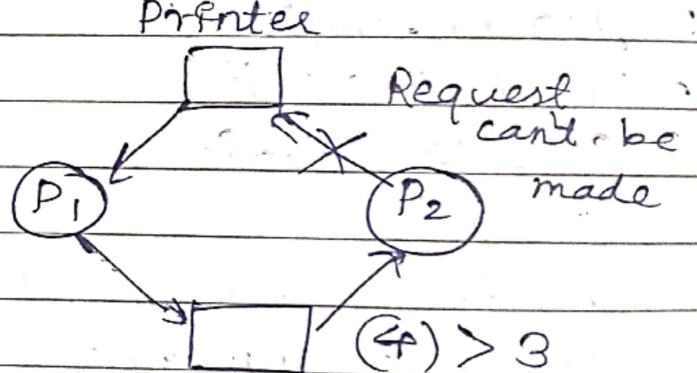
3) Hold & wait (Holding some resource & waiting for some resource)

Before the process starts, give all the resources to the process.

4) Circular Wait:

Order all the resources (like numbering) whenever a process request for a resource it would be ordered in increasing order. A process can request in increasing order order.

- 1) Printer
- 2) Scanner
- 3) CPU
- 4) Register



### Deadlock Avoidance

While giving the resources to the process check whether it's safe or not.

### Deadlock detection & recovery

S-1 : First - check whether there's a deadlock or not using RAG (resource allocation graph)

S-2 : After detection do some recovery - will discuss

- ① Kill the processor or a process (lower priority resources)
  - ② Reserve Resource pre-emption (pre-empt the resource it's holding)

## 4.5 Deadlock Avoidance

## (Banker's Algorithm)

## Deadlock Avoidance Algorithm

While providing the resources to the process we check if deadlock occurs or not. It is also called Deadlock prevention.

Max instances = 10      Already Allocated = 7

$$\text{Memory}(B) = 5 \quad \text{and} \quad 2$$

$$\text{Printer}(c) = 7$$

$$\text{Printer}(C) = 7 + \dots + 5$$

(Total AT) Allocation  $\rightarrow$  how much  $\mathfrak{g}_j$  allocated

Max need  $\rightarrow$  how much  $\&$  needed

(Total AT - A) availability → how much resource is available

(Max need - Allocation) Remaining need

Process	Allocation			Max Need			Available			Remaining need Max Allocation		
	A	B	C	A	B	C	A	B	C	A	B	C
P <sub>1</sub>	0	1	0	7	5	3	3 <sub>(2)</sub>	3 <sub>(0)</sub>	2 <sub>(0)</sub>	7	4	3
P <sub>2</sub>	2	0	0	3	2	2	5 <sub>(2)</sub>	3 <sub>(1)</sub>	2 <sub>(1)</sub>	1	2	2
P <sub>3</sub>	3	0	2	9	0	2	7 <sub>(0)</sub>	4 <sub>(0)</sub>	3 <sub>(2)</sub>	6	0	0
P <sub>4</sub>	2	1	1	7	2	2	7 <sub>(0)</sub>	4 <sub>(1)</sub>	5 <sub>(1)</sub>	2	1	1
P <sub>5</sub>	0	0	2	5	3	3	7 <sub>(3)</sub>	5 <sub>(0)</sub>	5 <sub>(2)</sub>	5	3	1
+	7	2	5				10	5	7			

If we can't fulfill the need of every process  
it's called deadlock

P<sub>2</sub> → P<sub>4</sub> → P<sub>5</sub> → P<sub>1</sub> → P<sub>3</sub> (Safe sequence)

(Sequence having no deadlock)  
(Not possible in real life)