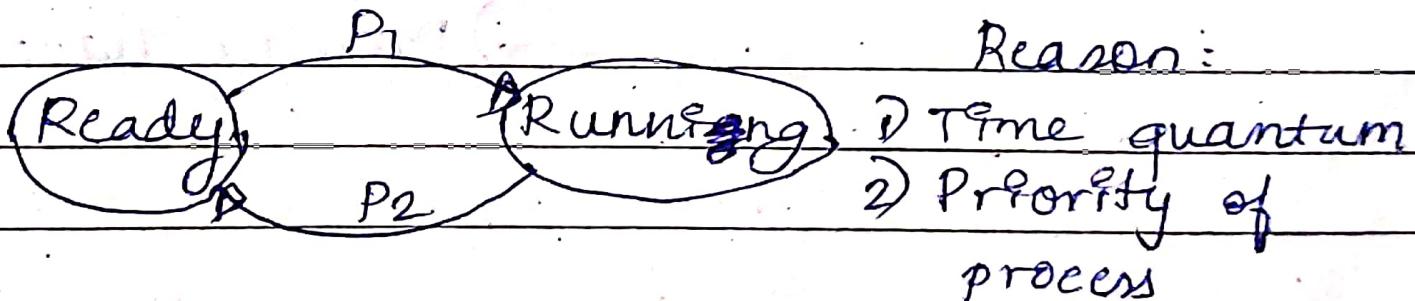
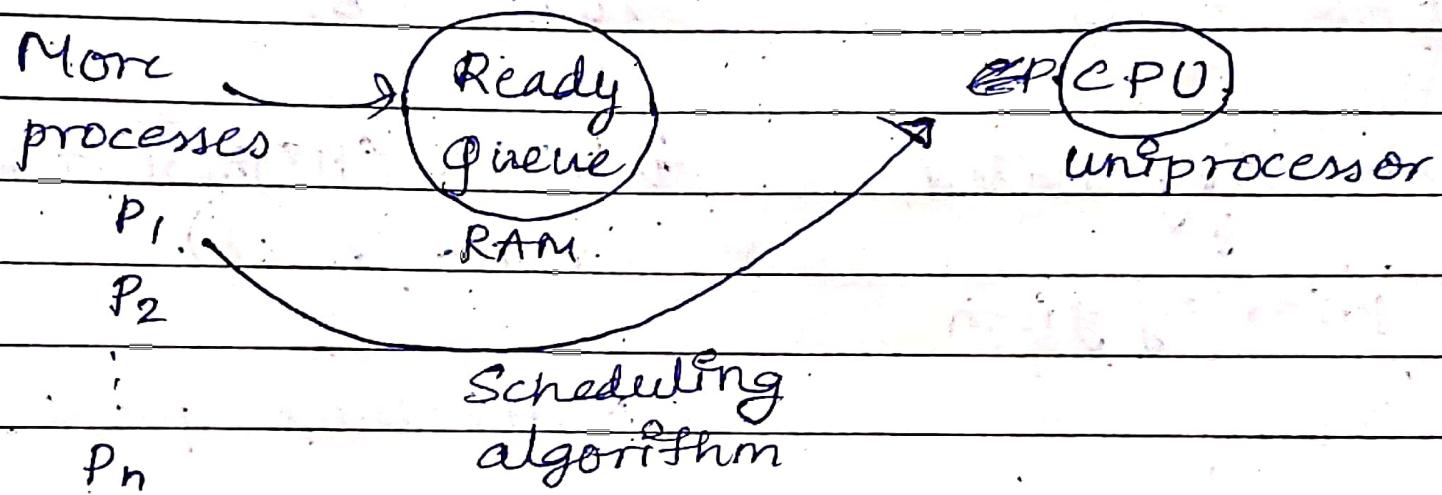


## Process Scheduling Algo:

A way of selecting algo from ready queue & putting it on CPU.



Pre-emptive: If a process is taken out from ready queue or RAM & is put in running process (queue) (CPU). Then we can stop the process  $P_1$  & give another process  $P_2$  & send  $P_1$  to ready queue. It provides responsiveness.

Non-pre-emptive

Non-pre-emptive: If a process is taken out from ready queue it would be processed till its burst time, after then only new process can be introduced.

Pre-emptive

Non-pre-emptive

1) SRTF  $\rightarrow$  BT

1) FCFS  $\rightarrow$  AT

2) LRTF  $\rightarrow$  BT

2) SJF  $\rightarrow$  BT

3) RR  $\rightarrow$  Tq

3) LTF  $\rightarrow$  BT

4) Priority based

4) HRRN (Highest response ratio next)

priority given

5) Multi-level queue

6) Priority based

(AT) Arrival Time: Time at which process enters the ready queue or stack.

(BT) Burst Time: Time reqd by a process to get executed on CPU

(CT) Completion time: Time at which process completes execution

(TAT) Turn-Around-Time = CT - AT

WT) Waiting time = TAT - BT

(RT) Response time = (Time at which process gets CPU) - AT

Arrival time → Process exec. → Completion

(Point of time)	duration	time
		(Point of time)

### First Come First Serve (FCFS)

- Executes queued requests & processes in order of their arrival
- Process which requests the CPU first gets the CPU allocation first
- Managed by my FIFO queue.

Pn

Given Qn Question

Proc.

Take

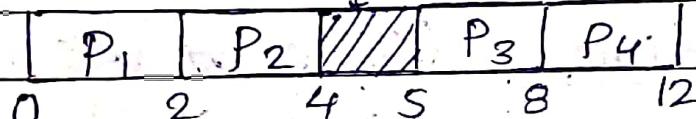
Get CPU	Proc. no.	Time		(CT-AT)	(TAT-BT)	from Gantt	
		AT	BT	CT	TAT		
for 1 <sup>st</sup> time							
0	P <sub>1</sub>	0	2	2	2	0	0-0 → 0
2	P <sub>2</sub>	1	2	4	3	1	2-1 → 1
5	P <sub>3</sub>	5	3	8	3	0	5-5 → 0
8	P <sub>4</sub>	6	4	12	6	2	8-6 → 2

& Criteria: Arrival time

Mode: Non-pre-emptive

Gantt chart

CPU idle



Time →

At t=12 all processes gets executed

$$\text{Avg. TAT} = \frac{14}{4} \approx$$

$$\text{Avg. WT} = \frac{3}{4}$$



## SJF (Shortest Job First)

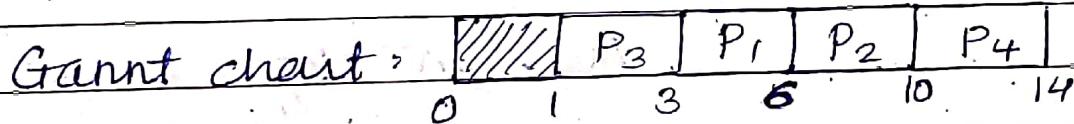
- Process having sm. least execution time is chosen for next execution.
- Can be pre-emptive or non-pre-emptive
- Reduces WT for other processes
- Greedy Algo

Starting

Proc. no.	AT	BT	CT	TAT	WT	RT
P <sub>1</sub>	1	3	6	5	2	2
P <sub>2</sub>	2	4	10	8	4	4
P <sub>3</sub>	1	2	3	2	0	0
P <sub>4</sub>	4	4	14	10	6	6

Criterid: Burst time Mode: Non-pre-emptive

Queue: P<sub>1</sub> P<sub>3</sub> P<sub>2</sub> P<sub>4</sub>



- In time period 0 to 1, no process has arrived
- At time: 1, P<sub>1</sub> & P<sub>3</sub> arrive, P<sub>3</sub> has less BT  $\therefore$  execute it
- Now P<sub>2</sub> has already arrived at time 2, compare P<sub>1</sub> & P<sub>2</sub>, P<sub>1</sub> has less BT,  $\therefore$  P<sub>1</sub>
- Now P<sub>4</sub> has arrived at time 4, compare P<sub>2</sub> & P<sub>4</sub> both have equal BT,  $\therefore$  Execute P<sub>2</sub> first  $\because$  it has arrived first.

When BT is same, check at Arrival time

## SRTF (Shortest Remaining Time First)

↓  
(SJF + Pre-emptive)

Proc no.	AT	BT	CT	TAT	WT	RT	CPU first ifime ..
P <sub>1</sub>	0	5	9	9	4	0	0
P <sub>2</sub>	1	3	4	3	0	0	1
P <sub>3</sub>	2	4	13	11	7	7	9
P <sub>4</sub>	4	1	5	1	0	0	4

Criteria: 'BT'

Mode: Pre-emptive

Grant	P <sub>1</sub>	P <sub>2</sub>	P <sub>2</sub>	P <sub>2</sub>	P <sub>4</sub>	P <sub>1</sub>	P <sub>3</sub>	
Chart:	0	1	2	3	4	5	8	13

$$\text{Avg. TAT} = \frac{24}{4} = 6$$

0 → P<sub>1</sub>

1 ↗

0 → P<sub>1</sub> (S)

1 → P<sub>1</sub>, P<sub>2</sub> (4, 3)

2 → P<sub>1</sub> (4), P<sub>2</sub> (2), P<sub>3</sub> (4)

3 → P<sub>1</sub>, P<sub>2</sub>, P<sub>3</sub> (4, 1, 4)

4 → P<sub>1</sub>, P<sub>2</sub>, P<sub>3</sub>, P<sub>4</sub> (

5 → P<sub>1</sub>, P<sub>3</sub>, P<sub>4</sub> (4, 4, 1)

5 → P<sub>1</sub>, P<sub>3</sub> (4, 4)

6: Execute P<sub>1</sub> first

∴ It arrived

first

## Round Robin (RR)

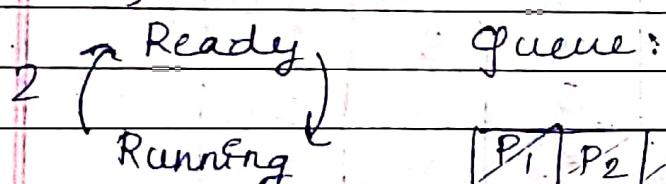
- Pre-emptive.
- Once a process is executed for a given time period, it is pre-empted & other processes execute for given time.

Proc. no.	AT	BT	CT	TAT	WT	RT	CPU first time
P <sub>1</sub>	0	5	12	12	7	0	0
P <sub>2</sub>	1	4	11	10	6	1	2
P <sub>3</sub>	2	2	6	4	2	2	4
P <sub>4</sub>	4	1	9	5	4	4	8

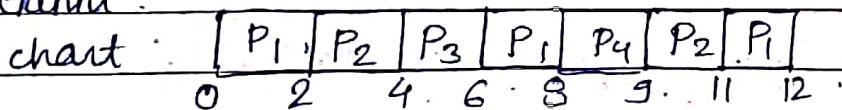
Criteria = "Time quantum"  
Mode: "Pre-emptive"

We have to resume the process, not restart them

$$t_q = 2$$



Gantt:



- At time 0 only P<sub>1</sub> is in queue & ready queue, so run it for t<sub>q</sub>=2, from 0 to 2
- In the mean while at t=1 & t=2, P<sub>2</sub> & P<sub>3</sub> resp. arrive, now as P<sub>1</sub> has ran for a t<sub>q</sub>=2 put it back into ready queue.

- Now run P<sub>2</sub> from 2 to 4, but at 4 P<sub>4</sub> arrives so put it in the ready queue.
- Now after P<sub>2</sub> has completed it's tq put it behind P<sub>4</sub> in ready queue
- P<sub>3</sub> runs from 4 to 6 & completes itself
- Now again run P<sub>1</sub> from 6 to 8 & as there's no new process put it at the end of ready queue

### Mix Burst Time (CPU & I/O both)

Process	AT	Priority	CPU	I/O	eCPU
P <sub>1</sub>	0	2	1	5	3
P <sub>2</sub>	2	3	3	3	1
P <sub>3</sub>	3	1	2	3	1
P <sub>4</sub>	3	4	2	4	1

Lower the no. higher the priority

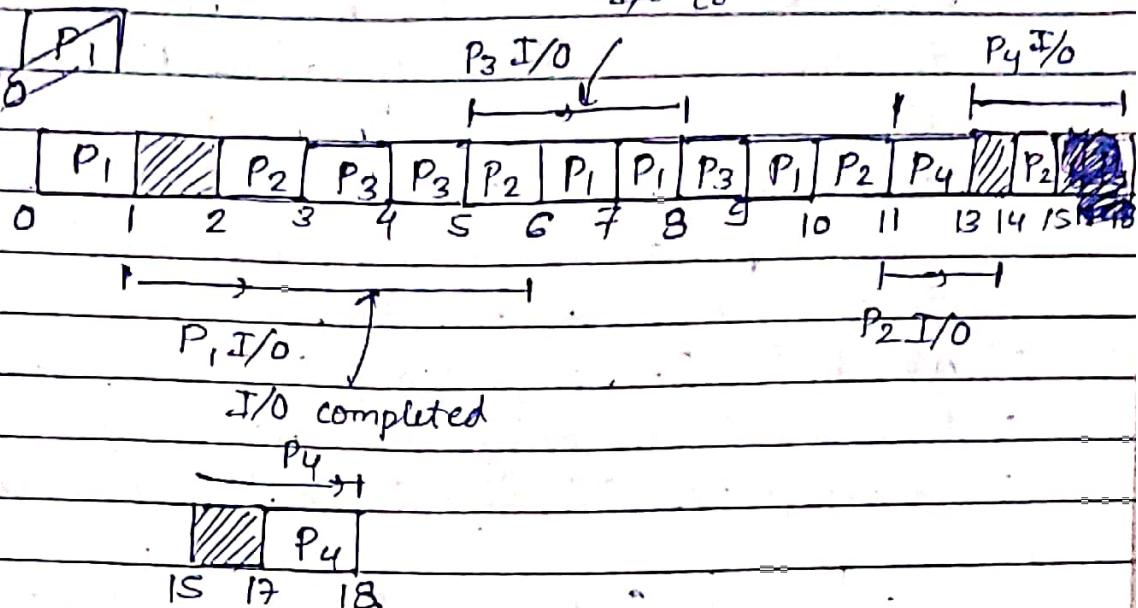
Mode: 'Pre-emptive'

Criteria: 'Priority based'

Find CT of Q.P<sub>1</sub>, P<sub>2</sub>, P<sub>3</sub>, P<sub>4</sub>

Gantt  
Chart

3/0/20



- At  $t=0$ ,  $P_1$  runs for  $t_q=1$  & after that starts 9f8 I/O process, now CPU of 1 becomes changes from 1 to 0
- At  $t=2$ ,  $P_2$  arrives & runs from  $t_q=1$  & 9f8 CPU changes from 3 to 2
- Now at  $t=3$ , B. $P_3$  &  $P_4$  have arrived, but  $P_3$  has higher priority than  $P_4$  so run it for  $t_q=1$ , again run  $P_3$  for  $t_q=1$ ,  $\therefore$  it has the highest priority & 9f8 CPU changes from 2 to 0
- Now we have  $P_2$  &  $P_4$  left &  $P_2$  has higher priority so run it for  $t_q=1$
- Now ~~at~~ at  $t=6$  as  $P_1$  has completed I/O it comes back to ready queue, now ~~as~~ out of  $P_1, P_2, P_4$ , we see that  $P_1$  has highest priority so it restarts, & CPU changes from 3 to 2 & again run it from for  $t_q=1$ , CPU  $\rightarrow 2 \rightarrow 1$

- Now at  $t=8$  as  $P_3$  has completed  $I/O$   
 it comes back to ready queue. Now as  $P_3$  has  
 the highest priority run it from 8 to 9  
 &  $P_3$  has completed its CPU
- Now  $P_1$  has highest priority so it runs from  
 9 to 10 & completes its CPU
- At  $t=11$ ,  $P_2$  completes & its  $I/O$  starts
- Now as  $P_4$  is only left it runs from 11 to 13  
 & completes its CPU & its  $I/O$  starts

		CT	CPU. idle = 9/18
	$P_1$	10	
	$P_2$	15	usage = 14/18
	$P_3$	9	
	$P_4$	18	

0 →  $P_1$ 

1 → no process

2 →  $P_2$ 3 →  $P_3, P_4$ 

↳ high priority

4 →  $P_1, P_2, P_3, P_4$ 

5 →

6 →  $P_1, P_2, P_4$

## Pre-emptive priority scheduling

The scheduler selects the task to work as per priority.

Equal priority: Round Robin or FCFS

Priority	Process no.	AT	BT	CT	TAT	WT	RT	CPO first
10	P <sub>1</sub>	0	5	12	12	7	0	0
20	P <sub>2</sub>	1	4	9	7	3	0	1
30	P <sub>3</sub>	2	2	4	2	0	0	2
40	P <sub>4</sub>	4	1	5	1	0	0	4

Higher the no. higher the priority

P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>3</sub>	P <sub>4</sub>	P <sub>2</sub> / P <sub>1</sub>
0	1	2	3	4	5 8 12

time →

0 → P<sub>1</sub>

1 → P<sub>1</sub>, P<sub>2</sub>

2 → P<sub>1</sub>, P<sub>2</sub>, P<sub>3</sub>

3 → P<sub>1</sub>, P<sub>2</sub>, P<sub>3</sub>

4 → P<sub>1</sub>, P<sub>2</sub>, P<sub>3</sub>, P<sub>4</sub>

5 → P<sub>1</sub>, P<sub>2</sub>

## Non-Pre-emptive Priority Scheduling

PID	Priority	AT	BT	CT	TAT	WT	RT
P <sub>1</sub>	2	0	3	3	3	0	0
P <sub>2</sub>	6	2	5	18	16	11	13
P <sub>3</sub>	3	1	4	7	6	2	3
P <sub>4</sub>	5	4	2	13	9	7	11
P <sub>5</sub>	7	6	9	27	21	12	18
P <sub>6</sub>	4	5	4	11	6	2	7
P <sub>7</sub>	10	7	10	37	30	18	27

Mode: "Non-Pre-emptive"      Criteria: "Priority"

P <sub>1</sub>	P <sub>3</sub>	P <sub>6</sub>	P <sub>4</sub>	P <sub>2</sub>	P <sub>5</sub>	P <sub>7</sub>
0	3	7	11	13	18	27

Lower the no.

0 → (P<sub>1</sub>)

higher is priority

3 → P<sub>2</sub>, (P<sub>3</sub>)

7 → P<sub>2</sub>, P<sub>4</sub>, P<sub>5</sub>, (P<sub>6</sub>), P<sub>7</sub>

11 → P<sub>2</sub>, (P<sub>4</sub>), P<sub>5</sub>, P<sub>7</sub>

## CPU Scheduling

- ① CPU Utilization  $\rightarrow$  High
- ② TAT  $\rightarrow$  less
- ③ Response  $\rightarrow$  min.
- ④ Waiting time  $\rightarrow$  less
- ⑤ Throughput  $\rightarrow$  max.  
 $\rightarrow$  no. of c/p per unit time

### FCFS (Convoy Effect)

	AT	BT	TAT	WT		AT	BT	TAT	WT
P <sub>1</sub>	0	50	50	0	P <sub>1</sub>	0	50	50	0
P <sub>2</sub>	1	1	50	49	P <sub>2</sub>	1	1	50	49
P <sub>3</sub>	1	2	52	50	P <sub>3</sub>	1	2	52	50
					P <sub>1</sub>				
					P <sub>2</sub>				
					P <sub>3</sub>				
						AT	BT	TAT	WT
						P <sub>1</sub>	1	50	52
						P <sub>2</sub>	0	1	1
						P <sub>3</sub>	0	2	3
									3/3 = 1
P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>							
0	50	51	53						

P <sub>2</sub>	P <sub>3</sub>	P <sub>1</sub>	1
0	1	3	53

When a process having higher burst time comes first other processes have to wait for a large amount of time, they are called convoy effect.

Predict burst time based on process type & process-ID:

→ Process Type if  $P_{old} = 100KB$  : S-units

→ Process Size  $P_{new} = 102KB \approx S\text{-units}$

As the process size is the same, it would take approx. the same BT.

Burst time also depends on the nature or type of the process.

→ Simple Averaging:

For e.g. 5 processes have finished execution, then we can predict the BT of 6th process by simple averaging method

$P_1 \ P_2 \ P_3 \ P_4 \ P_5$

$$\frac{t_1 + t_2 + t_3 + t_4 + t_5}{5}$$

$$P_{n+1} = \frac{1}{n+1}$$

$$P_{n+1} = \frac{1}{n} \sum_{i=1}^n t_i$$

→ Exponential Averaging:

$$E_{i+1} = \alpha A_i + (1-\alpha) E_i$$



$E_{ITi}$  = Expected time for process  $i$  if  $i$

$A_i$  = Actual BT of process  $i$

$$0 \leq \alpha \leq 1$$

$\alpha$  = Smoothening factor

$\alpha \neq 1$  or  $0$  in real life

E.g.

$$\alpha = 0.5, E_1 = 5$$

Process	BT										
P <sub>1</sub>	4										
P <sub>2</sub>	8										
P <sub>3</sub>	5										
P <sub>4</sub>	6										
		1	2	3	4	5	6	7	8	9	10

$$E_5 = \alpha A_4 + (1-\alpha) E_4$$

$$E_5 = 0.5 \times 6 + (0.5) E_4$$

$$= 5.8$$

$$E_5 = 5.8$$

$$E_4 = \alpha A_3 + (1-\alpha) E_3$$

$$= 0.5 \times 5 + (0.5) E_3$$

$$= 5.625$$

$$E_3 = 0.5 \times 8 + (0.5) E_2$$

$$= 6.25$$

$$E_2 = (0.5) \times 4 + 0.5 \times 5$$

$$= 4.5$$

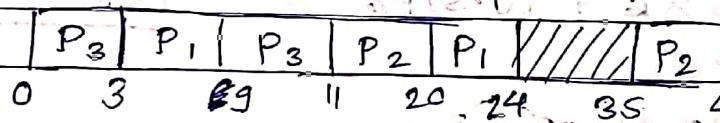
## SJF having CPU time & I/O time

Q1 Given Three processes P<sub>1</sub>, P<sub>2</sub>, P<sub>3</sub> with process times 20, 30, 10 respectively. Each process uses the first 30% of the process time in CPU, the 50% in I/O & last 20% in CPU:  
Find avg. WT, TAT, & RT if system follows SJF scheduling.

	Process time	Process time = CPU time + I/O time
P <sub>1</sub>	20	
P <sub>2</sub>	30	
P <sub>3</sub>	10	

	Process time	AT	CPU BT	I/O BT	CPU time
P <sub>1</sub>	20	0	8	10	24
P <sub>2</sub>	30	0	9	15	6
P <sub>3</sub>	10	0	3	5	2

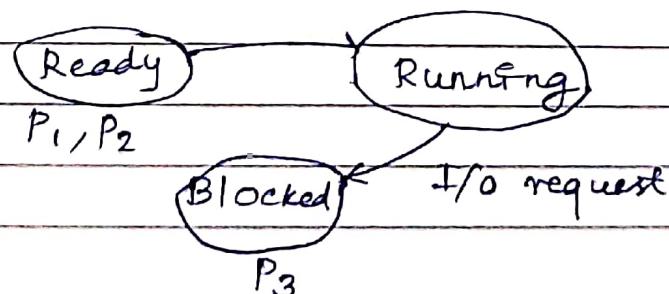
Choose the process with min. process time first



In SJF,

If the process wants to do some I/O operation then the process can leave the CPU on its own.

P<sub>3</sub> will return at 8



$P_1$  will return at 19.

Ready =  $P_2, P_3$

Now we'll allocate the CPU to  $P_2$ , if it has less CPU time than  $P_3$ .

Ready:  $P_2$

Scans at 11:

$P_2$  will return at 35

Ready:  $P_1$

Now at  $t=24$  we don't have any process in ready queue &  $P_2$  would come at 35

	$P_1$	TAT(TAT)	WT(TAT - BT)	RT			
1	$P_1$	$24 - 0 = 24$	$24 - 10 = 14$	3	0	0	0
2	$P_2$	$91 - 0 = 91$	$91 - 15 = 76$	11	0	0	0
3	$P_3$	$11 - 0 = 11$	$11 - 5 = 6$	0			

In BT only don't consider I/O BT.

$\therefore$  CPU time for  $P_{1,0} = 6 + 4 = 10$

Actual Avg. TAT =  $\frac{76}{3} = 25.3$

Avg. WT =  $\frac{46}{3} = 15.3$

CPU utilization = Expected =  $10 + 15 + 5 = 30$   
 Actual = 41 (See on Gantt chart, & the rightmost point)

$$= \frac{30}{41} = 0.7317 = 73.17\%$$

Don't consider I/O BT in actual, & instead add waiting time & total time

Idle % of CPU = Total idle time

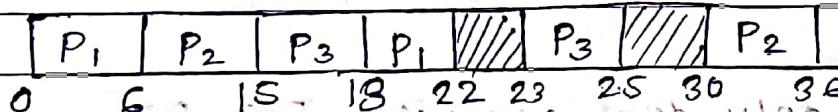
Actual

$$= \frac{11}{41} = 0.2682$$

$$= \boxed{26.82\%}$$

Same sum with FCFS scheduling

Process time	AT	CPU BT	I/O BT	CPU BT	CT	TT	WT	RT
P <sub>1</sub> 20	0	0.6	10	4.7	22	22	12	0
P <sub>2</sub> 30	0	9	15	6	36	36	21	6
P <sub>3</sub> 10	0	13	15	2	25	25	20	15



P<sub>1</sub> will come back at 16 unit of time

Ready : P<sub>2</sub> & P<sub>3</sub>

Blocked : P<sub>1</sub>

P<sub>2</sub> will return at 30

Ready : P<sub>3</sub>

Blocked : P<sub>1</sub>, P<sub>2</sub>

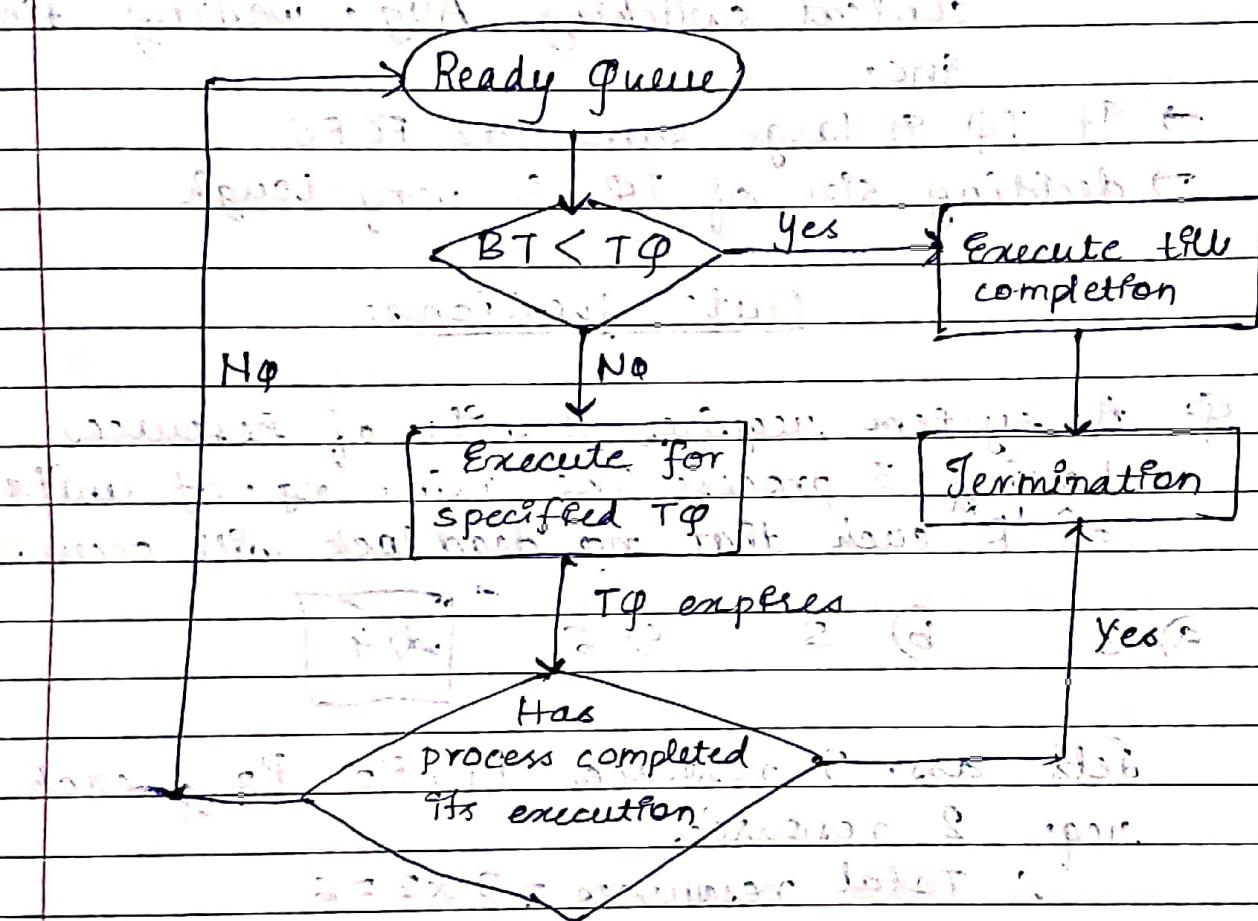
P<sub>3</sub> will return at 23

Ready: P<sub>1</sub>  
Blocked: P<sub>2</sub>, P<sub>3</sub>

$$\text{CPU utilization} = \frac{\text{Expected}}{\text{Actual}} = \frac{10 + 15 + 5}{36} = \frac{30}{36} = 0.833 \\ = 83.3\%$$

$$\text{CPU idle \%} = \frac{6}{36} \times 100 = 16.68\%$$

Flowchart for Round-Robin



Advantage: → Easy & simple to implement

→ Each process gets a fair share of CPU

→ No starvation

→ No convoy effect

→ deterministic response time

→ Priority is same for each process

### Disadvantage:

→ Throughput depends on T<sub>Q</sub>

→ If ~~T<sub>Q</sub>~~ is small → more overhead of context switching, Avg. waiting time

→ If T<sub>Q</sub> is large same as FCFS

→ deciding size of T<sub>Q</sub> is very tough

## Multi-level Queue scheduling

In every scheduling algo. till now, we've taken only one queue.

- There must be different queue for diff. process
- Every process can have their own algorithm.

- 1) System calls - Round Robin
- 2) Interactive calls - SJFS

Highest priority [System process] — RR

Medium priority [Interactive process] — SJF → CPU

lowest priority      Batch process      FCFS

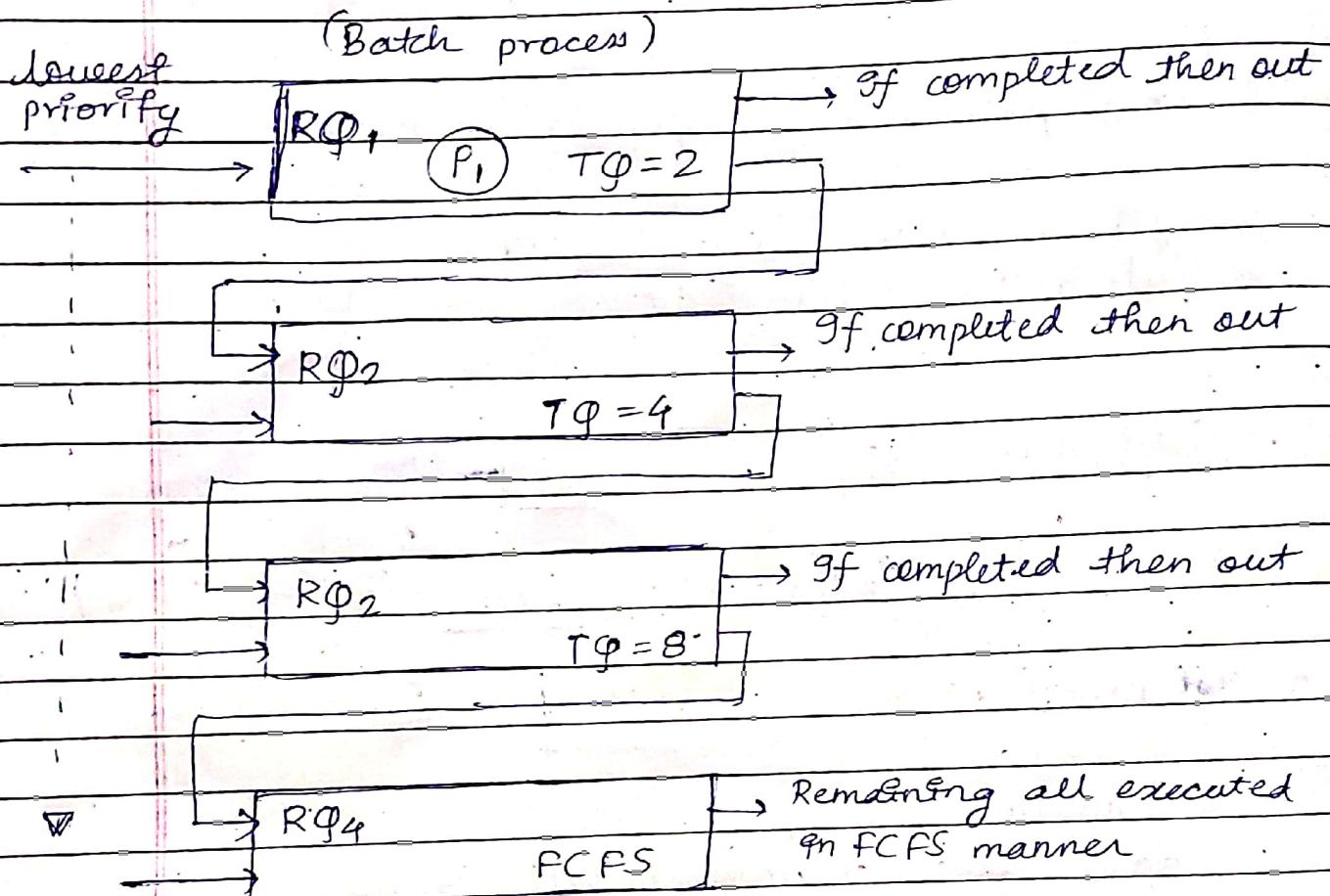
Problem:

Lower level or lower priority queues may experience starvation due to more no. of calls on high priority.

Resolved using multi-level feedback queue

## Multi-level feedback queue

More system process - lowest priority waits  
 Lowest priority - feedback



highest priority (system process)

$P_1 \quad 19 \quad 17 \quad 13 \quad 5$   
 $TQ = 2 \quad TQ = 4 \quad TQ = 8$