# Algorithm Design and Analysis (ECS 122A) Study Guide

Davis Computer Science Club Tutoring Committee

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## 1 Asymptotic Notation

## 1.1 O-Notation (Big O)

**Notation:** 

$$f(n) \in O(g(n))$$

#### Formal Definition:

For a given function g(n), O(g(n)) is the set of functions for which there exists positive constants c and  $n_0$  such that  $0 \le f(n) \le c \cdot g(n)$  for all  $n \ge n_0$ .

$$O(g(n)) = \{ f(n) : \exists c, n_0 \text{ s.t. } 0 \le f(n) \le c \cdot g(n) \ \forall \ n \ge n_0 \}$$

#### **Informal Definition:**

The function g(n) is an asymptotic upper bound for the function f(n) if there exists constants c and  $n_0$  such that  $0 \le f(n) \le c \cdot g(n)$  for  $n \ge n_0$ .

Another way to perceive Big O notation is that for  $f(n) \in O(g(n))$ , the function f's asymptotic<sup>1</sup> growth is no faster than that of function g's.

$$\lim_{n \to \infty} \frac{f(n)}{g(n)} < \infty$$

<sup>&</sup>lt;sup>1</sup>Asymptotic: As given variable approaches infinity.

### 1.1.1 Example

Prove that asymptotic upper bound of f(n) = 2n + 10 is  $g(n) = n^2$ .

$$0 \le f(n) \le c \cdot g(n) \text{ for } n \ge n_0$$
  
$$0 \le 2n + 10 \le c \cdot n^2 \text{ for } n \ge n_0$$

Arbitrarily choose c and  $n_0$  values. Simplest is to turn one of the variables into the value 1 and solve. For this example, we will assign the value 1 to  $n_0$ .

$$0 \le 2n + 10 \le c \cdot n^2 \text{ for } n \ge 1$$
  
 $2(1) + 10 \le c \cdot (1)^2$   
 $12 \le c$ 

By picking  $n_0 = 1$  and c = 12, the inequality of  $2n + 10 \le 12n^2$  will hold true for all  $n \ge 1$ . Since there exists a constant c and  $n_0$  that fulfill this inequality, we have proven that  $f(n) = 2n + 10 = O(n^2)$ .

#### 1.2 o-Notation (Little O)

#### Notation:

$$f(n) \in o(g(n))$$

#### Formal Definition:

For a given function g(n), o(g(n)) is the set of functions for which every positive constant c > 0, there exists a constant  $n_0 > 0$  such that  $0 \le f(n) \le c \cdot g(n)$  for all  $n \ge n_0$ .

$$o(g(n)) = \{ f(n) : \exists n_0 \text{ s.t. } 0 \le f(n) \le c \cdot g(n) \ \forall \ n \ge n_0, c \ge 0 \}$$

#### **Informal Definition:**

The function g(n) is an upper bound that is not asymptotically tight. For all positive constant values of c, there must exists a constant  $n_0$  such that  $0 \le f(n) \le c \cdot g(n)$  for all  $n \ge n_0$ . The value of  $n_0$  may not depend on n, but may depend on c.

Another way to perceive Little O notation is that for  $f(n) \in o(g(n))$ , the function f's asymptotic growth is strictly less than that of the function g's. In this sense, Little O can be seen as a "stronger" bound in comparison to Big O. By proving that a function is an element of Little O, it also proves that the function is an element of Big O.

$$\lim_{n \to \infty} \frac{f(n)}{g(n)} = 0$$

#### 1.2.1 Example

Prove that f(n) = 2n has an upper bound  $o(n^2)$ .

$$0 \le c \cdot g(n) \le f(n) \text{ for } n \ge n_0$$
  

$$0 \le c \cdot 2n \le n^2 \text{ for } n \ge n_0$$
  

$$2c \le n \text{ for } n \ge n_0$$
  

$$2c \le n_0$$

For Little O to hold true, the inequality needs to hold true for all c > 0 and for all  $n > n_0$ . From simplifying the inequality, we assert that the inequality will hold true as long as the value of  $n_0$  is twice the value of c. Given that they are both constants, then there exists a constant value of  $n_0$  for all positive constant c that fulfill this inequality.

Another method to solve this problem is to use the limit definition.

$$\lim_{n \to \infty} \frac{2n}{n^2}$$

$$\lim_{n \to \infty} \frac{2}{n} = 0$$

#### 1.2.2 Example

Prove that  $f(n) = 2n^2$  does not have the upper bound  $o(n^2)$ .

$$0 \le c \cdot g(n) \le f(n) \text{ for } n \ge n_0$$
  

$$0 \le c \cdot 2n^2 \le n^2 \text{ for } n \ge n_0$$
  

$$2c \le 1 \text{ for } n \ge n_0$$

For a function to have the Little O bound, the inequality must hold true for all positive c. However, simplification of the inequality asserts that the inequality will only hold true for all  $c < \frac{1}{2}$ . Therefore,  $f(n) = 2n^2$  does not have the upper bound  $o(n^2)$ .

## 1.3 $\Omega$ -Notation (Big Omega)

#### Notation:

$$f(n) \in \Omega(g(n))$$

#### Formal Definition:

For a given function g(n),  $\Omega(g(n))$  is the set of functions for which there exists positive constants c and  $n_0$  such that  $0 \le c \cdot g(n) \le f(n)$  for all  $n \ge n_0$ .

$$\Omega(g(n)) = \{ f(n) : \exists c, n_0 \text{ s.t. } 0 \le c \cdot g(n) \le f(n) \ \forall \ n \ge n_0 \}$$

#### **Informal Definition:**

The function g(n) is an asymptotic lower bound for the function f(n) if there exists constants c and  $n_0$  such that  $0 \le c \cdot g(n) \le f(n)$  for  $n \ge n_0$ .

$$\lim_{n \to \infty} \frac{f(n)}{g(n)} > 0$$

### 1.3.1 Example

Prove that the asymptotic lower bound of  $f(n) = 8n^2$  is g(n) = n.

$$0 \le c \cdot g(n) \le f(n) \text{ for } n \ge n_0$$
  
 $0 \le c \cdot n \le 8n^2 \text{ for } n \ge n_0$ 

Arbitrarily choose c and  $n_0$  values. Simplest is to turn one of the variables into the value 1 and solve. For this example, we will assign the value 1 to c.

$$0 \le n \le 8n^2 \text{ for } n \ge n_0$$

$$(n_0) \le 8(n_0)^2$$

$$1 \le 8n_0$$

$$\frac{1}{8} \le n_0$$

By picking  $n_0 = 1$  and c = 1, the inequality of  $n \le 8n^2$  will hold true for all  $n \ge 1$ . Since there exists a constant c and  $n_0$  that fulfill this inequality, we have proven that  $f(n) = n^2 = \Omega(n)$ .

#### 1.4 $\omega$ -Notation (Little Omega)

#### Notation:

$$f(n) \in \omega(g(n))$$

#### Formal Definition:

For a given function g(n),  $\omega(g(n))$  is the set of functions for which every positive constant c > 0, there exists a constant  $n_0 > 0$  such that  $0 \le c \cdot g(n) \le f(n)$  for all  $n \ge n_0$ .

$$\omega(g(n)) = \{ f(n) : \exists n_0 \text{ s.t. } 0 \le c \cdot g(n) \le f(n) \ \forall \ n \ge n_0, c \ge 0 \}$$

#### **Informal Definition:**

The function g(n) is a lower bound that is not asymptotically tight. For all positive constant values of c, there must exists a constant  $n_0$  such that  $0 \le c \cdot g(n) \le f(n)$  for all  $n \ge n_0$ . The value of  $n_0$  may not depend on n, but may depend on c.

Another way to perceive Little  $\omega$  notation is that for  $f(n) \in \omega(g(n))$ , the function f's asymptotic growth is strictly greater than that of the function g's. In this sense, Little  $\omega$  can be seen as a "stronger" bound in comparison to Big  $\Omega$ . By proving that a function is an element of Little  $\omega$ , it also proves that the function is an element of Big  $\Omega$ .

$$\lim_{n \to \infty} \frac{f(n)}{g(n)} = \infty$$

## 1.5 $\Theta$ -notation

**Definition:** The function g(n) is an asymptotic