

# Neural System Level Synthesis: Learning over All Stabilizing Policies for Nonlinear Systems

## Implementation details

In this document, we introduce the implementation details concerning the numerical experiments of [Furieri et al. \(2022a\)](#). *Notation:* for vectors  $a \in \mathbb{R}^n$  and  $b \in \mathbb{R}^m$ ,  $(a, b) = [a^\top, b^\top]^\top$  is a vector in  $\mathbb{R}^{n+m}$ .

We consider point-mass vehicles with state  $(p_t, q_t)$  where  $p_t \in \mathbb{R}^2$  represents the position and  $q_t \in \mathbb{R}^2$  the velocity. The agents are subject to nonlinear drag forces (e.g., air or water resistance). The discrete-time model for each vehicle of mass  $m = 1$  kg is

$$\begin{bmatrix} p_t \\ q_t \end{bmatrix} = \begin{bmatrix} p_{t-1} \\ q_{t-1} \end{bmatrix} + T_s \begin{bmatrix} q_{t-1} \\ m^{-1} (-C(q_{t-1})q_{t-1} + F_{t-1}) \end{bmatrix}, \quad (1)$$

where  $F_t \in \mathbb{R}^2$  denotes the force control input,  $T_s = 0.05$  s is the sampling time and  $C : \mathbb{R}^2 \rightarrow \mathbb{R}$  is a positive *drag function*. We remark that the disturbance sequence of the Neur-SLS problem [Furieri et al. \(2022a\)](#) is given by  $\mathbf{w} = ((p_0, q_0, 0, 0), (0, 0, 0, 0), \dots) \in \ell_2^4$ .

The vehicles need to achieve a target position  $\bar{p} \in \mathbb{R}^2$  with zero velocity, i.e.,  $\bar{q} = 0_2$ , in a finite time of 5 s, resulting in  $T = 100$  time-steps. For this setting, we consider a base controller  $u_t = K'(\bar{p} - p_t)$  with  $K' = \text{diag}(k'_1, k'_2)$  and  $k'_1 = k'_2 = 1 \frac{\text{N}}{\text{m}}$ .

We model a set of  $N \in \mathbb{N}$  vehicles as per (1) by defining an overall state  $x_t = (p_t^1, q_t^1, p_t^2, q_t^2, \dots, p_t^N, q_t^N) \in \mathbb{R}^{4N}$  and input  $u_t = (F_t^1, F_t^2, \dots, F_t^N) \in \mathbb{R}^{2N}$ . We consider two scenarios: mountains and swapping.<sup>1</sup> Animations are available in our [Github repository](#).<sup>2</sup>

For each scenario, we train Neur-SLS control policies to optimize the performance given by

$$\sum_{t=0}^T l(x_t^s, u_t^s) = \sum_{t=0}^T l_{\text{traj}}(x_t, u_t) + l_{\text{ca}}(x_t) + l_{\text{obs}}(x_t) \quad (2)$$

where

$$l_{\text{traj}}(x_t, u_t) = \sum_{i=0}^N (p_t^i, q_t^i)^\top Q (p_t^i, q_t^i) + \alpha_u (F_t^i)^\top (F_t^i), \quad (3)$$

$$l_{\text{ca}}(x_t) = \begin{cases} \alpha_{\text{ca}} \sum_{i=0}^N \sum_{j, i \neq j} (d_{ij}(t) + \epsilon)^{-2} & \text{if } d_{ij}(t) \leq D, \\ 0 & \text{otherwise,} \end{cases} \quad (4)$$

where  $Q \succeq 0$ ,  $d_{ij}$  represents the distance between agent  $i$  and  $j$  and  $\epsilon$  is a fixed positive small constant such that the loss is well defined for all positive distances. The last addend of (2), represents

1. The mountains and swapping benchmarks are motivated by the examples in [Onken et al. \(2021\)](#); [Furieri et al. \(2022b\)](#).

2. <https://github.com/DecodeEPFL/neurSLS.git>

the cost due to obstacles in the environment. We define the obstacles using a Gaussian density function

$$\eta(p; \mu, \Sigma) = \frac{1}{2\pi\sqrt{\det(\Sigma)}} \exp\left(-\frac{1}{2}(p - \mu)^\top \Sigma^{-1}(p - \mu)\right) \quad (5)$$

with mean  $\mu \in \mathbb{R}^2$  and covariance  $\Sigma \in \mathbb{R}^{2 \times 2}$ . Then, the term  $l_{obs}(x_t^i)$  is given by

$$l_{obs}(x_t) = \alpha_{obs} \sum_{i=0}^N \left( \eta\left(p_t^i; \begin{bmatrix} 2.5 \\ 0 \end{bmatrix}, 0.2 I\right) + \eta\left(p_t^i; \begin{bmatrix} -2.5 \\ 0 \end{bmatrix}, 0.2 I\right) \right. \quad (6)$$

$$\left. + \eta\left(p_t^i; \begin{bmatrix} 1.5 \\ 0 \end{bmatrix}, 0.2 I\right) + \eta\left(p_t^i; \begin{bmatrix} -1.5 \\ 0 \end{bmatrix}, 0.2 I\right) \right) . \quad (7)$$

We use stochastic gradient descent with Adam in order to minimize the loss function. For the `mountains` scenario, we set the hyperparameters  $Q = \text{diag}(1, 1, 1, 1)$ ,  $\alpha_u = 0.1$ ,  $\alpha_{ca} = 100$  and  $\alpha_{obs} = 5000$ , and train for 500 epochs with a learning rate of 0.001. For the `swapping` problem, we train during 1500 epochs with learning rate equal to 0.002 and set the hyperparameters to be  $Q = \text{diag}(1, 1, 1, 1)$ ,  $\alpha_u = 0.1$  and  $\alpha_{ca} = 1000$ . Since there are no fixed obstacles in the environment, we set  $\alpha_{obs} = 0$ .

#### MOUNTAINS PROBLEM (2 ROBOTS)

The scenario `mountains` involves two agents whose goal is to coordinately pass through a narrow valley. The system consists of 2 robots of radius 0.5 m and we consider a drag function given by

$$C(q)q = b_1 q + b_2 |q|q, \quad (8)$$

with  $b_1 = 1 \frac{\text{Ns}}{\text{m}}$  and  $b_2 = 0.1 \frac{\text{Ns}}{\text{m}}$ .

The REN is a deep neural network with depth  $r = 32$  layers ( $v \in \mathbb{R}^r$ ). Its internal state  $\xi$  is of dimension  $q = 32$ . We use  $\tanh(\cdot)$  as the activation function.

The initial positions of the robots are sampled from a Normal distribution centered at  $(\pm 2, -2)$ , with covariance  $\text{diag}(\sigma^2, \sigma^2)$ . We set  $\sigma = 0.2$  for the first 300 epochs and then increased it to  $\sigma = 0.5$ . At each epoch we simulate five trajectories over which we calculate the corresponding loss.

#### SWAPPING PROBLEM (12 ROBOTS)

The scenario `swapping` considers twelve agents switching their positions, while avoiding all collisions. The system consists of 12 robots of radius 0.25 m and the considered drag function is given by

$$C(q)q = bq, \quad (9)$$

with  $b = 1 \frac{\text{Ns}}{\text{m}}$ .

The REN is a deep neural network with depth  $r = 24$  layers ( $v \in \mathbb{R}^r$ ). Its internal state  $\xi$  is of dimension  $q = 96$ . We use  $\tanh(\cdot)$  as the activation function.

## References

- Luca Furieri, Clara Lucía Galimberti, and Giancarlo Ferrari-Trecate. Neural system level synthesis: Learning over all stabilizing policies for nonlinear systems. *under review*, 2022a.
- Luca Furieri, Clara Lucía Galimberti, Muhammad Zakwan, and Giancarlo Ferrari-Trecate. Distributed neural network control with dependability guarantees: a compositional port-Hamiltonian approach. *PMLR*, *to appear*, 2022b.
- Derek Onken, Levon Nurbekyan, Xingjian Li, Samy Wu Fung, Stanley Osher, and Lars Ruthotto. A neural network approach applied to multi-agent optimal control. In *IEEE European Control Conference (ECC)*, pages 1036–1041, 2021.