

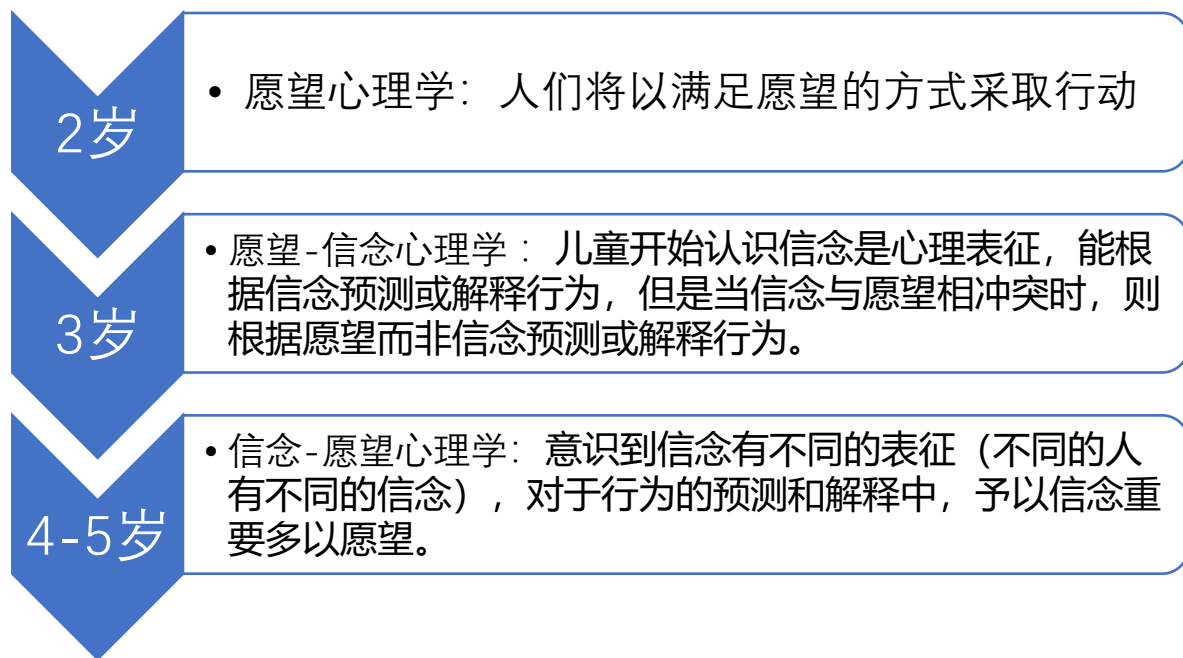
A Survey of Machine Theory of Mind

2022/11/09 毛媛媛

心智理论

心智理论 (Theory of Mind) 是指个体有能力理解他人的心理状态, 这些状态包括意图、假装、愿望、信念与情绪等。

儿童心智理论发展



愿望：指向某一客体活或状态的内在体验和倾向

信念：关于某种事实的确信

机器心智理论

为什么机器需要有心智理论？

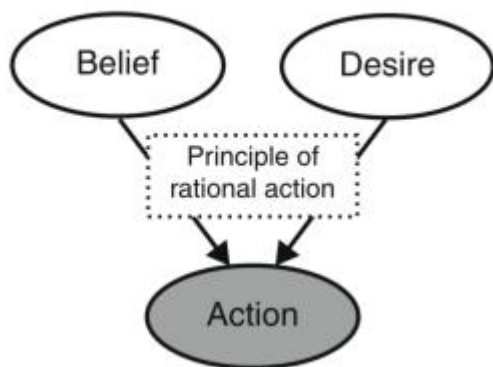
- 对人类的行为进行更深度的分析，促进人机之间知心（相互理解）和有温度（同理心）的互动。
- 掌握人类强大的认知能力，可以拥有像人类一样通过小样本学习、迁移学习、举一反三等能力。

例子：老人想要上楼，但是面前有电梯和楼梯，一个有温度的机器人倾向于他要去做的是电梯而不是楼梯

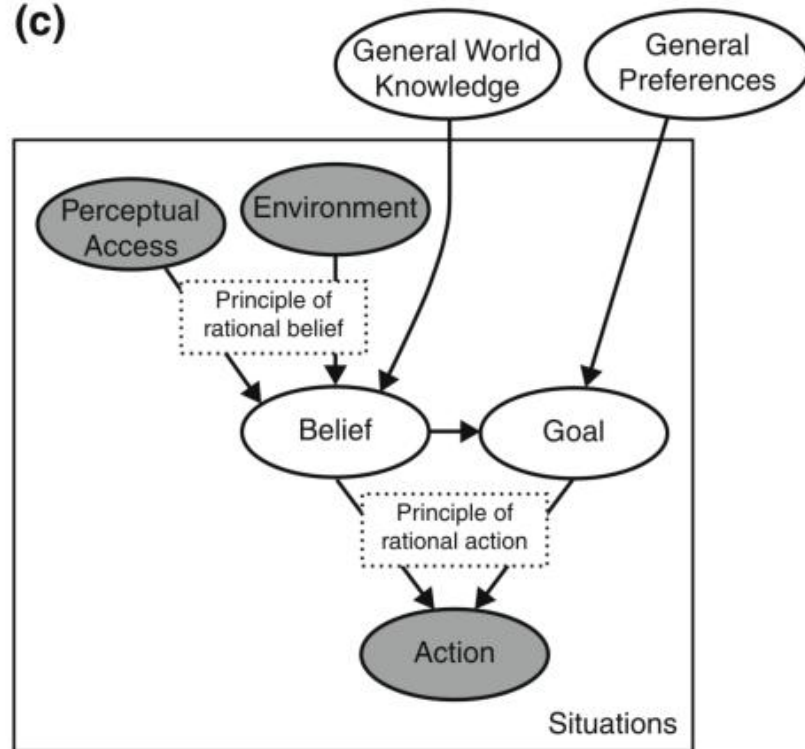


机器心智理论

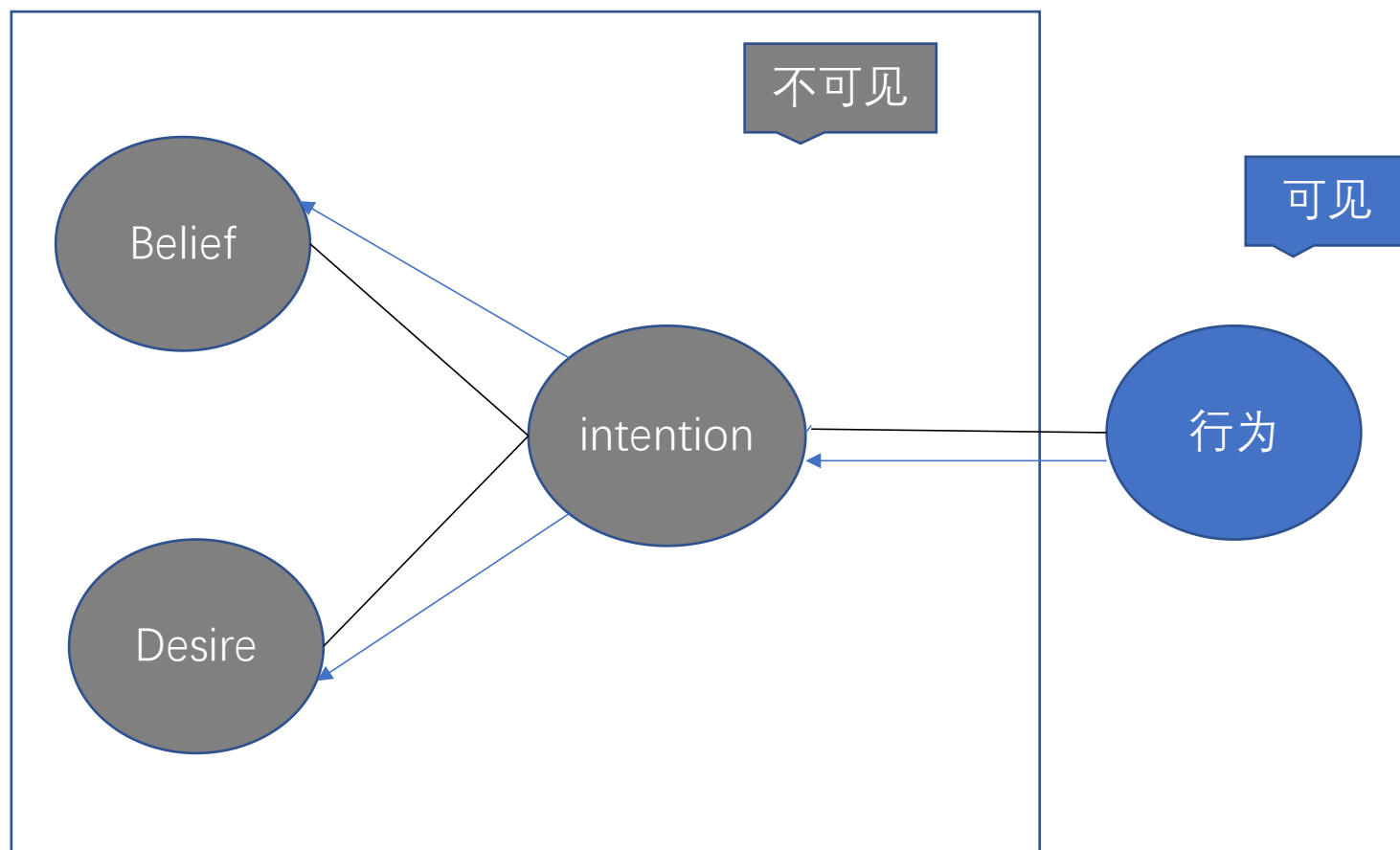
(a)



(c)

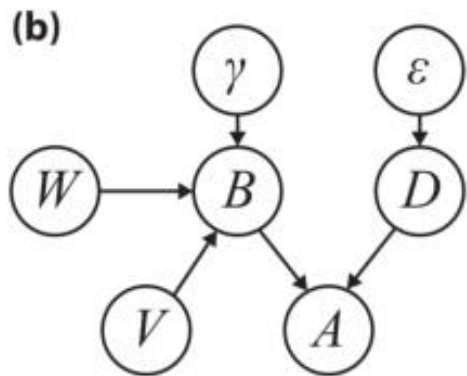
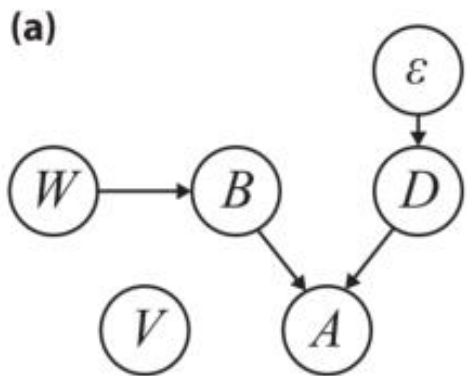
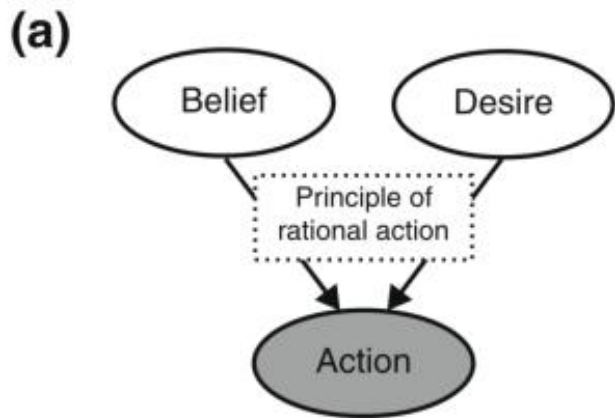


信念-愿望-意图理论架构

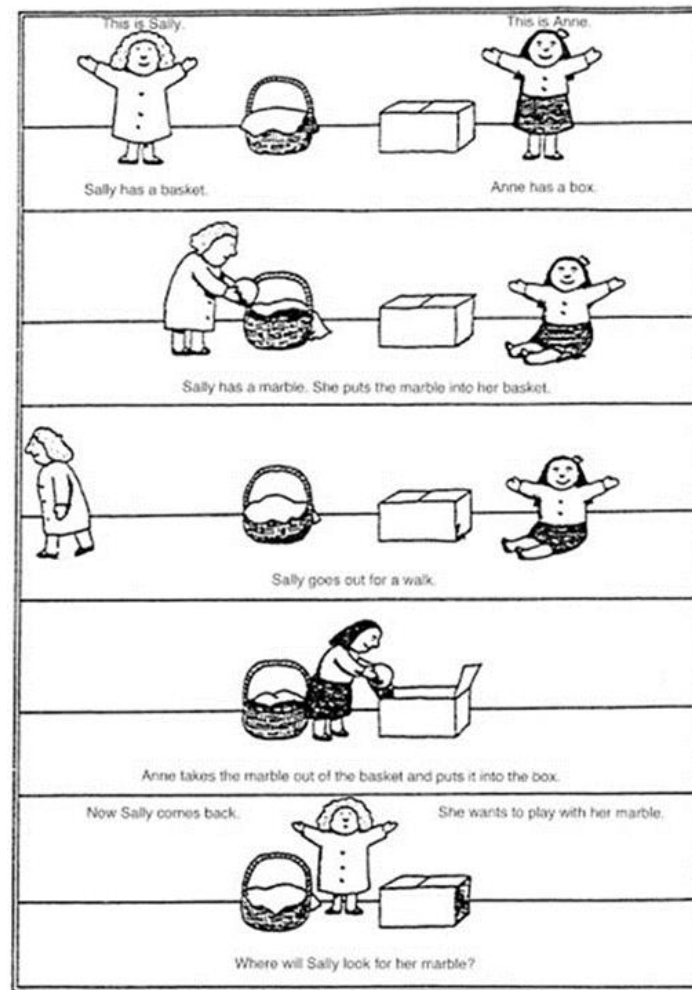


Tasks

行为解释

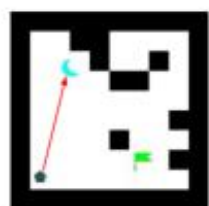


错误信念测试

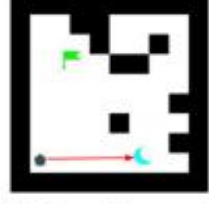
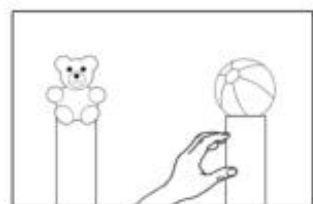


Tasks

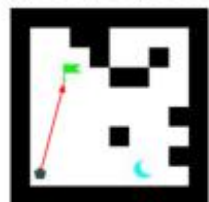
行为预测



(a) Familiarization (8 trials)

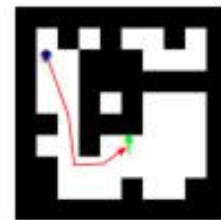
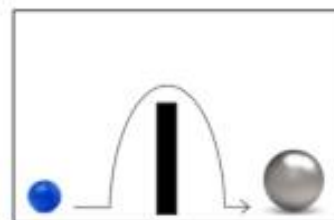


(b) Test: Expected

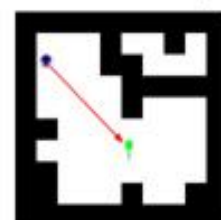
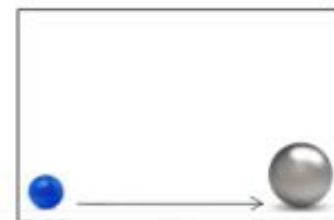


(c) Test: Unexpected

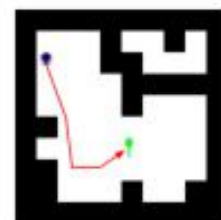
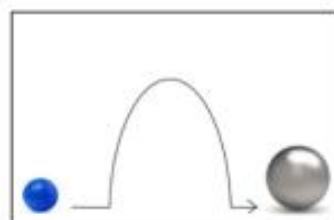
preferences



(a) Familiarization (8 trials)



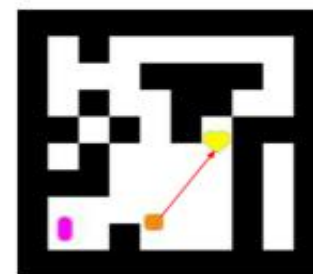
(b) Test: Expected



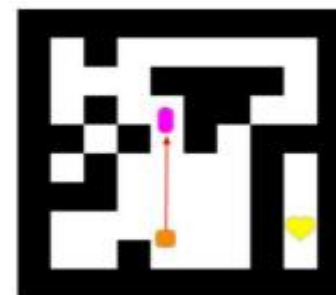
(c) Test: Unexpected

efficient action

(a) Familiarization (8 trials)



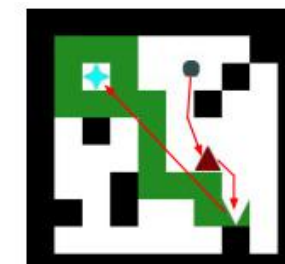
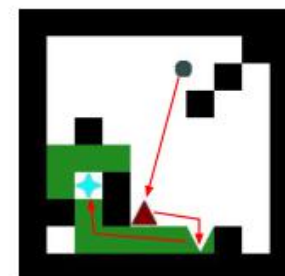
(b) Test: Expected



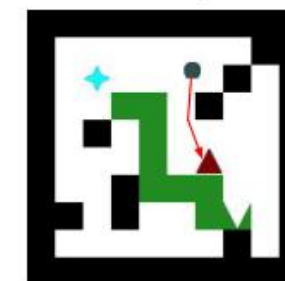
(c) Test: Unexpected

obstacles restrict

Familiarization (8 trials)



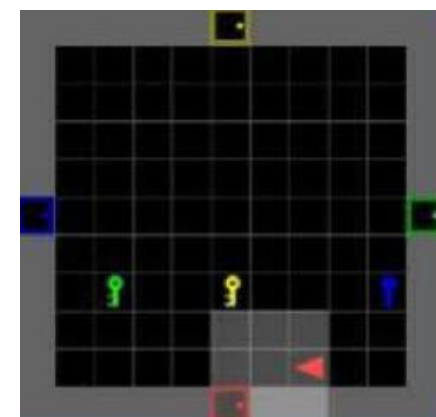
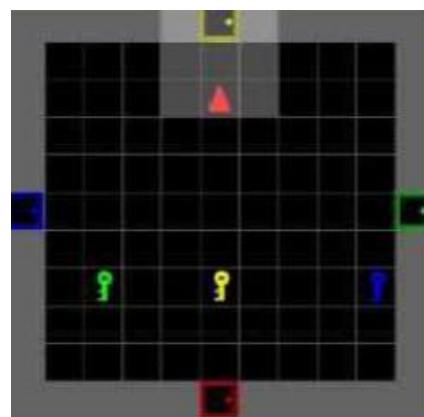
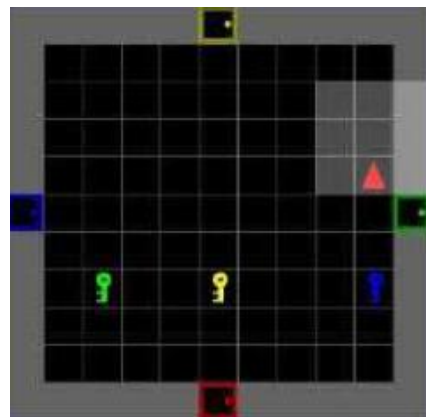
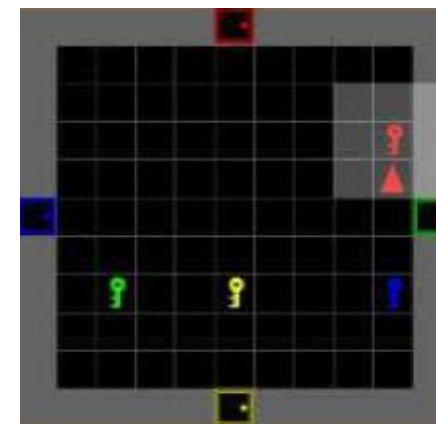
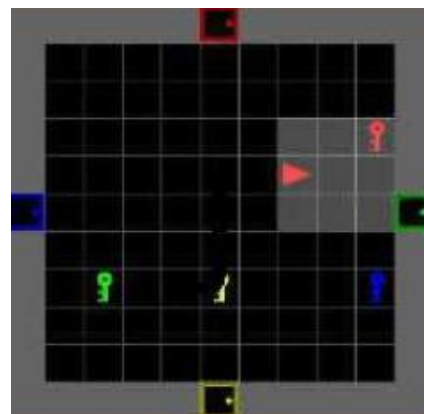
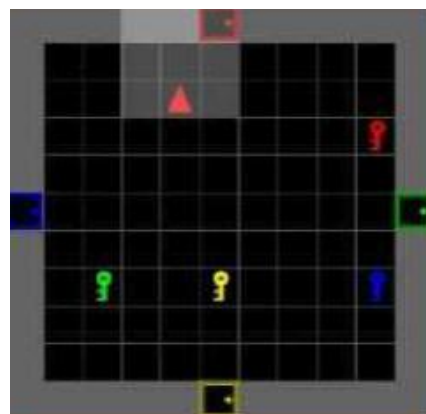
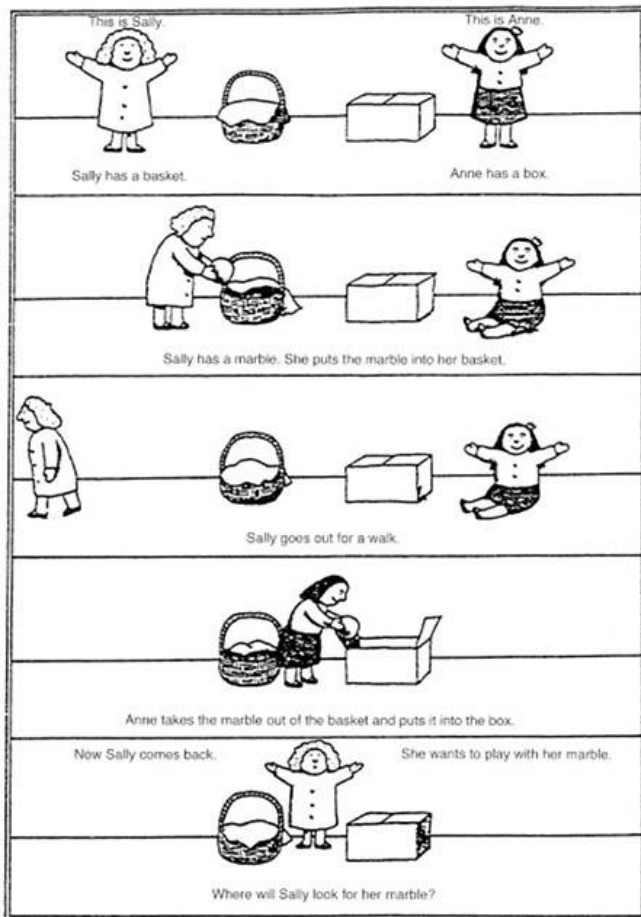
Test: Unexpected



high-order goal

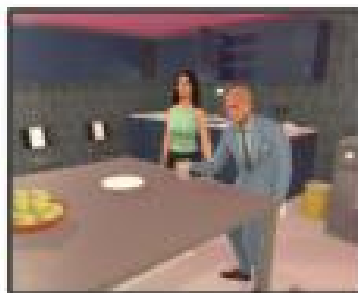
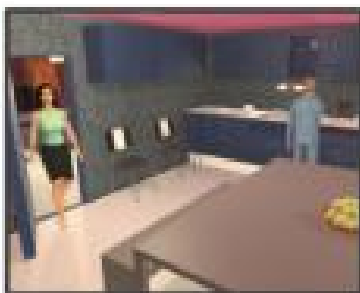
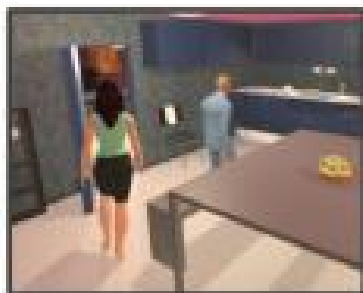
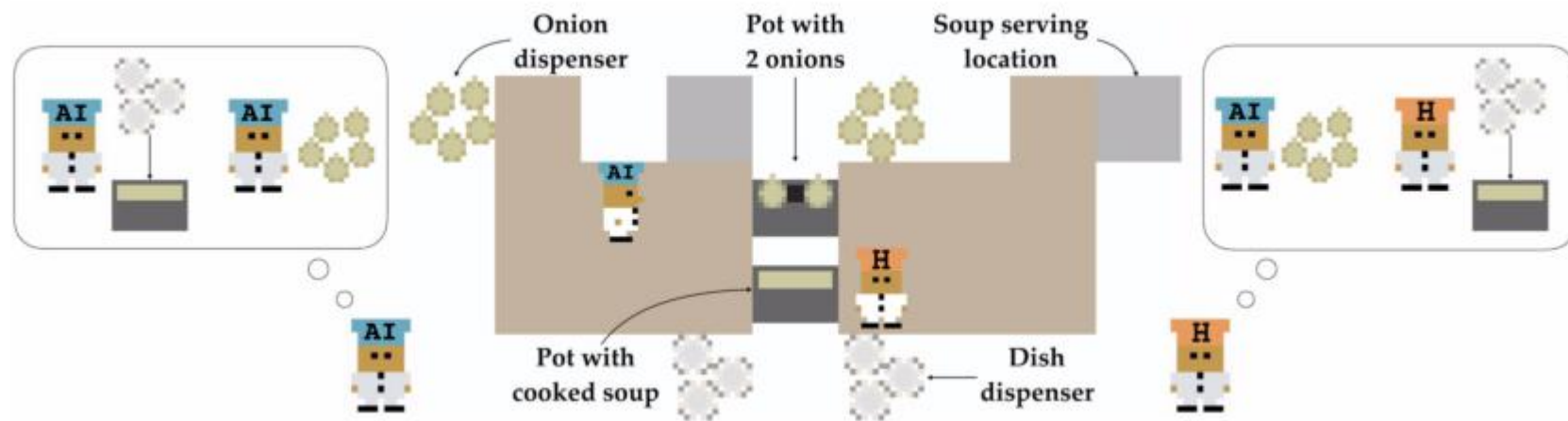
Experiment

基于错误信念的行为预测

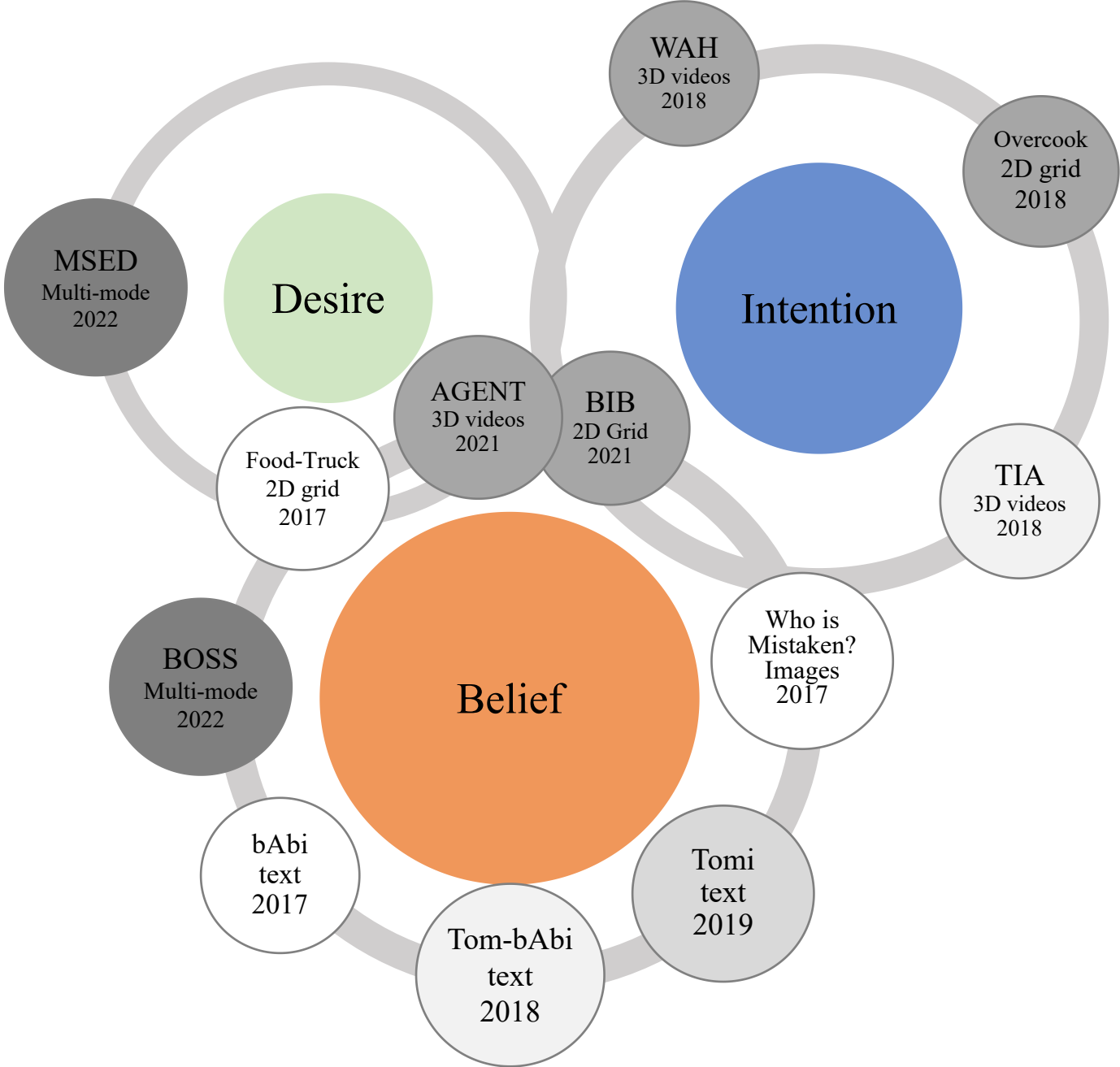


Task

人机交互实验



Dataset



Dataset

基于QA的信念推理数据集
利用模板生成数据
二阶错误信念推理

True Belief	False Belief	Second-order False Belief
Anne entered the kitchen.	Anne entered the kitchen.	Anne entered the kitchen.
Sally entered the kitchen.	Sally entered the kitchen.	Sally entered the kitchen.
The milk is in the fridge.	The milk is in the fridge.	The milk is in the fridge.
Anne moved the milk to the pantry.	Sally exited the kitchen.	Sally exited the kitchen.
	Anne moved the milk to the pantry.	Anne moved the milk to the pantry.
		Anne exited the kitchen.
		Sally entered the kitchen.

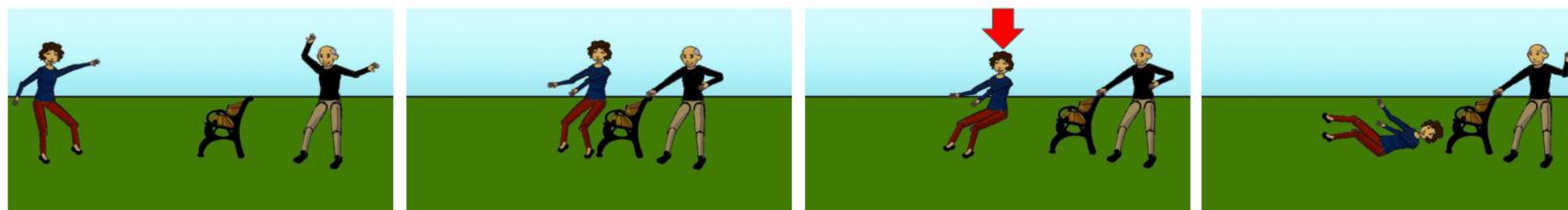
Figure 2: An example story from each of the three task types.

Memory	Where was the milk at the beginning?
Reality	Where is the milk really?
First-order	Where will Sally look for the milk?
Second-order	Where does Anne think that Sally searches for the milk?

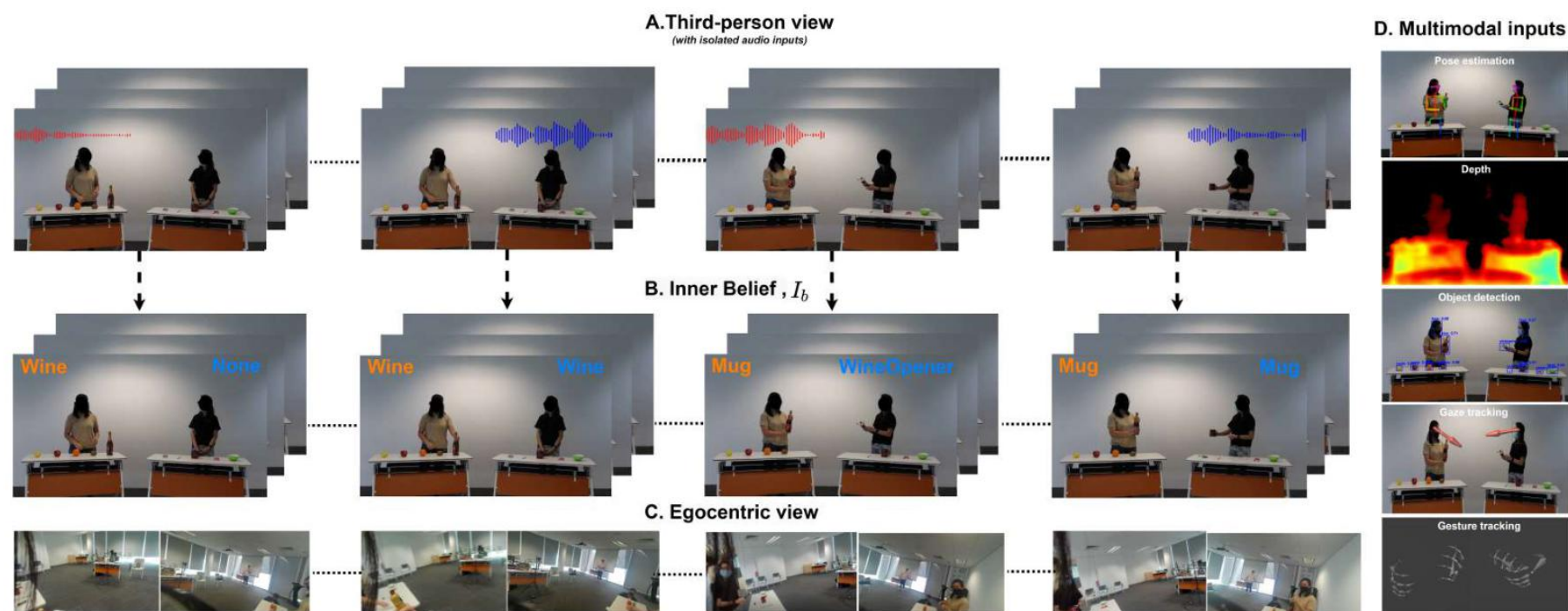
ToM-bAbi dataset	
<i>Three types of stories</i>	
1	$\langle A \rangle$ entered $\langle L \rangle$
2	$\langle B \rangle$ entered $\langle L \rangle$
3	Phone rang. <i>// Distractor can appear anywhere</i>
4	The $\langle O \rangle$ is in $\langle C1 \rangle$.
5	$\langle B \rangle$ exited $\langle L \rangle$ <i>// if story type 1 or 2</i>
6	$\langle A \rangle$ moved the $\langle O \rangle$ to $\langle C2 \rangle$.
7	$\langle A \rangle$ exited the $\langle L \rangle$ <i>// if story type 2</i>
8	$\langle B \rangle$ entered the $\langle L \rangle$ <i>// if story type 2</i>
<i>Example story</i>	
1	Isla entered the bathroom.
2	Benjamin entered the bathroom.
3	The cabbage is in the green_pantry.
4	Phone rang.
5	Isla moved the cabbage to the red_drawer.
<i>Answers for each story-question pair</i>	
	Story 1 Story 2 Story 3
First Order	C1 C2 C2
Second Order	C1 C1 C2
Memory	C1 C1 C1
Reality	C2 C2 C2

ToMi dataset	
Examples of stories from the ToMi dataset	
1	Oliver dislikes the kitchen
2	Carter entered the porch.
3	Abigail entered the porch.
4	The potato is in the green_suitcase.
5	Abigail exited the porch.
6	Abigail entered the hall.
7	Carter moved the potato to the green_envelope.
8	Oliver entered the hall.
1	Mila entered the closet.
2	Isla entered the closet.
3	Ava entered the closet.
4	The orange is in the blue_container.
5	Isla exited the closet.
6	Isla entered the garage.
7	Ava moved the orange to the green_bathtub.
1	William entered the staircase.
2	Aiden entered the staircase.
3	Aiden exited the staircase.
4	Aria entered the staircase.
5	The potato is in the red_drawer.
6	Aiden dislikes the grapefruit
7	William moved the potato to the blue_container.
8	Aria exited the staircase.

Dataset



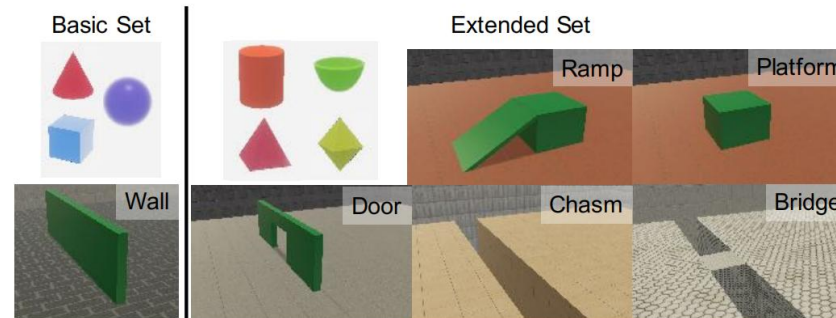
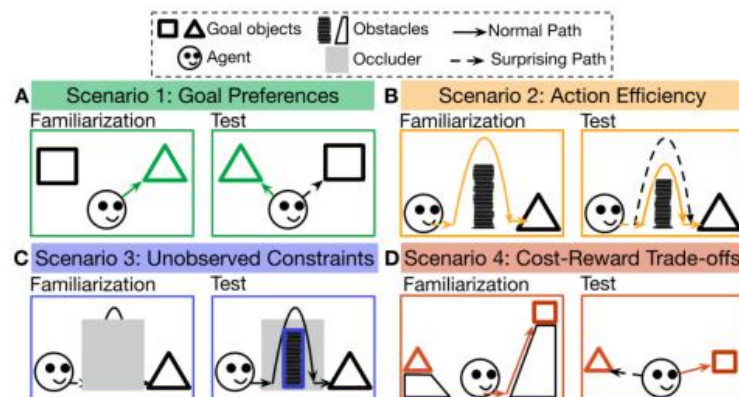
Time →



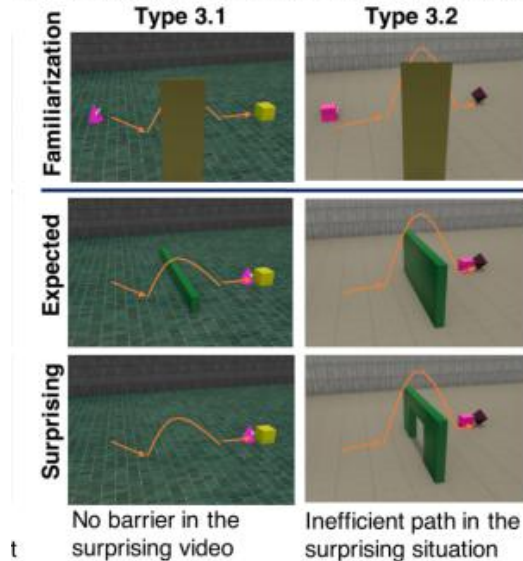
Who is Mistaken?

BOSS: A Benchmark for Human Belief Prediction in Object-context Scenarios

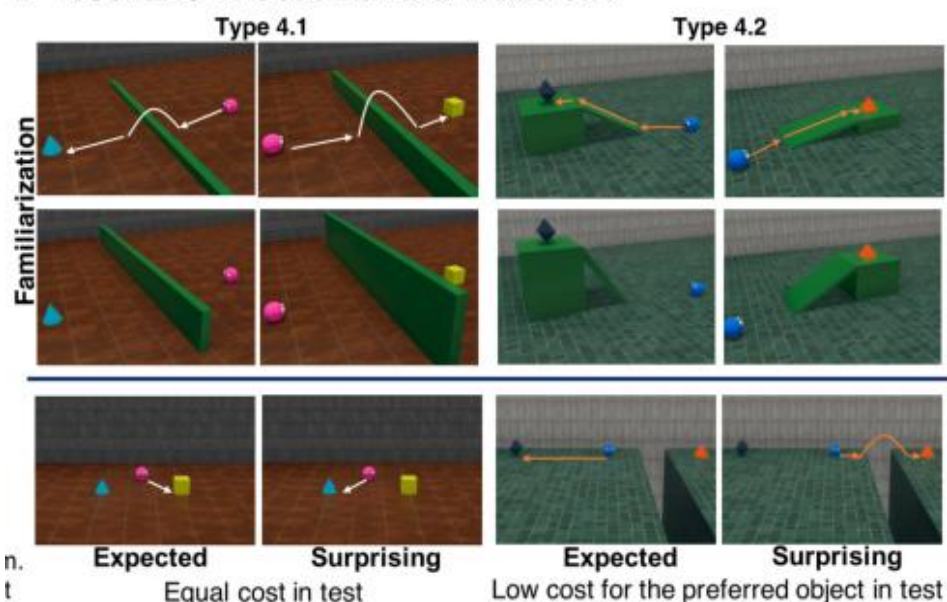
Dataset



C Scenario 3: Unobserved constraints



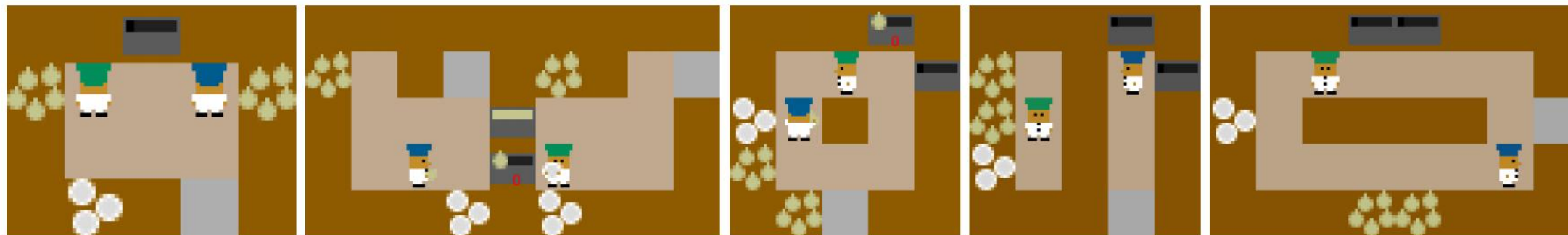
D Scenario 4: Cost-Reward Trade-offs



Baby Intuitions Benchmark (BIB): Discerning the goals, preferences, and actions of others. NeurIPS 2021

AGENT: A Benchmark for Core Psychological Reasoning. PMLR 2021

Datasets



Alice's task: *set up a dinner table*

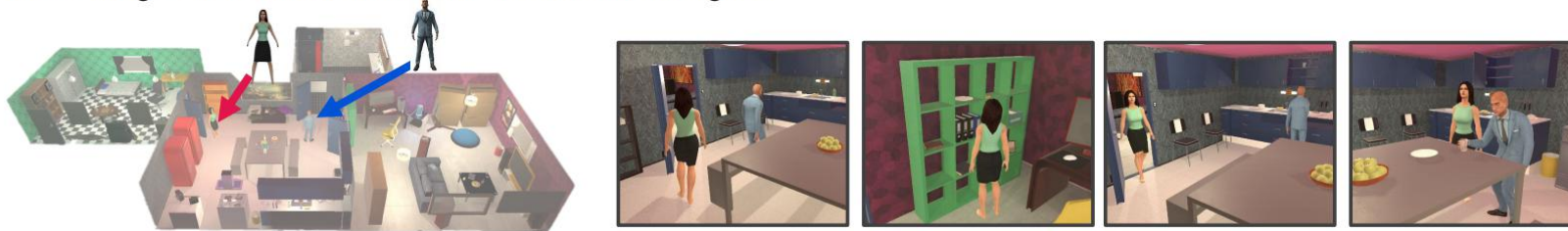


Bob's task: guess Alice's goal and help her

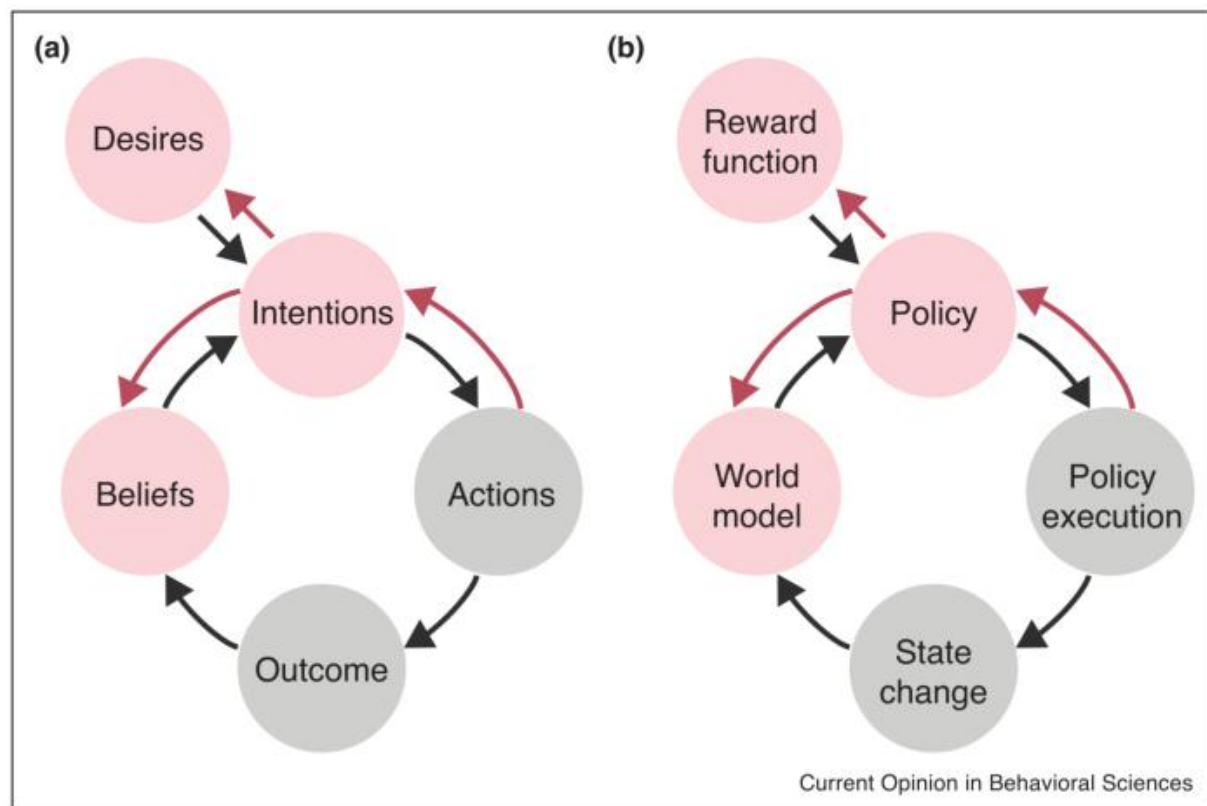
WATCH stage: Bob watches Alice's behaviors and infers her goal



HELP stage: Bob works with Alice to achieve her goal



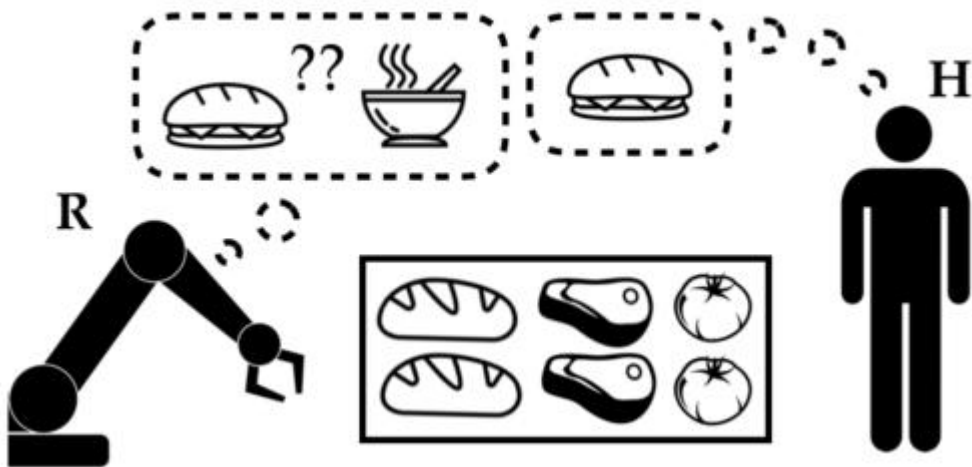
Methods



- 1 人类的有限理论
- 2 人机交互中，机器人和人类是在同一个环境下
机器人的动作会对人类的信念产生影响
- 3 逆强化学习计算成本很高

Methods

CIRL game



Relaxing CIRL 's Assumption of Rationality

$$\pi_H(a^H \mid Q_H(s, a^H, \sigma)) \propto \exp(\beta \cdot Q_H(s, a^H, \sigma))$$

通过参数来调整
人的理性程度

The Transition Dynamics

$$\begin{aligned} P(s', a^H \mid s, \sigma) &= P((x', \theta'), a^H \mid (x, \theta), (a^R, v)) \\ &= T(x, a^H, a^R, x') \cdot \mathbb{1}(\theta' = \theta) \cdot P(a^H \mid x, a^R, v, \theta) \\ &= T(s, a^H, a^R, s') \cdot \mathbb{1}(a^H = \arg \max_{a^H} Q_H(s, a^H, \sigma)) \end{aligned} \quad (2)$$

预测人类的动作时考虑
到人类对机器的认识

Bellman update

$$\begin{aligned} \alpha_\sigma(s) &= R(s) + \gamma \cdot \sum_{a^H} \pi_H(a^H \mid Q_H(s, a^H, \sigma)) \cdot \\ &\quad \sum_{s' \in S} T(s, a^H, a^R, s') \cdot \alpha_{v(a^H)}(s'). \end{aligned} \quad (4)$$



Methods

Human推理过程

POMDP模型

$\langle S, A, T, R, \Omega, O \rangle$

S: 状态空间, 分为两个部分, $\langle x, y \rangle$, x 代表agent自身的位置, y 代表餐车的位置。

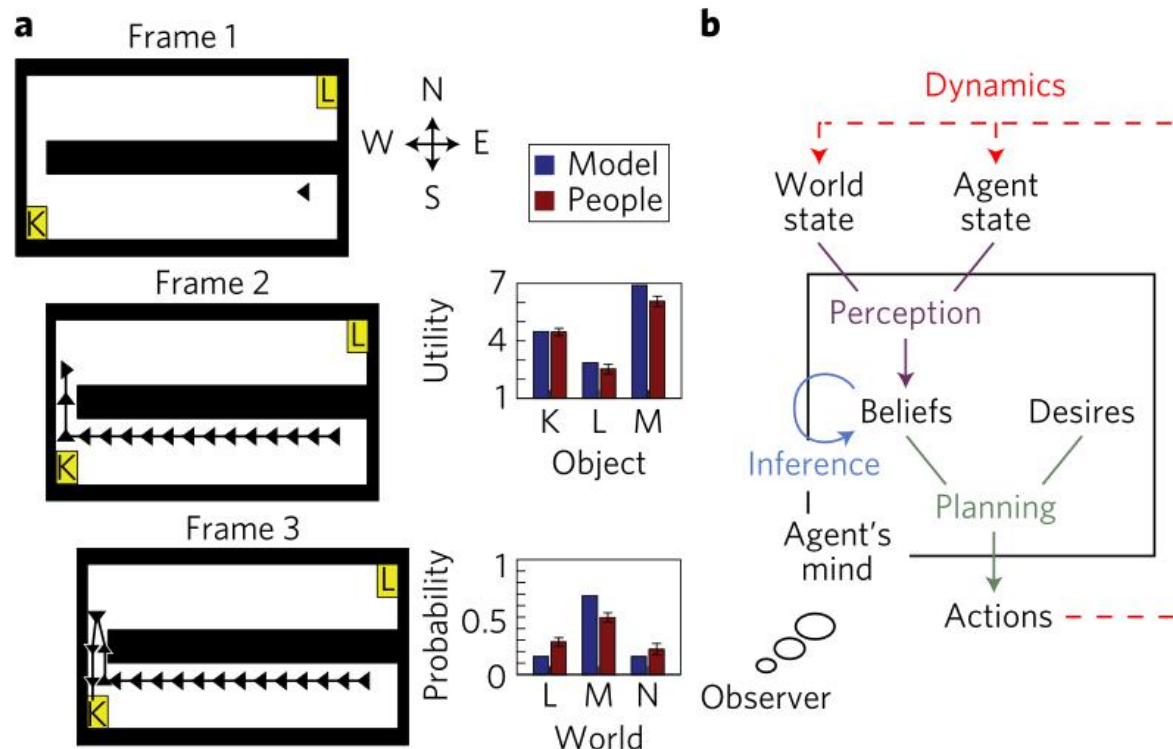
A: 动作空间, “North”, “South”, “East”, “West”, “Stay”, and “Eat”

T: 状态转移矩阵, $T(S_{t+1}, S_t, A_t) = P(S_{t+1} | S_t, A_t)$

R: 奖励函数, $R(x, y, a)$

Ω : 观测空间, agent在场景中360度可观测环境。包含坐标空间中所有点的多边形区域

O: 观测分布, $P(x, y | o)$ 表示在可观测环境 o 下, 状态空间 $\langle x, y \rangle$ 的分布



Methods

Agent推理human的belief和desire过程，看作为HMM模型，使用forward-backward算法计算联合概率。

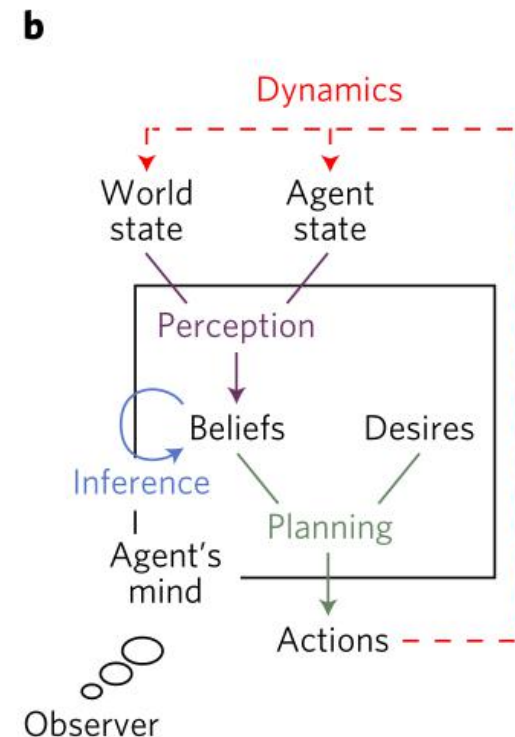
$$D_t^{ijk} = \sum_{o_t, a_{t-1}} P(o_t|s_t)P(s_t|s_{t-1}, a_{t-1})P(a_{t-1}|b_{t-1}^j, s_{t-1}, r_{\mathcal{G}}^k)\delta(b_t^i, BU(o_t, s_t, s_{t-1}, a_{t-1}, b_{t-1}^j)).$$

状态转移→ belief upgrade

$$P(b_t^i, r_{\mathcal{G}}^k|s_{1:t}) \propto \sum_{j=1:m(t-1)} D_t^{ijk} \cdot P(b_{t-1}^j, r_{\mathcal{G}}^k|s_{1:t-1}). \text{ forward distribution}$$

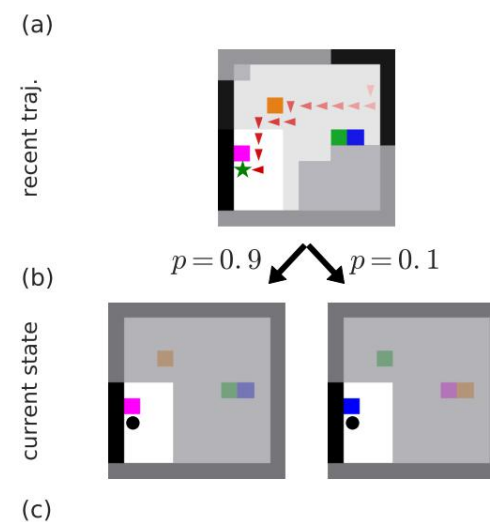
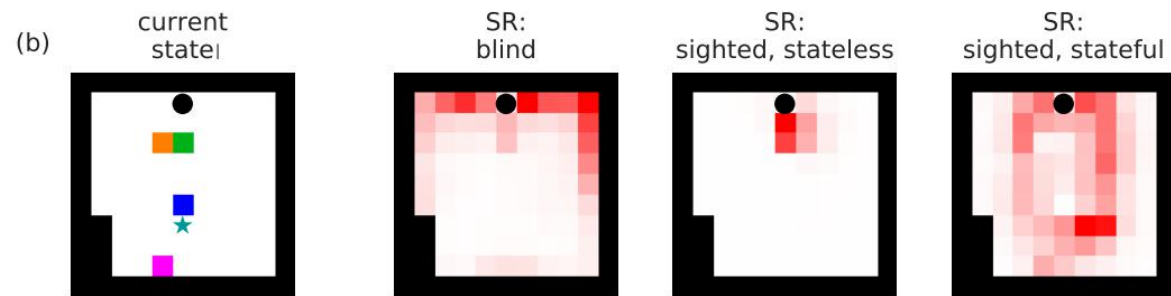
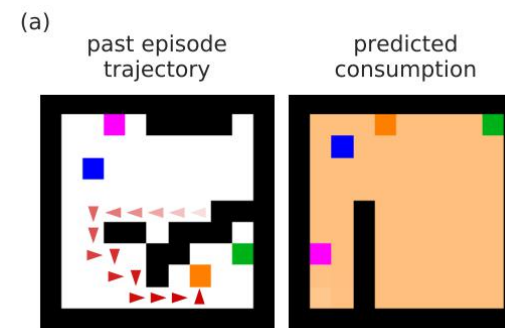
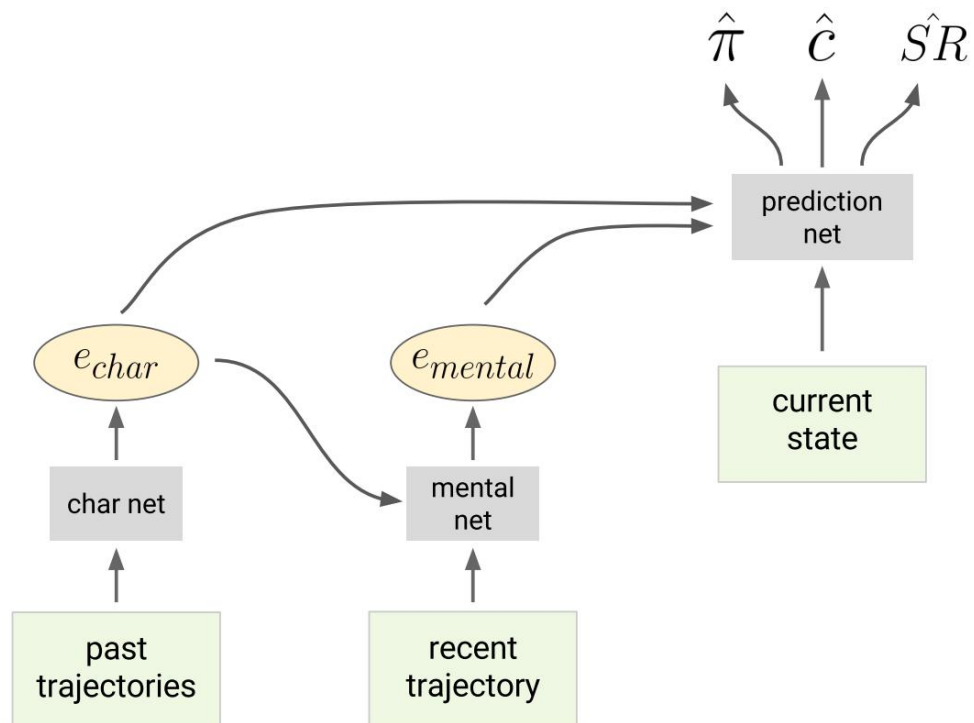
$$P(s_{t+1:T}|b_t^i, r_{\mathcal{G}}^k) \propto \sum_{i=1:m(t+1)} D_{t+1}^{jik} \cdot P(s_{t+2:T}|b_{t+1}^j, r_{\mathcal{G}}^k). \text{ backward distribution}$$

$$P(b_t^i, r_{\mathcal{G}}^k|s_{1:T}) = P(b_t^i, r_{\mathcal{G}}^k|s_{1:t})P(s_{t+1:T}|b_t^i, r_{\mathcal{G}}^k). \text{ forward and backward distributions}$$

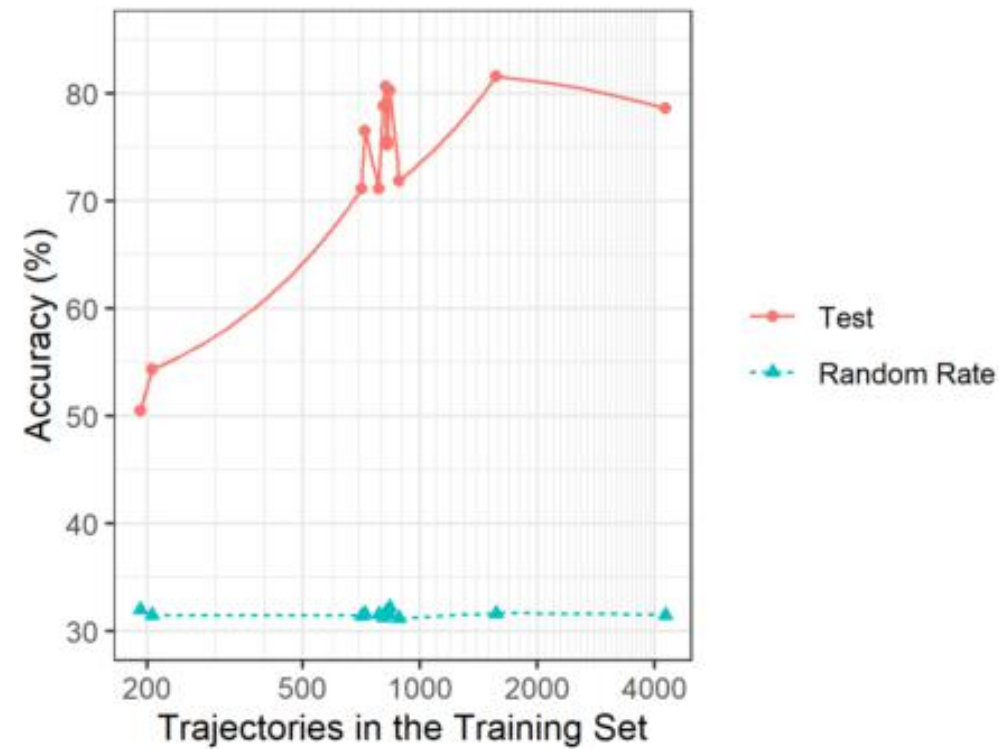
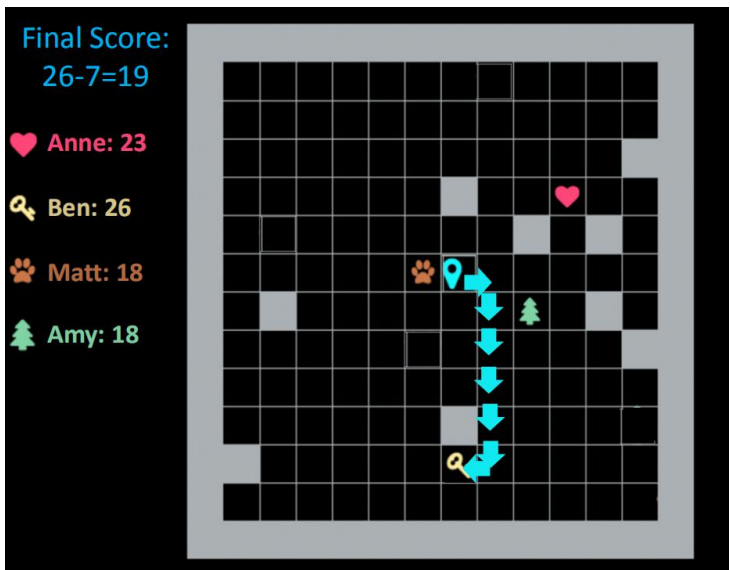
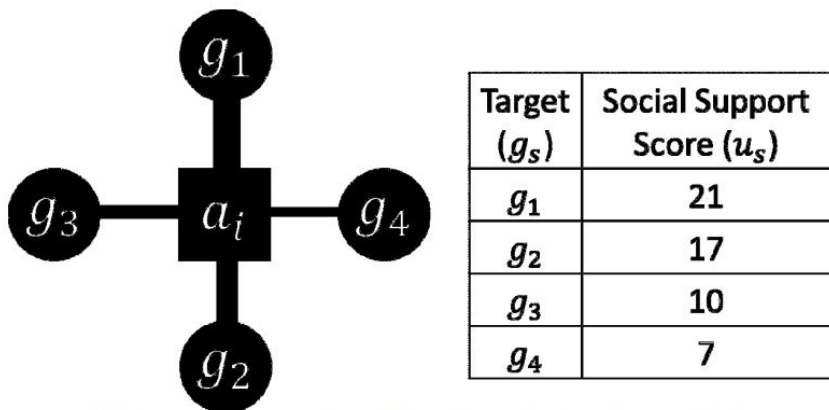


Methods

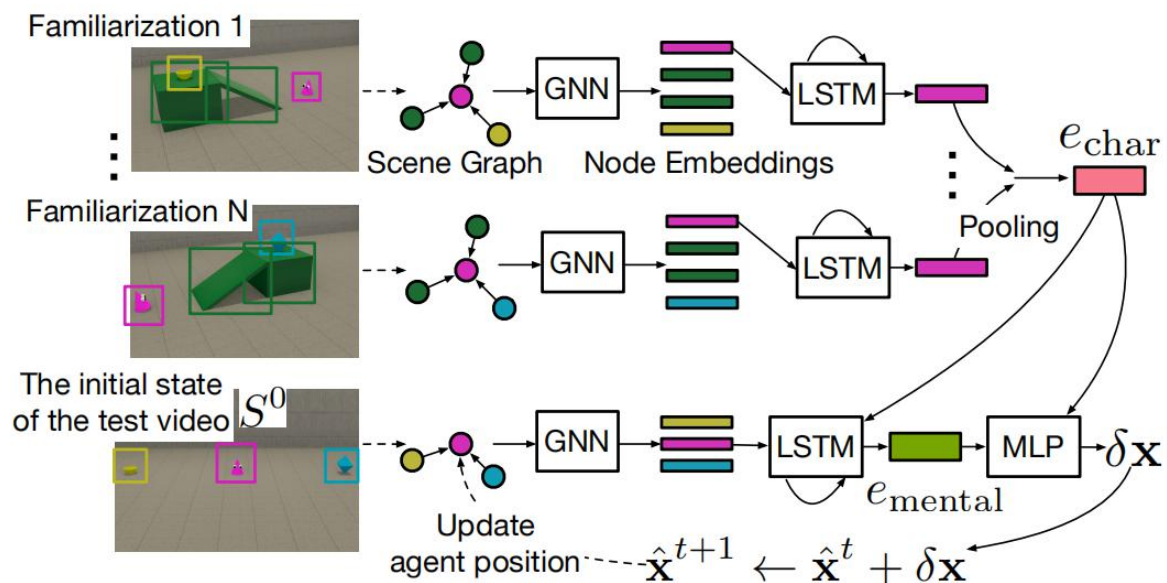
数据驱动：需要3200万个训练示例来完成六个月大婴儿的目标推断。如果人类以类似的方式学习心理理论，婴儿每天需要接受近17.5万次有标签的目标训练。







Methods

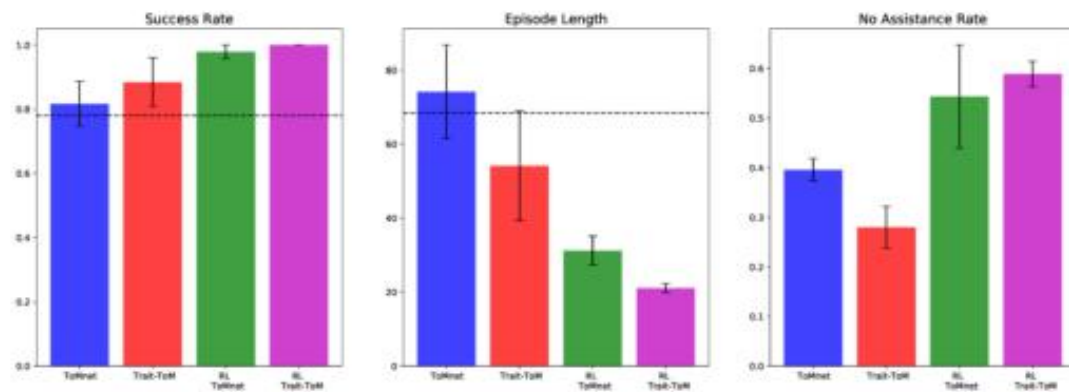
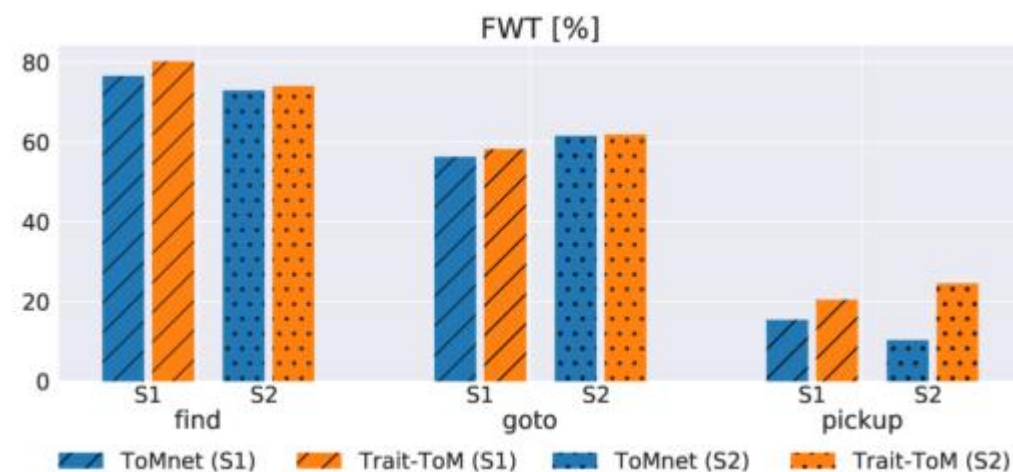
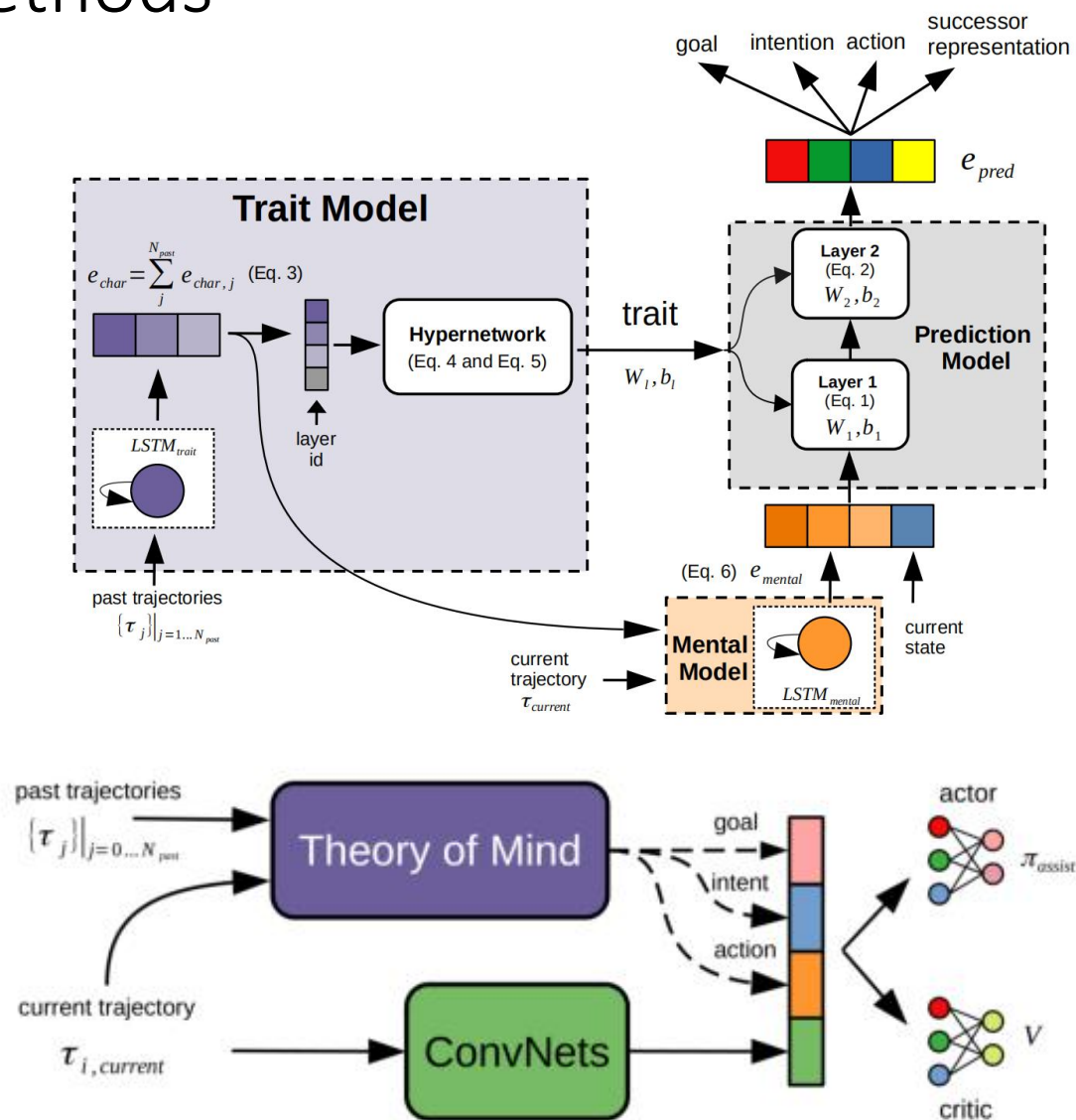


Methods



Condition	Method	Goal Preferences					Action Efficiency						Unobs.			Cost-Reward			All
																			
		1.1	1.2	1.3	1.4	All	2.1	2.2	2.3	2.4	2.5	All	3.1	3.2	All	4.1	4.2	All	
All	Human	.95	.95	.92	.97	.95	.87	.93	.86	.95	.94	.91	.88	.94	.92	.82	.91	.87	.91
	ToMnet-G	.57	1.0	.67	1.0	.84	.95	1.0	.95	1.0	1.0	.98	.93	.87	.89	.82	.97	.89	.90
	BIPaCK	.97	1.0	1.0	1.0	.99	1.0	1.0	.85	1.0	1.0	.97	.93	.88	.90	.90	1.0	.95	.96
G1	ToMnet-G	.50	.90	.63	.88	.75	.90	.75	.45	.90	.05	.66	.58	.77	.69	.48	.48	.48	.65
	BIPaCK	.93	1.0	1.0	1.0	.98	1.0	1.0	.80	1.0	1.0	.97	.93	.82	.86	.88	1.0	.94	.94
G2	ToMnet-G	.37	.95	.63	.88	.71	.35	.60	.75	.68	.85	.65	.63	.80	.73	.55	.95	.75	.71
	BIPaCK	.93	1.0	1.0	1.0	.98	1.0	1.0	.75	1.0	.95	.95	.88	.85	.87	.83	1.0	.92	.94

Methods



Summary

- 模型和数据集不匹配，现有提出的数据集还未得到利用
- 现有提出的模型尚未在统一的数据集上得到验证，无法进行比较
- 对于深度学习的方法，如何提高模型的可解释性
- 对于贝叶斯方法和逆强化学习方法，如何减低计算的复杂性