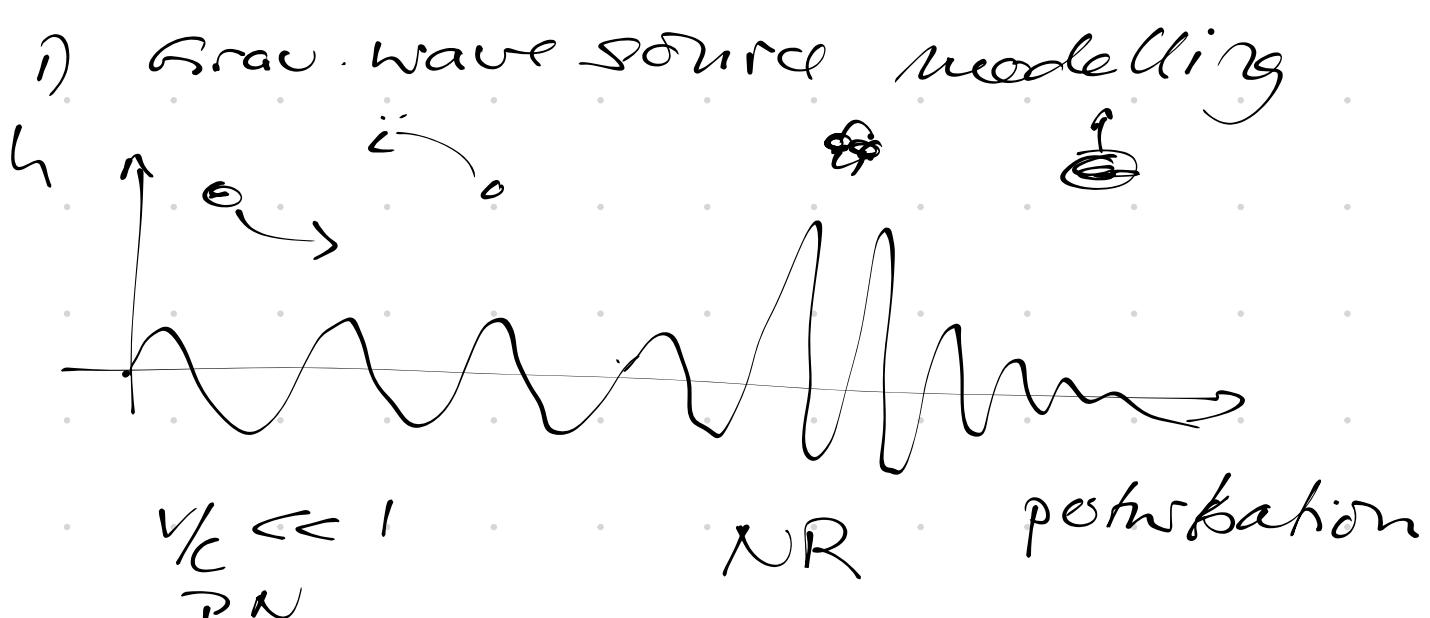


## Introduction to Numerical Relativity

- ↳ Textbooks:
  - M. Alcubierre, "Introduction to 3+1 NR" (2008)
  - T. Baumgarte & S. Shapiro, "Numerical Relativity" (2010)
  - "Numerical Relativity: Starting from scratch" (2021)
  - M. Shibata, "Numerical Relativity" (2015)
- ↳ What is NR:
  - solve Einstein's Eqs in 3+1dines as time evol. problem
  - typ. on HPC

### Applications:



- ↳ groundbased detectors:
  - BH + BH
  - NS + NS
  - NS + BH

- ↳ spacebased detectors:
  - BH + BH

- EMRI [self-force]  
- stochastic backgrounds

- ii) BHs + light fields  
(e.g. dark matter)
- iii) Strong field tests of gravity
- iv) accretion disk, jet formation
- v) cosmology  $\xrightarrow{\text{inflation}}$  cosmic strings
- vi) higher dimensions
- vii) AdS / CFT
- viii) BH stability

"Ingredients":

1) theoretical model:

today Einstein's Egs in vac, in 4D  
asymptotically flat.

$$G_{\mu\nu} = R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R = 0$$

2) Spacetime decomposition

3) 3+1 decomp for field eqs  
(+ wellposedness)

4) Initial conditions

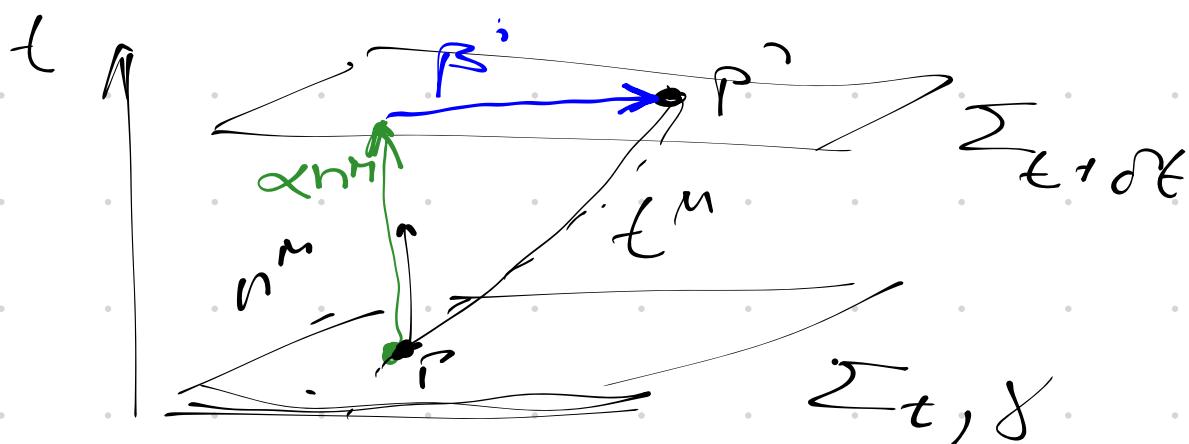
5) Gauge choices

6) Phys. observables, e.g. • Newman-Penrose 4<sub>4</sub>  
•  $\rightarrow$  strain  
• apparent or isolated horizons.

1) 3+1 decomposition of spacetime

↳ make time dependence explicit

↳ foliate 4D manifold  $(\mathcal{M}, g)$  into space-like hypersurfaces  $(\Sigma_t)_t$  with level sets  $t$



• on  $\Sigma_t$ : induced, spatial metric  $\gamma$  measures proper distance in  $\Sigma$ :  $dl^2 = \gamma_{ij} dx^i dx^j$

• time-like normal vector  $n^m$ , s.t.,  $n^\mu n_\mu = -1$

•  $\alpha$ : **lapse**, proper time between hypersurfaces for observer moving along  $n^m$

•  $\beta$ : **shift vector**: rel. velocity between normal observer and comoving coordinates

• time vector  $\ell^m = \alpha n^m + \beta^m$ ;  $\beta^m n_\mu = 0$   
(by constr.)

- relation  $g_{\mu\nu} \leftrightarrow \gamma_{ij}, \alpha_i, \beta^i$ :

$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu$$

$$= -(\alpha^2 - \gamma_{ij} \beta^i \beta^j) dt^2 + 2\gamma_{ij} \beta^i dt dx^j + \gamma_{ij} dx^i dx^j$$

Note: -  $\delta_{\mu\nu} = g_{\mu\nu} + \rho_{\mu} n_{\nu}$

-  $\delta$  defines a proj. op.:  $\delta^{\mu}_{\nu} = \delta^{\mu}_{\nu} + n^{\mu} n_{\nu}$

- any vector  $V^M$  can be decomposed into  
a normal comp.  $N^M = -V^{\mu} n_{\mu}$   
& spatial comp.  $V^i = \delta^i_{\mu} V^{\mu}$

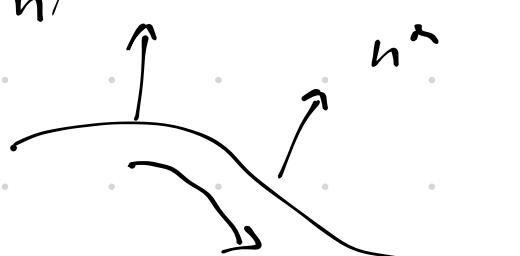
$$\rightarrow V^{\mu} = N n^{\mu} + V^{\mu}$$

- cov. deriv w.r.t  $\gamma_{ij}$ :  $D_i \approx (\delta \nabla)_i$

- Ricci (intrinsic) curvature:

$$(D_m D_n - D_n D_m) v^k = R^k_{\mu\nu\nu} v^{\mu}$$

- Extrinsic curvature  $K_{ij}$ :



- parallel transport  $n^{\mu}$  along  $\Sigma$ ,

- extrinsic curvature measure

for the change of  $n^{\mu}$

$$(K_{\mu\nu} = -\delta^{\mu}_{\mu} \nabla^{\nu})$$

Show:

$$K_{\mu\nu} = -\frac{1}{2\alpha} \mathcal{L}_n \delta_{\mu\nu} = -\frac{1}{2\alpha} (\partial_t - \mathcal{L}_S) \delta_{\mu\nu}$$

$\rightarrow K_{\mu\nu}$  - as "momentum" of metric

$$\rightarrow \boxed{\partial_t \delta_{ij} = -2\alpha K_{ij} + \mathcal{L}_S \delta_{ij}} \quad \text{kinematics}$$

Summarize: describe ST in terms of  $(\gamma_{ij}, \alpha_i, \beta^i)$

$$\bullet K_{ij} \sim \partial_t \gamma_{ij}$$

## 2) 3+1 decomposition of field equations

- dynamics

- need: (i) projections of Riemann tensor

$$\overset{(4)}{R}_{\mu\nu\sigma\delta} \leftrightarrow \overset{(3)}{R}_{\mu\nu\sigma\delta}$$

↳ Gauss - Codazzi relations

(ii) projections of energy-momentum tensor  $T_{\mu\nu}$

↳ energy density  $\mathcal{E} = T_{\mu\nu} n^\mu n^\nu$

↳ energy-momentum flux  $\mathcal{M}_i = - j_i^\mu n^\nu T_{\mu\nu}$

↳ stress tensor  $S_{ij} = j_i^\mu j_j^\nu T_{\mu\nu}$

(iii) projection of field equations

here  $R_{\mu\nu} = 0$

→

A) constraints

↳  $\mathcal{H} = 2 G_{\mu\nu} n^\mu n^\nu = 0 = \overset{(3)}{R} - K_{ij} K^{ij} + K^2$  Hamiltonian

↳  $\mathcal{M}_i = - j_i^\mu n^\nu G_{\mu\nu} = D^j K_{ij} - D_i K = 0$  momentum constraint

$$[K = g^{ij} K_{ij}]$$

↳ elliptic equations

↳ in free evol scheme: solve  $\mathcal{H}, \mathcal{M}_i$  for initial data

- monitor  $\mathcal{H}, \mathcal{M}_i$  during evolution

- Bianchi's imply that  $\mathcal{H} = 0, \mathcal{M}_i = 0$  during

B) Evolution equations evolution if satisfied @  $t=0$

↳ project  $G_{\mu\nu} j_i^\mu j_j^\nu = 0 \Rightarrow \mathcal{L}_n K_{ij}$

$$\xrightarrow{\text{dynamics}} (\partial_t - \mathcal{L}_\beta) K_{ij} = - D_i D_j \alpha$$

$$+ \alpha [R_{ij} + K K_{ij} - 2 K_i^k K_{kj}]$$

$$\xrightarrow{\text{kinematic}} (\partial_t - \mathcal{L}_\beta) j_{ij} = - 2 \alpha K_{ij}$$

ADM - York equations  
(Arnowitt - Deser - Misner)

### 3) Wellposedness of evol. eqs.

Def.: A system of PDE

$$\begin{cases} \partial_t f = A^P \partial_P f + Bf \\ f(t=0) = h \end{cases}$$

- $f$  - vector of variables
- $\partial_P$  - spatial dervs
- $A^P$  - principal symbol

is said to be well-posed if

- $\exists$  a unique solution that depends continuously on smooth data

In particular, a system is well-posed if  $\exists k = \text{const}$   
 $a = \text{const}$

$$\text{s.t. } \|f(t, \cdot)\| \leq k e^{at} \|f(t=0, \cdot)\|$$

↳ lay-person version: recover a set of wave eqs.

↳ heuristic version

Scalar wave eq.

$$(4D) \quad g_{\mu\nu} = 0 = \underbrace{g^{\kappa\lambda} \partial_\kappa \partial_\lambda g_{\mu\nu}}_{\square g_{\mu\nu}} + "g^{\kappa\lambda} \partial_\kappa \partial_\lambda g_{\mu\nu}" \quad \square \phi = 0$$

$$+ (\partial g)^2 \dots \quad \begin{matrix} \text{can spoil} \\ \text{hyperbolicity} \end{matrix}$$

introduce harmonic gauge  
 (Choquet-Bruhat) gauge

$$\rightarrow \square g_{\mu\nu} + \text{l.o.t.} \dots$$

3+1) leading order

$$\partial_t \delta_{ij} \simeq K_{ij}, \quad \begin{matrix} \delta_{ij} \sim \phi \\ K_{ij} \sim \pi \end{matrix}$$

$$\partial_t K_{ij} \simeq - D_i D_j \omega + \alpha R_{ij} + \dots$$

$$\simeq - D_i D_j \omega$$

$$+ \alpha \left[ \underbrace{j^{\kappa\lambda} \partial_\kappa \partial_\lambda}_{\text{"Dj'}} \delta_{ij} + \underbrace{j^{\kappa\lambda} \partial_i^\kappa \partial_\lambda}_{\text{cause for illposedness}} \delta_{ij} + \dots \right]$$

Cure?

- introduce new variables
- add constraints

→ Baumgarte-Shapiro-Shibata-Nakamura (BSSN)  
 1995

#### 4) Gauge choices

(ie, choice of  $\alpha, \beta^i$ )

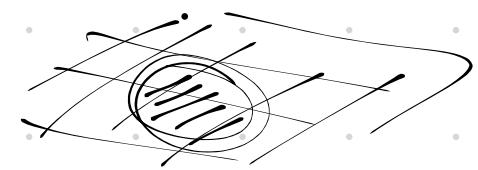
Note: simple is not always best!

e.g.  $\alpha = 1, \beta^i = 0 \rightarrow$  reach singularity in finite time

wishlist: - avoid reaching singularity

(- excision of issue regions)

(- clever choice of coords)



- evol eqs + gauge form well-posed PDE

- easy to implement, efficient to evolve  
(avoid elliptic choices)

concern: time evol for  $\alpha, \beta^i$

Here: puncture gauge

↳ 1+log slicing for lapse  $\alpha$

Γ-driver eq. for shift  $\beta^i$

a) lapse: choice 1) maximal slicing:  $\kappa = 0$  (elliptic

insert in  $\partial_t K_{ij}$ ,  $\Rightarrow -\alpha + \alpha R = 0$  (eqs)

$\rightarrow$  "  $\alpha \sim e^{-R_0}$  "

$\rightarrow \alpha$  collapse to zero near singularity

spat. hypersurfaces cannot be arbitrarily close to singularity

$\rightarrow$  "singularity avoidance"

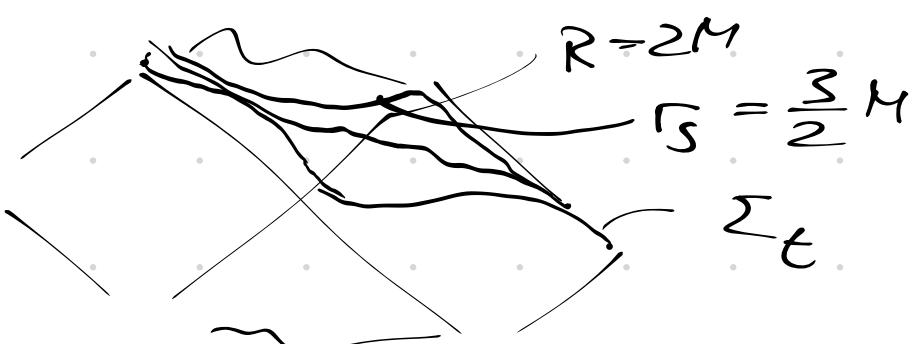
b) hyperbolic slicing conditions

general form (Bona - Kasai)

$$\partial_t \alpha \simeq -\alpha^2 f(\alpha) (K - K_0)$$

for  $f(\alpha) = \frac{2}{\alpha} \Rightarrow$  1+log slicing

$$\Rightarrow \partial_t \alpha = -2\alpha (K - K_0)$$



c) shift:  $\Gamma$ -driver

$$\partial_t \beta^i = \beta_\Gamma \tilde{\Gamma}^i - \gamma_S \beta^i$$

$\tilde{\Gamma}^i \approx \delta_j^i \tilde{J}^{ij}$   
conformal conn.  
function

5) Initial data for black holes

↳ goal:  $(g_{ij}, K_{ij})|_{t=0}$

↳ solve the Hamiltonian and momentum constraint (fixes 4 dofs)

+ physical / technical assumptions

a) single non-rotating, non-boosted BH (in 4D) asympt. flat

↳ time symmetric  $K_{ij} = 0$

$M_i = D_i K_{ij} - D_j K_{ij} = 0$  ✓ trivially satisfied

↳ need to solve: Hamiltonian  $\mathcal{H} = R - \underbrace{K_{ij} K^{ij}}_{\text{constraint}} + K^2$

↳ metric ansatz

$\rightarrow g_{ij} = \gamma^4 \tilde{g}_{ij} = \gamma^4 g_{ij}$  ↗  $R = 0$  =  
conformal conformal metric flat metric  
factor ansatz

↳ asymptotically flat ST:  $\lim_{r \rightarrow \infty} \gamma = 1$

insert in  $\mathcal{H}=0$ :  $\Delta_{\text{flat}} \gamma = 0$

→ solution:  $\gamma = 1 + \frac{R}{r}$

identify  $k = M/2$

$$\Rightarrow \gamma = 1 + \frac{M}{2R}$$

in metric:  $ds^2 = -\alpha^2 dt^2 + \gamma^4 g_{ij} dx^i dx^j$   
Schwarzschild in isotropic coord.  $\checkmark$  param.

b) for N-BHs w/o momenta

Laplacian is linear  $\Rightarrow \gamma = 1 + \sum_{A=1}^N \frac{m_{(A)}}{2|R - \tilde{R}_{(A)}|}$

→ Brill-Lindquist data

- c) Bowen-York data [Brandt & Brügmann for N-BHs]  
→ initial data for BHs with linear and angular momentum  
- in Einstein Toolkit: implemented Two Parameters there.