

# ALGORITHM DESIGN

PART I

ADSI, S2023

# A VIEW BACK IN TIME

## GUESS MY WORD

→ We need efficient ways of solving a given problem

→ Algorithms

→ We need efficient ways of representing data needed to solve the problem

→ Data Structures

2

general problem?

## BUT WHY?

To ensure the quality of software  
ADS = Big-Oh tools to analyze

efficiency

do the thing fast

correctness

do the right thing

invariants

3

lists  
stacks  
...

2

# THE NEXT THREE WEEKS

- Algorithm correctness

- Invariants

- Algorithm design

- Brute force algorithms

- Randomized algorithms

- Greedy algorithms

- Dynamic programming

- Divide-and-conquer algorithms

- Backtracking algorithms

Today

→ next week

in 2 weeks

## INVARIANTS

```
power(m, n):  
    r = 1  
    for i from 1 to n:  
        r = r * m  
    return r
```

Computes  $m^n$   
but how do we know?

# LOOP INVARIANTS

```
power(int m, int n):
```

```
    i = 0
```

```
    r = 1
```

```
    while i < n:
```

```
        r = r * m
```

```
        i = i + 1
```

```
    return r
```

Statements that  
→ are true when we first reach the loop

→ if they are true before running through the loop, they are also true after

In this case:

$I_1$ :  $m, n, r$  and  $i$  are integers

$I_2$ :  $r = m^i$

# LOOP INVARIANTS

```
power(int m, int n):  
    i = 0  
    r = 1  
    while i < n:  
        r = r * m  
        i = i + 1  
    return r
```

$I_1$ :  $m, n, r$  and  $i$  are integers  
 $I_2$ :  $r = m^i$

prove  $I_1$  is true  
before we encounter  
the loop the first time:

$m, n$  ✓

$i = 0$  ✓

$r = 1$  ✓

# LOOP INVARIANTS

```
power(int m, int n):
```

```
    i = 0
```

```
    r = 1
```

```
    while i < n:
```

```
        r = r * m
```

```
        i = i + 1
```

```
    return r
```

$I_1$ : m, n, r and i are integers

$I_2$ :  $r = m^i$

prove that IF  $I_1$  true  
before a run of the loop,  
it must also be true after

Before: assume m, n, r, i integers

After:  $m \rightarrow m$  still integer

$n \rightarrow n$  —||—

$r \rightarrow r * m$  —||—

$i \rightarrow i + 1$  —||—

## INDUCTION PROOF

If we can show

↳ 1. Base case: True before

↳ 2. Induction step: If true at some point, then true after next loop run

then it's always true



# LOOP INVARIANTS

```
power(int m, int n):  
    i = 0  
    r = 1  
    while i < n:  
        r = r * m  
        i = i + 1  
    return r
```

$I_1$ : m, n, r and i are integers

$I_2$ :  $r = m^i$

prove  $I_2$  true before

$$r = 1 \quad i = 0$$

$$r = m^i$$

$$1 = m^0 = 1 \quad \checkmark$$

# LOOP INVARIANTS

```
power(int m, int n):
```

```
    i = 0
```

```
    r = 1
```

```
    while i < n:
```

```
        r = r * m
```

```
        i = i + 1
```

```
    return r
```

$I_1$ : m, n, r and i are integers

$I_2$ :  $r = m^i$

prove that IF  $I_2$  true  
before loop, also true after

Before:  $r_B = m$   $i_B \rightarrow$  before

After:  $r_A = m$   $i_A?$

loop:  $r_A = m \cdot r_B$   
 $i_A = i_B + 1$

So  $m \cdot r_B = m^{i_B+1} = m \cdot m^{i_B}$   
 $m \cdot r_B = m \cdot r_B \checkmark$

## FINALLY ...

```
power(int m, int n):
```

```
    i = 0
```

```
    r = 1
```

```
    while i < n:
```

```
        r = r * m
```

```
        i = i + 1
```

```
    return r
```

$I_1$ : m, n, r and i are integers

$I_2$ :  $r = m^i$

$I_1$  and  $I_2$  also true  
at the end

$$\bar{i} = n$$

$$\bar{r} = m^{\bar{i}} = m^n$$

So  $m^n$  is returned

# WORKFLOW

Set up invariants fulfilling:  
1)  $I$  true before  
2) If true before one run, also true after

$I$  will be true  
when we exit  
the loop

Use  $I$  & loop  
condition to  
make conclusion

## ANOTHER EXAMPLE

```
square(int n):  
    i = 0  
    r = 0  
    while i < n:  
        r = r + 2*i + 1  
        i = i + 1  
    return r
```

$I_1$ : n, r and i are integers

$I_2$ :  $r = i^2$

Prove  $I_1$

Base case:  $n \checkmark \quad i=0 \checkmark \quad r=0 \checkmark$

Induction:  $n \rightarrow n \checkmark$

$r \rightarrow r + 2i + 1 \checkmark$

$i \rightarrow i + 1 \checkmark$

## ANOTHER EXAMPLE

```
square(int n):  
    i = 0  
    r = 0  
    while i < n:  
        r = r + 2*i + 1  
        i = i + 1  
    return r
```

$I_1$ :  $n, r$  and  $i$  are integers

$I_2$ :  $r = i^2$

Prove  $I_2$

Base case:  $0 = 0^2$  ✓

Induction:  $r \rightarrow r + 2i + 1$   
 $i \rightarrow i + 1$

If  $r = i^2$  is  $(r + 2i + 1) = (i + 1)^2$ ?

$$i^2 + 2i + 1 = (i + 1)^2 \quad \checkmark$$

## ANOTHER EXAMPLE

```
square(int n):
```

```
    i = 0
```

```
    r = 0
```

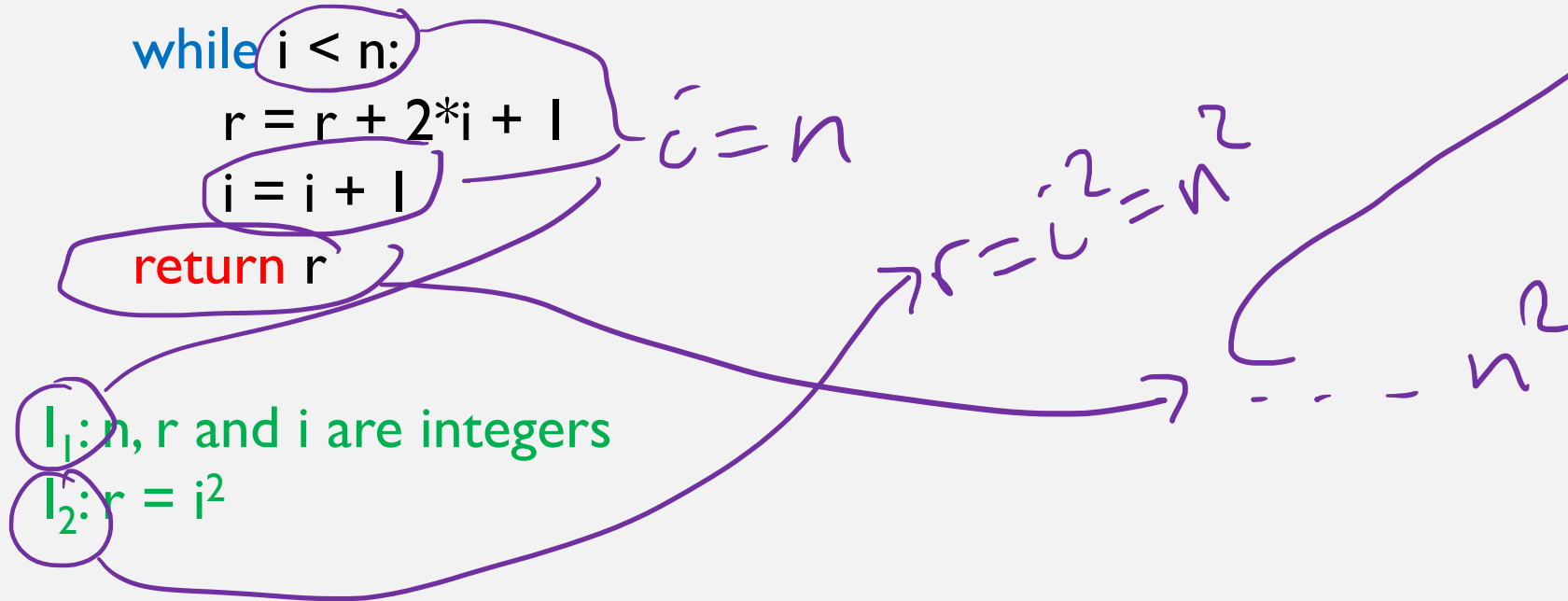
```
    while i < n:
```

```
        r = r + 2*i + 1
```

```
        i = i + 1
```

```
    return r
```

So the algorithm returns ...



## ALGORITHM DESIGN

- Brute force algorithms
- Randomized algorithms
- Greedy algorithms
- Dynamic programming
- Divide-and-conquer algorithms
- Backtracking algorithms



## BRUTE FORCE ALGORITHMS

Generate all candidates for solutions and check individually

→ Always finds a solution

→ Easy to implement

→ Astronomical time complexities  
and space

# BRUTE FORCE SORTING

4 | 8 | 3 | 1

$O(n!)$

4	8	3	1	3	4	8	1
4	8	1	3	3	4	1	8
4	3	8	1	3	8	4	1
4	3	1	8	3	8	1	4
4	1	8	3	3	1	4	8
4	1	3	8	3	1	8	4
8	4	3	1	1	4	8	3
8	4	1	3	1	4	3	8
8	3	4	1	1	8	4	3
8	3	1	4	1	8	3	4
8	1	4	3	1	3	4	8
8	1	3	4	1	3	8	4

## WHEN SHOULD I USE BRUTE FORCE ALGORITHMS?

- Probably never
- If # of potential candidates can somehow be narrowed down (backtracking)
- If you need a solution that can't be achieved otherwise

## ALGORITHM DESIGN

- Brute force algorithms
- **Randomized algorithms**
- Greedy algorithms
- Dynamic programming
- Divide-and-conquer algorithms
- Backtracking algorithms

## RANDOMIZED ALGORITHMS

Incorporate some degree of randomness

→ Eliminate "bad input"

# QUICKSORT

**QuickSort**(list, p, r):

if  $p < r$ :

$q = \text{Partition}(\text{list}, p, r)$

**QuickSort**(list, p,  $q - 1$ )

**QuickSort**(list,  $q + 1$ , r)

call **QuickSort**(A, l, **length**(list))

**Partition**(list, p, r):

$x = \text{list}[r]$

*→ pick last element*

$i = p - 1$

for  $j = p$  to  $r - 1$ :

    if  $\text{list}[j] \leq x$ :

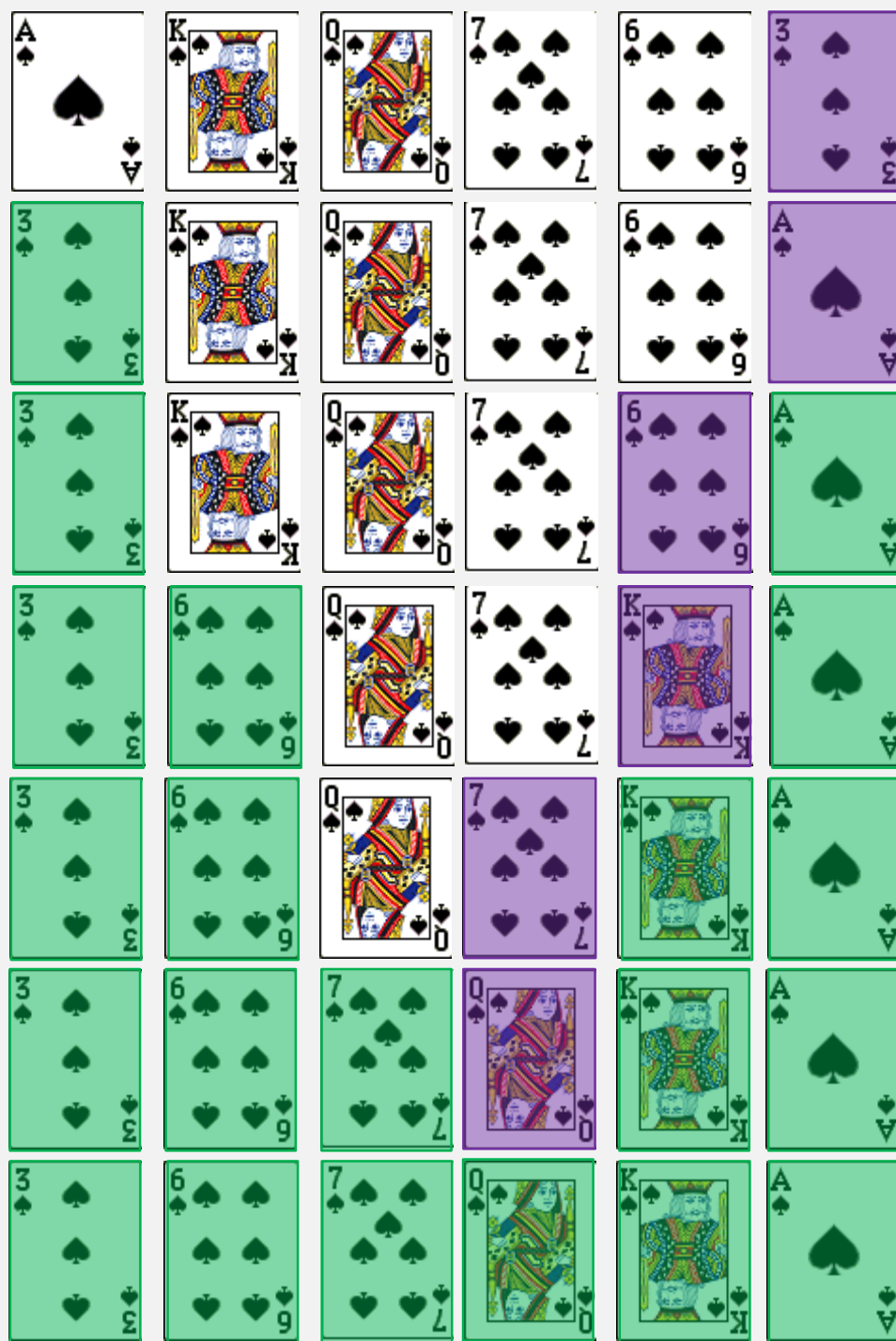
$i = i + 1$

        swap  $A[i]$  and  $A[j]$

swap  $A[i + 1]$  and  $A[r]$

return  $i + 1$

# QUICKSORT ON BAD INPUT



$O(n^2)$

## RANDOMIZED QUICKSORT

**RandQuickSort**(list, p, r):

if  $p < r$ :

$q = \text{RandPartition}(\text{list}, p, r)$

**RandQuickSort**(list, p,  $q - 1$ )

**RandQuickSort**(list,  $q + 1$ , r)

**RandPartition**(list, p, r):

$i = \text{random number btwn } p \text{ and } r$

    swap  $A[r]$  and  $A[i]$

**return** **Partition**(list, p, r)

call **RandQuickSort**(A, l, **length**(list))

still  $O(n^2)$  worst case, but no particular input structure gives you worst case



## TWO GENERAL STRATEGIES

Problem: Find a 0 in a bitstring containing half 0's, half 1's.

Linear search: worst case  $\frac{n}{2} = O(n)$  [if input bad]

while 0 not found:  
randomly select new element

$\Theta(1)$

repeat k times:  
randomly select new element  
if 0 found:  
break

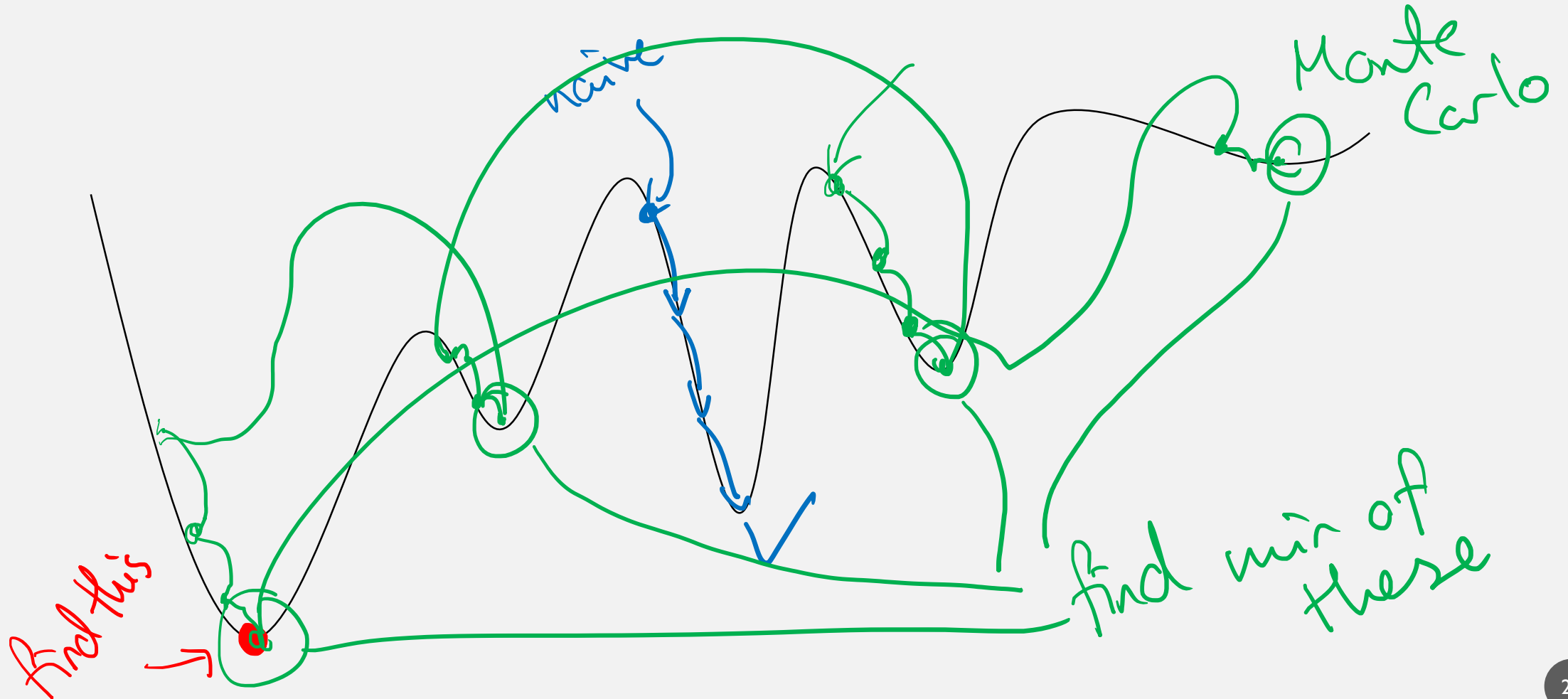
Las Vegas algorithm

- always finds correct solution
- may not terminate

Monte Carlo algorithm

- always terminates
- may not find correct solution

# RANDOMIZED OPTIMIZATION



## WHEN SHOULD I USE RANDOMIZED ALGORITHMS?

When a particular input  
leads to bad behaviour

## ALGORITHM DESIGN







- Brute force algorithms
- Randomized algorithms
- **Greedy algorithms**
- Dynamic programming
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## GREEDY ALGORITHMS

- At each step, you choose what seems most promising right now
- Accept that you won't necessarily find the best solution

# THE COIN CHANGE PROBLEM

Given an amount and a set of coins, return the fewest number of coins that sum to the amount.

$\frac{1}{2}$	1	2	37	17	7	2
			$\begin{array}{r} 37 \\ -20 \\ \hline 17 \end{array}$	$\begin{array}{r} 17 \\ -10 \\ \hline 7 \end{array}$	$\begin{array}{r} 7 \\ -5 \\ \hline 2 \end{array}$	$\begin{array}{r} 2 \\ -2 \\ \hline 0 \end{array}$
						
5	10	20	$20 + 10 + 5 + 2 \Rightarrow 4 \text{ coins}$			

# THE COIN CHANGE PROBLEM

The Danish monetary system is optimized for a greedy strategy.



1



15



25

Greedy

$$30 = 25 + 1 + 1 + 1 + 1 + 1$$

6 coins

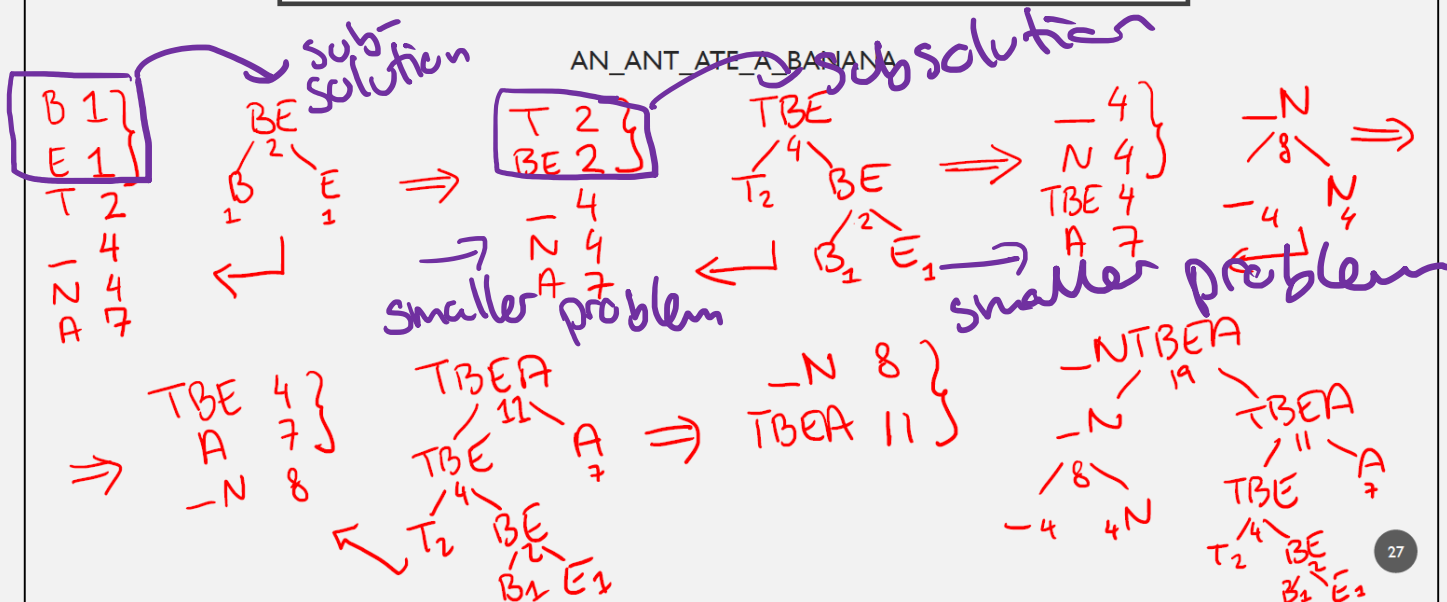
Optimal

$$30 = 15 + 15$$

2 coins

# HUFFMAN CODING

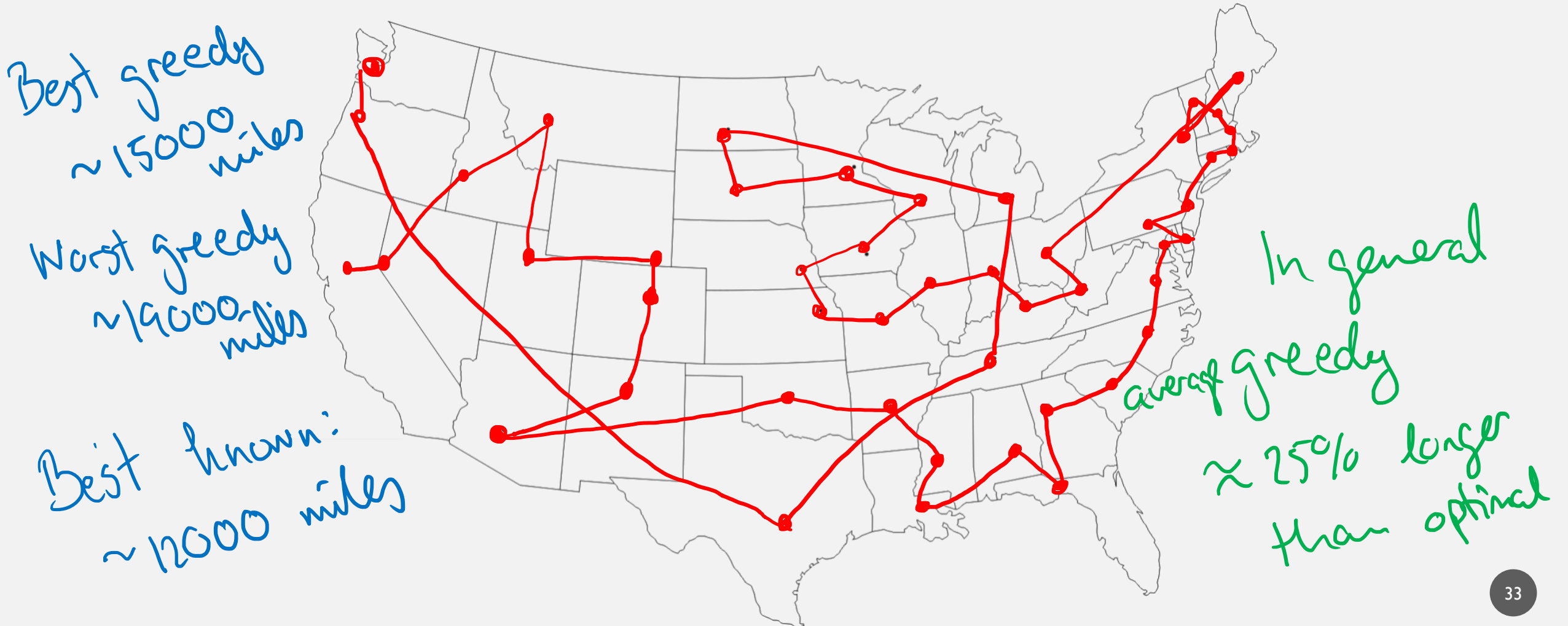
## HUFFMAN CODING



At each step, take the two least frequent symbols, disregard the rest  $\rightarrow$  greedy



# A GREEDY APPROACH TO THE TRAVELING SALESMAN PROBLEM



## WHEN SHOULD I USE GREEDY ALGORITHMS?

→ If an <sup>optional</sup> solution can be found from a solution to a single smaller subproblem

OR

→ If you just need a "reasonable good" solution