

# CS 440 Assignment2

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April 29, 2018

## 1 Overview

**Acknowledgement:** This project is based on the one created by Dan Klein and John DeNero that was given as part of the programming assignments of Berkeley's CS188 course.

In this project, we designed two classifiers: a Naive Bayes classifier and a Perceptron classifier. Using these classifiers, we will mainly perform two tasks: optical character recognition(OCR) and face detection. There are two data sets: a set of scanned handwritten digit images and a set of face images in which edges have already been detected. We will design and extract features from the given image files using for both classifiers. We will start with 10% of the data points for training, and increase the training set by 10% each time until we can use 100% of the data points for training and use a fixed number of 100 samples for testing. After we finish implementing these two classifiers, we will compare their performances and discuss the results.

## 2 Implementation

Feature enhance for digit: We extract the features in three ways and combine them together: Use all the basic features extraction, that is, denote black and white as features for each pixel. For example, feature  $(x, y) = 1$  means pixel  $(x, y)$  is non-white feature, while pixel  $(x, y) = 0$  is white feature.

1. Since the grey pixels ('+') are more likely to capture the outline of the digits, we calculate the total gray pixels for each row and the total pixel for each row. For example, suppose a variable grey store the number of grey pixels. Then  $(\text{DIGIT\_DATUM.WIDTH}, y, 2, \text{grey}) = 1$  means row  $y$  has 'grey' number of grey pixels. Similarly,  $(x, \text{DIGIT\_DATUM.HEIGHT}, 2, \text{grey}) = 1$  means column  $x$  has 'grey' number of grey pixels.
2. Since a digit may end up taking only a small area of the whole image, all the white-space peripheral to the digit can be considered as useless features. Therefore, we trimmed the peripheral of the digit first. After that, we want to find a way to calculate the black pixel and white pixel variation of the image. For example, suppose row 5 has 10 black pixels and row 6 has 12 black pixels, we define the feature to be  $(\text{'black'}, \text{'row'}, 5, 6, 1) = 1$ .
3. Basically, the feature format is  $(\text{'color'}, \text{'row(column)'}, i, i + 1, \text{num})$ , where color can be 'black' or 'white'; 'row' means the feature record the row feature difference between  $i$  and  $(i + 1)$  row, where 'column' means the feature records the column feature difference between column  $i$  and column  $(i + 1)$ . Num can only take 5 values: -2, -1, 0, 1, 2. For example, '-2' means the  $i$ th row(column) has more than 3 additional black(white) pixels than  $i+1$ th row(column). '-1' means the  $i$ th row(column) has 1-3 additional black(white) pixels than  $i+1$ th row(column). '0' means the  $i$ th row(column) has the same black(white) column as the  $(i+1)$  th row(column). Similar rules apply for the positive value of Num, where  $i$  th row(column) has less black(white) pixels than the  $(i + 1)$  th row(column).

## 3 Testing

1. For the Digit recognition using the Naive Bayes algorithm, the accuracy and run time both generally increase as we increase the training data points. The correctness of validating data points reaches 87%, and the correctness of testing data points reaches 79%, as we finish training 100% of the data point set.

Digit recognition	Naive bayes			Perceptron (3 iterations)		
Data set	Run time	Validation Accuracy	Testing Accuracy	Run time	Validation Accuracy	Testing Accuracy
500	55.81	0.85	0.77	16.57	0.75	0.72
1000	47.57	0.86	0.83	33.17	0.8	0.84
1500	48.58	0.87	0.82	50.33	0.82	0.78
2000	44.97	0.87	0.8	67.61	0.76	0.79
2500	42.9+	0.87	0.82	91.37	0.87	0.85
3000	48.4	0.85	0.82	146.52	0.9	0.85
3500	52.43	0.84	0.81	145.43	0.9	0.82
4000	45.85	0.85	0.79	162.37	0.9	0.84
4500	49.9	0.85	0.79	183.96	0.87	0.87
5000	60.84	0.87	0.79	208.97	0.86	0.85

Figure 1: Results from the Digit recognition

Face Detection	Naive bayes			Perceptron (3 iterations)		
Data set	Run time	Validation Accuracy	Testing Accuracy	Run time	Validation Accuracy	Testing Accuracy
45	40.92	0.8	0.75	1.95	0.66	0.53
90	39.85	0.98	0.82	3.88	0.97	0.83
135	42.13	1	0.86	5.57	0.9	0.75
180	41.69	1	0.89	7.56	0.94	0.78
225	39.56	0.99	0.89	9.35	0.97	0.88
270	44.42	0.98	0.88	11.15	0.99	0.89
315	42.89	0.98	0.89	12.69	0.99	0.9
360	44.22	0.99	0.89	14.59	1	0.9
405	43.87	0.98	0.9	16.16	0.97	0.89
451	43.75	0.98	0.91	18.01	0.96	0.9

Figure 2: Results from the Face detection

- For the Digit recognition using the Perceptron algorithm, the accuracy and run time both generally increase as we increase the training data points. The correctness of validating data points reaches 86%, and the correctness of testing data points reaches 85%, as we finish training 100% of the data point set.
- For the Face recognition using the Naive Bayes algorithm, the accuracy and run time both generally increase as we increase the training data points. The correctness of validating data points reaches 98%, and the correctness of testing data points reaches 91%, as we finish training 100% of the data point set.
- For the Face recognition using the Perceptron algorithm, the accuracy and run time both generally increase as we increase the training data points. The correctness of validating data points reaches 96%, and the correctness of testing data points reaches 90%, as we finish training 100% of the data point set.
- Observation:** If the size of our training data set is small, the Perceptron algorithm is faster than the Naive Bayes algorithm, however, the Perceptron algorithm will be much slower if the training data size becomes relatively big, especially if we increase the number of iterations. We believe the accuracy of the Perceptron algorithm is strongly dependent on the number of iterations we set in some range for training the same number of data points. For example, using perceptron to train 500 data points using 3 iteration gives 75% validation accuracy and 72% testing accuracy; with 5 iterations it gives us 85% validation accuracy and 77% testing accuracy; with 10 iterations, however, the accuracy of both validation and testing don't increase anymore. In addition, the Perceptron algorithm gives us a clear increase of accuracy when we increase the training data points. Comparing with the Perceptron algorithm, the accuracy of the Naive Bayes algorithm barely increases when we increase the sample size.

## 4 Conclusion

Implementing the algorithms is not the hardest part of this project, however, finding good features to extract is very critical in this project. In our case, having more features does not always indicate better outcomes, and does improve the accuracy of the classifiers to some degree. Comparatively, our implementations do not give us good results when our training set is small. When we gradually increase the size of the data set, the recognition/detection accuracy can reach a good level (around 80%-90%) in general. We surprisingly found out that the Perceptron algorithm gives us decent results for both the digit recognition and face detection, and the Perceptron does a better job than the Naive Bayes in general. During our testing process, we only used 3 iterations for the sake of time; if we process training with more iterations or with more training data set, we will result in better accuracy. In addition, face detection generally produces better results, and it may be because the face detection only allows two outcomes, either “True” or “False”, which means that it has less of a chance to “make mistakes” compared with digit recognition.