# EPFL

# SEMESTER PROJECT

# Minimal Rational Interpolation for Time-Harmonic Maxwell's Equations

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# **ABSTRACT**

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# 1 Introduction

# 2 FINITE ELEMENT DISCRETIZATION OF THE TIME-HARMONIC MAXWELL'S EQUATIONS

# 2.1 VECTOR POTENTIAL FORMULATION OF THE TIME-HARMONIC MAXWELL'S EQUATIONS

400.0pt

Let **E** denote an electric field, **B** a magnetic field strength,  $\rho$  an electric charge density, and **j** an electric current density. Maxwell's equations are stated in [?] as

$$\nabla \cdot (\epsilon \mathbf{E}) = \rho \tag{2.1}$$

$$\nabla \cdot \mathbf{B} = 0 \tag{2.2}$$

$$\nabla \times \mathbf{E} = -\partial_t \mathbf{B} \tag{2.3}$$

$$\nabla \times (\mu^{-1}\mathbf{B}) = \partial_{\mathbf{t}}(\epsilon \mathbf{E}) + \mathbf{j} \tag{2.4}$$

with  $\varepsilon$  being the permittivity and  $\mu$  the permeability.

Equation (2.2) allows for an expression of the magnetic field  $\mathbf{B} = \nabla \times \mathbf{u}$  in terms of a vector valued function  $\mathbf{u}$ , the vector potential (in literature commonly denoted with  $\mathbf{A}$ ). Similarly, (2.3) suggests rewriting the electric field  $\mathbf{E} = -\nabla \phi - \partial_t \mathbf{u}$  using a scalar function  $\phi$ , referred to as the scalar potential.

The physical quantities **E** and **B** remain unchanged if we transform  $\mathbf{u} \to \mathbf{u}' = \mathbf{u} + \nabla \psi$  or  $\varphi \to \varphi' = \varphi - \vartheta_t \psi$  for arbitrary functions  $\psi$ . A convenient choice of  $\psi$  is suggested in [?] to be

$$\psi = \int_0^t \phi dt' \tag{2.5}$$

which transforms  $\phi \to \phi' = 0$  and  $\mathbf{u} \to \mathbf{u}' = \mathbf{u} + \nabla \int_0^t \phi dt'$ . Thus, the expressions for the electrical and magnetic field become

$$\mathbf{E} = -\partial_{\mathbf{t}}\mathbf{u} \tag{2.6}$$

$$\mathbf{B} = \nabla \times \mathbf{u} \tag{2.7}$$

where I renamed the variable  $\mathbf{u}'$  to  $\mathbf{u}$  for simplicity.

Plugging the identities (2.6) and (2.7) into (2.4) yields

$$\nabla \times (\mu^{-1}\nabla \times \mathbf{u}) = \varepsilon \partial_{\mathbf{t}}^{2} \mathbf{u} + \mathbf{j}$$
 (2.8)

For the rest of this report, I restrict myself to vector potentials  $\mathbf{u}$  that exhibit a harmonic dependence on time t, i.e. may be factorized into a term solely depending on the position  $\mathbf{x}$  and a complex exponential

$$\mathbf{u}(\mathbf{x}, \mathbf{t}) = \mathbf{u}(\mathbf{x}) \exp(i\omega \mathbf{t}) \tag{2.9}$$

Substituting this expression into (2.8) results in the

# Time-harmonic potential equation

$$\nabla \times (\mu^{-1}\nabla \times \mathbf{u}) - \varepsilon \omega^2 \mathbf{u} = \mathbf{j}$$
 (2.10)

# 2.2 WEAK FORMULATION FOR THE TIME-HARMONIC POTENTIAL EQUATION

Equation (2.10) may be multiplied by a vector-valued function  $\mathbf{v} \in \mathsf{H}_{curl}(\Omega)$ , where

$$\mathsf{H}_{\mathrm{curl}}(\Omega) = \{ \mathbf{u} : \Omega \to \mathbb{C}, \text{ such that } \mathbf{u} \in \mathsf{L}^2(\mathbb{C})^3, \nabla \times \mathbf{u} \in \mathsf{L}^2(\mathbb{C})^3 \}$$
 (2.11)

and then integrated over all of  $\Omega$  to obtain

$$\int_{\Omega} (\nabla \times (\mu^{-1} \nabla \times \mathbf{u})) \cdot \mathbf{v} - \omega^{2} \int_{\Omega} \epsilon \mathbf{u} \cdot \mathbf{v} = \int_{\Omega} \mathbf{j} \cdot \mathbf{v}$$
 (2.12)

This may further be simplified (2.12) to (see Section for details)

#### Weak formulation of the time-harmonic potential equation

$$\int_{\Omega} (\mu^{-1} \nabla \times \mathbf{u}) \cdot (\nabla \times \mathbf{v}) - \omega^{2} \int_{\Omega} \varepsilon \mathbf{u} \cdot \mathbf{v} = \int_{\Omega} \mathbf{j} \cdot \mathbf{v} + \int_{\partial \Omega} \underbrace{((\mu^{-1} \nabla \times \mathbf{u}) \times \mathbf{n})}_{=\mathbf{g}} \cdot \mathbf{v}$$
(2.13)

where **n** denotes the surface normal to the boundary  $\partial\Omega$ .

Boundary conditions on the electric field **E** may be enforced in a Dirichlet-type fashion through the relation (2.6) and the assumption (2.9)

$$\mathbf{u}|_{\partial\Omega} = -\frac{1}{\mathrm{i}\omega} \, \mathbf{E}|_{\partial\Omega} \tag{2.14}$$

Those on the magnetic field **B** through a Neumann-type condition following from (2.7) and again (2.9)

$$\mathbf{g}|_{\partial\Omega} = (\mu^{-1} \mathbf{B}|_{\partial\Omega}) \times \mathbf{n} \tag{2.15}$$

#### 2.3 EXAMPLES

We apply this weak formulation to three different but very intimately related problems:

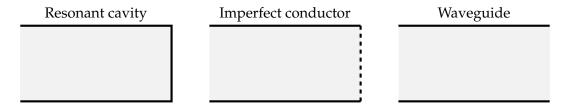


FIGURE 2.1 – Schematically the most trivial case for each example.

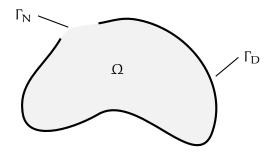


FIGURE 2.2 – 2d resonant cavity.

#### 2.3.1 TWO-DIMENSIONAL RESONANT CAVITY

A resonant cavity is a region  $\Omega$  enclosed by a boundary  $\partial\Omega$ . The boundary is subdivided into one (or more) inlets  $\Gamma_N$  and a perfect electrically conducting wall  $\Gamma_D = \partial\Omega \setminus \Gamma_N$ .

Suppose the current density  $\mathbf{j} \equiv 0$  and orient the coordinate system in such a way that  $\mathbf{u} = \mathbf{u}_z \mathbf{e}_z$  and  $\mathbf{v} = \mathbf{v}_z \mathbf{e}_z$ . Consequently,

$$(\boldsymbol{\mu}^{-1}\nabla \times \mathbf{u}) \cdot (\nabla \times \mathbf{v}) = (\boldsymbol{\mu}^{-1}\nabla \boldsymbol{u}_z) \cdot (\nabla \boldsymbol{v}_z) \tag{2.16}$$

Use  $g_z = (\mathbf{g})_z$  along the boundary  $\Gamma_N$ , to convert (2.13) into the weak formulation for a two-dimensional resonant cavity

$$\int_{\Omega} (\mu^{-1} \nabla u_z) \cdot (\nabla v_z) - \omega^2 \int_{\Omega} \varepsilon u_z v_z = \int_{\partial \Omega} g_z v_z$$
 (2.17)

Let **E** and **B** refer to the electric and magnetic fields inside the cavity. For now, I distinguish two types of boundaries.

For the perfectly conducting boundary, treated in [?], it holds that

$$\mathbf{n} \times \mathbf{E} = 0$$
, on  $\Gamma_{\mathbf{D}}$  (2.18)

For the boundaries in a two-dimensional resonant cavity (see Figure 2.2), this only holds true if  $E_z = 0$ , which translates to the Dirichlet boundary condition  $\mathbf{u}|_{\Gamma_D} = 0$  in light of (2.14).

For the inlet, it is easiest to enforce the boundary condition through the magnetic field **B** (considering  $\mathbf{n} = -\mathbf{e}_x$  as depicted in Figure 2.2):

$$g_z = ((\mu^{-1}\mathbf{B}) \times (-\mathbf{e}_x))_z = \mu^{-1}B_x$$
 (2.19)

#### 2.3.2 IMPERFECT CONDUCTOR

At an imperfect boundary ?, (2.6), with (2.9)

$$\mathbf{g} = (\mu^{-1}\nabla \times \mathbf{u}) \times \mathbf{n} = i\omega\lambda(\mathbf{n} \times \mathbf{u}) \times \mathbf{n}$$
 (2.20)

which, supposing that  $\mathbf{u} = \mathbf{u}_z \mathbf{e}_z$  and only treating a two-dimensional domain, simplifies (using the fact that  $\mathbf{n} \perp \mathbf{u}$  and  $||\mathbf{n}|| = 1$ , so  $(\mathbf{n} \times \mathbf{u}) \times \mathbf{n} = \mathbf{u}$ )

$$g_z = i\omega \lambda u_z \tag{2.21}$$

Therefore, reuse (2.17) as it is, but split boundary integral term for Neumann and impedance boundary.

#### 2.3.3 WAVEGUIDE

We go back to (2.13). Again,  $\mathbf{j} \equiv 0$ , but now we stay in 3d. Supposing that the inlet is located at a constant x-value, such that the surface normal to this inlet is  $-\mathbf{e}_x$ . For an incoming magnetic field  $\mathbf{B}|_{\Gamma_N} = B_0\mathbf{e}_y$  at the inlet, we see from (2.15) that  $\mathbf{g}|_{\Gamma_i} = -\mu^{-1}B_0\mathbf{e}_z$ . At the outlet, we set  $\mathbf{g}|_{\Gamma_0} = \mathbf{0}$ 

#### 3 FINITE ELEMENT APPROXIMATION WITH FENICS

#### 3.1 THEORY (COME UP WITH BETTER TITLE)

Along the lines of ?.

See immediately that the weak formulation (2.13) assumes the shape

Find 
$$\mathbf{u} \in V$$
, such that  $a_{\omega}(\mathbf{u}, \mathbf{v}) = L(\mathbf{v}), \forall \mathbf{v} \in V_0$  (3.1)

with the bilinear form

$$\mathbf{a}_{\omega}(\mathbf{u}, \mathbf{v}) = \int_{\Omega} (\mu^{-1} \nabla \times \mathbf{u}) \cdot (\nabla \times \mathbf{v}) - \omega^{2} \int_{\Omega} \epsilon \mathbf{u} \cdot \mathbf{v}$$
 (3.2)

and the linear form

$$L(\mathbf{u}) = \int_{\Omega} \mathbf{j} \cdot \mathbf{v} + \int_{\partial \Omega} \mathbf{g} \cdot \mathbf{v}$$
 (3.3)

#### 3.2 DEMONSTRATION (COME UP WITH BETTER TITLE)

In the style of ?. Problem (2.13) with  $\Omega$  being a cubic cavity with an inlet on one side and all other sides with  $\mu = \epsilon = 0$ ,  $\mathbf{j} = 0$  for simplicity.

Required packages

```
0 import numpy as np
1 import fenics as fen
2 import matplotlib.pyplot as plt
```

Mesh

```
5 | nx, ny, nz = 10, 10, 10
6 | mesh = fen.UnitCubeMesh(nx, ny, nz)
```

Function space (Nédélec elements of the first kind?)

```
9 | V = fen.FunctionSpace(mesh, 'N1curl', 1)
```

Inlet (at x = 0)

```
class Inlet(fen.SubDomain):
    def inside(self, x, on_boundary):
        return on_boundary and fen.near(x[0], 0)
```

PEC boundary

```
class PECWalls(fen.SubDomain):
    def inside(self, x, on_boundary):
        return on_boundary and not Inlet().inside(x, on_boundary)
```

Boundary ids

```
boundary_id = fen.MeshFunction('size_t', mesh, mesh.topology().dim()-1)
boundary_id.set_all(0)
Inlet().mark(boundary_id, 1)
PECWalls().mark(boundary_id, 2)
```

Dirichlet boundary (0 for PEC, because Nédélec)

```
28 | u_D = fen.Expression(('0.0', '0.0', '0.0'), degree=2)
29 | bc = fen.DirichletBC(V, u_D, boundary_id, 2)
```

#### Neumann boundary (1 for ...)

```
g_N = fen.Expression(('0.0', '0.0', '1.0'), degree=2)
ds = fen.Measure('ds', subdomain_data=boundary_id)
```

#### Trial and test functions

```
36 | u = fen.TrialFunction(V)
37 | v = fen.TestFunction(V)
```

#### Neumann boundary integral term

```
40 \mid N = fen.assemble(fen.dot(g_N, v) * ds(2))
```

#### Stiffness matrix

```
43 | K = fen.assemble(fen.dot(fen.curl(u), fen.curl(v)) * fen.dx)
44 | bc.apply(K)
```

#### Mass matrix

```
47 | M = fen.assemble(fen.dot(u, v) * fen.dx)
48 | bc.zero(M)
```

#### L2 norms

#### Solution at frequencies

```
56  omegas = np.linspace(6.2, 6.8, 200)
57  norms = []
58  u = fen.Function(V)
59  for omega in omegas:
    fen.solve(K - omega**2 * M, u.vector(), N)
61  norms.append(L2_norm(u))
```

#### Plotting the L2-norms

```
plt.plot(omegas, norms)
plt.yscale('log')
```

# 4 MINIMAL RATIONAL INTERPOLATION FOR THE TIME-HARMONIC MAXWELL'S EQUATIONS

Let  $u : \mathbb{R} \to \mathbb{C}$ . Given  $u_j = u(\omega_j)$  for  $j \in \{1, ..., S\}$ . Want to find a surrogate

$$\tilde{\mathfrak{u}}(\omega) \approx \mathfrak{u}(\omega)$$
 (4.1)

#### 4.1 MOTIVATION

Equations of the type (2.10), in the most simple case (dropping all constants)

$$\nabla \times (\nabla \times \mathbf{u}) - \omega^2 \mathbf{u} = \mathbf{j} \tag{4.2}$$

Writing the double-curl operator in terms of a matrix A

$$\mathbf{u} = (\underline{\mathbf{A}} - \omega^2)^{-1} \mathbf{j} \tag{4.3}$$

Eigenvalue decomposition  $\underline{\mathbf{A}} = \underline{\mathbf{V}} \underline{\mathbf{\Lambda}} \underline{\mathbf{V}}^{\mathsf{H}}$  leads us to a form proposed in ?

$$\mathbf{u} = \underline{\mathbf{V}}(\underline{\boldsymbol{\Lambda}} - \omega^2 \underline{\mathbf{1}})^{-1} \underline{\mathbf{V}}^{\mathsf{H}} \mathbf{j} = \sum_{i} \frac{\mathbf{v}_i \mathbf{v}_i^{\mathsf{H}} \mathbf{j}}{\lambda_i - \omega^2}$$
(4.4)

which follows from the fact that  $\underline{\Lambda}$  is diagonal, hence also  $(\underline{\Lambda} - \omega^2 \underline{1})^{-1}$ . Here, we denoted the diagonal elements of  $\underline{\Lambda}$  with  $\lambda_i$  (the eigenvalues of  $\underline{\Lambda}$ ) and the columns of  $\underline{V}$  with  $\mathbf{v}_i$  (the eigenvectors of  $\underline{\Lambda}$ ).

Hence, it would make sense to search for an approximation of the solution  ${\bf u}$  that is able to "model" the singularities at  $\omega^2=\lambda_i$ , e.g. rational polynomials

$$\tilde{\mathbf{u}}(\omega) = \frac{\mathbf{P}(\omega)}{\mathbf{Q}(\omega)} \tag{4.5}$$

[?]

# Algorithm 1 Minimal rational interpolation

**Require:**  $\omega_1, \ldots, \omega_S$ 

**Require:**  $U = [u(\omega_1)|...|u(\omega_S)]$ 

Snapshot matrix

Compute G with  $g_{ij} = \langle u(\omega_i), u(\omega_j) \rangle_M$ ,  $i, j \in \{1, ..., S\}$ 

▶ Gramian matrix

Compute the singular value decomposition  $G = V\Sigma V^{H}$ 

Define q = V[:, S]

Define 
$$\tilde{\mathfrak{u}}(\omega)=P(\omega)/Q(\omega)$$
 with  $P(\omega)=\sum_{j=1}^S \frac{\mathfrak{q}_j\mathfrak{u}(\omega_j)}{\omega-\omega_j}$  and  $Q(\omega)=\sum_{j=1}^S \frac{\mathfrak{q}_j}{\omega-\omega_j}$ 

[?]

The rational surrogate  $\tilde{\mathbf{u}}$  can be rewritten as

$$\begin{split} \tilde{\mathbf{u}}(\omega) &= \sum_{j=1}^{S} \frac{q_{j} \mathbf{u}(\omega_{j})}{\omega - \omega_{j}} / \sum_{j=1}^{S} \frac{q_{j}}{\omega - \omega_{j}} \\ &= \sum_{j=1}^{S} \prod_{\substack{i=0\\i\neq j}}^{S} (\omega - \omega_{i}) q_{j} \mathbf{u}(\omega_{j}) / \sum_{j=1}^{S} \prod_{\substack{i=0\\i\neq j}}^{S} (\omega - \omega_{i}) q_{j} \end{split} \tag{4.6}$$

#### Algorithm 2 Greedy minimal rational interpolation

```
Require: \tau > 0
                                                                              ▶ Relative L₂-error tolerance
Require: \Omega_{\text{test}} = \{\omega_i\}_{i=1}^M
                                                                       Require: a_{\alpha}(u, v) = L(v)
                                                       ▶ Finite element formulation of the problem
   Choose \omega_1, \ldots, \omega_t \in \Omega_{\text{test}}
                                                         Remove \omega_1, \ldots, \omega_t from \Omega_{\text{test}}
   Solve a_{\omega_i}(u_i, v) = L(v) for i \in \{1, ..., t\}
   Build surrogate \tilde{u}_t = P_t(\omega)/Q_t(\omega) using the solutions u_1, \dots, u_t
   while \Omega_{\text{test}} \neq \emptyset do
        \omega_{t+1} \leftarrow \text{argmin}_{\omega \in \Omega_{test}} |Q_t(\omega)|
        Solve a_{\omega_{t+1}}(u_{t+1}, v) \stackrel{\text{def}}{=} L(v)
        Build surrogate \tilde{u}_{t+1} = P_{t+1}(\omega)/Q_{t+1}(\omega) using the solutions u_1, \dots, u_{t+1}
        if \|u_{t+1}(\omega_{t+1}) - \tilde{u}_{t+1}(\omega_{t+1})\|_M / \|u_{t+1}(\omega_{t+1})\|_M < \tau then return
        end if
        t \leftarrow t + 1
   end while
```

Therefore, if the rational surrogate  $\tilde{\mathbf{u}}$  is evaluated at one of the interpolation nodes  $\omega_i$ ,  $\mathbf{u}(\omega_i)$  is recovered.

If the rational surrogate  $\tilde{\mathbf{u}}$  is evaluated in a zero  $\bar{\omega}$  of the denominator  $Q(\bar{\omega}) = 0$ , we observe a pole, unless  $P(\bar{\omega})$  happens to vanish too.

[?]

Defining

$$v_i = (\omega - \omega_i)^{-1} \tag{4.7}$$

and requiring

$$0 = Q(\omega) = \sum_{i=1}^{S} q_i \nu_i(\omega)$$
 (4.8)

can be equivalently expressed as a generalized eigenvalue problem

$$\underline{\mathbf{A}}\mathbf{u} = \omega \underline{\mathbf{B}}\mathbf{u} \tag{4.9}$$

with

$$\underline{\mathbf{A}} = \begin{pmatrix} 0 & q_1 & q_2 & \dots & q_S \\ 1 & \omega_1 & & & & \\ 1 & & \omega_2 & & & \\ \vdots & & & \ddots & & \\ 1 & & & & \omega_S \end{pmatrix} \text{ and } \underline{\mathbf{B}} = \begin{pmatrix} 0 & & & & \\ & 1 & & & & \\ & & 1 & & & \\ \vdots & & & \ddots & & \\ & & & & 1 \end{pmatrix}$$
(4.10)

[?]

Can check stability with singular values  $\sigma_1, \ldots, \sigma_S$  in  $\Sigma$  which we obtain in Algorithm 1. Need smallest singular values to different from each other. This conditioning can be estimated with the relative spectral range ?

$$\frac{\sigma_{S-1} - \sigma_S}{\sigma_1 - \sigma_S} \tag{4.11}$$

# Algorithm 3 Additive Householder triangularization

```
Require: U[1...s, 1...N]
                                                                                   Next snapshot matrix
Require: R[1...S, 1...S]
                                                                           ▷ Previous triangular matrix
Require: E[1...S, 1...N]
                                                                        ▷ Previous orthonormal matrix
Require: V[1...S, 1...N]
                                                                       ▷ Previous Householder matrix
   Extend size of R to (S + s) \times (S + s)
   Extend E with S orthonormal columns to (S + s) \times N
   Extend size of V to (S + s) \times N
   for j = S + 1 : S + s do
       u = U[j]
        for k = 1 : j - 1 do
            u \leftarrow u - 2\langle V[k,:], u \rangle_{M} V[k,:]
            R[k,j] \leftarrow \langle E[k,:], u \rangle_{M}
            u \leftarrow u - R[k,j]E[k,:]
        end for
       R[j,j] \leftarrow \|u\|_M
        \alpha \leftarrow \langle E[j,:], u \rangle_{M}
       if |\alpha| \neq 0 then
            \mathsf{E}[\mathsf{j},:] \leftarrow \mathsf{E}[\mathsf{j},:](-\alpha/|\alpha|)
        V[j,:] \leftarrow R[j,j]E[j,:] - u
        V[j,:] \leftarrow V[j,:] - \langle E[S+1:j], V[j,:] \rangle_{M} E[S+1:j,:]
        \sigma \leftarrow \|V[j,:]\|_{M}
       if \sigma \neq 0 then
            V[j,:] \leftarrow V[j,:]/\sigma
        else
            V[j,:] \leftarrow E[j,:]
        end if
   end for
```

Denote  $\underline{\textbf{U}} = [\textbf{u}(\omega_1), \ldots, \textbf{u}(\omega_S)]$  snapshot matrix. Let

$$\mathbf{u} = \sum_{j=1}^{S} \frac{q_j \mathbf{e}_j}{\omega - \omega_j} / \sum_{j=1}^{S} \frac{q_j}{\omega - \omega_j}$$
 (4.12)

with the canonical basis vectors  $\{e_j\}_j.$  If we perform a QR decomposition on this matrix, we obtain

$$\underline{\mathbf{U}} = \underline{\mathbf{W}} \, \underline{\mathbf{R}} \tag{4.13}$$

### 5 EXAMPLES

#### 5.1 TWO-DIMENSIONAL RECTANGULAR CAVITY

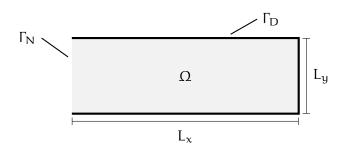
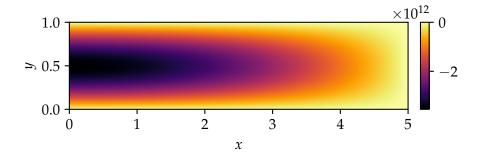
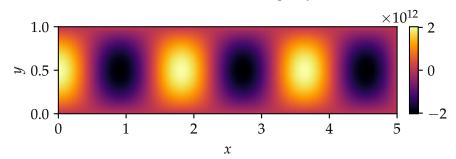


FIGURE 5.1 – TODO.



(A) First resonant frequency  $\omega = 3.159$ .



(B) Fifth resonant frequency  $\omega = 4.675$ .

FIGURE 5.2 – Caption.

For  $\|\mathbf{u}\|_{\mathrm{L}_2(\Omega)}^2 = \int_{\Omega} \|\mathbf{u}\|^2$ Analytical eigenfrequencies

$$\omega_{n,m} = \pi \sqrt{\left(\frac{2n+1}{2L_x}\right)^2 + \left(\frac{m}{L_y}\right)^2}, n \in \{0,1,\ldots\}, m \in \{1,2,\ldots\}$$
 (5.1)

Numerical eigenfrequencies, solve generalized (symmetric) eigenvalue problem

$$\underline{\mathbf{K}}\mathbf{u} = \omega^2 \underline{\mathbf{M}}\mathbf{u} \tag{5.2}$$

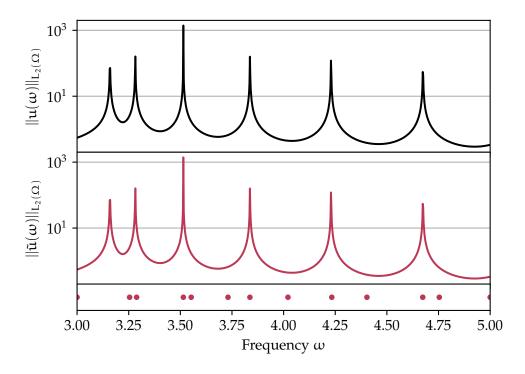


FIGURE 5.3 – Caption.

TABLE 5.1 – Comparison eigsh and gMRI.

eigsh		gsh	gMRI	
DOF	Δ	t	Δ	t
713	$1.950 \times 10^{-2}$	$25.9 \pm 1.1 \ \text{ms}$	$1.950 \times 10^{-2}$	$61.9 \pm 3.6 \text{ ms}$
7412	$1.826 \times 10^{-3}$	$199.0 \pm 9.9 \text{ ms}$	$1.827 \times 10^{-3}$	$410\pm16.8~\text{ms}$
74722	$1.817 \times 10^{-4}$	$3.5\pm0.1~\mathrm{s}$	$1.820 \times 10^{-4}$	$5.2\pm0.2~\mathrm{s}$
745513	$1.811 \times 10^{-5}$	$75.0\pm1.6~\mathrm{s}$	$1.846 \times 10^{-5}$	$104.0\pm1.1~\mathrm{s}$

Take  $\{u_j, \omega_j^2\}_j$  be the resonant modes, i.e. solutions to the eigenvalue problem (5.2), such that

$$\underline{\mathbf{K}}\mathbf{u}_{j} = \omega_{j}^{2}\underline{\mathbf{M}}\mathbf{u}_{j} \tag{5.3}$$

Adding a source term **f** 

$$\underline{\mathbf{K}}\mathbf{u} - \omega^2 \underline{\mathbf{M}}\mathbf{u} = \mathbf{f} \tag{5.4}$$

If u is expressed in terms of the basis  $\{u_j\}_j,$  i.e.  $u=\sum_j \alpha_j u_j$ 

$$\sum_{j} \alpha_{j} (\underline{\mathbf{K}} \mathbf{u}_{j} - \omega^{2} \underline{\mathbf{M}} \mathbf{u}_{j}) = \mathbf{f}$$
 (5.5)

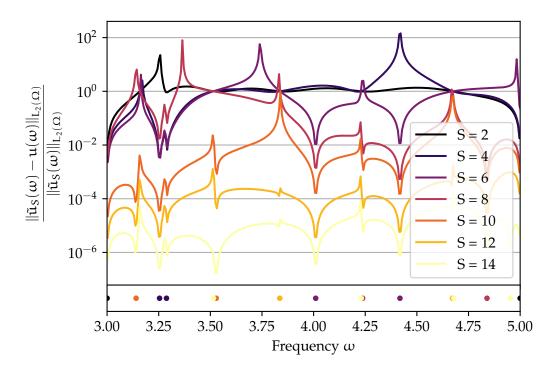


FIGURE 5.4 – Caption.

Using (5.3) 
$$\sum_{j} \alpha_{j} (\omega_{j}^{2} - \omega^{2}) \underline{\mathbf{M}} \mathbf{u}_{j} = \mathbf{f}$$
 (5.6)

from which we can take the scalar product with  $\mathbf{u}_i^H$  to obtain

$$\alpha_{j} = \frac{\mathbf{u}_{j}^{\mathsf{H}} \mathbf{f}}{\omega_{i}^{2} - \omega^{2}} \tag{5.7}$$

If  $\mathbf{u}_j^H \mathbf{f} = 0$ , then the resonant mode at  $\omega_j$  is suppressed (fine with MRI, but eight will detect a resonant mode).

For 
$$||\textbf{u}||_{L_2(\Gamma)}^2 = \int_{\Gamma} ||\textbf{u}||^2$$

#### 5.2 IMPERFECTLY CONDUCTING BOUNDARIES

Numerical eigenfrequencies, solve

$$(\mathbf{K} - i\omega \mathbf{I} - \omega^2 \mathbf{M})\mathbf{u} = 0 \tag{5.8}$$

Define  $\mathbf{v} = \omega \mathbf{u}$ , so that we may write this as the generalized eigenvalue problem

$$\begin{bmatrix} \mathbf{1} \\ \underline{\mathbf{K}} & -i\underline{\mathbf{I}} \end{bmatrix} \begin{bmatrix} \mathbf{u} \\ \mathbf{v} \end{bmatrix} = \omega \begin{bmatrix} \mathbf{1} \\ \underline{\mathbf{M}} \end{bmatrix} \begin{bmatrix} \mathbf{u} \\ \mathbf{v} \end{bmatrix}$$
 (5.9)

which is, however, no longer Hermitian (LHS chosen as "diagonal" as possible).

#### 5.3 DUAL MODE CIRCULAR WAVEGUIDE FILTER

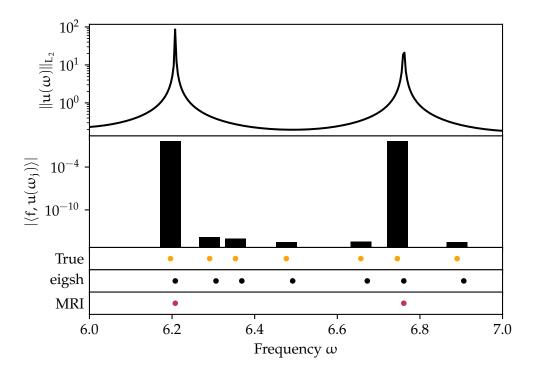


FIGURE 5.5 – Caption.

TABLE 5.2 – Comparison eigsh and gMRI.

	eigsh	gMRI
DOF	t	t
713	$65.1 \pm 2.48 \text{ ms}$	$78.5 \pm 7.4  { m ms}$
7412	$906.0 \pm 115.0  \mathrm{ms}$	$496.0 \pm 53.8 \text{ ms}$
74722	$20.4\pm0.3~\mathrm{s}$	$6.2 \pm 0.3 \text{ s}$

# 6 CONCLUSION AND OUTLOOK

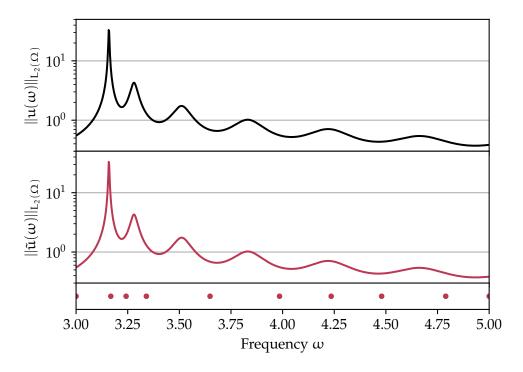


FIGURE 5.6 - Caption.

#### 7 APPENDIX

# 7.1 DETAILED DERIVATION FOR THE WEAK FORMULATION OF THE TIME-HARMONIC POTENTIAL EQUATION

The goal is to rewrite the curl-integral on the left-hand side of (2.12):

$$\int_{\Omega} (\nabla \times (\mu^{-1} \nabla \times \mathbf{u})) \cdot \mathbf{v} \tag{7.1}$$

In order to simplify the curls and apply the Gauss theorem, I first show the following vector calculus identity:

Curl product rule 
$$(\nabla \times \mathbf{a}) \cdot \mathbf{b} = \nabla \cdot (\mathbf{a} \times \mathbf{b}) + \mathbf{a} \cdot (\nabla \times \mathbf{b}) \tag{7.2}$$

where a, b are vector-value functions. The completely antisymmetric tensor  $\epsilon_{ijk}$ , frequently referred to as the Levi-Civita tensor, may be employed to rewrite the components of the curl of a vector-function a as the sum

$$(\nabla \times \mathbf{a})_{k} = \sum_{i} \sum_{j} \varepsilon_{ijk} \partial_{i} u_{j} \tag{7.3}$$

where  $\vartheta_i$  denotes the partial derivative with respect to the i-th coordinate direction.

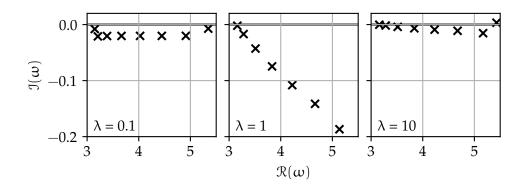


FIGURE 5.7 – Spurious resonant frequency far away from others and definitely not of interest for this problem, but indeed for rational surrogate.

This yields

$$(\nabla \times \mathbf{a}) \cdot \mathbf{b} = \sum_{k} (\nabla \times \mathbf{a})_{k} b_{k}$$

$$= \sum_{k} (\sum_{i} \sum_{j} \varepsilon_{ijk} \partial_{i} \alpha_{j}) b_{k}$$

$$= \sum_{k} \sum_{i} \sum_{j} \partial_{i} (\varepsilon_{ijk} \alpha_{j} b_{k}) - \sum_{k} \sum_{i} \sum_{j} \alpha_{j} (\varepsilon_{ijk} \partial_{i} b_{k})$$

$$= \sum_{k} \sum_{i} \sum_{j} \partial_{i} (\varepsilon_{jki} \alpha_{j} b_{k}) - \sum_{k} \sum_{i} \sum_{j} \alpha_{j} ((-\varepsilon_{ikj}) \partial_{i} b_{k})$$

$$= \sum_{k} \partial_{i} (\mathbf{a} \times \mathbf{b})_{i} + \sum_{j} u_{j} (\nabla \times \mathbf{b})_{j}$$

$$= \nabla \cdot (\mathbf{a} \times \mathbf{b}) + \mathbf{a} \cdot (\nabla \times \mathbf{b})$$

$$(7.4)$$

by expressing the scalar product as a component-sum, using the product rule and applying the symmetry and anti-symmetry properties of the Levi-Civita tensor. Now the identity (7.2) to (7.1) together with Gauss' theorem gives

$$\int_{\Omega} (\nabla \times (\mu^{-1} \nabla \times \mathbf{u})) \cdot \mathbf{v} = \int_{\Omega} \nabla \cdot ((\mu^{-1} \nabla \times \mathbf{u}) \times \mathbf{v}) + \int_{\Omega} (\mu^{-1} \nabla \times \mathbf{u}) \cdot (\nabla \times \mathbf{v})$$

$$= \int_{\partial \Omega} ((\mu^{-1} \nabla \times \mathbf{u}) \times \mathbf{v}) \cdot \mathbf{n} + \int_{\Omega} (\mu^{-1} \nabla \times \mathbf{u}) \cdot (\nabla \times \mathbf{v})$$
(7.5)

For later convenience, the boundary integral can further be simplified using the

Commutative behavior of the scalar triple product 
$$(\mathbf{a}\times\mathbf{b})\cdot\mathbf{c}=-(\mathbf{a}\times\mathbf{c})\cdot\mathbf{b} \tag{7.6}$$

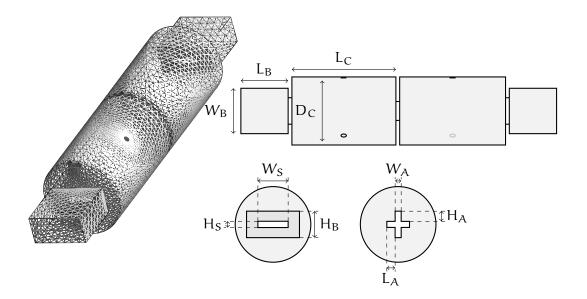


FIGURE 5.8 – Dual-mode circular waveguide filter. ?  $W_C=43.87$  mm,  $D_C=28.0$  mm,  $L_B=43.87$  mm,  $W_B=19.05$  mm,  $H_B=9.525$  mm,  $L_B=20.0$  mm,  $W_S=10.05$  mm,  $H_S=3.0$  mm,  $W_A=2.0$  mm,  $H_A=3.375$  mm,  $L_A=2.825$  mm, thickness of all irises 2.0 mm, screws half way up the cavity horizontal tuning screws with depth 3.82 mm and coupling screws at angles  $\pm 45^\circ$  with depth 3.57 mm.

This identity follows immediately from a small manipulation with the Levi-Civita tensor:

$$(\mathbf{a} \times \mathbf{b}) \cdot \mathbf{c} = \sum_{k} \left( \sum_{i} \sum_{j} \varepsilon_{ijk} \alpha_{i} b_{j} \right) c_{k}$$

$$= \sum_{j} \left( \sum_{i} \sum_{k} (-\varepsilon_{ikj}) \alpha_{i} c_{k} \right) b_{j}$$

$$= -(\mathbf{a} \times \mathbf{c}) \cdot \mathbf{b}$$
(7.7)

The boundary integral becomes

$$\int_{\partial\Omega} ((\mu^{-1}\nabla \times \mathbf{u}) \times \mathbf{v}) \cdot \mathbf{n} = -\int_{\partial\Omega} ((\mu^{-1}\nabla \times \mathbf{u}) \times \mathbf{n}) \cdot \mathbf{v}$$
 (7.8)

This concludes the short derivation, because now (7.1) may be rewritten as

$$-\int_{\partial\Omega} ((\mu^{-1}\nabla \times \mathbf{u}) \times \mathbf{v}) \cdot \mathbf{n} + \int_{\Omega} (\mu^{-1}\nabla \times \mathbf{u}) \cdot (\nabla \times \mathbf{v})$$
 (7.9)