# Robust Beamforming for RIS-aided Communications: Gradient-based Manifold Meta Learning

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Abstract—Reconfigurable intelligent surface (RIS) has become a promising technology to realize the programmable wireless environment via steering the incident signal in fully customizable ways. However, a major challenge in RIS-aided communication systems is the simultaneous design of the precoding matrix at the base station (BS) and the phase shifting matrix of the RIS elements. This is mainly attributed to the highly non-convex optimization space of variables at both the BS and the RIS, and the diversity of communication environments. Generally, traditional optimization methods for this problem suffer from the high complexity, while existing deep learning based methods are lack of robustness in various scenarios. To address these issues, we introduce a gradient-based manifold meta learning method (GMML), which works without pre-training and has strong robustness for RIS-aided communications. Specifically, the proposed method fuses meta learning and manifold learning to improve the overall spectral efficiency, and reduce the overhead of the high-dimensional signal process. Unlike traditional deep learning based methods which directly take channel state information as input, GMML feeds the gradients of the precoding matrix and phase shifting matrix into neural networks. Coherently, we design a differential regulator to constrain the phase shifting matrix of the RIS. Numerical results show that the proposed GMML can improve the spectral efficiency by up to 7.31%, and speed up the convergence by 23 times faster compared to traditional approaches. Moreover, they also demonstrate remarkable robustness and adaptability in dynamic

*Index Terms*—Reconfigurable intelligent surfaces, meta learning, manifold learning, gradient, beamforming.

#### I. INTRODUCTION

Reconfigurable intelligent surface (RIS) is an artificial passive meta-surface that can reconstruct the wireless environment by manipulating the phase of incoming electromagnetic waves [1–4], and it is considered as a potential technology for the upcoming sixth-generation communication to improve the coverage and spectral efficiency (SE). Thanks to the passive phase shifting technology at the RIS and active beamforming technology at the base station (BS), both the throughput and

energy efficiency of the wireless communication system can be enhanced in a variety of scenarios [5], especially in the millimeter wave (mmWave) communication scenario.

However, the introduction of RIS has also brought some major challenges [6-8]. Specifically, to maximize the SE of the distributed RIS-based system, the precoding matrix and the phase shifting matrix at the BS and the RIS should be jointly optimized, which was shown as a highly non-convex NP-hard problem [6], which would lead to significant computational overhead and higher probability of infeasible solutions. Moreover, users usually have high mobility in some typical urban outdoor scenarios, where many machine learning-based algorithms are not applicable any more. In addition, most existing methods assume to have the perfect channel knowledge for beamforming designs, which are usually impractical for RISbased communication systems [7, 8]. Given the outlined reasons, it is necessary to exploit the new way to implement the beamforming scheme with strong robustness and adaptability for RIS-based communication systems.

There have been some previous works that had paid attention to these problems through various optimization methods [1, 3, 9]. One of the earlier approaches to tackle this joint optimization problem was to use the fractional programming (FP) technique proposed in [1]. This approach decoupled the BS precoding and RIS phase optimization problem, however, it might not obtain the globally optimal solution. Similarly, [3] divided the problem into two parts: the first part is the standard power minimization problem, while the other one involves the RIS phase optimization. The latter portion was solved utilizing semi-definite relaxation technique, which can achieve high performance, but the computational complexity was high. Another work [9] presented an alternating optimization (AO) approach for solving the joint design problem in both perfect channel state information (CSI) and imperfect CSI scenarios, where FP technique and successive convex approximation were adopted jointly. This method showed a little adaptability to imperfect CSI, but the performance in dynamic environments is still not guaranteed.

Recently, the application of deep learning (DL) in wireless communications has gained much attention due to its capability in solving complex and challenging problems [10], since it is naturally adept at extracting valuable features in high-dimensional space with the low complexity. Therefore, a considerable number of works have been dedicated to implementing DL in beamforming across various scenarios [11–19]. Specifically, [11] proposed a framework to integrate deep neural network (DNN) in beamforming, and transferred

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the online inference overhead to offline training. However, this approach was limited to single-user cases. To cope with multi-user scenarios, [12, 13] presented a model using DNN and custom layers, achieving comparable performance with traditional schemes. In addition, a low-complexity design for beamforming was proposed in [14], which transformed the multiple-input multiple-output (MIMO) precoding problem into a multiple-input multiple-single (MISO) precoding problem. Furthermore, the deep-unfolding technique has proven to be successful in integrating professional expertise with the feature extraction capabilities of neural networks (NNs) [15-18]. It operates by replacing certain parts of the conventional optimization methods with DNNs, resulting in significant overhead reduction and competitive performance compared to traditional methods [19]. Nevertheless, most of these datadriven approaches are lack of the robustness when dealing with dynamic scenarios, since the black-box nature of DNNs limits the ability to adapt to new data distributions.

To address the robustness issue, researchers have explored various advanced machine learning techniques [15– 25]. Specifically, [20] proposed hybrid learning to tackle the challenge of multiple data sources mixture training, which could make the NNs adaptive in different wireless environments. However, the dynamic nature of wireless channel and inadequate training datasets covering all scenarios limited its practical applications. Furthermore, [22] explored the application of transfer learning in downlink beamforming, in which the NNs were trained offline and fine-tuned online, thereby accelerating the online adaptation of NNs. Meanwhile, [23] employed the meta learning to adapt to the change of wireless communication through dual sampling and offline adaptation. However, above mentioned deep learning datadriven techniques usually require the high-quality training and adaptation data, rendering the deployment impractical. To reduce the overhead of pre-training, [24, 25] proposed a new optimization framework assisted by long short-term memory networks to replace the weighted minimum mean square error (WMMSE) algorithm [26], and simulation results showed that it can successfully improve the overall system SE. But the complexity of this technique remains high, particularly in scenarios involving large antenna arrays.

On the other hand, due to the internal characteristics of the data, redundancy usually exists in the high-dimensional space. As a result, the data that we observe are often projections from a low-dimensional manifold onto a high-dimensional one [27]. Therefore, in many cases, a few lower dimensions data can be a unique representation for high dimension cases. To reduce the data dimensions, manifold learning was proposed in [28], which showed that the high dimensional data can be projected to a low dimensional manifold. In light of this, this method has been widely used in wireless-related tasks. Specifically, [29] put forward an innovative compressed sensing method for the localization in wireless sensor networks by using the manifold learning, resulting in remarkable precision at the low price of communication overhead. Moreover, [30] proposed a twotier beamforming scheme for massive MIMO communications, which utilized the manifold learning to embed the highdimensional channels into a low-dimensional subspace while maintaining the potential spatial correlation of those channels. It achieved the near-optimal sum rate and considerably higher energy efficiency than the conventional schemes.

Although some recent works [25, 31, 32] showed that these advanced techniques, i.e., meta learning and manifold learning were also utilized to solve the power allocation problem, they are still in early stages to integrate them into deep learning framework for wireless communications. To the best of our knowledge, there is currently no solution to obtain the optimal joint design of both the BS precoding matrix and the RIS phase shifting matrix, especially in imperfect CSI setups and dynamic scenarios. Attempting to solve this problem in this paper, we propose a pre-training free framework that can achieve the high performance for RIS-aided communication systems with the strong robustness to the diversity of environments as well as the extremely low computational overhead. Our contributions can be summarized as follows.

- We propose a gradient-based meta learning method, which works without pre-training and has strong robustness for RIS-aided communication systems. Unlike traditional DL based methods which directly take CSI as input, we take the gradients of the precoding matrix and phase shifting matrix as the input. Moreover, we update the parameters of NNs globally rather than using the traditional, greedy AO methods. It can reduce the risk of falling into local optima and enhance performance over conventional AO methods, while greatly reducing the computational overhead.
- We propose a manifold learning method to optimize the precoding matrix. Rather than directly optimize the complete precoding matrix at the BS, we only need to optimize it on a low-dimensional manifold. This approach reduces the search space and convergence time, ultimately decreasing overall complexity.
- Numerical results exhibit that the proposed GMML algorithm outperforms conventional algorithms and existing DL methods in both perfect and imperfect CSI setups. Specifically, compared to traditional schemes, GMML improves the SE by up to 7.31% and speeds up the convergence up to 23 times faster. Besides, it shows superior performance in adapting to dynamic scenarios. Furthermore, we support reproducible research by providing source code at GitHub. The code for this paper is available online in [33].

The paper is organized as follows: Section II presents an introduction to the system model and problem formulation. Section III introduces the GMML framework, followed by the presentation of numerical results in Section IV. Finally, Section V concludes the paper.

Notation: Fonts a,  $\mathbf{a}$ , and  $\mathbf{A}$  denote scalars, vectors, and matrices, respectively.  $\mathbf{A}^T$ ,  $\mathbf{A}^H$ ,  $\mathbf{A}^{-1}$ , and  $\|\mathbf{A}\|_2$  represent the transpose, conjugate transpose, inverse, and  $L^2$  norm of  $\mathbf{A}$ , respectively. The (m,n)-th entry of  $\mathbf{A}$  is denoted by  $a_{mn}$ , and  $|\cdot|$  denotes the modulus.  $\mathcal{O}$  represents the asymptotic time complexity. Finally, we can represent the diagonal matrix and trace of matrix  $\mathbf{A}$  using the notations  $\mathrm{diag}(\mathbf{a})$  and  $\mathrm{Tr}(\mathbf{A})$ , respectively.

#### II. SYSTEM MODEL AND PROBLEM FORMULATION

In this section, we present the system model, followed by the formulation of the SE maximization problem.

As shown in Fig. 1, the mmWave MISO communication system consists of one M-antenna BS, one RIS with N reflective elements, and K single-antenna users. The data stream of the k-th user is marked as  $s_k$  and satisfies  $E\left\{|s_k|^2\right\}=1$ . Due to the heavy attenuation of the mmWave, the direct links between the BS and the users are negligible. Therefore, the users can only communicate with the BS via the virtual links provided by the RIS. Furthermore, we assume that all the channels, including the channel  $\mathbf{G} \in \mathbb{C}^{N \times M}$  between the BS and the RIS, and the channel  $\mathbf{H} \in \mathbb{C}^{K \times N}$  between the RIS and users, are known. Meanwhile, in the imperfect CSI setup, we assume that the channels are known but with estimation error. The signal received by the k-th user is denoted as  $y_k$  and can be written as

$$y_k = \mathbf{h}_k^H \mathbf{\Theta} \mathbf{G} \mathbf{w}_k s_k + \sum_{i \neq k}^K \mathbf{h}_k^H \mathbf{\Theta} \mathbf{G} \mathbf{w}_i s_i + n_k.$$
 (1)

Here the RIS phase shifting matrix is defined as  $\Theta = \mathrm{diag}[e^{j\theta_1}, e^{j\theta_2}, \cdots, e^{j\theta_N}]$ , where  $\theta_n$  represents the phase shift induced by the n-th RIS element.  $\mathbf{w}_k$  is the k-th column of the precoding matrix  $\mathbf{W} \in \mathbb{C}^{M \times K}$  at the BS, and  $\mathbf{h}_k$  represents the transpose of the k-th row of  $\mathbf{H}$ . The noise  $n_k$  for the k-th user is additive circular complex white Gaussian noise with zero mean and variance  $\sigma^2$ .

Practically, the total power of all antennas in a BS is limited. Therefore, a constraint on  $\mathbf{W}$  is provided as

$$\operatorname{Tr}(\mathbf{W}^H \mathbf{W}) \le P,$$
 (2)

where P is the total maximum transmit power of the BS. In (1), the term  $\mathbf{h}_k^H \mathbf{\Theta} \mathbf{G} \mathbf{w}_k s_k$  is the desired signal at the k-th user while  $\sum_{i \neq k}^K \mathbf{h}_k^H \mathbf{\Theta} \mathbf{G} \mathbf{w}_i s_i$  is treated as the interference between the k-th user and the other users. Since  $s_k$  is unit-powered, the signal to interference plus noise ratio (SINR) for the k-th user can be expressed as:

$$\gamma_k = \frac{|\mathbf{h}_k^H \mathbf{\Theta} \mathbf{G} \mathbf{w}_k|^2}{\sigma^2 + \sum_{j \neq k}^K |\mathbf{h}_k^H \mathbf{\Theta} \mathbf{G} \mathbf{w}_j|^2}.$$
 (3)

To evaluate the system performance, the SE serves as a metric, which can be quantified as

$$R(\mathbf{W}, \mathbf{\Theta}; \mathbf{H}, \mathbf{G}) = \sum_{k=1}^{K} \omega_k \log_2(1 + \gamma_k).$$
 (4)

Here  $\omega_k$  refers to the weight of the k-th user. The aim of this paper is to maximize the target function as described in (4) by optimizing  $\Theta$  and W jointly. Therefore, the optimization problem could be expressed as

$$\max_{\mathbf{W} \in \mathcal{W} \atop \mathbf{\Theta} \in \mathcal{O}} R(\mathbf{W}, \mathbf{\Theta}; \mathbf{H}, \mathbf{G}),$$

$$\text{s.t. } \operatorname{Tr}(\mathbf{W}^H \mathbf{W}) \leq P,$$

$$\mathbf{\Theta} = \operatorname{diag}[e^{j\theta_1}, e^{j\theta_2}, \cdots, e^{j\theta_N}],$$

$$|\theta_j| = 1, j = 1, 2, 3, \cdots, N.$$
(5)

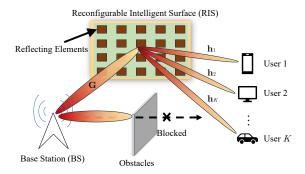


Fig. 1. RIS-aided MU-MISO beamforming system.

where  $\mathcal{W}$  and  $\mathcal{O}$  are the feasible regions of  $\mathbf{W}$  and  $\mathbf{\Theta}$ , respectively. To assess the channel estimation error (CEE), we refer to the true channel as  $\mathbf{h}$  and the estimated channel as  $\hat{\mathbf{h}}$ . Then the CEE can be measured in decibels (dB) as follows

CEE = 
$$10 \log_{10} \left( \frac{\mathbb{E}[\|\mathbf{h} - \hat{\mathbf{h}}\|_{2}^{2}]}{\mathbb{E}[\|\mathbf{h}\|_{2}^{2}]} \right)$$
. (6)

CEE assesses the accuracy of channel estimation. The smaller the value of CEE, the more precise the channel estimation is.

#### III. GMML FRAMEWORK

In this section, we describe the fundamentals of the GMML framework. The first is the manifold learning technique, while the second is the model-driven gradient-based meta learning method. In the following subsections, we provide details on each of the two methods.

### A. Manifold Learning

In the traditional optimization algorithms (e.g., AO), high-dimensional matrix inversion is required in each iteration to optimize the precoding matrix  $\mathbf{W}$ , which would result in a cubic computational complexity with respect to M. When the BS is equipped with a large number of antennas, the overhead of matrix inversion would become excessively high. On the other hand, in the context of DL, NNs have been introduced to replace the matrix inversion, but the dimension of the search space for the precoding matrix  $\mathbf{W}$  remains high. As a result, training these networks is a demanding and expensive task with a high risk of over-fitting.

To tackle these challenges, we leverage the manifold learning method to simplify (5) into a lower-dimensional problem. First, we introduce a property indicating that full power is required to maximize SE. Denote the concatenated channel of the k-th users as  $\mathbf{h}_{c,k} = \mathbf{h}_k^H \mathbf{\Theta} \mathbf{G} \in \mathbb{C}^{1 \times M}$ , and the cascaded channel of all users as  $\mathbf{H}_c = [\mathbf{h}_{c,1}^H, \mathbf{h}_{c,2}^H, \cdots, \mathbf{h}_{c,K}^H]^H \in \mathbb{C}^{K \times M}$ . Any  $\mathbf{W}$  that satisfies  $|\mathbf{h}_k^H \mathbf{\Theta} \mathbf{G} \mathbf{w}_k|^2 = 0, \forall k$  is defined as a trivial stationary point. With the definition of a trivial stationary point, the following proposition holds for the problem (5).

Proposition 1: Any nontrivial stationary point  $\{\mathbf{w}_k^*\}$  of problem (5) must conform the power constraint with equality. *Proof*: See Appendix A.

This property of power constraint equality eliminates the need for optimal power search through bisection method in traditional algorithms. It indicates that we only need to constraint the transmit power to the maximum achievable value after optimizing the precoding matrix  $\mathbf{W}$  in NNs. With *Proposition 1*, we can derive another important property.

Proposition 2: Any nontrivial stationary point  $\{\mathbf{w}_k^*\}$  of problem (5) must lie in the range space of  $\mathbf{H}_c^H$ , satisfying  $\mathbf{w}_k^* = \mathbf{H}_c^H \mathbf{X}_k$  with unique  $\mathbf{X}_k \in \mathbb{C}^{K \times 1}$ .

Proof: See Appendix B.

This proposition implies that the search space of the original precoding matrix  $\mathbf{W} \in \mathbb{C}^{M \times K}$  can be reduced to a much smaller compressed precoding matrix  $\mathbf{X} = [\mathbf{X}_1, \mathbf{X}_2, \cdots, \mathbf{X}_K] \in \mathbb{C}^{K \times K}$ . This is because that M is usually much larger than K when large antenna arrays are deployed at the BS. Consequently, we can present the transformed optimization problem as follows

$$\max_{\mathbf{W} \in \mathcal{W} \atop \mathbf{\Theta} \in \mathcal{O}} R(\mathbf{H}_c^H \mathbf{X}, \mathbf{\Theta}; \mathbf{H}, \mathbf{G}),$$
s.t.  $\operatorname{Tr}(\mathbf{X}^H \mathbf{H}_c \mathbf{H}_c^H \mathbf{X}) = P,$ 

$$\mathbf{\Theta} = \operatorname{diag}[e^{j\theta_1}, e^{j\theta_2}, \cdots, e^{j\theta_N}],$$

$$|\theta_j| = 1, j = 1, 2, 3, \cdots, N.$$
(7)

Note that the optimal solution of (5) is also a stationary point, according to *Proposition 1* and *Proposition 2*. This, in turn, suggests that the optimal solution can be attained by optimizing  $\mathbf{X}$  on a low-dimensional manifold. The proposed GMML framework exploits this property by optimizing the compressed precoding matrix through NNs instead of directly optimizing the original precoding matrix. As a result, the complexity of searching for the optimal parameters is reduced, and the energy efficiency is enhanced.

## B. Gradient Based Meta Learning

In this subsection, we would introduce the gradient-based optimization method and the meta learning architecture, as well as the details of the NNs.

- 1) Gradient As Input: Traditional DL-based methods typically input the matrices  $\mathbf{H}$  and  $\mathbf{G}$  directly into the NNs, which then output the target matrices  $\mathbf{W}^*$  and  $\mathbf{\Theta}^*$ . However, the optimization process within the black-box DNN remains not fully understood. Inspired by the gradient descent method, we feed the gradients  $\nabla_{\mathbf{X}}R$  and  $\nabla_{\mathbf{\Theta}}R$  into the NNs and the outputs  $\Delta\mathbf{X}$  and  $\Delta\mathbf{\Theta}$  would be added to the initialized or updated  $\mathbf{X}$  and  $\mathbf{\Theta}$ . Compared with traditional DL techniques, this approach offers improved interpretability. Moreover, it extracts higher order information of the matrices  $\mathbf{X}$  and  $\mathbf{\Theta}$ , which can result in better optimization to both variables.
- 2) Meta Learning Architecture: Traditional data-driven meta learning methods typically necessitate substantial offline pre-training and further online adaptation refinement, such as model-agnostic meta learning [22, 34]. However, these methods are sensitive to CEE and variation in data distribution. Additionally, performing large-scale pre-training and frequent adaptation consumes significant amount of energy, rendering this approach unsuitable for latency-critical and dynamic scenarios. To tackle these issues, we propose a pre-training free, model-driven meta-learning framework that exhibits strong robustness. The algorithm features a three-layer nested cyclic

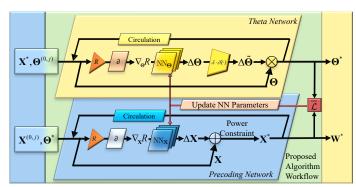


Fig. 2. GMML architecture.

optimization structure, which comprises epoch iterations, outer iterations, and inner iterations. The details are as follows.

Inner iteration: This iteration is responsible for optimizing the target variables cyclically. As shown in Fig. 2, there are two sub-networks responsible for optimizing W and Θ separately in the inner iteration, called Precoding Network (PN) and Theta Network (TN). In each sub-network, we update Θ and X sequentially in each inner iteration, and the the target optimization variable is inherited from initial while the other variable is inherited from the other sub-network. The update process in the j-th outer iteration can be formulated as

$$\mathbf{X}^* = PN(\mathbf{X}^{(0,j)}, \mathbf{\Theta}^*), \tag{8}$$

$$\mathbf{\Theta}^* = TN(\mathbf{X}^*, \mathbf{\Theta}^{(0,j)}), \tag{9}$$

where  $\mathbf{X}^{(i,j)}$  and  $\mathbf{\Theta}^{(i,j)}$  represent  $\mathbf{X}$  and  $\mathbf{\Theta}$  in the *i*-th inner iteration of the *j*-th outer iteration.

• Outer iteration: This iteration is responsible for accumulating the loss, and there are  $N_i$  inner iterations in each outer iteration. To work in a self-supervised learning manner, the loss function in j-th outer iteration can be expressed as the negative value of SE

$$\mathcal{L}^{j} = -R(\mathbf{W}^{*}, \mathbf{\Theta}^{*}; \mathbf{H}, \mathbf{G}). \tag{10}$$

• Epoch iteration: This iteration is in charge of updating the parameters of NNs, and there are  $N_o$  outer iterations in each epoch iteration. After completing  $N_o$  outer iterations, the losses are summed and averaged as

$$\overline{\mathcal{L}} = \frac{1}{N_o} \sum_{i=1}^{N_o} \mathcal{L}^j. \tag{11}$$

Then backward propagation is conducted and the Adam optimizer is used to update the NNs embed in both subnetworks, as depicted below

$$\theta_{\mathbf{X}}^* = \theta_{\mathbf{X}} + \alpha_{\mathbf{X}} \cdot \operatorname{Adam}(\nabla_{\theta_{\mathbf{X}}} \overline{\mathcal{L}}, \theta_{\mathbf{X}}),$$
 (12)

$$\theta_{\Theta}^* = \theta_{\Theta} + \alpha_{\Theta} \cdot \operatorname{Adam}(\nabla_{\theta_{\Theta}} \overline{\mathcal{L}}, \theta_{\Theta}),$$
 (13)

where  $\alpha_{\mathbf{X}}$  and  $\alpha_{\mathbf{\Theta}}$  are the learning rates of the two networks, respectively. There are  $N_e$  epoch iterations in the whole optimization process, and the update interval of (13) is set to a constant  $n_0$  to balance the alternative optimization. Therefore, there is one update to the parameters of the PN and the TN in one and

 $n_0$  epoch iterations, respectively. It globally controls the optimization direction of  $\Delta \mathbf{X}$  and  $\Delta \boldsymbol{\Theta}$ , thus being less greedy and more efficient than the AO method.

3) Precoding Network: As illustrated in III-A, the precoding matrix  $\mathbf{w}_k$  is in the range space of the cascaded channel  $\mathbf{H}_c$ , we only need to optimize the compressed precoding matrix  $\mathbf{X}$  instead of the original  $\mathbf{W}$ . Denote SE in the i-th inner iteration and j-th outer iteration as  $R_{\mathbf{X}}^{(i,j)}$ , which can be expressed as follows

$$R_{\mathbf{X}}^{(i,j)} = \sum_{k=1}^{K} \omega_k \log_2(1 + \frac{|\mathbf{h}_k^H \hat{\mathbf{\Theta}} \mathbf{G} \mathbf{H}_c^H \mathbf{X}_k^{(i,j)}|^2}{\sigma^2 + \sum_{j \neq k}^{K} |\mathbf{h}_k^H \hat{\mathbf{\Theta}} \mathbf{G} \mathbf{H}_c^H \mathbf{X}_j^{(i,j)}|^2}), \tag{14}$$

where  $\hat{\Theta}$  is either the initialized or updated phase shifting matrix. As shown in Fig. 2, in the workflow of the PN, SE is first computed and the gradient of  $\mathbf{X}^{(i,j)}$  with respect to SE is fed into the light-weighted NNs, then the output  $\Delta \mathbf{X}^{(i,j)}$  is added to  $\mathbf{X}^{(i,j)}$  and regulated to satisfy power constraint, leading to the updated  $\mathbf{X}^{(i+1,j)}$ 

$$\mathbf{X}^* = \mathbf{X}^{(i,j)} + \Delta \mathbf{X}^{(i,j)},\tag{15}$$

$$\mathbf{X}^{(i+1,j)} = \sqrt{\frac{P}{\text{Tr}(\mathbf{H}_c^H \mathbf{X}^* (\mathbf{H}_c^H \mathbf{X}^*)^H)}} \mathbf{X}^*.$$
 (16)

Afterwards, the precoding matrix is recovered by multiplying cascaded channel to the compressed precoding matrix

$$\mathbf{W}^{(i+1,j)} = \mathbf{H}_c^H \mathbf{X}^{(i+1,j)}. \tag{17}$$

The procedure described above is iterated  $N_i$  times in an inner iteration, which is similar to  $N_i$  steps in traditional gradient descend method. However, thanks to the feature extraction ability of NNs and the information fusion ability of meta learning, the gradient information flow can be shared and modified across different networks. This allows for the coupled variables in the highly non-convex optimization problem to be handled in a discrete manner.

4) Theta Network: Similar to (14), we denote SE in the i-th inner iteration and j-th outer iteration as  $R_{\Theta}^{(i,j)}$ , which can be expressed as follows

$$R_{\mathbf{\Theta}}^{(i,j)} = \sum_{k=1}^{K} \omega_k \log_2(1 + \frac{|\mathbf{h}_k^H \mathbf{\Theta}^{(i,j)} \mathbf{G} \mathbf{H}_c^H \hat{\mathbf{X}}_k|^2}{\sigma^2 + \sum_{j \neq k}^{K} |\mathbf{h}_k^H \mathbf{\Theta}^{(i,j)} \mathbf{G} \mathbf{H}_c^H \hat{\mathbf{X}}_j|^2}), \tag{18}$$

where  $\hat{\mathbf{X}}$  is either the initialized or the updated precoding matrix. Although (18) shares a similar formulation with (14), the behavior of the target optimization variable is quite different, which presents a major challenge. Specifically, if the RIS phase shifting matrix is updated directly with  $\theta^{(i+1,j)} = \theta^{(i,j)} + \Delta\theta$ , the change in  $\Theta^{(i+1,j)}$  with  $\Delta\theta$  may not be monotonic due to the periodicity of trigonometric functions. Consequently, the output of the NN would exceed its intended range, leading to fluctuations in the SE, and causing difficulty in achieving convergence. This poses a challenge in determining the optimal point, therefore, it is crucial to address this issue in the design of *Theta Network* to improve stability during iterations. It is a well-established fact that the period of a trigonometric function is  $2\pi$ . In light of this, we have designed a customized regulator to ensure that  $\Delta\theta$  is constrained

#### Algorithm 1 GMML Workflow

```
1: procedure GMML(H, G)
                     Randomly Initialize \theta_{\mathbf{X}}, \theta_{\mathbf{\Theta}}, \mathbf{X}^{(0,1)}, \mathbf{W}^{(0,1)}, \mathbf{\Theta}^{(0,1)}.
   2:
                     Initialize \mathbf{X}^*, \mathbf{W}^* as (16) with \mathbf{X}^{(0,1)}, \mathbf{W}^{(0,1)}.
  3:
                     Initialize the maximum SE MAX = 0.
   4:
                     for k \leftarrow 1, 2, \cdots, N_e do
   5:
   6:
                                for j \leftarrow 1, 2, \cdots, N_o do
   7:
                                          \mathbf{\Theta}^{(0,j)} = \mathbf{\Theta}^{(0,1)}:
   8:
                                          \mathbf{X}^{(0,j)} = \mathbf{X}^{(0,1)}:
   9:
                                          \mathbf{W}^{(0,j)} = \mathbf{W}^{(0,1)}
 10:
                                          \begin{array}{l} \textbf{for} \ i \leftarrow 1, 2, \cdots, N_i \ \textbf{do} \\ R_{\boldsymbol{\Theta}}^{(i-1,j)} = R(\mathbf{W}^*, \boldsymbol{\Theta}^{(i-1,j)}; \mathbf{H}, \mathbf{G}); \\ \Delta \underline{\boldsymbol{\Theta}}^{(i-1,j)} = \mathrm{NN}_{\boldsymbol{\Theta}}(\nabla_{\boldsymbol{\Theta}} R^{(i-1,j)}) \ ; \end{array} 
 11:
 12:
 13:
                                                    \begin{array}{l} \Delta\widetilde{\boldsymbol{\Theta}}^{(i-1,j)} = \lambda \cdot \delta(\Delta\boldsymbol{\Theta}^{(i-1,j)}) \ ; \\ \boldsymbol{\Theta}^{(i,j)} \leftarrow \boldsymbol{\Theta}^{(i-1,j)} \cdot \Delta\widetilde{\boldsymbol{\Theta}}^{(i-1,j)}. \end{array}
 14:
 15:
                                          end for
 16:
                                          \mathbf{\Theta}^* = \mathbf{\Theta}^{(N_i,j)}:
 17:
                                         \begin{aligned} & \text{for } i \leftarrow 1, 2, \cdots, N_i \text{ do} \\ & R_{\mathbf{X}}^{(i-1,j)} = R(\mathbf{W}^{(i-1,j)}, \boldsymbol{\Theta}^*; \mathbf{H}, \mathbf{G}); \\ & \Delta \mathbf{X}^{(i-1,j)} = \text{NN}_{\mathbf{X}}(\nabla_{\mathbf{X}} R_{\mathbf{X}}^{(i-1,j)}) \ ; \\ & \mathbf{X}^{(i,j)} \leftarrow \mathbf{X}^{(i-1,j)} + \Delta \mathbf{X}^{(i-1,j)}; \end{aligned}
 18:
 19:
20:
21:
                                                    Normalize \mathbf{X}^{(i,j)} as (16);
22:
                                                    \mathbf{W}^{(i,j)} = \mathbf{H}_c \mathbf{X}^{(i,j)}.
23:
24:
                                          \mathbf{X}^* = \mathbf{X}^{(N_i,j)}:
25:
                                          \mathbf{W}^* = \mathbf{W}^{(N_i,j)};
26:
                                          \mathcal{L}^{j} = -R(\mathbf{W}^{*}, \mathbf{\Theta}^{*}; \mathbf{H}, \mathbf{G});
27:
                                          \overline{\mathcal{L}} = \overline{\mathcal{L}} + \mathcal{L}^j.
28:
                                          if -\mathcal{L}^j > \text{MAX} then
29:
                                                    MAX = -\mathcal{L}^j;
30:
                                                    \mathbf{W}_{opt}=\mathbf{W}^{*};
31:
                                                     \Theta_{opt} = \Theta^*.
32:
                                          end if
33:
34:
                                end for
                               \overline{\mathcal{L}} = \frac{1}{N_o} \overline{\mathcal{L}};
Update \theta_{\mathbf{X}} as (12).
35:
36:
                                if N_e \mid n_0 then
37:
                                          Update \theta_{\Theta} as (13).
38:
39:
                                end if
40:
                     end for
                     return \mathbf{W}_{opt}, \mathbf{\Theta}_{opt}.
41:
42: end procedure
```

within the range of 0 to  $2\pi$ , expressed as  $\Delta \widetilde{\theta} = \lambda \cdot \delta(\Delta \theta)$ , where  $\lambda$  acts as an amplification operator and  $\delta(\cdot)$  represents the sigmoid function, and this operation is carried out on the diagonal components of  $\Theta$ . This design ensures that the  $\Theta$  is within the limited range in an inner iteration, thus the updated phase shifting matrix can be expressed as

$$\Theta^{(i+1,j)} = \operatorname{diag}[e^{j(\theta^{(i,j)} + \Delta\widetilde{\theta})}] 
= \operatorname{diag}[e^{j\theta^{(i,j)}}] \cdot \operatorname{diag}[e^{j(\lambda \cdot \delta(\Delta\theta))}] 
= \Theta^{i} \cdot \Delta\widetilde{\Theta}.$$
(19)

The number of neurons in each layer of both NNs are listed in Table I, and the algorithm is detailed in Algorithm 1.

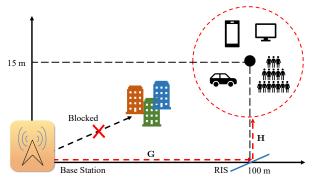


Fig. 3. The simulation scenario for MISO communication aided by RIS includes K users, a M-antenna AP, and an N-element RIS.

#### C. Discussion

Thanks to the manifold learning, the dimension of search space for the precoding matrix at the BS decreases from MK to  $K^2$ . In addition, the gradient-based meta learning approach avoids the matrix inversion, which further decreases the complexity. The complexity of GMML has been proven to be  $\mathcal{O}(N_eN_oN_iK^2MN)$ , with further details available in Appendix C. And the complexity of AO has shown to be  $\mathcal{O}(L_3(L_1KM^3+L_2K^2N^2))$  [9]. Compared with AO, GMML simplifies the complexity of M from cubic to linear and the complexity of N and from quadratic to linear in a single iteration, indicating that it is insensitive to the number of antennas or RIS elements.

#### IV. NUMERICAL RESULTS

In this section, we demonstrate the performance of the proposed method through simulation results. The simulation scenario for RIS-aided MISO communication is illustrated in Fig. 3. The base station is located at (0 m, 0 m) and communicates with 4 users who are randomly positioned within a circle centered at (100 m, 15 m) with a radius of 5 meters. Due to obstacles that block the direct links, the users can only communicate with the BS through the passive reflection of RIS, which is located in (100 m, 0 m). More system parameters can be found in Table II, in which the path loss is established based on the 3GPP propagation environment standard [35], and  $\lambda$  stands for the wavelength.

We assume that the channel between the RIS and users and the channel between the RIS and the BS both follow the Rician fading, which are modeled as

$$\mathbf{h}_{k} = L_{h_{k}}^{LoS} \sqrt{\frac{\kappa_{h}}{1 + \kappa_{h}}} \mathbf{h}_{k}^{LoS} + L_{h_{k}}^{NLoS} \sqrt{\frac{1}{1 + \kappa_{h}}} \mathbf{h}_{k}^{NLoS}, \quad (20)$$

$$\mathbf{G} = L_{G}^{LoS} \sqrt{\frac{\kappa_{G}}{1 + \kappa_{G}}} \mathbf{G}^{LoS} + L_{G}^{NLoS} \sqrt{\frac{1}{1 + \kappa_{G}}} \mathbf{G}^{NLoS}, \quad (21)$$

where  $L_{h_k}^{LoS}$ ,  $L_{h_k}^{NLoS}$ ,  $L_G^{LoS}$  and  $L_G^{LoS}$  represent the path losses of channels, respectively.  $\kappa_h$  and  $\kappa_G$  denote the Rician factor and are both set to 10. The line of sight (LoS) paths are represented by  $\mathbf{h}_k^{LoS}$  and  $\mathbf{G}^{LoS}$ , while the non line of sight (NLoS) paths are represented by  $\mathbf{h}_k^{NLoS}$  and  $\mathbf{G}^{NLoS}$ . For

Table I Number of Neurons in the NNs

No.	Layer Name	Precoding-Network	Theta-Network
1	Input Layer	$2 \times K$	N
2	Linear Layer 1	200	200
3	ReLU Layer	200	200
4	Output Layer	$2 \times K$	N
5	Differential Regulator	/	N

Table II SIMULATION PARAMETERS

Parameters	Value	Parameters	Value
N	100	BS Antenna Spacing	$0.5\lambda$
M	64	Central Frequency (GHz)	28
K	4	LoS Path Loss (dB)	$56.9 + 22.0 \lg d$
BS Location	(0  m, 0  m)	NLoS Path Loss (dB)	$60.3 + 36.7 \lg d$

the imperfect CSI setup, we assume that the estimation error  $\mathbf{z} = \mathbf{h} - \hat{\mathbf{h}}$  follows zero mean additive circular complex white Gaussian distribution.

In the simulations, we compare our results with several other algorithms on  $N_s$  independent channel samples. The system parameters  $N_s, N_e, N_o, N_i, \alpha_{\mathbf{W}}, \alpha_{\mathbf{\Theta}}, \lambda$  and  $n_0$  are set as  $100, 500, 1, 1, 1 \times 10^{-3}, 1.5 \times 10^{-3}, 2\pi$  and 5, respectively. We run the simulations on a computer equipped with an EPYC 75F3 CPU and a RTX 3090 GPU using PyTorch 2.0.1 and Python 3.9. To serve as baselines, other algorithms are listed below.

- Baseline 1 (Random Phase):  $\Theta$  is randomly initialized and  $\mathcal{P}(A)$  is solved by the WMMSE algorithm.
- Baseline 2 (GML): Gradient-based meta learning (GML)
  is a simplified version of GMML which removes the
  manifold learning technique but leaves the rest of GMML
  unchanged.
- Baseline 3 (DNN): The deep learning model in [36] is adopted, which utilizes a black-box DNN to optimize Θ and W simultaneously.
- Baseline 4 (AO): The traditional optimization method in [9] is adopted, which optimizes Θ and W with the manifold optimization and WMMSE alternatively and greedily.

#### A. Perfect CSI Evaluation

In this subsection, we evaluate the performance of the proposed scheme against baselines with perfect CSI. All the simulation curves have been averaged over  $N_s$  independent channel realizations.

In Fig. 4, we show the SE of the proposed algorithm and the baselines with respect to to P when M and N are restricted to 64 and 100, respectively. All five methods exhibit an increase in SE when increasing P. And it is evident that the approach with random phase significantly underperforms compared to the other four methods, as it only focuses on the optimization of the precoding matrix, while the other four schemes also optimize the RIS phase shifting matrix. This indicates the significance of optimizing the RIS phase shifting matrix in RIS-assisted communication scenarios. Besides, it is

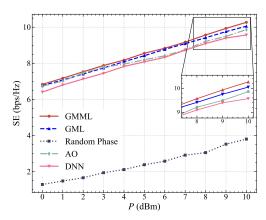


Fig. 4. SE vs. transmit power.

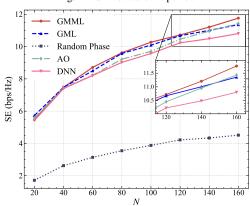


Fig. 5. SE vs. RIS element number.

worth noting that the proposed GMML algorithm consistently outperforms other methods in the range of  $0\,\mathrm{dBm}$  to  $10\,\mathrm{dBm}$ , and it achieves 4.11% and 7.31% higher performance than AO and DNN at  $10\,\mathrm{dBm}$ . Moreover, GMML and GML exhibit noticeably superior performance over AO when P exceeds  $4\,\mathrm{dBm}$ . This can be attributed to the fact that the optimization space of the precoding matrix becomes larger and more complex as P increases, resulting in an increasing number of local optima. As a result, the greedy AO method is more susceptible to becoming trapped, which explains why AO performs worse than the proposed meta learning based methods. Furthermore, among all the baseline methods, the traditional DNN exhibits the poorest performance. This indicates its relative ineffectiveness in handling the joint optimization structure compared to the proposed method.

Next, in Fig. 5, P is fixed to  $10\,\mathrm{dBm}$  and M is fixed to 64, the achieved SE of the proposed algorithms and the baselines with respect to to N is depicted. Thanks to the electromagnetic environment reconstruction ability of the RIS, the channel condition is enhanced as N increases, resulting in improved performance of all schemes. When N is small, GMML performs similarly to the baselines, as the cascaded channel condition is poor and there is limited room for joint optimization. However, as N increases, GMML consistently outperforms other schemes. And it is observed that the AO method outperforms GML as N exceeds 140. The reason for this phenomenon is that a larger search space of  $\Theta$  in GML would interference and slow down the optimization of W, thereby reducing the overall rate. Moreover, the gap between

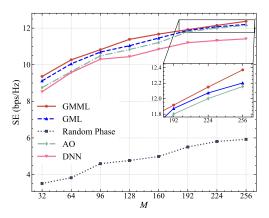


Fig. 6. SE vs. BS antenna number.

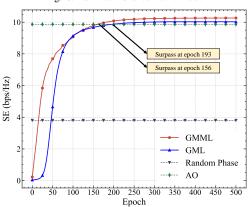


Fig. 7. SE vs. epoch number.

GMML and DNN widens as N increases, suggesting that GMML is better suited for RIS-aided communications with a large number of reflective elements than traditional deep learning methods.

Fig. 6 demonstrates the SE with M when N and P are fixed to 100 and  $10\,\mathrm{dBm}$ . It is evident that all schemes benefit from a larger number of BS antennas. Notably, GMML achieves a 5.13% higher performance than AO when M=128, but this advantage diminishes to 1.81% as M is increased to 256, which can be attributed to the undersized NNs. While the manifold learning helps to reduce the search space of the BS precoding matrix, it does not reduce the information within the optimization space. Therefore, enough neurons are required to memorize the characteristics of the optimization space. The performance of DNN remains the worst among the baseline methods, as the black-box DNN can not find the most efficient optimization path as the optimization space grows larger.

Then, Fig. 7 shows the convergence behavior of the proposed algorithms under the setting:  $P=10\,\mathrm{dBm},\ M=64$  and N=64. It is noticeable that GMML and GML surpasses the convergence speed of AO at epoch 156 and epoch 193, respectively. Furthermore, it is obvious that the convergence speed of GMML is faster than GML. Specifically, GMML displays a steeper rise than GML during the initial epochs. This is due to the manifold learning approach in GMML which significantly reduces the target search space of the precoding matrix, thus making it easier to approach the optima and achieve higher performance.

Afterwards, we compare the average CPU execution time of

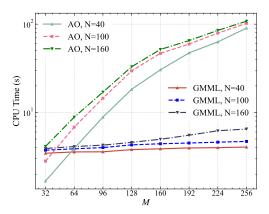


Fig. 8. Average CPU time vs. BS antenna and RIS element number.

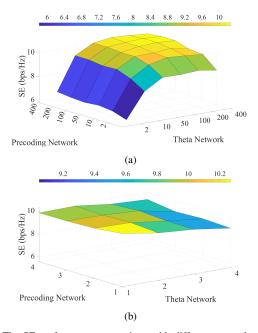


Fig. 9. The SE performance comparison with different network structures: (a) SE vs. number of neurons per hidden layer and (b) SE vs. number of hidden layers.

GMML and AO under different M and N while  $P=10\,\mathrm{dBm}$ . It is noted that we adopt the logarithm of the CPU time here. As illustrated in Fig. 8, both schemes exhibit an increase in average CPU time as N increases while M remains constant, and it is evident that the average CPU time of GMML increases at a slower rate than that of AO as N increases from 40 to 100 and 160. Similarly, when N is fixed, the average CPU time of GMML increases slowly with M while AO exhibits a sharp rise. In particular, when M=256 and N=160, the AO algorithm takes 108.1 seconds to converge, while the GMML algorithm only takes 4.7 seconds. This suggests that our algorithm can speed up over 23 times faster compared to the traditional AO, which matches the previous complexity analysis.

Fig. 9(a) and Fig. 9(b) illustrate the effects of the number of neurons per hidden layer and the number of hidden layers on the SE. As shown in Fig. 9(a), we can see that the SE rises with an increase in more neurons per layer for both networks, but reaches convergence when the number exceeds 100. This is because the NNs need an adequate number of neurons to store

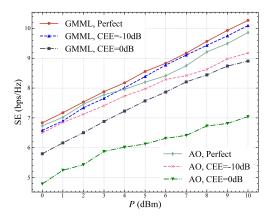


Fig. 10. SE performance with imperfect CSI.

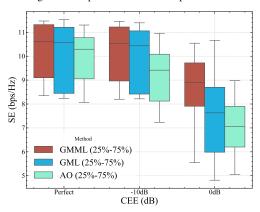


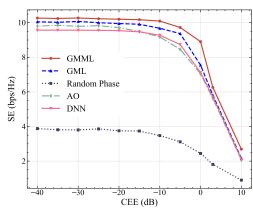
Fig. 11. Box plot of the variance of SE with imperfect CSI.

gradient information. If there are too few neurons per layer, the learning ability will deteriorate. However, it is noteworthy that Fig. 9(b) indicates a different trend, where increasing the number of hidden layers leads to a degradation in performance. This could be attributed to inadequate training data for deeper networks, as the proposed algorithm is fed with the same single channel sample in each epoch.

## B. Imperfect CSI Evaluation

In this subsection, we evaluate the performance of the proposed scheme against baselines with imperfect CSI. All the simulation curves have been averaged over  $N_s$  independent channel realizations.

Fig. 10 compares the SE in imperfect CSI with different P and CEE, where the system setting is the same as Fig. 4. GMML demonstrates stronger robustness against imperfect CSI than the AO method, particularly when channel estimation accuracy is low and P is high. To be specific, when  $P = 10 \,\mathrm{dBm}$ , compared to the perfect CSI setup, the proposed GMML algorithm can achieve the performance of 98.29% and 86.70% with the CEE at  $-10\,\mathrm{dB}$  and  $0\,\mathrm{dB}$ , respectively. In contrast, the traditional AO algorithm attains only the performance of 93.02% and 71.38% in an imperfect CSI setup with corresponding CEE values. In Fig. 11, we demonstrate the SE performance and variance of the GMML and the baselines when  $P = 10 \, \text{dBm}$ . It is apparent that meta learning based methods exhibit higher average performance than AO algorithm, while GMML demonstrates smaller variance in contrast to GML, but similar variance to AO. This indicates GMML



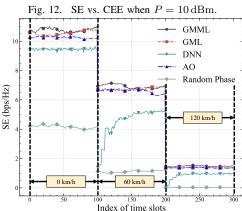


Fig. 13. Performance comparison in dynamic scenarios.

can achieve high performance while maintaining stability and consistency in practical imperfect CSI setup.

Next, we observe the corresponding SE while changing CEE in Fig. 12 with P set to 10 dBm. It is evident that a larger CEE leads to a decrease in the SE for all schemes. Among all imperfect channel setups with different CEE, GMML exhibits the best performance. This implies that GMML can handle greater channel uncertainty while achieving the same SE. As a result, the need for accurate channel estimation is greatly reduced, consequently decreasing the overall system overhead.

#### C. Dynamic Scenario Evaluation

In this subsection, we evaluate the adaptability of the proposed scheme against baselines in dynamic scenarios. It is assumed that the mobile users transition from outdoor to urban and then to freeway, moving at speeds of 0 km/h, 60 km/h, and 120 km/h, respectively. Technical standards [35] and [37] are separately used to generate channel data for stationary and moving scenarios.

Fig. 13 demonstrates the adaptation performance of the proposed scheme against the baselines across three different scenarios. Each scenario lasts for 100 time slots, with five adaptation channels and five testing channels in each time slot, and the adaptation channels are exclusively for DNN. It is observed that GMML still outperforms other schemes in terms of average SE, while the DNN based scheme has much worse performance. When the users move from outdoor to urban, the gap between DNN and other schemes widens. This

is because the DNN still applies knowledge from the previous scenario, which does not match the characteristics of the new environment. Furthermore, compared to other schemes, DNN experiences a significant decrease in performance when the communication scenario changes. This can be attributed to the limited generalization capacity and strong memory effect of DNN when applied to numerical optimization problems. Adequate adaptation data and a considerable amount of time are required for DNN to adjust to new environments. It is noted that the proposed GMML and its simplified version GML work similarly to the offline algorithm AO, in which the embedded NNs are updated within each channel optimization, but are randomly initialized across each channel realization. Therefore, GMML handles new scenarios without requiring any additional fine-tuning.

#### V. CONCLUSION

In this paper, a pre-training free scheme for RIS-aided communications named GMML was proposed. To jointly optimize the precoding matrix and the phase shifting matrix at the BS and the RIS, respectively, GMML fuses the meta learning and manifold learning, which could improve the overall SE and reduce the overhead of the high-dimensional signal process. Extensive numerical simulations demonstrate that not only can the proposed scheme outperform baseline methods in scenarios with perfect CSI, but also it can keep the strong robustness in scenarios with imperfect SCI. Moreover, results also show the proposed scheme exhibits the superior adaptability in dynamic scenarios. In the future, we will focus on exploring the beamforming in RISs-aided communications with incomplete CSI or even no CSI.

# APPENDIX A PROOF OF PROPOSITION 1

For any nontrivial stationary point  $\mathbf{w}_k^*$ , we can employ the Karush-Kuhn-Tucker (KKT) condition on the original system SE maximization problem (5) and derive:

$$\sum_{i=1}^{K} \omega_i \nabla_{\mathbf{w}_k} R_i - \lambda^* \mathbf{w}_k^* = 0,$$
 (22a)

$$\left(\sum_{k=1}^{K} \operatorname{Tr}(\mathbf{w}_{k}^{*}(\mathbf{w}_{k}^{*})^{H}) - P\right) \cdot \lambda^{*} = 0,$$
 (22b)

$$\sum_{k=1}^{K} \operatorname{Tr}(\mathbf{w}_{k}^{*}(\mathbf{w}_{k}^{*})^{H}) \leq P,$$
(22c)

$$\lambda^* \ge 0,\tag{22d}$$

where  $\lambda^*$  is the Lagrange multiplier.

Now we would prove by contradiction that  $\lambda^*$  is strictly positive. First we take the derivative of  $R_k$  with respective to  $\mathbf{w}_k$  and yields

$$\begin{split} & \nabla_{\mathbf{w}_k} R_k \\ &= \nabla_{\mathbf{w}_k} \log_2 (1 + \frac{|\mathbf{h}_k^H \boldsymbol{\Theta} \mathbf{G} \mathbf{w}_k|^2}{\sigma^2 + \sum_{j \neq k}^K |\mathbf{h}_k^H \boldsymbol{\Theta} \mathbf{G} \mathbf{w}_j|^2}) \end{split}$$

$$= \nabla_{\mathbf{w}_{k}} \log_{2} \left( \frac{\sigma^{2} + \sum_{j=1}^{K} |\mathbf{h}_{k}^{H} \boldsymbol{\Theta} \mathbf{G} \mathbf{w}_{j}|^{2}}{\sigma^{2} + \sum_{j\neq k}^{K} |\mathbf{h}_{k}^{H} \boldsymbol{\Theta} \mathbf{G} \mathbf{w}_{j}|^{2}} \right)$$

$$= \nabla_{\mathbf{w}_{k}} \log_{2} \left( \sigma^{2} + \sum_{j=1}^{K} |\mathbf{h}_{k}^{H} \boldsymbol{\Theta} \mathbf{G} \mathbf{w}_{j}|^{2} \right)$$

$$- \nabla_{\mathbf{w}_{k}} \log_{2} \left( \sigma^{2} + \sum_{j\neq k}^{K} |\mathbf{h}_{k}^{H} \boldsymbol{\Theta} \mathbf{G} \mathbf{w}_{j}|^{2} \right)$$

$$= \nabla_{\mathbf{w}_{k}} \log_{2} \left( \sigma^{2} + \sum_{j=1}^{K} |\mathbf{h}_{k}^{H} \boldsymbol{\Theta} \mathbf{G} \mathbf{w}_{j}|^{2} \right)$$

$$= \frac{1}{\ln 2} \left( \sigma^{2} + \sum_{j=1}^{K} |\mathbf{h}_{k}^{H} \boldsymbol{\Theta} \mathbf{G} \mathbf{w}_{j}|^{2} \right)^{-1} \cdot \nabla_{\mathbf{w}_{k}} |\mathbf{h}_{k}^{H} \boldsymbol{\Theta} \mathbf{G} \mathbf{w}_{k}|^{2}$$

$$= \frac{2}{\ln 2} (\mathbf{h}_{k}^{H} \boldsymbol{\Theta} \mathbf{G})^{H} \cdot \frac{(\mathbf{h}_{k}^{H} \boldsymbol{\Theta} \mathbf{G} \mathbf{w}_{k})}{(\sigma^{2} + \sum_{j=1}^{K} |\mathbf{h}_{k}^{H} \boldsymbol{\Theta} \mathbf{G} \mathbf{w}_{j}|^{2})^{-1}}$$

$$= \frac{2}{\ln 2} (\mathbf{h}_{k}^{H} \boldsymbol{\Theta} \mathbf{G})^{H} \cdot z_{kk}, \tag{23}$$

in which

$$z_{kk} = \frac{(\mathbf{h}_k^H \mathbf{\Theta} \mathbf{G} \mathbf{w}_k)}{(\sigma^2 + \sum_{j=1}^K |\mathbf{h}_k^H \mathbf{\Theta} \mathbf{G} \mathbf{w}_j|^2)^{-1}}.$$
 (24)

Similarly, we can get

$$\nabla_{\mathbf{w}_{k}} R_{i}$$

$$= \frac{2}{\ln 2} (\mathbf{h}_{i}^{H} \boldsymbol{\Theta} \mathbf{G})^{H} \cdot \frac{(\mathbf{h}_{i}^{H} \boldsymbol{\Theta} \mathbf{G} \mathbf{w}_{k})}{(\sigma^{2} + \sum_{j=1}^{K} |\mathbf{h}_{i}^{H} \boldsymbol{\Theta} \mathbf{G} \mathbf{w}_{j}|^{2})^{-1}}$$

$$- \frac{2}{\ln 2} (\mathbf{h}_{i}^{H} \boldsymbol{\Theta} \mathbf{G})^{H} \cdot \frac{(\mathbf{h}_{i}^{H} \boldsymbol{\Theta} \mathbf{G} \mathbf{w}_{k})}{(\sigma^{2} + \sum_{j \neq i}^{K} |\mathbf{h}_{i}^{H} \boldsymbol{\Theta} \mathbf{G} \mathbf{w}_{j}|^{2})^{-1}}$$

$$= \frac{2}{\ln 2} (\mathbf{h}_{i}^{H} \boldsymbol{\Theta} \mathbf{G})^{H} \cdot z_{ik},$$
(25)

in which

$$z_{ik} = \frac{(\mathbf{h}_i^H \mathbf{\Theta} \mathbf{G} \mathbf{w}_k)}{(\sigma^2 + \sum_{j=1}^K |\mathbf{h}_i^H \mathbf{\Theta} \mathbf{G} \mathbf{w}_j|^2)^{-1}} - \frac{(\mathbf{h}_i^H \mathbf{\Theta} \mathbf{G} \mathbf{w}_k)}{(\sigma^2 + \sum_{i \neq i}^K |\mathbf{h}_i^H \mathbf{\Theta} \mathbf{G} \mathbf{w}_j|^2)^{-1}}.$$
 (26)

If  $\lambda^*$  equals 0, we can substitute (23) and (25) into (22a), and multiply it with  $\mathbf{w}_k^*$ , which derives

$$\sum_{k=1}^{K} \omega_k \left( \sum_{j=1}^{K} \mathbf{h}_k^H \mathbf{\Theta} \mathbf{G} \mathbf{w}_j^* (\mathbf{w}_j^*)^H (\mathbf{h}_k^H \mathbf{\Theta} \mathbf{G})^H + \sigma^2 \right)^{-1}$$

$$= \sum_{k=1}^{K} \omega_k \left( \sum_{j \neq k}^{K} \mathbf{h}_k^H \mathbf{\Theta} \mathbf{G} \mathbf{w}_j^* (\mathbf{w}_j^*)^H (\mathbf{h}_k^H \mathbf{\Theta} \mathbf{G})^H + \sigma^2 \right)^{-1},$$
(27)

which indicates a trivial result:  $\mathbf{h}_k^H \mathbf{\Theta} \mathbf{G} \mathbf{w}_j^* = 0$ . This contradicts the initial assumption that  $\mathbf{w}_j^*$  is a nontrivial stationary point. Given that  $\lambda^* \geq 0$ ,  $\lambda^*$  will indeed be strictly positive for any nontrivial stationary point  $\mathbf{w}_j^*$ . Consequently, this leads to

the conclusion that  $\sum_{k=1}^{K} \operatorname{Tr}(\mathbf{w}_{k}^{*}(\mathbf{w}_{k}^{*})^{H}) = P$  as derived from (22b). This completes the proof of *Proposition 1*. It is noted that although  $\Theta$  would change frequently in the whole meta learning optimization procedure, this proposition still holds.

## $\begin{array}{c} \text{Appendix B} \\ \text{Proof of Proposition 2} \end{array}$

Substituting (23) and (25) into (22a) yields

$$\mathbf{w}_{k}^{*} = \frac{2}{\lambda^{*}} \left( \omega_{k} (\mathbf{h}_{k}^{H} \mathbf{\Theta} \mathbf{G})^{H} z_{kk} + \sum_{i \neq k}^{K} \omega_{i} (\mathbf{h}_{k}^{H} \mathbf{\Theta} \mathbf{G})^{H} z_{ik} \right)$$
$$= \mathbf{H}_{c}^{H} \mathbf{X}_{k}, \tag{28}$$

in which  $\mathbf{H}_c = [\mathbf{h}_{c,1}^H, \mathbf{h}_{c,2}^H, \cdots, \mathbf{h}_{c,K}^H]^H \in \mathbb{C}^{K \times M}$  and  $\mathbf{X}_k = \frac{2}{\lambda^*} [\omega_1 z_{1k}, \omega_2 z_{2k}, \cdots, \omega_k z_{kk}, \cdots, \omega_K z_{Kk}]^T \in \mathbb{C}^{K \times 1}$ . Thus we complete the proof of *Proposition 2*.

# $\begin{array}{c} \text{Appendix C} \\ \text{GMML Complexity Analysis} \end{array}$

The overall complexity of GMML can be analyzed as follows. First, we analyze the complexity within an inner iteration.

The analysis starts by examining the complexity of the Theta Network. The primary contributors to this complexity are the computations involved in calculating SE and the NNs. To calculate SE, we primarily rely on equations (3) and (4). Considering that the dimension of  $\mathbf{h}_k^H$  is  $N \times 1$  and  $\boldsymbol{\Theta}$  is a diagonal matrix, the computational cost for multiplying  $\mathbf{h}_k^H$ with  $\Theta$  is  $\mathcal{O}(N)$ . The matrix G has a dimension of  $N \times M$ , adding a complexity of  $\mathcal{O}(MN)$  when multiplied, while the multiplication involving  $\mathbf{w}_i$  contributes an additional  $\mathcal{O}(M)$ . Therefore, the total complexity for computing  $\mathbf{h}_k^H \mathbf{\Theta} \mathbf{G} \mathbf{w}_j$  is  $\mathcal{O}(N+MN+M)=\mathcal{O}(MN)$ . This calculation is performed K times for computing the SINR, and subsequently the computation of SE involves calculating SINR K times. Thus, the overall complexity for computing SE amounts to  $\mathcal{O}(K^2MN)$ . Owing to the automatic differentiation, the computation of the gradient for  $\Theta$  is carried out concurrently, not contributing to the total complexity. Since the embedded DNNs are relatively small and shallow, the computational complexity of the NNs is approximately  $\mathcal{O}(N)$ . Therefore, the complexity in a single iteration within the Theta Network can be expressed as  $\mathcal{O}(K^2NM) + \mathcal{O}(N) = \mathcal{O}(K^2NM).$ 

The computational complexity of the *Precoding Network* is similar to that of the *Theta Network*, with an additional complexity owing to the computation of power normalization (16) and precoding matrix recovery (17), whose complexity are both  $\mathcal{O}(MK^2)$ . The complexity involved in calculating the SE remains  $\mathcal{O}(K^2NM)$ . The complexity related to the DNN operations is  $\mathcal{O}(K^2)$ . When these individual complexities are combined, the overall computational complexity of the *Precoding Network* in a single iteration is  $\mathcal{O}(MK^2) + \mathcal{O}(K^2) + \mathcal{O}(K^2NM) = \mathcal{O}(K^2MN)$ .

Considering the number of the inner, outer and epoch iterations, the overall complexity of the proposed GMML algorithm is  $N_e N_o N_i (\mathcal{O}(K^2 M N) + \mathcal{O}(K^2 M N)) = \mathcal{O}(N_e N_o N_i K^2 M N)$ .

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